Observation and modelling of snow at a polygonal tundra permafrost site: spatial variability and thermal implications

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Abstract. The shortage of information on snow properties in high latitudes places a major limitation on permafrost and more generally climate modelling. A dedicated field program was therefore carried out to investigate snow properties and their spatial variability at a polygonal tundra permafrost site. Notably, snow samples were analysed for surface-normal thermal conductivity (K_{eff-z}) based on X-ray microtomography. Also, the detailed snow model SNOWPACK was adapted to these Arctic conditions to enable relevant simulations of the ground thermal regime. Finally, the sensitivity of soil temperatures to snow spatial variability was analysed.

Within a typical tundra snowpack composed of depth hoar overlain by wind slabs, depth hoar samples were found more conductive (K_{eff-z} = 0.22 ± 0.05 W m^{-1} K^{-1}) than in most previously published studies, which could be explained by their high density and microstructural anisotropy. Spatial variations in the thermal properties of the snowpack were well explained the micro-topography and ground surface conditions of the polygonal tundra, which control depth hoar growth and snow accumulation. Our adaptations to SNOWPACK, phenomenologically taking into account the effects of wind compaction, basal vegetation and water vapour flux, yielded realistic density and K_{eff-z} profiles that greatly improved simulations of the ground thermal regime. Also, a density and anisotropy-based parameterization for K_{eff-z} lead to further slight improvements. Soil temperatures were found to be particularly sensitive to snow conditions during the early winter and polar night, highlighting the need for improved snow characterization and modelling over this period.

1 Introduction

Perennially frozen ground (permafrost) is a major feature of high-latitude regions, underlying about 25 % of the northern hemisphere (Zhang et al., 1999). This essential climate variable reacts sensitively to ongoing climate change, with important implications for terrain stability, coastal erosion, surface and subsurface water fluxes, the carbon cycle, and vegetation

development (e.g. Grosse et al., 2016; Shuur et al., 2015). Understanding and modelling the thermal regime of permafrost is therefore essential for a broad variety of applications ranging from geo-engineering to landscape preservation and climatic projections, and also for ecological considerations.

The influence of snow cover on the ground thermal regime has been highlighted by a number of authors (e.g. Sturm and Holmgren, 1994; Zhang et al., 1996; Zhang, 2005; Lawrence and Slater, 2010; Gouttevin et al., 2012; Langer et al., 2013; Dominé et al., 2015, 2016a, 2016b). Snow has a low thermal conductivity (K_{eff}), ranging from 0.01 to 0.7 W m^{-1} K^{-1} depending on microstructure, density and wetness, and it therefore insulates the underlying ground during the cold season. The soil temperatures beneath a thick snowpack will therefore be warmer than under a thin snowpack (or no snowpack at all), given similar meteorological conditions.

Arctic tundra regions are usually characterized by thin but enduring snowpacks. At the Samoylov permafrost observatory (Lena River Delta, Siberia, 72° N, 126° E), snow covers the ground for on average 7 months of the year, with the mean February snow depth ranging between 15 and 30 cm (Langer et al., 2013). Under such conditions (long duration of the snow cover and thin snowpack) the sensitivity of the ground thermal regime to the surface-normal snow thermal conductivity K_{eff-z} is particularly high (Zhang, 2005). An extensive investigation by Langer et al. (2013) into the sensitivity of the ground thermal regime at Samoylov showed that the thermal properties of the snow were the most essential parameters to constrain for accurate simulation of the permafrost thermal regime.

The insulating power of snow on the underlying ground is linked to the surface-normal component of the conductivity tensor K_{eff-z} and to the height of snowpack HS. It can be expressed as the thermal resistance (R_{th}), where $R_{th} = HS / K_{eff-z}$. Assessing the K_{eff-z} of a natural snowpack is not easy. It is often estimated in situ with the help of a needle-probe (NP) inserted in the snow parallel to the surface, which actually allows to estimate $\sqrt{K_{eff-z}K_{eff-x}}$, i.e. a combination of the surface-normal (K_{eff-z}) and parallel (K_{eff-x}) components of K_{eff} (Riche and Schneebeli, 2013). Since most snow types are anisotropic with regard to K_{eff} (meaning that K_{eff-z} is not equal to K_{eff-x}; Riche and Schneebeli, 2013), a correction for anisotropy needs to be applied in order to obtain K_{eff-z} from an NP measurement. Snow samples also can be analysed for K_{eff-z} in cold laboratories, either using a guarded heat-flux plate (HFP), or by combining X-ray microtomography with direct numerical simulations at a microstructural level (CT). The differences between these three measurement techniques have been investigated by Riche and Schneebeli (2013), who found that NP estimates were on average 35 % lower than CT estimates, even after correcting for anisotropy. While HFPs tended to yield higher estimates of K_{eff-z} than CT, the difference was smaller than with NP (20 % on average) and could reasonably be ascribed to identified uncertainties in the HFP and CT methods. After improving their NP K_{eff} retrieval algorithm and taking anisotropy into account, Dominé et al. (2015) reassessed the systematic residual difference between NP measurements and the CT results to about 20 %. However, an additional complication occurs when an NP is used in depth hoar (a columnar snow type frequently encountered in the lower part of Arctic snowpacks): apart from being highly anisotropic, the fragile structure of depth hoar can be damaged during needle insertion, reducing the quality of the measurements. The only depth hoar sample considered in the methodological comparison by Riche and Schneebeli (2013) exhibited the largest difference (55 %) between anisotropy-corrected NP measurements and CT estimates,

probably as a result of these limitations. Overall, the CT method currently seems to provide the most reliable estimates for $K_{eff\text{-}z}$. However, the constraints of casting and transporting samples for cold-laboratory analysis reduce its applicability for continuous monitoring and for investigations at remote sites. Almost all present-day $K_{eff\text{-}z}$ estimates for Arctic snowpacks are therefore based on NP measurements (Barrere et al., 2017, Dominé et al., 2016b).

5 Statistical models for K_{eff} or $K_{eff\text{-}z}$ (mainly as functions of density) have been developed to provide this parameter to snow and permafrost models in the absence of observational data. Such density-based regressions are inherently only able to account for parts of the variations in $K_{eff\text{-}z}$, as the development of some snow types (such as depth hoar) is accompanied by changes in their microstructural anisotropy that affect the $K_{eff\text{-}z}$ even if the density remains unchanged (Löwe et al., 2013; Calonne et al., 2014). Although regressions that include the effect of anisotropy have been established (Löwe et al., 2013), they require

10 additional input in the form of an anisotropy parameter.

Most of the current generation of detailed snow models such as CROCUS (Vionnet et al., 2012) or SNOWPACK (Bartelt and Lehning, 2002; Lehning et al., 2002a, 2002b) rely solely on density to infer $K_{eff\text{-}z}$. However, these models are unable to reproduce the density profiles actually observed in Arctic snowpacks (Barrere et al., 2017, Dominé et al., 2016a), which has an immediate impact on the inferred value of $K_{eff\text{-}z}$. A first probable cause of this failure is that these models do not represent

15 the upward water vapour flux, that redistributes ice from the bottom of the snowpack to the upper part as a result of steep temperature gradients. Dominé et al. (2016b) have estimated that this process could lead to density changes up to 100 kg m^{-3}. Additional uncertainties occur in these models in their representation of wind-induced compaction (Groot-Zwaaftink et al., 2013) and the effect of low or basal vegetation (dwarf shrubs, sedges) on snow compaction and metamorphism (Dominé et al., 2015): intertwined twigs within the snowpack can promote depth hoar formation by preserving an aerated layer,

20 protected from wind erosion and compaction, where conductivity is weak and steep temperature gradients can establish, favouring rapid metamorphism (Hutchinson, 1965, Sturm and Benson, 1997). The warming effect of protruding twigs in early winter may also enhance snow metamorphism (Sturm and Holmgren, 1994). A reliable simulation of snow structure in SNOWPACK-like models is essential not only for the simulation of the ground thermal regime but also for a variety of applications ranging from the exploitation of remote-sensing data (e.g. Montpetit et al., 2013), to the assessment of

25 snowpack structure impact on wildlife (e.g. Ouellet et al., 2017).

The insulating power of snow depends not only on $K_{eff\text{-}z}$ but also on snow depth HS. Arctic and high-Arctic permafrost regions such as Samoylov commonly feature polygonal tundra landscapes, which are characterized by a distinctive micro-topography with polygons that are typically about 10 m wide and rims that are 20 to 50 cm high. This micro-topography induces considerable variations in snow depth (Wainwright et al., 2017), with significant implications for the functioning of

30 the local ecosystem including the thermal regime, hydrology, and carbon cycle (Liljedahl et al., 2016; Hobbie et al., 2000). Thus, an integral assessment of snow thermal conductivity, snow depth and their spatial variability, is needed to fully characterize the thermal impact of snow on permafrost in polygonal tundra landscapes.

Our objectives in this study were (1) to investigate the thermal properties of snow in an Arctic snowpack and their link to microstructure and microtopography, (2) propose adaptations to a detailed snow model to these local snow conditions, to be

validated against snow and soil temperature observations and (3) quantify the thermal impact of spatial variability in snow depth and snow structure across a typical polygonal tundra microtopography. To this end we relied on snow samples analysed by CT, on a variety of in situ snow observations collected during a dedicated field program at Samoylov in April 2013, and on more long-term observations on meteorology and soil variables. The model adaptations we propose were made to the detailed snow model SNOWPACK, which we used in combination with the CryoGrid3 (CG3, Westermann et al., 2016) permafrost-soil model for the simulation of the ground thermal regime, as this model was extensively validated at Samoylov.

2 Data and methods

2.1 Samoylov site

The Samoylov permafrost observatory is located within the zone of continuous permafrost, on Samoylov Island in the Lena River Delta, Siberia (72° N, 126° E; Fig. 1). The site has been used for intensive monitoring of ground temperatures and meteorological conditions since 1998 (Boike et al., 2013). The mean annual air temperature is -12.5 °C, with mean monthly temperatures ranging from -33 °C to 8.5 °C (1998-2011). The average annual rainfall is 125 mm, while snowfall averages 40 mm yr^{-1}. The landscape is characterized by polygonal tundra, i.e. a complex mosaic of dry polygonal ridges with wet depressed centers, and a number of larger water bodies (Muster et al., 2012; 2013).

In the present study we analysed the snow properties with respect to the micro-topography and surface conditions (water-logged, grass-covered, etc.) of the polygonal tundra. We divided the micro-topography into polygon rims, slopes, and depressed centers, referred to simply as rims, slopes, and centers. With regard to the surface conditions, the elevated rims and slopes are usually vegetated (mosses and Dryas species, ~ 20 cm high) while the polygon centers are typically either damp or water-logged. The damp centers are vegetated, mainly with mosses and Carex species (~ 15 to 20 cm high) and are referred to as "grass-centers" while the water-logged centers lie below the water table and are referred to as "ice-centers". The ponded water in these ice-centers forms an ice base beneath the snow cover in winter and spring, which is clearly distinguishable from the moss-grass-snow interface of the 'grass-centers'. We therefore ended up with four micro-topographic classes summarizing the typical micro-topography and surface conditions at Samoylov: grass-centers, ice-centers, rims, and slopes.

During the winter the grasses of the rims, slopes and grass-centers tend to be flattened by snow and in places become intertwined at the base of the snowpack, up to a height of 7 to 10 cm (Fig. 1 d).

2.2 Snow data

2.2.1 In situ snow observations

The Samoylov snow campaign in April 2013 (Fig. 1) focused on sampling the four afore-mentioned micro-topographic classes in polygons located close to, but not influenced by the Samoylov station. Sixteen stratigraphic profiles were carried out, with records of grain type, size, and occasionally density, hand hardness, and temperature measurements. Snow samples were cast with diethyl-phtalate, as detailed in Heggli et al. (2009), and were later analysed in the SLF-Davos cold laboratory by CT (Coleou et al., 2001; Schneebeli and Sokratov, 2004). Four sets of samples that covered the stratigraphy of distinct ice-center, grass-center, rim, and slope profiles, were selected for our investigations on the basis of sample integrity. The corresponding sites will be referred to as CT sites (consisting of CT rim site, CT slope site, etc..). An east-west trench was excavated across a grass-center polygon, which will be referred to as the "reference polygon" due to its denser instrumentation (Fig. 1). Near-infrared (NIR) images of the trench were realized to characterize the thickness of the basal depth hoar (DH) layer along this transect at 50 cm spatial steps. The NIR-images were treated in ImageJ (Schneider et al., 2012) by the following procedure: the green channel was extracted from the RGB-image. The brightness and contrast was visually optimised based on the histogram. The average brightness of the full profile was 125, the depth hoar region 106, the surface layer 125 (brightness range 0-255). The boundary between these two main layers was measured based on a ruler put in the center of the image. The resolution of the NIR images was better than 0.1 mm, so depth hoar crystals and especially depth hoar chains were in addition easy to discriminate from the upper layer with smaller, mostly rounded grains.

Snow depth was recorded continuously over the 2012-2013 snow season by an SR50 sensor (Campbell Scientific, ± 1 cm accuracy, ± 1 cm precision) located in the topographically low center of the reference polygon (Fig. 1). This instrument acquires data over a circular surface of ~ 20 cm radius. However, this snow depth record differed from data acquired at grass-center snowpits: on 21 April 2013 the SR50 measured 13 cm of snow while both the transect, CT and snowpit data indicated depths in excess of 17 cm for grass-center conditions (Fig. 3). This difference is likely due to small scale variability in snow depth induced by micro-relief (notably vegetation tussocks) and in processes such as wind erosion immediately below the SR50 sensor: ancillary snow depth data acquired over a 14 m grass polygon transect at 20 cm spatial resolution show a 7 cm variance in snow depth, and variations up to 9 cm over 40 cm horizontal distance in center conditions. To build a representative snow depth record for grass-center conditions, we matched the SR50 snow data to the median of manually recorded snow depths at grass-center snowpits (20 cm) on 21 April 2013, by multiplying the SR50 record by a constant factor of 1.6. The 7 cm offset in late April is consistent given the observed small-scale variability in snow depth. Finally, a time-lapse camera provided daily, low-resolution images of the reference polygon.

2.2.2 Laboratory analysis

The samples cast in the field were transported to the cold laboratory in Davos and analysed by X-ray microtomography, thereby obtaining 3-dimensional images of the structure and bonding of the ice crystals. Binary micro-tomographic images

were used as input for a finite element analysis to calculate the 3-dimensional heat conduction through the porous ice-air medium, based on the solution of the stationary (pore scale) heat equation which is solved directly on the binary CT image. The effective conductivity tensor of the analysed sample is thereafter derived. This conductivity only takes into account pure conduction through the ice-air network, ignoring the effects of water vapour flux and latent heat. For the heat conductivity calculations we used the procedure described in Löwe et al. (2013), based on NIST Finite Element programs (Garboczi, 1998), with an air conductivity (ka) equal to 0.024 W m^{-1} K^{-1} and an ice conductivity (ki) equal to 2.43 W m^{-1} K^{-1}. These values approximate the conductivity of the air and ice medium at temperatures between -15 °C and -20 °C (cf. engineering toolbox.com and data compiled by Waite et al., 2006), causing a maximum error in retrieved K_{eff} of less than 4 % for a snowpack between 0°C and -40°C (estimation based on the parametrization from Löwe et al., 2013, using the respective values of ka and ki).

2.3 Soil temperature data

Soil temperatures were recorded over the 2012-2013 snow season from three profiles within the reference polygon (rim, slope, and grass-center) at depths 5 cm, 20 cm and 40 cm, using thermistors (Temperature Probe model 107, Campbell Scientific Ltd., UK). The thermistors were calibrated at 0 °C so that the absolute error was less than 0.1 K over a temperature range of ± 30 °C.

2.4 Meteorological data

The SNOWPACK and CryoGrid3 models require as input the following meteorological data: 2 m air temperature, incoming shortwave and longwave radiation, wind-speed, and relative humidity of the air. We drive the models with snow depth recorded by the SR50 sensor instead of precipitation.

Air temperature and relative humidity were recorded at the Samoylov meteorological station using an HMP45C air temperature and humidity sensor (Fig. 1). Unfortunately the sensor became saturated at temperatures below -40 °C and so for the period between 1 February and 15 March 2013, when the air temperatures regularly dropped below -40 °C, we used air temperature records from the ERA-interim reanalysis (ERA-i; Dee et al., 2011) instead. The ERA-i data were linearly interpolated from their native 3 hourly temporal resolution (analysis and forecast fields) to a 30 min time-series to drive SNOWPACK. This substitution seems appropriate since for the rest of the 2012-2013 winter period, ERA-i temperatures show a high correlation with Samoylov observations (r^2=0.97) and a low bias (-0.9°C). ERA-i fields were also proven to be a high quality source of driving variables to simulate the evolution of the Northern Eurasian snowpack including Siberia (Brun et al., 2013), with minor differences between station data and grid-field over large, rather flat areas like the Lena Delta. Finally, a comparison of ERA-i with locally acquired meteorological data from earlier years at Samoylov confirmed this validity for the skin surface temperature, which responds very sensitively to differences in the driving variables (Langer et al., 2013).

Incoming shortwave and longwave radiation were measured at the Samoylov meteorological station with an NR01 Hukseflux 4-Component Net Radiation Sensor. Wind speed was measured at 2.5 m above ground with a 05103 Young Wind Monitor. Wind speed was (together with air temperature) the only meteorological field for which likely instrumental failure was detected, characterized by periods of a few hours to a few days with null wind-speed. Their impact on the SNOWPACK simulations is however marginal as no major snow depth variation was observed during these periods.

Snow depth data, meteorological data, and data on the ground thermal conditions at Samoylov during the 2012-2013 snow season are presented in Fig. 2. Meteorological and snow depth data are freely available at https://doi.org/10.1594/PANGAEA.879341.

2.5 SNOWPACK snow model

SNOWPACK is a one-dimensional, physically-based snow-cover model. Driven by standard meteorological observations (see Meteorological data), the model simulates the stratigraphy, microstructure, metamorphism, temperature distribution, and settlement of snow, as well as surface energy exchange and mass balance. Snow is represented by a number of state variables (temperature, density, and water content) and the snow micro-structure by grain characteristics (grain size, size of bonds, sphericity, and dendricity) which allow a diagnostic of the grain type (Lehning et al., 2002b). The equations governing the evolution of the seasonal snowpack are described in Bartelt and Lehning (2002) and Lehning et al. (2002a, b), along with the parameterizations adopted for important snow properties, such as K_{eff-z}. The latter is based on the work of Adams and Sato (1993), who considered the geometrical arrangement of spherical ice grains to derive an analytical formulation for K_{eff-z}. The thermal effect of water vapour diffusion within grain interstices and the temperature dependence of ice conductivity are also taken into account in the parameterization currently used in SNOWPACK. A shape factor calibrated with alpine snow is used to take into consideration the non-sphericity of the snow grains. The SNOWPACK formulation for K_{eff-z} depends in the end on three variables: temperature, density and the ratio between grain-size and bond-size.

The SNOWPACK model was originally developed for alpine conditions (Lehning and Fierz, 2008) but has been recently adapted to different snow and meteorological conditions at the instance of the extreme conditions of the Antarctic Plateau at Dome C: the latter required a specific treatment of the effects of high wind speeds and low temperatures on snow accumulation, compaction and settlement (Groot-Zwaaftink et al., 2013).

2.7 CryoGrid3 permafrost model

CryoGrid3 (CG3, Westermann et al., 2016) is a one-dimensional permafrost-soil model that has been extensively adapted and validated for the Samoylov conditions (Westermann et al., 2016; Langer et al., 2016). Since the soil scheme in SNOWPACK lacks the detail and performance of CG3, we used CG3 to model the ground thermal regime but using the snow characteristics (density, depth, and bulk thermal conductivity) produced by SNOWPACK as input.

CG3 is forced by standard meteorological variables (see Section 2.4: Meteorological data) which drive an explicit surface energy balance scheme that simulates the exchange of heat and water with the atmosphere. The model includes a transient

heat transfer scheme for the soil that is specifically optimized for simulating freeze-thaw processes within permafrost. The soil physical properties such as heat capacity, thermal conductivity, and the freeze curve, are derived according to a parameterization suggested by Dall Amico et al. (2011). The soil composition is assumed to be constant, so that any changes in soil moisture other than those due to phase changes are ignored. This assumption is well justified as the soils at Samoylov

5 are almost completely saturated (Langer et al., 2013). CG3 also includes a simplified snow cover representation that only takes into account a limited number of the natural processes that occur in snowpacks. It is therefore not comparable to more sophisticated snow models such as SNOWPACK or CROCUS. Therefore, in our simulations with CG3, the snow properties involved in conductive heat transfer were taken either from SNOWPACK simulations (in Sect. 5) or derived from an external construction (in Sect. 6), by-passing the CG3 estimates for these properties. All other properties or processes were

10 calculated by CG3: this includes an exponential damping of incoming short wave radiation with snow depth, assuming a constant light extinction coefficient (e.g. O'Neill and Gray, 1972), and a snow albedo decreasing with snow ageing (Westermann et al., 2016).

3 Thermal properties of the Samoylov snowpack

3.1 Composition and properties of individual layers

15 As in other tundra snowpacks described in literature, the Samoylov snowpack was largely made up of basal DH and of wind slabs with small rounded grains (RG) (Fig. 3 and Fig. 4). Based on the 4 profiles investigated by CT, the DH layers and wind slabs exhibited significantly distinct densities and K_{eff-z} (Fig. 3, p-values < 0.05 for a 2-sided t-test): the DH layers had a mean density of 236 kg m^{-3} and a mean K_{eff-z} of 0.22 W m^{-1} K^{-1}, while wind slabs had a mean density of 356 kg m^{-3} and a mean K_{eff-z} of 0.36 W m^{-1} K^{-1}. The general characteristics of the snowpack at the CT sites (grain types, snow depth, DH

20 thickness-to-total snow depth ratio) were very similar to the median characteristics retrieved at the other snowpits dug in each micro-topographic class (Fig. 4), which made them representative for their micro-topographic class. The only exception is the CT slope profile, which features an exceptionally high proportion of DH (80 %, while the median for slope sites was 50 %).

In the middle or upper part of the snowpack at vegetated sites, we found DH layers exhibiting a higher density (up to

25 300 kg m^{-3}), together with a higher conductivity (above 0.3 W m^{-1} K^{-1}), higher hand hardness (2 to 3) and smaller grain sizes (1 to 2 mm) than basal DH (hand hardness 1, grain size 5 to 10 mm). These dense DH layers have probably been formed by the metamorphism of former wind-crusts (i.e. they are indurated DH), thereby retaining a high density. They were all found above the vegetation layer, where wind effects are likely to be more pronounced.

3.2 Spatial variability

30 Micro-topography and surface conditions clearly play a role in shaping the snowpack conditions at Samoylov. Based on our 16 snowpits and 4 CT profiles, we found the snow to be significantly deeper at slope sites and shallower at rim sites (27 cm

vs. 10 cm median depths, p-value < 0.1 for a two-sided t-test) than at the center sites (19.5 cm median depth). This observation that has often been reported in literature from other tundra sites (e.g. Wainwright et al., 2017): indeed, the rim sites are the most exposed to wind and receive reduced deposition during blowing snow events, while slopes, especially those on the lee side, experience lower wind speeds and enhanced deposition. The larger number of distinct snow layers

5 found in slope profiles is a further evidence of that process. In contrast to snow depth, the DH thickness-to-total snow depth ratio (hereafter α) was lower on slopes and higher on rims (0.5 vs. 0.8, median values, difference not significant at the 95% level). Rim profiles also exhibited a larger proportion of DH-chains (i.e. vertically structured DH crystals in which most of the lateral bonds have disappeared; Fierz et al., 2009) than the other micro-topographic classes: this is in line with an increased temperature gradient as a result of shallower snow depths. Grass-center and ice-center sites had very similar snow

10 depths but a significantly lower proportion of DH was found at ice-centers than in the other classes. This is easily explained by the higher conductivity of ice when compared to frozen ground (even saturated) which promotes colder temperature in the uppermost centimeters of frozen ponding water than in a frozen ground surface, and hence reduced temperature gradients through the snow when snow onset occurs after initial freezing. Basal DH crystals formed over ice are therefore smaller (4 mm to 6 mm) than those found at grass-center sites (6 mm to 8 mm).

15 We calculated the bulk $K_{eff\text{-}z}$ (K_{bulk}) at each CT site by weighted harmonic mean of the $K_{eff\text{-}z}$ of individual snow layers. K_{bulk} showed little variation between the three CT sites with underlying grasses: K_{bulk} was 0.21 W m^{-1} K^{-1} at the CT rim and slope sites and 0.23 W m^{-1} K^{-1} at the CT grass-center site (Fig. 3). A more representative slope site with a lower proportion of DH portion would probably have had a slightly higher K_{bulk} value. A much higher K_{bulk}, 0.33 W m^{-1} K^{-1}, was however obtained at the ice-center site, where the much less DH had developed.

20 We tested the assumption that differences in the DH-thickness to total snow depth ratio (α) can mostly explain the variability in K_{bulk} across the four CT sites. For this we relied on the approach by Zhang et al. (1996), who considered that an Arctic snowpack can be approximated by two homogeneous layers, a DH layer and a wind-slab, each with its own distinctive density and $K_{eff\text{-}z}$ value. Rutter et al. (2014) also used a similar approach for microwave emission modelling. Following this approach, K_{bulk} is expressed by:

$$K_{bulk} = \frac{1}{\frac{\alpha}{K_{DH}} + \frac{1-\alpha}{K_{crust}}} \qquad (1)$$

25 where K_{DH} and K_{crust} are the $K_{eff\text{-}z}$ for DH and wind crust layers, which we here approach by their mean values in our CT samples (0.22 W m^{-1} K^{-1} and 0.36 W m^{-1} K^{-1}, respectively). K_{bulk} is thus a decreasing function of α. We found that 72 % of the variability in K_{bulk} between our four sites can be explained by this simple 2-layer approach.

The insulating power of a snowpack is characterized by the thermal resistance $R_{th} = HS / K_{bulk}$ (see Introduction). Hence, the variations in snow depth *HS* across our four sites, as shaped by micro-topography (see Section 3.1), also affect the local

30 insulating power of the snowpack. Indeed, we found that the ice-center profile has a very low R_{th} (0.48 m^2 K W^{-1}) due to a high K_{bulk} and a moderate snow depth. The R_{th} of the snowpack however increases from the rim site (0.57 m^2 K W^{-1}), through

the grass-center site (0.87 m^2 K W^{-1}), to the slope site (1.59 m^2 K W^{-1}): this increase follows the increase in snow depth between these sites (from 10 cm to 19.5 cm and 27 cm, respectively), despite variations in the K_{bulk} values (which at times also increase with snow depth).

Our observations suggest that, when there is basal vegetation present, R_{th} is more sensitive to variations in total snow depth than to variations in the DH proportion α, which controls K_{bulk}. We assessed this by looking at the sensitivity of R_{th} to α and HS in the 2-layer approach. R_{th} is expressed by:

$$R_{th} = \frac{\alpha.HS}{K_{DH}} + \frac{(1-\alpha).HS}{K_{crust}} \tag{2}$$

implying a sensitivity to variations in HS ($\frac{\partial R_{th}}{\partial HS}$) and a sensitivity to variations in α ($\frac{\partial R_{th}}{\partial \alpha}$) expressed by:

$$\frac{\partial R_{th}}{\partial HS} = \frac{\alpha}{K_{DH}} + \frac{1-\alpha}{K_{crust}} \tag{3}$$

$$\frac{\partial R_{th}}{\partial \alpha} = HS.\left(\frac{1}{K_{DH}} - \frac{1}{K_{crust}}\right) \tag{4}.$$

We estimated bounds of 3.5−4.3 m K W^{-1} and 0.17−0.71 m^2 K W^{-1} for these sensitivities, respectively, considering the following ranges for α and HS: $\alpha = 0.4-0.9$ and $HS = 0.1-0.4$ m. The HS decreased by 0.1 m from the CT grass-center profile to the CT rim profile, while α increased by 0.22. From the median grass center profile to the median slope profile, HS increased by 0.08 m while α decreased by 0.06. With these orders of magnitudes, it appears clearly that variations in HS have a greater influence than variations in α on the insulating power of snow across the polygonal micro-topography when there is basal vegetation present.

3.3 Assessment of existing $K_{eff\text{-}z}$ parameterizations

In the four CT profiles $K_{eff\text{-}z}$ showed a strong correlation with density (r = 0.94). We investigated the ability of three different parameterizations for K_{eff} or $K_{eff\text{-}z}$ to match the values obtained with our measurements (Fig. 5). These parameterizations are from Calonne et al. (2011), Riche and Schneebeli (2013) and Löwe et al. (2013), and we refer to them hereafter as C2011, R2013 and L2013 respectively. C2011 expresses the mean of the vertical and horizontal components of K_{eff} as a density-based regression. R2013 expresses the vertical component of K_{eff} ($K_{eff\text{-}z}$) as a density-based regression inferred from DH and faceted crystal (FC) samples only, that's to say: grain types with a marked vertical anisotropy. Finally, L2013 is a regression of $K_{eff\text{-}z}$ based on density and anisotropy. It relies on an anisotropy parameter, Q, calculated directly from CT images based on the two-point correlation function (Löwe et al., 2013, their Eq. 4). Q is above 0.33 (resp. below 0.33) when the snow grains arrangement shows preferential vertical (resp. horizontal) connexions.

With respect to our data, there is an improvement in performance from C2011 (good correlation but noticeable bias) to R2013 (good correlation, reduced bias), and finally to L2013 (improved correlation and reduced bias). C2011 does not take anisotropy into account, nor does it attempt to represent the vertical component of the conductivity ($K_{eff\text{-}z}$), which probably explains its relatively poor performance. A bias in R2013 for snow types with horizontal anisotropy (Q < 0.33) is to be

expected as R2013 is designed to represent the $K_{eff\text{-}z}$ of vertically anisotropic grains. Our results confirm that R2013 is indeed biased on samples with Q < 0.33 (Fig. 5b), consisting of RG and partly decomposed/fragmented particles (DF). R2013 also underestimates $K_{eff\text{-}z}$ in the samples with the greatest vertical anisotropy, which may be due to the very small number of samples (only 2) used by the authors to constrain their parameterization at densities greater than 300 kg m^{-3}. Being derived from a density-based regression, R2013 is furthermore structurally incapable of taking into account all possible degrees of anisotropy encountered in nature. The best performance was obtained with L2013, which confirms the importance of anisotropy in $K_{eff\text{-}z}$ estimations. The two largest biases obtained from regressions based on density only (underestimations of $K_{eff\text{-}z}$ by 47 % and 49 %) were obtained using C2011 on DH-chains, i.e. on highly anisotropic grain forms.

4 Adaptations of the SNOWPACK model to the Arctic context at Samoylov

In the Introduction we recalled that adaptations were required to the current generation of snow models if realistic density profiles (and consequently $K_{eff\text{-}z}$ profiles) were to be simulated in Arctic conditions. These adaptations concerned wind densification (WIND), the water vapour transport occurring under steep temperature gradients (VAP), and the mechanical, optical and metamorphic effects of basal vegetation protruding into the snowpack (VEG). The traditional density-based formulations for $K_{eff\text{-}z}$ also needed to improve and incorporate the effect of grain anisotropy (ANISO).

Some of the effects of VEG (mechanically reduced compaction, enhanced grain growth) and VAP (reduced density in the basal layers as a result of upward flux, enhanced grain growth) are hard to disentangle in Arctic conditions, where they both contribute to density reduction and enhanced grain growth in basal layers. Furthermore, no explicit description of water vapour transport and associated metamorphism is available in the current snow models. We therefore chose to address both VAP and VEG together: both effects are comprised in the phenomenological "VEG" adaptation, described below.

For the mechanical effect of VEG we reduced the fresh snow density (ρ_0) for snow that occurs within the grasses, i.e. up to a thickness of 7 cm. The underlying hypothesis are that i) while snow hasn't filled the snow-holding capacity of the basal vegetation, snow is not available for transport (Liston and Elder, 2006) and therefore snow accumulation in the grass-layer consists in precipitation particles of lower density than typical wind-blown rounded grains; and ii) that grasses form a rigid structure that protects snow from wind compaction and introduces macroscopic voids that reduce its density. Different ρ_0 values were tested and 150 kg m^{-3} was chosen as giving the best match to end-of-season in situ density observations. Dominé et al. (2016a) chose to increase the dry snow viscosity in the CROCUS snow model by a factor of between 10 and 100, in order to take into account the limited snow compaction within the stems of shrubby vegetation. In our case, however, an alternative approach was required since self-compaction is very limited in the thin Samoylov snowpack. Note that our approach however differs from the SnowModel (Liston and Elder, 2006) in the sense that we focus on snow structure and properties (density, K_{th}) as influenced by the wind conditions, while the SnowModel and its blowing snow sublimation and redistribution scheme SnowTran3D target the spatial distribution and time evolution snow-water-equivalent, and the way they are affected by vegetation.

The optical effect of VEG (i.e. the absorption of solar radiation by grasses and sandy impurities, which are common at Samoylov) was not taken into consideration but is addressed later in the Discussion section.

The metamorphic effect of VEG was addressed by enhancing bond and grain growth rates by a constant factor within the grasses-and-snow layer. This phenomenologically represents the favourable conditions for grain growth within airy vegetation layers. We feel justified in taking this approach because the current metamorphism and diffusion laws of the snow models are unable to reproduce the commonly observed grain sizes in excess of 10 mm in basal DH layers accommodating vegetation. A factor of 5 was selected as best reproducing the observed end-of-season DH grain sizes at Samoylov. Both bond and grain growth rates were enhanced by the same factor in order to keep their ratio constant, as this ratio governs a number of mechanical and thermal properties in SNOWPACK.

For WIND, we built on the work by Groot-Zwaaftink et al., (2013) who designed an adaptation of SNOWPACK to Antarctica Dome C conditions. These authors considered that effective snow deposition on the surface occurs only during wind-events, i.e. periods when the wind speed averaged over 100 hours (U_{100-h}) exceeds a 4 m s^{-1} threshold ($U_0 = 4$ m s^{-1}). The density of fresh snow ($\rho_{newsnow}$) is then a logarithmic function of U_{100-h}:

$$\rho_{newsnow} = \rho_0 + \Delta\rho . log\left(\frac{U_{100-h}}{U_0}\right) \tag{5}$$

The use of this approach is justified at Samoylov as wind conditions at the Samoylov station (mean annual wind speed 3.6 m s^{-1}) are comparable to those at Dome-C (mean annual wind speed 2.9 m s^{-1}), and more than 50 % of snow deposition at Samoylov occurs during wind events. Groot-Zwaaftink et al. (2013) used $\rho_0 = 250$ kg m^{-3} as the lowest fresh-snow density. However, no value as low as that was recorded during the 2013 program from the wind slab layers at Samoylov, where the density is always above 305 kg m^{-3}. Such densities are furthermore essentially achieved by wind compaction (settlement in thin arctic snowpacks is negligible). We therefore used $\rho_0 = 305$ kg m^{-3} in Eq. (5). The original value for $\Delta\rho$ ($\Delta\rho = 361$ kg m^{-3}) was retained.

For the ANISO adaptation we implemented in SNOWPACK an alternative formulation derived from L2013 (Löwe et al., (2013), their Eq. (5)), which by considering anisotropy, explained a larger part of the observed variability in our K_{eff-z} measurements than formulations relying solely on density. However, L2013 requires an anisotropy parameter Q, which can either be calculated from CT images of samples, or estimated from polarimetric radar data (Leinss et al., 2016), but is not yet included in current snow models. In order to implement L2013 in SNOWPACK we therefore had to derive an empirical relationship between Q and a modelled microstructural parameter. To this end, we used the data from Löwe et al. (2013) to obtain statistical regressions between Q and the optical equivalent diameter of snow grains. We calculated these regressions for different grain-type classes: rounded grains (RG), depth hoar (DH), faceted crystals (FC), decomposed-fragmented particles (DF), and melt forms (MF), most of which indicating reasonable linear dependences. These regressions were used in SNOWPACK in order to derive the parameter Q, using normalized grain size (within each grain type class) as a proxy for normalized optical diameter. We only took into account anisotropy for the RG, DH and FC grain types, as these are the dominant grain types in the Samoylov snowpack. Regressions coefficients and implementation details are in Appendix A.

The three adaptations (WIND, VEG, and ANISO) can also be combined. Simulations were initially carried out for the default SNOWPACK setup (DEFAULT) and for each of these adaptations individually, but both the WIND and VEG adaptations proved to be essential for the Samoylov snowpack conditions to be reasonably well reproduced. Results are therefore shown in this paper for the following *setups*, each combining one or more *adaptations*. All setups except the one including the ANISO adaptation rely on the original K_{eff-z} parameterization from SNOWPACK described in Sect. 2.5.

- **DEFAULT**
- **WIND**
- **WIND + VEG**
- **WIND + VEG + ANISO**

5 Simulations of snow properties and ground thermal regime (grass-center site)

We carried out simulations with SNOWPACK and CG3 to represent the snow and ground conditions in the grass-center of the reference polygon, where the SNOWPACK snow forcing data were acquired (see Sect. 2.2.1) and CG3 soil properties calibrated (see Sect. 2).

5.1 Snow simulations

The adaptations to SNOWPACK enable a reasonable simulation of the Samoylov snowpack (Fig. 6 and Fig. 7), but both VEG and WIND adaptations are critical. While all setups consistently produce a thick basal depth hoar layer at the end of the season, DEFAULT simulates a density profile that has too low a mean value (190 kg m^{-3}) when compared to the CT grass-center (290 kg m^{-3}) and to the average value for the four CT profiles (279 ± 34 kg m^{-3}). This simulated density profile is also inverted, featuring higher values at the bottom and illustrating the typical bias highlighted by Dominé et al. (2016b) and Barrere et al. (2017). Bulk K_{eff-z} obtained using DEFAULT is likewise too low compared to observations (0.11 *vs.* 0.23 W m^{-1} K^{-1} for the CT grass-center), and is also inverted. This low bias is likely to have caused the rapid growth of DH in this setup, as a low K_{eff-z} favours steep temperature gradients. The low density and K_{eff-z} biases can be corrected by using the WIND option, which in its current form tends to overestimate bulk density. However, the WIND option alone produces quite flat (i.e. vertically uniform) density and K_{eff-z} profiles. The VEG adaptation is then needed to produce a correct shape for these profiles, with higher values at the top and lower values at the base. Thus while the WIND option on its own reduces the DH growth due to dense and conductive bottom snow, the addition of the VEG option introduces lower densities and K_{eff-z} values for the basal layers and permits a more rapid and thicker growth of DH.

Combining the WIND and VEG options therefore yields reasonable simulations of bulk K_{eff-z} (0.20 W m^{-1} K^{-1}) and density (305 kg m^{-3}). When the ANISO option is introduced (WIND+VEG+ANISO), the simulated bulk K_{eff-z} (0.24 W m^{-1} K^{-1}) also agrees well with the CT grass-center estimate (0.23 W m^{-1} K^{-1}), while the inter-layer variability in K_{eff-z} is enhanced, thus better reflecting the observed inter-layer variability (Fig. 7). It is interesting to note that both the WIND+VEG and the

WIND+VEG+ANISO setups produce a DH layer that is up to 10 cm thick at the end of the snow-season, above the vegetation layer: this means that former wind-crusts have been transformed into DH, producing the indurated DH layers reported in observations.

Finally, all SNOWPACK setups produce a thick layer of faceted crystals in the upper part of the snowpack, but faceted crystals were rare in the late April 2013 Samoylov snowpack (Fig. 4). We interpret this as a likely bias in SNOWPACK that results in too rapid formation of faceted crystals. On the other side it is possible that a wind event on 10 April 2013 contributed to the high amount of RG found in the April 21 manual and CT profiles. Because it brought a very low accumulation at the SR50, this event was not captured in simulations with the WIND option.

5.2 Soil simulations

The ground thermal regime at the grass-center of the reference polygon was simulated by CG3 over the 2012-2013 snow season using snow properties calculated in SNOWPACK with the DEFAULT, WIND, WIND+VEG and WIND+VEG+ANISO setups, respectively. These simulations were compared with the soil temperature measurements from the same grass-center site. The reference polygon also hosts soil temperature measurements from a rim and a slope site: the spatial variability reflected in these three measurements was also considered and is referred to as "observed variability" in soil temperatures in both text and figures.

To analyse the modelling performances we split the winter into 4 phases:

- **Phase 1 – freezing**: 1 October (snow onset) to 7 November
- **Phase 2 – cooling**: 7 November to 20 February (dark winter followed by a period with low-angle solar radiation)
- **Phase 3 – warming:** 20 February to 5 May (melt-out date)
- **Phase 4 – thawing**: 5 May to 31 May

The WIND, WIND+VEG, and WIND+VEG+ANISO setups produced soil temperatures in good agreement with the grass-center measurements (Fig. 8, Table 1), especially during freezing and cooling phases: the deviation from the measured soil temperatures when using the WIND+VEG+ANISO setup was of the same order of magnitude as the observed variability, while the deviations when using the WIND and WIND+VEG setups were slightly greater. The DEFAULT setup yielded a clear overestimation of soil temperatures at all depths, which could not be explained by the observed spatial variability in soil temperatures. This bias started during the freezing phase and persisted throughout the snow season; it is likely to be caused by the underestimation of K_{eff-z} in the DEFAULT setup (see Sect. 5.1), which also starts in the early snow season during rapid DH formation. In light of the good agreement between our K_{eff-z} estimates by CT and the simulated K_{eff-z} profiles in the WIND+VEG+ANISO setup (Sect. 5.1), we interpreted these results as confirming the soundness of our CT estimates for K_{eff-z}.

The performance of the WIND, WIND+VEG and WIND+VEG+ANISO setups deteriorated during the warming phase, when all simulations showed at first a systematic warm bias, which then turned into a cold bias at the start of the thawing

phase. The warm bias during the warming phase suggested that limitations exist in the modelling of energy transfer processes within the snow, as here modelled by CG3. We formulated two hypotheses:

- Deficiencies in the parameterization of radiative heating within the snowpack may be involved as the bias concurs with the increase in shortwave radiation.
- The formation of an air layer at the base of the natural snowpack (as a result of mass depletion due to a sustained upward vapour flux throughout the winter) may increase its insulating power as the season advances. The formation of such an air layer within an Arctic context has previously been reported by Dominé et al. (2016b) but is not represented the adapted SNOWPACK and therefore in the thermal properties passed to CG3.

We tested the thermal impact of both hypotheses by conducting sensitivity simulations in which:

(i) The penetration of radiation into the snowpack was switched off in the CG3 model. This was done for the four SNOWPACK setups.

(ii) We inserted an air-layer (with $K_{eff\text{-}z} = 0.024$ W m^{-1} K^{-1}) at the base of the snowpack during the warming phase, growing in a linear fashion from 0 to 1.5 cm during the warming phase. This was done by modifying the snow properties from the WIND+VEG+ANISO setup, and resulted in a linear reduction in bulk $K_{eff\text{-}z}$ from 0.23 to 0.16 W m^{-1} K^{-1} over that period.

Suppressing the penetration of solar radiation in the snowpack considerably reduced the warm biases in soil temperatures during the warming phase for all WIND setups, while leaving their performances during the freezing and cooling phases unaffected (Fig. 9). While physical reasons for a likely bias in radiative transfer in CG3 will be advanced in section 7, the remaining simulations in this study were carried out with the solar radiation penetration switched off. The air-layer hypothesis did not, however, lead to any visually identifiable change in the simulations. This reveals a very low sensitivity of the soil thermal regime to variations in snow thermal conductivity during the warming phase.

6 Thermal implications of snow spatial variability

We made use of data from the reference polygon transect to more thoroughly characterize the spatial variability in snow depth, structure and insulating power across the polygonal tundra at Samoylov. We extracted DH thickness and snow depth at 31 points with 50 cm spacing along the transect, by post-processing of the NIR images (Fig. 1e, Sect. 2.2.1). The 2-layer approach by Zhang et al. (1996) (see Sect. 3) was then used to infer bulk $K_{eff\text{-}z}$, R_{th}, and density at these 31 points at the time of the field observation (21 April 2013), relying on Eq. (1) and Eq. (2). Time series of these bulk snow properties were then computed, based on the 2-layer approach and time-evolution of the DH properties as simulated by the WIND+VEG+ANISO setup providing the best match to observed snow characteristics. Wind slab properties were considered constant in time and equal to their end-of-season values (mean of CT-estimates for wind slabs from April 2013 samples; Table 2). The hypotheses behind the construction of these time-series and other relevant details are given in Appendix B.

This approach lead to a small spread in K_{bulk} during the whole snow season (from 0.22 W m^{-1} K^{-1} to 0.29 W m^{-1} K^{-1}) and a much higher dispersion in R_{th} (from 0.45 m^2 K W^{-1} to 1.2 m^2 K W^{-1}), which reaches a maximum towards the end of the season where it covers a range similar to that inferred from CT analysis at the 3 CT sites with basal vegetation (from 0.48 m^2 K W^{-1} to 1.59 m^2 K W^{-1}; Fig. B2)

5   When driving CG3 simulations of the ground thermal regime, these 31 different snow insulation time-series resulted in a pronounced spread of the simulated soil temperatures, which we refer to as "modelled variability" (Fig. 10). Comparison to soil temperature observations from rim, slope and center, revealed that the modelled variability encompasses the observed variability in soil temperature (Fig. 10), which is a desirable feature. However, the modelled variability is much higher than the observed one, especially during the cooling phase when it reaches 6.3 °C at 5 cm depth while the observed one does not

10   exceed 2 °C. For different reasons, it is likely that the rim, slope and grass-center soil temperature observations captured only part of the thermal impact of snow spatial variability at Samoylov: first, because of the small sample size (only 3 observations) ; second, due to possible lack of representativity of the snow conditions on top of the soil sensors (they were not co-located with the CT samples, and snow was not characterized on top of them to avoid destruction of the snowpack) ; and third, because these soil temperature observations are also affected by spatial variability in the soil's thermal properties,

15   which may interfere with any thermal effect solely due to snow variability. Additionally, lateral heat fluxes tend to smooth out any spatial variability in soil temperature, and they are not represented in our modelling. Finally, we also noticed that the measured rim and slope temperatures, which determine the maximum amplitude of the spread in the observations, responded differently at the beginning of the cooling phase, with the temperature dropping rapidly for the slope profile in early November but only gradually for the rim profile. This behaviour reversed from early December until the end of the cooling

20   phase, with the spread in observed temperatures between a colder rim and a warmer slope reaching its maximum. The contrasting behaviour of rim and slope in November can be explained by several processes (e.g. contrasted early-season wind erosion/deposition, differences in the late autumn soil water content affecting the zero-curtain duration and soil cooling dynamics, etc) which are not captured by our modelling and may have limited the magnitude of the spread in observed temperatures.

25   During the warming period the variabilities in both modelled and observed soil temperatures are considerably reduced. Warming from the air is more efficient at sites with little snow insulation, which exhibit the coldest soil temperatures during the cooling phase, than at sites with a higher snow insulation. This explains the reduction in the spread of soil temperatures after the month of April. However, the reduction in the spread of simulated and observed soil temperatures starts earlier, in late February. This again indicates a reduced sensitivity of the ground thermal regime to variations in the thermal properties

30   of the overlying snow during the whole warming phase (cf. the sensitivity experiment with the insertion of a basal air layer in Sect. 5.2). This reduced sensitivity will be analysed in section 7 below.

Finally, our more thorough assessment of the spatial variability in soil temperatures here, provides increased confidence to disqualify the simulations from the DEFAULT SNOWPACK setup: this setup was rejected in section 5 as yielding soil temperatures that were too far above the observed range. Despite a spread in simulated soil temperatures larger than in the

observations, our conclusion regarding the DEFAULT setup remains unchanged as it yields soil temperatures also beyond the range of the simulated ones.

7 Discussion

7.1 Comparison with snow data from similar contexts

5   The Samoylov snowpack shows similarities in its stratigraphy with Arctic snowpacks described previously by Dominé et al. (2015, 2016b) and Derksen et al. (2009). The tundra snowpacks investigated by these authors along a sub-arctic traverse comprised on average 65 % DH and had a mean density of 319 kg m^{-3}. Both of these values are close to those from Samoylov (54 % and 279 kg m^{-3}, resp.). The minor differences are probably due to differences in the wind conditions and the specific micro-topography of Samoylov, where some samples were collected from wind-sheltered slope/center sites or over

10   frozen ponds. Derksen et al. (2009) also investigated the differences between snowpacks overlying lake ice, river ice, and tundra sites, identifying larger proportions of DH over ice, which is contrary to our own results. However, their study considered lake or river ice overlying liquid water that is warmer than the surrounding soil. This thermal contrast enhances the development of faceted grains. In contrast, the end-of-summer water level at the sampled ice-center site on Samoylov was shallow, and shortly after freezing the ice extended to the ground, so that there could not be any enhanced thermal

15   contrast created by an underlying, relatively warm, body of liquid water.

There are few published observations or reports on the thermal properties of Arctic tundra snow. To our knowledge, the Samoylov samples are among the first samples of tundra snow to be analysed by CT. Publications by Dominé et al. (2015, 2016a, 2016b) and Barrere et al. (2017), which relied on NP measurements and a refined retrieval algorithm for K_{eff}, probably provide the most extensive thermal characterization of Arctic and sub-arctic snowpacks in recent years. These

20   authors reported values of K_{eff} lower than our $K_{eff\text{-}z}$ estimates, both for DH layers and for the bulk snowpack. Barrere et al. (2017) measured K_{eff} values no higher than 0.12 W m^{-1} K^{-1} for basal DH in the May 2014 and 2015 snowpacks at Bylot Island (Baffin Island, Canada); they however reported much higher conductivities (0.37 W m^{-1} K^{-1}) for indurated DH. After correcting for a 20 % systematic error associated with the NP method, these authors calculated bulk K_{eff} values of less than 0.1 W m^{-1} K^{-1} for the 2014 and 2015 Bylot snowpacks, resulting in highly insulating snow (bulk R_{th} values of 2.6 and

25   5.8 m^{2} K W^{-1}). We estimated a bulk R_{th} of 0.87 m^{2} K W^{-1} for our CT grass-center profile and a high upper bound of 1.59 m^{2} K W^{-1} for the CT slope profile. The R_{th} values obtained by Barrere et al. (2017) indicate insulation that is closer to the end-of-season insulation simulated by the DEFAULT setup in SNOWPACK (R_{th} = 1.75 m^{2} K W^{-1} in April 2013). This setup led to an overestimation of February soil temperatures at Samoylov by about 6 °C. Such a bias can hardly be explained by the spatial variability in snow conditions (see Sect. 6). Despite the disagreement with published estimates for K_{eff} under

30   similar conditions, the consistency of the CT estimates for $K_{eff\text{-}z}$ with recent parameterizations and with measured soil temperatures after combined snow-soil modelling provides some confidence in them. The Samoylov snowpack appears more conductive than the 2013-2014 and 2014-2015 snowpacks observed at Bylot Island. Furthermore, our results compare very

well with the conductivities obtained using inverse modelling by Jafarov et al. (2014) at Deadhorse (Alaska), a site with snow and meteorological conditions similar to Samoylov.

We estimate that the ground temperature spread induced solely by snow spatial variability can reach 6.3 °C in the coldest part of the winter at Samoylov (Sect. 6). This estimate is consistent with those in previous publications: Sturm and Holmgren (1994) observed maximum differences in ground surface temperatures of up to 19.1 °C and mean winter temperature differences of up to 7.2 °C, between the tops and hollows of grass tussocks at Imnavait Creek, Alaska. Their investigations focused on smaller scale micro-relief (tenths of a cm) than ours, resulting from grass tussocks in the tussock tundra. Our study complements the sensitivity study by Zhang et al. (1996), who found a 12.6 °C spread in winter ground surface temperatures following an increase in the proportion of DH from 0 % to 60 % at West Dock near Prudhoe Bay, Alaska. This study included neither an observation-based range of the proportions of DH in the snowpack, nor the effect of co-varying DH thickness and snow depth. Furthermore, the DH and wind crust properties were kept constant over time. More recently, Gisnas et al. (2016) found a variability in ground temperatures of up to 6 °C in the Norwegian mountains, as a result of spatial variations in snow depth.

7.2 Light penetration in the Samoylov snowpack

The penetration of solar radiation in the natural snowpack at Samoylov is likely to be reduced by wind-blown sediments within some of the snow layers (Boike et al., 2003) and by the dense wind crusts at the top of the snowpack (Libois, 2014). While absorption of solar light in these layers may result in a localized increase in temperature within the snowpack, it is unlikely to have much warming effect on the underlying snow and soil because of the insulating nature of the snow. Brun et al. (2011) had to reduce the penetration depth of solar radiation in the CROCUS snow model in the same way that we did, in order to reproduce the snow temperatures at depths greater than 20 cm within the Antarctic snowpack at Dome C (Brun, E. personal communication). Libois, 2014 modelled a temperature reduction of ~ 7 °C at 20 cm depth in the Dome-C snowpack in summer as a result of spatial variations in density between 150 and 300 kg m^{-3} and consequent reduction in the penetration depth of solar radiation. Although radiative transfer models exist with fine spectral resolution that are able to circumvent this bias (Libois et al., 2013; Libois, 2014), these complex schemes are not implemented by default in operational snow models, which tends to hinder a proper representation of the underlying snow and soil thermal regime.

7.3 Temporal variations of the soil thermal sensitivity to snow properties

A key result of our ensemble simulations and observations is the increase in spatial variability in soil temperatures during the winter cooling phase and its reduction during the warming phase (Fig. 10). We ascribe this behaviour to two physical mechanisms. First, winter cooling is characterized by very steep temperature gradients between atmosphere and soil (about 150 K m^{-1}; see Fig. C1 in the Appendix), which are later reduced and eventually vanish during the course of the warming phase. From Fourier's law for vertical heat flux (*q*):

$$q = -K_{eff-z} \cdot \frac{\partial T}{\partial z} \qquad (6)$$

it is apparent that the sensitivity of the heat flux to K_{eff-z} is the temperature gradient. The greatest impact of spatial variations in K_{eff-z} on ground temperatures is therefore expected to occur when temperature gradients are at a maximum (i.e. during the cooling phase), while a far smaller impact is expected when temperatures gradients are low (i.e. during the warming phase).

5   Second, the reduction in the temperature gradient during the warming phase allows the soil temperatures to equilibrate laterally. At locations with more conductive snowpacks (e.g. polygon rims) the soil responds more rapidly to warming air, which further reduces the difference between these soil temperatures and those in more insulated locations (e.g. polygon slopes): this also contributes to the reduction in spatial variability of soil temperatures during the warming phase.

7.4 Limitations of our approach and perspectives

10   In Arctic snowpacks the water vapour flux induced by the steep temperature gradients redistributes ice mass from basal to upper snow layers, so that the density of the basal layers may actually decrease unless there is compensation through moisture flux from the soil. On the basis of Eq. (7) in Riche and Schneebeli (2013) and snow temperatures simulated with the WIND+VEG and WIND+VEG+ANISO options, we estimate that about 2 kg m^{-2} of ice is redistributed at Samoylov by this process between October and March. Unless sustained by soil water this flux could lead to a 1.3 cm thick ice-depleted

15   layer at the base of the snowpack (assuming a basal density of 150 kg m^{-3}). The magnitudes of soil and snow vapour fluxes are not currently well constrained by observations, and they are not represented in detailed snow models such as SNOWPACK or CROCUS. To bypass these shortcomings and still produce reasonable SNOWPACK simulations, we adopted a phenomenological parameterization for the combined effects of snow vapour flux and vegetation on basal snow porosity. On the one hand, our adaptations to SNOWPACK are inherently local, tied to the specific Samoylov conditions,

20   and should be verified at other tundra sites comprising co-located snow and soil observations together with a complete set of meteorological driving data. On the other hand, neither this approach nor the current observational datasets allow the retrieval of any dynamics in basal snow ice-depletion. A considerable uncertainty therefore remains regarding the thermal properties of snow in the early winter (cooling) period, when the sensitivity of ground thermal regimes to snow conditions is at its maximum. This uncertainty, together with uncertainty in the meteorological forcing that cannot be completely

25   excluded, also affects our estimates of the thermal impact of snow spatial variability. Continuous monitoring of ice depletion at the base of the snowpack, and snow monitoring programs focusing on the early and dark winter periods at well instrumented sites (see above), would help to provide better constraints for the thermal characteristics of the snowpack and the underlying metamorphic processes at this time, yielding substantial benefits for the next generation of coupled snow-soil models.

30   It also appears indispensable to include a more systematic and comprehensive treatment of anisotropy in snow models than the coarse diagnostic based on grain size and type that we have used, with a consistent link between water vapour flux, temperature gradient metamorphism, and anisotropy and with feedbacks on the mechanical (Srivastava et al., 2016), thermal,

and optical properties of the snow. A promising way to further assess the relevance of anisotropy to the conductivity and the ground thermal regime may be to incorporate remote sensing observations. It has been recently demonstrated (Leinss et al., 2016) that the depth-averaged anisotropy parameter (Q) of a snowpack can be estimated from polarimetric radar data such as, for example, that available from the TerraSAR-X satellite. Such an analysis could be used to produce global maps of the average anisotropy of snowpacks, as an indication of their metamorphic state.

Our combined SNOWPACK and CG3 simulations show a cold bias during and after melt-out. Hydrological processes within the snowpack related to thaw and rain are known to have an important influence on soil thermal dynamics, as has been emphasized in a large number of publications (e.g. Marsh and Woo, 1984a, b; Putkonen, and Roe, 2003; Westermann, 2009). In naturally stratified snowpacks, water percolation and the associated heat transfer during early melt periods occur in part through "flow fingers", which are preferential infiltration paths through the snow cover that penetrate into the colder substrata (snow layers or soil), where they refreeze, releasing latent heat (Marsh and Woo, 1984a, b). This process is known to delay the bulk melting of the snowpack, while at the same time accelerating soil warming. Progress has recently been made in the representation of preferential flow features by applying the Richards equation to water flow within a snow matrix (Wever et al., 2015; D'Amboise et al., 2017), but their impact on soil temperatures has not yet been assessed. Snow schemes used in permafrost models such as CG3 do not currently represent these processes, inducing significant biases in the melt period.

Finally, we assessed the impact of snow spatial variability linked to micro-topography, on the ground thermal regime. Our approach disregards the spatial variability in soil properties and soil saturation, which is also related to micro-topography, as well as the lateral heat fluxes between different landscape units. Distributed, 3-dimensional simulations that include the effect of snow redistribution by wind and spatial variations in soil conditions could, in theory, support a more consistent assessment of spatial variability in soil temperatures. However, they require a considerable amount of in situ data that is currently unavailable even at the most instrumented sites (Kumar et al., 2016). Models that have lower degrees of complexity but inherently account for spatial variability in snow and soil conditions within a statistical framework (e.g. Gisnas et al., 2016) provide a promising alternative and will benefit from the enhanced understanding that we have achieved of the links between micro-topography and snow insulation.

8 Conclusion

Mixing in-situ observations, cold laboratory analysis, and modelling, our work contributed to an improved characterization and understanding of the properties and spatial variability of an Arctic polygonal tundra snowpack and its role in shaping the underlying permafrost thermal regime during winter. Snow depth, which showed a strong correlation with micro-topographical features, was found to be a crucial driver of the insulating power of snow over vegetated surfaces. The proportion of DH in the snowpack, which showed a weaker correlation with micro-topography, introduced a second-order

control. Water-logged polygon centers in which basal ice forms during winter, were an exception to this rule of thumb due to weak DH formation resulting in conductive snowpacks despite intermediate snow depths.

The CT technique allowed estimates to be made of the thermal conductivity and anisotropy of Arctic snow samples that were mainly of depth hoar and wind slabs with rounded grains. The retrieved properties confirmed the validity of a recent

5   anisotropy and density-based parameterization of $K_{eff\text{-}z}$, that had not previously been tested on Arctic snow samples. A comparison with other regressions for $K_{eff\text{-}z}$ highlighted the importance of taking anisotropy into account in $K_{eff\text{-}z}$ formulations, especially for depth hoar.

Phenomenological adaptations of the SNOWPACK snow model to the Samoylov conditions, related to wind densification and the combined effect of basal vegetation and strong water vapour flux in the lower snowpack, enabled the simulation of

10 snow density and $K_{eff\text{-}z}$ profiles in good agreement with our CT estimates. Introducing anisotropy considerations in the formulation of $K_{eff\text{-}z}$ used in the model resulted in further improvements. These adaptations jointly allowed improved simulations of the soil temperatures, providing further support for the soundness of our CT estimates for $K_{eff\text{-}z}$.

We also estimated the impact of the natural snowpack spatial variability on the underlying permafrost thermal regime during an entire winter, based on our $K_{eff\text{-}z}$ and density observations and on our understanding of the snowpack dynamics. Beyond

15 this quantitative estimate, which is intrinsically tied to the local climatology and micro-topography of our site, an important conclusion is that the sensitivity of the ground thermal regime to the overlying snow reaches a maximum during the cooling winter period, when temperature gradients between atmosphere and soil are at their steepest. It is therefore crucial to better constrain the thermal properties of snow and the relevant processes during the first half of the winter, a period that is often less well monitored due to the dark and harsh winter conditions.

20 Finally, our study pinpointed processes that exert an important control on the ground thermal regime of tundra regions while being neglected in the snow schemes of general circulation models or earth system models (e.g. Wang et al., 2013): the effect of wind compaction and DH growth on the insulating power of tundra snow, as well as the enhanced extinction of solar radiations in by dense wind-crusts within the snowpack. This suggests possible ways to improve snow representation over the Arctic regions in these models, of benefit for permafrost-related processes.

9 Figures and Tables

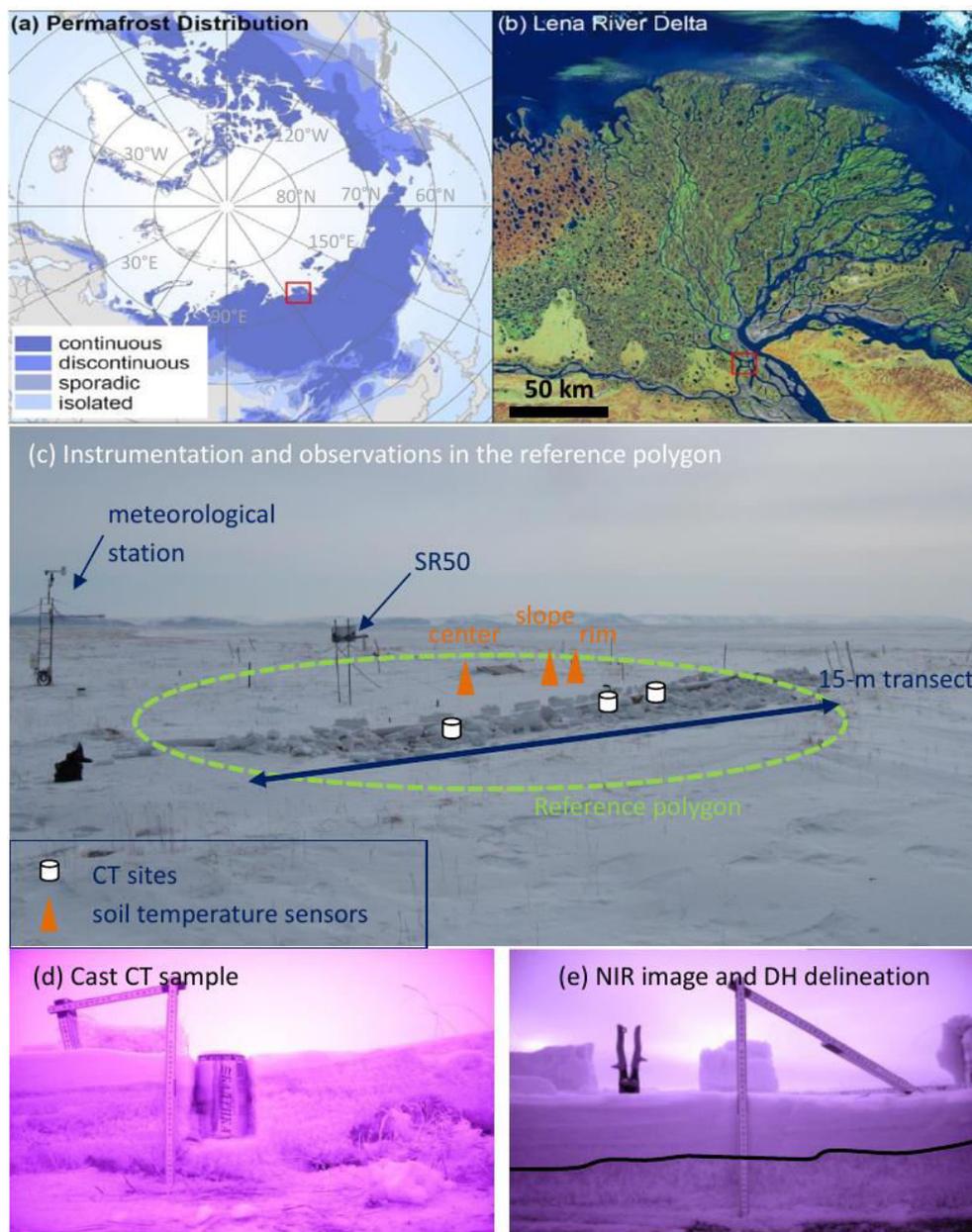



Figure 1: **Location of the Samoylov permafrost observatory within the continuous permafrost zone, Lena River Delta (a, b); instrumentation and observations in the reference polygon (c); cast CT sample (d); and NIR image of a transect's wall with the upper boundary of the DH layer delineated (e). See main text for abbreviations.**

Figure 2: Meteorological, snow and soil conditions at Samoylov over the 2012-2013 snow season.

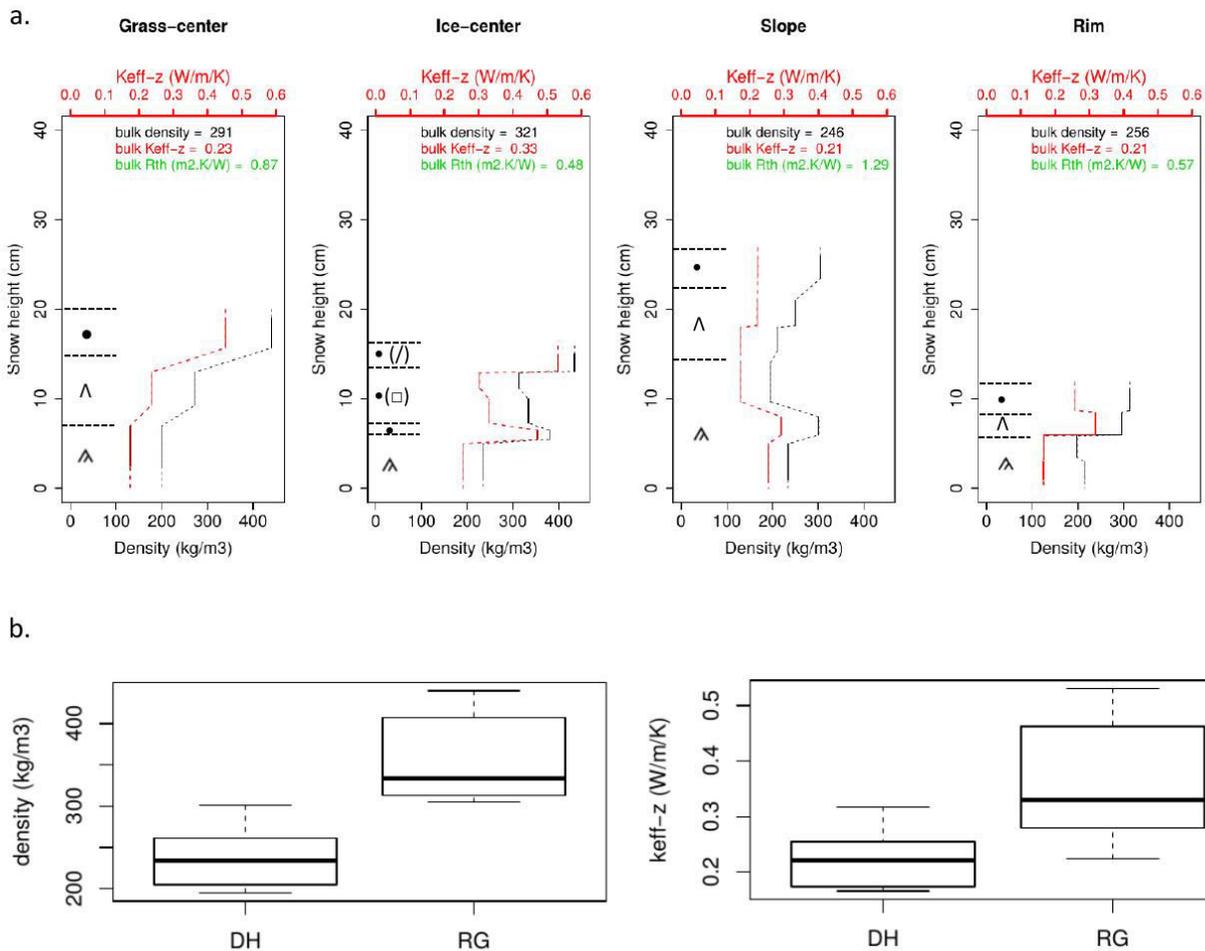



Figure 3: (a) Grain shape, density and $K_{eff\text{-}z}$ profiles from the four CT sites. Density and $K_{eff\text{-}z}$ values are represented by piecewise constant functions over the layers where the CT analysis was performed; these segments are connected by a dashed line as a guide to the eye. Symbols for the grain shapes originate from Fierz et al. (2009). When several grain shapes coexist within a layer, the dominant type is listed first. **(b)** Boxplots of density and $K_{eff\text{-}z}$ for individual DH layers (11) and rounded grain (RG) layers (8) found within the CT profiles. RG shapes were occasionally associated with faceted crystals and decomposing and fragmented precipitation particles.

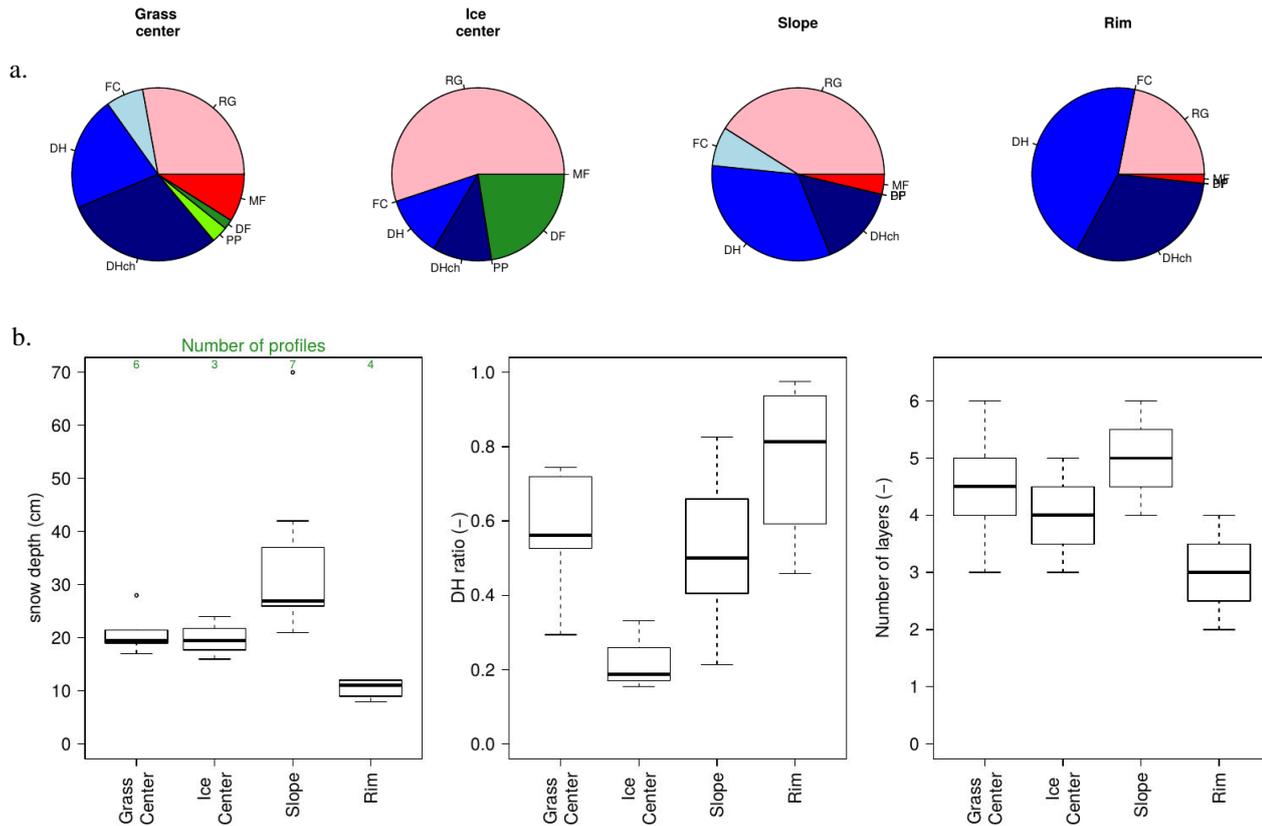



Figure 4: Mean composition (a) and median characteristics (b) of the Samoylov snowpack in the four micro-topographic classes. These statistics include the observations from the 16 snowpits and the four CT sites. DH ratio is the DH thickness-to-total snow depth ratio, also called α in the manuscript. The abbreviations for the main grain types come from Fierz et al. (2009): PP=precipitation particles, DF=decomposing and fragmented precipitation particles, RG=rounded grains, FC=faceted crystals, DH=depth hoar, DHch=chains of DH, MF=melt forms.

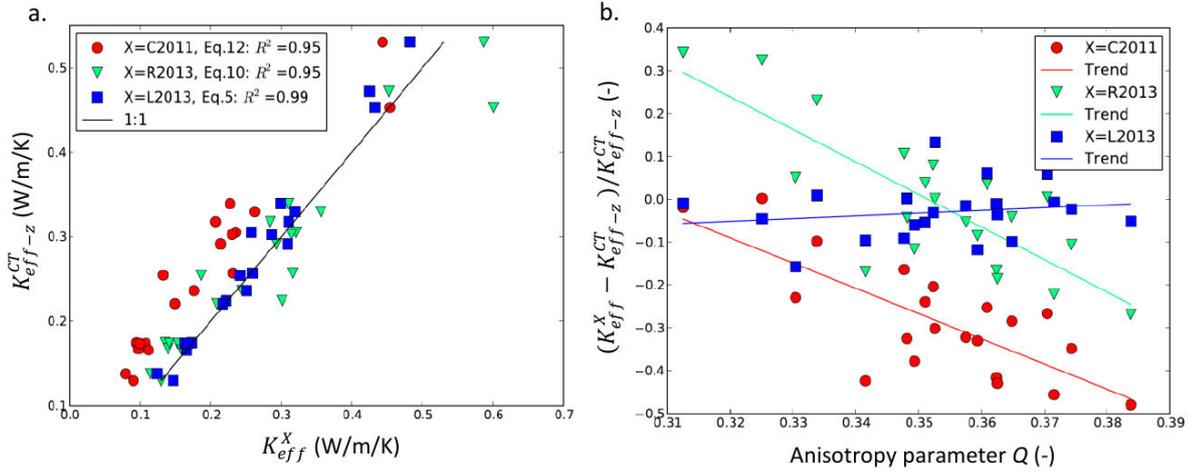



Figure 5 : a. Comparison between estimates of K_{eff} or K_{eff-z} made with the CT method (K_{eff-z}^{CT}), and estimates made using parameterization "X" (K_{eff}^{X}, where X=C2011, R2013 or L2013: see manuscript for description of these parameterizations). b. Relative bias in K_{eff}^{X} with respect to K_{eff-z}^{CT} as a function of the anisotropy parameter Q. Each point represents a snow sample analysed by CT in this study.

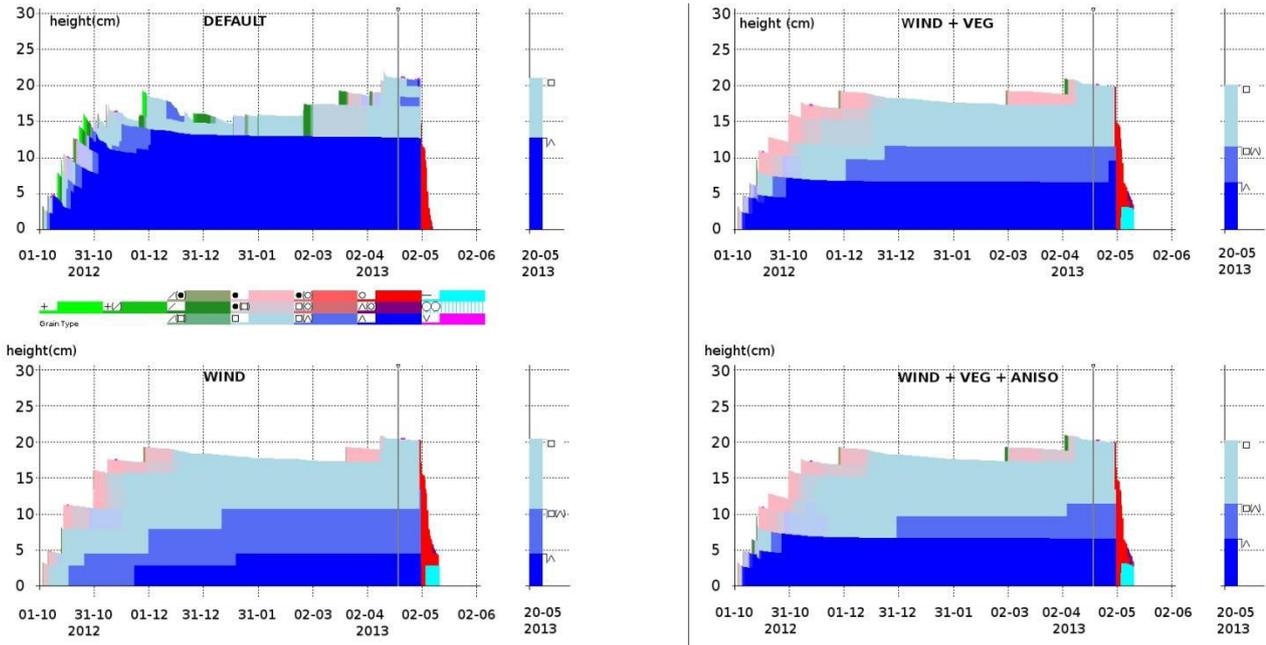



Figure 6: SNOWPACK grain shapes in the 4 simulation setups. The colour code was complemented with grain shape symbols after Fierz et al. (2009) for the 20-05-2013 profile (as representative of the time of the snow campaign).

Observed and simulated profiles on 2013-04-20

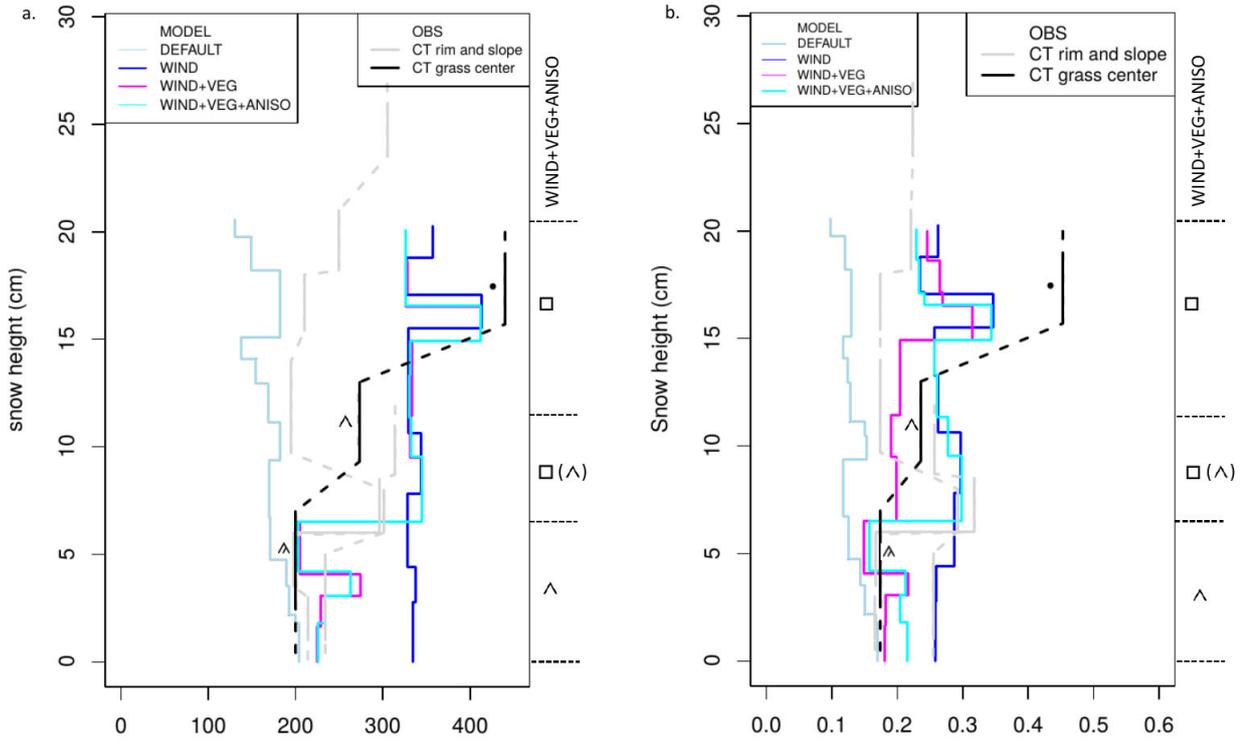



Figure 7: Observed and simulated density and K_{eff-z} profiles on 20-04-2013. Observations (OBS) are the estimates made using the CT method at the three CT sites with basal vegetation; grain shape is indicated on the plot for the CT grass-center site. Simulations (MODEL) were made with the four SNOWPACK setups; grain shape is indicated at the side for the WIND+VEG+ANISO setup.

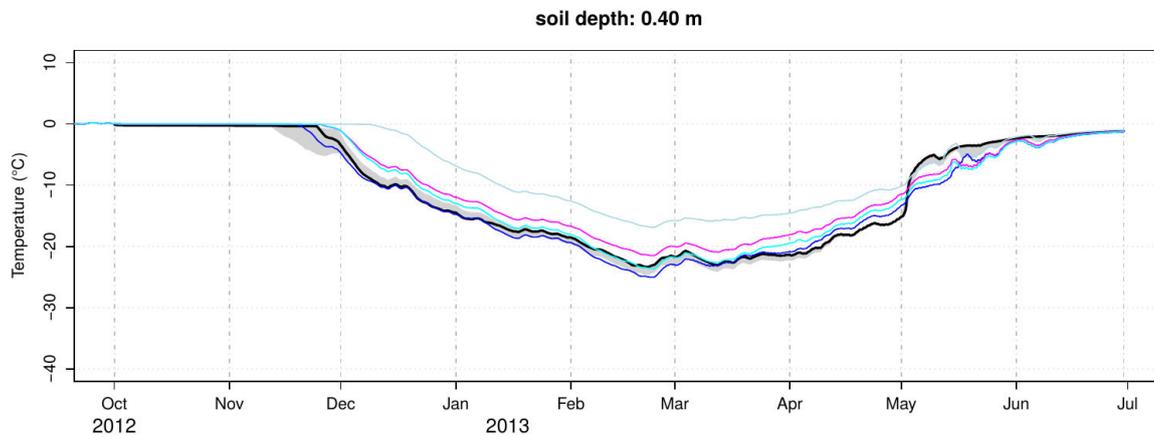
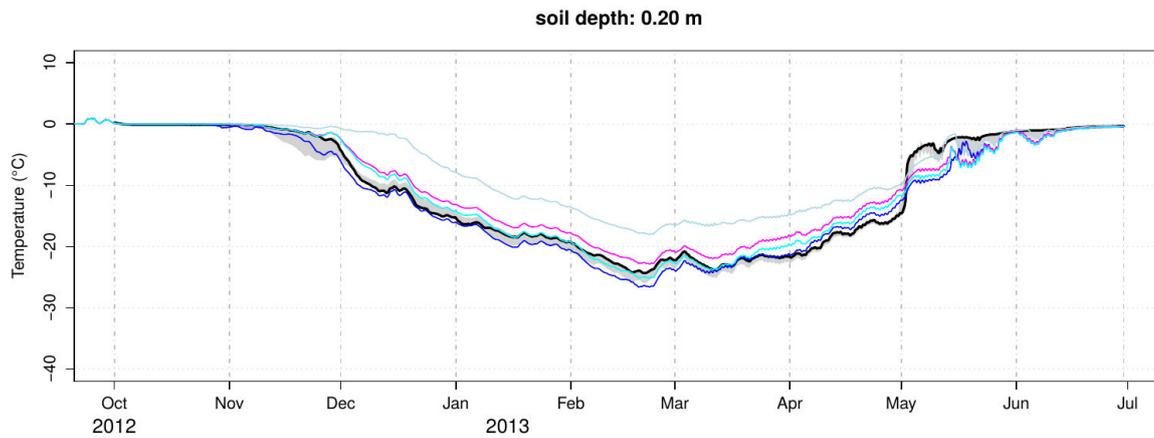
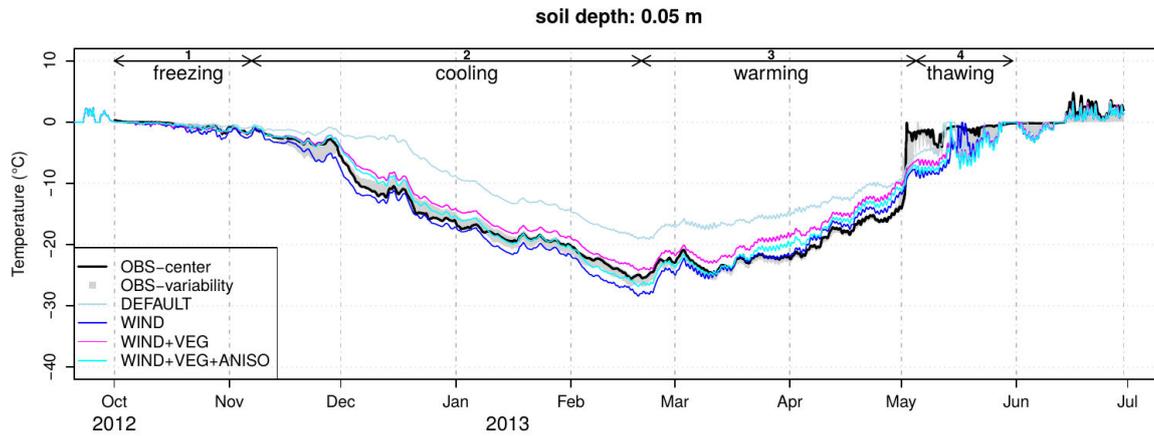



Figure 8: Simulated vs. observed soil temperatures at depths of 5 cm, 20 cm, and 50 cm in the reference polygon's grass-center. OBS-variability (grey shading) is the envelope of observed soil temperatures from the monitored rim, center, and slope soil sites. The winter phases from Sect. 5.2 have been reported.

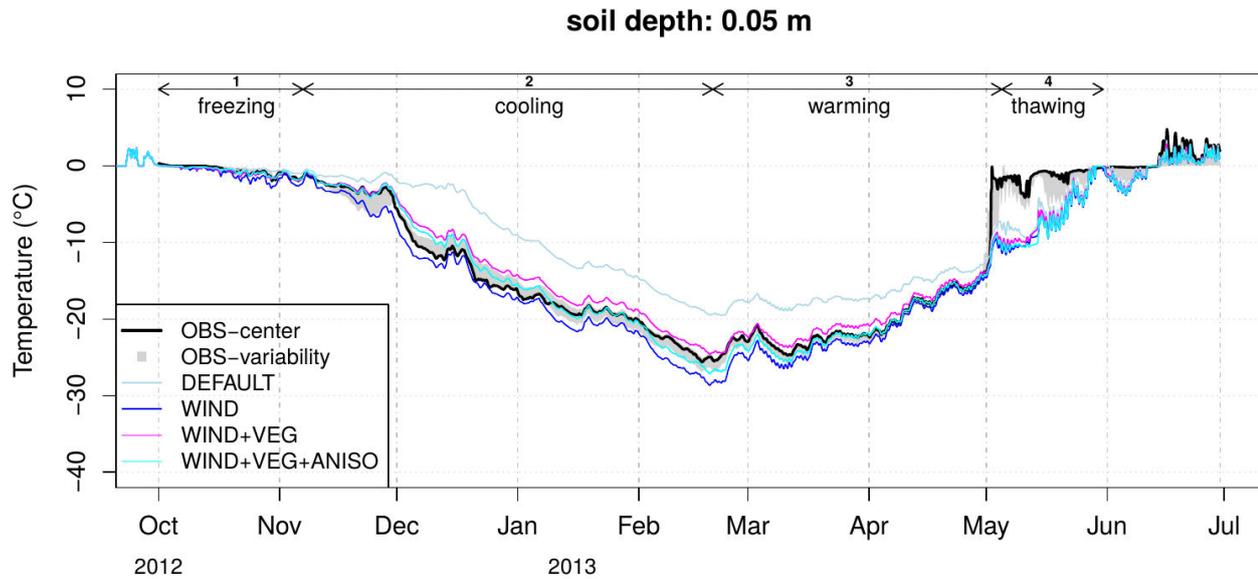



Figure 9: As for Fig. 8 but with radiative transfer in snow switched off and the air-layer scenario added to the WIND+VEG+ANISO option.

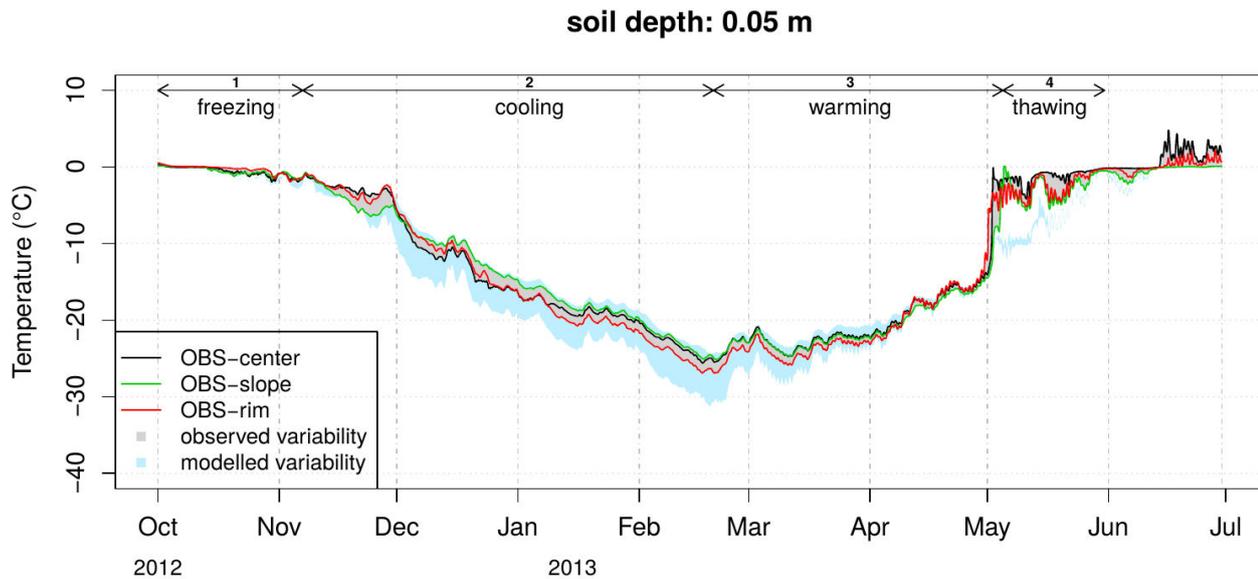



Figure 10: Simulated and observed soil temperature variability (in °C) at 5 cm depth. Observed soil temperatures at rim, center and slope locations in the reference polygon are overlain.

Table 1: Nash-Sutcliff model efficiency criteria (Nash and Sutcliff, 1970) between the soil temperature simulations and measurements at different depths in the grass-center of the reference polygon.

Depth setup	5 cm	20 cm	40 cm
DEFAULT	0.72	0.70	0.66
WIND	0.96	0.97	0.98
WIND+VEG	0.95	0.95	0.94
WIND+VEG+ANISO	0.96	0.97	0.97

5 **Table 2: End-of-season properties for DH and wind-slabs.**

	DH	Wind-slabs
Density (kg m^{-3})	225	360
$K_{eff\text{-}z}$ (W m^{-1} K^{-1})	0.20	0.36

Code availability

The adaptations to SNOWPACK used in this study are not included in the SNOWPACK distribution but the description provided in the manuscript allows the simulations to be reproduced in their entirety.

Data availability

5   Meteorological and snow depth data are available at https://doi.org/10.1594/PANGAEA.879341.

Appendices

Appendix A: Regression of anisotropy parameter Q to grain size

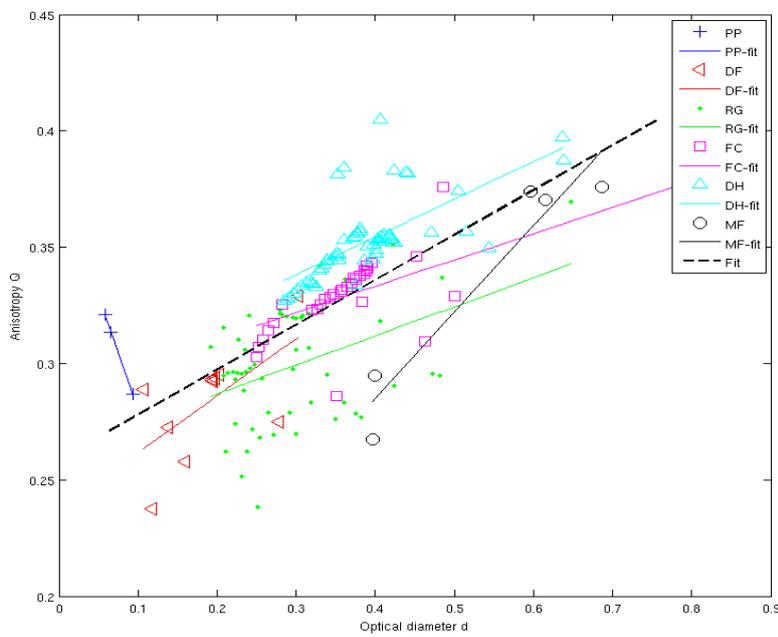
A.1 Regression of Q to optical diameter in data from Löwe et al. (2013)

10   **Figure A1: Regression of anisotropy parameter Q to optical diameter d within snow type classes in data from Löwe et al. (2013).**

Table A1: Regression coefficients for Fig. A1. All data within a snow type class were fitted to $Q^{REG}=a*d + b$, where d is given in mm. When several grain types coexist, the dominant type is listed first.

Snow type	a [1/mm]	b [-]	R^2
PP	-0.9631	0.3775	0.9954
DF	0.2450	0.2372	0.3981
RG	0.1250	0.2619	0.1872
FC	0.1132	0.2880	0.4356
DH	0.1620	0.2895	0.4645
MF	0.3733	0.1354	0.9155
All	0.1930	0.2587	0.4330

A.2 Regression of Q to SNOWPACK grain radius, used in the ANISO adaptation

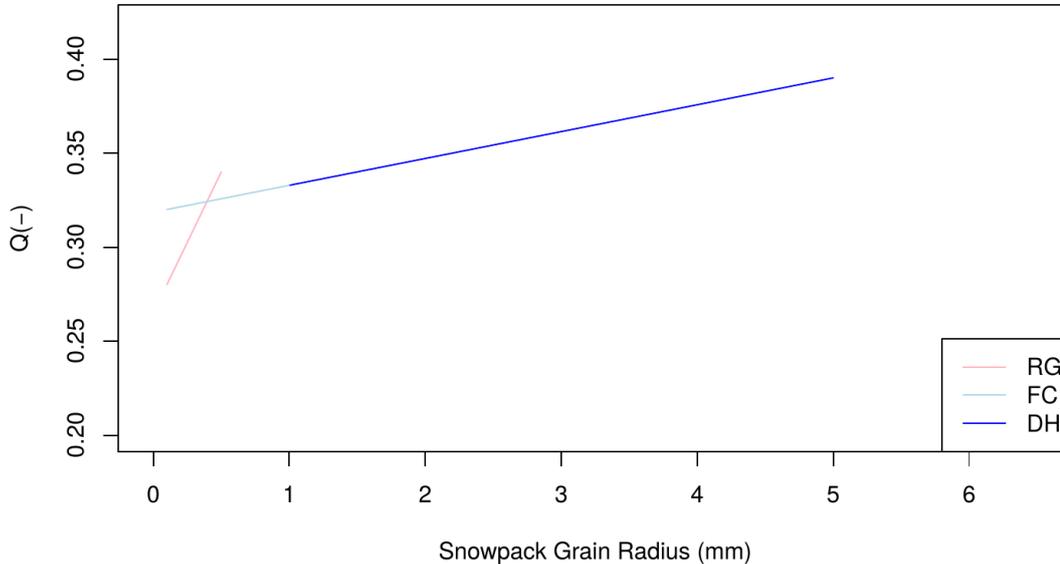



Figure A2: Evolution of Q as parameterized in SNOWPACK ANISO adaptation.

5   In the ANISO adaptation, Q is parameterized as a function (Q^{ANISO}) of SNOWPACK grain radius (rg) for each of the FC, DH and RG snow type class:

$$Q^{ANISO}(rg) = \frac{Q^{REG}(d_{max}) - Q^{REG}(d_{min})}{rg_{max} - rg_{min}} \cdot (rg - rg_{min}) + Q^{REG}(d_{min}) \tag{A1}$$

where rg_{max} and. rg_{min} are the maximum and minimum values of rg possibly achieved in SNOWPACK for the given snow type class (see Table A2), and d_{max} and d_{min} the maximum and minimum values of d obtained in the data from Löwe et al. (2013) in the given snow type class.

Because SNOWPACK features a continuum between FC and DH grain radii, both grain type classes were merged in the
5   ANISO adaptation by using and $Q^{REG}(d_{max})$ from DH and $Q^{REG}(d_{min})$ from FC in Eq. (A1) (see Fig. A2).

Table A2: Parameters of the ANISO adaptation; Eq. (A1)

Snow type	rg_{min} (mm)	rg_{max} (mm)	$Q^{REG}(d_{min})$	$Q^{REG}(d_{max})$
RG	0.1	0.5	0.28	0.34
FC	0.1	1	0.32	
DH	1	5		0.39
FC and DH	0.1	5	0.32	0.39

Appendix B: Construction of snow depth, DH height, K_{eff-z} and R_{th} time-series at the transect data points

A visual estimate of the DH thickness and total snow depth was made at each of the 31 transect points (*pt*), based on the NIR image from date *t2*=2013-04-20 (estimated accuracy +/- 0.5 cm).

5 The following assumptions were made in the construction of DH thickness and snow depth (*HS(t)*) time-series over the entire snow season consistent with observations made at date *t2*:

- The snow depth was assumed to build up in a spatially homogeneous manner until date *t1*=2012-10-31 (confirmed by time-lapse photographs of the reference polygon). All 31 data points were therefore attributed the same snow depth until that date (i.e. the corrected snow depth (*HS$_{50}$(t)* measured by the SR50 sensor).

10 Erosion-deposition processes subsequently lead to different accumulations (*HS$_{pt}$*) at each point along the transect. Do to the shortage of data, we linearly scaled *HS$_{50}$(t)* that matched the end-of-season snow depth (*HS$_{pt}$(t2)*) for each point:

$$HS_{pt}(t > t1) = HS_{SR50}(t1) + \frac{HS_{pt}(t2) - HS_{SR50}(t1)}{HS(t2) - HS_{SR50}(t1)} \cdot (HS(t) - HS_{SR50}(t1)) \qquad (A2)$$

- We also considered a homogeneous DH build-up until *t1*: we used the DH build-up from the

15 WIND+VEG+ANISO simulation for all transect points until *t1*. For *t>t1*, we considered the DH thickness at each transect point to increase linearly to its end-of-season value. An exception was made when the observed end-of-season DH thickness was less than the modelled DH thickness at *t1*: in this case we considered the DH thickness to remain constant after its end-of-season value had been reached in the SNOWPACK simulation.

The constructed snow depth and DH thickness time series are illustrated in Fig. B1.

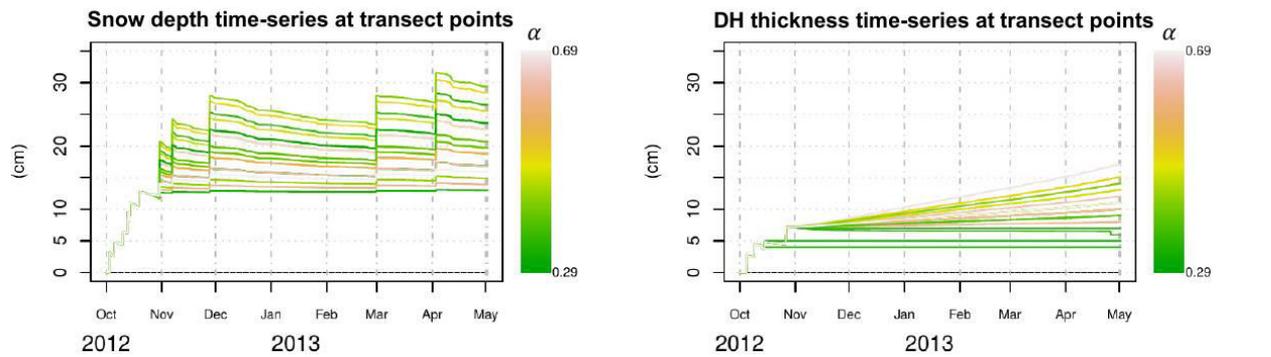



Figure B1: Constructed snow depth and DH thickness time-series for each transect point. As in the manuscript, α is the DH-thickness to total snow depth ratio at time t2.

Applying the 2-layer approach to the snow depths and DH thickness time-series using the snow properties described in the

5   text (Sect. 6) leads to the $K_{eff\text{-}z}$ and R_{th} ensembles illustrated in Fig. B2.

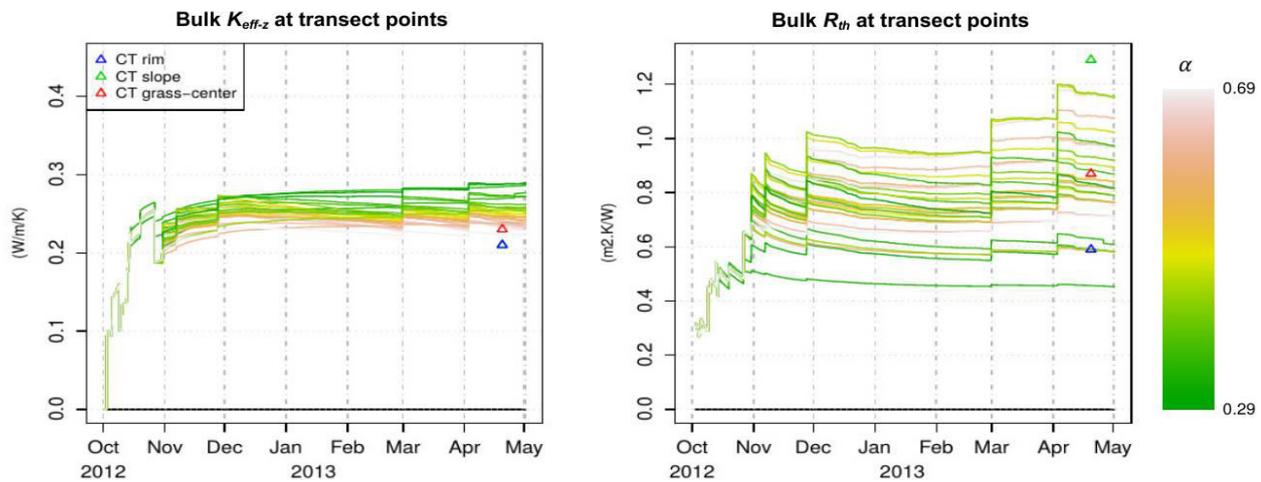



Figure B2: Simulated $K_{eff\text{-}z}$ and R_{th} time-series at the 31 transect data points. Overlain are the bulk properties estimated at the rim, slope and grass-center CT sites.

Appendix C: Thermal gradient between air and soil (5 cm depth)

Temperature gradient through snow (K/m)

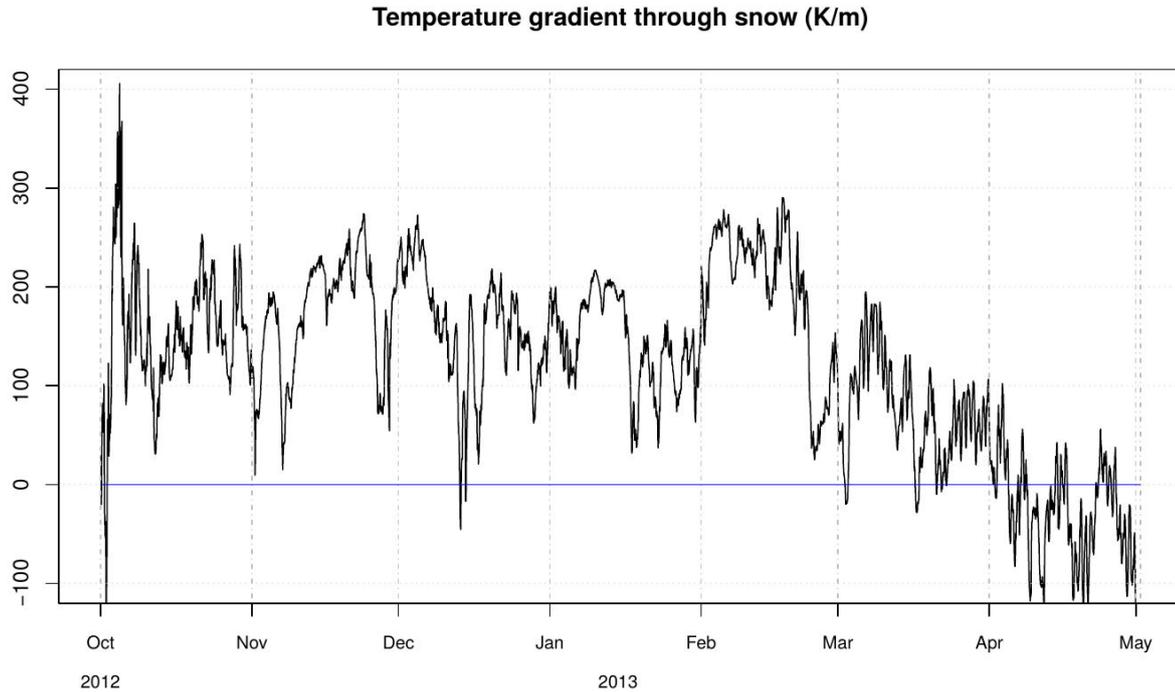



Figure C1: Temperature gradient between air and soil (5 cm depth) at the grass-center of the reference polygon.

Team Composition

5   Isabelle Gouttevin (IG), Moritz Langer (ML), Henning Löwe (HL), Julia Boike (JB), Martin Proksch (MP) and Martin Schneebeli (MS).

Author contribution

M.S. and M.P. conceived the snow study. M.P. collected the snow data. M.L. and J.B. contributed the soil, permafrost and meteorological measurements. I.G., M.L., and H.L. performed the numerical simulations. All authors contributed to the
10   conception of the study. I.G. prepared the manuscript with contributions from all co-authors.

Competing interests

The authors declare that they have no conflict of interest.

Special issue statement

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