4 April 2018

Dear Tobias,

Please, find enclosed a revised version of our manuscript (MS) entitled "Brief communication: Unabated wastage of the Juneau and Stikine icefields (southeast Alaska) in the early twenty-first century". To facilitate your assessment, we uploaded a track-change version of the revised MS.

We thank you for your careful reading of the paper and your comments. Find below a copy of them and, in bold/blue, a point-by-point response. The revised text is provided in italics.

We hope that these corrections/clarifications make our paper now suitable for publication in The Cryosphere.

Yours sincerely,

Etienne Berthier and co-authors

Reply to the Editor, Tobias Bolch

Dear Etienne, dear co-authors,

I carefully read the manuscript and your reply to the comments. The comments were mainly of minor nature. Most of them have been addressed, but I would have expected slightly more careful incorporation. Some sentences were added as a response to the comments, but they partly do not fit into the flow of the text, e.g. line 41: It is not clear to which statement you are referring to. Lemon Creek Glacier had a negative balance throughout while the balance for Taku Glacier was clearly positive for a period.

Reply: We changed "The statement is" to "The trend toward enhanced mass loss"

L. 61: Be more specific about field observations. Which glacier(s) were observed? Entire Stikine icefield?

Reply: We changed the sentence to "*Field observations of the equilibrium line altitudes and surface mass balances on Lemon Creek and Taku glaciers (JIF) also do not support a slowdown (WGMS, 2017).*"

L. 85 Images do not cover elevation data. One can guess what you mean, but it is not clear from the text.

Reply: "Images" replaced by "DEMs"

Please check once again all added statements and sentences carefully.

Reply: Checked everywhere

In addition, I do not agree to not consider a comment because it is not easy to understand. You can at least provide a guess or better write an email to the reviewer who provided his name to ask for clarification.

Reply: We assume that the editor refers here to the following comment "The linear correction used by Larsen et al (2007) would depend on the season of comparison". We contacted directly Mauri Pelto and he told us by email "This was more in support of what you were saying. The seasonal correction just adds an uncertainty/error to their determination that your methodology does not. I was suggesting, maybe not so clearly, that you could add this as a supportive/explaining comment. There was not a question to respond to."

The linear correction was calculated between two dataset with a clear timestamp (February 2000 and August 2000) so it is not clear to us why the correction would depend on the season of comparison. It was an empirical way for Larsen et al. (2007) to make the data seasonally compatible by adjusting the SRTM DEM to a summer elevation dataset.

No change was made to the manuscript.

It is also a valid comment to mention that extensive thinning of some glaciers is due to calving events. In case you do not want to include a third study by the reviewer (which I would understand) you can refer the Larsen et al. (2015) as mention in the reply.

Reply: It is not a problem of adding another reference. It is simply that our study is not about understanding the cause of glacier loss in southeast Alaska and neither about the drivers of variability between individual glaciers. In fact, our results do not bring any new insights on the processes governing glacier mass loss so we strongly believe that there is no point in mentioning the extensive thinning at lake-terminating (not tidewater) glaciers. To avoid any ambiguity/disappointment for the reader, we clarify this by adding the statement: "Understanding the pattern of dh/dt and its variability among glaciers is beyond the scope of this brief communication and the reader is referred to earlier publications on this topic (e.g., Larsen et al., 2015)."

In addition, I have two more substantial comments and several minor specific comments:

1. I asked you to provide more information about the utilized glacier outlines. Years were added, but ask you to carefully check once again. As I understood (not entirely clear from Kienholz et al. 2015) for some regions outlines from Bolch et al. (2010) were used and some images were not acquired in 2004/05. In addition, and as a good example as your papers are highly recognized, you should cite the original source of the outlines if possible.

Reply: we contacted Christian Kienholz who told us:

"The Bolch et al. (2010) outlines were used for the Canadian/eastern part of the JIF, as stated in Figure 2c of the Kienholz et al. (2015) paper. However, the outlines may not be fully identical to the original outlines. For example, we used ALOS-derived streamlines to check/update the divides across the entire JIF (see Figure 3a in my 2015 paper). Adding a sentence that the RGI outlines are based on outlines compiled by Bolch et al. (2010) and Kienholz et al. (2015) may be good, as indicated by Tobias. Also, Figure 2 from my 2015 paper should be added to the next RGI technical guide to avoid confusion.

2004/2005 is correct for the bulk of the glaciers across the Juneau and Stikine Icefields. A few glaciers were updated using imagery from 2010/2011."

We also checked the RGI technical guide about recommandation for referencing RGI. The recommendation is that "The RGI may be used freely with due acknowledgement (by citing this note for technical details or Pfeffer et al. 2014 for scientific background)". Because we wanted to recognize the tedious work that accompanies the making of such a detail inventory in Alaska (in line with your comments), we made sure we cited the original reference. So we checked the Alaska chapter in the he RGI technical guide which mentions "Changes from Version 3.2 to 4.0. A new inventory compiled by C. Kienholz (Kienholz et al., submitted), including topographic and hypsometric attributes, replaces the former inventory of Alaska". Kienholz et al. was thus cited in our paper. Based on our personal communication with C. Kienholz, we now also cited the Bolch et al. 2010 study in our revised paper.

You and Christian Kienholz were deeply involved in the RGI. If the recommendation provided on the technical guide (just citing the technical guide + Pfeffer et al. 2014) does not suit you, then I think there should be an open discussion among the RGI leaders about it. As users of these outlines, we are afraid that finding/citing all the sources that were compiled in the RGI would become a tedious work and we feel that it was not really the spirit of the RGI.

We also checked again the dates of individual glacier outlines in the RGI attributes and found only image dates in 2004 and 2005. Despite the statement by C. Kienholz that a few glaciers were updated using more recent imagery. The revised text is:

"The RGI v5.0 glacier outlines for both the JIF and SIF were mapped using imagery acquired in majority in August of 2004 and 2005 (Bolch et al., 2010; Kienholz et al., 2015)."

But more important you are analysing the period 2000 - 2016, but the outlines are from 2004/05. Hence, a justification why you use outlines which do not match the investigation period is needed. Or were they adjusted?

Reply: The editor is right, glacier outlines were not adjusted to the start/end dates of your geodetic mass balance estimate. This important information was indeed missing in our paper. A statement is added in the revised text and the negligible effect on the mass balance is supported by a sensitivity analysis for the Northern Patagonia Icefield (3800 km²) in Dussaillant et al., 2018: "No updated inventory is available or was produced in this study for the JIF and SIF. Therefore, we neglected changes in glacierized area between 2000 and 2016, and assumed that mass balance uncertainties linked to area changes are covered by our 5% area uncertainty (Paul et al., 2013; Dussaillant et al., 2018)."

2. I agree that the comparison of DEM differencing results to repeat laser altimetry is not straight forward as you mention in L. 170. However, this is not only because of the different time periods. This is also due to different coverage. I did not read the paper in detail, but as I understood from Larson et al. (2015), mainly glacier centrelines were measured. Hence, the mass loss might be overestimated when scaling to the entire glacier in case no correction is included as mentioned by Berthier et al. (2010), NatGeo. This issue needs to be tackled and discussed.

Reply: Good point. We now added a paragraph about this in the comparison of the mass balances using the two techniques. "A further complication for the comparison of our ASTER-based results to repeat laser altimetry arises from different spatial sampling: mostly continuous coverage from DEMs vs. centreline sampling from laser altimetry. Berthier et al. (2010) found that centreline sampling could lead to an overestimation of mass loss. In their study, two large and rapidly retreating glaciers (Bering and Columbia, outside of our study domain) were responsible for 92% of the overestimation of the mass loss from centreline profiling (Table S4 in Berthier et al., 2010). Overestimation was not obvious for other glaciers. More recently, Johnson et al. (2013) presented an improved treatment of laser altimetry data and found no such overestimation from centerline profiling over the Glacier Bay region (southeast Alaska). In their improved processing, each change in elevation (dz) is assigned to a mid-point between old and new elevations whereas in the original laser altimetry analysis (Arendt et al., 2002), dz were assigned to the old elevation.."

And also in the discussion: "This agreement suggests that an appropriate analysis of centreline data may be sufficient to measure the glacier-wide mass balance of these glaciers as previously shown for the nearby Glacier Bay area (Johnson et al., 2013). ."

Specific comments:

L. 12/17. It is a matter of style, but I would not use abbreviations in the abstract, if not really needed to save words.

Reply: abbreviations removed. Good point.

L. 16: remove ","

Reply: removed.

L. 52: Where did you get the information about the mass balances from? Include a reference.

Reply: ref to (Larsen et al., 2015) repeated. It was not clear indeed.

L. 62: I'd omit the word "further".

Reply: omitted.

L. 66-70. This statement with more or less similar wording is repeated in L. 239ff. You may once again refer to the problem of the x-band radar penetration but with a different wording. But more important, you need to be more specific about the x-band penetration (under which conditions can the penetration be so high?) and not just provide a general statement. In case you are at the word limit avoid the repetition but provide this relevant information instead.

Reply: we find it difficult to avoid the repetition and think it is helpful for the reader to know right away in the introduction how Melkonian et al. addressed the penetration issue. It will help to understand how we designed our study and why revisiting the ASTER analysis is needed. It is maybe not so problematic to repeat twice that recent studies have found clear penetration of the X-Band signal into cold snow and firn at a time when many colleagues are using Tandem-X data for geodetic mass balance estimates? We fully agree with the editor that it is indeed important to add that such high penetration depth is observed under specific conditions and we now write: "X-band penetration depth has recently been recognized to reach several meters in cold and dry snow/firn".

L. 93: According to my knowledge the automatically generated ASTER DEMs which are available are called "AST14DEM". The "AST14DMO" includes both the DEM and the orthoimage generated using this DEM.

Reply: true. Thanks. Changed everywhere and also in the color code of Figure 2.

L. 95: Co-registration is crucial. Hence include a short statement with reference regarding the co-registration.

Reply: We stated a few line above "Planimetric and altimetric offsets of each ASTER DEM were corrected using the SRTM DEM as a reference". We now added a reference to Nuth and Kääb (2011).

L. 112: Include one/two sentences how the uncertainties where calculated and then refer to the reference for more details.

Reply: More details about this uncertainty assessment is given now.

L. 192: Check sentence. Write glaciological mass balance (also L. 196), so that it fully clear that these are values are based on the glaciological method.

Reply: Sentence corrected.

L. 196: write "was" instead of "is".

Reply: corrected.

Table 1: Add uncertainty rages.

Reply: Added.

L. 252: Repetition of "consider"

Reply: corrected.

L. 294: I think you can make an even broader statement here as the penetration might also be underestimated in several other studies.

Reply: we followed this suggestion but the broader statement was included a few lines further down the text "Caution should thus be used when deriving mass balance using SRTM and Tandem-X DEMs over time period of less than ~20 years <u>in Alaska and elsewhere</u>".

L. 297: I think it is very crucial to be more precise of the x-band penetration. The penetration depth depends also on the depth of the snow and firn layers

Reply: we added "under cold and dry conditions".

L. 301: I'd move this important statement to the discussion and put slightly more emphasis on it.

Reply: we prefer to keep it here at the end of the conclusion as this is not a result from out study but a perspective on how to use more safely the tandem-X DEM.

I am looking forward to your revised version. Please include a reply to each comment and highlight the changes made in to manuscript.

Do not hesitate to ask in case you have a question.

Best regards,

Tobias - Editor TC

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2Brief communication: Unabated wastage of the Juneau and Stikine icefields

3(southeast Alaska) in the early 21st-twenty-first century

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13Abstract. The large Juneau and Stikine icefields (Alaska, JIF and SIF) lost mass rapidly in the second part of the 1420th century. Laser altimetry, gravimetry and sparse field measurements suggest continuing mass loss in the 15early 21st century. However, two recent studies based on time series of SRTM and ASTER digital elevation models 16(DEMs) indicate a slowdown in mass loss after 2000. Here, the ASTER-based geodetic mass balances is are 17recalculated, carefully avoiding the use of the SRTM DEM because of the unknown penetration depth of the C-18Band radar signal. We find strongly negative mass balances from 2000 to 2016 (-0.68±0.15 m w.e. a⁻¹ for the JHF 19Juneau Icefield and -0.83±0.12 m w.e. a⁻¹ for the SIFStikine Icefield), in agreement with laser altimetry, 20confirming that mass losses are continuing at unabated rates for both icefields. The SRTM DEM should be 21 avoided or used very cautiously to estimate glacier volume change, especially in the North Hemisphere and over 22timescales of less than ~20 yrs.

231 Introduction

24The Juneau Icefield (JIF) and Stikine Icefield (SIF) are among the largest and southernmost large icefields in 25Alaska (Figure 1). The JIF covers about 3800 km² and the SIF close to 6000 km² at the border between southeast 26Alaska and Canada (Kienholz et al., 2015). Together they account for roughly 10% of the total glacierized area in 27Alaska. Both icefields experienced rapid mass loss in the second part of the 20th century (Arendt et al., 2002; 28Berthier et al., 2010; Larsen et al., 2007). Spaceborne gravimetry and laser altimetry data suggest-indicate 29continuing rapid mass loss in southeast Alaska between 2003 and 2009 (Arendt et al., 2013).

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31For the JIF, Larsen et al. (2007) found a negative mass balance of -0.62 m w.e. a⁻¹ for a time interval starting in 321948/1982/1987 (depending on the map dates) and ending in 2000, the date of acquisition of the shuttle radar 33topographic mission (SRTM) digital elevation model (DEM). Berthier et al. (2010) found a slightly less negative 34multi-decadal mass balance (-0.53 \pm 0.15 m w.e. a⁻¹) from the same starting dates as Larsen et al. (2007) to a

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35 final DEM acquired in 2007. Repeat airborne laser altimetry are available for nine glaciers of the JIF (Larsen et 36al., 2015) with a first survey performed in 1993 (2 glaciers), 1999 (1 glacier) and 2007 (6 glaciers). The last survey 37used in Larsen et al. (2015) was flown in 2012 for all glaciers. During these varying time intervals, nine glaciers 38experienced strongly negative mass balances (between -0.51 and -1.14 m w.e. a⁻¹) while Taku Glacier, which 39alone accounts for one fifth of the JIF area, experienced a slightly positive mass balance (+0.13 m w.e. a⁻¹). 40Further, the glaciological measurements performed on Lemon Creek Glacier, (11.8 km² in 1998, a world glacier 41monitoring service (WGMS) reference glacier covering 11.8 km² in 1998, suggest accelerated mass loss since 42the mid-eighties1980s: the glacier-wide mass balance declined from -0.30 m w.e. a⁻¹ during between 1953 and 43-1985 to -0.60 m w.e. a⁻¹ during between 1986 and -2011 (Pelto et al., 2013). The trend toward enhanced mass 44<u>loss</u> is statement is also valid observed onfor Taku Glacier, for which the mass balance was positive (+0.42 m w.e. 45a⁻¹) from 1946 to 1988 and negative (-0.14 m w.e. a⁻¹) from 1988 to 2006 (Pelto et al., 2008). A modelling study 46also found a negative mass balance for the entire JIF (-0.33 m w.e. a⁻¹) for 1971-2010 (Ziemen et al., 2016). Their 4740-year mass balance is a result of glacier mass stability until 1996 and rapid mass loss afterwards. Taken 48together, all these studies point toward rapid mass loss of the JIF and accelerated wastage during the last ~20 49years. Conversely, a study based on the SRTM DEM and Advanced Spaceborne Thermal Emission and Reflection 50Radiometer (ASTER) multi-temporal DEMs found a JIF mass balance only moderately negative at -0.13 \pm 0.12 m 51w.e. a⁻¹ from 2000 to 2009/2013 (Melkonian et al., 2014).

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53Only a few estimates of mass change are available on the larger and more remote SIF. Three of its glaciers were 54surveyed with airborne laser altimetry from 1996 to 2013 and all experienced rapid mass loss (Larsen et al., 552015). The glacier-wide mass balances were -0.71, -0.98 and -1.19 m w.e. a⁻¹ for, respectively, Baird, Le Conte 56and Triumph glaciers (Figure 1) (Larsen et al., 2015). Based on DEM differencing over several decades, Larsen et 57al. (2007) and Berthier et al. (2010) found SIF-wide mass balance of, respectively, -1.48 and -0.76 \pm 0.12 m w.e. a⁻ 58¹. A recent estimate based on the SRTM and ASTER DEMs suggest a less negative icefield-wide mass balance of 59-0.57 ± 0.18 m w.e. a⁻¹ from 2000 to 2014 (Melkonian et al., 2016).

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61If correct, Melkonian et al. (2014, 2016)'s estimates would imply a considerable slowdown of the mass loss of 62the Juneau and, to a smaller extent, Stikine icefields during the first decade of the 21st century. However, no 63clear trend in climate such as cooling or increased precipitation was found during this period to explain such a 64slow-down (Melkonian et al., 2014; Ziemen et al., 2016). Field observations of the equilibrium line altitudes and 65surface mass balances on Lemon Creek and Taku glaciers (JIF) also do not support a slow-down (WGMS, 2017). 66Further, Melkonian et al. (2014, 2016)'s estimates used as starting elevation measurement the C-Band SRTM 67DEM acquired in February 2000, the core of winter in Alaska. The C-Band radar signal is known to penetrate into 68the cold winter snow and firn such that SRTM maps a surface below the real glacier surface which can bias the 69elevation change measurements (e.g., Berthier et al., 2006; Rignot et al., 2001). Melkonian et al. (2014, 2016) 70accounted for this penetration by subtracting the simultaneous C-Band and X-Band SRTM DEMs, assuming no 71penetration of the X-Band DEM (Gardelle et al., 2012), the best available correction at the time of their study.

72However, this strategy is-may not be appropriate given that the X-band penetration depth has recently been 73recognized to reach several meters in cold and dry snow/firn (e.g., Dehecq et al., 2016; Round et al., 2017). In 74this context, the goal of this brief communication is to recalculate the early 21st century geodetic mass balances 75of the Juneau and Stikine icefields using multi-temporal ASTER DEMs, carefully excluding the SRTM DEM to 76avoid a likely penetration bias.

772 Data, methods and uncertainties

78The data and methodology applied to the JIF and SIF were identical to the ones used in a recent study deriving 79region-wide glacier mass balances in High Mountain Asia (Brun et al., 2017). The reader is thus referred to the 80latter study for details. Only the main processing steps are briefly presented here.

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82ASTER DEMs were calculated using the open-source Ames Stereo Pipeline (ASP) (Shean et al., 2016) from 3N 83(nadir) and 3B (backward) images acquired between 2000 and 2016. All ilmages with cloud coverage lower than 8480% were selected, resulting in 153 stereo pairs for the JIF and 368 stereo pairs for the SIF. Images-DEMs in 85which valid elevation data covered less than 0.5% of the icefield areas were excluded, reducing the number of 86stereo pairs<u>DEMs</u> to 114 for the JIF and 284 for the SIF. Planimetric and altimetric offsets of each ASTER DEM 87were corrected using the SRTM DEM as a reference (Nuth and Kääb, 2011). Offsets were determined on stable 88terrain, masking out glacierized areas using the Randolph Glacier Inventory v5.0 (Pfeffer et al., 2014). The RGI 89v5.0 glacier outlines for both the JIF and SIF were mapped using imagery acquired in majority infrom August of 902004 and 2005 (Bolch et al., 2010; Kienholz et al., 2015). No updated inventory is available or was produced 91during this study for the JIF and SIF. Therefore, we neglected changes in glacierized area between 2000 and 922016, and assumed that mass balance uncertainties linked to area changes are covered by our 5% area 93uncertainty (Paul et al., 2013, Dussaillant et al., 2018).

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95For the JIF only, we also downloaded directly the ASTER DEMs available online from the LPDAAC website (called 9614DMOAST14DEM) because they were used in Melkonian et al. (2014, 2016). The goal is to test the sensitivity of 97the JIF-wide mass balance to the ASTER DEM generation software. 3D coregistration of the 14DMOAST14DEMs 98DEMs was performed using the same steps as the ASP DEMs. Unlike the ASP DEMs, the 14DMOAST14DEMs 99DEMs-contain no data gaps, as they are filled by interpolation.

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101From the time series of 3D-coregistered ASTER DEMs, the rate of elevation changes (dh/dt in the following) was 102extracted for each pixel of our study domain in two steps (Berthier et al., 2016). The SRTM DEM was excluded 103 when extracting the final dh/dt. dh/dt were calculated for the entire period (from 2000 to 2016) and also for 104different sub-periods for the sake of comparability to published mass balance estimates. 105

106For both icefields and in each 50-m altitude interval, dh/dt lying outside of ±3 normalized median absolute 107deviations (NMAD) were considered as outliers. We further excluded all dh/dt measurements for which the 108error in the linear fit is larger than 2 m a⁻¹. The total volume change rate was calculated as the integral of the 109mean dh/dt over the area altitude distribution. The icefield-wide mass balances was were obtained using a 110volume-to-mass conversion factor of 850 ± 60 kg m⁻³ (Huss, 2013). The same procedure was followed to 111compute the glacier-wide mass balances of selected individual glaciers for which mass balances were estimated 112from repeat laser altimetry surveys (Larsen et al., 2015).

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114Uncertainties for *dh/dt* were computed using the tilea method as in Berthier et al. (2016).-which consists in 115sSplitting the off-glacier terrain in 4 by 4 tiles (Berthier et al., 2016).- For each tile, the mean *dh/dt* off-glacier is 116computed. The uncertainty is then calculated usingas the mean absolute difference for these 16 tiles. Wwe 117found uncertainties of 0.03 m a⁻¹ for JIF and 0.04 m a⁻¹ for SIF from 2000 to 2016. When data gaps occurred in 118the *dh/dt* map, we conservatively multiplied these uncertainties by a factor of five. A \pm 5% uncertainty for 119glacier area (Paul et al., 2013) and \pm 60 kg m⁻³ for the density conversion factor (Huss, 2013) were used.

1203 Results

121Rate of elevation changes for the two icefields from 2000 to 2016 are mapped in Figure 1. Most glaciers thinned 122rapidly in their lower parts and experienced limited elevation change in their upper reaches. Thinning rates as 123negative as 9 m a⁻¹ are observed. Taku Glacier (southern outlet of the JIF) is an exception with thickening of up to 1244 m a⁻¹ at its glacier front. <u>Understanding the pattern of *dh/dt* and its variability among glaciers is beyond the 125scope of this brief communication and the reader is referred to earlier publications on this topic (e.g., Larsen et 126al., 2015).</u>



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129Figure 1: Rate of elevation changes for the Juneau and Stikine icefields from 2000 to 2016. (a) Location of the two icefields in southeast 130Alaska. Rate of elevation changes (dh/dt) for the JIF (b) and (c) for the SIF. Glacier outlines are from RGI v5.0. Glaciers surveyed by 131airborne laser altimetry are labelled. The horizontal scale and the color code are the same for the two maps. Areas in white correspond 132to data gaps.

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134The 2000-2016 mass balances are clearly negative for both icefields at -0.68±0.15 m w.e. a⁻¹ for JIF (59% 135coverage with valid data) and -0.83±0.12 m w.e. a⁻¹ for SIF (81% coverage with valid data). Our values are 1360.51±0.18 m w.e. a⁻¹ (JIF) and 0.21±0.25 m w.e. a⁻¹ (SIF) more negative than in Melkonian et al. (2014, 2016) and 137statistically different for the JIF, i.e. the JIF mass balances do not overlap given the error bars. If we apply the 138linear regression analysis to a subset of the ASTER DEMs to match the time periods studied by Melkonian et al. 139(2014, 2016), the icefield-wide mass balances remain mostly unchanged: -0.64±0.14 m w.e. a⁻¹ for JIF from 2000 140to 2013, 44% coverage with valid data; -0.78±0.17 m w.e. a⁻¹ for SIF from 2000 to 2014, 55% coverage with valid 141data.

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143The coverage with valid *dh/dt* data drops rapidly for both icefields when shorter time periods are considered. 144<u>especially at high elevation</u>. For example, the percentage of valid data is reduced to <u>only</u>8% (respectively 25%) 145only on the JIF when the 2000-2008 (respectively 2008-2016) period is analyzed. Thus, the ASTER multi-

146temporal analysis is not appropriate to measure mass balance over periods shorter than 10 years for these two 147Alaskan icefields. This is due to the presence of many cloudy images and, for cloud-free scenes, to a large 148percentage of data gaps in individual ASTER DEMs over the accumulation areas of the icefields, a direct result of 149the limited contrast in the ASTER stereo-images over textureless snow fields.

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151In Figure 2, *dh/dt* are plotted as a function of altitude and compared to the values in Melkonian et al. (2014, 1522016). To enable a more direct comparison, we applied the same criteria to average their *dh/dt* in 50-m altitude 153bands and exclude outliers. We also considered the same periods, from 2000 to 2013 for the JIF and from 2000 154to 2014 for the SIF. In the case of the SIF (Figure 2b), we also added the *dh/dt* obtained by applying our method 155to the 14DMOAST14DEMs-DEMs.

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158**Figure 2:** Rates of elevation change vs. elevation for the JIF from 2000 to 2013 (a) and for the SIF from 2000 to 2014 (b). Results from this 159study are compared to the dh/dt values obtained in two earlier studies using a similar method (Melkonian et al., 2014, 2016). The grey 160histograms show the area-altitude distribution.

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162For the JIF, an excellent agreement is found between the *dh/dt* values obtained in this study using the ASP <u>DEMs</u> 163and-<u>the</u>14DMOAST14DEMs-DEMs, except maybe-between 250 and 600 m a.s.l. (5% of the icefield area) where 164the thinning rates are about 0.5 m a⁻¹ more negative using the <u>14DMOAST14DEMs</u>-DEMs. The area-weighted 165mean absolute difference between these two curves (ASP and <u>14DMOAST14DEM</u>) is 0.09 m a⁻¹. The Melkonian 166et al. (2014)'s *dh/dt* generally agree with ours below 600 m a.s.l. Above this elevation, their values are 167systematically more positive. The difference reaches 0.7 m a⁻¹ at 800 m a.s.l. and then remains more or less 168stable, around 0.7-0.9 m a⁻¹. Melkonian et al. (2014) data suggests thickening of the areas above 1350 m a.s.l. 169where 62% of the JIF area is located.

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171For SIF, a good agreement is found between ours and Melkonian et al. (2016)'s dh/dt below an elevation of 1300 172m a.s.l. Above 1300 m the two curves diverge. Our dh/dt are becoming less negative until 2100 m a.s.l. where

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173 they become indistinguishable from 0 m a⁻¹ up to the SIF highest elevation band. Conversely, in the Melkonian et 174al. (2016) dataset, dh/dt increases rapidly, crossing 0 m a⁻¹ at ~1650 m a.s.l., finally arriving at a thickening rate of 175> 0.7 m a⁻¹ above 2000 m a.s.l. Thus the difference in SIF-wide mass balance between the two datasets is due to 176difference in *dh/dt* above 1300 m a.s.l., where 66% of the SIF icefield area is found.

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178Comparison of our *dh/dt* estimates to the ones derived from repeat laser altimetry data is not straightforward 179because the survey periods differ. For example, for the JIF, six out of nine glaciers were sampled for the first time 180in 2007. In most cases, it would be technically possible to use a temporal subset of the ASTER DEMs to match 181the time period of altimetry surveys but, as said above, this would be at the cost of the coverage in our dh/dt182maps and would lead to much more uncertain mass balance estimates. Consequently, we preferred to extract 183dh/dt and the individual glacier mass balance for the longest available time period in the ASTER series (from 1842000 to 2016) in order to maximize coverage and thus minimize uncertainties. AnotherA further complication 185for the comparison of our ASTER-based results to repeat laser altimetry arises from the different spatial 186sampling: generally mostly continuous coverage from DEMs vs. centreline sampling only-from laser altimetry. 187Berthier et al. (2010) found that centreline sampling could lead to an overestimation of the-mass loss. In 188factheir study, two large and rapidly retreating glaciers (Bering and Columbia, outside of our study domain) 189alone_were responsible for 92% of the overestimation of the mass loss from centreline profiling (Table S4 in 190Berthier et al., 2010). while the oOverestimation was not obvious for other glaciers (their Table S4). More 191recently, Johnson et al. (2013) developed presented an improved methodology treatment of laser altimetry data 192and found no such overestimation from centerline profiling forover the nearby-Glacier Bay region of(-sSoutheast 193Alaska). In their revised improved processing analysis, each change in elevation (dz) is assigned to a mid-point 194between old and new elevations whereas in the original laser altimetry analysis (Arendt et al., 2002), dz were 195assigned to the old elevation.

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197The pattern of *dh/dt* with altitude for individual glaciers is in broad agreement between laser altimetry and our 198ASTER-based results (Supplementary Figure S1). Importantly, for both datasets, no clear thickening was 199observed in the accumulation areas of glaciers. When individual elevation bins of 50 m are considered, averaged 200differences between *dh/dt* from laser altimetry and the ASTER DEMs are typically 0.2 to 0.3 m a⁻¹ for individual 201 glaciers. This level of error is similar to the one found previously for the ASTER method in the Mont-Blanc area 202(Berthier et al., 2016).

203

204Glacier-wide mass balances for individual glaciers match well (Table 1, Supplementary Figure S2).

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206The mean mass balance of these 12 glaciers is nearly the same (-0.73 and -0.74 m w.e. a⁻¹) using the two 207techniques. The standard deviation of the mass balance difference is 0.18 m w.e. a⁻¹ (n=12). For 60 individual 208glaciers larger than 2 km² in High Mountain Asia, Brun et al. (2017) also found a standard deviation of 0.17 m 209w.e. a⁻¹ between the ASTER-based and published glacier-wide mass balance estimates. In the very different 210geographic context of large maritime glaciers of southeast Alaska, we confirm here their uncertainty estimate 211 for individual glaciers in High Mountain Asia.

212

213Our results are also in good agreement with field (glaciological) measurements on Taku and Lemon Creek 214glaciers. For Taku Glacier, found the mass balance was -0.01 m w.e. a⁻¹ between September 2000 and September 2152011 (Pelto et al., 2013) and -0.08 m w.e. a⁻¹ between September 2000 and September 2016 (WGMS, 2017). We 216 derived a very similar glacier-wide mass balance (-0.01 \pm 0.16 m w.e. a⁻¹) from ASTER DEMs acquired between 2172000 and 2016. Conversely, Melkonian et al. (2014)'s mass balance for Taku Glacier was strongly positive at $218+0.44 \pm 0.15$ m w.e. a⁻¹. The 2000-2016 mass balance for Lemon Creek Glacier is-was -0.56 m w.e. a⁻¹ (WGMS, 2192017) while our ASTER-based mass balance is just slightly more negative at -0.78 ± 0.14 m w.e. a⁻¹. 220

221 Table 1. Glacier-wide mass balances (B_a) of 12 individual glaciers of the JIF and SIF derived from airborne laser altimetry for 222different periods (Larsen et al., 2015) and calculated in this study using ASTER DEMs from 2000 to 2016. Uncertainties for 223the mean mass balances of 9 (JIF) and 3 (SIF) and 12 (JIF and SIF) glaciers are calculated as the area-weighed mean of 224<u>uncertainties for individual glaciers.</u>

Icefield/Glacier	Area km²	Laser period	B _a Laser	B _a ASTER
			m w.e. a ⁻¹	m w.e. a ⁻¹
			(Larsen et al., 2015)	(this study)
Juneau	3398			-0.68 <u>±0.15</u>
Field	187	2007-2012	-0.94 <u>±0.26</u>	-0.93 <u>±0.16</u>
Gilkey	223	2007-2012	-0.75 <u>±0.23</u>	-0.99 <u>±0.1</u> 4
Lemon Creek	9	1993-2012	-0.91 <u>±0.48</u>	-0.78 <u>±0.14</u>
Llewellyn	435	2007-2012	-0.61 <u>±0.15</u>	-0.70 <u>±0.17</u>
Meade	446	2007-2012	-1.03 <u>±0.26</u>	-0.88 <u>±0.15</u>
Mendenhall	106	1999-2012	-0.57 <u>±0.87</u>	-0.73 <u>±0.13</u>
Taku	711	1993-2012	0.13 <u>±0.10</u>	-0.01 <u>±0.16</u>
Warm Creek	39	2007-2012	-0.67 <u>±0.31</u>	-0.71 <u>±0.16</u>
Willison	79	2007-2012	-0.51 <u>±0.38</u>	-0.69 <u>±0.15</u>
Sum/Mean 9 glaciers	2234		-0.65 <u>±0.22</u>	-0.71 <u>±0.16</u>
Stikine	5805			-0.83 <u>±0.12</u>
LeConte	56	1996-2013	-0.98 <u>±0.31</u>	-0.93 <u>±0.13</u>
Baird	435	1996-2013	-0.71 <u>±0.12</u>	-0.70 <u>±0.12</u>
Triumph	356	1996-2013	-1.19 <u>±0.48</u>	-0.86 <u>±0.10</u>
Sum/Mean 3 glaciers	847		-0.96 <u>±0.28</u>	-0.83 <u>±0.12</u>
Maan all 12 Class			0 72 4 0 24	0.74 + 0.45
Mean all 12 Glaciers			-0.73 <u>±0.24</u>	-0.74 <u>±0.15</u>

225

2264 Discussion

227We find an excellent agreement between repeat laser altimetry survey and our multi-temporal analysis of ASTER 228DEMs both in term of mass balances and pattern of dh/dt with altitude for the JIF and SIF since 2000 229(Supplementary Figure S1-S2). This agreement suggests that an appropriate analysis of centreline data may be 230appropriatesufficient to study measure the glacier-wide mass balance of these glaciers as, previously also shown

231for the nearby Glacier Bay area (Johnson et al., 2013). Our results also suggest that the limited number of 232glaciers sampled using laser altimetry are representative of the icefields as a whole. This is rather expected for 233the JIF because 9 glaciers covering a large fraction of the icefield (66%) were monitored using airborne data but 234not straightforward for the SIF where only 3 glaciers, accounting for 15% of the total icefield area, were 235surveyed.

236

237This agreement between our ASTER results and airborne laser altimetry, together with the fact that most studies 238point toward steady or accelerating mass losses in southeast Alaska (see introduction), suggest that the mass 239balance is overestimated in Melkonian et al. (2014, 2016). There are two main differences between Melkonian 240et al. (2014, 2016)'s method and ours that could explain these contending mass balances: (i) they did not 241generate the DEM themselves but directly download the <u>14DMOAST14DEM</u> product from the LPDAAC website 242and (ii) they used the SRTM DEM as a starting elevation in their regression analysis to compute dh/dt.

243

244To test the sensitivity of our results to the ASTER DEM generation software, we applied our processing chain (in 245particular, excluding the SRTM DEM to infer the final dh/dt) to the <u>14DMOAST14DEMs</u>-DEMs. From 2000 to 2462016, we found a JIF-wide mass balance of -0.67±0.27 m w.e. a⁻¹, in striking agreement with the value derived 247 from ASP DEMs (-0.68 \pm 0.15 m w.e. a⁻¹). The pattern of *dh/dt* with elevation is also in excellent agreement (Figure 2482a). Uncertainties are nearly doubled when applying our method to the 14DMO-AST14DEMsDEMs: this is 249explained by larger errors of *dh/dt* off glacier (0.06 m a⁻¹ for <u>AST14DEMs</u><u>14DMO DEMs</u>-vs. 0.03 m a⁻¹ for ASP 250DEMs) and a lower coverage of the JIF with valid *dh/dt* data (49% for <u>AST14DEMs</u> <u>14DMO DEMs</u> vs. 59% for ASP 251DEMs). The latter may appear counter-intuitive as the AST14DEMs14DMO DEMs are delivered with no data 252gaps. The larger percentage of data gaps in the final AST14DEMs14DMO dh/dt maps results from the higher 253 noise level of the individual AST14DEMs 14DMO DEMs and demonstrate the efficiency of our filters to exclude 254unreliable *dh/dt* values.

255

256Thus, we conclude that a likely explanation why Melkonian et al. (2014, 2016) found too positive mass balance 257 for the JIF and, to a lesser extent, for the SIF is because of associated with the SRTM DEM and in particular the 258penetration of the SRTM_C-Band radar signal into cold winter snow and firn. This interpretation is further 259 supported by the fact that dh/dt curves nicely agree in the ablation areas where SRTM penetration depth is 260negligible and diverge in the colder and drier accumulation areas where the largerst penetration depths are 261expected (Figure 2). As noted in the introduction, Melkonian et al. (2014, 2016) attempted to accounted for this 262by subtracting the C-Band and X-Band SRTM DEM, assuming no penetration of the X-Band DEM (Gardelle et al., 2632012). However, studies have measured X-band penetration depth-can reachof several meters into cold snow 264and firn (e.g., Dehecq et al., 2016; Round et al., 2017). In the case of the SIF, Melkonian et al. (2016) assumed no 265penetration below 1000 m a.s.l. and 2 m for elevations above 1000 m. Aware of how uncertain this correction 266was, these authors also proposed (their supplementary material section 6.3 and, Table S4) a different correction 267 with no penetration below 1000 m a.s.l. and a linear increase from 2 to 8 m from 1000-2500 m a.s.l. Using this

268alternative scenario, they found an icefield-wide mass balance of -0.85 m w.e. a⁻¹, in better agreement with our 269value of -0.78±0.17 m w.e. a⁻¹ from 2000 to 2014. Their 2 to 8 m penetration depth is consistent with the 270penetration gradient we inferred here by subtracting the SRTM DEM from a reconstructed DEM, obtained by 271extrapolating *dh/dt* to the time of acquisition of the SRTM as proposed in Wang and Kääb (2015). This is also 272consistent with a first-order estimate of the penetration depth inferred from the elevation difference between 273the SRTM DEM and laser altimetry profiles acquired in late August 1999 and May 2000 over Baird and Taku 274glaciers. However, the latter estimates should be considered with care considering given the complexity to 275account simultaneously for seasonal elevation changes, long term elevation changes and the difficulty to 276estimate the vertical offset between the two elevation datasets on ice-free terrain.

277

278The fact that the positive bias in Melkonian et al. (2014, 2016) mass balances was larger for the JIF and than for 279the SIF suggests a larger SRTM penetration depth for the JIF. It indicates that thise penetration is probably 280spatially variable (depending on the firn conditions in February 2000) such that a correction determined on a 281single icefield (or worse a single glacier) may not apply to neighbouring glacier areas. 282

283Larsen et al. (2007) used the SRTM DEM as their final topography after applying a linear correction of SRTM with 284altitude (2.6 m per 1000 m elevation, with a -2.5 offset at 0 elevation) determined by comparing SRTM to 285August 2000 laser altimetry data. Such a correction would correspond to a maximum SRTM penetration of ~1.5-2862 m above 1500 m a.s.l., much smaller than what we found here. Thus, the fact that SRTM penetration depth is 287larger than previously thought over southeast Alaska icefields may explain why Larsen et al. (2007) found larger 288mass losses than Arendt et al. (2002) and Berthier et al. (2010) who both used only non-penetrating optical 289(Lidar or stereo imagery) data (lidar or stereo-imagery).

290

291An uneven seasonal distribution of the ASTER DEMs could bias the multi-annual mass balances derived using 292the ASTER method (Berthier et al., 2016). This is especially crucial in maritime environment such as southeast 293Alaska where large seasonal height variations are expected. As in the case of the Mont-Blanc area (Figure 6 in 294Berthier et al., 2016), we sampled an hypothetic seasonal cycle in surface elevation changes at the time of 295acquisition of all ASTER DEMs over the JIF and fitted a linear regression to the elevation change time series. 296Assuming a seasonal amplitude as large as 10 m (a value in agreement with field measurements of the Juneau 297Icefield Mass Balance Program, Pelto et al., 2013), the slope of the regression line is very close to 0 (-0.007 m a⁻¹) 298suggesting no seasonal bias in the dates of the ASTER DEMs. To confirm the lack of seasonal bias and because 299the majority of the ASTER images were acquired close to accumulation peak, we also calculated a mass balance 300for the JIF considering only the 61 ASTER DEMs acquired in March, April and May between 2000 and 2016. For 301this alternative mass balance estimate, the coverage with valid data is reduced to 38%. At -0.58±0.18 m w.e. a⁻¹, 302the JIF-wide mass balance is slightly less negative but not statistically different from the "all seasons" value (-3030.68±0.15 m w.e. a⁻¹, 59% of valid data). The pattern of dh/dt with altitude is also very similar.

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3055 Conclusion

306<u>Our ASTER-based analysisIn this study, we</u> show<u>s</u> that the Juneau and Stikine icefields continued to lose mass 307rapidly from 2000 to 2016, which a findingis in agreement with the <u>repeat laser</u> altimetry and field based 308assessments<u>measurements</u> on a smaller sample of these glaciers. The mass balances from repeat airborne laser 309altimetry and multi-temporal ASTER DEMs are reconciled if the SRTM DEM is discarded when extracting the rate 310of elevation change on glaciers from the elevation time series. Multi-temporal analysis of DEMs derived from 311<u>medium resolution</u> satellite optical stereo-imagery is thus a powerful method to estimate geodetic region-wide 312mass balances over time intervals of, typically, more than 10 years. <u>Shorter time intervals can now be measured</u> 313<u>using very high resolution imagery (e.g., Worldview and Pléiades)</u>. The strength of the ASTER method lies in the 314fact that it is based on an homogeneous and continuous archive of imagery built since 2000 using the same 315sensor. Maintaining openly available medium- to high-resolution stereo capabilities should be a high priority 316among space agencies in the future.

317

318Previously published mass balances for these Alaska icefields using SRTM and ASTER DEMs were likely biased 319positively because of the strong penetration of the C-Band and X-Band radar signal into the cold winter snow 320and firn in February, when the SRTM was flown. Accounting for this penetration by subtracting the C-Band and 321X-Band SRTM DEMs (as often done before) is not appropriate because the X-Band penetration depth can also 322<u>sometimes</u> reach several meters <u>if radar images are acquired under cold and dry conditions</u>, except if water is 323<u>present in the snow and firn upper layers at the time of acquisition of the radar images</u>. <u>Under wet conditions</u>, 324<u>when water is present in the snow and firn upper layers, this penetration is reduced. Even so, c</u>Caution should 325thus be used when deriving mass balance using SRTM and Tandem-X DEMs over time period of less than ~20 326years<u>in Alaska and elsewhere</u>. Comparing DEMs acquired at the same time of the year using the same radar 327wavelength (e.g., Neckel et al., 2013) is one promising strategy to limit the bias due to differential radar 328penetration (e.g., Neckel et al., 2013).

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336Author contributions

337E.B. designed the study, made the data analysis and lead the writing. C.L. provided the laser altimetry data.

338W.D., M.W. and M.P. provided unpublished results. All authors discussed the results and wrote the paper.

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415**Supplement**

416





418Supplementary Figure S1: Rates of elevation change vs. elevation for (a) Gilkey Glacier (Juneau Icefield) and (b) Baird Glacier (Stikine 419Icefield) measured from ASTER DEMs (blue curve, 2000-2016) and airborne laser altimetry data (2007-2012 for Gilkey and 1996-2013 for 420Stikine). The upper curve (right Y-axis) show the total area altitude distribution (black) and the glacier area effectively sampled using in 421the ASTER <u>DEMs_dh/dt</u> (grey).

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423

424Supplementary Figure S2: Glacier-wide mass balances (Ba) of individual glaciers of the JIF (yellow, 9 glaciers) and SIF (blue, 3 glaciers) 425 calculated in this study using ASTER DEMs from 2000 to 2016 and derived from airborne laser altimetry for different periods (Larsen et 426al., 2015). The dashed line is the 1:1 line.