

1 **Change in frozen soils and the effects on regional hydrology, upper**
2 **Heihe Basin, northeastern Qinghai-Tibetan Plateau**

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18 **ABSTRACT:**

19 Frozen ground has an important role in regional hydrological cycles and ecosystems,
20 particularly on the Qinghai-Tibetan Plateau (QTP), which is characterized by high
21 elevations and a dry climate. This study modified a distributed, physically-based
22 hydrological model and applied it to simulate long-term (1971-2013) changes in frozen
23 ground and the effects on hydrology in the upper Heihe basin, northeastern QTP. The
24 model was validated against data obtained from multiple ground-based observations.
25 Based on model simulations, we analyzed spatio-temporal changes in frozen soils and
26 their effects on hydrology. Our results show that the area with permafrost shrank by 8.8%
27 (approximately 500 km²), predominantly in areas with elevations between 3500 m and
28 3900 m. The maximum depth of seasonally frozen ground decreased at a rate of
29 approximately 0.032 m·decade⁻¹, and the active layer thickness over the permafrost
30 increased by approximately 0.043 m·decade⁻¹. Runoff increased significantly during
31 the cold season (November-March) due to an increase in liquid soil moisture caused by
32 rising soil temperatures. Areas in which permafrost changed into seasonally frozen
33 ground at high elevations showed especially large increases in runoff. Annual runoff
34 increased due to increased precipitation, the base flow increased due to changes in
35 frozen soils, and the actual evapotranspiration increased significantly due to increased
36 precipitation and soil warming. The groundwater storage showed an increasing trend,
37 indicating that a reduction in permafrost extent enhanced the groundwater recharge.

38 **KEYWORDS:** permafrost; seasonally frozen ground; soil moisture; ground
39 temperature; runoff

40 **1. Introduction**

41 Global warming has led to significant changes in frozen soils, including both permafrost
42 and seasonally frozen ground at high latitudes and high elevations (Hinzman et al., 2013;
43 Cheng and Wu, 2007). Changes in frozen soils can greatly affect land-atmosphere
44 interactions and the energy and water balances of the land surface (Subin et al., 2013;
45 Schuur et al., 2015), altering soil moisture, water flow pathways and stream flow
46 regimes (Walvoord and Kurylyk, 2016). Understanding the changes in frozen soils and
47 their impacts on regional hydrology is important for water resources management and
48 ecosystem protection in cold regions.

49 Previous studies based on either experimental observations or long-term
50 meteorological or hydrological observations have examined changes in frozen soils and
51 their impacts on hydrology. Several studies reported that permafrost thawing might
52 enhance base flow in the Arctic and the Subarctic (Walvoord and Striegl, 2007; Jacques
53 and Sauchyn, 2009; Ye et al., 2009), as well as in northeastern China (Liu et al., 2003;
54 Duan et al., 2017). A few studies reported that permafrost thawing might reduce river
55 runoff (here, runoff is defined as all liquid water flowing out of the study area),
56 especially on the Qinghai-Tibetan Plateau (QTP) (e.g., Qiu, 2012; Jin et al., 2009).
57 Those studies that include intensive field observations of frozen soils have typically
58 been performed at small spatial scales over short periods (e.g., Cheng and Wu, 2007;
59 Wu et al., 2010). Consequently, regional patterns and long-term trends are not typically
60 captured. Long-term meteorological and hydrological observations are available in
61 many areas, but they do not provide information on soil freezing and thawing processes

62 (McClelland et al., 2004; Liu et al., 2003; Niu et al., 2011). Therefore, previous
63 observation-based studies have not provided a sufficient understanding of the long-term
64 changes in frozen soils and their impact on regional hydrology (Woo et al., 2008).

65 As an alternative strategy, hydrological models have been coupled with soil
66 freezing-thawing schemes to simulate impacts of the changes in frozen soils on
67 catchment hydrology. Several hydrological models (Rawlins et al., 2003; Chen et al.,
68 2008) used simple freezing-thawing schemes, but these cannot simulate the vertical soil
69 temperature profiles. The modified VIC model (Cherkauer and Lettenmaier, 1999) and
70 the CLM model (Oleson et al., 2010) simulate vertical soil freezing-thawing processes,
71 but they simplify the flow routing using linear schemes. Subin et al. (2013) and
72 Lawrence et al. (2015) used the CLM model to simulate global changes in permafrost.
73 Cuo et al. (2015) used the VIC to simulate frozen soil changes and their hydrological
74 impacts at the plot scale in the headwaters of the Yellow River. The GEOTop model
75 (Endrizzi et al., 2014) simulates three-dimensional water flux and vertical heat transfer
76 in soil, but it is difficult to apply to regional investigations. Wang et al. (2010) and
77 Zhang et al. (2013) incorporated frozen soil schemes in a distributed hydrological
78 model and showed improved performance in a small mountainous catchment. More
79 regional studies are necessary to better understand the frozen soil changes and their
80 impacts on regional hydrologic processes and water resources.

81 The QTP is known as Asia's water tower, and runoff changes on the plateau have
82 significant impacts on water security in downstream regions (Walter et al., 2010); hence,
83 such changes have attracted considerable attention in recent years (Cuo et al., 2014).

84 The QTP is characterized by high elevations and a cold climate. Consequently,
85 cryospheric processes have great impacts on its hydrological processes (Cheng and Jin,
86 2013; Cuo et al., 2014). The thickness of permafrost on the QTP varies from 1 to 130
87 m, and the temperature ranges between -0.5 and -3.5 °C (Yang et al., 2010). Compared
88 with Arctic and Subarctic soils, the frozen soils on the QTP are more sensitive to
89 increased air temperature (Yang et al., 2010), and changes in the frozen soils may have
90 more significant impacts on the regional hydrology.

91 Clear increases in annual and seasonal air temperatures have been observed on the
92 QTP (Li et al., 2005; Liu and Chen, 2000; Zhao et al., 2004). Several studies have
93 shown changes in frozen soils based on long-term observations. For example, Cheng
94 and Wu (2007) analyzed soil temperature profiles from boreholes on the QTP and found
95 that the active layer thickness of frozen soils increased by 0.15-0.50 m during the period
96 of 1996-2001. Zhao et al. (2004) observed a decreasing trend of freezing depth in the
97 seasonally frozen soils at 50 stations. Several studies have analyzed the relationship
98 between changes in frozen soils and river discharge using observational data (Zhang et
99 al., 2003; Jin et al., 2009; Niu et al., 2011). However, the spatio-temporal characteristics
100 of the long-term changes in frozen soils are not sufficiently clear. Based on
101 comprehensive field experiments (Cheng et al., 2014), a hydrological model coupling
102 cryospheric processes and hydrological processes has been developed (Yang et al., 2015;
103 Gao et al., 2016). This model provides a basis upon which to analyze the spatio-
104 temporal changes in frozen soils and their impacts on the regional hydrology in the
105 upper Heihe basin, northeastern QTP. Specifically, this study aims to: (1) explore the

106 spatial and temporal changes in frozen soils using a distributed hydrological model with
107 comprehensive validation and (2) analyze the hydrological responses to the changes in
108 frozen soils during the past 40 years in the upper Heihe basin.

109 **2. Study Area and Data**

110 The Heihe River is one of the major inland basins in northwestern China. As shown in
111 Figure 1, the upper reaches of the Heihe River, representing a drainage area of 10,009
112 km², are located on the northeastern QTP at elevations ranging from 2200 to 5000 m.
113 The upper reaches of this river provide the majority of the water supplied to the middle
114 and lower reaches (Cheng et al., 2014). The annual precipitation in the upper Heihe
115 basin ranges from 200 to 700 mm, and the mean annual air temperature ranges from -9
116 to 5 °C. Permafrost dominates the high elevation region above 3700 m (Wang et al.,
117 2013), and seasonal frozen ground covers the remaining portion of the study area.
118 Glaciers are found at elevations above 4000 m and cover approximately 0.8% of the
119 upper Heihe basin. The upper Heihe basin contains two tributaries, each with a
120 hydrological station; one at Qilian (on the eastern tributary) and the other at Zhamashike
121 (on the western tributary). The outlet of the upper Heihe basin also features a
122 hydrological station, namely, Yingluoxia (Figure 1).

123 The spatial data used in this study includes atmospheric forcing data, land surface
124 data and actual evapotranspiration data based on remote sensing. The atmospheric
125 forcing data include a 1-km gridded dataset of daily precipitation, air temperature,
126 sunshine hours, wind speed and relative humidity. The gridded daily precipitation was
127 interpolated from observations at meteorological stations (Figure 1) provided by the

128 China Meteorological Administration (CMA) using the method developed by Wang et
129 al. (2017). The other atmospheric forcing data were interpolated from observations at
130 meteorological stations using the inverse distance weighted method. The interpolation
131 of air temperature considers the elevation-dependent temperature gradient provided by
132 the HiWATER experiment (Li et al., 2013).

133 The land surface data used to run the model include land use, topography, leaf area
134 index (LAI), and soil parameters. The topography data were obtained from the Shuttle
135 Radar Topography Mission (SRTM) dataset (Jarvis et al., 2008) with a spatial resolution
136 of 90 m. The land use/cover data were provided by the Institute of Botany, Chinese
137 Academy of Sciences (Zhou and Zheng, 2014). The LAI data with 1-km resolution
138 were developed by Fan (2014). The soil parameters were developed by Song et al.
139 (2016) and include the saturated hydraulic conductivity, residual soil moisture content,
140 saturated soil moisture content, soil sand content, soil clay content and soil organic
141 content. Monthly actual evapotranspiration data with 1-km resolution during the period
142 of 2002-2012 were estimated based on remote sensing data (Wu et al., 2012; Wu, 2013).

143 The field observation data used in this study include river discharge, soil
144 temperature, frozen depth, soil moisture and borehole observations. Daily river
145 discharge data were obtained from the Hydrology and Water Resources Bureau of
146 Gansu Province. The CMA provided daily soil temperature data collected at the Qilian
147 station from January 1, 2004 to December 31, 2013, and daily frozen depth data
148 collected at the Qilian and Yeniugou stations from January 1, 2002 to December 31,
149 2013. We obtained ground temperature observations from six boreholes, whose location

150 are shown in Figure 1, from Wang et al. (2013). We used the observations at specific
151 dates instead of annual averages due to a lack of continuous measurements. The
152 borehole depths are 100 m for T1, 69 m for T2, 50 m for T3, 90 m for T4, and 20 m for
153 T5 and T7. The HiWATER experiment (Li et al., 2013; Liu et al., 2011) provided the
154 soil moisture data from January 1 to December 31, 2014 at the A'rou Sunny Slope
155 station (100.52 E, 38.09 N).

156 **3. Methodology**

157 **3.1 Brief introduction of the hydrological model**

158 This study used the distributed eco-hydrological model GBEHM (geomorphology-
159 based ecohydrological model), which was developed by Yang et al. (2015) and Gao et
160 al. (2016). The GBEHM is a spatial distributed model for large-scale river basins. It
161 employs geomorphologic properties to reduce the lateral two-dimensions into one-
162 dimension for flow routing within a sub-catchment, which greatly improves the
163 computational efficiency while retaining the spatial heterogeneity in water flow paths
164 at the basin scale. As shown in Figure 2, the GBEHM used a 1-km grid system to
165 discretize the study catchment, which was divided into 251 sub-catchments. Each sub-
166 catchment was further divided into flow-intervals along its main stream. To capture the
167 sub-grid topography, each 1-km grid was represented by a number of hillslopes with an
168 average length and gradient, but different aspect, which were estimated from the 90-m
169 DEM. Additional hillslope properties include soil and vegetation types (Yang et al.,
170 2015).

171 The hillslope is the basic unit in the hydrological simulation of the water and heat

172 transfers (both conduction and convection) in the vegetation canopy, snow/glacier, and
173 soil layers. The canopy interception, radiation transfer in the canopy and the energy
174 balance of the land surface are described using the methods of SIB2 (Sellers et al., 1985,
175 1996). The surface runoff on the hillslope is solved using the kinematic wave equation.
176 The groundwater aquifer is considered as individual storage unit corresponding to each
177 grid. Exchange between the groundwater and the river water is calculated using Darcy's
178 law (Yang et al., 1998, 2002; Cong et al., 2009).

179 The model runs with a time step of 1 hour. Runoff generated from the grid is the
180 lateral inflow into the river over the same flow interval in the corresponding sub-
181 catchment. Flow routing in the river network is calculated using the kinematic wave
182 equation following the sequence determined by the Horton-Strahler scheme (Strahler,
183 1957). The model is driven by the atmosphere forcing data and land surface data
184 introduced in section 2.

185 **3.2 Simulation of cryospheric processes**

186 The simulation of cryospheric processes in the GBEHM includes glacier ablation,
187 snow melting, and soil freezing and thawing.

188 (1) Glacier ablation

189 Glacier ablation is simulated using the following energy balance model (Oerlemans,
190 2001):

$$191 \quad Q_M = SW(1-\alpha) + LW_{in} - LW_{out} - Q_H - Q_L - Q_G + Q_R \quad (1)$$

192 where Q_M is the net energy absorbed by the surface of the glacier ($\text{W}\cdot\text{m}^{-2}$); SW is the
193 incoming shortwave radiation ($\text{W}\cdot\text{m}^{-2}$); α is the surface albedo; LW_{in} is the incoming

194 longwave radiation ($\text{W}\cdot\text{m}^{-2}$); LW_{out} is the outgoing longwave radiation ($\text{W}\cdot\text{m}^{-2}$); Q_H is
 195 the sensible heat flux ($\text{W}\cdot\text{m}^{-2}$); Q_L is the latent heat flux ($\text{W}\cdot\text{m}^{-2}$); Q_R is the energy from
 196 rainfall ($\text{W}\cdot\text{m}^{-2}$); and Q_G is the penetrating shortwave radiation ($\text{W}\cdot\text{m}^{-2}$). The surface
 197 albedo is calculated as follows (Oerlemans and Knap, 1998):

$$198 \quad \alpha = \alpha_{snow} + (\alpha_{ice} - \alpha_{snow})e^{-h/d^*} \quad (2)$$

199 where α_{snow} is the albedo of snow on the glacier surface; α_{ice} is the albedo of the ice
 200 surface; h is the snow depth on the glacier surface (m); d^* is a parameter describing the
 201 snow depth effect on the albedo (m).

202 The amount of melt water is calculated as (Oerlemans, 2001):

$$203 \quad M = \frac{Q_M}{L_f} dt \quad (3)$$

204 where dt is the time step used in the model (s) and L_f is the latent heat of fusion ($\text{J}\cdot\text{kg}^{-1}$).
 205

206 (2) Snow melt

207 A multi-layer snow cover model is used to describe the mass and energy balance of
 208 snow cover. The snow parametrization is based on Jordan (1991), and two constituents,
 209 namely, ice and liquid water, are used to describe each snow layer. For each snow layer,
 210 temperature is solved using an energy balance approach (Bartelt and Lehner, 2002):

$$211 \quad C_s \frac{\partial T_s}{\partial t} - L_f \frac{\partial \rho_i \theta_i}{\partial t} = \frac{\partial}{\partial z} (K_s \frac{\partial T}{\partial z}) + \frac{\partial I_R}{\partial z} + Q_R \quad (4)$$

212 where C_s is the heat capacity of snow ($\text{J}\cdot\text{m}^{-3}\cdot\text{K}^{-1}$); T_s is the temperature of the snow
 213 layer (K); ρ_i is the density of ice ($\text{kg}\cdot\text{m}^{-3}$); θ_i is the volumetric ice content; K_s
 214 is the thermal conductivity of snow ($\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$); L_f is the latent heat of ice fusion ($\text{J}\cdot\text{kg}^{-1}$);
 215 I_R is the radiation transferred into the snow layer ($\text{W}\cdot\text{m}^{-2}$); and Q_R is the energy

216 delivered by rainfall ($\text{W}\cdot\text{m}^{-2}$), which is only considered for the top snow layer. The solar
 217 radiation transfer in the snow layers and the snow albedo are simulated using the
 218 SNICAR model, which is solved using the method developed by Toon et al. (1989). Eq.
 219 (4) is solved using an implicit centered finite difference method, and a Crank-Nicholson
 220 scheme is employed.

221 The mass balance of the snow layer is described as follows (Bartelt and Lehmin, 2002):

$$222 \quad \frac{\partial \rho_l \theta_l}{\partial t} + M_{iv} + M_{il} = 0 \quad (5)$$

$$223 \quad \frac{\partial \rho_l \theta_l}{\partial t} + \frac{\partial U_l}{\partial z} + M_{lv} - M_{il} = 0 \quad (6)$$

224 where ρ_l is the density of the liquid water ($\text{kg}\cdot\text{m}^{-3}$); θ_l is the volumetric liquid water
 225 content; U_l is the liquid water flux ($\text{kg}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$); M_{iv} is the mass of ice that changes into
 226 vapour within a time step ($\text{kg}\cdot\text{m}^{-3}\cdot\text{s}^{-1}$); M_{il} is the mass of ice that changes into liquid
 227 water within a time step ($\text{kg}\cdot\text{m}^{-3}\cdot\text{s}^{-1}$); and M_{lv} is the mass of liquid water that changes
 228 into vapour within a time step ($\text{kg}\cdot\text{m}^{-3}\cdot\text{s}^{-1}$). The liquid water flux of the snow layer is
 229 calculated as follows (Jordan, 1991):

$$230 \quad U_l = -\frac{k}{\mu_l} \rho_l^2 g \quad (7)$$

231 where k is the hydraulic permeability (m^2), μ_l is dynamic viscosity of water at $0\text{ }^\circ\text{C}$
 232 ($1.787\cdot 10^{-3}\text{ N}\cdot\text{s}\cdot\text{m}^{-2}$), ρ_l is the density of liquid water ($\text{kg}\cdot\text{m}^{-3}$) and g is gravitational
 233 acceleration ($\text{m}\cdot\text{s}^{-2}$). The water flux of the bottom snow layer is considered snowmelt
 234 runoff.

235 (3) Soil freezing and thawing

236 The energy balance of the soil layer is solved as follows (Flerchinger and Saxton,
 237 1989):

238
$$C_s \frac{\partial T}{\partial t} - \rho_i L_f \frac{\partial \theta_i}{\partial t} - \frac{\partial}{\partial z} (\lambda_s \frac{\partial T}{\partial z}) + \rho_l c_l \frac{\partial q_l T}{\partial z} = 0 \quad (8)$$

239 where C_s is the volumetric soil heat capacity ($\text{J} \cdot \text{m}^{-3} \cdot \text{K}^{-1}$); T is the temperature (K) of
 240 the soil layers; z is the vertical depth of the soil (m); θ_i is the volumetric ice content;
 241 ρ_i is the density of ice ($\text{kg} \cdot \text{m}^{-3}$); λ_s is the thermal conductivity ($\text{W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$); ρ_l is
 242 the density of liquid water ($\text{kg} \cdot \text{m}^{-3}$); and c_l is the specific heat of liquid water
 243 ($\text{J} \cdot \text{kg}^{-1} \cdot \text{K}^{-1}$). In addition, q_l is the water flux between different soil layers ($\text{m} \cdot \text{s}^{-1}$) and is
 244 solved using the 1-D vertical Richards equation. The unsaturated soil hydraulic
 245 conductivity is calculated using the modified van Genuchten's equation (Wang et al.,
 246 2010), as follows:

247
$$K = f_{ice} K_{sat} \left(\frac{\theta_l - \theta_r}{\theta_s - \theta_r} \right)^{1/2} \left[1 - \left(1 - \left(\frac{\theta_l - \theta_r}{\theta_s - \theta_r} \right)^{-1/m} \right)^m \right]^2 \quad (9)$$

248 where K is the unsaturated soil hydraulic conductivity ($\text{m} \cdot \text{s}^{-1}$); K_{sat} is the saturated
 249 soil hydraulic conductivity ($\text{m} \cdot \text{s}^{-1}$); θ_l is the volumetric liquid water content; θ_s is
 250 the saturated water content; θ_r is the residual water content; m is an empirical
 251 parameter in van Genuchten's equation and f_{ice} is an empirical hydraulic conductivity
 252 reduction factor that is calculated using soil temperature as follows (Wang et al., 2010):

253
$$f_{ice} = \exp[-10(T_f - T_{soil})], \quad 0.05 \leq f_{ice} \leq 1 \quad (10)$$

254 where T_f is 273.15 K and T_{soil} is the soil temperature.

255 Equation. (8) solves the soil temperature with the upper boundary condition as the
 256 heat flux into the uppermost soil layer. When the ground is not covered by snow, the
 257 heat flux from the atmosphere into the uppermost soil layer is expressed as follows
 258 (Oleson et al., 2010):

259
$$h = S_g + L_g - H_g - \lambda E_g + Q_R \quad (11)$$

260 where h is the upper boundary heat flux into the soil layer ($\text{W}\cdot\text{m}^{-2}$); S_g is the solar
261 radiation absorbed by the uppermost soil layer ($\text{W}\cdot\text{m}^{-2}$); L_g is the net long wave
262 radiation absorbed by the ground ($\text{W}\cdot\text{m}^{-2}$), H_g is the sensible heat flux from the ground
263 ($\text{W}\cdot\text{m}^{-2}$); λE_g is the latent heat flux from the ground ($\text{W}\cdot\text{m}^{-2}$); and Q_R is the energy
264 delivered by rainfall ($\text{W}\cdot\text{m}^{-2}$). When the ground is covered by snow, the heat flux into
265 the uppermost soil layer is calculated as follows:

$$266 \quad h = I_p + G \quad (12)$$

267 where I_p is the radiation that penetrates the snow cover, and G is the heat conduction
268 from the bottom snow layer to the uppermost soil layer. Eq (8) is solved using a finite
269 difference scheme with an hourly time step, similar to the solution of Eq (4).

270 There are no available observations of the geothermal heat flux for the northeastern
271 QTP. To simulate permafrost we assume an upward thermal heat flux at the bottom
272 boundary and estimate its value to be $0.14 \text{ W}\cdot\text{m}^{-2}$ at a depth of 50 m using the average
273 geothermal gradient from the 4 boreholes (T1-T4) shown in Figure 3, which is
274 reasonable based on a comparison with the observations ($0.02 \text{ W}\cdot\text{m}^{-2}$ to $0.16 \text{ W}\cdot\text{m}^{-2}$)
275 from the interior of the QTP (Wu et al., 2010). The vertical soil column is divided into
276 39 layers in the model (Figure 2). The 1.7 m topsoil layer is subdivided into 9 layers.
277 The first soil layer at the surface is 0.05 m, and the layer thicknesses increase with depth
278 linearly from 0.05 m to 0.3 m at a depth of 0.8 m. Then thicknesses decrease linearly
279 with depth reaching 0.1 m at a depth of 1.7 m. From 1.7 m to 3.0 m, there are 12 soil
280 layers with a constant thickness of 0.1 m to try to capture the maximum freezing depths
281 according to field observations. From the depth of 3 m to 50 m, there are 18 layers with

282 thicknesses increasing exponentially from 0.1 m to 12 m. The liquid soil moisture, ice
283 content, and soil temperature of each layer is calculated at each time step. The soil heat
284 capacity and soil thermal conductivity are estimated using the method developed by
285 Farouki (1981). Permafrost is defined as ground with a temperature at or below 0 °C
286 for at least two consecutive years (Woo, 2012). This study differentiated permafrost
287 from seasonally frozen ground based on the simulated vertical ground temperature
288 profile in each grid. For each year in each grid, the frozen ground condition was
289 determined by searching the ground temperature profile within a four-year window
290 from the previous three years to the current year.

291 **3.3 Model calibration**

292 To initialize the model, we first estimated the soil temperature profiles based on the
293 assumption that there is a linear relationship between the ground temperature at a given
294 depth below the surface and elevation. This temperature-elevation relationship is
295 estimated from the observed ground temperatures in 6 boreholes (see Figure 1). Next,
296 the model had a 500-year spin up run to specify the initial values of the hydrological
297 variables (e.g., soil moisture, soil ice content, ground temperature, and groundwater
298 table) by repeating the atmospheric forcing data from 1961 to 1970.

299 This study used the period of 2002 to 2006 for model calibration and the period of
300 2008 to 2012 for model validation. The daily ground temperature at the Qilian station
301 and the frozen depths at the Qilian and Yeniugou stations were used to calibrate the
302 ground surface reflectance according to vegetation type. The other parameters, such as
303 groundwater hydraulic conductivity, were calibrated according to the observed

304 baseflow discharge in the winter season at the Qilian, Zhamashike and Yingluoxia
305 stations. The Nash-Sutcliffe efficiency and relative error are calculated using observed
306 and simulated discharge to evaluate the model performance. We calibrated the surface
307 retention capacity and surface roughness to match the observed flood peaks, and
308 calibrated the leaf reflectance, leaf transmittance and maximum Rubisco capacity of the
309 top leaf based on the remote sensing evapotranspiration data. Table 1 shows the major
310 parameters used in the model.

311 We also simulated the hydrological processes without the frozen soil scheme in order
312 to investigate the impact of frozen soils. In this case, the phase transition of soil water
313 between the solid and the liquid is not considered, although the ground temperature is
314 still simulated. Other processes are simulated in the same manner as in the normal run.

315 **4. Results**

316 **4.1 Validation of the hydrological model**

317 We conducted a comprehensive validation of the GBEHM using the ground
318 temperature profiles observed from six boreholes, the long-term observations of ground
319 temperature and frozen depths from the Qilian and Zhamashike stations, the soil
320 moisture observations from the A'rou Sunny Slope station, the long-term observations
321 of streamflow from the three hydrological stations shown in Figure 1 and the monthly
322 actual evapotranspiration estimated from remote sensing data.

323 Figure 3 shows the comparison of the model-simulated and observed ground
324 temperature profiles at the six boreholes. The model generally captured the vertical
325 distribution of the ground temperature at T1, T2, T3 and T4 in the permafrost area, but

326 the temperatures were overestimated above 20 m depth for T1 and T3. Good agreement
327 between the simulated and observed ground temperature profiles below the depth of 20
328 m is probably due to fitting of initial values. Therefore, the deep ground temperatures
329 are stable, which is confirmed by the comparison of temperature profiles in different
330 years, as shown in Figure S1 in the supplementary material. Figure S1 also illustrates
331 that the temperatures above 20 m have shown significant increasing trends over the past
332 40 years. The errors in simulating the vertical temperature profile near the surface might
333 be caused by simplification of the 3-D topography. At T5, which is located in seasonally
334 frozen ground, the simulated ground temperature profile did not agree well with the
335 observed profile at depths of 4-20 m. This error might also be related to heterogeneity
336 in the ground properties, especially the thermal conductivity and heat capacity, since no
337 such information was available. The model simulation agrees well with the borehole
338 observations at T7, which is located in the transition zone from permafrost to seasonally
339 frozen ground. Therefore, the model can identify the boundary between the permafrost
340 and seasonally frozen ground.

341 We also validated the model simulation of the freezing/thawing cycles based on long-
342 term observations of ground temperature and frozen depth. Figure 4 compares the
343 simulated ground temperature with the observed temperature at the Qilian station,
344 which is located in seasonally frozen ground (observed daily ground temperature data
345 are available from 2004). Generally, the model simulations accurately captured the
346 seasonal changes in the ground temperature profile. Validation of the ground
347 temperature at different depths (0.05 m, 0.1 m, 0.2 m, 0.4 m, 0.8 m, 0.16 m, and 0.32

348 m) showed that the root mean square error (RMSE) decreases with increasing depth.
349 The RMSE was approximately 2.5 °C for the uppermost three depths (0.5 m, 0.10 m
350 and 0.2 m). The RMSE for depths of 0.4 m and 0.8 m were 1.7 °C and 1.5 °C,
351 respectively, and the RMSE for a depth of 3.2 m was 0.9 °C. Uncertainties in the
352 simulations may be related to the ground heat capacity and thermal conductivity
353 estimated according to Farouki (1981), and the results are similar to the findings by Ou
354 et al. (2016) using the Northern Ecosystem Soil Temperature (NEST) model. We
355 compared the model-simulated daily frozen depth with in situ observations at the Qilian
356 and Yeniugou stations from 2002 to 2014, as shown in Figure 5. The model reproduced
357 well the daily variations in frozen depth, although the depth was underestimated by
358 approximately 0.5 m at the Yeniugou station. In general, the validation of ground
359 temperature and frozen depth indicates that the model effectively captured the freezing
360 and thawing processes in the upper Heihe basin.

361 Furthermore, we used the the observed hourly liquid soil moisture at the A'rou Sunny
362 Slope station for an additional independent validation. Figure S2 in the supplementary
363 material shows the comparison between the simulated and observed liquid soil moisture
364 at different depths from January 1 to December 31, 2014. This comparison
365 demonstrates that the model simulation of liquid soil moisture is reasonable.

366 Figure 6 compares the model simulated and the observed daily streamflow discharge
367 at the Yingluoxia, Qilian and Zhamashike stations. The model simulations agreed well
368 with the observations. The model simulations captured the flood peaks and the
369 magnitude of base flow in both the calibration and validation periods. For the

370 Yingluoxia, Qilian and Zhamashike stations, the Nash-Sutcliffe efficiency (NSE)
371 coefficients were 0.64, 0.63 and 0.72, respectively, in the calibration period and 0.64,
372 0.60, and 0.73, respectively, in the validation period. The relative error (RE) was within
373 10% for both the calibration and validation periods (Figure 6). Figure S3 in the
374 supplementary material shows the comparison of the model-simulated monthly actual
375 evaporation data and the remote sensing-based evaporation data for the entire
376 calibration and validation periods. The GBEHM simulation showed similar temporal
377 variations in actual evapotranspiration compared with the remote sensing based
378 estimation, and the RMSE of the simulated monthly evapotranspiration was 9.1 mm in
379 the calibration period and 7.1 mm in the validation period.

380 We also compared the model-simulated river discharges with and without the frozen
381 soil scheme. Table S1 in the supplementary material shows that the model with the
382 frozen soil scheme achieves a better simulation of the daily hydrograph than the model
383 without the frozen soil scheme. Figure S4 in the supplementary material shows that the
384 model without the frozen soil scheme overestimates the river discharge in the freezing
385 season and underestimates flood peaks in the warming season.

386 **4.2 Long-term changes in frozen soils**

387 In the upper Heihe basin, the ground surface starts to freeze in November and begins
388 to thaw in April (Wang et al., 2015a). From November to March, the ground surface
389 temperature is below 0°C in both the permafrost and seasonally frozen ground regions,
390 and precipitation mainly falls in the period from April to October. Therefore, to
391 investigate the changes in frozen soils and their hydrological impact, a year is

392 subdivided into two seasons, i.e., the freezing season (November to March) and the
393 thawing season (April to October). Increasing precipitation and air temperature in the
394 study area in both seasons over the past 50 years were reported in a previous study
395 (Wang et al., 2015b). Compared to the decadal mean for 1971 to 1980, the annual mean
396 air temperature for the 2001 to 2010 period was approximately 1.2 °C higher, with a
397 larger increase in the freezing season (1.4 °C) than in the thawing season (1.1 °C)
398 (Table S2).

399 Figure 7 shows the changes in the basin-averaged ground temperature in the freezing
400 and thawing seasons. The ground temperature increased in all seasons, especially over
401 the past 30 years. The increasing trend of ground temperature was larger in the freezing
402 season than in the thawing season. In the freezing season (Figure 7(a)), the top layer
403 ground temperature was lower than the deep layer temperature. The linear trend of the
404 top layer (0-0.5 m) ground temperature was 0.49 °C·decade⁻¹ and the trend of the deep
405 layer (2.5-3 m) temperature was 0.32 °C·decade⁻¹. The ground temperature in the deep
406 layer (2.5-3 m) changed from -0.7 °C in the 1970s to approximately 0 °C in the most
407 recent decade. In the thawing season (Figure 7(b)), the increasing trend of the top layer
408 (0-0.5 m) ground temperature (0.29 °C·decade⁻¹) was greater than that of the deep layer
409 (2.5-3 m) temperature (0.22 °C·decade⁻¹). The warming trend was larger in shallow
410 ground layers; this is because the surface heat flux is impeded by the thermal inertia as
411 it penetrates to greater depths.

412 Figure 8 shows the change in permafrost area during 1971-2013. As shown in Figure
413 8(a), the permafrost areas decreased by approximately 8.8% (from 5700 km² in the

414 1970s to 5200 km² in the 2000s), indicating an evident decrease in the permafrost extent
415 in the upper Heihe basin in the past 40 years.

416 Figure 8 (b) shows the changes in the basin-averaged maximum frozen depth in the
417 seasonally frozen ground areas and active layer thickness in the permafrost areas. The
418 basin-averaged annual maximum frozen depth showed a significant decreasing trend
419 (0.032 m·decade⁻¹). In addition, the maximum frozen depth had a significantly negative
420 correlation with the annual mean air temperature ($r = -0.71$). Simulated active layer
421 thickness in the permafrost regions increased (0.043 m·decade⁻¹), and correlated
422 positively with the annual mean air temperature ($p = 0.005$).

423 Figure 9 shows the frozen soil distributions in the periods of 1971 to 1980 and 2001
424 to 2010. Comparing the frozen soil distributions of the two periods, we observed major
425 changes in the frozen soils on sunny slopes at elevations between 3500 and 3900 m,
426 especially in the west tributary, where large areas of permafrost changed into seasonally
427 frozen ground. Figure S5, illustrating the taliks simulated in the period of 2001-2010,
428 shows that taliks were mainly located on the edge of the permafrost area and that talik
429 development was not significant.

430 Figure 10 shows the monthly mean ground temperatures for areas with elevations
431 between 3300 and 3500 m and over areas with elevations between 3500 and 3700 m in
432 the upper Heihe basin. In the areas with elevations between 3300 and 3500 m located
433 in the seasonally frozen ground region (Figure 10(a)), the frozen depth decreased and
434 the ground temperature in deep layers (depths greater than 2 m) increased. Figure 10(b)
435 shows that the increase in ground temperature was larger in the area with higher

436 elevation (3500-3700 m). This figure shows that the thickness of the permafrost layer
437 decreased as the ground temperature increased, and the permafrost changed into
438 seasonally frozen ground after 2000. The surface thaw depths changed slowly
439 compared with the depth to the base of permafrost as shown in Figure 10, which may
440 be primarily due to the geothermal heat flux. Additionally, the faster increase in the air
441 temperature in the freezing season ($0.41\text{ }^{\circ}\text{C decade}^{-1}$) than in the thawing season
442 ($0.26\text{ }^{\circ}\text{C decade}^{-1}$) may be another reason.

443 **4.3 Changes in the water balance and runoff**

444 Table 2 shows the decadal changes in the annual water balance from 1971 to 2010
445 based on the model simulation. The annual precipitation, annual runoff and annual
446 runoff ratio exhibited the same decadal variation; however the annual
447 evapotranspiration maintained an increasing trend starting in the 1970s that was
448 consistent with the rising air temperature and soil warming. Although the actual
449 evapotranspiration increased, the runoff ratio remained stable during the past 4 decades
450 because of the increased precipitation.

451 Figure 11 and Table 2 show the changes in runoff (both simulated and observed) in
452 different seasons. The model-simulated and observed runoff both exhibited significant
453 increasing trends in the freezing season and in the thawing season. Therefore, the model
454 simulation effectively reproduced the observed long-term changes. In the freezing
455 season, since there was no glacier or snow melting (see Table 2), the runoff was mainly
456 subsurface flow (groundwater flow and lateral flow from the unsaturated zone). In the
457 thawing season, as shown in Table 2, snowmelt runoff contributed approximately 14%

458 of the total runoff, whereas glacier runoff contributed only a small fraction of the total
459 runoff (approximately 2.2%). Rainfall runoff was the major component of the total
460 runoff in the thawing season, and the runoff increase in the thawing season was mainly
461 due to increased precipitation and snowmelt. As shown in Figure 11, the actual
462 evapotranspiration increased significantly in both seasons due to increased precipitation
463 and ground warming. The increase in actual evapotranspiration was greater in the
464 thawing season than in the freezing season.

465 Figure 12 shows the changes in the basin-averaged annual liquid soil water storage
466 (0-3 m) and groundwater storage. The annual liquid soil water storage showed a
467 significant increasing trend, especially in the most recent 3 decades. This long-term
468 change in liquid water storage was similar to the runoff change in the freezing season,
469 as shown in Figure 11 (a), exhibiting a correlation coefficient of 0.79. The annual ice
470 water storage in the top 0-3 m soil layers showed a significant decreasing trend due to
471 frozen soil changes. Annual groundwater storage showed a significantly increasing
472 trend especially in the most recent 3 decades, which indicates that the groundwater
473 recharge has increased with permafrost degradation.

474 **5. Discussion**

475 **5.1 Impact of frozen soil changes on the soil moisture and runoff**

476 We have plotted the long-term changes in the spatially averaged liquid soil moistures
477 in the areas with elevations between 3300 and 3500 m and in the areas with elevations
478 between 3500 and 3700 m in Figure S6 in the supplementary material. In the seasonally
479 frozen ground at elevations of 3300-3500 m, the liquid soil moisture increased slightly

480 due to the decrease in the frozen depth (Figure 10(a)). At elevations of 3500-3700 m,
481 the liquid soil moisture in the deep layer increased significantly since the 1990s, due to
482 the change of the permafrost into seasonally frozen ground (Figure 10 (b)).

483 In the freezing season, since the surface ground is frozen, runoff is mainly subsurface
484 flow coming from seasonally frozen ground. Runoff has the highest correlation ($r =$
485 0.82) with the liquid soil moisture in the freezing season, which indicates that the frozen
486 soil changes were the primary cause of the increase in liquid soil moisture, resulting in
487 increased runoff in the freezing season. During the past 40 years, parts of permafrost
488 changed into seasonally frozen ground and the frozen depth of the seasonally frozen
489 ground decreased, leading to increases in the liquid soil moisture in the deep layers
490 during the freezing season. The increase in liquid soil moisture also increased the
491 hydraulic conductivity, which enhanced the subsurface flow. Figure 13(c) shows the
492 seasonal pattern of runoff from the entire basin. From April to October (the thawing
493 season), runoff in the permafrost area was much larger than in the area of seasonally
494 frozen ground; however, in the freezing season the inverse was true. Figure S7 in the
495 supplementary material shows runoff changes from a typical area (with elevations of
496 3500-3700 m) that featured permafrost during the period of 1971 to 1980, but degraded
497 to seasonally frozen ground during the period of 2001 to 2010. This illustrates that
498 thawing of permafrost increased runoff in the freezing season and slowed hydrological
499 recession processes in autumn. Figure S4 illustrates the increase in freezing season
500 runoff and the shift in the seasonal flow patterns simulated by the model without the
501 frozen soil scheme.

502 Figure 13 shows the large difference in runoff variation with elevation between the
503 freezing and thawing seasons. In the freezing season, the runoff change from the 1970s
504 to the 2000s in the areas of seasonally frozen ground (mainly located below 3500 m,
505 see Figure 9) was relatively small. The areas with elevations of 3500-3900 m showed
506 large changes in runoff. This pattern is due to the shift from permafrost to seasonally
507 frozen ground in some areas in the elevation range of 3500 to 3900 m, as simulated by
508 the model, particularly for sunny hillslopes (see Figure 9). This finding illustrates that
509 a change from permafrost to seasonally frozen ground has a larger impact on the runoff
510 than a change in frozen depth in areas of seasonally frozen ground. In the thawing
511 season, runoff increased with elevation due to the increase in precipitation with
512 increasing elevation, and the magnitude of the runoff increase was mainly determined
513 by magnitude of the precipitation increase (Gao et al., 2016). Precipitation in the region
514 with elevations below 3100 m was low, and the air temperature was high. Hence, runoff
515 in this region was lower during 2001-2010 than during 1971-1980 because of greater
516 evapotranspiration.

517 **5.2 Comparison with the previous similar studies**

518 In this study, the model simulation showed that the thawing of frozen soils led to
519 increased freezing season runoff and base flow in the upper Heihe basin. This result is
520 consistent with previous findings based on observations in high latitude regions
521 (Walvoord and Striegl, 2007; Jacques and Sauchyn, 2009; Ye et al., 2009) and in
522 northeast China (Liu et al., 2003). However, those studies did not consider spatial
523 variability. This study found that the impact of the frozen soil thawing on runoff varied

524 regionally. In the upper Heihe basin (see Figure 13), the change in the freezing season
525 runoff was strongly affected by the change from permafrost to seasonally frozen ground
526 in the higher-elevation region and by the evaporation increase in the lower-elevation
527 region due to rising air temperature. However, runoff at the basin scale mainly came
528 from the higher-elevation regions.

529 This study also showed that the thawing of frozen soils increased the liquid soil
530 moisture in the upper Heihe basin, which is consistent with the finding of Subin et al.
531 (2013) using the CLM model to simulate northern high-latitude permafrost regions, and
532 the findings of Cuo et al. (2015) using the VIC model to simulate 13 sites on the QTP.
533 In contrast, Lawrence et al. (2015) found that permafrost thawing reduced soil moisture
534 based on CLM model simulations of the global permafrost region. This finding might
535 be related to the uncertainties in the soil water parameters and the high spatial
536 heterogeneity of soil properties, which are difficult to consider in a global-scale model.
537 Subin et al. (2013) and Lawrence et al. (2015) simulated the soil moisture changes in
538 the active layer of permafrost over large areas with coarse spatial resolution. Unlike
539 those studies, this study investigated the spatio-temporal variability in soil moisture
540 using a high spatial resolution and analyzed the impacts of frozen soil changes.

541 Jin et al. (2009) found decreased soil moisture and runoff due to permafrost
542 degradation based on observations at the plot scale in the source area of the Yellow
543 River basin. These results are different from those in the present study, possibly due to
544 the difference in the hydrogeological structure and soil hydraulic parameters between
545 the source area of the Yellow River and the upper Heihe basin. Wang et al. (2015a)

546 estimated the increasing trend of the maximum frozen depth in the seasonally frozen
547 ground to be $0.04 \text{ m} \cdot \text{decade}^{-1}$ during 1972-2006 in the Heihe River basin based on plot
548 observations, which is consistent with the results in this study. The increase in
549 groundwater storage illustrated in this study is also consistent with the findings of Cao
550 et al. (2012) based on GRACE data, which showed that groundwater storage increased
551 during the period of 2003~2008 in the upper Heihe basin.

552 **5.3 Uncertainty in simulation of the frozen soils**

553 Estimation of the change in permafrost area is a great challenge due to such complex
554 factors as climatology, vegetation, and geology. Guo et al. (2013) reported that the
555 permafrost area for the whole QTP decreased from approximately $175.0 \times 10^4 \text{ km}^2$ in
556 1981 to $151.5 \times 10^4 \text{ km}^2$ in 2010, with a relative change of 13.4%. Wu et al. (2005)
557 reported that the permafrost area decreased by 12% from 1975 to 2002 in the Xidatan
558 basin of the QTP based on a ground penetration radar survey. Jin et al. (2006) found an
559 area reduction of 35.6% in island permafrost in Liangdaohe, which is located along the
560 southern portion of the Qinghai-Tibet Highway, from 1975 to 1996. Compared with the
561 borehole observations by Wang et al. (2013) shown in Figure 2, our model slightly
562 overestimated the soil temperature in permafrost areas, possibly leading to an
563 overestimation of the rate of permafrost area reduction.

564 There were two major uncertainties in the frozen soils simulation: uncertainty in the
565 simulation of the land surface energy balance and uncertainty in the simulation of the
566 soil heat-water transfer processes (Wu et al., 2016). Uncertainty in the land surface
567 energy balance simulation might result from uncertainty in the radiation and surface

568 albedo estimates due to the complex topography, vegetation cover and soil moisture
569 distribution, thereby introducing uncertainties into the estimated ground temperature
570 and soil heat flux. The uncertainty in the simulation of soil heat-water transfer processes
571 might result from the soil water and heat parameters and the bottom boundary
572 conditions of heat flux. For example, the soil depth and the fraction of rock in soil can
573 greatly affect the ground temperature simulation. Permafrost degradation is closely
574 related to the thermal properties of rocks and soils, the geothermal flow and the initial
575 ground temperature and soil ice conditions. Sub-grid topography may also affect the
576 frozen soil simulation. For example, active layer thickness is different between the low-
577 elevation valleys and higher-elevation slopes due to the temperature inversion caused
578 by the accumulation of cold air in valleys (Bonnaventure et al., 2012; Zhang et al., 2013;
579 O'Neill et al., 2015). In areas with high groundwater flow rates, laterally advected heat
580 flux may increase the thawing of permafrost (Kurylyk et al., 2016; Sjöberg et al., 2016).
581 Not considering the lateral heat flux may lead to an underestimation of talik
582 development and thawing rates of permafrost. In addition, uncertainties in the input
583 data, particularly solar radiation (which is estimated using interpolated sunshine hour
584 data from a limited number of observational stations) and precipitation (which is also
585 interpolated based on observations at these stations), may also influence the results of
586 the model simulation. Due to the complexity of the distributed model and the large
587 number of model parameters, quantifying the overall simulation uncertainty is
588 challenging, but is part of our ongoing research.

589 **6. Conclusions**

590 This work carefully validated a distributed hydrological model coupled with
591 cryospheric processes in the upper Heihe River basin using available observations of
592 soil moisture, soil temperature, frozen depth, actual evaporation and streamflow
593 discharge. Based on the model simulations from 1971 to 2013 in the upper Heihe River,
594 the long-term changes in frozen soils were investigated, and the effects of the frozen
595 soil changes on the hydrological processes were explored. Based on these analyses, we
596 have reached the following conclusions:

597 (1) The model simulation suggests that 8.8% of the permafrost areas degraded into
598 seasonally frozen ground in the upper Heihe River basin during 1971-2013,
599 predominantly between elevations of 3500 m and 3900 m. The results indicate that the
600 decreasing trend of the annual maximum frozen depth of the seasonally frozen ground
601 is $0.032 \text{ m}\cdot\text{decade}^{-1}$, which is consistent with previous observation-based studies at the
602 plot scale. Additionally, our work indicates a trend of increasing active layer thickness
603 in the permafrost regions of $0.043 \text{ m}\cdot\text{decade}^{-1}$.

604 (2) The model-simulated runoff trends agree with the observed trends. In the freezing
605 season (November-March), based on the model simulation, runoff was mainly sourced
606 from subsurface flow, which increased significantly in the higher elevation regions
607 where significant frozen soil changes occurred. This finding implies that the runoff
608 increase in the freezing season is primarily caused by frozen soil changes (permafrost
609 degradation and reduced seasonally frozen depth). In the thawing season (April-
610 October), the model simulation indicates that runoff was mainly sourced from rainfall
611 and showed an increasing trend at higher elevations, which can be explained by the

612 increase in precipitation. In both the freezing and thawing seasons, the model-simulated
613 runoff decreased in the lower-elevation regions, which can be explained by increased
614 evaporation due to rising air temperatures.

615 (3) The model-simulated changes in soil moisture and ground temperature indicate
616 that the annual storage of liquid water increased, especially in the most recent three
617 decades, due to frozen soil changes. The annual ice water storage in the top 0-3 m of
618 soil showed a significant decreasing trend due to soil warming. The model simulated
619 annual groundwater storage had an increasing trend, which is consistent with the
620 changes observed by the GRACE satellite. Therefore, groundwater recharge in the
621 upper Heihe basin has increased in recent decades.

622 (4) The model simulation indicated that regions where permafrost changed into
623 seasonally frozen ground had larger changes in runoff and soil moisture than the areas
624 covered by seasonally frozen ground throughout the study period.

625 For a better understanding of the changes in frozen soils and their impact on
626 ecohydrology, the interactions among soil freezing-thawing processes, vegetation
627 dynamics and hydrological processes need to be investigated in future studies. There
628 are uncertainties in simulations of frozen soils and hydrological processes that also
629 warrant further investigation.

630

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838 **Figure caption:**

839 Figure 1. The Study area, hydrological stations, borehole observation and flux tower stations.

840 Figure 2. Model structure and vertical discretization of soil column.

841 Figure 3. Comparison of the simulated and the observed soil temperature at borehole observation
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846 2014 at: (a) the Qilian station, (b) the Yeniugou station.

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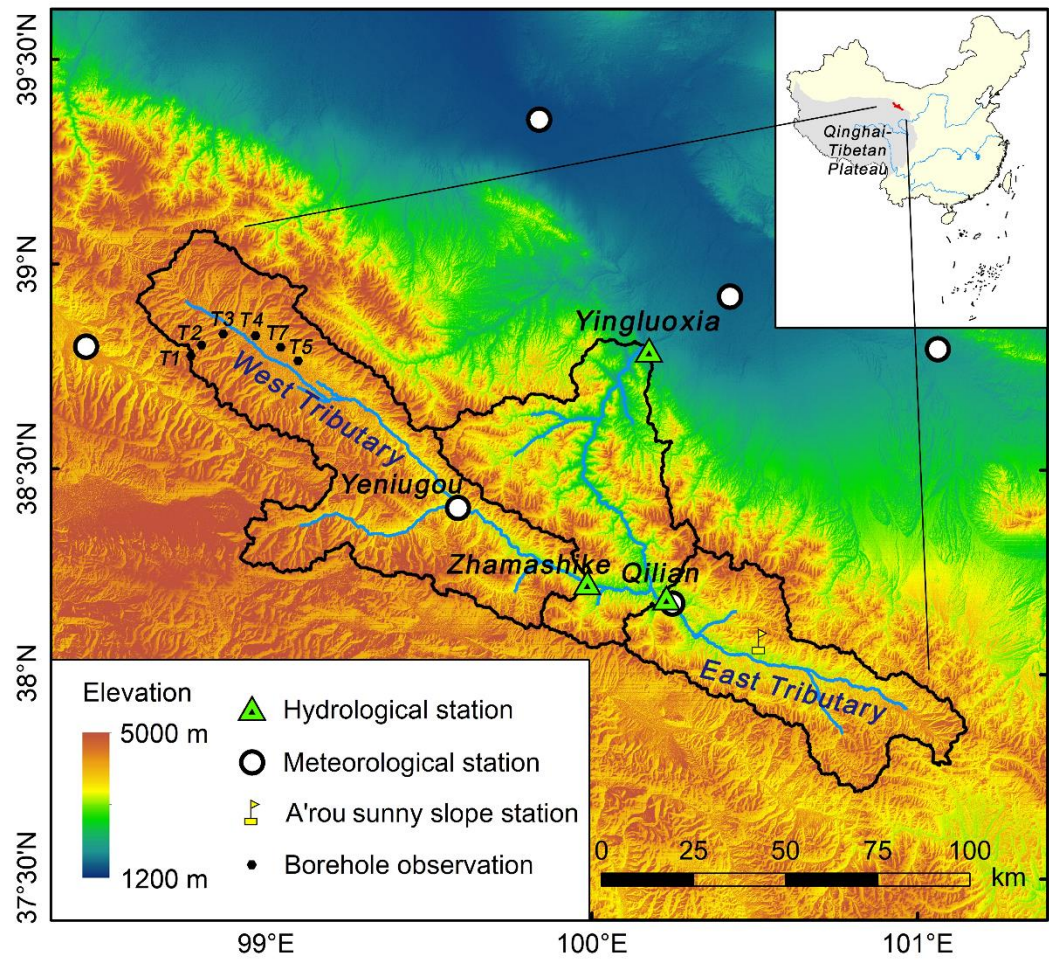
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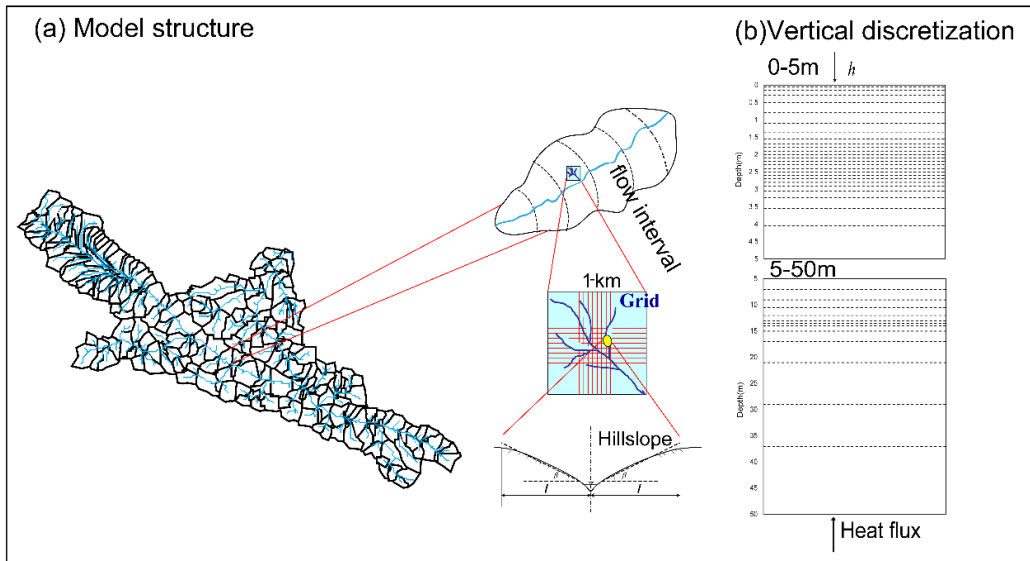
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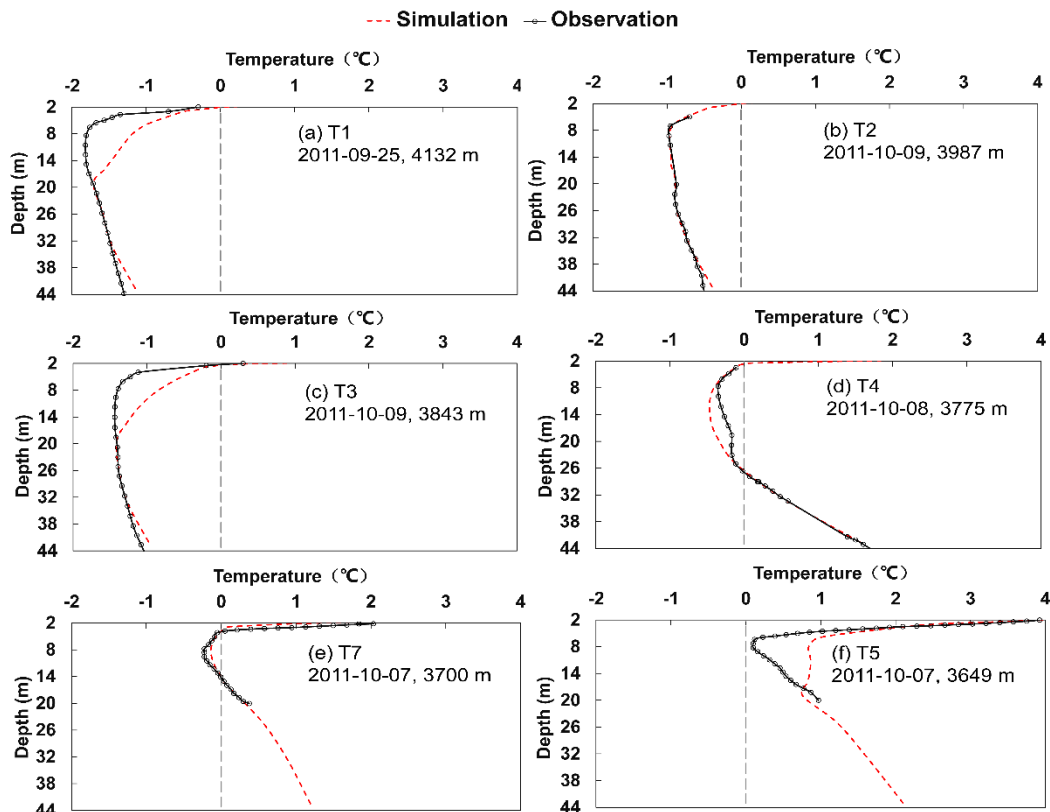
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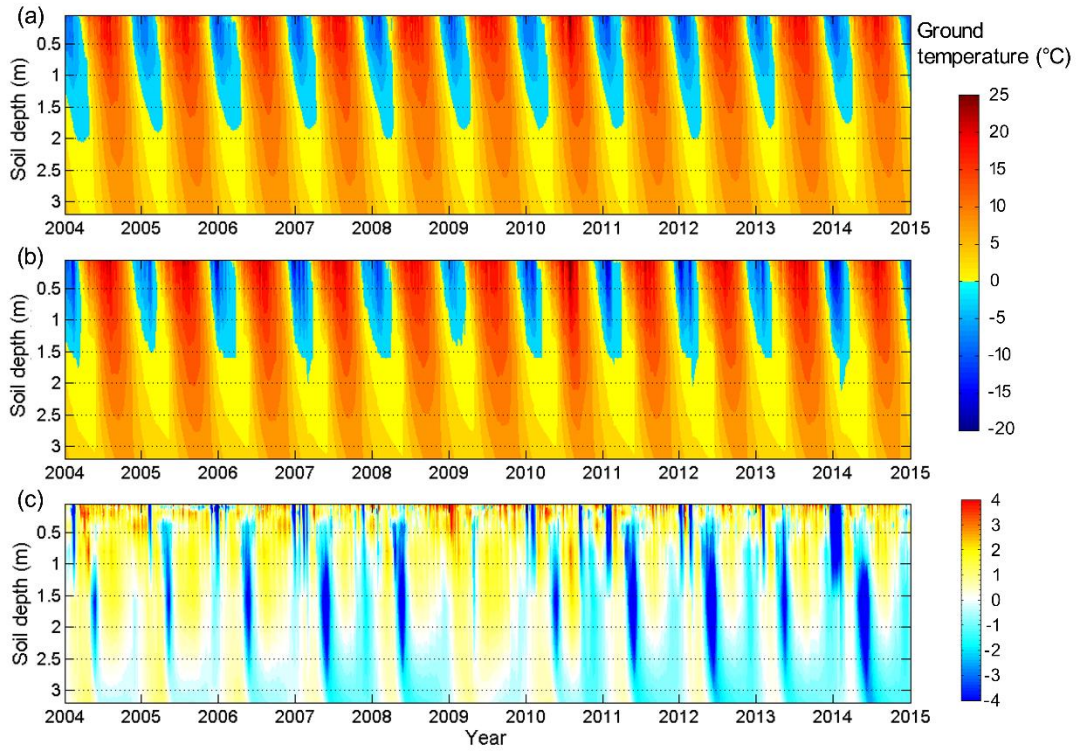
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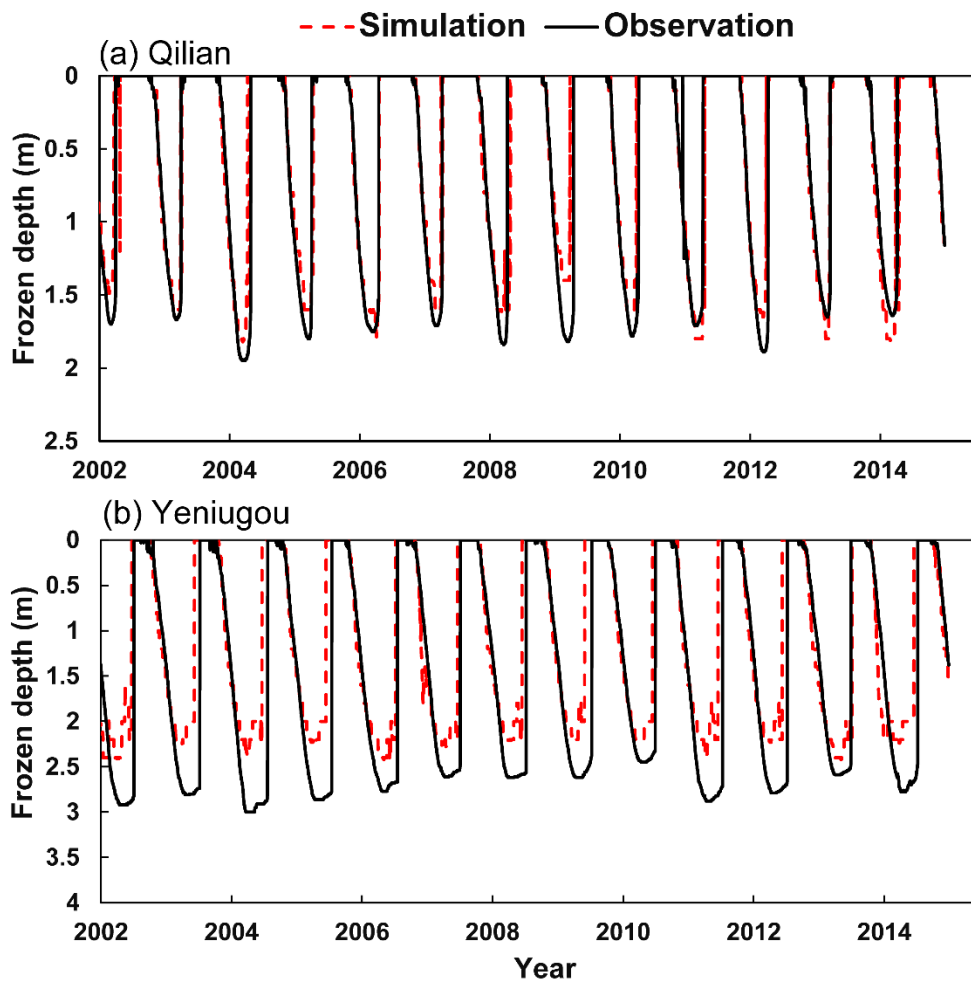
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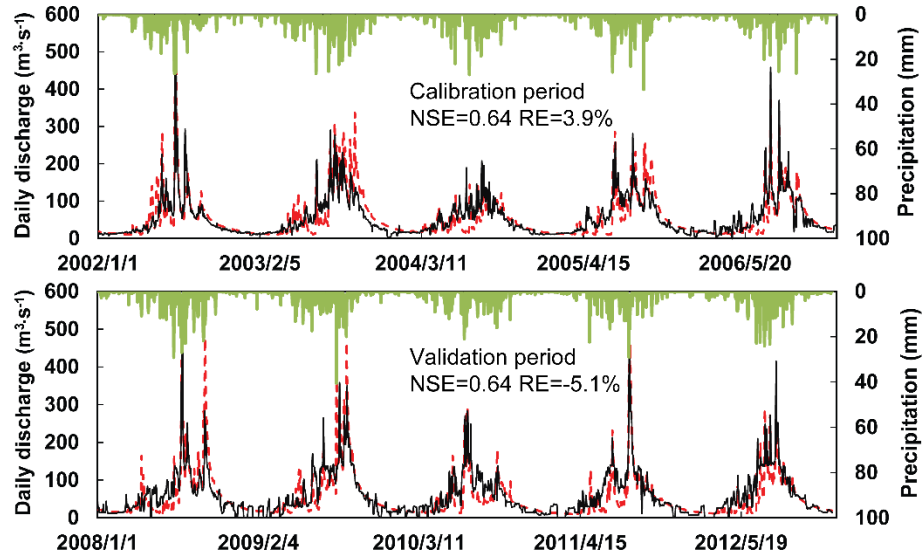
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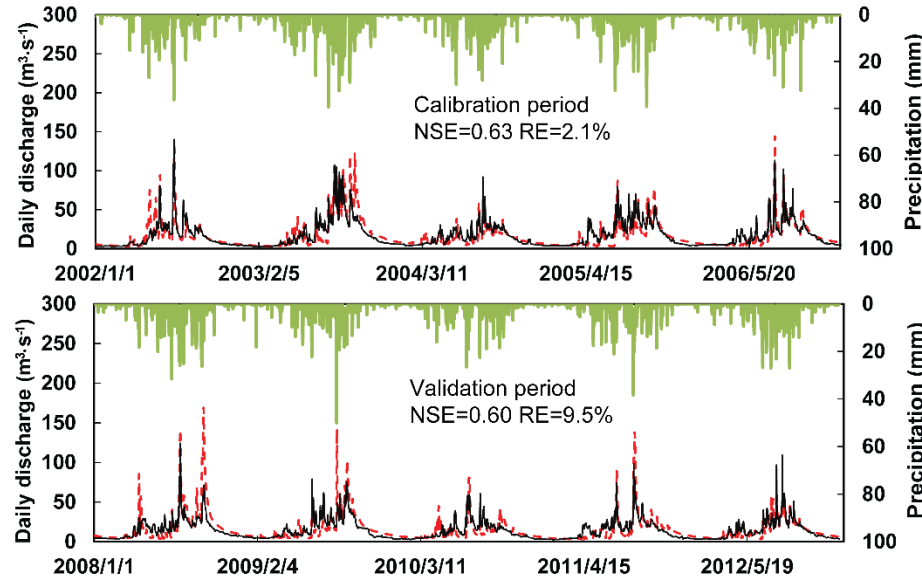
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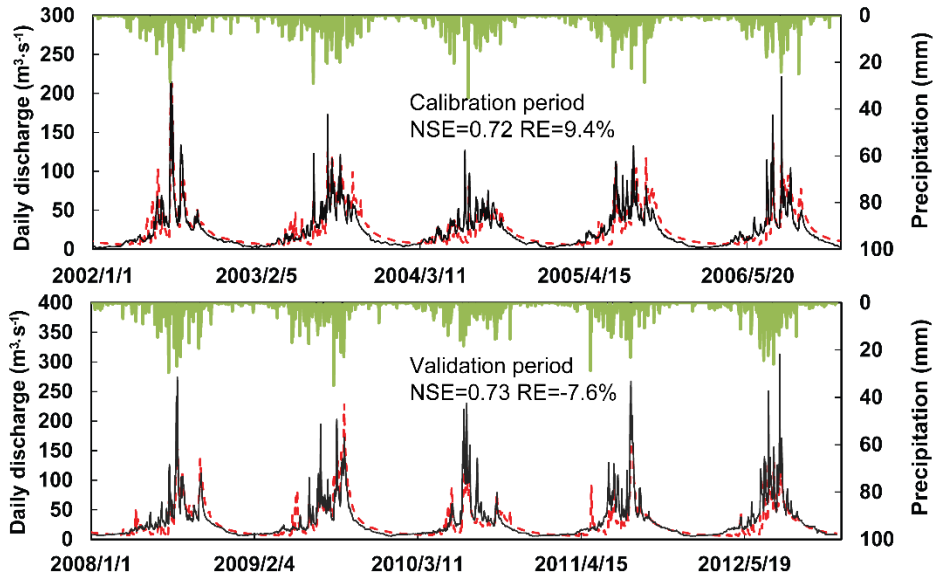
(a) Yingluoxia



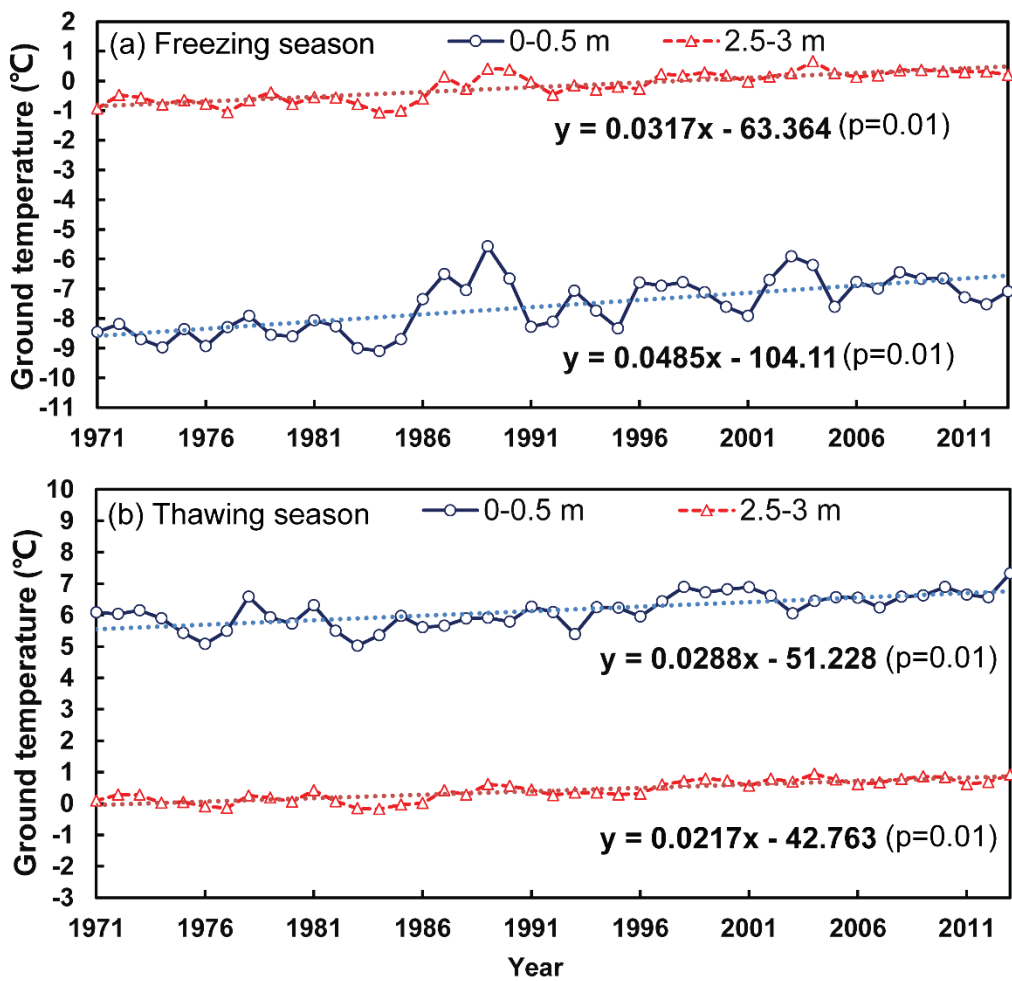
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(c) Zhamashike



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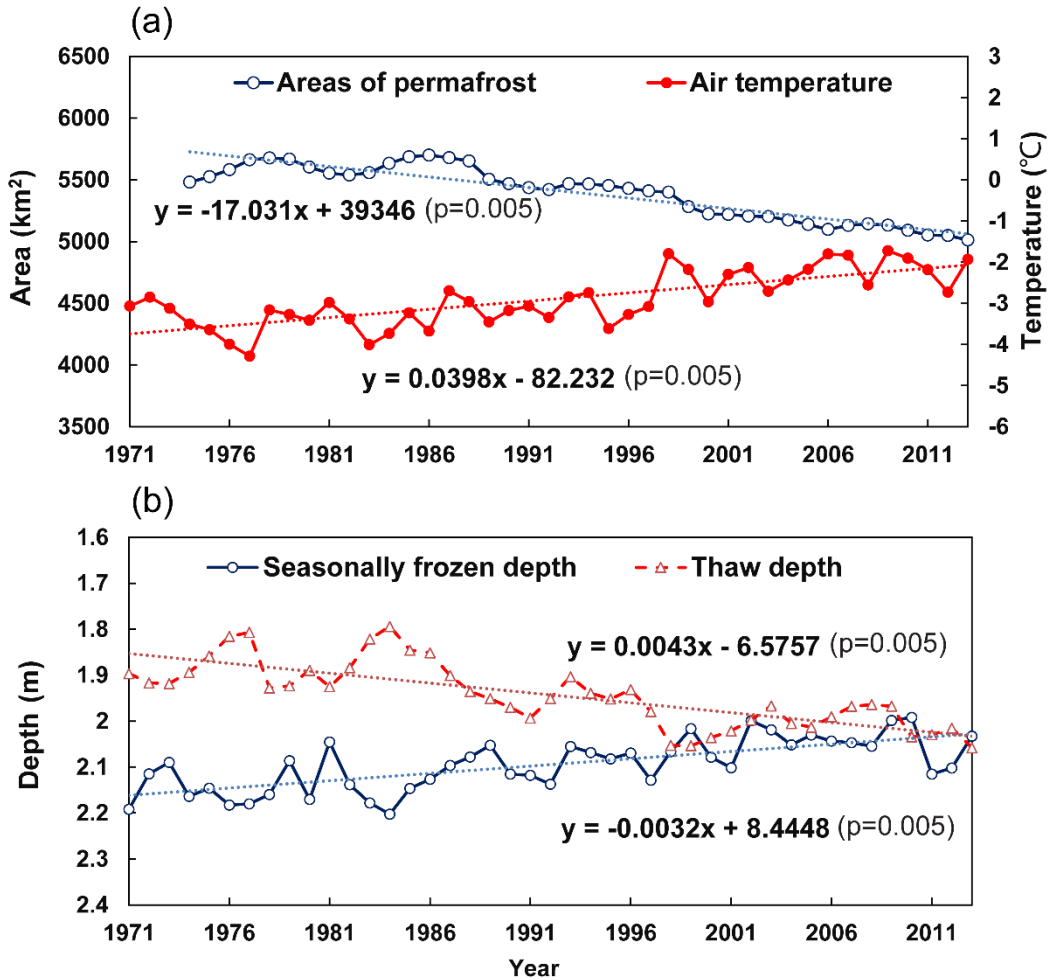
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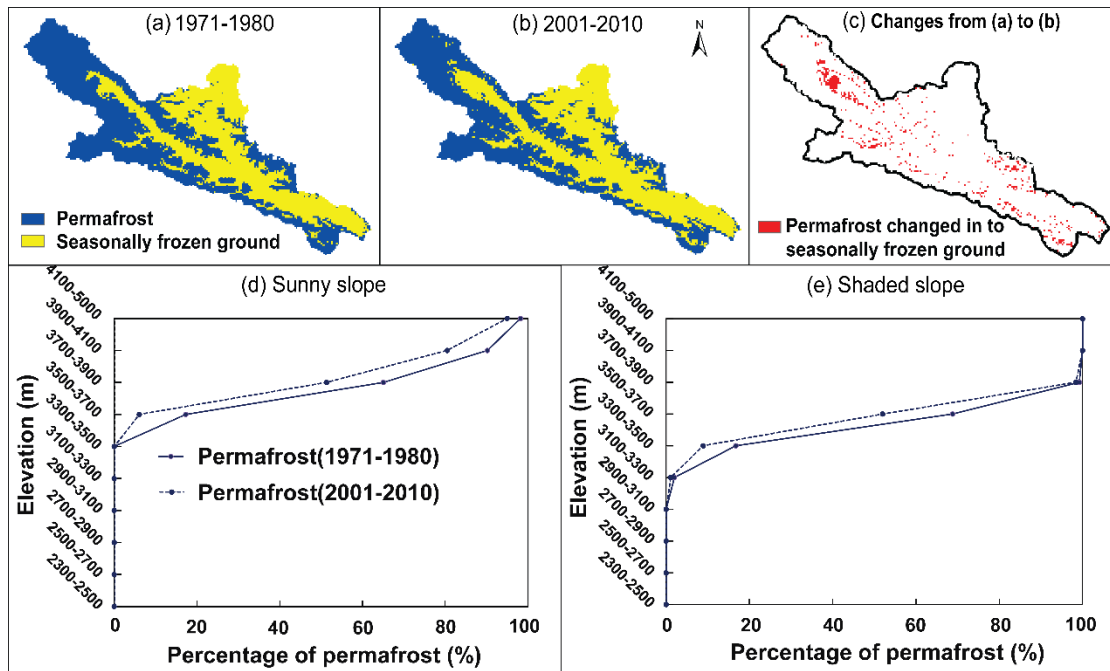
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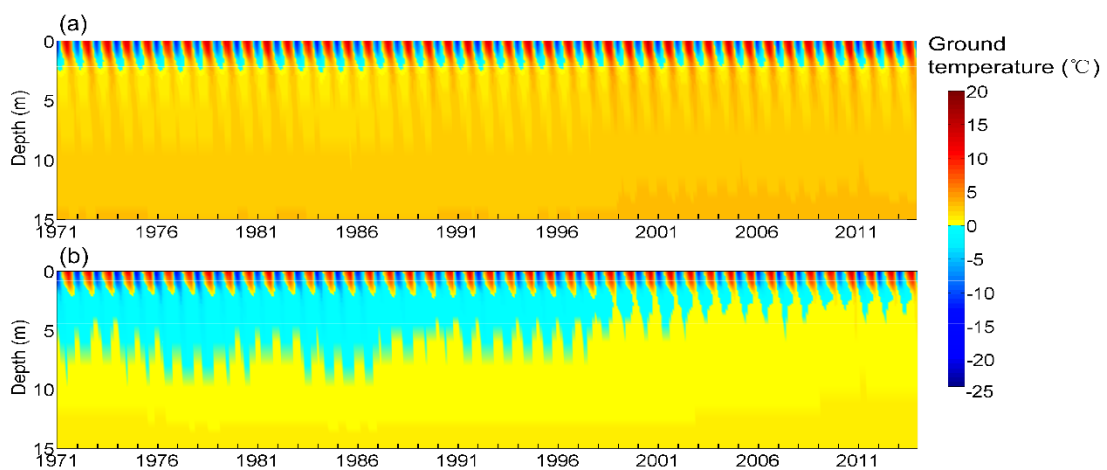
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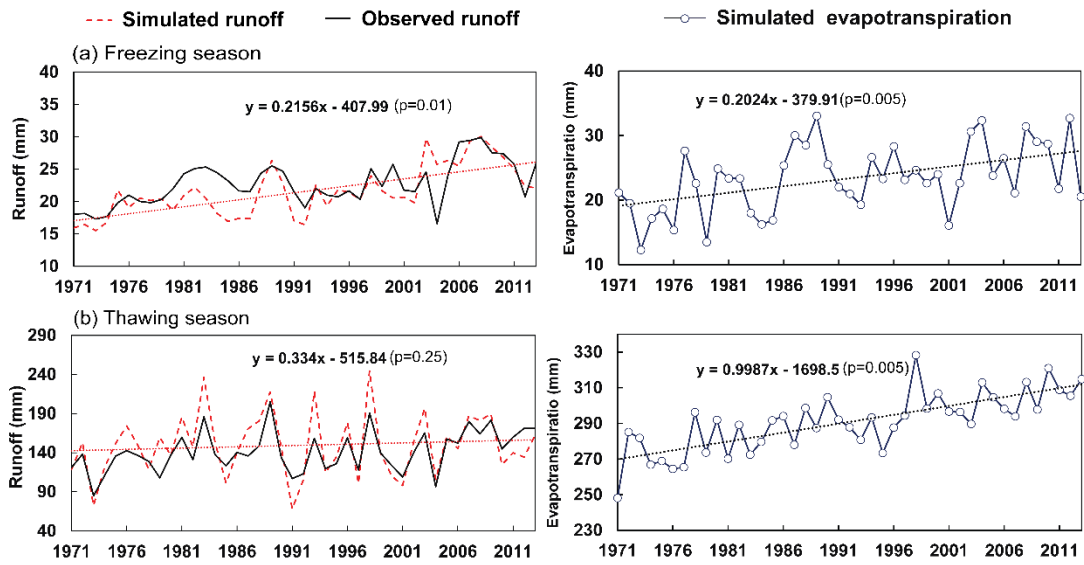
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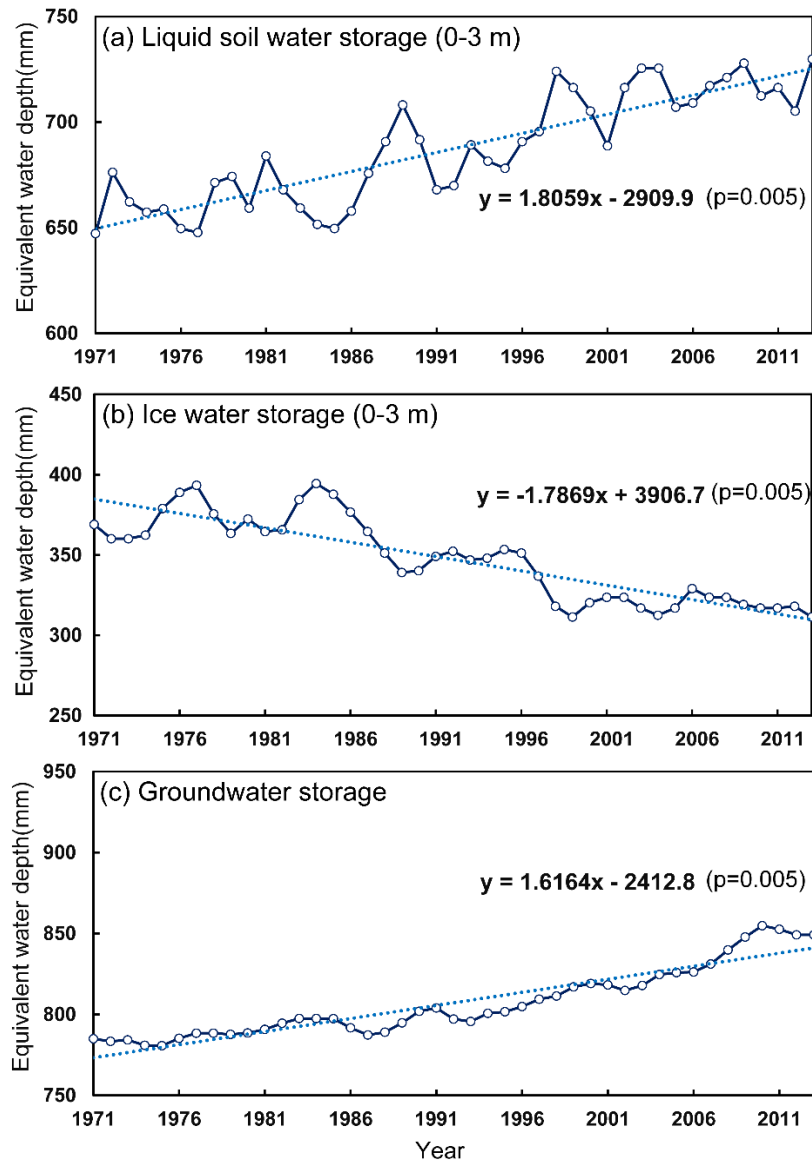
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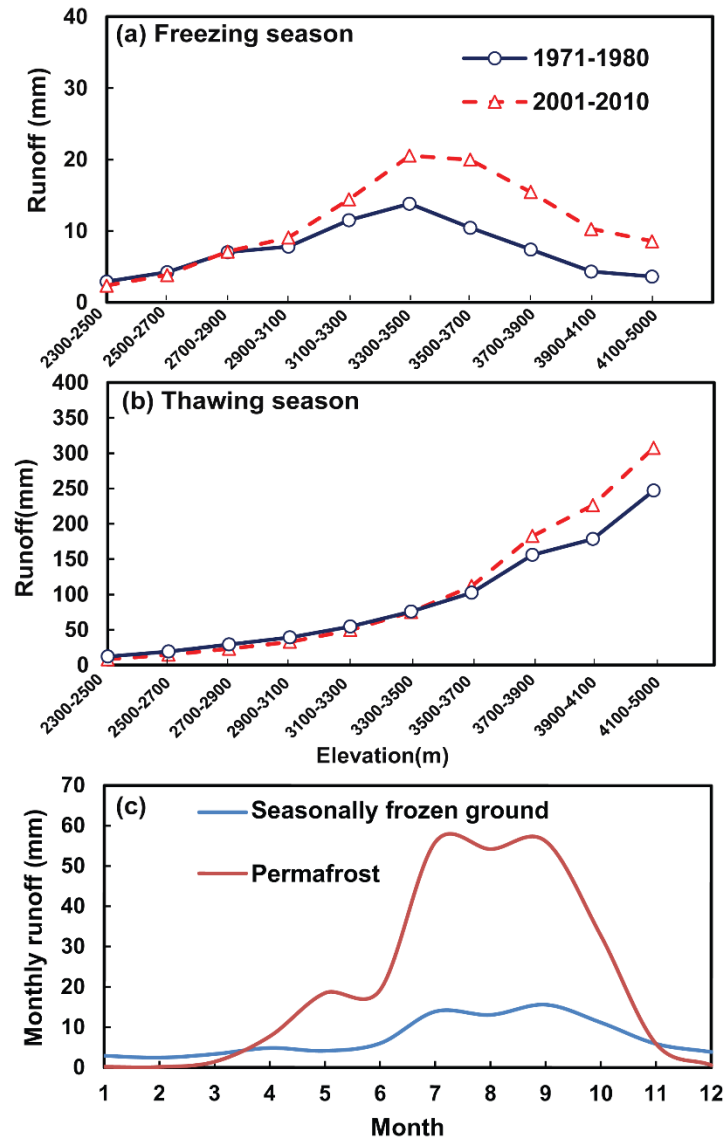


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952 **Table list:**

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954 Table 2. Changes in annual basin water balance and runoff components ($\text{mm} \cdot \text{y}^{-1}$) in different seasons.

955

956 Table 1. Major parameters of the GBEHM model.

Parameters	Coniferous Forest	Shrub	Steppe	Alpine Meadow	Alpine Sparse Vegetation	Desert
Surface retention capacity (mm)	30.0	25.0	10.0	15.0	15.0	5.0
Surface roughness (Manning coefficient)	0.5	0.3	0.1	0.1	0.1	1.0
Soil reflectance to visible light	0.20	0.20	0.20	0.28	0.14	0.11
Soil reflectance to near-infrared radiation	0.225	0.225	0.225	0.28	0.225	0.225
Leaf reflectance to visible light	0.105	0.105	0.105	0.105	0.105	—
Leaf reflectance to near-infrared radiation	0.35	0.58	0.58	0.58	0.58	—
Leaf transmittance to visible light	0.05	0.07	0.07	0.07	0.07	—
Leaf transmittance to near-infrared radiation	0.10	0.25	0.25	0.25	0.25	—
Maximum Rubisco capacity of top leaf ($10^{-5} \text{ mol} \cdot \text{m}^{-2} \cdot \text{s}^{-1}$)	6.0	6.0	3.3	3.3	3.0	—
Plant root depth (m)	2.0	1.0	0.40	0.40	0.1	0.0
Intrinsic quantum efficiency ($\text{mol} \cdot \text{mol}^{-1}$)	0.08	0.08	0.05	0.05	0.05	—
Canopy top height (m)	9.0	1.9	0.3	0.3	0.2	—
Leaf length (m)	0.055	0.055	0.3	0.3	0.04	—
Leaf width (m)	0.001	0.001	0.005	0.005	0.001	—
Stem area index	0.08	0.08	0.05	0.05	0.08	—

957 Table 2. Changes in annual basin water balance and runoff components ($\text{mm} \cdot \text{y}^{-1}$) in different seasons.

Decade	P	E	Sim R	Obs R	Runoff ratio (Obs)	Runoff ratio (Sim)	Runoff components					
							Freezing season (from November to March)			Thawing season (from April to October)		
							T	G	S	T	G	S
1971-1980	439.1	282.1	154.1	143.8	0.33	0.35	18.5	0.0	0.0	135.6	3.5	13.8
1981-1990	492.8	300.8	188.5	174.1	0.35	0.38	20.5	0.0	0.0	168.0	3.1	27.8
1991-2000	471.0	307.6	161.9	157.4	0.33	0.34	20.5	0.0	0.0	141.4	3.8	18.4
2001-2010	504.3	319.0	180.6	174.3	0.35	0.36	26.2	0.0	0.0	154.3	3.7	24.1

958 Note: P means precipitation, E means actual evaporation, R means runoff, T means total runoff, G
 959 means glacier runoff and S means snowmelt runoff, Sim means simulation and Obs means
 960 observation.