



1	Ti	tle: Climate change and the global pattern of moraine-dammed glacial lake outburst floods	
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### 49 Abstract:

50 Despite recent research identifying a clear anthropogenic impact on glacier recession, the effect of 51 recent climate change on glacier-related hazards is at present unclear. Here we present the first global 52 spatio-temporal assessment of glacial lake outburst floods (GLOFs) focusing explicitly on lake drainage 53 following moraine dam failure. These floods occur as mountain glaciers recede and downwaste and many have an enormous impact on downstream communities and infrastructure. Our assessment of 54 55 GLOFs associated with the collapse of moraine-dammed lakes provides insights into the historical trends 56 of GLOFs and their distributions under current and future global climate change. We observe a clear 57 global increase in GLOF frequency and their regularity around 1930, which likely represents a lagged 58 response to post-Little Ice Age warming. Notably, we also show that GLOF frequency and their 59 regularity —rather unexpectedly—has declined in recent decades even during a time of rapid glacier 60 recession. Although previous studies have suggested that GLOFs will increase in response to climate 61 warming and glacier recession, our global results demonstrate that this has not yet clearly happened. From assessment of the timing of climate forcing, lag times in glacier recession, lake formation and 62 moraine dam failure, we predict increased GLOF frequencies during the next decades and into the 22<sup>nd</sup> 63 64 century.

## 65 1. Introduction

There is increasing scientific and policy interest in detecting climate change impacts and assessing the extent to which these can be attributable to anthropogenic or natural causes. As a result, recent research demonstrating an anthropogenic fingerprint on a significant proportion of recent global glacier recession is an important step forward (Marzeion et al. 2014). The focus can now shift to glacier hazards but the complex nature of glacier-climate interactions (Roe et al. 2017) and their influence on hazards makes this a challenging task (Shugar et al. 2017).

Mountain glaciers have continued to recede (Kargel et al. 2014; Cramer et al. 2014) and thin from their
late Holocene (Little Ice Age; LIA) positions and, in many cases, the rate of recession and thinning has
increased over recent decades largely as a consequence of global warming (Marzeion et al. 2014).
Thinning, flow stagnation and recession of glacier tongues have resulted in formation of morainedammed lakes (Richardson and Reynolds 2000). These moraines, some of which contain a melting ice
core, are built from rock debris transported by glaciers. When they fail, large volumes of stored water

78 can be released, producing glacial lake outburst floods (GLOFs). These floods have caused thousands of





79 fatalities and have severe impacts on downstream communities, infrastructure and long-term economic

80 development (Mool et al. 2011; Riaz et al. 2014; Carrivik and Tweed 2016).

81

82 Although much research has been carried out on the nature and characteristics of GLOFs and hazardous 83 lakes from many of the world's mountain regions (e.g. Lliboutry et al. 1977; Evans 1987; O'Connor et al. 2001; Huggel et al. 2002; Bajracharya and Mool 2009; Ives et al. 2010; Iribarren et al. 2014; Lamsal et al. 84 85 2014; Vilimek et al. 2014), there are significant gaps in our knowledge of these phenomena at the global 86 scale and concerning their relationship to anthropogenic climate change. Detecting changes in the 87 magnitude, timing and frequency of glacier-related hazards over time and assessing whether changes 88 can be related to climate forcing and glacier dynamical responses is also of considerable scientific and 89 economic interest (Oerlemans 2005; Stone et al. 2013). However, to achieve this knowledge of the 90 mechanisms leading to GLOF initiation as gained from multiple case studies is not sufficient, and a more comprehensive understanding of the global frequency and timing of GLOFs is necessary. Testing such 91 92 relationships at a global scale is also an important step toward assessment of the sensitivity of 93 geomorphological systems to climate change. 94 Despite numerous inventories of GLOFs at regional scales, no global database has been created which 95 focuses specifically on GLOFs relating to the failure of moraine dams, and this is needed to place GLOFs 96 in their wider climatic context (Richardson and Reynolds 2000; Mool et al. 2011). This means that we 97 are unable to answer some important questions concerning their historic behaviour and therefore the 98 changing magnitude and frequency of GLOFs globally through time, and their likely evolution under future global climate change. This latter point is made even more difficult by the lack of long-term 99 100 climate data from many mountain regions. Given the size and impacts of GLOFs in many mountain 101 regions, better understanding their links to present and future climate change is of great interest to 102 national and regional governments, infrastructure developers and other stakeholders. In fact, glacial 103 hazard research needs to be increasingly concerned with climate change adaptation which has become 104 a pressing issue in the rapidly changing cryosphere environments and affected downstream areas. 105 These issues and knowledge gaps can be addressed via a systematic, uniform database of GLOFs. Here 106 we have compiled an unprecedented global GLOF inventory related to the failure of moraine dams. We 107 discuss the problems involved in developing a robust attribution argument concerning GLOFs and 108 climate change. This inventory covers only the subset of GLOFs that are linked to overtopping or failure





- 109 of moraine dams. Our focus on moraine dams is motivated by: 1) this type of event leaving clear
- 110 diagnostic evidence of moraine-dam failures in the form of breached end moraines and lake basins,
- 111 whereas ice dammed lake failures commonly do not leave such clear and lasting geomorphological
- 112 evidence; and 2) the conventional hypothetical link between climate change, glacier response, moraine-
- 113 dammed lake formation and GLOF production is more straightforward compared to the range of
- 114 processes driving GLOFs from ice- and bedrock-dammed lakes.

115 Such events are often triggered by ice and rock falls, rock slides or moraine failures into lakes creating

116 seiche or displacement waves, but also by heavy precipitation or ice/snow melt events (Richardson and

117 Reynolds 2000). While climate change plays a dominant role in the recession of glaciers, downwasting

118 glacier surfaces debuttress valley rock walls leading to catastrophic failure in the form of rock

119 avalanches or other types of landslides (Shugar and Clague 2011; Vilimek et al. 2014). Other climatically

120 induced triggers of moraine dam failures include increased permafrost and glacier temperatures leading

- 121 to failure of ice and rock masses into lakes and the melting of ice cores in moraine dams which leads to
- 122 moraine failure and lake drainage.

123 Attribution of climate change impacts is an emerging research field and no attribution studies on GLOFs 124 are available so far. Even for glaciers only very few attribution studies have been published to date (Mrzeion et al. 2014; Roe et al. 2017). Follow-up studies from the IPCC 5<sup>th</sup> Assessment Report (Cramer et 125 126 al. 2014) proposed a methodological procedure to attribute impacts to climate change (Stone et al. 2013). Based on that, a methodologically sound detection and attribution study needs first to formulate 127 a hypothesis of potential impact of climate change. In our case physical process understanding supports 128 129 the association between climate change and GLOFs associated with moraine dam failure by climate 130 warming resulting in glacier recession and glacial lake formation and evolution behind moraine dams 131 which become unstable and fail catastrophically. The next step requires a climate trend to be detected, 132 followed by the identification of the baseline behaviour of the system in absence of climate change. The 133 difficulty of identifying the baseline behaviour is related to several factors. The first is the existence of 134 confounding factors, both natural and human related. For instance, the frequency of GLOFs from 135 moraine dams also depends on factors such as the stability of the dam, including dam geometry and material, or mitigation measures such as artificial lowering of the lake level (Portocarreo-Rodriguez 136 2014). Second, there are few long-term palaeo-GLOF records with which to assess baseline behaviour. 137

138 Eventually, attribution includes the detection of an observed change that is consistent with the response





139 to the climate trend, in our case a change in GLOF occurrence, and the evaluation of the contribution of

140 climate change to the observed change in relation to confounding factors.

141

# 142 **2. Methods**

We produced a database of 191 GLOFs developed from a collation of regional inventories and reviews 143 (e.g. GAPHAZ and GLACIORISK databases and the GLOF Database provided under ICL) and reviews (see 144 145 Supplementary Information File). The GLOF database was developed from a collation of regional 146 inventories and reviews (Supplementary Information File). Only GLOFs that could be dated to the year 147 and to moraine failure were included. Past temperature trends from the glacier regions of interest were 148 extracted from three independent global temperature reconstructions (CRUTEM4.2 (Jones et al. 2012), 149 NOAA NCDC (Smith et al. 2008) and NASA GISTEMP (Hansen et al. 2010). These datasets provided 150 temperature anomaly data relative to a modern baseline beginning in 1850 for CRUTEM4.2 and 1880 for NOAA NCDC and NASA GISTEMP. 151 152 Methods 1. Test of direct linkage between GLOF rate and climate change

153 We concentrate exclusively on the subset of GLOFs associated with the failure of moraine dammed lakes

154 as these are a major hazard in many mountain regions but also represent the best candidates of

155 outburst floods for attribution to climate change. We differentiate these from other glacially sourced

156 outburst floods, such as those resulting from the failure of an ice dam (Walder and Costa 1996; Tweed

and Russell 1999; Roberts et al. 2003), dam overflow; volcanically triggered jökulhlaups (Carrivik et al.

158 2004; Russell et al. 2010; Dunning et al. 2013) or the sudden release of water from englacial or

159 subglacial reservoirs (Korup and Tweed 2007).

160 The period over which climate data are available is dependent on the region but starts in 1850 in

161 CRUTEM4.2 and 1880 in NOAA NCDC and NASA GISTEMP. The resolution of the data is generally 5

162 degrees; however, NASA GISTEMP is provided at 1 degree resolution but it should be noted this does

163 not imply there are more observational data in this analysis. For each region, we extract all gridpoints

164 that contain a glacier as defined in the Extended World Glacier Inventory (WGI-XF). With the exception

165 of the European Alps no dataset contains a complete continuous record for the period 1900-2012. We

- 166 therefore take all available datapoints to form time series for each dataset and derive a mean linear
- 167 trend for the 1990-2012 period. Given large uncertainties and data gaps no attempt is made to





- 168 statistically test these trends. The trends presented here are therefore considered illustrative of past
- 169 changes in temperature for these regions.

## 170 Methods 1.1 Wavelet analysis of GLOF incidence

- 171 Wavelets are a commonly used tool for analyzing non-stationary time series because they allow the
- 172 signal to be decomposed into both time and frequency (e.g.Lane 2007). Here, we follow the
- 173 methodology of (Shugar et al. 2010), although use the Daubechies (db1) continuous wavelet. The
- 174 wavelet power shown here have been tested for significance at 95% confidence limits, and a cone of
- 175 influence applied to reduce edge effects. We follow Lane (2007), in choosing an appropriate number of
- 176 scales (S=28, see his eqn 28), which is related to the shape of the cone of influence.
- 177

# 178 Methods 2. The Earth's recent climate record smoothed along glacier response timescales:

- 179 development of the GLOF lag hypothesis
- 180 A potentially destructive GLOF may elapse after a glacial lake (supraglacial, moraine-dammed, or ice-
- dammed) grows to a volume where sudden release of glacial lake water can exceed a normal year's
- 182 peak instantaneous discharge. There are time scales associated with the period between a climatic (or
- 183 other) perturbation and the occurrence of a GLOF. We develop the following thought experiment to
- 184 demonstrate the concept of the lagging responses of GLOF activity to climate change: an initialized
- stable condition allows glacier-climate equilibrium, where neither climate nor glacier has fluctuated
- 186 much for some lengthy period, and where no other strongly perturbing conditions exist, e.g., there are
- 187 no significant supraglacial or ice-marginal or moraine-dammed lakes, and a steady state exists in the
- supply and removal of surface debris. We then impose a perturbation (climatic or other) which favours
- 189 eventual lake development and growth and eventually a GLOF. For this situation, we can qualitatively
- 190 describe two successive time periods which must pass before a significant GLOF can occur, and then a
- 191 third period before a GLOF actually occurs: lake inception time ( $\tau_i$ ), lake growth time ( $\tau_g$ ), and trigger
- 192 time ( $\tau_t$ ). The first two sum to the GLOF response time ( $\tau_{GLOF}$ ); as we define it,  $\tau_{GLOF} = \tau_i + \tau_g$ . The terms
- are for illustrative purposes; many supraglacial ponds initially go through a lengthy period where they
- 194 fluctuate and drain annually and thus do not have a chance to grow beyond one season. Furthermore,
- 195 lakes can grow to a point where limnological processes take over from climate, hence lake growth
- 196 becomes detached from climate change. Even so, our set of definitions can be used to explain the
- 197 lagging responses of glacier lakes and GLOFs to climatic history.
- 198





- 199 A GLOF does not necessarily occur upon climate step change date +  $\tau_{GLOF}$ , which is the timescale over which the metastable system establishes a condition where a significant GLOF could occur. A trigger is 200 201 needed (e.g., a large ice or rock avalanche into the lake or a moraine collapse as an ice core melts). 202 After a sizeable glacial lake has developed, suitable GLOF triggers may occur with a typical random 203 interval averaging  $\tau_t$ , which ranges widely depending on the topographic setting of the glacier lake, valley-side geology, steepness, moraine dam properties and climate. As a result,  $\tau_t$  could range from 204 205 years to centuries. Furthermore, as a lake usually continues to grow after  $\tau_{GLOF}$  has elapsed,  $\tau_t$  can in 206 principle change, probably shortening as the lake lengthens and as the damming moraine degrades. The 207 time elapsing between a climatic perturbation and a GLOF then is the sum of three characteristic time 208 constants,  $\tau_i + \tau_q + \tau_t$ . 209
- 210 The lake inception time  $\tau_i$  might be approximated by the classically described glacier response time, 211 which has been defined parametrically in various ways (Johanneson et al. 1989; Bahr et al. 1998) but in 212 general describes a period of adjustment toward a new equilibrium condition following a perturbation. We take a simple parameterization (Johanneson et al. 1989) and equate  $\tau = h/b$ , where h is the glacier 213 214 thickness of the tongue near the terminus and b is the annual balance rate magnitude. This adequately 215 gives an idea of the response time, which is primarily on the order of many decades for most temperate 216 valley glaciers, but it can range between a few years and a few centuries. One may also consider the glacier response time to be a climate-change forgetting timescale. After a few response times have 217 218 elapsed, a glacier's state and dynamics no longer remember the climate change that induced the 219 response to a new equilibrium. For illustration, we adopt  $\tau_l = 60$  years, a value typical of many 220 temperate valley glaciers.
- 221

222 Once a supraglacial pond first develops, it may drain and redevelop annually (posing no significant GLOF 223 risk), but at some point, if there is a sustained long-term negative mass balance, supraglacial ponds 224 commonly grow, coalesce and eventually form a water body big enough that rapid partial drainage can 225 result in a significant GLOF. That lake growth period is defined here as  $\tau_a$ , for which we adopt 20 years, a 226 value typical of Himalayan and other temperate glacier lakes of the 20<sup>th</sup> century. Hence,  $\tau_{GLOF} = \tau_i + \tau_a \approx$ 227 80 years for the favoured values. Hence, a significant GLOF may occur at any time from 80 years 228 following a large climatic perturbation, according to this simple illustrative example; what the GLOF waits on is  $\tau_t$ , which could be years or could be another century. If we extend this idealized thought 229 230 experiment to a population of glaciers subjected to a step change in climate, then the GLOF record





231 should lag the temperature record after an adverse climatic perturbation sets the stage for eventual

- 232 GLOFs (see Fig 1).
- 233

234 It is important here to distinguish between climate change, which may establish conditions needed for a GLOF to happen, and weather, which sometimes may be involved in a GLOF trigger. GLOF triggers are 235 diverse, and some can result from a protracted warm period, which may cause a large ice avalanche into 236 237 the lake or a moraine melt-through episode; or a very heavy snow accumulation year, which also can 238 trigger a large ice and snow avalanche. However, the relevant controlling climate, in this example, is that of the prior climatic history and the conditioning period defined by  $\tau_{GLOF}$  and the typical trigger 239 240 interval  $\tau_t$ . Hence,  $\tau_{GLOF}$  is closely connected to climate, whereas  $\tau_t$  can be connected to weather for 241 certain types of triggers. 242 243 The assessment above is for a single step-function climate change. Considering that climate changes

The assessment above is for a single step-function climate change. Considering that climate changes continuously and glacier characteristics vary, populations of glaciers must have full distributions of  $\tau_i$ ,  $\tau_g$ , and  $\tau_{GLOF}$ . Even while glaciers are still adjusting to any big recent historical climate change, more climate change accrues; glacier and lake dynamics take all that into account, either increasing the likelihood and perhaps size of a GLOF or decreasing or delaying it. Hence, the overall GLOF frequency record cannot be synchronous with climatic fluctuations, and it also should not simply trace past climate change with a time lag; rather, the GLOF frequency record for any large population of glaciers should be definitely but complexly related to the recent climatic history.

251

The functional dependence on climate history is not known for any glacier or population of glaciers, but to explore the concept of a lagged GLOF response to accrued climate changes, we assert that the integration function will tend to weight more recent climatic shifts more strongly than progressively older climatic shifts. That is, because of glacier dynamics and the responses of a population of glaciers to climatic changes, the population eventually loses memory of sufficiently older climatic changes and adjusts asymptotically toward a new equilibrium. This should be true for any climate-sensitive glacier dynamics (Oerlemans 2005).

259

Though we do not know the functional form of the glacier responses (either for an individual glacier or a
population), we nonetheless wish to illustrate our point while not driving fully quantitative conclusions.
We propose that the integration of climate information into ongoing glacier dynamical adjustments





- 263 occurs with exponentially declining weighting going backward in time from any given year. The
- 264 exponential time weighting constant may be a value related to and similar in magnitude to  $\tau_{GLOF}$ . As an
- 265 illustration, we have computed a moving time-average northern hemisphere temperature with the
- 266 weighting of the average specified by an assumed  $\tau_{GLOF}$  = 80 years; the computed moving average pulls
- 267 data, for any year, over the preceding period of  $3 \tau_{GLOF}$ , i.e., includes temperature information up to 240
- 268 years prior to any given year. The weighting of earlier years' temperatures within that  $3 \tau_{GLOF}$  is less than
- 269 that of later years, according to the exponential. The cutoff at  $3 \tau_{GLOF}$  is arbitrary, and was done for
- 270 computational expediency, seeing that any climate fluctuation occurring before  $3 \tau_{GLOF}$  years earlier is
- 271 inconsequential due to the exponential memory loss.
- 272

For our concept demonstration, we combined the Mann et al. (2008) multi-proxy Northern Hemisphere temperature anomaly from 501 AD to 1849, the Jones et al. (2012)

275 (https://crudata.uea.ac.uk/cru/data/temperature/#datdow) Northern Hemisphere land instrumental

- temperature record from 1850 to 2014, and a model of expected warming from 2015 to 2100. It is the
- 277 recent climate history at each glacier lake or region that is strictly relevant, but lacking such records, and

278 needing here only to establish the concept, we settle for the treatment described above involving the

- 279 Northern Hemisphere temperature anomaly.
- 280

The model is a constant 2.7 °C/century warming; noise was added from a naturally noisy but overall 281 282 non-trending instrumental record from 1850 to 1899, with some years repeated, to append the 2015-283 2100 period (Fig 1). We use the Mann et al. multi-proxy record. The Mann et al.(2008) and Jones et al. 284 (2012) Datasets were brought into congruence in 1850. Then we smoothed the composite record + 285 model results using the 3- $\tau_{GLOF}$  exponentially weighted filter, as described above, where the natural 286 logarithmic "forgetting" timescale  $\tau_{GLOF}$  = 20, 40, or 80 years for three illustrative cases. Smoothing was 287 computed for  $3\tau_{GLOF}$ , i.e., 240 years if  $\tau_{GLOF}$  = 80 years. Our favoured value  $\tau_{GLOF}$  = 80 years is based on 288 large Himalayan and other temperate glacier lakes. The shorter response times apply to many glaciers, 289 but generally they would be for small glaciers or those occuring in steep valleys and would have small 290 lakes, and GLOFs from those glacial lakes would likely be small and perhaps unnoticed. 291

292 Regardless of the functional form of the glacier response and lake dynamics, GLOF frequency in any

given region or worldwide should lag the climate record fluctuations. The historically filtered/smoothed

temperature record + model incorporating  $\tau_{GLOF}$  = 20, 40, and 80 years is shown in Fig 1A though C





- 295 together with the unsmoothed actual record + model temperature series. The temperature anomalies
- 296 are plotted in panels A, B, and C; and the warming rate is shown in panels D and E. The historically
- 297 averaged/smoothed temperature record has lagged fluctuations in the unsmoothed record. The lag is
- 298 most easily seen where temperatures start to rise rapidly in the 20<sup>th</sup> and 21<sup>st</sup> centuries. The high-
- 299 frequency temperature anomaly fluctuations also show concordantly but in strongly damped form in the
- 300 smoothed moving average curves because the curves are historical moving averages with heaviest
- 301 weighting toward the more recent years. The lagging responses are also seen at several times when the
- 302 running average curves variously show warming and cooling for the same year depending on the value
- 303 of  $\tau_{GLOF}$ .
- 304

We posit that the historically filtered warming rate (more than the temperature anomaly) drives GLOF 305 306 frequency. In Fig 1 we show GLOF frequency (smoothed over 10-year moving averages) together with 307 the warming rate extracted from the historically filtered temperature + model temperature time series. 308 To get a better match with the temperature treated as such, we applied a further 45-year shift. From a 309 glacier and lake dynamics perspective, this shift might relate to the trigger time scale,  $\tau_t$ . There is no a 310 priori reason why singular values of  $\tau_{GLOF}$  and  $\tau_t$  should pertain globally to all glaciers; indeed these timescales should span wide ranges. The adopted values  $\tau_{GLOF}$  = 80 years and  $\tau_t$  = 45 years nonetheless 311 312 make for a plausible match between the GLOF and climate records, when treated as such. These numbers make sense in terms of glacier and lake dynamics timescales, but we reiterate that our main 313 314 purpose with this climate-GLOF fitting exercise is illustrative.

315

### 316 **3. Results**

Our global analysis identifies 191 moraine-dam GLOFs, recorded since the beginning of the 19<sup>th</sup> century 317 (Fig. 2A). The vast majority of these GLOFs (186) have been observed since the beginning of the 20<sup>th</sup> 318 319 century, at a time of climate warming and increasing glacier recession (Fig. 2 and 5). None of these 320 GLOFs were associated with repeat events from the same lake. Around 65% of GLOFs occurred between 321 1930 and 1990. Thirty-five GLOFs occurred in the mountains of western North America between 1929 322 and 2002 (SI Table 1). Fifteen of these occurred in western Canada, 13 in the Cascades Range of the US 323 and four in Alaska. One occurred in Mexico. In the South American Andes we identified 64 GLOFs. Nine 324 occurred in Chile between 1913 and 2009 (including the huge one in Patagonia at Laguna del Cerro 325 Largo in 1989); five in Colombia between 1985 and 2008 and 50 in Peru between 1702 and 2012.





- 326 Fourteen GLOFs are listed from the European Alps. Three are from Austria between 1890 and 1940; five
- 327 from Switzerland between 1958 and 1993; one from France in 1944 and five from Italy between 1870
- 328 and 1993. Two GLOFs are listed from Russia.
- 329 In the Pamir and Tien Shan mountains in central Asia, we identified 20 GLOFs, with most of these dating
- from the late 1960s to the early 1980s. The largest number of GLOFs (55) is reported from the Hindu
- 331 Kush Himalaya (HKH) including the mountains of Bhutan and Tibet, dated from the 20<sup>th</sup> and 21<sup>st</sup> century.
- 332 Thirty are from Tibet (between 1902-2009); 12 from Nepal between 1964 and 2011(and one is reported
- to have occurred in 1543), and five in Pakistan between 1878 and 1974.
- 334 We find that starting around 1930 until about 1950, GLOFs occurred with regularity but a low frequency
- 335 (Fig. 3). In other words, floods occurred with relatively long period variability (50-60 years). Starting
- around 1960, the frequency of these events increased (period decreased to approximately 20 years),
- 337 remaining relatively high until about 1975, after which the statistically significant periodicities end,
- 338 though GLOFs continue to occur.
- 339 While incomplete data restricts full analysis of GLOF triggers, precise date, magnitude and initiation at a
- 340 global scale, many GLOFS triggered by ice avalanches and rock falls occur during summer (see Fig. 4).
- 341 The characteristics of GLOFs that could be influenced by climate change include: changes in magnitude,
- 342 frequency, timing (either changes in seasonality or changes over longer timescales) and trigger
- 343 mechanisms. For instance, many rock avalanches into lakes triggering a GLOF may represent a
- 344 paraglacial response to deglaciation, from the LIA or earlier times (Knight and Harrison 2013; Schaub et
- al. 2013) and this delayed response demonstrates the need to account for lags between changes in
- 346 forcing and responses in attribution studies.

347

#### 348 4. Discussion

- 349 From this analysis, we highlight three key observations: (1) GLOFs became more common around AD
- 350 1930 but then their incidence was maintained at a quasi-steady level for a few decades thereafter; (2)
- 351 since about AD 1975, GLOF periodicity has decreased globally; and (3) the periodicities of GLOF
- 352 occurrence has changed throughout the 20<sup>th</sup> century. These observations are discussed below.





- 353 Our first main observation is that GLOF frequency increased dramatically and significantly around 1930 354 globally and between 1930 and 1960 regionally (Figs. 1, 2). We find no obvious reason for an abrupt 355 improvement of GLOF reporting in 1930. While acknowledging that incompleteness of the record must be a pervasive factor throughout the early period covered by the database we discount reporting 356 variations as the cause of the 1930 shift. Following the increase around 1930, we observe a similar rate 357 of GLOFs for the subsequent years, typically 1 per year in the following decade, increasing to 2-3 per 358 359 year during the 1940s (e.g. Fig 1A, 2A). Again, there is no evidence that incompleteness of data is a main 360 cause of the observed pattern. We therefore conclude that the incidence of global GLOFs has remained 361 generally constant between about 1940 and about 1960. In the 1960s and early 1970s, several years saw 362 more than 5 GLOFs. We argue below that the trend between 1940-60 hides a more complex spatial and 363 temporal pattern (Clague and Evans 2000; Schneider et al. 2014). Our second main observation is that while there is considerable variability between regions, GLOF 364 incidence rates have decreased since about 1975 globally (Fig 2). There are both more and larger GLOFs 365 during the 1970s and early 1980s in the Pamir and Tien Shan, in the 1960s in the HKH, and 1990s in 366 367 Alaska, the Coast Mountains and Canadian Rockies; and then decreases in both magnitude and frequency following these periods. In the Andes however, GLOF incidence decreased after the early 368 369 1950s. The latter observation may be at least partly attributable to considerable GLOF mitigation 370 measures in Peru, such as engineering based lake drainage or dam stabilization (Portocarreo-Rodriguez 371 2014). 372 Our third main observation is that for several decades in the 20<sup>th</sup> century, GLOF occurrence has been 373 periodic, but that periodicity has varied. Since about 1975, and especially since 1990, the periodic nature
- of GLOF occurrence has diminished, even though GLOFs have continued. In other words, GLOFs since
- 1975 have become more irregular. We suspect that the switch to less-periodic outburst floods in recent
- 376 decades is related to an underlying mechanism such as topographic constraints and glacier
- 377 hypsometries with glaciers retreating into steeper slopes, implying a reduced rate of lake formation.
- 378 The statistics of small numbers affects these regional, time-resolved records, but the overall validity of a
- 379 similar mid-20<sup>th</sup> century increase and then decrease in the frequency of GLOFs can be further detected
- 380 in the global record and is statistically significant (Fig 3). We argue that the reduction in global GLOF
- 381 frequency after the 1970s (especially in Central Asia, HKH and North America) is real, because the
- 382 contemporary reporting is likely to be nearly complete given the scientific and policy interest in glacier





- 383 hazards from the late-20<sup>th</sup> century. Hence, our conclusion is that globally and regionally there have
- 384 been inter-decadal variations in the frequency of GLOFs, and in general the most recent couple of
- decades have seen fewer GLOFs than during the early 1950s to early 1990s. The record's
- (in)completeness is not able to explain a decreasing incidence rate. This temporal variation of GLOF
- 387 frequency, and recent decrease, is therefore a robust and surprising result and has occurred despite the
- 388 clear trend of continued glacier recession and glacier lake development in recent decades.
- 389 Our data allow us to test and refine the widespread assumption that GLOFs are a consequence of recent
- 390 climate change (Bajracharya and Mool 2011; Riaz et al. 2014). This is an important assumption because
- 391 it implies that GLOF frequency will increase as the global climate continues to warm with potential
- 392 major impacts for downstream regions.
- 393 The global increase in GLOF frequency after 1930 must be a response to a global forcing, considering
- 394 global glacier retreat (Zemp et al. 2015), and physical process understanding suggests that this is a
- 395 lagged response to the warming marking the end of the LIA (Clague and Evans 2000). Although the
- 396 global response appears sudden, in 1930, the region-by-region assessment shows that the response was
- asynchronous regionally and temporally over a 3-decade span (Fig 2). This is consistent with the fact
- that the end of the LIA was not globally synchronous (Mann et al. 2009) and also reflects regional
- 399 variations in glacier response times.
- 400 We argue that as a climate shift occurs, after some period related to the glacier response time
- 401 previously stable or advancing glaciers start to thin and recede; after a further limnological response
- 402 *time* proglacial ponds start to grow, coalesce, and deepen into substantial moraine-dammed lakes.
- 403 GLOFs typically occur after some additional period of time (the GLOF response time scale), but this time
- 404 can be brief in glaciers with short response times, such as in the tropical Andes (Fig 1).
- 405 In the HKH and central Asia the near concordant formation of many Himalayan glacier lakes and the
- 406 abrupt increase in GLOF rates in the 1950s and 1960s suggests that the GLOF response time is much less
- 407 than the limnological response time. The moraine evidence here indicates that a shift from mainly
- 408 glacier advance to recession and/or thinning occurred widely, though regionally asynchronously,
- 409 between 1860-1910. The HKH underwent this shift by around 1860 (Owen 2009; Solomina et al 2015) in
- 410 response to warming following the regional LIA. The limnological response time in the Himalayan-
- 411 Karakoram region thus is around 100 years, i.e., substantially longer than in the tropical Andes.





412 We have arrived at a plausible explanation for the post-1930 (1930 to 1960) increases in GLOF rates. They are most likely heterogeneous, lagging responses to the termination of the LIA, with limnological 413 414 response times of the order of decades to 100 years, depending on region. The limnological response times may be of a similar order to the glacier dynamical response times (Johanneson et al. 1989; Raper 415 and Braithwaite 2009) but are appended onto them. Thus, measured from a climatic shift to increased 416 GLOFs, the combined glaciological and limnological response times (plus GLOF response times, which 417 418 may be the shortest of the three response times) may sum to roughly 45-200 years (Fig 1). It cannot be 419 much more than this, because then we would not see the multi-decadal oscillations in GLOF rates in 420 some regions or globally.

421 Some individual glaciers may have faster response times than estimated above (Roe et al. 2017), but 422 taken on a broader statistical basis we infer that most recent GLOFs are a delayed response to the end of the LIA. A fundamental implication is that anthropogenic climatic warming to date will likely manifest 423 in increasing GLOFs in some regions of the world starting early this century and continuing into the 22<sup>nd</sup> 424 century. In all the mountain regions considered here the available evidence indicates a warming trend 425 426 over the last century around 0.1 °C per decade (Figs 2 and 5). The trend varies between dataset and region, with the highest rates in the Pamir Tien Shan region and the lowest in the HKH. The most 427 428 uncertain region is the Andes, where the sparseness of data prevents any meaningful assessment. The 429 trends are consistent with the global mean land temperature trend 0.95±0.02 to 0.11±0.02 °C for 1901-430 2012, implying these regions have warmed at approximately the same rate as the global land surface. 431 The baseline behaviour of glacial lake systems in the absence of climate change is not known in detail, but the low rate of GLOFs prior to 1930 may indicate that without warming the frequency would be low. 432 The difficulty of attributing individual GLOF behaviour to climate change relates to the presence of non-433 434 climatic factors affecting GLOF behavior, such as moraine dam geometry and sedimentology. These system characteristics may vary regionally and temporally within the evolutionary stage of a receding 435 mountain glacier, and non-climatic factors such as lake mitigation measures additionally influence GLOF 436 frequency and magnitude (Clague and Evans 2000; Portocarreo-Rodriguez 2014). 437 438 Based on the analysis of our global GLOF database we have shown that a clear trend is detectable globally and regionally diversified in the 20<sup>th</sup> century with a sharp increase of GLOF occurrence around 439

440 1930. This trend is attributable to the observed climate trend, namely the warming since the end of the

441 LIA. The delayed response of GLOF occurrence is a model case for the complexities of how natural





- 442 systems respond to climate change, underlining the challenges of attribution of climate change impacts.
- 443 We have shown here that attribution of GLOFs to climate change is possible although the suite of factors
- 444 influencing GLOF occurrence cannot be fully quantified. Future research should therefore more
- 445 systematically study the factors influencing GLOF frequency and magnitude where a distinction between
- 446 GLOF conditioning and triggering factors will be helpful.

447

448 If climate (such as temperature time series) influences GLOFs, as surely must be the case, long lag times 449 are necessarily implied by the empirical datasets. With such lags as we have modeled, this brings the 450 surge of GLOFs following 1930 into line with temperature increases at the end of the Little Ice Age. 451 Subsequent changes in the GLOF rate (including a several decades-long fall in GLOF rates) similarly can 452 be attributed to fluctuations in global warming. If these conclusions are broadly correct, a further implication is that an acceleration in GLOF rates will probably occur in the 21st century, perhaps starting 453 rather soon. Even though the actual global warming rate for the 21<sup>st</sup> century may be nearly constant, as 454 modelled, the fitted warming rate as plotted in Figure 1 panel F accelerates because of memory of post-455 LIA, pre-Anthropogenic quasi-stable climate; we are getting into a stage where Anthropogenic warming 456 457 will increasingly dominate GLOF activity and attribution of GLOFs to Anthropogenic Global Warming will be confirmed. 458

459

### 460 **5.** Conclusions

461 We conclude that the global GLOF record shows a dramatic increase in GLOF occurrences from 1930 to 462 1970, then a decline. We also observe that the GLOF frequency has not fluctuated directly in response 463 to global climate. A reasonable premise is that climate, glaciers, glacier lakes, and GLOFs are closely connected, but the connections between climate and GLOFs is hidden in response time dynamics. We 464 465 argue that response times do not necessarily reflect linear processes and that lake growth may result in none, single or multiple GLOFs from the same lake systems. Accordingly, the response times must vary 466 widely from region to region and glacier to glacier. From this we infer that the 1930 to 1970 upswing in 467 global GLOF activity is likely a delayed response following warming that ended the LIA. We also infer 468 that the downtrend in GLOF frequency after 1970 is likely related to a delayed response to the 469 470 stabilization of climate following the LIA. In addition, a minor cause (though important locally, for 471 instance in Peru and Switzerland in particular), GLOF mitigation engineering may have circumvented a





472	few GLOFs, thus contributing to the downward trend in recent decades. We can expect a substantial
473	upswing in GLOF incidence throughout the 21st century as glaciers and lakes respond more dynamically
474	to anthropogenic climate warming. This is corroborated by recent modelling studies projecting the
475	location, number and dimension of new lakes in areas where glacier will recede over the coming
476	decades in the Alps, the Himalayas or the Andes (Linsbauer et al. 2016).
477	
478	As a result, we argue that the sharply increased GLOF rates starting from 1930 followed by reduced
479	GLOF frequency from high levels in the mid-20 <sup>th</sup> century are both real and we speculate these trends
480	may reflect the failure of sensitive glacial lake systems in a lagged response to initial glacier recession
481	from LIA limits. The apparent robustness of contemporary lake systems suggests that only the most
482	resilient moraine-dammed lakes have survived recent climate change. Predicting their future behaviour
483	is of great importance for those living and working in mountain communities and those developing and
484	planning infrastructure in such regions.
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627

# 628 Author contributions

- The project was designed by SH following discussion with JK, CH and JR. Climate model data were
   provided by AW and RAB. Data analysis was carried out by SH, JK, DHS, LR and UH. JR, VV and AE
- 631 provided inventory data. All authors helped write and review the text.

### 632 Competing Financial Interests

633 There are no competing financial interests.

634

### 635 Figures

- 636 Figure 1. Reconciliation of GLOF and climate records. (A) Blue curve: Composite record of northern
- 637 hemisphere land surface temperature (merged from multi-proxy data and instrumental records, as
- 638 described in the main text), plus a model of land surface temperature during the period 2015-





- 639 2100. Red, grey, and black curves: Moving historical averages of the blue curve, as described in the text,
- using  $\tau_{GLOF}$  = 20, 40, and 80 years, respectively. (**B** and **C**) Zoom to the more recent periods covered in
- panel A. (D) Warming rate extracted from the moving historical averages using  $\tau_{GLOF}$  = 20, 40, and 80
- 642 years. Periods of cooling and warming are shown with blue and red tints, respectively, using the  $\tau_{GLOF}$  =
- 643 80 years curve. (E) Zoom-in of panel D to a more recent period. (F) Comparison of a smoothed GLOF
- 644 frequency curve (red line, 10-year historical moving average) with the moving historical average
- northern hemisphere temperature (black curve) using  $\tau_{GLOF}$  = 80 years and shifted +45 years, where the
- 646 45-year shift is considered to be reflective of  $\tau_i$ , the GLOF trigger timescale. See supplement text for
- 647 more description and explanation.

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- Figure 2A-F (Left): Temporal distribution of regional GLOF frequency and magnitude. At all locations,
  the cumulative sum of events (black line) indicates an upsurge in the number of events per year. The
  timing of this upsurge differs by location and likely reflects an increase in reporting, especially in the
  early part of the record, rather than a change in GLOFs, at least until the 1970-90s after which the GLOF
  rate reduces. (Right) Global time series climate data from the five regions using: CRUTEM 4.2; NOAA
  NCDC; NASA GISTEMP. Grey columns represent the baseline against which temperature is measured.
  Figure 3A. Record of all precisely dated GLOFs from 1860-2011. (B) Wavelet power spectrum of global
- 656 GLOF record, significant at 5%. (C) Frequency-integrated wavelet power spectrum.
- Figure 4 Seasonal variation in occurrence of GLOF associated with failure of moraine dams. Only a
   proportion of the GLOFs have seasonal data on timing.
- Figure 5. Temperature anomalies in the CRUTEM4.2 dataset for each mountain region. For each region
  we extract all the gridpoints that contain a glacier as defined in the Extended World Glacier Inventory
  (WGI-XF) and these are shown as black crosses.
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