

41 to be \$50 trillion per year by 2100, while coastal protection would cost \$15-70 billion per year (Hinkel et al., 2014).
42 One important consideration is that sea level rise is not globally uniform, due to a combination of local factors:
43 glacial isostatic adjustment and ground water extraction resulting in local vertical land movement; the self-
44 gravitational influence of mass loss from the large ice sheets; and changes in ocean dynamics and rates of volume
45 expansion of warming sea water. Taking all these together, Jevrejeva et al. (2016) find that the 80-90% of global
46 coastlines will experience sea level rises about twice as large as the global ocean average.

47 Whilst some, including one of us (Keith), have been working on solar geoengineering for decades, more than ten
48 times as many articles have been published on the topic since 2007 than before. Whilst many proposals for solar
49 geoengineering have been made, work now focuses on a few of the more likely candidates. Marine Cloud
50 Brightening, a proposal to increase the albedo of marine strato-cumulus by releasing sea-salt aerosols from ships
51 (Latham, 1990); Cirrus Cloud Thinning, a proposal to suppress cirrus cloud persistence, and hence reduce their
52 warming effect, by releasing ice nuclei to encourage the formation of larger, shorter-lived ice crystals (Mitchell and
53 Finnegan, 2009); and Stratospheric Aerosol Geoengineering, a proposal to release aerosol particles into the
54 stratosphere to create a persistent reflective aerosol layer scattering a small fraction of incoming light back to space
55 (Budyko, 1977). Of these proposals stratospheric aerosol geoengineering is the most likely to be technically
56 achievable. Multiple, independent feasibility assessments of the proposal conclude that a substantial cooling could
57 be achieved with a few Terragrams of material released per year and that lifting a Terragram to the lower
58 stratosphere (~20km) could be achieved at a cost of order one billion US dollars per Terragram (McClellan et al.,
59 2012; Moriyama et al., 2016). The clouds and aerosols chapter of the last IPCC report concluded that “there is
60 medium confidence that stratospheric aerosol [geoengineering] is scalable to counter the [radiative forcing] from
61 increasing [Greenhouse Gases (GHG)s] at least up to approximately 4 W m⁻² [approximately the forcing a doubling
62 of CO₂ concentrations]” (Boucher et al., 2013). For this reason, here we focus on stratospheric aerosol injection and
63 unless otherwise stated, solar geoengineering will heretofore refer to stratospheric aerosol geoengineering only.

64 The tens of climate model studies of solar geoengineering prior to 2013 were summarized in the last IPCC report
65 (Boucher et al., 2013): "Models consistently suggest that [solar geoengineering] would generally reduce climate
66 differences compared to a world with elevated GHG concentrations and no [solar geoengineering]; however, there
67 would also be residual regional differences in climate (e.g., temperature and rainfall) when compared to a climate
68 without elevated GHGs." This reduction in the magnitude of many climate trends means that solar geoengineering
69 may offer a means to reduce the risks of climate change (Keith and Irvine, 2016).

70 Beyond its effect on climate (which will be discussed in more depth below), stratospheric aerosol injection would
71 have a number of side-effects (Irvine et al., 2016). Simulations of stratospheric sulphate aerosol injection (the most
72 commonly analyzed scenario of stratospheric aerosol geoengineering) consistently show that it would lower ozone
73 concentrations, delaying the recovery of the ozone hole by a number of decades (Pitari et al., 2014; Tilmes et al.,
74 2012). As well as scattering light back to space the stratospheric aerosol cloud would also scatter light downwards
75 shifting the balance of direct to diffuse light which could boost plant productivity though would reduce the
76 efficiency of concentrating solar power plants (Kravitz et al., 2012). The aerosols would also absorb radiation,
77 warming the stratosphere affecting stratospheric chemistry and dynamics (Tilmes et al., 2009). The magnitude of
78 these side-effects will depend on the properties of the injected aerosols, and alternatives to sulphate particles may
79 have substantially reduced side-effects (Keith et al., 2016).

80 In its seminal 2009 report (Shepherd et al., 2009), the United Kingdom’s Royal Society predicted that the social and
81 political challenges posed by solar geoengineering would be far greater than the technical ones. Its potentially low
82 cost could mean that individual nations or very wealthy individuals could have the resources to deploy solar
83 geoengineering (Weitzman, 2014). The global impacts of any large-scale deployment could be the source of
84 international tension and poses a serious challenge for international governance (Victor, 2008).

85 Technical analyses and climate model simulations suggest solar geoengineering may offer a means of reducing the
86 risks of climate change but it would also introduce new risks, both physical and socio-political. A robust
87 understanding of the potential and limits of solar geoengineering as a means to reduce climate risks is a necessary,
88 but not sufficient, basis for a much broader discussion of this idea. This study aims to highlight the key questions
89 around the sea-level rise response to solar geoengineering that only the sea-level and cryosphere community will be
90 able to resolve. In section 2, we provide a brief review of studies into the sea-level rise response to solar
91 geoengineering noting the methodological shortcomings and gaps in the literature. In section 3, we evaluate how the
92 effects of solar geoengineering and a reduction in GHG forcing could on sea-level rise could differ, discussing its
93 potential effects on thermosteric sea-level rise, surface mass balance and on ocean-driven melt of ice-shelves and
94 discharge from marine glaciers. In the sub-section on surface mass balance we make an initial assessment on the
95 relative efficacy of solar geoengineering as seen in the Geoengineering Model Intercomparison Project (GeoMIP).
96 In section 4, we summarize the results briefly and make a number of recommendations for research.

97 **2. Critical review of existing literature on solar geoengineering and sea-level rise**

98 As solar geoengineering would reduce temperatures across the world, offsetting some of the warming from elevated
99 GHG concentrations, it is clear that to first order it would reduce both the thermal expansion of the oceans and the
100 melting of land ice. Wigley (2006), Moore et al. (2010) and Irvine et al. (2012) illustrate this using simple models of
101 the sea-level rise response to a range of solar geoengineering scenarios. Moore et al. (2010) used a semi-empirical
102 model relating radiative forcing to sea level calibrated by tide gauge data from the past 200 years to evaluate a range
103 of different forms of solar geoengineering. Wigley (2006) and Irvine et al. (2012) adapted the simple models used in
104 the Intergovernmental Panel on Climate Change third and fourth assessment reports, respectively, to evaluate a
105 range of different levels of cooling from solar geoengineering. Moore et al. (2015) used the relationship observed
106 between sea surface temperatures and Atlantic hurricanes to evaluate the effects of solar geoengineering on storm
107 surges along the East coast of North America.

108 In addition to these studies with models of reduced complexity there have been a few studies employing glacier and
109 ice sheet models. Irvine et al. (2009) conducted a study of the response of the Greenland Ice Sheet to a range of
110 idealized and fixed scenarios of solar geoengineering deployment using the GLIMMER ice dynamics model driven
111 by temperature and precipitation anomalies from a climate model and found that under an idealized scenario of
112 quadrupled CO₂ concentrations solar geoengineering could slow and even prevent the collapse of the ice sheet.
113 Applegate and Keller (2015) used a simplified ice dynamics model driven by an Earth system model of intermediate
114 complexity to evaluate the response of the Greenland Ice Sheet to scenarios of future GHG emissions and solar
115 geoengineering deployment. They found that whilst solar geoengineering could slow or halt melting, there is strong
116 hysteresis and restoring temperatures would not lead to a rapid recovery of the ice sheet. Zhao et al. (2017) evaluate
117 the response of the 94,000 High Mountain Asia glaciers using an empirical model based on each glacier's median
118 elevation sensitivity to changes in only temperature and precipitation. Under scenarios where solar geoengineering
119 halts regional temperature increases, 30% of present-day glaciated area will still be lost this century due to the
120 glaciers being out of balance with present day climate.

121 These studies illustrate that if solar geoengineering were deployed it could reduce the rate of sea-level rise
122 substantially compared with greenhouse forcing alone. However, all studies to date have employed simplified global
123 models. Thus these studies miss out on some of the fundamental differences between scenarios of climate change
124 with and without solar geoengineering.

125 Whilst increasing the planetary albedo would undoubtedly cool the climate, the effects of a reduction in incoming
126 light differ substantially from the heat-trapping effects of greenhouse gas forcing. GHG forcing acts more-or-less
127 uniformly, whereas solar forcing acts only when the sun is up. Offsetting the GHG forcing with solar forcing would
128 therefore produce seasonal, diurnal and latitudinal differences in radiative forcing.

129 Furthermore, solar forcing acts primarily on the surface whereas GHG forcing acts most strongly on the middle
130 troposphere where infrared radiation escapes to space. As a result, solar forcing reduces the intensity of the
131 hydrological cycle more strongly than does a reduction in GHG forcing that produces the same top-of-the-
132 atmosphere radiative forcing. Bala et al. (2008) evaluated the sensitivity of the global hydrological cycle, finding a
133 2.4 %K⁻¹ change in global mean precipitation for solar forcing and only a 1.5 %K⁻¹ for CO₂ forcing. They note that
134 insolation changes result in relatively larger changes in net radiative fluxes at the surface than CO₂ forcing resulting
135 in larger changes in sensible and latent heat fluxes.

136 Beyond this fundamental difference in the climate response to solar forcing, some stratospheric aerosols, particularly
137 sulfuric acid the most important single proposal, have significant near infrared absorption bands that would result in
138 a warming of the stratosphere. This warming would have dynamic implications, for example McCusker et al. (2015)
139 find significant changes in circulation in the Antarctic stratosphere which propagates down to affect surface winds
140 and the mixing of waters around Antarctica..

141 These differences between greenhouse gas and shortwave forcing matter for making predictions of the surface mass
142 balance of glaciers and ice-sheets: Melting of ice peaks during the day in summer when it is most sensitive to
143 changes in surface energy balance; Changes in snowfall amount and seasonality would affect glacier mass balance;
144 And, solar geoengineering would alter atmospheric and oceanic circulation patterns which can affect the upwelling
145 of warm waters around ice shelves, weakening them. In the following sections we will identify how solar
146 geoengineering could affect these factors and identify the most pressing uncertainties.

147 **3. Response of sea-level rise to solar geoengineering**

148 In this section we evaluate the potential effects of solar geoengineering on the various contributions to sea-level rise,
149 addressing thermosteric sea-level rise, surface mass balance, and ice-shelf collapse and dynamic mass loss. In
150 making this evaluation we aim to bring light to two overarching questions:

- 151 • How effective is solar geoengineering at reducing a given contribution to sea-level rise as compared to a
152 reduction in GHG forcing that produced the same global-average change in temperature? Would, for
153 example, one Celsius of global average cooling from solar geoengineering lower the surface-mass-balance
154 contribution to sea level rise by more or less than would one Celsius of cooling achieved by reduced GHG
155 forcing?
- 156 • What fundamental limits are there to the potential for solar geoengineering to reduce or reverse sea-level
157 rise? That is, in what ways do the contributions to sea-level rise exhibit hysteresis or tipping points that
158 would make halting or reversing sea-level rise with solar geoengineering more difficult than may be
159 expected?

160 **3.1. Thermosteric Sea-level rise**

161 Global thermosteric sea-level rise is the simplest contribution to global sea-level rise. Thermosteric sea level can be
162 computed from the density profile over depth, which is derived from temperature and salinity data, (Dangendorf et
163 al., 2014). Changes in temperature dominate steric sea level variability. A reduction in total radiative forcing no
164 matter if it comes from a reduction in GHG forcing or from solar geoengineering, will produce the same reduction in
165 heat transfer to the ocean and so the same reduction in thermosteric sea-level rise.

166 Bouttes et al. (2012) explore the reversibility of thermosteric sea-level rise using a coupled climate model for a
167 range of CO₂ ramp-up and ramp-down scenarios, though the results apply equally to the case of solar
168 geoengineering. They find that the thermosteric sea-level rise response to their scenarios can be roughly
169 approximated by the integral of radiative forcing which closely corresponds to the total heat uptake of the oceans
170 over the simulations. This implies that to halt thermosteric sea-level rise, radiative forcing would need to be restored
171 to pre-industrial conditions. As the total forcing is ramped down, the warmed oceans become out of equilibrium with

172 the now-cooled atmosphere and slowly give off the heat they absorbed, gradually reversing the thermosteric sea-
173 level rise that had occurred during the ramp-up (See figure 1 of Bouttes et al. (2012)).

174 3.2. Surface Mass Balance

175 Many ice-sheet and glacier models use a simple parameterization of surface mass balance, using a positive degree-
176 day factor to estimate the amount of melt per degree above freezing at the glacier surface (Ohmura, 2001). Degree
177 day factors are determined empirically and vary due to surface albedo, meaning that a weathered ice surface such as
178 the Greenland ice margin are rather dark and have high degree-day factors, while pristine snow cover has a low
179 factor. This degree-day approach has been used in all studies of solar geoengineering's effect on surface mass
180 balance to date but it has some important limitations.

181 Fundamentally the surface melt rate depends on the availability of energy at the surface; this means that net
182 shortwave, net longwave, sensible and latent fluxes all matter. Despite only accounting for temperature, degree-day
183 approaches generally produce similar results to more complete energy balance models for surface melt, this is
184 because downwelling longwave, which typically is the dominant contributor to the energy flux, correlates well with
185 surface air temperature since much of the downwelling longwave is emitted in the first 1 km of the atmosphere
186 (Ohmura, 2001). However, degree-day approaches cannot capture the full response to changes in energy fluxes and
187 a look at some case studies reveals that changes in insolation can have outsized impacts which will be under-
188 estimated by degree-day approaches.

189 Increased summer insolation at high-latitudes during the Eemian interglacial period (115-130 kyr BP) raised
190 temperatures but also directly affected surface melt. Van de Berg et al. (2011) made an attempt to separate the
191 contributions of elevated temperatures and increased solar forcing and suggested that 45% of the change in surface
192 mass balance could be attributed to the changed solar forcing alone.

193 Volcanic eruptions provide a more contemporary analogy to the potential effects of solar geoengineering on surface
194 melt. Fettweis et al. (2007) simulated the surface mass balance of Greenland between 1979 and 2006 and find
195 maxima for surface mass balance in 1983 and 1992, the years after the El Chichon and Pinatubo eruptions,
196 respectively. Hanna et al. (2008) combine observations and modeling to evaluate the surface mass balance of
197 Greenland over a longer period finding that the years following El Chichon and Pinatubo have the third lowest and
198 the lowest runoff, and the third and sixth greatest surface mass balance, respectively between 1958 and 2006.

199 In an analysis of recent changes over Greenland, Hofer et al. (2017) found that the substantial reduction in cloud
200 cover over Greenland in the past two decades is the likeliest cause for the accelerated mass loss from the ice-sheet
201 over this period. To arrive at this result they simply calculated how much melt would result from the change in
202 downward surface shortwave energy received over the melt season as a result of the change in cloud cover, and
203 compared this against the other contributions to melt and accumulation. They find that the ~10% reduction in
204 summer cloud cover over Greenland in the past two decades led to a ~4000 Gt loss of mass making it the dominant
205 driver of surface mass balance change in this period. In Svalbard the opposite has been seen, with less melt than
206 projected by degree-day models of glacier mass balance due to an increase in cloud cover partially offsetting the
207 increased temperatures Slangen et al. (2016). Giesen and Oerlemans (2013) and Lang et al. (2015) use glacier mass
208 balance models that account for this change in surface shortwave and produce a better fit to observations.

209 These examples suggest that solar geoengineering could be more effective at changing surface melt than achieving
210 the same reduction in temperature with a reduction in GHG forcing. To evaluate the differences in the drivers of
211 surface mass balance we conduct a simple analysis of the well-studied GeoMIP G1 experiment, in which the
212 radiative forcing from an instantaneous quadrupling of CO₂ concentrations is offset by a reduction in the solar
213 constant sufficient to restore the pre-industrial radiative balance and global-mean temperature (Kravitz et al. 2011).

214 Kravitz et al. (2013) provide an overview of the climate response to this experiment from 12 Earth System Models,
215 and we analyze data for these same 12 models.

216 The models that ran the GeoMIP G1 experiment did not perfectly restore global-mean-temperatures to the pre-
217 industrial, although the differences in top of atmosphere radiative forcing were specified to be less than 0.1Wm^{-2} . As
218 we are interested in the relative efficacy of solar geoengineering compared to an equivalent reduction in CO_2 forcing
219 it is necessary to rescale these results so that they match the models' pre-industrial global-mean temperature.

$$220 \quad F = \frac{(GMT_{4xCO_2} - GMT_{control})}{(GMT_{4xCO_2} - GMT_{G1})}$$

221 Where, F is the ratio between the global-mean temperature (GMT) anomaly of $4x\text{CO}_2$ - control and of $4x\text{CO}_2$ - G1.
222 This ratio is greater than 1 if G1 is warmer than the control and less than 1 if it cooler than the control. This ratio can
223 then be used to rescale the effects of the reduction in solar constant to produce a synthetic scenario G1* in which
224 global-mean temperatures would be identical to the control case:

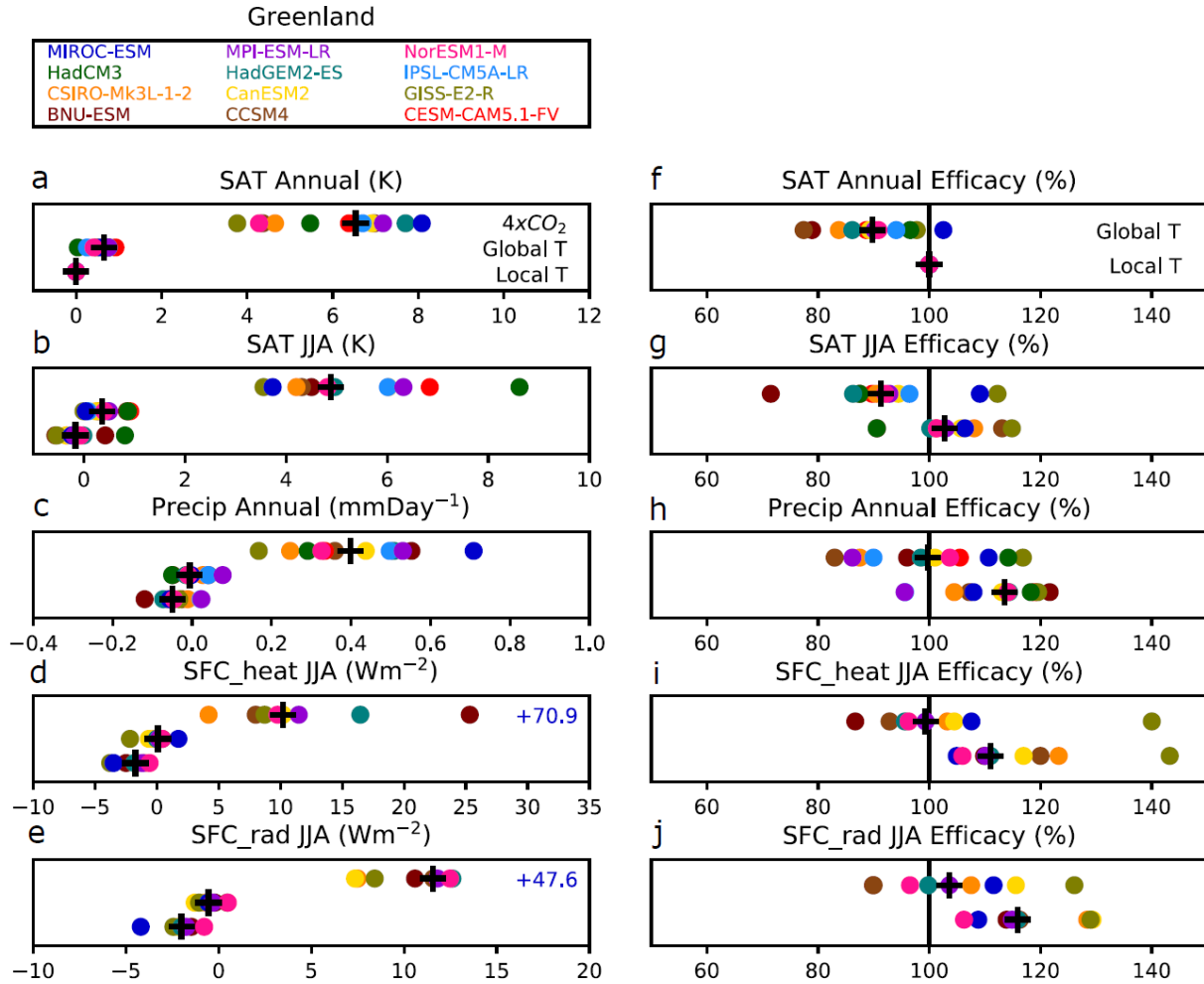
$$225 \quad X_{G1^*} = X_{4xCO_2} + F \times (X_{G1} - X_{4xCO_2})$$

226 Where X is the variable to be rescaled. We apply this equation to all variables in our analysis. We also generate
227 scenarios where regional, annual-mean temperatures are restored using the same approach (G1-Greenland and G1-
228 Antarctica).

229 Figures 1 and 2 compare the regional-mean anomalies from the control for the $4x\text{CO}_2$, G1* and G1-local
230 experiments, and the “efficacy” of G1* and G1-local at offsetting $4x\text{CO}_2$ trends for Greenland and Antarctica,
231 respectively. Efficacy is defined as the fraction of the $4x\text{CO}_2$ trend offset:

$$232 \quad E = \frac{X_{4xCO_2} - X_{Geo}}{X_{4xCO_2} - X_{control}} \times 100\%$$

233 As an example, many studies have shown that solar geoengineering is more effective at offsetting global-mean
234 precipitation than global-mean temperature. Tilmes et al. (2013) find that compared to the control the GeoMIP
235 ensemble mean showed a 6.9% increase in global-mean precipitation in $4x\text{CO}_2$ and a 4.5% reduction in G1, taking
236 these numbers we find an efficacy of 165%, that is whilst 100% of the global-mean temperature response has been
237 offset, 165% of the global precipitation response has been offset. When comparing the global-mean temperature and
238 local-mean temperature efficacies we find if 100% of the global-mean temperature has been offset, 90% of the
239 Greenland mean temperature has been offset (90% efficacy relative to global temperature) and if 100% of the
240 Greenland-mean temperature has been offset 111% of the global-mean temperature has been offset (111% efficacy
241 relative to local temperature).

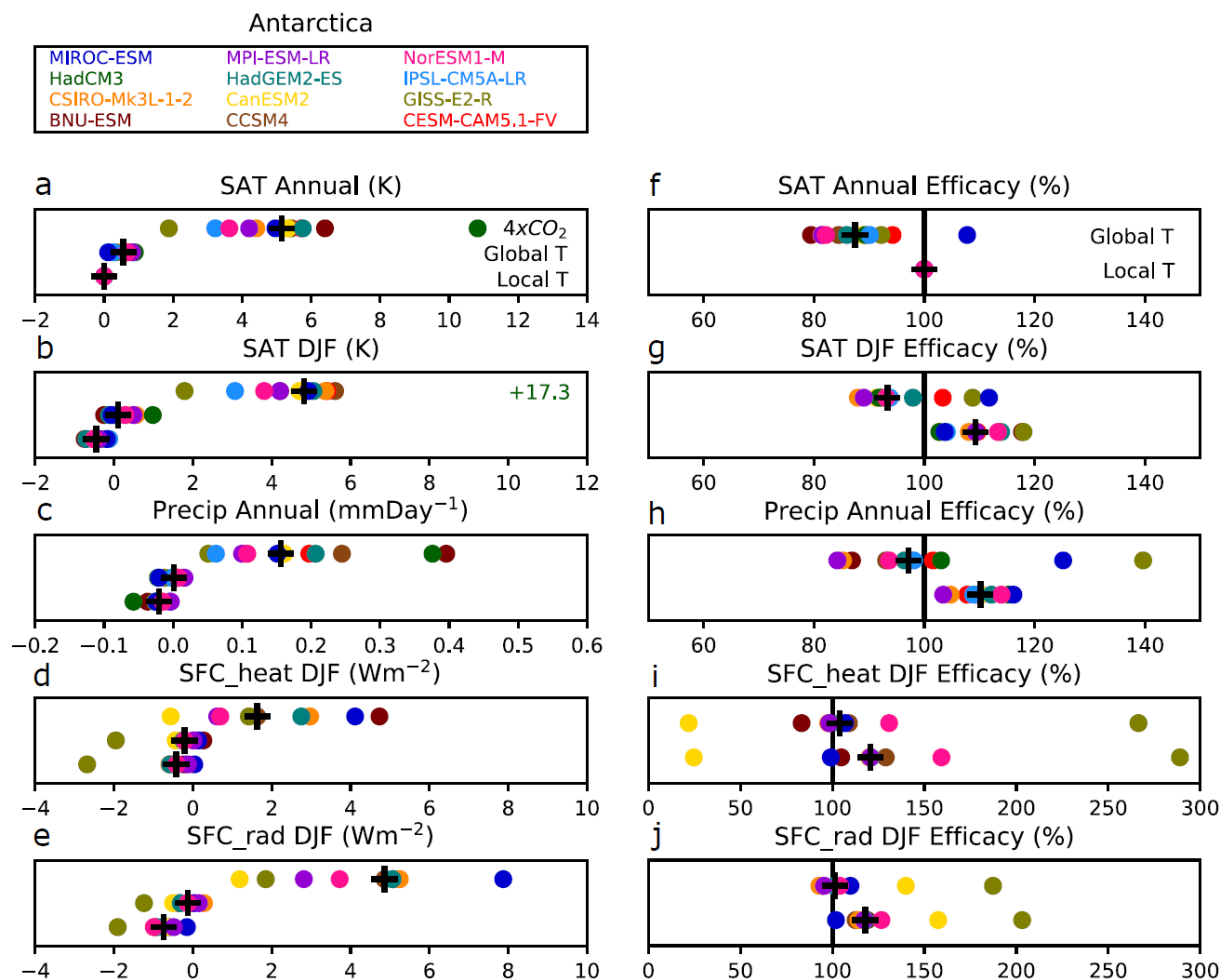


242

243 Figure 1. Regional-mean anomalies (left) and efficacies (right) of G1* and G1-Greenland at offsetting 4xCO₂ –
 244 Control regional-mean anomalies for Greenland for each model within the GeoMIP G1-ensemble. On the left panel,
 245 the upper points show the 4xCO₂ – Control anomaly, the middle row of points show the G1* results which restore
 246 global mean temperature, and the lower points show the results for G1-Greenland which restores local temperature.
 247 The ensemble median is shown with a plus symbol. The results from some outlier points have been displayed as text
 248 in the colour of the corresponding model. SFC_heat is the net heat flux into the surface, i.e. net SW + net LW –
 249 sensible heat – latent heat, and SFC_rad is the net radiative flux into the surface, i.e. net SW + net LW. Efficacy is
 250 defined in the text. Where data was unavailable these models have not been plotted for those variables.

251 In Greenland (Figure 1), G1* offsets most of the effects of 4xCO₂, bringing climate much closer to the control
 252 conditions with a median efficacy that is within 10% of 100%. However, this result is a combination of G1* being
 253 under-effective at offsetting local temperatures, offsetting 90% of the annual-mean and 91% of the summer-mean,
 254 and being over-effective at offsetting the other fields relative to local temperatures, as seen in G1-Greenland results.
 255 There is a wide range of annual-mean precipitation responses across the ensemble in G1* but the ensemble median
 256 is close to 100%, i.e. the substantial increase in precipitation in 4xCO₂ has been offset. The global-mean
 257 hydrological cycle has been weakened substantially but it seems local temperatures have been the dominant driver
 258 of the local hydrological response. The ensemble median shows a large increase in net downward surface radiation
 259 and surface heat flux, of greater than 10 Wm⁻² for the 4xCO₂ – control anomaly, though some models show
 260 considerably larger changes. Relative to local temperature change, solar geoengineering is over-effective at

261 offsetting these changes in all models, with the ensemble median offsetting 116% of the net downward surface
 262 radiation and 111% of the net downward surface heat flux increases that were seen in 4xCO₂. These results suggest
 263 that positive degree day melt schemes which do not account for these radiation and energy flux changes could
 264 under-estimate the effectiveness of solar geoengineering at offsetting melt in Greenland by approximately 10%.



265

266 Figure 2. As Figure 1 but for Antarctica and Antarctic summer.

267 In Antarctica (Figure 2), A similar picture emerges as for Greenland with G1* being under-effective at offsetting
 268 local temperatures, but, relative to local temperature change being over-effective at offsetting the other fields.
 269 However, the implications of these results are different as melt plays only a small role in Antarctic surface mass
 270 balance, with accumulation dominating and with the surface mass balance contribution of Antarctica to future sea-
 271 level rise projected to remain negative for the foreseeable future. Ligtenberg et al. (2013) predict an increase of
 272 Antarctic surface mass balance of 98 Gt year⁻¹ K⁻¹ using the RACMO2 model and Lenaerts et al. (2016) predict an
 273 increase of 70 Gt year⁻¹ K⁻¹ using the CESM model. The ensemble median precipitation response is close to control
 274 values in the G1* experiment, though there is substantial model spread, which suggests that regional temperatures
 275 dominate the Antarctic hydrological response rather than the state of the global hydrological cycle which is
 276 significantly weaker in G1*. These results suggest that the negative contribution to sea-level rise of the positive
 277 surface mass balance response of Antarctica to global warming would decline roughly in line with temperatures if
 278 solar geoengineering were deployed though more work is needed to explore this issue.

279 This simple assessment supports the view that solar geoengineering would have a greater potential to reduce surface
280 melt, and hence the sea-level rise contribution from surface mass balance changes of glaciers and the ice-sheets, than
281 previous studies have suggested. However, several factors would need to be accounted for in future work to make a
282 robust estimate of the efficacy of solar geoengineering at offsetting surface melt. Firstly, the impacts of a reduction
283 in incoming sunlight will be greater where the albedo of ice is lowest. A large and growing fraction of the ablation
284 zone of Greenland in summer is darkened by distributed surface impurities and snow algae revealed when the snow
285 layer is melted, these darkened areas typically have an albedo half that of clean ice (Ryan et al., 2018). The impact
286 of reduced sunlight will also be greater in low-latitude regions where the shortwave flux makes up a greater fraction
287 of the total contribution to the surface energy flux, e.g. in High Mountain Asia. For tropical and mid-latitude
288 glaciers, changes in accumulation due to changes in precipitation will also be an important factor to consider.

289 The results described here apply to a uniform reduction in incoming sunlight but the response to other, more realistic
290 forms of solar geoengineering could be tailored to produce different outcomes. For example, whilst a uniform
291 reduction in incoming sunlight would not offset all warming at high latitudes, stratospheric aerosol geoengineering
292 could be deployed to produce a thicker aerosol cloud at high latitudes to reduce high latitude temperatures in line
293 with global mean temperatures or to cool them further (Dai Z. et al., 2018; Kravitz Ben et al., 2018). However, it is
294 important to note that the effects of solar geoengineering cannot be limited to the area of application and there would
295 be remote impacts even if stratospheric aerosol geoengineering was limited just to polar regions (Robock et al.,
296 2008)

297 **3.3. Ice-shelf collapse and dynamic mass loss**

298 The other mechanism by which ice-sheets lose mass is by calving icebergs from marine-terminating glaciers and
299 here the effects of solar geoengineering are harder to anticipate. The rate of rate of discharge depends on how fast
300 the ice flows across the grounding line. The rate of ice flow depends on several factors that are affected by changes
301 in climate. Warmer ice is less viscous, allowing it to flow faster, though this is changing only very slowly and is
302 negligible for the ice sheets on centennial time scales (Slangen et al. 2016). Increased melt-water can penetrate to
303 the bed of the glacier and lubricate it, which may speed up the flow, although this “Zwally effect” seems not
304 especially important in Greenland where surface melt waters are efficiently drained in channelized drainage systems
305 such that changes in surface runoff have little impact on basal friction (Fleurian et al., 2016), and in Antarctica
306 surface melt is not as yet significant in fast-flowing glaciers (Joughin et al., 2009). For Antarctica where ice
307 discharge is the dominant loss mechanism, the most significant effect of climate change is to thin and weaken ice-
308 shelves which provide a buttressing effect, pushing back against the glaciers slowing their flow into the ocean.
309 Antarctica is so cold that little surface melt occurs on the ice-shelves, however relatively warm waters have been
310 observed penetrating below the ice shelves, melting them from below (Pritchard et al., 2012). The water mass
311 responsible for this melt is not the surface water around Antarctica, but rather the circumpolar deep waters
312 (originating around 500 m below the surface) that surround Antarctica. Surface winds have acted to pump this
313 relatively warm circumpolar deep water up and into the ice-shelf cavities. Here this relatively warm water can reach
314 the grounding line where the ice starts to float and where pressure requires the ice to have the lowest melting point
315 temperature. This ocean-driven melt has been observed to be thinning ice shelves, at rates as large as 50 m per year
316 at the grounding line and as high as 14 m per year averaged over the some of the larger ice shelves (Rintoul et al.,
317 2016), weakening their buttressing effect and increasing the rate of discharge of glaciers into the ocean (Favier et al.,
318 2014). It is generally believed that the fate of the ice-shelves is likely to be determined by the degree to which this
319 circumpolar deep water is able to penetrate into the deep ice shelf cavities rather than by surface melt (Liu et al.,
320 2015; Pritchard et al., 2012).

321 A recent study (DeConto and Pollard, 2016), has challenged this view suggesting that the atmospheric warming that
322 led to the break-up of some Antarctic Peninsula ice shelves would, if the warming continued, destabilize the larger
323 southern ice shelves in the future. The process is through the hydrostatic head of melt-water filled crevasses which
324 results in “hydrofracture” and the rapid disintegration of the ice shelf (Scambos et al., 2013). Furthermore, they

325 suggest that once large ice shelves begin to retreat, the large unstable ice cliffs formed could promote further rapid
326 retreat, in a process dubbed marine ice-cliff instability (Pollard et al., 2015). Together these processes combined to
327 produce a substantially greater Antarctic contribution to sea-level rise than seen in earlier studies which did not
328 account for these highly uncertain processes (DeConto and Pollard, 2016).

329 Climate change and solar geoengineering will affect the ice-shelves, and hence the rate of discharge of marine
330 glaciers, primarily by changing surface air temperature and wind patterns that affect the upwelling of circumpolar
331 deep water. Solar geoengineering could lower surface air temperatures and hence reduce the likelihood of surface-
332 melt-induced hydrofracturing of the ice-shelves as assessed by DeConto and Pollard (2016). Whilst solar
333 geoengineering could lower surface air temperatures and surface ocean temperatures around Antarctica this would
334 have limited impact on the temperature of the deep circumpolar water mass responsible for thinning the ice-shelves
335 in the near-term as it is deep below the surface. As noted above, ocean-driven melt is primarily controlled by the
336 upwelling of these deep waters which is driven by Southern Ocean winds. A recent study of the effects of
337 stratospheric sulphate aerosol geoengineering in a scenario of future GHG emissions found that it would warm the
338 stratosphere, changing both atmospheric and oceanic circulation patterns (McCusker et al., 2015). They simulated a
339 greater upwelling of circumpolar deep-water relative to a scenario without an increase in GHG forcing, but that
340 ocean temperatures were significantly lower than in the GHG only scenario. If this result proves robust then it
341 suggests that whilst stratospheric aerosol geoengineering—or at least geoengineering using aerosols like sulfates
342 which strongly alter stratospheric heating rates—could lower surface melt considerably it may have a limited ability
343 to reduce ice shelf basal melt rates.

344 The dynamical response of marine glacier ice flow to changes in the buttressing effect of ice shelves is not simple
345 and there is the potential for runaway responses which would limit solar geoengineering's potential to slow or
346 reverse this contribution to sea-level rise. Fürst et al. (2016) show that ice shelves in the West Antarctic Amundsen
347 and Bellingshausen seas are extremely sensitive to calving, meaning that even small amount of increased calving
348 will trigger dynamical responses in the feeding ice streams increasing their flow rate. Furthermore, West
349 Antarctica's geography makes its ice sheet especially vulnerable to such changes. Much of the ice sheet rests on
350 bed-rock below sea-level which gets deeper further from the coast. This arrangement makes many of Antarctica's
351 glaciers susceptible to "marine-ice sheet instability" (Mercer, 1978), in that if the boundary layer begins to retreat,
352 the ice flow across the grounding line increases, prompting a self-sustaining retreat that would continue until a
353 bedrock ridge further inland. In fact, observations suggest that recent increases in the temperature of water around
354 Antarctica may have already triggered a process that will lead to the collapse of the Pine island and Thwaites
355 glaciers (Favier et al., 2014; Joughin et al., 2014). Unless an ice stream has exceptionally strong lateral buttressing
356 (Robel et al., 2016), a marine ice sheet instability, once started, may only be stopped by modifying bathymetry to
357 provide extra buttressing, as simulated by flow-band modeling on Thwaites glacier (Wolovick and Moore, 2018).
358 However, initial results from the BISICLES model evaluating the response of an idealized vulnerable marine glacier
359 to imposed warming found that returning to cooler conditions reversed the retreat that had begun during the
360 warming (Asay-Davis et al., 2016). It seems reasonable to expect that solar geoengineering may help to prevent
361 other marine glaciers from becoming unstable by limiting surface melt that could lead to ice-shelf collapse (as
362 emissions cuts would). It may be that significant losses from some West Antarctic glaciers are unavoidable by
363 simply returning climate and oceanic driving conditions to the pre-industrial and perhaps that even doing so would
364 not be sufficient to arrest the retreat.

365 **4. Recommendations for research**

366 In this study we've reviewed the literature on the effects of solar geoengineering on sea-level rise and highlighted
367 several gaps and shortcomings in the approaches used to date. We've also highlighted important differences between
368 a reduction in GHG forcing and solar geoengineering that will affect the surface mass balance of glaciers and ocean-
369 driven melt of ice-shelves and so the discharge rate of marine glaciers. We conclude with specific research

370 recommendations that will help to address the key questions we've highlighted earlier: Would solar geoengineering
371 be more, or less, effective at offsetting sea-level rise than an equivalent reduction in GHG forcing? And what are the
372 limits to solar geoengineering's potential to reduce or reverse sea-level rise?

373 4.1. Evaluate the sea-level rise response to scenarios of solar geoengineering deployment alongside other scenarios
374 of future climate change

375 Many of the new Earth System Models taking part in CMIP6 include coupled ice-sheet model components and are
376 ideal for making an initial assessment of the questions we have raised. The Ice-Sheet Model Intercomparison Project
377 phase 6 (ISMIP6) aims to evaluate the ice-sheet response of coupled ice-sheet models to idealized and future
378 emissions scenarios (Goelzer et al., 2018). The future emission scenario chosen by this project is the business-as-
379 usual SSP5-8.5 scenario (which reaches 8.5 Wm^{-2} by 2100) which is also the basis for the GeoMIP6 G6 experiment
380 where the radiative forcing is reduced to match the SSP4-6.0 scenario (6.0 Wm^{-2} by 2100) out to 2100. We
381 recommend that groups participating in both ISMIP6 and GeoMIP6 take this opportunity to extend the ISMIP6
382 protocol to the GeoMIP G6 experiment, i.e. producing a run including the coupled ice-sheet model and running an
383 offline ice-sheet model, to explore the effects of solar geoengineering on sea-level. To evaluate the relative efficacy
384 of solar geoengineering these results could be compared to the coupled ice-sheet model response to the SSP4-6.0
385 scenario which has a reduction in GHG forcing equivalent to that offset by stratospheric aerosol geoengineering in
386 GeoMIP6 G6.

387 Insight into the limits of solar geoengineering as a means of reducing sea-level rise can also be gained by extending
388 the idealized simulations studied in ISMIP6. ISMIP6 also focuses on an idealized simulation in which CO_2
389 concentrations rise at 1% per year until $4\times\text{CO}_2$ is reached (after 140 years), we recommend extending this protocol
390 by fixing CO_2 concentrations at $4\times\text{CO}_2$ values thereafter but also lowering the solar constant at such a rate that
391 global-mean temperatures are restored to control conditions after 140 years. We note that the Carbon Dioxide
392 Removal MIP also includes a similar experiment which reduces CO_2 concentrations at the same rate that they were
393 raised and would be an interesting target for study (Keller et al., 2018). These idealized ramp-up, ramp-down
394 scenarios would provide a solid basis for evaluating the potential of solar geoengineering, and carbon dioxide
395 removal, to reverse sea-level rise, showing the extent to which hysteresis and threshold behaviors would limit this
396 potential. Furthermore, a comparison between the solar constant and CO_2 ramp-down scenarios would allow an
397 evaluation of whether solar geoengineering would be more or less effective at reversing sea-level rise.

398 4.2. Evaluate the surface mass balance response to solar geoengineering using dedicated regional surface mass
399 balance models

400 As we show above, there are good theoretical reasons and now some limited model evidence to support the view
401 that solar geoengineering would be more effective than an equivalent reduction in GHG forcing. However, there are
402 several unknowns that preclude making any quantitative statements about this effect. For example, the steep
403 orography of the ablation zone will not be well-captured in coarse models, changes in surface albedo due to
404 impurities may not be well captured, and regional biases in climate can have a significant impact on results. We
405 therefore recommend that the analysis of the coupled ice-sheet models recommended above be complemented by
406 simulations with dedicated regional surface mass balance models. As noted above, a comparison between the
407 surface mass balance in the GeoMIP G6 and SSP4-6.0 scenarios would allow a quantification of the relative efficacy
408 of solar geoengineering at offsetting the reduction in surface mass balance in a warmer world.

409 4.3. Evaluate the effect of solar geoengineering on the upwelling of Antarctic Circumpolar Deep Water and on the
410 stability of the ice-shelves and marine glaciers.

411 The study of McCusker et al. (2015) suggests that stratospheric aerosol geoengineering may promote upwelling as
412 changes in stratospheric circulation could propagate downwards to change surface winds around Antarctica. If this is

413 the case, stratospheric aerosol geoengineering could be significantly less effective than a reduction in GHG forcing
414 at offsetting the increased upwelling of circumpolar deep water around Antarctica. Future work should investigate
415 whether this result is robust across the ensemble of models running the GeoMIP6 G6 stratospheric aerosol
416 experiment. In addition, as the climate response to stratospheric aerosols depends strongly on the type of aerosol
417 released and the distribution of the aerosols (Dykema et al., 2016), whether it may be possible to avoid unfavorable
418 wind patterns by deploying stratospheric aerosol geoengineering differently should be explored in further climate
419 model simulations.

420 4.4. Evaluate sea-level rise risks as part of an interdisciplinary evaluation of solar geoengineering

421 Sea-level rise is one of the key risks of climate change and so it will be important to understand the potential
422 efficacy and the limits of solar geoengineering as a means of reducing sea-level rise, however sea level rise is only
423 one of many issues that must be considered when discussing solar geoengineering. There are likely good reasons not
424 to deploy solar geoengineering with the objective of halting or reversing sea-level rise as this seems likely to require
425 a substantial reduction in global temperatures which could result in potentially harmful shifts in regional climate and
426 significant non-climatic side-effects (Irvine et al., 2012). Furthermore, whilst an understanding of the potential
427 physical consequences of climate change and solar geoengineering is necessary for a discussion of the potential use
428 of solar geoengineering, it is not sufficient. Whether and how to deploy solar geoengineering is a question that
429 demands a nuanced discussion encompassing not only the physical consequences of deployment but also a careful
430 consideration and negotiation of the complex socio-political issues it raises. A good understanding of the potential
431 and limits of solar geoengineering to reduce sea-level rise will be an important part of the foundation of this much
432 broader discussion which we hope the cryosphere research community will engage.

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