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#### 1 ACCURACY OF SNOW DEPTH ESTIMATION IN MOUNTAIN AND PRAIRIE

# 2 ENVIRONMENTS BY AN UNMANNED AERIAL VEHICLE

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## ABSTRACT

The quantification of the spatial distribution of snow is crucial to predict and assess snow as a water resource and understand land-atmosphere interactions in cold regions. Typical remote sensing approaches to quantify snow depth have focused on terrestrial and airborne laser scanning and recently airborne (manned and unmanned) photogrammetry. In this study photography from a small unmanned aerial vehicle (UAV) was used to generate digital surface models (DSMs) and orthomosaics for snowcovers at a cultivated agricultural Canadian Prairie and a sparsely-vegetated Rocky Mountain alpine ridgetop site using Structure from Motion (SfM). The ability of this method to quantify snow depth, changes in depth and its spatial variability was assessed for different terrain types over time. Root mean square errors in snow depth estimation from the DSMs were 8.8 cm for a short prairie grain stubble surface, 13.7 cm for a tall prairie grain stubble surface and 8.5 cm for an alpine mountain surface. This technique provided meaningful information on maximum snow accumulation and snow-covered area depletion at all sites, while temporal changes in snow depth could also be quantified at the alpine site due to the deeper snowpack and consequent higher signal-to noise-ratio. The application of SfM to UAV photographs can estimate snow depth in areas with snow depth > 30 cm - this restricts its utility for studies of the ablation of shallow, windblown snowpacks. Accuracy varied with surface characteristics, sunlight and wind speed during the flight, with the most consistent performance found for wind speeds < 6 m s<sup>-1</sup>, clear skies, high sun angles and surfaces with negligible vegetation cover. Relative to surfaces having greater contrast and more identifiable features, snow surfaces present unique challenges when applying SfM to imagery collected by a small UAV for the generation of DSMs. Regardless, the low cost, deployment mobility and the capability of repeat-on-demand flights that generate DSMs and orthomosaics of unprecedented spatial resolution provide exciting opportunities to quantify previously unobservable small-scale variability in snow depth and its dynamics.

#### 1. INTRODUCTION

- 34 Accumulation, redistribution, sublimation and melt of seasonal or perennial snowcovers are defining
- 35 features of cold region environments. The dynamics of snow have incredibly important impacts on land-
- 36 atmosphere interactions and can constitute significant proportions of the water resources necessary for
- 37 socioeconomic and ecological functions (Armstrong and Brun, 2008; Gray and Male, 1981; Jones et al.,
- 38 2001). Snow is generally quantified in terms of its snow water equivalent (SWE) through measurements
- 39 of its depth and density. Since density varies less than depth (López-Moreno et al., 2013; Shook and

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Gray, 1996) much of the spatial variability of SWE can be described by the spatial variability of snow depth. Thus, the ability to measure snow depth, and its spatial distribution, is crucial to assess and predict how the snow water resource responds to meteorological variability and landscape heterogeneity. Observation and prediction of snow depth spatial distribution is even more relevant with the anticipated and observed changes occurring due to a changing climate and land use (Dumanski et al., 2015; Harder et al., 2015; Milly et al., 2008; Mote et al., 2005; Stewart et al., 2004).

The many techniques and sampling strategies employed to quantify snow depth all have strengths and limitations (Pomeroy and Gray, 1995). Traditionally, manual snow surveys have been used to quantify snow depth and density along a transect. The main benefit of manual snow surveying is that the observations are a direct measurement of the snow water equivalent; however, it requires significant labour, is a destructive sampling method and can be impractical in complex, remote or hazardous terrain (DeBeer and Pomeroy, 2009; Dingman, 2002). Many sensors exist that can measure detailed snow properties non-destructively, with a comprehensive review found in Kinar and Pomeroy (2015), but nondestructive automated sensors, such as acoustic snow depth rangers (Campbell Scientific SR50) or SWE analyzers (Campbell Scientific CS275 Snow Water Equivalent Sensor), typically only provide point scale information and may require significant additional infrastructure or maintenance to operate properly. Remote sensing of snow from satellite and aerial platforms quantify snow extent at large scales. Satellite platforms can successfully estimate snow-covered area but problems remain in quantifying snow depth, largely due to the heterogeneity of terrain complexity and vegetation cover. To date, Light Detection And Ranging (LiDAR) techniques have provided the highest resolution estimates of snow depth spatial distribution from both terrestrial (Grünewald et al., 2010) and airborne platforms (Hopkinson et al., 2012). The main limitations encountered are available areas of observation (sensor viewshed) for the terrestrial scanner and the prohibitive expense and long lead time needed for planning repeat flights for the aerial scanner (Deems et al., 2013). Typically, airborne LiDAR provides data with a ground sampling of nearly 1 m and a vertical accuracy of 15 cm (Deems and Painter, 2006; Deems et al., 2013). While detailed, this resolution still does not provide observations of the spatial variability of snow distributions that can address microscale processes such as snow-vegetation interactions or wind redistribution in areas of shallow snowcover, and the frequency of airborne LiDAR observations are typically low, except for NASA's Airborne Snow Observatory applications in California (Mattmann et al., 2014).

An early deployment of a high resolution digital camera on a remote controlled gasoline powered model helicopter in 2004 permitted unmanned digital aerial photography to support studies of shrub emergence and snowcovered area depletion in a Yukon mountain shrub tundra environment (Bewley et al., 2007). Since then, Unmanned Aerial Vehicles (UAVs) have become increasingly popular for small-scale high-resolution remote sensing applications in the earth sciences. The current state of the technology is due to advances in the capabilities and miniaturization of the hardware comprising UAV platforms (avionics/autopilots, Global-positioning systems (GPS), Inertial Momentum Units (IMUs) and cameras) and the increases in available computational power to end users for processing imagery. The conversion of raw images to orthomosaics and digital surface models takes advantage of Structure from Motion (SfM) algorithms (Westoby et al., 2012). These computationally intensive algorithms simultaneously resolve camera pose and scene geometry through automatic identification and matching of common features in multiple images. With the addition of information on the respective camera location, or if feature locations are known, then georeferenced point clouds, orthomosaics and Digital Surface Models (DSMs) can be generated (Westoby et al., 2012). Snow is a challenging surface for SfM techniques due to its relatively uniform surface and high reflectance relative to snow-free areas which limit identifiable

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features (Nolan et al., 2015). The resolution of the data products produced by UAVs depends largely on flight elevation and sensor characteristics but can promise accuracies down to 2.6 cm in the horizontal and 3.1 cm in the vertical (Roze et al., 2014). The vertical accuracy of the (DSM) is generally 1 - 3 times the ground sample distance (GSD) (Strecha, 2011). The unprecedented spatial resolution of these products may be less important than the fact these platforms are deployable at a high, user-defined, frequency below cloud cover, which can be problematic for airborne or satellite platforms. Manned aerial platforms have the advantage of covering much larger areas (Nolan et al., 2015) with a more mature and clear regulatory framework (Marris, 2013; Rango and Laliberte, 2010) than small UAVs. However, the greater expenses associated with acquisition, maintenance, operation and training of manned platforms (Marris, 2013), relative to small UAVs, are significant (Westoby et al., 2012). Small UAVs overcome the limitation of terrestrial LiDAR viewshed constraints and in principle can generate DSMs equally well for complex and flat terrain. Many snow scientists have expressed great enthusiasm in the opportunities UAVs present and speculate that the data they produce may drastically change the quantification of snow accumulation and ablation (Sturm, 2015).

The roots of SfM are found in stereoscopic photogrammetry, which has a long history in topographic mapping (Collier, 2002). Major advances in the 1990's in computer vision (Boufama et al., 1993; Spetsakis and Aloimonost, 1991; Szeliski and Kang, 1994) building upon the development of automated feature matching algorithms (Förstner, 1986; Harris and Step, 1988) has led to the removal of certain data inputs, such as camera location, orientation or sensor characteristics, which simplifies the application of this technique. Significant work by the geomorphology community has pushed the relevance, application and further development of this technique into the earth sciences (Westoby et al., 2012). Recent application of this technique to snow depth estimation has used imagery captured by manned aerial platforms (Bühler et al., 2015; Nolan et al., 2015) and increasingly with small UAVs (De Michele et al., 2015; Vander Jagt et al., 2015; Bühler et al., 2016). These examples have reported vertical accuracies (root mean square errors) from the manned platforms of 30 cm with horizontal resolution between 5-20 cm (Nolan et al., 2015) and 2 m (Bühler et al., 2015) and from the UAV 10 cm with a horizontal of resolution between 50 cm (Vander Jagt et al., 2015) and 10 cm (Bühler et al., 2016). The accuracy of assessment of the De Michele et al. (2015), Vander Jagt et al. (2015), and Bühler et al. (2016) studies were limited to a small number of snow depth maps, Bühler et al. (2016) had the most with four maps, and more are needed to get a complete perspective on the performance of this technique and its repeatability.

The advent of UAVs and their promise to generate orthomosaics and DSMs of the earth surface at the centimeter scale at a high observational frequency is exciting. Testing of this technology applied to snow has been limited, thus a careful assessment is required of the accuracy achievable with varying weather, terrain, and vegetation, and also of its temporal repeatability. The overall objective of this paper is to assess the accuracy of snow depth as estimated by imagery collected by small UAVs and processed with SfM techniques. Specifically, this paper will; 1) assess the accuracy of UAV-derived snow depths with respect to the deployment conditions and heterogeneity of the earth surface; specifically variability in terrain relief, vegetation characteristics and snow depth, and 2) identify and assess opportunities for UAV generated data to advance understanding and prediction of snowcover and snow depth dynamics.

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## 2. Sites and Methodology

125 2.1 Sites

126 The prairie field site (Fig. 1a) is representative of agricultural regions on the cold, windswept Canadian 127 prairies, where agriculture management practices control vegetation physical characteristics which, in 128 turn, influence snow accumulation (Pomeroy and Gray, 1995). There is little elevation relief and the 129 landscape is interspersed with wooded bluffs and wetlands. Snowcover is typically shallow (maximum 130 depth < 50 cm) with development of a patchy and dynamic snow-covered area during melt. Data collection occurred at a field site near Rosthern, Saskatchewan, Canada in spring 2015 as part of a larger 131 132 project studying the influence of grain stubble exposure on snowmelt processes. The 65-hectare study 133 site was divided into areas of tall stubble (35 cm) and shorter stubble (15 cm). Wheat stubble, clumped 134 in rows ~30 cm apart, remained erect throughout the snow season, which has implications for blowing 135 snow accumulation, melt energetics and snow cover depletion (Fig. 1c). Snow accumulation dynamics 136 and snowmelt energetics in similar environments have been described by Pomeroy et al. (1993, 1998).

The alpine site, located in Fortress Mountain Snow Laboratory in the Canadian Rocky Mountains, is characterized by a ridge oriented in SW-NE direction (Fig. 1b, d) at an elevation of approximately 2300 m. The average slope at the alpine site is ~15 degrees with some slopes > 35 degrees. Large areas of the ridge were kept bare by wind erosion during the winter of 2014/2015 and wind redistribution caused the formation of deep snowdrifts on the leeward (SE) side of the ridge, in surface depressions and downwind of krummholz. Mean snow depth of the snow-covered area at the start of the observation period (May 13, 2015) was 2 m (excluding snow-free areas) with maximum depths over 5 m. The snow albedo differed between clean snow and that which had dust deposition from localized sources. The study area was divided between a North and a South area (red polygons) due to UAV battery and hence flight area limitations. Snow accumulation dynamics and snowmelt energetics in in the same environment have been described by DeBeer and Pomeroy (2010, 2009), MacDonald et al. (2010) and Musselman et al. (2015) and in similar environments by Egli et al. (2012), Grünewald et al. (2010), Mittaz et al. (2015) and Reba et al. (2011).

#### 150 2.2 Methodology

151 2.2.1 Unmanned Aerial Vehicle - flight planning – operation - data processing

152 A Sensefly Ebee Real Time Kinematic (RTK) UAV (Fig. 2a) was used to collect imagery over both sites. It is 153 marketed as a complete system, including the UAV platform and flight control and image processing software, capable of survey grade accuracy without the use of GCPs (Roze et al., 2014). The Ebee is a 154 155 hand launched, fully autonomous, battery powered delta wing UAV with a wingspan of 96 cm and a 156 weight of ~0.73 kg including payload. Maximum flight time is up to 45 minutes with cruising speeds 157 between 40-90 km h<sup>-1</sup>. A consumer grade camera, a Canon IXUS, captured imagery that was tagged with 158 location and camera orientation information supplied by RTK corrected Global Navigation Satellite 159 System (GNSS) positioning and IMU, respectively. A Leica GS15 base station supplied the RTK corrections 160 to the UAV that resolve image locations to an accuracy of ± 2.5 cm. Bühler et al. (2015) found that snow 161 depth mapping improved with the use of near-infrared (NIR) imagery as the NIR spectrum is sensitive to 162 variations in snow grain size and water content (Dozier and Painter, 2004), which increases the contrast 163 and complexity of the snow surface. A NIR camera, a customized Canon S110, was also flown repeatedly 164 during this campaign (three times at alpine site and 16 times at prairie site) and captured imagery in 165 three bands; green, red and NIR (850 nm) bands. The Ebee was able to fly in all wind conditions

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- attempted but image quality, location and orientation became inconsistent and/or was missed when
- wind speed at flight altitude approached or exceeded 14 m s<sup>-1</sup>.
- 168 At the prairie site, flight altitudes were ~100 m with 60% lateral and 75% longitudinal photo overlaps,
- which translated into mapping of up to 100 hectares per flight at a resolution of ~3 cm pixel<sup>-1</sup>. Figure 2b
- 170 provides a typical flight plan generated by the eMotion flight control software that was used on the
- prairie site. The UAV was flown 22 times during the melt period (6 to 30 March 2015) with three more
- 172 flights over a snow free surface between 2 and 9 April 2015. A loaner Ebee, from Spatial Technologies,
- 173 the Ebee distributor, performed the first 11 flights at the prairie site due to technical issues with the
- 174 Ebee RTK. The geotag errors of the non-RTK loaner Ebee were ±5 m (error of GPS Standard Positioning
- 175 Service) and therefore required GCPs to generate georeferenced data products.
- 176 Default settings for difficult terrain were chosen for the alpine site, these include a lateral overlap of 85%
- 177 and a longitudinal overlap of 75%, with a flight altitude of 100 m. Two flights with perpendicular flight
- 178 paths covered the south and north part of the alpine study area. To reduce variations in flight altitudes,
- 179 flight plans were adjusted to ensure a more consistent flight altitude using a 1 m resolution DEM, derived
- 180 from an available airborne LiDAR scan. The UAV was flown 18 times from 15 May to 24 June 2015 with
- 181 four flights over bare ground on 24 July 2015.
- 182 Postflight Terra 3D 3 (version 3.4.46) was used to process imagery to generate DSMs and orthomosaics.
- 183 Though the manufacturer suggested that they are unnecessary with RTK corrected geotags (error of ±2.5
- cm), all processing included GCPs (locations highlighted in Fig. 1). At the prairie site, 10 GCPs comprised
- 185 of five tarps and five utility poles were distributed throughout the study area. At the alpine site, the
- 186 north and south areas had five and six GCPs, respectively comprised of tarps (Fig. 3a) and easily
- identifiable rocks (Fig. 3b) spread over the study area.
- 188 Processing involved three steps. First, initial processing extracted features common to multiple images,
- 189 optimized external and internal camera parameters for each image, and generated a sparse point cloud.
- 190 The second step densified the point cloud and the third step generated a georeferenced orthomosaic
- 191 and a DSM. Preferred processing options varied between the sites, with the semi global matching
- 192 algorithm in the point densification used to minimize erroneous points that were encountered at the
- 193 alpine site (see Sect 3.3). Generated orthomosaics and DSMs had a horizontal resolution of 3.5 cm at the
- prairie site and between 3.5 cm and 4.2 cm at the alpine site.
- 195 2.2.2 Ground truth and snow depth data collection
- 196 To assess the accuracy of the generated DSMs and their ability to measure snow depth, detailed
- 197 observations of the land surface elevation and snow depth over the course of snowcover ablation were
- 198 made. At the prairie site a GNSS survey, utilizing a Leica GS15 as a base station and another GS15 acting
- as a RTK corrected rover, measured the location (x, y and z) of 34 snow stakes to an accuracy of ± 2.5 cm
- 200 (locations identified in Fig. 1a). Over the melt period, the snow depth was measured with a ruler (error
- 201 of ± 1 cm) along snow surveys between and at each of the 34 snow survey stakes. Combining the snow
- 202 depths measured by the snow surveys and their corresponding land surface elevations from the GNSS
- 203 survey gives snow surface elevation points that can be directly compared to the UAV derived DSM.
- 204 At the alpine site, 100 land surface elevations were measured with a GNSS survey to determine the
- 205 general quality of the DSMs. Vegetation was negligible at these locations. For most of the flights a GNSS
- survey was also performed on the snowcover. To account for the substantial terrain roughness and to

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- 207 avoid measurement errors in deep alpine snowpacks, the snowcover surface elevation was directly
- 208 determined by the GNSS survey and snow depth was measured with five snow depth measurements in a
- 209 0.4 m x 0.4 m square at these locations. The average snow depth of these five values was then compared
- 210 to the snow depth determined by the UAV. Time constraints and inaccessible steep snow patches limited
- the number of snow depth measurements to between three and 20 measurements per flight.
- 212 At both the prairie and alpine site, GCP location measurement employed the same GNSS RTK surveying
- 213 method. Snow surveys (maximum one per day) and DSMs (multiple per day) are only compared if from
- the same days.
- 2.2.3 Snow depth estimation
- 216 Snow depth was estimated by subtracting a DSM representing a snow-free period from a DSM
- 217 representing a period with snowcover. This assumes that snow ablation is the only cause of change in the
- 218 surface elevations between the dates of image capture. The snow-free DSMs corresponded to imagery
- collected on 2 April and 24 July for the prairie and alpine sites, respectively.
- 220 2.2.4 Accuracy assessment
- 221 The accuracy of the UAV-derived DSM or snow depth was estimated by calculating the root mean square
- 222 error (RSME), mean error (bias) and standard deviation of the error (SD) with respect to the manual
- 223 measurements. The RSME quantifies the overall difference between manually measured and UAV
- 224 derived values. Bias quantifies the mean magnitude of the over (positive values) or under (negative
- 225 values) prediction of the DSM with respect to manual measurements. The SD quantifies the variability of
- the error.

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- 227 2.2.5 Signal-to-Noise Calculation
- 228 The signal-to-noise ratio (SNR) compares the level of the snow depth signal with respect to the
- 229 measurement error to inform when meaningful information is available. The SNR is calculated as the
- 230 mean measured snow depth value divided by the standard deviation of the error between the observed
- and estimated snow depths. The Rose criterion, commonly applied in image processing literature, is used
- 232 to define the threshold SNR where the UAV returns meaningful snow depth information; this is further
- 233 described in Rose (1973). The Rose criterion proposes a SNR ≥ 4 for the condition at which the signal is
- 234 sufficiently large to avoid mistaking it for a fluctuation in noise. Ultimately, the acceptable signal to noise
- ratio depends upon the user's error tolerance (Rose, 1973).

## 3. Results and Discussion

- 237 3.1 Absolute surface accuracy
- 238 The accuracy of the DSMs is summarized in Figure 4 and Table 1 by presenting the errors for the
- 239 individual flights and a summary of all the flights, respectively. The accuracy of the DSMs relative to the
- 240 measured surface points are variable due to dynamic conditions at time of photography and the surface
- characteristics. This is seen in the RMSE for individual flights varying from 4 cm to 19 cm. Only a few
- problematic flights showed larger RMSE of up to 32 cm, which are marked in blue in Figure 4. In general,
- 243 the accuracy of the DSMs as represented by the mean RMSEs in Table 1, were comparable between the
- prairie short stubble (8.1 cm), alpine-bare (8.1 cm), alpine-snow (7.5 cm) sites and greater over the
- prairie tall stubble (11.5 cm). Besides the five (out of 43 flights) problematic flights, which will be discussed in section 3.3.1, accuracy was relatively consistent over time at all sites. To clarify, the prairie
- discussed in section 3.3.1, accuracy was relatively consistent over time at all sites. To clarify, the prairie flights simultaneously sampled the short and tall stubble areas, thus there were only three problematic

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248 flights at the prairie site in addition to the two at the alpine site (Figure 4). The larger error at the tall 249 stubble is due to snow and vegetation surface interactions. Over the course of melt, the DSM gradually 250 became more representative of the stubble surface rather than the snow surface, as the snow surface 251 dropped below the stubble height. This highlights a problem in applying SfM to estimate snowcover, as 252 the most prominent features, in this case exposed stubble, are preferentially weighted to represent the 253 surface. The bias, especially for tall stubble, becomes positive resulting in over prediction of the surface, 254 as the snow surface drops beneath the stubble height. The number of observations on alpine-snow is 255 limited (Fig. 4) but no obvious differences were detected with respect to the alpine-bare soil 256 (determined by 100 observations). These results exclude areas affected by erroneous points, as

257 described in section 3.3.2, which was small compared to the total snow-covered area.

258 The manufacturer suggests that RTK level accuracy on the camera geotags without the use of GCPs can 259 produce products with similar accuracy to those generated with standard GPS positioning and the use of 260 GCPs (Roze et al., 2014). This was assessed with DSMs created with and without GCPs for flights where 261 the Ebee's camera geotags had RTK-corrected positions with an accuracy of ± 2.5 cm. This amounted to 262 nine flights at the prairie site and 22 flights at the alpine site. Inclusion of GCPs had little effect on the 263 standard deviation of error with respect to surface observations, but resulted in a reduction of the mean 264 absolute error of the bias from 27 cm to 10 cm and from 14 cm to 6 cm at the prairie and alpine site,

265 respectively.

266 The generated NIR DSMs had rough surfaces, large biases and gaps due to SfM not being able to resolve 267 the surface features. Despite possible advantages over visible imagery due to greater snow contrast, it 268 was not possible to generate reliable results using the images from this customized Canon S110 NIR 269 camera.

270 3.2 Snow depth accuracy

271 The snow depth errors were similar to that of the surface errors with the alpine and short stubble sites 272 having very similar errors, with mean RMSEs of 8.5 cm and 8.8 cm, but much larger errors over tall 273 stubble, with mean RMSE of 13.7 cm (Fig. 5 and Table 2). Snow depth errors were larger than the surface 274 errors as the errors from the snow-free and snow-covered DSMs are additive in the DSM differencing. 275 The usability of snow depth determined from DSM differencing requires comparison of signal-to-noise. 276 Signal-to-noise, SNR in Fig. 5, clearly demonstrates that the deep alpine snowpacks have a large signal 277 relative to noise and provide very useable information on snow depth both at maximum accumulation 278 and during most of the snowmelt period (SNR >7). In contrast, the shallow snowpack at the prairie site, 279 despite a similar absolute error to the alpine site, demonstrates decreased ability to retrieve meaningful snow depth information over the course of snowmelt; the signal became smaller than the noise. 280 281 Applying the Rose criterion of a SNR ~4, it is apparent that only the first flight at the short stubble and 282 the first two flights at the tall stubble provided useful information on the snow depth signal.

283 The error of the estimated snow depth is correlated to the bias; this is most apparent at the prairie site 284 where the estimated, shallow, snow depth varies with the bias. With bias correction, the mean snow 285 depth, as demonstrated in Fig. 6, shows a relatively coherent time evolution for a shallow snow cover.

286 Differencing of UAV derived DSMs provides meaningful but limited information about snow depth. Reliable information is limited to the peak accumulation period at the prairie site, which is typical of 287 288 shallow, wind redistributed seasonal snowcovers that cover prairie, steppe and tundra in North and 289 South America, Europe and Asia. This is in contrast to other studies which suggest this technique can be

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- 290 universally adopted for snow depth mapping despite reporting a RMSE of up to 30 cm (Bühler et al.,
- 291 2015; Nolan et al., 2015). Errors of such a magnitude are inappropriate for estimating the depth of
- 292 shallow snowcovers.
- 293 3.3 Challenges
- 294 3.3.1 UAV Deployment Challenges
- 295 An attractive attribute of UAVs, relative to manned aerial or satellite platforms, is that they allow "on-
- 296 demand" responsive data collection. While deployable under most conditions encountered, the
- 297 significant variability in the DSM RMSEs is likely due to the environmental factors at time of flight
- 298 including wind conditions, sun angle, flight duration, cloud cover and cloud cover variability. In high wind
- 299 conditions (>14 m s<sup>-1</sup>) the UAV struggled to maintain its preprogrammed flight path. This resulted in
- 300 missed photos and inconsistent density in the generated point clouds. This UAV does not employ a
- 301 gimbal to stabilize camera orientation and thus windy conditions also resulted in blurry images from the
- 302 unstable platform that deviate from the ideal vertical orientation. The flights for the DSMs with the
- 303 greatest RMSEs had the highest wind speeds as measured by the UAV.
- 304 As the system relies on a single camera traversing the areas of interest, anything that may cause a
- 305 change in the reflectance properties of the surface will complicate post-processing and influence the
- 306 overall accuracy. Consistent lightning is important with a preference for clear, high sun conditions to
- 307 minimize shadow dynamics. Diffuse lighting during cloudy conditions resulted in little contrast over the
- 308 snow surface and large gaps in the point cloud over snow. Three flights under these conditions could not
- 309 be used and were not included in the previously shown statistics. Clear conditions and patchy snowcover
- 310 led to large numbers of overexposed pixels (see Sect 3.3.2). Low sun angles should be avoided as
- 311 orthomosaics from these times are difficult to classify with respect to the large and dynamic surface
- 312 shadows present and the relatively limited reflectance range.
- 3.3.2 Challenges applying Structure from Motion over snow
- 314 Erroneous points over snow were generated by post-processing with the default settings at the alpine
- 315 sites. These points were up to several metres above the actual snow surface and were mainly located at
- 316 the edge of snow patches, but also on irregular and steep snow surfaces in the middle of a snow patch.
- 317 The worst cases occurred during clear sunny days over south-facing snow patches, where the whole
- 318 snow patch was interspersed with these erroneous points. These points are related to the overexposure
- of snow pixels in the raw images, which typically occurred during direct sunlight over a small snow-
- 320 covered area. A typical image with overexposed snow pixels had bare ground in the centre and small
- 220 covered drea. A typical image with overexposed show pixels had bare ground in the centre and shial
- 321 snow patches on the edges. The Canon IXUS camera automatically adjusts exposure based on centre-
- 322 weighted light metering and is not adjustable. Erroneous points could be eliminated with the removal of
- 323 overexposed images. However, reducing the number of images in such a large amount caused a larger
- bias and gaps in the point cloud, which made this method inappropriate.
- 325 The semi-global matching (SGM) option with optimization for 2.5D point clouds proved to be the best
- 326 parameter setting within the post-processing software Postflight Terra 3D. Semi-global matching was
- 327 employed to improve results on projects with low or uniform texture images, while the optimization for
- 328 2.5D removes points from the densified point cloud (SenseFly, 2015). The SGM option removed most of
- the erroneous points with best results if processing was limited to individual flights. Including images from additional perpendicular flights or merging subareas with overlapping images resulted in a rougher
- 331 surface with more erroneous points. This is likely due to changes in the surface lighting conditions

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between flights, which challenges SfM. However, there was no additional bias introduced by the use of SGM and linear artefacts were visible when compared to default settings. These linear artefacts caused the standard deviation of the error to increase from 1 cm to 3 cm on bare ground. Areas with remaining erroneous points where identified and excluded from the presented analysis. The ability to reduce these erroneous points with SGM depended on the version of Postflight Terra 3D. Results achieved with version 3.4.46 were much better than results from the later version 4.0.81. This suggests that future users should test different versions to achieve optimal results. The "black box" nature of this proprietary software and small number of adjustable parameters clearly limits the applications of this post-processing tool for scientific applications.

### 3.4 Applications

The distributed snow depth maps generated from UAV imagery are of great utility for understanding snow processes at previously unrealized resolutions, spatial coverages and frequencies. These products may directly lead to a greater understanding of snow phenomena and/or inform, initialize and validate distributed models at a high resolution. Figure 7 provides examples of UAV derived distributed snow depth maps. The identification of snow dune structures, which correspond to in-field observations, is a qualitative validation that UAV derived DSM differencing does indeed provide reasonable information on the spatial variability of snow depth. Actual applications will depend upon the surface, snow depth and other deployment considerations as discussed.

In the prairies, as discussed earlier, it is reasonable to use this technique to measure peak snow accumulation. Besides providing an estimate of the total snow volume, this technique can also inform snow cover depletion curve estimation and description (Pomeroy et al., 1998). Simple snow cover depletion models can be parameterized with estimates of the mean and standard deviation of the snow depth (Essery and Pomeroy, 2004), which otherwise are obtained from snow surveying. For 2015, the bias corrected peak snow accumulation at the short stubble site had a mean of 28.2 cm and a standard deviation of 7.2 cm while the tall stubble site had a mean of 38 cm and standard deviation of 6.2 cm. These values correspond to coefficients of variation of 0.255 and 0.173, at the short and tall stubble sites respectively, which are similar to previous observations from corresponding landforms/surfaces (Pomeroy et al., 1998). While not discussed in this paper, the classification of the orthomosaics can quantify snow-covered area (SCA), providing a validation tool for depletion prediction (Fig. 8a). Orthomosaics have the same horizontal accuracy and resolution as the DSMs; the vertical errors are irrelevant as orthomosaics lack a vertical component. Interpretation of snow processes from orthomosaics is therefore possible regardless of surface characteristics or snow depth.

Applications at the alpine site also include the ability to estimate the spatial distribution of snow depth change due to ablation (Fig. 8b). To obtain ablation rates, the spatial distribution of snow density is still needed but it may be estimated with a few point measurements or with parameterizations dependent upon snow depth (Jonas et al., 2009; Pomeroy and Gray, 1995). In Fig. 8b the mean difference in snow depth between the two flights was 0.9 m; this gives a SNR of ~11 which is more than sufficient to confidently assess the spatial variability of melt.

Despite the limitations and deployment considerations discussed, UAVs are capable of providing data at unprecedented spatial and temporal resolutions that can advance understanding of snow processes. The most important consideration is whether the anticipated signal-to-noise ratio will allow for direct estimates of snow depth or snow depth change. This limits the use of this technique to areas with snow depths or observable changes sufficiently larger than the SD of the error. This analysis established this

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threshold, at a minimum, to be ~30 cm. This threshold is equal to four times the mean observed SD (Rose criterion), but will vary with the application, site and user's error tolerance. Regardless of the accuracy of the absolute surface values, the relative variability within the DSM may offer fresh insights into the spatial variability of snow depth and snow surface roughness. Previous work on the statistical properties of snow depth (Deems et al., 2006; Shook and Gray, 1996) and snow surface roughness (Fassnacht et al., 2009; Manes et al., 2008) could be extended to consider even finer, centimetre-scale, variability over large areas.

#### 4. Conclusions

A new tool, a small UAV that took photographs from which DSMs and orthomosaics were generated through application of SfM techniques, was evaluated in two different environments, mountain and prairie, to verify its ability to quantify snow depth and its spatial variability for varying weather conditions over the ablation period. The introduction of functional UAVs to the scientific community requires a critical assessment of what can reasonably be expected from these devices over the seasonal snowcover. Snow represents one of the more challenging surfaces for UAVs and SfM techniques to resolve due to the lack of contrast and high surface reflectance. Field campaigns assessed the accuracy of the Ebee RTK system over flat prairie and complex terrain alpine sites subject to wind redistribution and spatially variable ablation associated with varying surface vegetation and terrain characteristics. The mean accuracies of the DSMs were 8.1 cm for the short stubble surface, 11.5 cm for the tall surface and 8.7 cm for the alpine site. These DSM errors translate into mean snow depth errors of 8.8 cm, 13.7 cm and 8.5 cm over the short, tall and alpine sites respectively. Ground control points were needed to achieve this level of accuracy. Error varied with bias, which allowed application of a bias correction to improve the accuracy of the snow depth estimates, but this required additional surface observations. The SfM technique provided meaningful information on maximum snow depth at all sites, and snow depth depletion could also be quantified at the alpine site due to the deeper snowpack and consequent higher signal-to-noise ratio. These findings demonstrate that SfM can be applied to accurately estimate snow depth and its spatial variability only in areas with snow depth > 30 cm. This restricts its application for shallow, windblown snowcovers. Snow depth estimation accuracy varied with wind speed, surface characteristics and sunlight; the most consistent performance was found for wind speeds < 6m s<sup>-1</sup>, surfaces with insignificant vegetation cover, clear skies and high sun angles. The ability to generate good results declined over especially homogenous snow surfaces and southerly aspects in mountain terrain. Clear sky conditions were favourable for high snow-covered fractions with limited snow surface brightness contrast. During snowmelt with reduced snow-covered fraction, clear sky conditions caused overexposure of snow pixels.

The challenges of applying SfM to imagery collected by a small UAV over snow complicate the generation of DSMs relative to other surfaces with greater contrast and identifiable features. Regardless, the unprecedented spatial resolution of the DSMs and orthomosaics, low costs and "on-demand" deployment provide exciting opportunities to quantify previously unobservable small-scale variability in snow depth that will only improve the ability to quantify snow properties and processes.

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595 Table 1: Absolute surface accuracy summary\*

Area	Variable	Mean (cm)	Maximum (cm)	Minimum (cm)
Alpine-bare	RMSE	8.7	15	4
Alpine-bare	Bias**	5.6	11	1
Alpine-bare	SD	6.2	12	3
Alpine-snow	RMSE	7.5	14	3
Alpine-snow	Bias**	4.4	13	1
Alpine-snow	SD	5.4	13	3
Short	RMSE	8.1	12.5	4.4
Short	Bias**	4.4	11.2	0
Short	SD	6.3	9.5	3.2
Tall	RMSE	11.5	18.4	4.9
Tall	Bias**	6.6	17.5	0.3
Tall	SD	8.4	14.2	3.1

\*summary excludes five flights identified to be problematic due to windy conditions

\*\*mean of absolute values

599 Table 2: Absolute snow depth accuracy summary\*

	'	,		
Area	Variable	Mean (cm)	Maximum (cm)	Minimum (cm)
Alpine	RMSE	8.5	14.0	3
Alpine	Bias**	4.1	11.0	0
Alpine	SD	7.1	12.0	3
Short	RMSE	8.8	15.8	0
Short	Bias**	5.4	15.2	0
Short	SD	6.1	10.3	0
Tall	RMSE	13.7	27.2	0
Tall	Bias**	9.8	26.4	0
Tall	SD	8.3	13.9	0

\*summary excludes four flights identified to be problematic due to windy conditions

\*\* mean of absolute values

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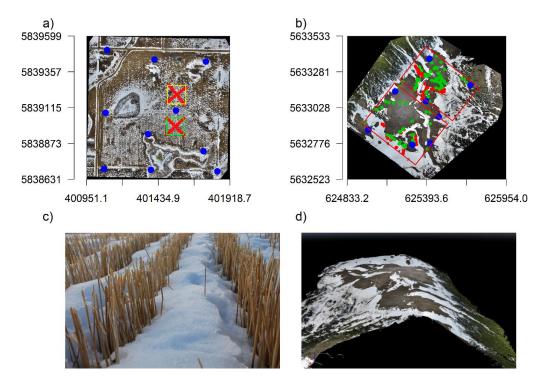


Figure 1: Orthomosaics of a) the prairie site located near Rosthern, Saskatchewan and b) the alpine site at Fortress Mountain Snow Laboratory, Kananaskis, Alberta . The prairie site image (March 19, 2015) has polygons depicting areas used for peak snow depth estimation over short (yellow) and tall (green) stubble. The alpine site image (May 22, 2015) was split into two separately processed subareas (red polygons). Red points in a) and b) are locations of manual snow depth measurements while green points at the alpine site b) were used to test the accuracy of the DSM over the bare surface. Ground control point (GCP) locations are identified as blue points. Axes are UTM coordinates for the prairie site (UTM zone 13N) and alpine site (UTM zone 11N). The defining feature of the prairie site was the c) wheat stubble exposed above the snow surface and at the alpine site was the d) complex terrain as depicted by the generated point cloud (view from NE to SW).

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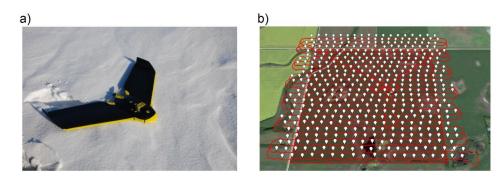


Figure 2: a) Sensefly Ebee RTK, b) a typical flight over the prairie site where red lines represent the flight path of UAV and the white placemarks represent photo locations.

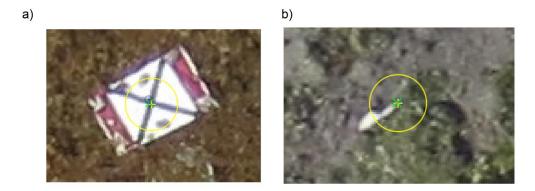


Figure 3: Examples of ground control points that included a) tarps (2.2 m  $\times$  1.3 m) and b) identifiable rocks at the same magnification as the tarp.

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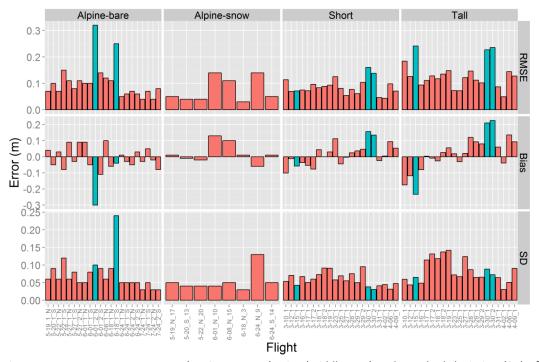


Figure 4: Root mean square error (RMSE, top row), Bias (middle row) and standard deviation (SD) of DSMs with respect to surface over alpine-bare, alpine-snow, and short and tall stubble at prairie site, respectively. Blue bars highlight problematic flights and are excluded from summarization in Table 1. X-axis labels represent month-date-flight number of the day (to separate flights that occurred on the same day). Alpine-bare accuracies are separated into north or south areas, reflected as \_N or \_S at the end. The last number in the alpine-snow x-axis label is the number of observations used to assess accuracy as they vary between 3 and 20.

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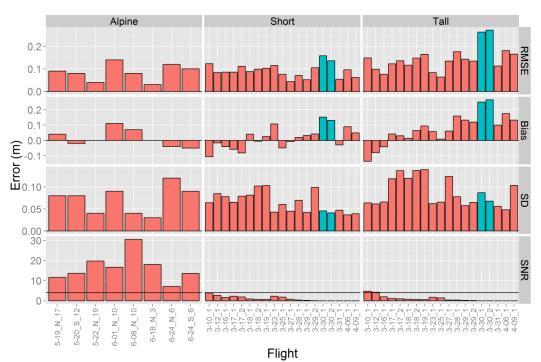


Figure 5: Estimated UAV snow depth error with respect to observed snow depth for short and tall stubble treatments at prairie site. Blue bars highlight problematic flights and are excluded from summarization in Table 1. X-axis labels represent month-date. The last value in prairie labels is the flight of the day (to separate flights that occurred on the same day). Alpine labels separate the north or south flight areas, reflected as \_N or \_S respectively, and the last value is the number of observations used to assess accuracy as they vary between 3 and 19. Horizontal line in the SNR plots is the Rose criterion (SNR=4) that is used to identify flights with a meaningful snow depth signal.

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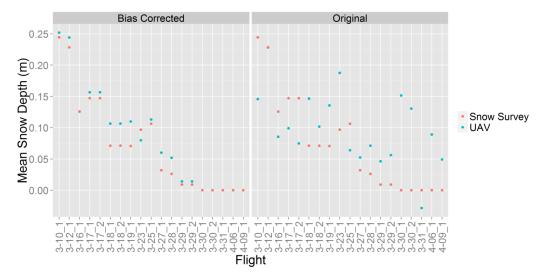


Figure 6: Bias corrected (left column) and original (right column) mean snow depth estimates from the DSMs (blue points) versus snow survey observations (red points) at the short stubble site. X-axis labels represent month-date\_flight of the day (to separate flights that occurred on the same day).

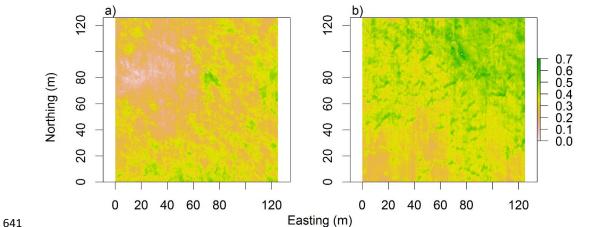


Figure 7: Bias corrected distributed snow depth (meters) for a) short and b) tall stubble treatments at peak snow depth (March 10, 2015) at the prairie site.

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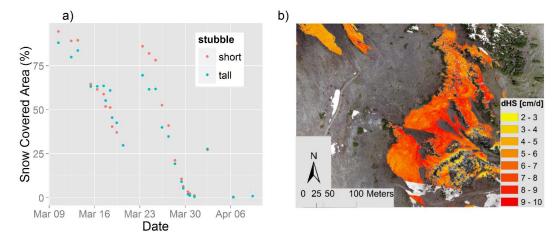


Figure 8: a) Snow covered area depletion over melt for the short and tall stubble sites, with a snowfall event evident on March 23, and b) snow depth change per day (dHS d<sup>-1</sup>) between May 19 and June 1 in the northern portion of the alpine site.