2 unmanned aerial vehicle

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43 Abstract

44 Quantifying the spatial distribution of snow is crucial to predict and assess its water resource potential 45 and understand land-atmosphere interactions. High-resolution remote sensing of snow depth has been 46 limited to terrestrial and airborne laser scanning and more recently with application of Structure from 47 Motion (SfM) techniques to airborne (manned and unmanned) imagery. In this study photography from 48 a small unmanned aerial vehicle (UAV) was used to generate digital surface models (DSMs) and 49 orthomosaics for snowcovers at a cultivated agricultural Canadian Prairie and a sparsely-vegetated Rocky 50 Mountain alpine ridgetop site using SfM. The accuracy and repeatability of this method to quantify snow 51 depth, changes in depth and its spatial variability was assessed for different terrain types over time. Root 52 mean square errors in snow depth estimation from differencing snow covered and non-snow covered 53 DSMs were 8.8 cm for a short prairie grain stubble surface, 13.7 cm for a tall prairie grain stubble surface 54 and 8.5 cm for an alpine mountain surface. This technique provided useful information on maximum 55 snow accumulation and snow-covered area depletion at all sites, while temporal changes in snow depth 56 could also be quantified at the alpine site due to the deeper snowpack and consequent higher signal-to-57 noise ratio. The application of SfM to UAV photographs returns meaningful information in areas with 58 mean snow depth > 30 cm, however the direct observation of snow depth depletion of shallow 59 snowpacks with this method is not feasible. Accuracy varied with surface characteristics, sunlight and 60 wind speed during the flight, with the most consistent performance found for wind speeds < 10 m s⁻¹, 61 clear skies, high sun angles and surfaces with negligible vegetation cover.

62 1. Introduction

63 Accumulation, redistribution, sublimation and melt of seasonal or perennial snowcovers are defining 64 features of cold region environments. The dynamics of snow have incredibly important impacts on land-65 atmosphere interactions and can constitute significant proportions of the water resources necessary for 66 socioeconomic and ecological functions (Armstrong and Brun, 2008; Gray and Male, 1981; Jones et al., 67 2001). Snow is generally quantified in terms of its snow water equivalent (SWE) through measurements 68 of its depth and density. Since density varies less than depth (López-Moreno et al., 2013; Shook and 69 Gray, 1996) much of the spatial variability of SWE can be described by the spatial variability of snow 70 depth. Thus, the ability to measure snow depth, and its spatial distribution, is crucial to assess and 71 predict how the snow water resource responds to meteorological variability and landscape 72 heterogeneity. Observation and prediction of snow depth spatial distribution is even more relevant with 73 the anticipated and observed changes occurring due to a changing climate and land use (Dumanski et al., 74 2015; Harder et al., 2015; Milly et al., 2008; Mote et al., 2005; Stewart et al., 2004).

75 The many techniques and sampling strategies employed to quantify snow depth all have strengths and 76 limitations (Pomeroy and Gray, 1995). Traditionally, manual snow surveys have been used to quantify 77 snow depth and density along a transect. The main benefit of manual snow surveying is that the 78 observations are a direct measurement of the snow water equivalent; however, it requires significant 79 labour, is a destructive sampling method and can be impractical in complex, remote or hazardous terrain 80 (DeBeer and Pomeroy, 2009; Dingman, 2002). Many sensors exist that can measure detailed snow 81 properties non-destructively, with a comprehensive review found in Kinar and Pomeroy (2015), but non-82 destructive automated sensors, such as acoustic snow depth rangers (Campbell Scientific SR50) or SWE

83 analyzers (Campbell Scientific CS275 Snow Water Equivalent Sensor), typically only provide point scale 84 information and may require significant additional infrastructure or maintenance to operate properly. 85 Remote sensing of snow from satellite and aerial platforms quantify snow extent at large scales. Satellite 86 platforms can successfully estimate snow-covered area but problems remain in quantifying snow depth, 87 largely due to the heterogeneity of terrain complexity and vegetation cover. To date, Light Detection And 88 Ranging (LiDAR) techniques have provided the highest resolution estimates of snow depth spatial 89 distribution from both terrestrial (Grünewald et al., 2010) and airborne platforms (Hopkinson et al., 90 2012). The main limitations encountered are available areas of observation (sensor viewshed) for the 91 terrestrial scanner and the prohibitive expense and long lead time needed for planning repeat flights for 92 the aerial scanner (Deems et al., 2013). Typically, airborne LiDAR provides data with a ground sampling 93 of nearly 1 m and a vertical accuracy of 15 cm (Deems and Painter, 2006; Deems et al., 2013). While 94 detailed, this resolution still does not provide observations of the spatial variability of snow distributions 95 that can address microscale processes such as snow-vegetation interactions or wind redistribution in 96 areas of shallow snowcover, and the frequency of airborne LiDAR observations are typically low, except 97 for NASA's Airborne Snow Observatory applications in California (Mattmann et al., 2014).

98 An early deployment of a high resolution digital camera on a remote controlled gasoline powered model 99 helicopter in 2004 permitted unmanned digital aerial photography to support studies of shrub 100 emergence and snowcovered area depletion in a Yukon mountain shrub tundra environment (Bewley et 101 al., 2007). Since then, Unmanned Aerial Vehicles (UAVs) have become increasingly popular for small-scale 102 high-resolution remote sensing applications in the earth sciences. The current state of the technology is 103 due to advances in the capabilities and miniaturization of the hardware comprising UAV platforms 104 (avionics/autopilots, Global-positioning systems (GPS), Inertial Momentum Units (IMUs) and cameras) 105 and the increases in available computational power to end users for processing imagery. The conversion 106 of raw images to orthomosaics and digital surface models takes advantage of Structure from Motion 107 (SfM) algorithms (Westoby et al., 2012). These computationally intensive algorithms simultaneously 108 resolve camera pose and scene geometry through automatic identification and matching of common 109 features in multiple images. With the addition of information on the respective camera location, or if 110 feature locations are known, then georeferenced point clouds, orthomosaics and Digital Surface Models (DSMs) can be generated (Westoby et al., 2012). Snow is a challenging surface for SfM techniques due to 111 112 its relatively uniform surface and high reflectance relative to snow-free areas, which limit identifiable 113 features (Nolan et al., 2015). The resolution of the data products produced by UAVs depends largely on 114 flight elevation and sensor characteristics but can promise accuracies down to 2.6 cm in the horizontal 115 and 3.1 cm in the vertical (Roze et al., 2014). The unprecedented spatial resolution of these products may be less important than the fact these platforms are deployable at a high, user-defined, frequency 116 117 below cloud cover, which can be problematic for airborne or satellite platforms. Manned aerial platforms 118 have the advantage of covering much larger areas (Nolan et al., 2015) with a more mature and clear 119 regulatory framework (Marris, 2013; Rango and Laliberte, 2010) than small UAVs. However, the greater 120 expenses associated with acquisition, maintenance, operation and training required for manned platforms (Marris, 2013), relative to small UAVs, are significant (Westoby et al., 2012). Many snow 121 scientists have expressed great enthusiasm in the opportunities UAVs present and speculate that they 122 123 may drastically change the quantification of snow accumulation and ablation (Sturm, 2015).

124 The roots of SfM are found in stereoscopic photogrammetry, which has a long history in topographic 125 mapping (Collier, 2002). Major advances in the 1990's in computer vision (Boufama et al., 1993; 126 Spetsakis and Aloimonost, 1991; Szeliski and Kang, 1994) has automated and simplified the data 127 requirements to go from a collection of overlapping 2D images to 3D points clouds, relative to traditional photogrammetry. Significant work by the geomorphology community has pushed the relevance, 128 application and further development of this technique into the earth sciences (Westoby et al., 2012). 129 130 Recent application of this technique to snow depth estimation has used imagery captured by manned aerial platforms (Bühler et al., 2015; Nolan et al., 2015) and increasingly with small UAVs (Vander Jagt et 131 132 al., 2015; Bühler et al., 2016; De Michele et al., 2016). The manned aircraft examples have reported 133 vertical accuracies of 10cm (Nolan et al., 2015) and 30 cm (Bühler et al., 2015) with horizontal resolutions of 5-20 cm (Nolan et al., 2015) and 2 m (Bühler et al., 2015). Unmanned aircraft examples 134 135 have shown similar accuracies and resolution with vertical errors of reported to be ~10 cm with a 136 horizontal resolutions between 50 cm (Vander Jagt et al., 2015) and 10 cm (Bühler et al., 2016). The 137 accuracy of assessments of the De Michele et al. (2016), Vander Jagt et al. (2015), and Bühler et al. 138 (2016) studies were limited to a small number of snow depth maps, Bühler et al. (2016) had the most 139 with four maps, but more are needed to get a complete perspective on the performance of this 140 technique and its repeatability under variable conditions.

The overall objective of this paper is to assess the accuracy of snow depth as estimated by imagery collected by small UAVs and processed with SfM techniques. Specifically, this paper will; 1) assess the accuracy of UAV-derived snow depths with respect to the deployment conditions and heterogeneity of the earth surface; specifically variability in terrain relief, vegetation characteristics and snow depth, and 2) identify and assess opportunities for UAV generated data to advance understanding and prediction of snowcover and snow depth dynamics.

147 2. Sites and Methodology

148 2.1 Sites

149 The prairie field site (Fig. 1a) is representative of agricultural regions on the cold, windswept Canadian 150 prairies, where agriculture management practices control vegetation physical characteristics which, in 151 turn, influence snow accumulation (Pomeroy and Gray, 1995). There is little elevation relief and the 152 landscape is interspersed with wooded bluffs and wetlands. Snowcover is typically shallow (maximum 153 depth < 50 cm) with development of a patchy and dynamic snow-covered area during melt. Data 154 collection occurred at a field site near Rosthern, Saskatchewan, Canada in spring 2015 as part of a larger 155 project studying the influence of grain stubble exposure on snowmelt processes. The 0.65km² study site 156 was divided into areas of tall stubble (35 cm) and shorter stubble (15 cm). Wheat stubble, clumped in 157 rows ~30 cm apart, remained erect throughout the snow season, which has implications for blowing 158 snow accumulation, melt energetics and snow cover depletion (Fig. 1c). Pomeroy et al. (1993, 1998) 159 describes the snow accumulation dynamics and snowmelt energetics of similar environments.

160 The algine site, located in Fortress Mountain Snow Laboratory in the Canadian Rocky Mountains, is 161 characterized by a ridge oriented in SW-NE direction (Fig. 1b, d) at an elevation of approximately 2300 m. 162 The average slope at the alpine site is ~15 degrees with some slopes > 35 degrees. Large areas of the 163 ridge were kept bare by wind erosion during the winter of 2014/2015 and wind redistribution caused the 164 formation of deep snowdrifts on the leeward (SE) side of the ridge, in surface depressions and downwind 165 of krummholz. Vegetation is limited to short grasses on the ridgetop while shrubs and coniferous trees become more prevalent on gullies on the shoulders of the ridge. Mean snow depth of the snow-covered 166 167 area at the start of the observation period (May 13, 2015) was 2 m (excluding snow-free areas) with 168 maximum depths over 5 m. The 0.32 km² study area was divided between a North and a South area (red

polygons in Fig. 1b) due to UAV battery and hence flight area limitations. DeBeer and Pomeroy (2010,

- 170 2009) and MacDonald et al. (2010) describe the snow accumulation dynamics and snowmelt energetics
- 171 of the area.

172 2.2 Methodology

173 2.2.1 Unmanned Aerial Vehicle - flight planning – operation - data processing

174 A Sensefly Ebee Real Time Kinematic (RTK) UAV (Fig. 2a) was used to collect imagery over both sites. The 175 platform is bundled with flight control and image processing software to provide a complete system 176 capable of survey grade accuracy without the use of ground control points (GCPs) (Roze et al., 2014). The 177 Ebee RTK is a hand launched, fully autonomous, battery powered delta wing UAV with a wingspan of 96 178 cm and a weight of ~0.73 kg including payload. Maximum flight time is up to 45 minutes with cruising 179 speeds between 40-90 km h⁻¹. A modified consumer grade camera, a Canon PowerShot ELPH 110 HS, is 180 captured red, green and blue band imagery and is triggered by the autopilot. The camera is fixed in the 181 UAV body, there is no stabilizing gimbal as often seen on multirotor UAVs, but when taking a photo the 182 UAV cuts power to the motor to minimize vibrations and levels the entire UAV resulting in consistent 183 nadir image orientation. The camera has a 16.1 Mp 1/2.3-inch CMOS sensor and stores images as JPEGs, 184 resulting in images with 8-bit depth for the three color channels. Exposure settings are automatically 185 adjusted based on a center weighted light metering. Images are geotagged with location and camera 186 orientation information supplied by RTK corrected Global Navigation Satellite System (GNSS) positioning 187 and IMU, respectively. A Leica GS15 base station supplied the RTK corrections to the Ebee to resolve 188 image locations to an accuracy of ± 2.5 cm. The Ebee was able to fly in all wind conditions attempted but 189 image quality, location and orientation became inconsistent when wind speed at the flight altitude (as 190 observe by an on-board pitot tube) approached 14 m s⁻¹.

191 At the prairie site, the UAV was flown 22 times over the course of the melt period (6 to 30 March 2015) 192 with three flights over the snow free surface between 2 and 9 April 2015. A loaner Ebee, from Spatial 193 Technologies, the Ebee distributor, performed the first 11 flights at the prairie site due to technical issues 194 with the Ebee RTK. The geotag errors of the non-RTK loaner Ebee were ±5 m (error of GPS Standard 195 Positioning Service) and therefore required GCPs to generate georeferenced data products. At the alpine 196 site, to reduce variations in the height of the UAV above the surface in complex terrain, flight plans were 197 adjusted using a 1 m resolution DEM, derived from a LiDAR DEM. The UAV was flown 18 times over melt 198 from 15 May to 24 June 2015 with four flights over bare ground on 24 July 2015. Table 1 summarises 199 flight plan attributives of the respective sites. Figure 2b provides a typical flight plan generated by the 200 eMotion flight control software for the prairie site.

Postflight Terra 3D 3 (version 3.4.46) processed the imagery to generate DSMs and orthomosaics. Though the manufacturer suggested that they are unnecessary with RTK corrected geotags (error of ±2.5 cm), all processing included GCPs. At the prairie site, 10 GCPs comprised of five tarps and five utility poles were distributed throughout the study area (blue points in Fig. 1a). At the alpine site, the north and south areas had five and six GCPs (blue points in Fig. 1b), respectively comprised of tarps (Fig. 3a) and easily identifiable rocks (Fig. 3b) spread over the study area.

Processing involved three steps. First, initial processing extracted features common to multiple images,
 optimized external and internal camera parameters for each image, and generated a sparse point cloud.
 The second step densified the point cloud and the third step generated a georeferenced orthomosaic
 and a DSM. Preferred processing options varied between the sites, with the semi global matching

algorithm in the point densification used to minimize erroneous points encountered at the alpine site

(see Sect 3.3). Generated orthomosaics and DSMs had a horizontal resolution of 3.5 cm at the prairie siteand between 3.5 cm and 4.2 cm at the alpine site.

214 2.2.2 Ground truth and snow depth data collection

To assess the accuracy of the generated DSMs and their ability to measure snow depth, detailed 215 216 observations of the land surface elevation and snow depth were collected. At the prairie site a GNSS 217 survey, utilizing a Leica GS15 as a base station and another GS15 acting as a RTK corrected rover, 218 measured the location (x, y and z) of 17 snow stakes on each stubble treatment to an accuracy of $< \pm 2.5$ 219 cm. This gives 34 observation points at the prairie site (locations identified as red dots in Fig. 1a). Over 220 melt period, the snow depth was measured with a ruler at each point (error of ± 1 cm). Adding the 221 manually measured snow depths to the corresponding land surface elevations from the GNSS survey 222 gives snow surface elevations at each observation point directly comparable to the UAV derived DSM. At 223 the alpine site, 100 land surface elevations were measured at points with negligible vegetation (bare soil 224 or rock outcrops) with a GNSS survey to determine the general quality of the DSMs. For eight flights a 225 GNSS survey was also performed on the snowcover (all measurement locations over the course of 226 campaign are highlighted in Fig. 1b). To account for the substantial terrain roughness and to avoid 227 measurement errors in deep alpine snowpacks, snow surface elevation was measured via GNSS survey 228 and snow depth estimated from the average of five snow depth measurements in a 0.4 m x 0.4 m square 229 at that point. Time constraints and inaccessible steep snow patches limited the number of snow depth 230 measurements to between three and 19 measurements per flight. While the number of accuracy 231 assessment points over snow is limited for each flight the cumulative number of points over the course 232 of the campaigns used to assess accuracy over all flights is not; at the alpine site there were 101 GNSS 233 surface measurements and 83 averaged snow depth measurements available, and at the prairie site 323 234 measurements on each stubble treatment.

At both the prairie and alpine site, the same GNSS RTK surveying method established GCP locations. Snow surveys (maximum one per day) and DSMs (multiple per day) are only compared if from the same days.

238 2.2.3 Snow depth estimation

Subtracting a DSM of a snow free surface from a DSM of a snow covered surface results estimate snow depth if snow ablation is the only things changing surface elevations between the observation periods. Vegetation is limited over the areas of interest at the alpine site and any spring up of grasses or shrubs is insignificant, based upon local observations, with respect to the large snow depths observed (upto 5m). The wheat stubble at the prairie site is unaffected by snow accumulation or ablation. The snow-free DSMs corresponded to imagery collected on 2 April for the prairie site and 24 July for the alpine site.

245 2.2.4 Accuracy assessment

The accuracy of the UAV-derived DSM and snow depth was estimated by calculating the root mean square error (RMSE), mean error (bias) and standard deviation of the error (SD) with respect to the manual measurements. The RMSE quantifies the overall difference between manually measured and UAV derived values, bias quantifies the mean magnitude of the over (positive values) or under (negative values) prediction of the DSM with respect to manual measurements, and SD quantifies the variability of the error.

252 2.2.5 Signal-to-Noise Calculation

253 The signal-to-noise ratio (SNR) compares the level of the snow depth signal with respect to the 254 measurement error to inform when meaningful information is available. The SNR is calculated as the 255 mean measured snow depth value divided by the standard deviation of the error between the observed 256 and estimated snow depths. The Rose criterion, commonly applied in image processing literature, is used to define the threshold SNR where the UAV returns meaningful snow depth information; this is further 257 258 described in Rose (1973). The Rose criterion proposes a SNR \geq 4 for the condition at which the signal is 259 sufficiently large to avoid mistaking it for a fluctuation in noise. Ultimately, the acceptable signal to noise 260 ratio depends upon the user's error tolerance (Rose, 1973).

261 **3. Results and Discussion**

262 3.1 Absolute surface accuracy

263 The accuracy of the DSMs relative to the measured surface points varies with respect to light conditions 264 at time of photography and differences in snow surface characteristics and extent. This is seen in the RMSE for individual flights varying from 4 cm to 19 cm (Fig. 4). Only a few problematic flights, which will 265 266 be discussed in section 3.3.1, showed larger RMSEs, which are marked in blue in Figure 4. In general, the 267 accuracy of the DSMs as represented by the mean RMSEs in Table 2, were comparable between the 268 prairie short stubble (8.1 cm), alpine-bare (8.7 cm) and alpine-snow (7.5 cm) sites and were greater over 269 the prairie tall stubble (11.5 cm). Besides the five (out of 43) problematic flights, accuracy was relatively 270 consistent over time at all sites. To clarify, the prairie flights simultaneously sampled the short and tall stubble areas, thus there were only three problematic flights at the prairie site in addition to the two at 271 272 the alpine site (Fig. 4). The larger error at the tall stubble is due to snow and vegetation surface 273 interactions. Over the course of melt, the DSM gradually became more representative of the stubble 274 surface rather than the snow surface. More points are matched on the high contrast stubble than the 275 low contrast snow leading to the DSM being biased to reflect the stubble surface. This is apparent in the 276 increasing tall stubble bias as the snow surface drops below the stubble height. By comparing the many 277 alpine-bare points to the limited number of alpine-snow points (3 to 19) the relative difference in errors 278 between the snow and non-snow surfaces was assessed. The benefit of the large amount of alpine-bare 279 points (100) reveals the general errors, offsets and tilts in the DSM. It is concluded that the snow surface 280 errors are not appreciably different from the non-snow surface errors.

281 The RTK level accuracy of the camera geotags is supposed to produce products with similar accuracy, 282 without the use of GCPs, as those generated with standard GPS positioning and the use of GCPs (Roze et 283 al., 2014). DSMs created with and without GCPs for flights where the Ebee's camera geotags had RTK-284 corrected positions with an accuracy of ± 2.5 cm tested this claim. Nine flights from the prairie site and 285 22 flights from the alpine site met the requirements for this test. Inclusion of GCPs had little effect on the 286 standard deviation of error with respect to surface observations, but resulted in a reduction of the mean 287 absolute error of the bias from 27 cm to 10 cm and from 14 cm to 6 cm at the prairie and alpine site, 288 respectively.

289 3.2 Snow depth accuracy

The snow depth errors were similar to that of the surface errors with the alpine and short stubble sites having very similar errors, with mean RMSEs of 8.5 cm and 8.8 cm, but much larger errors over tall stubble, with mean RMSE of 13.7 cm (Fig. 5 and Table 3). Snow depth errors were larger than the surface errors as the errors from the snow-free and snow-covered DSMs are additive in the DSM differencing. The usability of snow depth determined from DSM differencing requires comparison of signal-to-noise. 295 Signal-to-noise, SNR in Fig. 5, clearly demonstrates that the deep alpine snowpacks have a large signal 296 relative to noise and provide very useable information on snow depth both at maximum accumulation 297 and during most of the snowmelt period (SNR >7). In contrast, the shallow snowpack at the prairie site, 298 despite a similar absolute error to the alpine site, demonstrates decreased ability to retrieve meaningful 299 snow depth information over the course of snowmelt; the signal became smaller than the noise. 300 Applying the Rose criterion of a SNR \sim 4, it is apparent that only the first flight at the short stubble and 301 the first two flights at the tall stubble provided useful information on the snow depth signal. This is 302 relevant when applying this technique to other areas with shallow, wind redistributed seasonal 303 snowcovers such as those that cover prairie, steppe and tundra in North and South America, Europe and 304 Asia. This is in contrast to other studies which do not limit where this technique can be reasonably 305 applied (Bühler et al., 2016; Nolan et al., 2015).

306 3.3 Challenges

307 3.3.1 UAV Deployment Challenges

An attractive attribute of UAVs, relative to manned aerial or satellite platforms, is that they allow "on-308 309 demand" responsive data collection. While deployable under most conditions encountered, the 310 variability in the DSM RMSEs is likely due to the environmental factors at time of flight including wind 311 conditions, sun angle, flight duration, cloud cover and cloud cover variability. In high wind conditions 312 (>14 m s⁻¹) the UAV struggled to maintain its preprogrammed flight path as it is blown off course when 313 cutting power to take photos. This resulted in missed photos and inconsistent density in the generated 314 point clouds. Without a gimballed camera windy conditions also resulted in blurry images that deviate 315 from the ideal vertical orientation. The flights for the DSMs with the greatest RMSEs had the highest 316 wind speeds as measured by the UAV. Four of the five problematic flights were due to high winds (>10 m 317 s^{-1}) and were identified by relatively low-density point clouds with significant gaps which rendered DSMs 318 that did not reflect the snow surface characterises.

319 As the system relies on a single camera traversing the areas of interest, anything that may cause a 320 change in the reflectance properties of the surface will complicate post-processing and influence the 321 overall accuracy. Consistent lightning is important with a preference for clear, high sun conditions to 322 minimize changes in shadows. Diffuse lighting during cloudy conditions results in little contrast over the 323 snow surface and large gaps in the point cloud over snow, especially when the snow cover was 324 homogeneous. Three flights under these conditions could not be used and were not included in the 325 previously shown statistics. Clear conditions and patchy snowcover led to large numbers of overexposed 326 pixels (see Sect 3.3.2). Low sun angles should be avoided as orthomosaics from these times are difficult 327 to classify with respect to the large and dynamic surface shadows present and the relatively limited 328 reflectance range.

329 It is suggested that multirotor UAV's may be more stable and return better data products in windy 330 conditions (Bühler, et al., 2016). There have not been any direct comparison studies that the authors are 331 aware of that validate such assertions. A general statement regarding the use of fixed wing vs. multirotor 332 is also impossible with the broad spectrum of UAVs and their respective capabilities on the market. The only clear benefit of using a multirotor platform is that larger, potentially more sophisticated, sensors can 333 334 be carried and landing accuracy is higher. That being said the Ebee RTK returns data at resolutions that are more than sufficient for our purposes (3cm pixel⁻¹), can cover much larger areas and has a higher 335 336 wind resistance (>14 m/s) than many multirotors. Landing accuracy (+/- 5 m) was also sufficient to locate 337 a landing location in the complex topography of the alpine site. The more important issue relative to any

comparison between platform types is that all UAVs will have limited flight times and results are
 compromised if conditions are windy and light is inconsistent. Until a direct platform comparison study is
 conducted this experience, and results of other recent studies (Vander Jagt et al., 2015; Bühler et al.,
 2016; De Michele et al., 2016), suggests that fixed wing platforms, relative to multi-rotor platforms, have
 similar accuracy and deployment constraints but a clear range advantage.

343 3.3.2 Challenges applying Structure from Motion over snow

344 Erroneous points over snow were generated in post-processing with the default settings at the alpine 345 site. These points were up to several metres above the actual snow surface and were mainly located at 346 the edge of snow patches, but also on irregular and steep snow surfaces in the middle of a snow patch. 347 The worst cases occurred during clear sunny days over south-facing snow patches, which were 348 interspersed with these erroneous points. These points are related to the overexposure of snow pixels in 349 the images which had bare ground in the centre and small snow patches on the edges. This is a 350 consequence of the automatically adjusted exposure based on centre-weighted light metering of the 351 Canon ELPH camera. It is recommended that erroneous points could be minimized with the removal of 352 overexposed images; however this increased the bias and led to gaps in the point cloud, which made this 353 approach inappropriate.

354 The semi-global matching (SGM) option with optimization for 2.5D point clouds (point clouds with no 355 over lapping points) proved to be the best parameter setting within the post-processing software 356 Postflight Terra 3D. Semi-global matching was employed to improve results on projects with low or 357 uniform texture images, while the optimization for 2.5D removes points from the densified point cloud 358 (SenseFly, 2015). The SGM option removed most of the erroneous points with best results if processing 359 was limited to individual flights. Including images from additional flights resulted in a rougher surface 360 with more erroneous points. This may be caused by changes in the surface lighting conditions between flights, which challenges SfM. Bias did not change when using SGM though some linear artefacts were 361 362 visible when compared to default settings. These linear artefacts caused the sd to increase from 1 cm to 3 cm on bare ground. Areas with remaining erroneous points were identified and excluded from the 363 364 presented analysis. Table 3 summaries the extent of the areas removed with respect to the snow covered 365 area at the alpine site. The fifth problematic flight identified (1 June flight over north area of alpine site) 366 had a much larger bias with the inclusion of GCPs and the reason for this cannot be determined. The 367 "black box" nature of this proprietary software and small number of adjustable parameters clearly limits the application of this post-processing tool for scientific purposes. 368

369 3.4 Applications

The distributed snow depth maps generated from UAV imagery are of great utility for understanding snow processes at previously unrealized resolutions, spatial coverages and frequencies. Figure 6 provides examples of UAV derived distributed snow depth maps. The identification of snow dune structures, which correspond to in-field observations, is a qualitative validation that UAV derived DSM differencing does indeed provide reasonable information on the spatial variability of snow depth. Actual applications will depend upon the surface, snow depth and other deployment considerations as discussed.

Applications at the alpine site also include the ability to estimate the spatial distribution of snow depth change due to ablation (Fig. 7). To obtain ablation rates, the spatial distribution of snow density is still needed but it may be estimated with a few point measurements or with parameterizations dependent upon snow depth (Jonas et al., 2009; Pomeroy and Gray, 1995). In Fig. 7 the mean difference in snow depth between the two flights was 0.9 m; this gives a SNR of ~11 which is more than sufficient to confidently assess the spatial variability of melt.

382 Despite the limitations and deployment considerations discussed, the Ebee RTK was capable of providing 383 accurate data at very high spatial and temporal resolutions. A direct comparison between fixed wing and 384 multirotor platforms is necessary to determine how snow depth errors may respond to variations in wind 385 speed and lighting conditions. Until then, based on this experience and results of other recent studies 386 (Vander Jagt et al., 2015; Bühler et al., 2016; De Michele et al., 2016), we do not expect there to be large 387 differences in errors between platform type. Rather, the most important consideration when planning to 388 map snow depth with a UAV should be whether the anticipated SNR will allow for direct estimates of 389 snow depth or snow depth change. The SNR issue limits the use of this technique to areas with snow 390 depths or observable changes sufficiently larger than the SD of the error. We propose a mean snow 391 depth threshold of ~30 cm is necessary to obtain meaningful information on snow depth distribution 392 with current technology. This threshold is equal to four times the mean observed SD (Rose criterion), but 393 will vary with the application, site and user's error tolerance.

394 The use of SfM in shallow snow environments, such as on the Canadian Prairies, is therefore limited to 395 measuring near-maximum snow depths. Besides providing an estimate of the total snow volume, this 396 information can also inform snow cover depletion curve estimation and description (Pomeroy et al., 397 1998). Simple snow cover depletion models can be parameterized with estimates of snow depth mean 398 and coefficient of variation (Essery and Pomeroy, 2004), which otherwise need to be obtained from snow 399 surveying. For 2015 coefficients of variation from the peak snow depth maps were 0.255 and 0.173, at 400 the short and tall stubble sites respectively, which are similar to previous observations from 401 corresponding landforms/surfaces (Pomeroy et al., 1998).

402 In addition to parameterising snow cover depletion models, UAV data could also be used to test their 403 performance as Structure from Motion processing of UAV images produces orthomosaics in addition to 404 DSMs. Sequences of orthomosaics are especially useful to quantify the spatio-temporal dynamics of 405 snow covered area (SCA) depletion processes. Orthomosaics are complementary products to DSMs and 406 their quality is subject to the same deployment conditions as DSMs. Orthomosaics have the same 407 horizontal accuracy and resolution as the DSMs but without a vertical component any DSM vertical 408 errors are irrelevant. Interpretation of SCA from orthomosaics is therefore possible regardless of surface 409 characteristics or snow depth. The classification of orthomosaics to quantify surface properties will 410 introduce error, and can be challenging in changing light conditions, which changes the spectral response 411 of snow or non-snow covered areas across the surface. Typical supervised and unsupervised pixel based 412 classification procedures can be readily applied. Since UAV imagery is at a much higher resolution than 413 satellite or airborne imagery classification differences in spectral response due to varying light conditions 414 can be compensated for by using object oriented classification which also takes into account shape, size, 415 texture, pattern and context (Harayama and Jaguet, 2004).

An example of a snow-covered depletion curve for the prairie site is presented in Fig. 8. A simple unsupervised classification of the orthomosaic into snow and non-snow classes quantifies the earlier exposure of the tall wheat stubble relative to the short wheat stubble. The tall stubble surface is an illustrative example of the advantages UAVs offer for SCA quantification. Tall stubble is a challenging surface to quantify SCA on as snow is prevalent for a time below the exposed stubble surface rendering other remote sensing approaches inappropriate. From an oblique perspective, the exposed stubble obscures the underlying snow and prevents the classification of SCA from georectification of terrestrial 423 photography (Fig. 9). Due to the surface heterogeneity on small scales (stubble, soil and snow all 424 regularly occurring within 30 cm) satellite, and most aerial, imagery struggles with clearly identifying 425 SCA. To identify features accurately, in this case exposed stubble versus snow, multiple pixels are needed 426 per feature (Horning and DuBroff, 2004). The 3.5 cm resolution of the orthomosaic corresponds to 427 approximately three pixels to span the 10 cm stubble row which is sufficient for accurate SCA mapping 428 over a tall stubble surface. The advantages of high-resolution UAV orthomosaics are obviously not 429 limited to SCA mapping of snow between wheat stubble and can be readily applied to other challenging 430 heterogeneous surfaces where SCA quantification was previously problematic. Snow cover data at this 431 resolution can quantifying the role of vegetation on melt processes at a micro-scale, which can in turn 432 inform and validate snowmelt process understanding.

433 **4.** Conclusions

434 The accuracy of DSMs and orthomosaics, generated through application of SfM techniques to imagery 435 captured by a small UAV, was evaluated in two different environments, mountain and prairie, to verify its 436 ability to quantify snow depth and its spatial variability over the ablation period. The introduction of 437 functional UAVs to the scientific community requires a critical assessment of what can reasonably be 438 expected from these devices over seasonal snowcover. Snow represents one of the more challenging 439 surfaces for UAVs and SfM techniques to resolve due to the lack of contrast and high surface reflectance. 440 Field campaigns assessed the accuracy of the Ebee RTK system over flat prairie and complex terrain 441 algine sites subject to wind redistribution and spatially variable ablation associated with varying surface 442 vegetation and terrain characteristics. The mean accuracies of the DSMs were 8.1 cm for the short 443 stubble surface, 11.5 cm for the tall surface and 8.7 cm for the alpine site. These DSM errors translate 444 into mean snow depth errors of 8.8 cm, 13.7 cm and 8.5 cm over the short, tall and alpine sites 445 respectively. Ground control points were needed to achieve this level of accuracy. The SfM technique 446 provided meaningful information on maximum snow depth at all sites, and snow depth depletion could 447 also be quantified at the alpine site due to the deeper snowpack and consequent higher signal-to-noise 448 ratio. These findings demonstrate that SfM can be applied to accurately estimate snow depth and its 449 spatial variability only in areas with snow depth > 30 cm. This restricts its application for shallow, 450 windblown snowcovers. Snow depth estimation accuracy varied with wind speed, surface characteristics 451 and sunlight; the most consistent performance was found for wind speeds $< 10 \text{ m s}^{-1}$, surfaces with 452 insignificant vegetation cover, clear skies and high sun angles. The ability to generate good results 453 declined over especially homogenous snow surfaces and southerly aspects in mountain terrain. Clear sky 454 conditions were favourable for high snow-covered fractions with limited snow surface brightness 455 contrast. During snowmelt with reduced snow-covered fraction, clear sky conditions caused 456 overexposure of snow pixels and erroneous points in the point clouds.

The challenges of applying SfM to imagery collected by a small UAV over snow complicate the generation of DSMs and orthomosaics relative to other surfaces with greater contrast and identifiable features. Regardless, the unprecedented spatial resolution of the DSMs and orthomosaics, low costs and "ondemand" deployment provide exciting opportunities to quantify previously unobservable small-scale variability in snow depth that will only improve the ability to quantify snow properties and processes.

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586 Table 1: Flight plan specifications

Variable	Prairie Site	Alpine Site
Flight altitude	100m	100m
Lateral overlap	70%	85%
Longitudinal overlap	70%	75%
Ground resolution	3 cm pixel ⁻¹	3 cm pixel ⁻¹
Number of flights (over snow/over non-snow)	22/3	18/4
Approximate area surveyed per flight	1 km²	0.32 km²

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588 Table 1: Absolute surface accuracy summary^a

Area	Variable	Mean (cm)	Maximum (cm)	Minimum (cm)	Total Points ^c
alpine-bare	RMSE	8.7	15	4	1120
alpine-bare	Bias ^b	5.6	11	1	1120
alpine-bare	SD	6.2	12	3	1120
alpine-snow	RMSE	7.5	14	3	101
alpine-snow	Bias ^b	4.4	13	1	101
alpine-snow	SD	5.4	13	3	101
Short	RMSE	8.1	12.5	4.4	357
Short	Bias ^b	4.4	11.2	0	357
Short	SD	6.3	9.5	3.2	357
Tall	RMSE	11.5	18.4	4.9	357
Tall	Bias ^b	6.6	17.5	0.3	357
Tall	SD	8.4	14.2	3.1	357

^a summary excludes five flights identified to be problematic

590 ^b mean of absolute bias values

^c cumulative points used to assess accuracy over all assessed flights

593 Table 2: Absolute snow depth accuracy summary ^a

Tuble 2. Absolute show depth decurdey summary					
Area	Variable	Mean (cm)	Maximum (cm)	Minimum (cm)	Total Points ^c
Alpine	RMSE	8.5	14.0	3	83
Alpine	Bias ^b	4.1	11.0	0	83
Alpine	SD	7.1	12.0	3	83
Short	RMSE	8.8	15.8	0	323
Short	Bias ^b	5.4	15.2	0	323
Short	SD	6.1	10.3	0	323

Area	Variable	Mean (cm)	Maximum (cm)	Minimum (cm)	Total Points ^c
Tall	RMSE	13.7	27.2	0	323
Tall	Bias ^b	9.8	26.4	0	323
Tall	SD	8.3	13.9	0	323

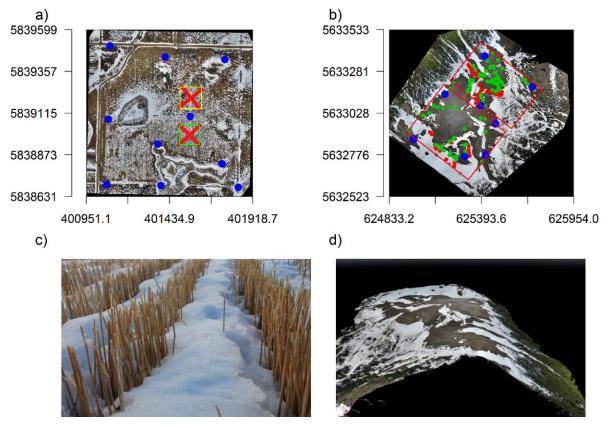
^a summary excludes two flights identified to be problematic

^b mean of absolute bias values

- ^c cumulative points used to assess accuracy over all assessed flights
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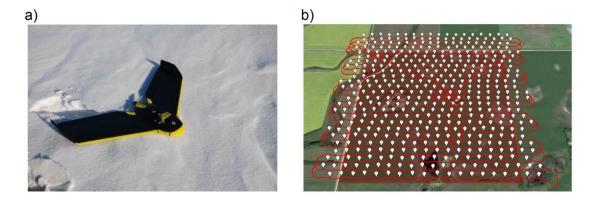
Table 3: Summary of areas excluded due to erroneous points with respect to snow covered area at Alpinesite.

001	5100.		
	Flight ^a	Snow covered area (%)	Percentage of snow
			covered area excluded (%)
	5-19_N	45.9	0.0
	5-20_S	32.6	2.0
	5-22_N	39.8	0.0
	6-01_N	24.0	0.0
	6-08_N	12.5	3.2
	6-18_N	5.3	19.3
	6-24_N	3.1	21.9
	6-24_S	3.7	18.9
602	^a month-day	<pre>/_portion of study area</pre>	
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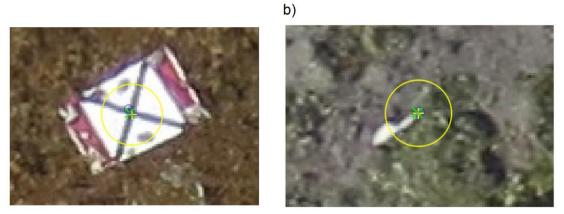
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614 Figure 1: Orthomosaics of a) the prairie site located near Rosthern, Saskatchewan and b) the alpine site 615 at Fortress Mountain Snow Laboratory, Kananaskis, Alberta . The prairie site image (March 19, 2015) has 616 polygons depicting areas used for peak snow depth estimation over short (yellow) and tall (green) 617 stubble. The alpine site image (May 22, 2015) was split into two separately processed subareas (red 618 polygons). Red points in a) and b) are locations of manual snow depth measurements while green points 619 at the alpine site b) were used to test the accuracy of the DSM over the bare surface. Ground control 620 point (GCP) locations are identified as blue points. Axes are UTM coordinates for the prairie site (UTM 621 zone 13N) and alpine site (UTM zone 11N). The defining feature of the prairie site was the c) wheat 622 stubble (tall) exposed above the snow surface and at the alpine site was the d) complex terrain as 623 depicted by the generated point cloud (view from NE to SW).



- 624 625 Figure 2: a) Sensefly Ebee RTK, b) a typical flight over the prairie site where red lines represent the flight
- 626 path of UAV and the white placemarks represent photo locations.

a)



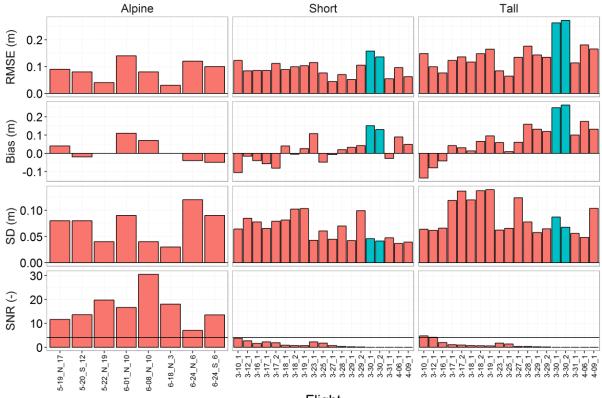
- 628 629 Figure 3: Examples of ground control points that included a) tarps (2.2 m x 1.3 m) and b) identifiable
- rocks at the same magnification as the tarp. 630



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Figure 4: Root mean square error (RMSE, top row), Bias (middle row) and standard deviation (SD) of DSMs with respect to surface over alpine-bare, alpine-snow, and short and tall stubble at prairie site, respectively. Blue bars highlight problematic flights and are excluded from summarization in Table 2. Xaxis labels represent month-date-flight number of the day (to separate flights that occurred on the same day). Alpine-bare accuracies are separated into north or south areas, reflected as _N or _S at the end. The last number in the alpine-snow x-axis label is the number of observations used to assess accuracy as

638 they vary between 3 and 20.

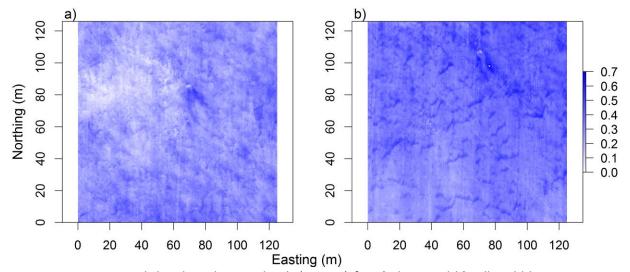


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Flight

Figure 5: Estimated UAV snow depth error with respect to observed snow depth for the alpine site and the short and tall stubble treatments at prairie site. Blue bars highlight problematic flights and are excluded from summarization in Table 3. X-axis labels represent month-date. The last value in prairie labels is the flight of the day (to separate flights that occurred on the same day). Alpine labels separate the north or south flight areas, reflected as _N or _S respectively, and the last value is the number of observations used to assess accuracy as they vary between 3 and 19. Horizontal line in the SNR plots is the Rose criterion (SNR=4) that is used to identify flights with a meaningful snow depth signal.

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Figure 6: Bias corrected distributed snow depth (meters) for a) short and b) tall stubble treatments at peak snow depth (March 10, 2015) at the prairie site.

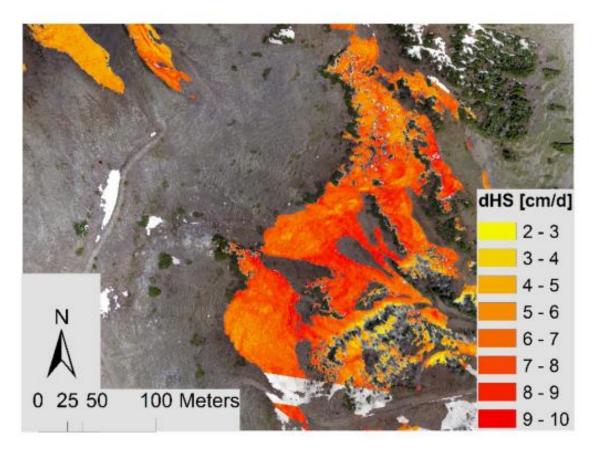
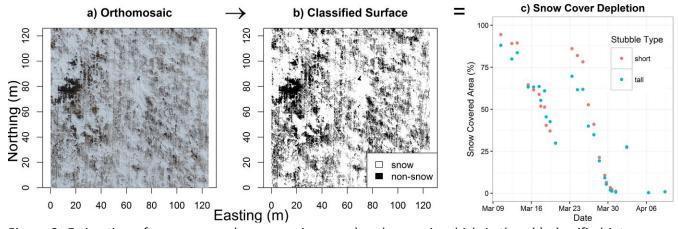


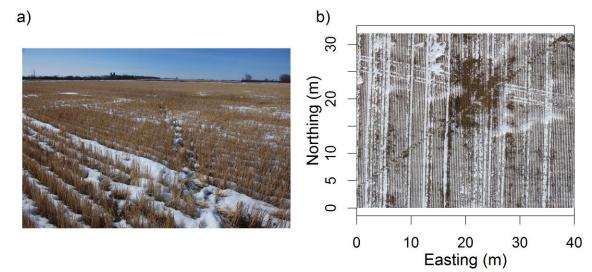
Figure 7: Snow depth change per day (dHS d⁻¹) between May 19 and June 1 in the northern portion of the alpine site.



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Figure 8: Estimation of snow covered area requires an a) orthomosaic which is then b) classified into snow and non-snow covered area. W This produces a c) snow cover depletion curve when a sequence of orthomosaics are available. The short and tall stubble surface snow covered areas at the prairie site are contrasted, with a snowfall event evident on March 23.





662 Figure 9: a) An oblique photograph demonstrates the issue of tall stubble obscuring underlying

663 snowcover when considered in contrast to b) a UAV orthomosaic of the same area on the same date that

664 clearly shows widespread snowcover.