

Wave climate in the Arctic 1992-2014: seasonality and trends

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1 **Abstract.** Over the past decade, the diminishing Arctic sea ice has impacted the wave field which depends on the ice-free ocean
2 and wind. This study characterizes the wave climate in the Arctic spanning 1992-2014 from a merged altimeter dataset and a
3 wave hindcast that uses CFSR winds and ice concentrations from satellites as input. The model performs well, verified by the
4 altimeters, and is relatively consistent for climate studies. The wave seasonality and extremes are linked to the ice coverage,
5 wind strength, and wind direction creating distinct features in the wind-seas and swells. The altimeters and model show that
6 the reduction of sea ice coverage causes increasing wave heights instead of the wind. However, trends are convoluted by inter-
7 annual climate oscillations like the North Atlantic Oscillation (NAO) and Pacific Decadal Oscillation. In the Nordic-Greenland
8 Sea the NAO is influencing the decreasing wind speeds and wave heights. Swells are becoming more prevalent and wind-sea
9 steepness is declining. The satellite data shows the sea ice minimum occurs later in Autumn when the wind speeds increase.
10 This creates more favorable conditions for wave development. Therefore we expect the ice freeze-up in Autumn to be the most
11 critical season in the Arctic and small changes in the Autumn's ice cover, wind speeds, and wave heights can have large impacts
12 to the evolution of the sea ice throughout the year. It is inconclusive how important wave-ice processes are within the climate
13 system, but selected events suggest the importance of waves within the marginal ice zone.

14 1 Introduction

15 Sea ice plays an important role within the climate directly affecting the Earth's albedo, meridional ocean circulation, biologic
16 ecosystems, and human activities. Satellite measurements from the last 30 years show Arctic ice decreased from 0.45 to 0.51
17 million km² or -10.2 to -11.4 % per decade (Hartmann et al., 2013; Comiso et al., 2008). This has a dramatic impact on the sea
18 state because there is a larger expanse of ocean available for wave development (Thomson and Rogers, 2014). Ocean waves
19 drive the upper ocean dynamics and influence the rich biological cycle (Tremblay et al., 2008; Popova et al., 2010). Near the
20 Alaska coastline waves are causing erosion (Overeem et al., 2011), and as the ocean opens connecting the Atlantic and Pacific
21 for transportation and commerce, knowledge of the sea state becomes increasingly important (Stephenson et al., 2011; Jeffries
22 et al., 2013).

23 Ice and wave interaction is a highly coupled two-way system. On the one hand, sea ice defines the shape and size of the
24 basin controlling the available fetch; and on the other hand, waves break-up ice (Marko, 2003). The warming in the past decade
25 decreased sea ice cover (Zhang, 2005; Steele et al., 2008; Screen and Simmonds, 2010; Cavalieri and Parkinson, 2012; Frey
26 et al., 2015). A model simulation of Wang et al. (2015) and data from altimeters in Francis et al. (2011) show that more open

1 water in the Beaufort and Chukchi Seas increased wave heights. The objective of this study is to describe the wave climate in
2 the Arctic Ocean poleward of 66°N. This provides an opportunity to describe the Arctic as a complete system and relate our
3 results to existing regional studies in the Nordic Seas (Semedo et al., 2014), the Nordic and Barents Seas (Reistad et al., 2011),
4 and Beaufort-Chukchi Sea (Francis et al., 2011; Wang et al., 2015).

5 Analysis of historical wave observations including in-situ buoy measurements (e.g., Gemmrich et al., 2011), remotely sensed
6 waves from altimeters (e.g., Zieger et al., 2009), observations from voluntary observing ships (e.g., Gulev and Grigorieva,
7 2006), and microseisms (e.g., Husson et al., 2012) give us useful information about the wave climate. Still, in the Arctic
8 these sources are not entirely satisfactory. Therefore, we use WAVEWATCH III of (called WW3 herein) Tolman and the
9 WAVEWATCH III Development Group (2014) to provide detailed wave conditions. Ice concentrations derived from the Special
10 Sensor Microwave Imager (SSM/I) are the longest time series from 1992 to present and have the highest resolution of 12.5 km.
11 This time period coincides with available altimeters so we focus on describing the wave climate for 1992-2014 with both the
12 altimeters and the wave model.

13 The study is organized as follows. We provide background information regarding the model setup, input wind and ice fields,
14 altimeter wave data, and our analysis methodology in section 2. Section 3 focuses on validating our wave modeling efforts using
15 wave heights from altimeters. Next we describe the wave climate in section 4, illustrating the seasonality, extreme conditions,
16 and trends of the wave field over the last 23 years. In section 5 we demonstrate the importance of wave-ice interaction through
17 selected wave events. Finally, we discuss the results and give our conclusions in sections 6 and 7 respectively.

18 **2 Datasets, Model Implementation and Methodology**

19 The Arctic Ocean is smaller in scale compared to other oceans: 7000 km at its widest point. The ocean is surrounded by a
20 continental shelf with depths of 300 m. The center of the basin near the North Pole has depths greater than 4000 m and is
21 often ice covered. The combination of ice coverage and geography creates the different regional seas shown in Figure 1. We
22 will distinguish seven sub-regions: 1) Nordic-Greenland Sea, 2) Barents Sea, 3) Kara Sea, 4) Laptev Sea, 5) East Siberia Sea, 6)
23 Beaufort-Chukchi Sea, and 7) Baffin Bay. The following subsections describe the ice concentrations, wind reanalysis, satellite
24 altimetry, model setup, and analysis techniques.

25 **2.1 Ice concentration from IFREMER/CERSAT (SSM/I)**

26 Satellite derived ice concentrations are an invaluable data source to observe ice dynamics (e.g., Frey et al., 2015). The Special
27 Sensor Microwave Imager (SSM/I) brightness temperatures accurately estimate sea ice concentration (e.g., Liu and Cavalieri,
28 1998). The ASI algorithm of Kaleschke et al. (2001) uses a transfer equation that relates the polarization difference to ice
29 concentration. High frequency channels of SSM/I are used to estimate a daily average on a 12.5 km grid at IFREMER/CERSAT
30 and describe important spatial features of the MIZ (Ezraty et al., 2007). Figure 2 shows the minimum ice extent and total ice
31 area for the period 1992-2014. The time series in the left panel confirms the continual decrease in ice coverage. The minimum
32 sea ice coverage is occurring later in September from 1992-2014 with some decadal variability and/or anomalous years of

1 1997 and 2006. The sea ice is stable for 1992-2002. Following this period there is an accelerated reduction in sea ice extent
2 with the ice minimum occurring in 2012. The right panel shows the spatial view of the ice edge minimum from the years
3 1992-2002, 2002, 2007, and 2012. The East Siberia, Chukchi, and Beaufort Seas have the largest changes in ice cover so we
4 expect increasing waves.

5 **2.2 Reanalysis wind fields**

6 Wave hindcasts using wind reanalysis datasets have successful applications including the National Center for Environmental
7 Prediction (NCEP) Climate Forecast System reanalysis (CFSR) (Chawla et al., 2013; Raschle and Arduin, 2013; Stopa and
8 Cheung, 2014). The important advancements of CFSR with respect to predecessors Reanalysis I and II consist of coupling
9 between the ocean, atmosphere, land surface, and sea ice model, assimilation of satellite radiances, and increased horizontal and
10 vertical resolution in the atmospheric model (Saha et al., 2010, 2014). The atmospheric model has a resolution of approximately
11 0.3° (37 km) (v2 has 0.2° 23 km) and assimilates data in three dimensions. Wind speeds at 10 m elevation (U10) are available
12 hourly. In addition, the wave reanalysis of the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim
13 (ERA-Interim), which couples the atmosphere and wave model and assimilates altimeter wave data, has improved performance over
14 its predecessor ERA-40 (Dee et al., 2011). ERA-Interim has a spatial resolution of 0.7° with wind every 6 hours. The wave reanalysis
15 couples the wave and atmosphere models while assimilating wave data from altimeters (Dee et al., 2011). The dataset is
16 consistent in time and does not have the same discontinuous features as CFSR; but, it is not able to resolve the upper percentiles
17 (Stopa and Cheung, 2014).

18 It is not evident whether CFSR or ERA-Interim is better suited to drive a wave model in the Arctic. Therefore a concurrent hindcast
19 from 2010-2014 is used to assess the wind forcing differences on the wave field. Appendix A gives a detailed description of the
20 results summarized here. The largest differences are in the upper percentiles and ERA-Interim significantly underestimates the extreme
21 wave heights. In short, the model errors mirror those of the global basin (Stopa and Cheung, 2014). Due to the importance of
22 resolving the extremes, we use CFSR to re-create the waves from 1992-2014.

23 **2.3 Significant wave heights from altimeters**

24 Altimeter data has provided an ample source of global wave observations and aided in the development and evaluation of
25 spectral wave models (e.g., Chen et al., 2002; Arduin et al., 2010; Stopa et al., 2015). Significant wave heights (H_s) are
26 measured from active microwave sensors typically in the Ku or Ka bands under all atmospheric conditions. Once the data
27 is quality controlled and sensor biases are removed, H_s errors are comparable to buoy measurements (Zieger et al., 2009;
28 Sepulveda et al., 2015). We use the merged and calibrated dataset of Queffelec and Croize-Fillon (2015). The reprocessed
29 wave measurements from European Remote Sensing Satellites 1 and 2 (ERS1,ERS2), Environmental Satellite (ENVISAT),
30 Geosat Follow-On, CRYOSAT2, and AltiKa SARAL are used throughout this study. The northern latitude limit is 81.4°N for
31 Geosat Follow-On, 82°N for ERS1, ERS2, ENVISAT, and SARAL, and 88°N for CRYOSAT2. The repeat track cycle is 17
32 days for Geosat Follow-On, 35 days for ERS1, ERS2, ENVISAT, and SARAL, and 369 days for CRYOSAT2. The merged
33 dataset spans the duration of the hindcast (1992-2014).

1 2.4 WAVEWATCH III Model Implementation and Wave-ice Dissipation

2 WAVEWATCH III of Tolman et al. (2013) is a community based spectral wave model (Tolman and the WAVEWATCH III
3 Development Group, 2014). WW3 evolves the wave action equation in space and time, with discretized wave numbers and
4 directions. Conservative wave processes, represented by the local rate of change and spatial and spectral transport terms are
5 balanced by the non-conservative sources and sinks. We implement version 5.08 of WW3, on a curvilinear grid matching the
6 spatial resolution of ice concentrations at 12.5 km. The curvilinear grid is well suited to model waves near the Poles since the
7 geographic distance between nodes is equal making the computation more efficient (Rogers and Orzech, 2013). The spectra
8 are composed of 24 directions and 32 frequencies exponentially spaced from 0.037 to 0.7 Hz at a relative increment of 1.1. The
9 reanalysis winds are linearly interpolated to the wave model grid. We use WW3's third order the Ultimate Quickest scheme of
10 Tolman (2002) with the garden sprinkler correction. Global 0.5° resolution hindcast of Raschle and Ardhuin (2013) provides
11 the spectral boundary conditions along 66°N.

12 The source terms of Ardhuin et al. (2010) describes the wave physics which performs well in terms of H_s , average wave
13 periods, and partitioned wave quantities (Stopa et al., 2015). The wind-wave growth parameter β_{max} is set to 1.25 and otherwise
14 we use the same settings as Raschle and Ardhuin (2013). Due to the importance of wave-ice interactions, a new source term
15 is developed and implemented in WW3 to describe wave dissipation under ice. The dissipation is modeled by a laminar to
16 turbulent boundary layer based on a critical Reynolds number computed from the orbital wave velocity. This parameterization
17 was calibrated using Wadhams and Doble (2009) dataset but still remains somewhat poorly constrained. A full description of
18 the formulation is given in Appendix B.

19 2.5 Wave parameters and analysis techniques

20 The wave climate is described using the total significant wave height (H_s) defined as $H_s = 4\sqrt{m_0}$ where m_0 is the zeroth
21 moment ($p = 0$) of the spectrum ($E(f)$) ($m_p = \int_0^\infty (2\pi f)^p E(f) df$), mean wave period ($T_{m02} = \sqrt{m_0/m_2}$), and average
22 direction (θ_m). The mean wave period has reduced variability compared to other wave period definitions (i.e. peak period or
23 $\sqrt{m_1 - 1/m_0}$) since it is calculated from the second moment of the wave spectrum. Swells characterized by longer wavelengths
24 propagate considerable distances under sea ice while high frequency waves are scattered and dissipated near the ice edge
25 (Kohout et al., 2014; Li et al., 2015; Ardhuin et al., 2015). Therefore the wind-seas (H_{sw} , θ_{mw}) and swells (H_{ss} , θ_{ms}) are
26 analyzed separately by partitioning wave spectra using the Hanson and Phillips (2001) method. According to Pierson and
27 Moskowitz (1964) the sea state can be classified as wind-sea when the wave age (WA) or ratio of peak phase speed C_p to
28 wind speed, $WA = C_p/U_{10} < 1.2$ and swell when $WA > 1.2$. Semedo et al. (2011) and Semedo et al. (2014) demonstrated the
29 practicality of this classification through the probability of having a swell dominated wave field (swell persistence): $P_s =$
30 $P(C_p/U_{10} > 1.2) = N_s/N_{total}$ where N_s is the number of swell dominated events and N_{total} is the total number of events.

31 In seas with varying ice cover, the method to describe wave statistics is important (Tuomi et al., 2011). We base our statistics
32 on ice free conditions (ice concentration <15%), but other statistics can be inter-related through the sea ice probability shown in
33 Appendix C. Our results are calculated using the 3-hour model output for the hindcast duration. H_s percentiles are calculated

1 from the ice-free statistics and the matching H_s index is used to identify corresponding wave periods and directions. A ± 0.2
2 m bounds of the associated H_s index is used to average the wave periods and directions. This approach gives a more accurate
3 physical description of the events (Anderson et al., 2015). We compute trends using Sen's method and test for statistical
4 significance with the Mann-Kendall test. This method is a non parametric technique and a robust way of computing trends
5 since it can handle missing data and is less influenced by outliers (Mann, 1945; Kendall, 1975; Sen, 1968). We account for the
6 seasons using the adaptation of Hirsch et al. (1982) and used in wave climatology studies by Wang and Swail (2001), Young
7 et al. (2011), and Stopa and Cheung (2014). We compute trends from monthly statistics and require that the time series be
8 ice-free for at least 10 years.

9 **3 Wave hindcast validation**

10 Before the wave climate is assessed we validate the hindcast using the merged altimeter dataset for 1992-2014. Altimeter-model
11 co-locations are found using the nearest neighbor within 6 km and 30 minutes. A running mean of 5 points smooths the satellite
12 tracks to make the spatial and temporal scales comparable. The top 4 panels of Figure 3 show four complementary H_s statistics
13 computed in 25 km bins. There is minimal bias for the majority of the domain; however, some errors exist. In the Baffin Bay
14 and the region north of Svalbard there is an underestimation of 5% and 10% respectively. Otherwise spurious negative biases
15 are located near the coasts. In the Beaufort, Chukchi and Laptev Seas the model overestimates the wave heights by 5-10%.
16 The RMSEs are commonly less than 0.4 m. East of Greenland has the largest RMSE of 0.5 m. This area has considerable ice
17 displacements within one day and the SSM/I daily input might not be able to resolve the rapid change. The Nordic-Greenland
18 Sea is mostly ice-free year round and thus has the lowest scatter indices (12%) suggesting the model performs well in the
19 absence of sea ice. In contrast to the coastal regions of the Baffin Bay, Kara, Laptev, and Beaufort Seas that have considerable
20 ice variability and create the largest scatter indices of 30%. The model and altimeters are highly correlated with coefficients
21 larger than 0.95. The lowest correlations occur in the Laptev, East Siberia, and East Beaufort Seas. These regions have small
22 wave heights and result in small biases and RMSEs.

23 The bottom panel of Figure 3 shows the consistency of hindcast using the monthly 95th percentile for each satellite mission.
24 The 95th percentile is a rigorous test because it is difficult to resolve extreme waves. The average and median are verified to have
25 no distinct trends (not shown). There is a slight decreasing trend in recent years but it is inconclusive if this trend will continue
26 and is contrary to the global increase observed by Raschle and Ardhuin (2013) for 2006-2011. There are annual variations but
27 the hindcast is relatively consistent in time making it applicable for climate studies with no noticeable discontinuous features.

28 **4 Wave Climate**

29 **4.1 Seasonality**

30 The Arctic Ocean experiences a dramatic seasonal change. Daily spatial averages of the seven regions in Figure 1 generalize
31 sea ice, wind speed, and wave seasonality in Figure 4. Two different classifications are identified by the seasonal ice cover.

1 The Nordic-Greenland and Barents Seas have small seasonal variation while large seasonal changes occur in the Baffin Bay,
2 Beaufort-Chukchi, East Siberia, Laptev, and Kara Seas. All regions have an ice minimum in early September. The wind forcing
3 follows a sinusoid for all seas except the East Siberia Sea with a maximum in January and minimum in July. In the regions
4 with reduced ice cover, like the Nordic-Greenland and Barents Seas, the waves mirror the wind. Wave heights in the Baffin
5 Bay and Kara Sea, which remain 10% ice free from November to July, follow the winds and can be described by a sinusoid.
6 In the Beaufort-Chukchi, East Siberia, and Laptev Seas the wave seasons are skewed with an annual maximum in October.
7 The antisymmetric seasonal cycle is created by the increasing wind speeds coupled with partially ice-free seas in September
8 and October. Due to the relative difference between the ice and wind we define the winter season as January-February-March
9 (JFM) and the summer season as August-September-October (ASO).

10 Figure 5 presents the wave conditions for the two extreme seasons: JFM and ASO. The sea state is described through the
11 H_s , H_{sw} , H_{ss} , and P_s . In JFM, only the Nordic-Greenland, and Barents Seas are ice-free. Waves generated in the North
12 Atlantic propagate into these seas with a sheltering in the Barents Sea. In JFM, the Nordic-Greenland Sea has the tallest wave
13 heights of the Arctic. The wind-seas follow the driving winds and are characterized by cyclonic (anti-clockwise) circulation,
14 a characteristic of the North Atlantic sub-basin (Sterl and Caires, 2005; Semedo et al., 2014). The resulting wind-sea wave
15 heights exceed 3.5 m while the swell wave heights are smaller and travel from the Southwest. The top right panel shows swells
16 persist 70% of the time which is consistent with open ocean conditions where wind-seas and swells are ubiquitous (Chen et al.,
17 2002).

18 In ASO, ice coverage is minimum and waves are generated across the Arctic. The H_s pattern in the Nordic, Greenland,
19 and Barents Seas is similar to JFM with only a reduction in magnitude. The semi-enclosed seas have smaller H_s (commonly
20 less than 1.5 m) than the Nordic-Greenland Sea. There are distinct regional characteristics of the wind-seas and swells. The
21 cyclonic structure of the wind-seas near Norway in JFM is not clearly visible in ASO. The swell mean wave directions follow
22 the same pattern as JFM in the Nordic-Greenland and Barents Seas and propagate from the Atlantic northward into the sea
23 ice. In the Laptev and East Siberia Seas the wind-seas and swells are directed into the sea ice with an Easterly component.
24 H_{sw} and H_{ss} have local maxima located near (170°E, 77°N) where the Easterly waves are able to sufficiently develop. In the
25 Beaufort Sea the wind-sea and swell mean wave directions flow from the Southeast. In the East Beaufort Sea (135°E, 74°N),
26 there is a subtle anti-cyclonic (clockwise) structure in the wind-seas while the swell mean wave directions are opposite and
27 flow from the West. In the narrow corridor of the Baffin Bay, the wind-sea and swell mean wave directions are opposite and
28 represent different phases of passing storms. The bottom right panel shows the swell persistence is >85% and exceeds 95% in
29 the Nordic-Greenland, Barents Seas, and Baffin Bay due to their exposure to the swells generated in the North Atlantic. In the
30 semi-enclosed seas like the Kara, Laptev, East Siberia, Beaufort, and Chukchi there is an equal proportion of wind-waves and
31 swells (40-60%).

32 4.2 Percentiles

33 H_s percentiles are a useful to way to describe the sea state statistical distribution (e.g., Stopa et al., 2013a, b). Figure 6 shows the
34 50th (median), 95th, and 99th H_s percentiles with matching wave directions and mean periods. The statistics have a consistent

1 spatial pattern due to the geographic shape of the basin. The most prominent feature is the maximum located in the Nordic-
2 Greenland Sea for all percentiles. Here the median H_s exceeds 2.5 m and corresponding $Tm02$ is 6 s. In the rest of the basin
3 the H_s median is commonly 1.5 m with reduction near the coasts. The 95th H_s percentile exceeds 5.5 m with $Tm02$ of 8 s
4 in the Nordic-Greenland Sea. In the Laptev, East Siberia, Chukchi, and Beaufort Seas the 95th H_s percentiles are 2.5 m with
5 $Tm02$ of 6 s. The H_s and $Tm02$ at the 99th percentile exceed 8 m and 9 s in the Nordic-Greenland Sea while in the Laptev,
6 East Siberia, Chukchi, and Beaufort Seas are reduced with 4 m and 6.5 s.

7 The corresponding wave directions found by using matching indices of the H_s give an indication of the wind-sea and swell
8 events. The median is a mix of numerous wave conditions and thus less representative of distinct wind-sea and swell events.
9 In the Beaufort-Chukchi Sea the wave directions of the 50th percentile are focused into the sea ice while for the 95th and 99th
10 percentiles the wave directions flow from the East parallel to the ice edge. Due to the geometry of the Beaufort-Chukchi Sea,
11 the largest fetch occurs when the wind is parallel to the ice edge. The wave directions of the 95th and 99th percentiles contain
12 similar patterns as the seasonal components of Figure 5. For example the region near East-Greenland is characterized by waves
13 from the North as seen in the wind-seas while the waves offshore of Norway are directed from the South typical of swells in
14 JFM. In the Beaufort, Chukchi, and East Siberia Seas, the waves from the East are common to both the wind-waves and swells
15 in JFM and ASO.

16 **4.3 Trends**

17 With the reduction of sea ice cover, wave heights are expected to increase. Figure 7 shows Sen's slope computed from monthly
18 averaged quantities with the seasonal Mann-Kendal test for ice coverage and H_s from altimeters and the model. The top left
19 panel displays the trend of the SSM/I ice concentrations in number of days per year that are ice-free (i.e. concentration <15%).
20 Most of the ice covered areas are statistically significant and are ice-free 2 additional days each year. The strongest trends are
21 located in the Barents and Kara Seas with 8 more ice-free days per year. The isolated regions near Svalbard, Greenland, and
22 the Amundsen Gulf have increasing ice coverage.

23 Most of the basin has increasing wave heights shown by the altimeters and wave model in the top right and bottom panels.
24 The bottom panels show that the co-located H_s trends from the altimeters and the model agree, despite the stronger trends in
25 the altimeters. However, the altimeter confidence interval encompasses the model results so statistically they are equivalent.
26 This is an verification that the CFSR forcing is homogeneous throughout this time period. Discrete satellite passes do not
27 capture the complete space-time history causing spurious trends especially near the MIZ in the East Siberia and Beaufort Seas.
28 The trends computed from the continuous hindcast in the top right panel show a spatially consistent pattern. The ice variability
29 is expected to cause the discrepancies in the East Siberia and Beaufort-Chukchi Seas that exist comparing the top and bottom
30 panels. The Nordic-Greenland Sea is the only region with a consistent statistically significant decreasing trend shown in the top
31 right panel. In the Beaufort-Chukchi Sea, our rates of 1.5 cm/year are in agreement with Francis et al. (2011) who estimated a
32 trend of 2 cm/year. Wang et al. (2015) estimated trends on the order of 40 cm computed by the difference between 1970-1991
33 and 1992-2013. Assuming a linear rate spanning the 23 year period our rate equates to a 35 cm increase. Some extreme trends

1 greater than 4 cm/year exist in the Baffin Bay and Laptev Sea and are statistically significant using the merged altimeters. These
2 rates are large compared to the global calculations of Young et al. (2011), who estimated the largest trends to be 2 cm/year.

3 Figure 8 shows the Sen's slope computed from other monthly averaged parameters. The rates are presented as percentages
4 relative to the mean to allow comparison. The trends in U10 are calculated using the entire dataset independent of ice cover;
5 otherwise all other variables are computed from ice-free statistics. The decreasing U10 trend in the Nordic-Greenland Sea is
6 significant and is consistent with the H_s trend. Across most of the sea ice, U10 is decreasing especially in the Beaufort Sea.
7 Some regions have weak increasing trends of 0.25% per year. Wind speeds in the Baffin Bay are increasing creating taller wave
8 heights.

9 The $Tm02$ trends follow the same pattern as the wave heights in Figure 7 and with an increase of 2% (2-3 cs) per year. The
10 H_{sw} and H_{ss} trends have similar spatial patterns as H_s . However, H_{ss} is increasing at a faster rate compared to H_{sw} in the
11 Beaufort, East Siberia, Laptev, and Kara Seas. This increase is directly related to the higher occurrence of swells (i.e. WA
12 is increasing). The decrease in H_{ss} in the Nordic Sea has less statistically significant points suggesting changes in the local
13 winds are causing the trends. The bottom-center panel displays the wind-sea steepness (STw) (ratio of wind-sea wave height
14 versus wavelength). The wave steepness reduces illustrating that the wavelengths become longer than the wave heights are
15 becoming taller. On the other hand, the trends in swell steepness have the same pattern as H_s meaning the swell wavelengths
16 are changing proportionally to the heights (not shown). Finally the WA is increasing across the entire domain albeit some
17 decreasing regions near the MIZ in the Beaufort Sea, Greenland Sea, and Baffin Bay. Consequently the wave phase speeds are
18 increasing faster than the driving wind fields and swells are becoming more prevalent.

19 Trends often contain a component of natural variability which may lead to opposite trends in the future. The North Atlantic
20 Oscillation (NAO) has a strong influence in the Nordic-Greenland Sea shown by Semedo et al. (2014) and in the Beaufort-
21 Chukchi Sea, the Pacific Decadal Oscillation (PDO) influences the ice and wind dynamics (Frey et al., 2015). Table 1 presents
22 correlation coefficients between area-averaged monthly time series of sea ice, U10, and H_s and the NAO and PDO indices
23 (see Appendix D for spatial distribution). The statistically significant relationship in the wind and wave fields with the NAO
24 is moderate in the the Nordic-Greenland and Barents Seas. In these seas, a positive NAO phase equates to increased sea states
25 while negative phases have reduced sea states. The NAO was positive in the beginning of the time period (1992-1998) and
26 negative towards the end of the hindcast (2006-2012). This creates a negative trend and its positive relationship with the sea
27 states and wind suggests the NAO is causing the negative wind and wave trends observed in Nordic-Greenland and Barents
28 Seas. Table 1 shows the PDO is weakly related in the Beaufort-Chukchi Sea. Higher values are attained by correlating the time
29 series for each point (see Appendix D).

30 Figure 9 summarizes the regional trends through Sen's slope of the NAO, PDO, ice-free area, U10, and H_s . The NAO and
31 PDO have statistically significant decreasing trends. The NAO is influencing the decreasing trend in the Nordic, Greenland, and
32 Barents Seas seen in Figures 7 and 8. The most prominent feature is the increase in ocean area or reduction of ice cover. The
33 H_s trends are not homogeneous showing the regional variability. The largest trends in ocean-area and H_s occur in the Laptev
34 and East Siberia Seas. All seas except the Baffin Bay have stronger trends in the average H_s compared to the 95th percentile
35 suggesting non-uniform changes in the statistical distributions. When the average trend is higher than the 95th percentile it

1 means that moderate events occur more frequently compared an intensification of strong events. In the Baffin Bay the trends
2 in the 95th percentile are larger than the average suggesting the intensification of strong events. The wave trends computed
3 for the co-located altimeters and model show the model underestimates (consistent with Figure 7). The H_s average and 95th
4 percentile have larger trends than the results in the global ocean which were typically less than 1% (Young et al., 2011). The
5 trivial U10 trends illustrate the increased sea states are due to the reduction of ice cover in agreement with Wang et al. (2015).

6 **5 Wave impact on the sea ice**

7 Thus far, we have seen that decreasing the sea ice drastically affects the wave climate and amplifies sea states. The waves also
8 impact sea ice but their influence remains unknown due to lack of observations and understanding of the wave-ice processes
9 (Squire, 2007; Wadhams and Doble, 2009; Li et al., 2015). Large storms affect the Arctic as demonstrated by Simmonds
10 and Rudeva (2012) and Zhang et al. (2013); however the impacts from waves are less evident. Therefore we qualitatively
11 describe the wave influence on the sea ice with selected events from the Nordic-Greenland and Beaufort-Chukchi Sea. We
12 chose these regions because their wave environments are different: the Nordic-Greenland Sea is influenced by swells from
13 the North Atlantic and the Beaufort-Chucki Sea is characterized by an equal mix of wind-waves and swells coupled with an
14 extreme change in seasonal ice coverage.

15 Figure 10 shows the Nordic-Greenland Sea area-averaged ice and sea state conditions for two months in 1992. The 23-year
16 climate average (dashed line) shows the ice cover climatology increases 6% from November through December. The first event,
17 November 23-29, indicates a decrease in ice cover by -3% or 60,000 km². This event coincides with H_s , peak periods, and
18 wind speeds exceeding 5 m, 12 s, and 14 m/s respectively. The second event in December has larger wave heights (>6 m),
19 however the ice cover remains the same.

20 We compare and contrast these two events in Figure 11 by showing snapshots of the wind speed, wave height, and wave
21 period. During the November event, the ice edge changes considerably before and after the event (white and black lines).
22 The wind field rotation is cyclonic and centered near Iceland (14°W, 66°N). An anti-cyclonic pattern (6°E, 78°N) adds to
23 the effective fetch. U10 exceeds 20 m/s and H_s exceeds 9 m close proximity to the ice with wave periods ranging 12-15 s.
24 Further into the sea ice only the largest wave periods remain due to the attenuation of the short wavelengths. The wind and
25 wave directions are largely perpendicular to the Greenland ice edge. The largest sea ice changes are located from 70 to 77°N
26 corresponding to the maximum wave energy and wind speed. The bottom panels of Figure 11 show the December storm is
27 located further (7°E, 71°N) from the Greenland ice edge. This leads to a reduction of wind speeds (<18 m/s), wave heights (3
28 m), and periods (12 s) close proximity to the ice edge. The minimal change in ice coverage is related to the reduced wind and
29 wave energy entering the ice. We do not consider the ice thickness in our analysis; therefore it is not apparent how much ice
30 volume is lost by either event.

31 Figure 12 shows the ice cover and sea state conditions in the Beaufort-Chukchi Sea in September and October 2006. During
32 this time of year the ice increases and advances southward. September 13-16 and October 9-11 both have changes in sea ice
33 coverage. In the first event the ice coverage reduces by 12% equating to 226,000 km² while in the second event the decrease

1 of 6% equates to 113,000 km². The second event has H_s of 6 m, which is well above the climatology average of 2 m. The sea
2 state is much weaker in the first event than in the second.

3 Figure 13 illustrates the corresponding sea state conditions. The September case has winds predominately from the South
4 directed into sea ice from the Bering Strait. The wind speeds are strengthened by the pressure gradient force created by the
5 tall mountain ranges in Alaska and Russia which exceed 2 km in height. The wind speeds, wave heights, and periods reach
6 18 m/s, 4.5 m and 9 s offshore of the ice. The area of polynya located near (158°W, 77°N) does not change much and is
7 translated towards the Pole. There are significant changes to the ice edge and a large indentation coincides with the maximum
8 wind and wave energy. Besides this isolated section, the rest of the Beaufort ice edge remains the same. The October event
9 has large wind speeds, wave heights, and wave periods exceeding 24 m/s, 8 m, and 13 s. In fact, this is the strongest event
10 from 23-year hindcast and H_s . The wave heights exceed 8 m and is well above the 99th percentile of Figure 6. The wind field
11 has a cyclonic pattern confirmed to be a Polar Low centered in the Chukchi Sea (162°W, 62°N) and an anti-cyclonic pattern
12 (high-pressure system) centered over the sea ice (132°W, 80°N). The positions of these systems create an extended fetch for
13 wave development because the Eastern winds are directed parallel to the sea ice. Ekman transport could be moving warmer
14 water towards the sea ice. In addition, the extreme wind and waves enhance mixing which could transport warm waters to the
15 ice. As time evolves the systems move further north creating a larger Southerly component in the Eastern portion of the domain
16 where significant impacts occur in the sea ice coverage.

17 These examples suggest a relationship between the evolution of sea ice and the amount of wind and wave energy directed
18 into the MIZ. The incident angle of the winds and waves to the sea ice play a critical role on sea ice; so the location of the
19 storm relative to the ice edge is important. Other physical processes that influence sea ice include temperature change, ocean
20 circulation, and transport due to wind (Frey et al., 2015). These examples illustrate how waves impact the sea ice and should
21 be considered as a potential sea ice driver.

22 **6 Discussion**

23 Coupling between waves and sea ice is complex (e.g., Squire et al., 1995; Squire, 2007). While the inclusion of the wave-
24 ice dissipation term is a step to incorporate improved wave-ice processes within the wave model, redistribution of the wave
25 energy through scattering must also be considered (Squire et al., 1995). Furthermore, wind-wave generation in partially ice
26 covered waters is expected to be more complex than as parameterized in present wave models (Li et al., 2015). Despite these
27 missing physical processes, the 23-year hindcast presented here performs well offshore of the sea ice as demonstrated by the
28 H_s comparison to the altimeters.

29 The wave conditions in the Arctic are governed by the sea ice and winds and we observe two distinct regions: 1) semi-
30 enclosed basins and 2) region exposed to the North Atlantic. The semi-enclosed and isolated seas of the Kara, Laptev, East
31 Siberia, Beaufort-Chukchi, and Baffin Bay makes them event driven and explains why they have an equal mix of wind-seas
32 and swells. Here the sea state magnitudes are comparable to those in the Gulf of Mexico (Stopa et al., 2013a). The Atlantic
33 side of basin has the most active sea states because it is exposed to the Atlantic and is mostly ice-free year round. Here the

1 wave seasons follow the winds and behave like a sinusoid. Extreme events in these seas are limited by the basin's size and the
2 wave directions are parallel to the ice edge. Therefore to a leading order the wave behavior is linked to the geography and ice
3 conditions which control the effective fetch for wave development (Thomson and Rogers, 2014; Smith and Thomson, 2016).

4 The wave field is very sensitive to concurrent ice-free conditions and strong wind speeds, which are more prevalent in the
5 Autumn (Figure 4). This explains why we observe a skewed seasonal cycle that have maximum wave heights occurring in
6 October and November for the semi-enclosed basins. Figure 2 shows the trend of minimum ice cover from SSM/I occurs later
7 in September. In addition the sea ice is becoming ice-free for longer durations. The reduction of sea ice cover in the last 23
8 years enabled wave heights to increase as verified by our hindcast and altimeter data sets agreeing with prior studies (Wang
9 et al., 2015; Francis et al., 2011). If these trends continue the sea state will continue to increase as well. The Arctic sea ice in the
10 semi-enclosed basins are more sensitive to the wave conditions in the Autumn compared to the other seasons due to concurrent
11 increasing winds and partially open seas this time of year. Therefore we expect the Arctic to be very sensitive to changes
12 in sea ice, winds, and waves in the Autumn compared to any other time period. This has lead Thomson and Rogers (2014)
13 and Thomson and Team (2016) to suggest a positive feedback mechanism linking enhanced wave heights to the larger ocean
14 expanses which cause more ice breakup. However this process is convoluted by the fact that the wave steepness is lessened
15 which reduces the effectiveness of the ice breakup by waves.

16 The wave response to the changing sea ice through the 21st century is complex with a mix of influences from wind, sea ice,
17 and climate variability (Khon et al., 2014). In the majority of the Arctic, wave heights are increasing. The only region with
18 decreasing wave heights is in the Nordic-Greenland Sea. In our hindcast time period of 1992-2014, the natural variability of
19 the climate through the NAO and PDO impact the Arctic sea state. The negative trends observed in the sea state are expected to
20 be caused by the NAO. The PDO influences the Barents and Kara Seas and the monthly correlation coefficients closely aligns
21 with the maximum ice loss. In the Beaufort-Chukchi Sea the PDO plays a minor role in the wind and wave fields, but this
22 should be monitored when the PDO transitions into a positive phase.

23 The impact of waves on the sea ice is difficult to determine without detailed knowledge of wave-ice interaction. The evolution
24 of the ice edge seems to respond to the amount of wind and wave energy near the ice pack as demonstrated in the Nordic-
25 Greenland and Beaufort-Chukchi Seas. The orientation of the driving wind fields and their incident angles relative to the sea
26 edge is important for sea ice evolution (Kohout et al., 2014). For example the Great Arctic Cyclone of 2012 persisted for 13
27 days and had a significant impact on the sea-ice (Simmonds and Rudeva, 2012; Zhang et al., 2013). However, this storm did
28 not produce substantial waves according to our hindcast, due to its passage over ice-covered regions. If the location of the
29 event was positioned more appropriately for wave development, then this long-lived event could have produced large waves.
30 The time duration of the wind and wave influence is less evident in sea ice evolution. For example, the percentage of ice cover
31 remains relatively constant for a 10 day period after the October 2006 event in the Beaufort-Chukchi Sea. The sea ice variability
32 is influenced by many drivers including atmospheric motion, oceanic motion, air/sea temperatures, and changes in cloud cover
33 (Perovich, 2011); waves should also be added to the list.

1 7 Conclusion

2 Extending previous studies of Francis et al. (2011); Wang et al. (2015); Semedo et al. (2014) to the Arctic we produced a
3 23-year wave hindcast from 1992 through 2014 using CFSR winds and ice concentrations from SSM/I. The combined use of
4 models and satellite observations proves to be a robust way of monitoring and describing the climate. As the Arctic continues
5 to change the results presented here can be used as a basis for future climate studies or projections such as those presented
6 by Khon et al. (2014) or Dobrynin et al. (2012). Our observed changes in the wave field are expected to be influencing the
7 coastlines, ecosystem, and sea ice melt (e.g., Overeem et al., 2011; Tremblay et al., 2008; Popova et al., 2010; Davis et al.,
8 2016). Since the Arctic is semi-enclosed, the sea states are event driven and this creates distinct features in the wind-seas
9 and swells. If the open ocean persists later into the Autumn, then the sensitivity of the Arctic sea state and ice conditions
10 will increase since this season has stronger wind speeds. The reduction in ice extent enhanced sea states with taller wave
11 heights, longer wavelengths, and more persistent swells. While it is not evident how important wave-ice processes are within
12 the Earth-system, the increasing sea states in the Arctic do have critical and direct implications on the environment.

1 Appendix A: The ECMWF Reanalysis Interim and the NCEP Climate Forecast System Reanalysis Arctic

2 Intercomparison 2010-2014

3 Before the 23 year hindcast was implemented, we inter-compared two 5-year hindcasts using CFSR and ERAI winds to estab-
4 lish the better suited wave forcing. Measured wave data is essential for validation and we use buoy and altimeter observations.
5 Only a limited number of buoys are available from the National Data Buoy Network (NDBC) in the Chukchi Sea and their
6 locations are shown in Figure 1. Only select years and H_s measurements are available from July through October (2012-2014)
7 in depths less than 50 m. Standard error metrics are used to assess the models including the normalized bias (NBIAS), root
8 mean square error (RMSE), correlation coefficient (R), scatter index (SI), and normalized standard deviation (NSTD) where
9 the x represents the observation and y represents the model, and n is the number of data pairs:

$$10 \quad NBIAS = \left[(\bar{y} - \bar{x}) / \left(\frac{1}{n} \sum_{i=1}^n x_i^2 \right) \right] \times 100 \quad (A1)$$

$$11 \quad$$
$$12 \quad RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - x_i)^2} \quad (A2)$$

$$13 \quad$$
$$14 \quad R = \sum_{i=1}^n (y_i - \bar{y})(x_i - \bar{x}) / \left[\sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \bar{y})^2} \sqrt{\frac{1}{n} \sum_{i=1}^n (x_i - \bar{x})^2} \right] \quad (A3)$$

$$15 \quad$$
$$16 \quad SI = \left[\sqrt{\frac{1}{n} \sum_{i=1}^n [(y_i - \bar{y}) - (x_i - \bar{x})]^2 / \bar{x}} \right] \times 100 \quad (A4)$$

$$17 \quad$$
$$18 \quad NSTD = \left[\sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \bar{y})} / \sqrt{\frac{1}{n} \sum_{i=1}^n (x_i - \bar{x})} - 1 \right] \times 100. \quad (A5)$$

19 Table A1 displays error H_s metric with the NDBC buoys. The CFSR hindcast overestimates the H_s by at least 5% at all
20 locations while the ERAI hindcast underestimates by 3% (except WMO48213). The RMSEs are commonly 0.25 m with the
21 ERAI hindcast always having a better agreement. The scatter indices and correlation coefficients for the ERAI and CFSR wave
22 hindcasts are similar at each buoy. The NSTD shows the CFSR hindcast has more variability than the observations while the
23 ERAI hindcast is smoother. In general both hindcasts are comparable but the CFSR hindcast has a positive bias while the ERAI
24 hindcast has a negative bias.

25 Figure A1 displays two example time series from September 2013 and 2014 at buoys WMO 48213 and 48214 located in
26 the Chukchi Sea. The first example in 2013 shows both models perform reasonably well. The time series of the ERAI hindcast
27 is smoother and has a correlation coefficient of 0.89. The CFSR hindcast is seen to overestimate the events on September

1 1-3 and 26-28 with differences larger than 0.5 m. For these events the ERAI hindcast follows the same pattern suggesting a
2 systematic error in the forcing wind field or wave physics that are unresolved. The hindcast residuals (CFSR-buoy and ERAI-
3 buoy hindcasts) are moderately correlated with coefficients of 0.75 showing the forcing wind fields are similar. In September
4 2014, both ERAI and CFSR hindcasts are highly correlated to the buoy time series and their residuals are only weakly correlated
5 with a coefficient of 0.37. The CFSR hindcast has a consistent positive bias throughout the month, while the ERAI hindcast
6 commonly has errors less than 25 cm. Notice that the peak intensity of wave events is underestimated by the ERAI hindcast.

7 Figure A2 summarizes the H_s comparison from the altimeter and model co-locations using the 5-year period. The scatter-
8 plots from all 2 million data pairs is presented in the top panels. Both datasets are highly correlated with similar SIs of 19% and
9 have RMSEs of 0.4 m. The smooth nature of the ERAI hindcast creates the negative NSTD of 10% while the CFSR hindcast
10 is nearly zero. The largest differences between the hindcasts are in the upper wave heights and the bottom panels highlight the
11 differences. Both datasets have similar correlation coefficients of 0.78 and scatter indices of 13%. The CFSR hindcast has more
12 variability than the observed data creating a NSTD of 20% while the ERAI hindcast matches the variability of the observations
13 much better. From this depiction it is clear that the ERAI hindcast underestimates the largest wave heights. For example the
14 99th H_s percentile has an average bias of -1.5 m while its -0.1 m for the CFSR hindcast. These large sea states are important
15 to resolve in practical planning and engineering applications. Therefore caution should be applied when using extreme waves
16 from a hindcast that uses ERAI winds as forcing. In our implementation of the ERAI hindcast, β_{max} described in Ardhuin
17 et al. (2010) is set to 1.45 and possibly a better match could be achieved by increasing this value.

18 Figure A3 compares the H_s medians as well as the the 99th percentiles. The top left and center panels display the percentiles
19 from the CFSR hindcast and the differences between the CFSR and ERAI hindcasts are given in the bottom panels. The
20 medians are clearly different and the ERAI hindcast is less than the CFSR hindcast by 0.1 to 0.4 m throughout the Arctic. The
21 wave heights from the 99th percentile have large differences of 2 m in the area East of Greenland. Otherwise the waves in the
22 ERAI hindcast are 0.5 m less than the CFSR hindcast across the semi-enclosed seas. The top right panel shows the datasets
23 are highly correlated with coefficients larger than 0.95 and exceed 0.98. The Mann-Whitney test reveals that the medians come
24 from different statistical distributions at the 99.9% confidence limit for the entire domain. Figure A4 shows the probability
25 distributions of the hindcasts, buoys and altimeters. The CFSR hindcast matches the wave heights larger than 2.5 m well, while
26 the ERAI hindcast consistently underestimates. When the H_s is less than 2.5 m the CFSR hindcast overestimates. The ERAI
27 hindcast lends to favor the small wave heights. The buoy comparison in the right panel shows similar features. Here the CFSR
28 hindcast overestimates average wave heights of 1-2.5 m which agrees with the examples shown in Figure A1. Therefore we
29 can conclude that the extreme waves and the average conditions are different in the two hindcasts.

30 In conclusion, both datasets perform reasonably well and their results agree with errors found in the global ocean (Stopa
31 and Cheung, 2014). The CFSR wave hindcast consistently predicts higher wave heights for average sea states and matches
32 the upper percentiles much better. The upper wave heights in the ERAI hindcast diverge from the observations and the 99th
33 percentile has an average bias of 1.5 m. In summary, the ERAI hindcast is better suited to describe the average conditions
34 and the 6 hour increment and spatial resolution of 0.7 limits its ability to resolve the peak intensity of the storms. Due to the
35 importance of resolve the upper wave heights we choose the CFSR winds to hindcast the entire period from 1992-2014.

1 Appendix B: Theoretical formulation of friction under ice plates

2 B1 Extension of the theory by Liu et al.

3 The representation of dissipative source terms in spectral wave models can generally be cast in a quasi-linear form (Komen
4 et al., 1994)

$$5 S(f, \theta) = \beta \sigma E(f, \theta), \tag{B1}$$

6 where $E(f, \theta)$ is the frequency-direction spectrum of the surface elevation, $\sigma = 2\pi f$, and β is a non-dimensional dissipation
7 coefficient that is negative when wave energy is actually dissipated. Previous treatments of the dissipation of wave energy due to
8 friction below an ice layer have been confined to a laminar viscous boundary layer and presented by Liu and Mollo-Christensen
9 (1988),

$$10 \beta_v = -k \sqrt{\nu \sigma / 2} / (1 + kM), \tag{B2}$$

11 in which k and σ are the wavenumber and radian frequency, related by a dispersion relation that can be affected by the ice,
12 and M is the ice inertia effect related to the ice thickness multiplied by the ratio of ice to water density. In the present paper,
13 because we focus on the dominant long-period waves, for which the effect of the ice is less, we have used the ice-free dispersion
14 relation $\sigma^2 = gk \tanh(kD)$ in which D is the water depth and g the acceleration of gravity. For these long waves, the factor
15 kM in eq. (B2) can be neglected.

16 For practical applications, the obtained dissipation coefficient β was then scaled up to fit observed wave attenuations by
17 replacing the molecular viscosity at the freezing temperature of sea water, $\nu \simeq 1.83 \times 10^{-6} \text{ m}^2/\text{s}$ by an eddy viscosity that was
18 proposed to be as large as $0.3 \text{ m}^2/\text{s}$ (Liu et al., 1991). Such a change in viscosity only makes sense if the flow is turbulent.
19 Further, the functional form of the dissipation can be very different for laminar and turbulent frictions in an oscillatory flow
20 near a boundary, as observed by Jensen et al. (1989). In turbulent boundary layers, the energy dissipation coefficient typically
21 grow with the wave amplitude, leading to a dependence of β on the wave amplitude.

22 We thus revisit this question and propose a parametrization for the laminar to turbulent transition of the boundary layer
23 below the ice. In turbulent conditions, an important parameter is the roughness length below the ice z_0 . That roughness is
24 unfortunately not well known, with only a few measurements of current boundary layers (e.g. McPhee and Smith, 1976).
25 Because the roughness for the wave motion is probably different from the roughness for the currents, as it is well known for
26 ocean bottom boundary layers (Grant and Madsen, 1979), we are left with the difficulty of defining the value of z_0 . Given this
27 roughness, the orbital velocity profile is expected to follow a Kelvin function (Grant and Madsen, 1979) with a dissipation
28 source term that takes a form similar to that of bottom friction (e.g. Madsen et al., 1990; Arduin et al., 2003) or swell
29 dissipation by friction at the air-sea interface,

$$30 \beta_t = -f_e u_{\text{orb}} / g \tag{B3}$$

1 where the significant orbital amplitudes of the surface velocity is, for deep water waves,

$$2 \quad u_{\text{orb}} = 2 \sqrt{\int_0^{\infty} (2\pi f)^2 E(f) df}. \quad (\text{B4})$$

3 and f_e is the same dissipation factor used for bottom friction, that is a function only of the ratio a_{orb}/z_0 where a_{orb} is the
4 significant orbital displacement at the sea surface, here for deep water waves $a_{\text{orb}} = H_s/2$.

5 From bottom and air-sea boundary layer studies, the transition from laminar to turbulent is expected to occur for at a threshold
6 Re_c of the significant Reynolds number defined by

$$7 \quad \text{Re} = u_{\text{orb}} a_{\text{orb}} / \nu. \quad (\text{B5})$$

8 We take the same critical value $\text{Re}_c = 1.5 \times 10^5$ found for the bottom boundary layer by Jensen et al. (1989) and the air-sea
9 boundary layer by Perignon et al. (2014). Because of the random nature of the waves, with Rayleigh-distributed wave heights,
10 we expect a smooth transition of the average dissipation rate from viscous to turbulent. We found that the average dissipation
11 caused by random wave heights that follow a Rayleigh distribution is well approximated by the following combined dissipation
12 parameter

$$13 \quad \beta_c = (1 - w)c_v\beta_v + wc_t\beta_t \quad (\text{B6})$$

14 in which c_v and c_t are empirical adjustment constants, expected to be close to 1, and the weight w transitions smoothly with
15 the value of Re over a range $\Delta_{\text{Re}} = 200000$,

$$16 \quad w = 0.5 [1 + \tanh((\text{Re} - \text{Re}_c)/\Delta_{\text{Re}})]. \quad (\text{B7})$$

17 Figure B shows the expected decay distance as a function of frequency, due to molecular viscosity (blue) or a turbulent
18 boundary layer with a roughness $z_0=0.1$ mm, for significant wave heights ranging from 0.5 to 5 m. In our applications we have
19 chosen $z_0 = 1$ cm.

20 **B2 Empirical adjustment of the wave attenuation**

21 Wadhams and Doble (2009) have reported measurements of waves with periods larger than 20 s far into the ice pack (the
22 periods reported in the paper were erroneously reduced by a factor 1.5, personal communication of M. Doble, 2015). An event
23 with 20 s waves recorded 1400 km into the ice pack on February 13, 2007, had a maximum significant wave height of 3 cm.
24 For this small wave height the wave boundary layer is expected to be laminar. However, using the dissipation coefficient in eq.
25 (B6) produced maximum wave heights of 30 cm. Changing only the coefficient c_v , it was necessary to increase it from 1 to 8
26 to obtain a reasonable agreement with the data. We have thus used that value to obtain reasonably small wave heights across
27 the Arctic.

28 However, we note that $c_v = 8$ tends to overestimate the dissipation in the Southern ocean case discussed by Arduin et al.
29 (2015), for which $c_v \simeq 2$ is a better adjustment. Such differences could be partly caused by a more complex geometry of older

1 ice in the Arctic, but a four-fold increase of the area of the ice-water interface that could explain this difference is unlikely. It
2 thus appears that the attenuation in the Arctic may be dominated by other processes than under-ice friction, especially when
3 the ice is not broken. Different processes probably produce different distributions of wave heights in the ice. Given the weak
4 energy level back-scattered in the open waters, the details of the wave attenuation process are not likely to affect much our
5 analysis of wave climatology outside of the ice.

6 **Appendix C: Percentage of ice-free time**

7 In this study we present ice-free (when the concentration $> 15\%$) statistics. The statistics will vary based on how the ice
8 conditions are included in the analysis and a number of methods are described by Tuomi et al. (2011). The different statistics
9 can be related through the percentage of ice-free time presented in Figure C1. The colorbar is displayed in a logarithmic scale
10 to highlight the details of the small ice percentages while including the regions rarely covered by ice. The Nordic-Greenland
11 Sea is ice-free and the area closest to the North Pole is ice-covered throughout the year. It is clear from this depiction that the
12 largest changes occur in the Beaufort-Chukchi Sea and are ice-free less than 15% of the year above the latitude of 74° .

13 **Appendix D: Relationship with the North Atlantic Oscillation and Pacific Decadal Oscillation**

14 This study presents area-average correlation coefficients computed between monthly H_s and the NAO and PDO indices to
15 quantify the strength of the relationship. These values can be less for individual time series of each point. Therefore we
16 compute the correlation coefficients between the monthly average H_s and the climate indices for all grid points in Figure D1.
17 This is a more accurate portrait of the strength of the relationship and gives the full spatial distribution. It is clear the NAO has
18 the strongest signature in the Nordic-Greenland Sea and extends into the Barents Sea. The maximum correlation coefficient
19 is 0.48 which is larger than Table 1 which is 0.37. Other regions have reduced correlation coefficients and are not spatially
20 homogeneous. The PDO has been largely negative for the past decade and is creating the negative correlation coefficients
21 across the Arctic. It is interesting to see that the largest relationship occurs in the Barents Sea ($R=-0.46$) which the area-average
22 results are much less ($R=0.1$). Only a weak relationship exists in the Beaufort-Chukchi Sea contrary to what Frey et al. (2015)
23 showed for the ice and wind field.

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Table 1. Correlation coefficients and trends for the various regions and parameters. The correlations coefficients are given between area-averaged monthly time series versus the North Atlantic Oscillation and the Pacific Decadal Oscillation in parentheses. Statistically significant results are given by the '*' when the p-value is less than 0.05.

Region	Avr Ocean Area	U10 _{Avr}	U10 _{P95}	H _{sAvr}	H _{sP95}
Arctic Ocean	-0.19*(-0.25*)	+0.37*(-0.02)	+0.35*(-0.08)	+0.31*(-0.02)	+0.31*(-0.08)
Nordic-Greenland Sea	-0.10 (-0.27*)	+0.37*(-0.09)	+0.35*(-0.11)	+0.32*(-0.08)	+0.33*(-0.13*)
Barents Sea	-0.15*(-0.33*)	+0.29*(-0.10)	+0.29*(-0.12*)	+0.22*(-0.04)	+0.22*(-0.10)
Kara Sea	-0.15*(-0.16*)	+0.19*(-0.20*)	+0.16*(-0.20*)	+0.11 (-0.10)	+0.05 (-0.14*)
Laptev Sea	+0.15 (-0.27*)	-0.01 (-0.11)	-0.01 (-0.09)	-0.12 (+0.06)	-0.08 (+0.01)
E. Siberia Sea	+0.17 (-0.13)	+0.01 (-0.08)	+0.02 (-0.06)	-0.12 (+0.14)	-0.01 (+0.13)
Beaufort-Chukchi Sea	-0.03 (-0.24*)	+0.05 (-0.21*)	-0.00 (-0.21*)	+0.05 (-0.15)	+0.00 (-0.17*)
Baffin Bay	-0.12 (-0.19*)	-0.07 (-0.16*)	-0.09 (-0.15*)	+0.10 (-0.11)	+0.08 (-0.13)

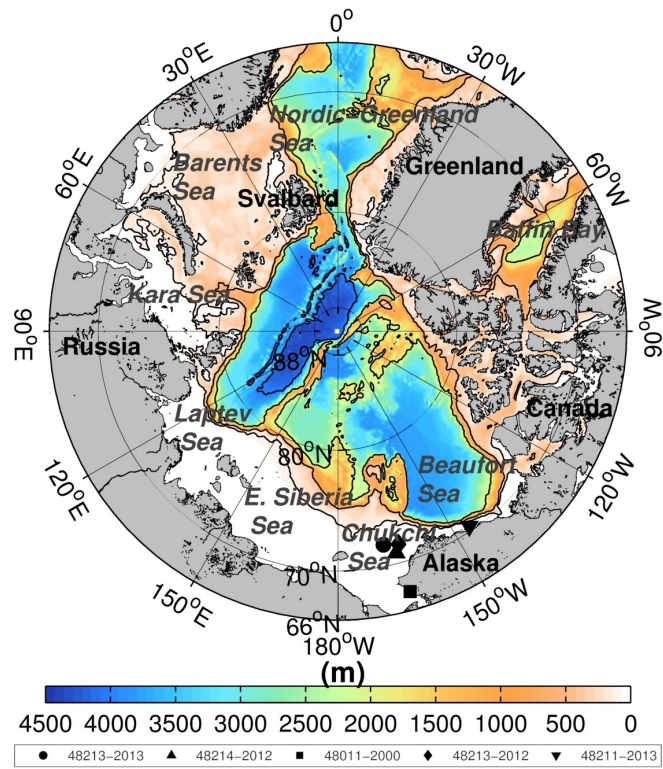


Figure 1. Regional seas of the Arctic Ocean with bathymetry (color), buoy locations (black symbols), and 4000, 2000, 500, and 100 m depth contours (black lines)

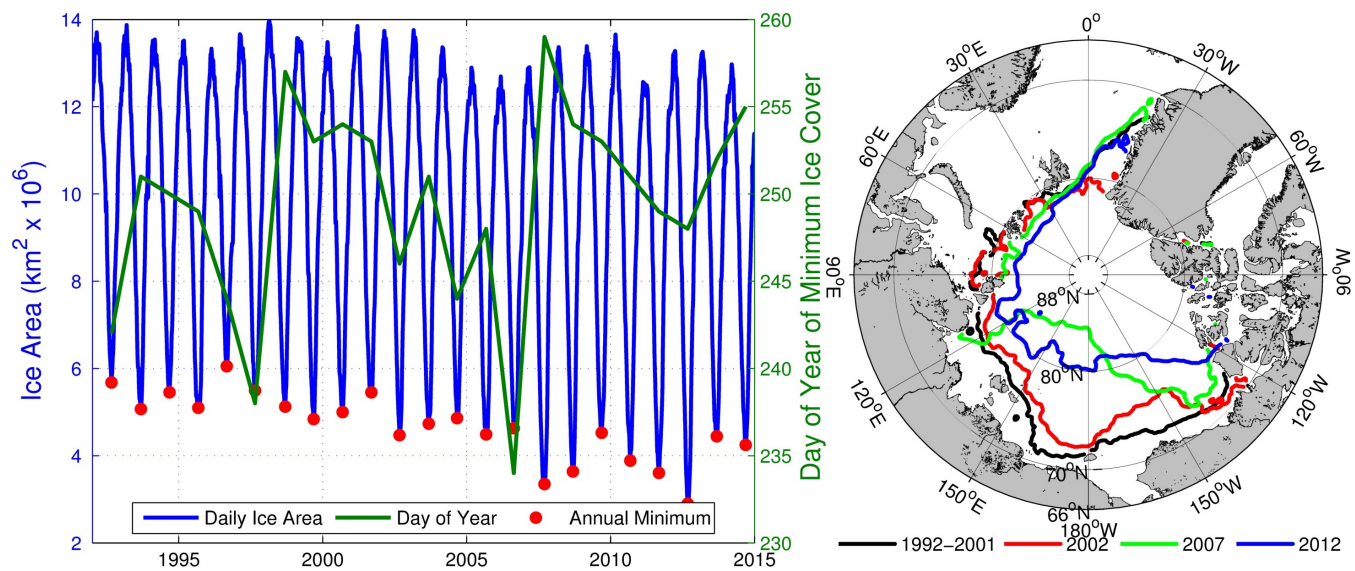


Figure 2. SSM/I Ifremer CERSAT ice concentrations 1992-2014. The left panel displays the ice coverage in area assuming for grid points with a concentration greater than 15% (blue) and minimum of the day of the year (green). The right panel shows the minimum spatial ice edge defined by the 15% ice concentration contour for 1992-2001 (median), 2002, 2007, and 2012.

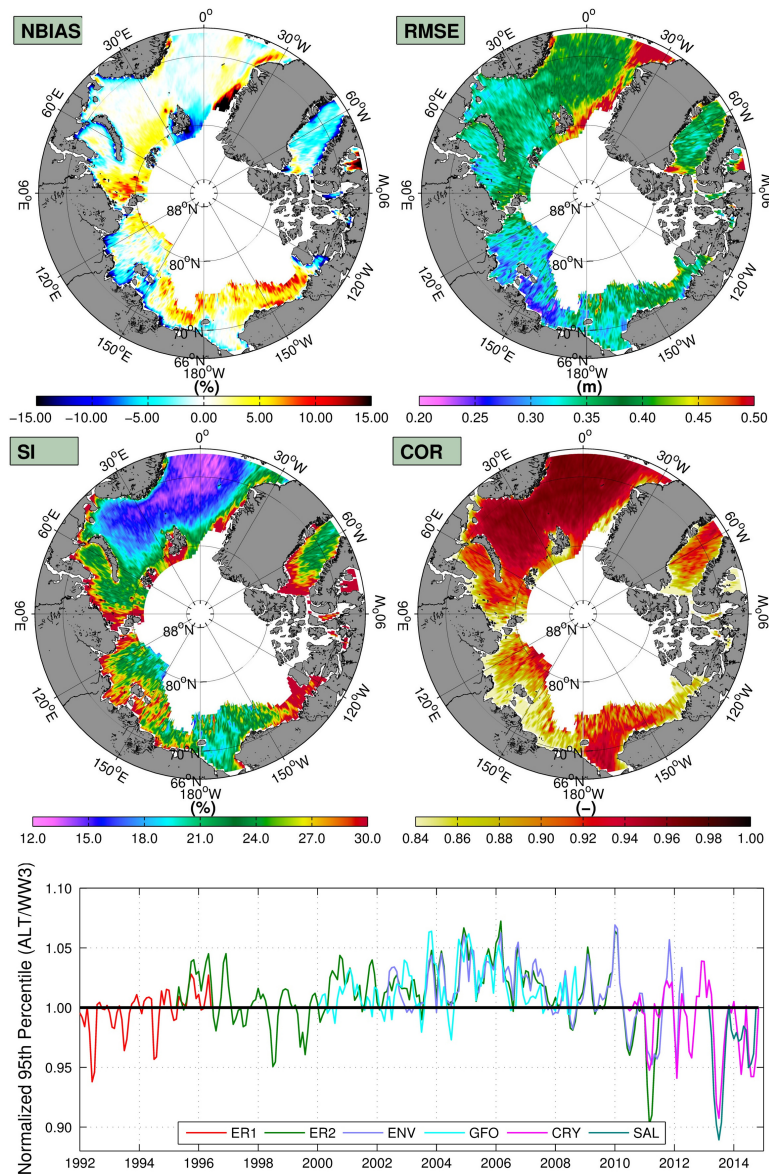


Figure 3. Top four panels display the normalized bias (NBIAS), root mean squared error (RMSE), scatter index (SI), and correlation coefficient (COR) for collocated H_s for the CFSR wave hindcast and the merged altimeters 1992-2014. The bottom panel displays the normalized monthly H_s 95th percentile for each satellite platform: European Remote Sensing Satellites 1 and 2 (ER1, ER2), Environmental Satellite ENVISAT (ENV), Geosat Follow-On (GFO), CRYOSAT2 (CRY), and Altika SARAL (SAL).

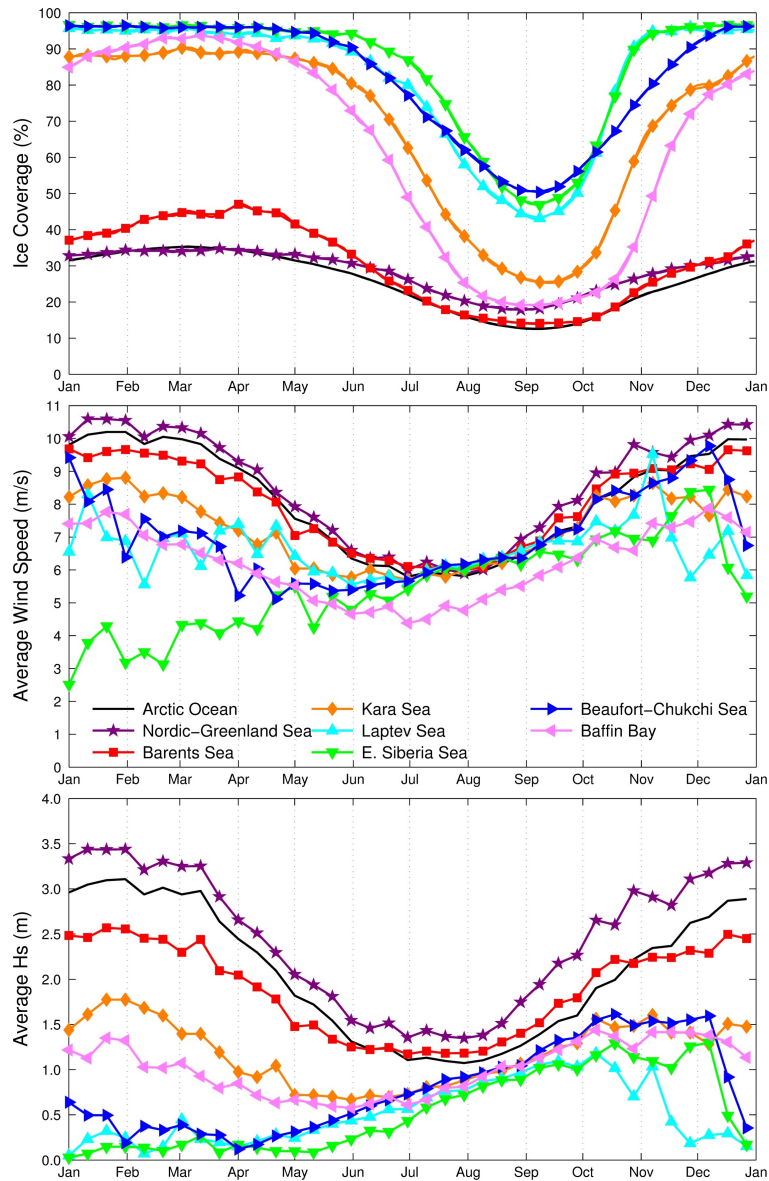


Figure 4. Ice coverage (top), wind speed (middle), and H_s daily averages computed from a spatial average for each Arctic region showing the seasonality.

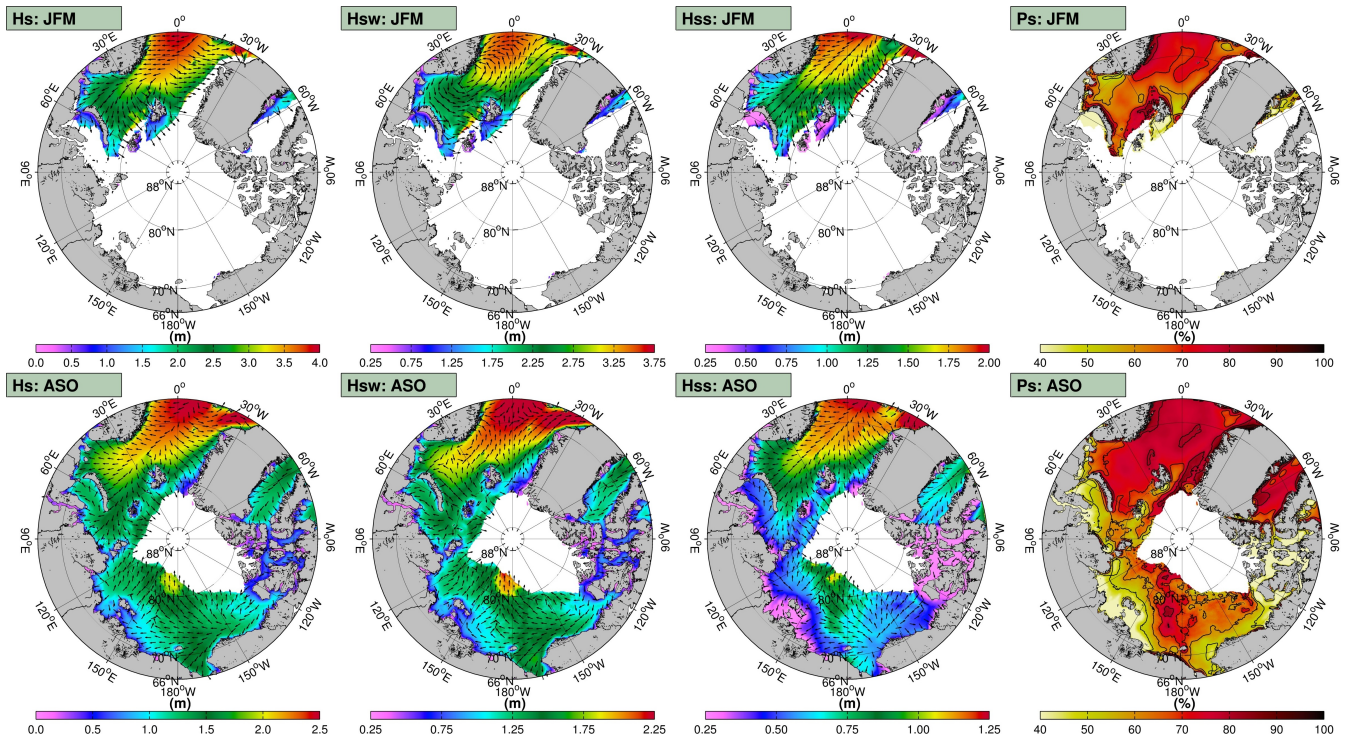


Figure 5. January-February-March (JFM) and August-September-October (ASO) seasonal averages of H_s (first column), wind-sea wave height H_{sw} (second column), swell wave height H_{ss} (third column), and swell persistence P_s (fourth column). The directions are computed from by averaging the east-west and north-south components separately.

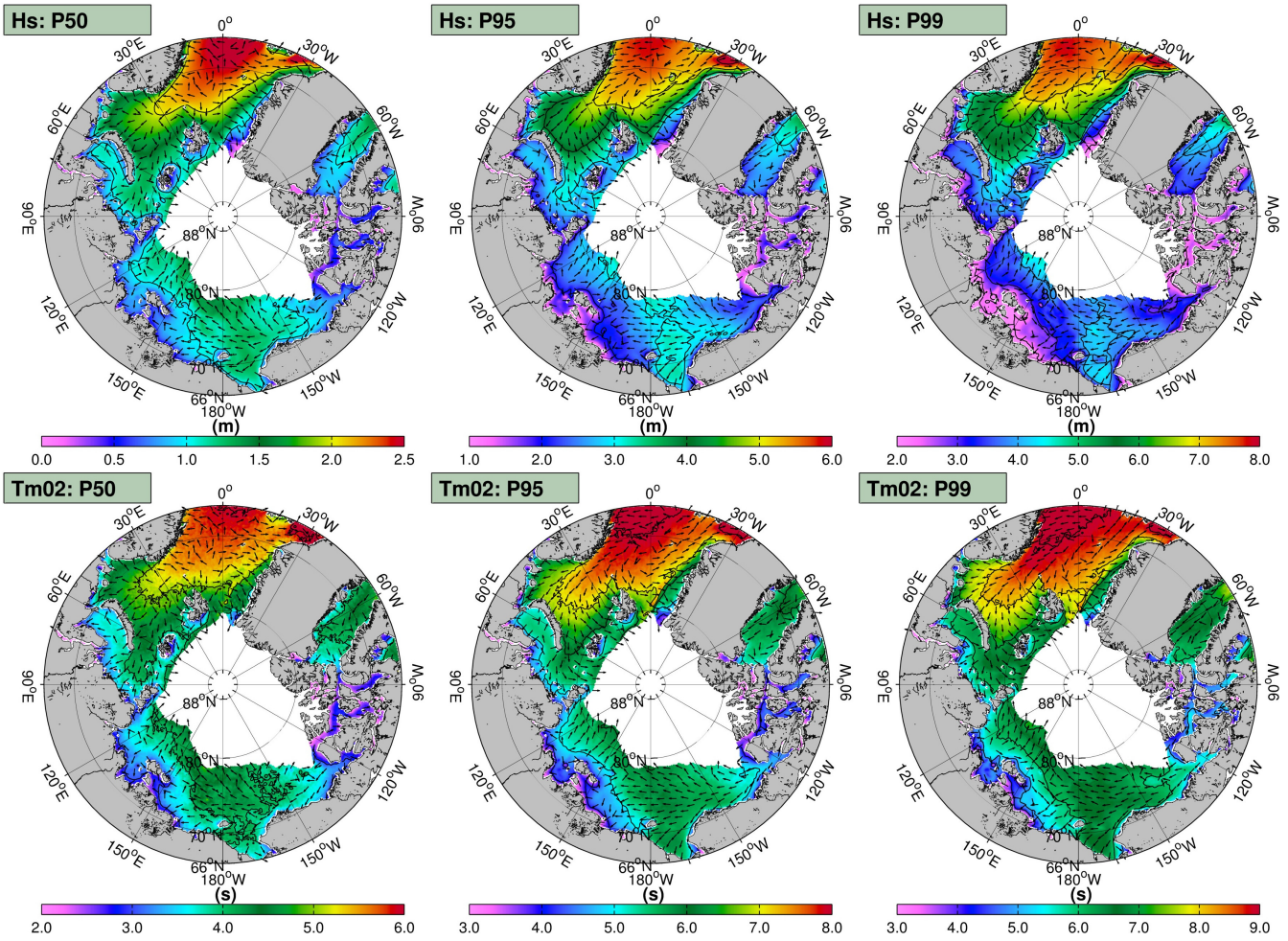


Figure 6. Significant wave height percentiles (top panels) and corresponding averaged wave periods (bottom panels) and directions (arrows).

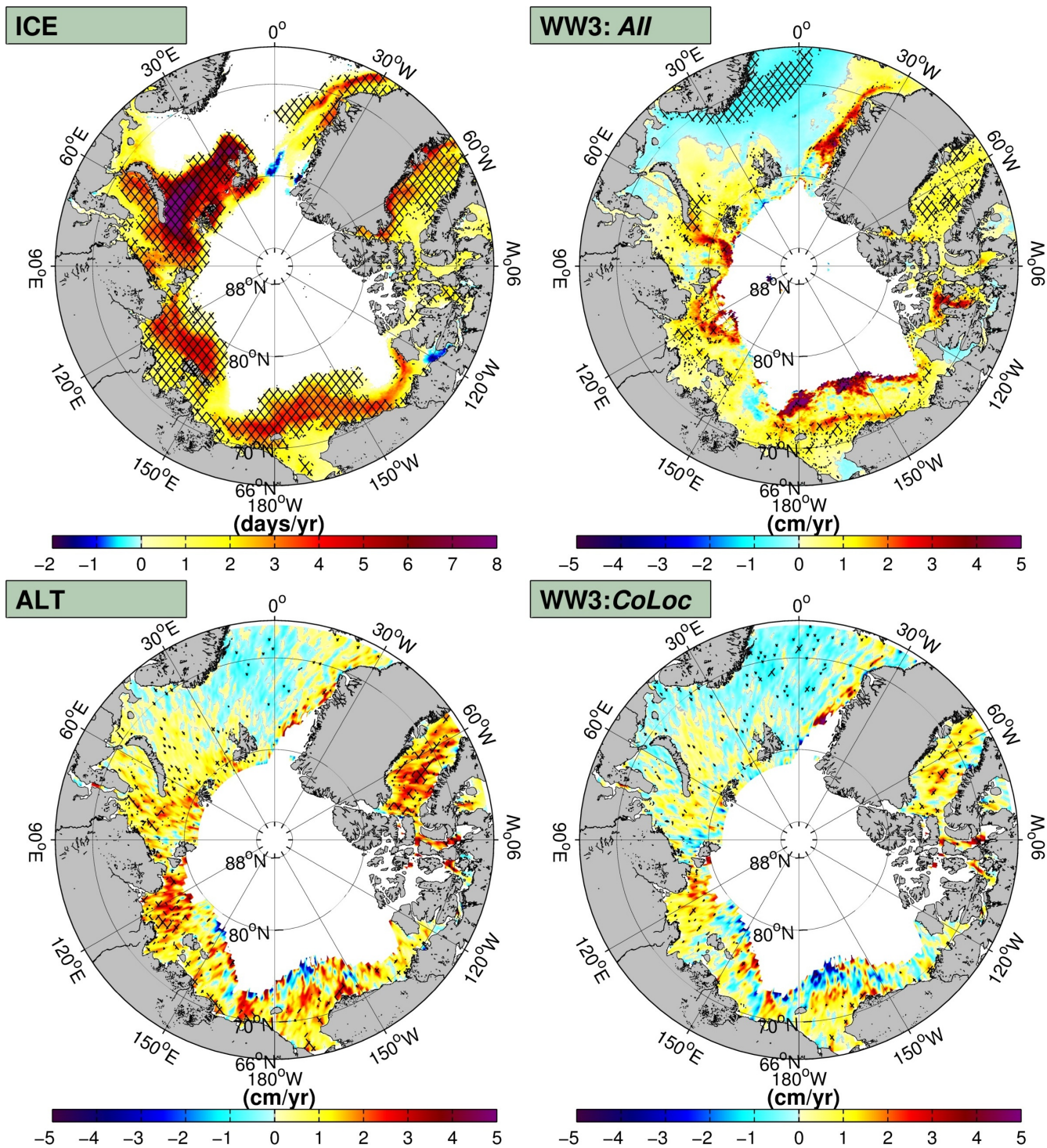


Figure 7. Ice coverage and H_s trends given by Sen's slope with the Mann-Kendall test (hatched-areas). The top left panel displays the trend of the annual number of ice-free days per year (ICE). The other panels show the trends of monthly averaged H_s datasets: using the entire 3-hour hindcast (top right: All), altimeters (bottom left: ALT), and co-located hindcast (bottom right: CoLoc) given in cm/year.

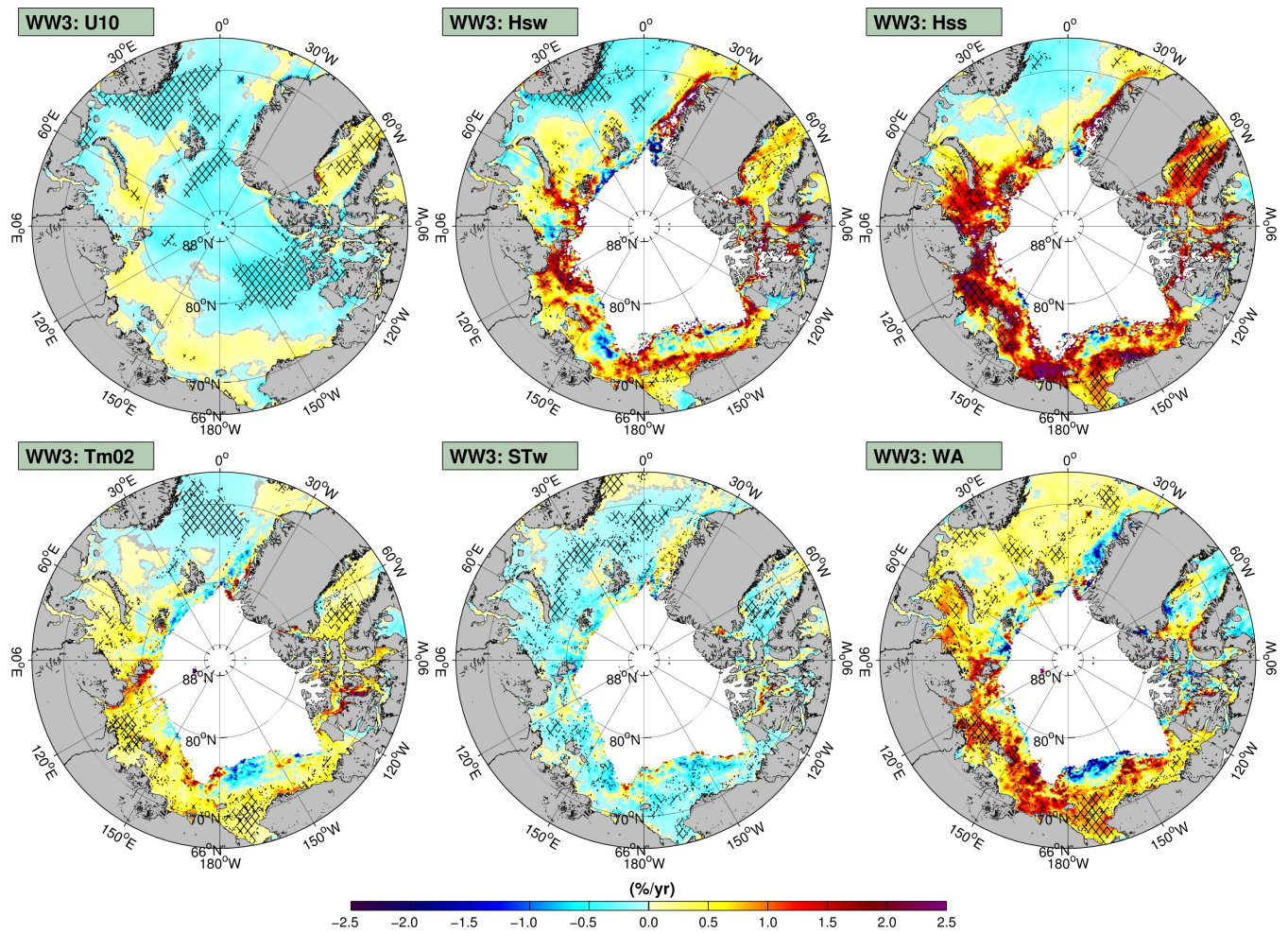


Figure 8. Sen's slope with the Mann-Kendall test (hatched-areas) for monthly averaged wind speeds (U10) (top left), wind-sea wave heights (H_{sw}) (top center), swell wave heights (H_{ss}) (top right), average wave period ($Tm02$) (bottom left), wind-sea steepness (ST_w) (bottom center), and wave age (WA) (bottom right) from the wave hindcast in percentage per year relative to the average.

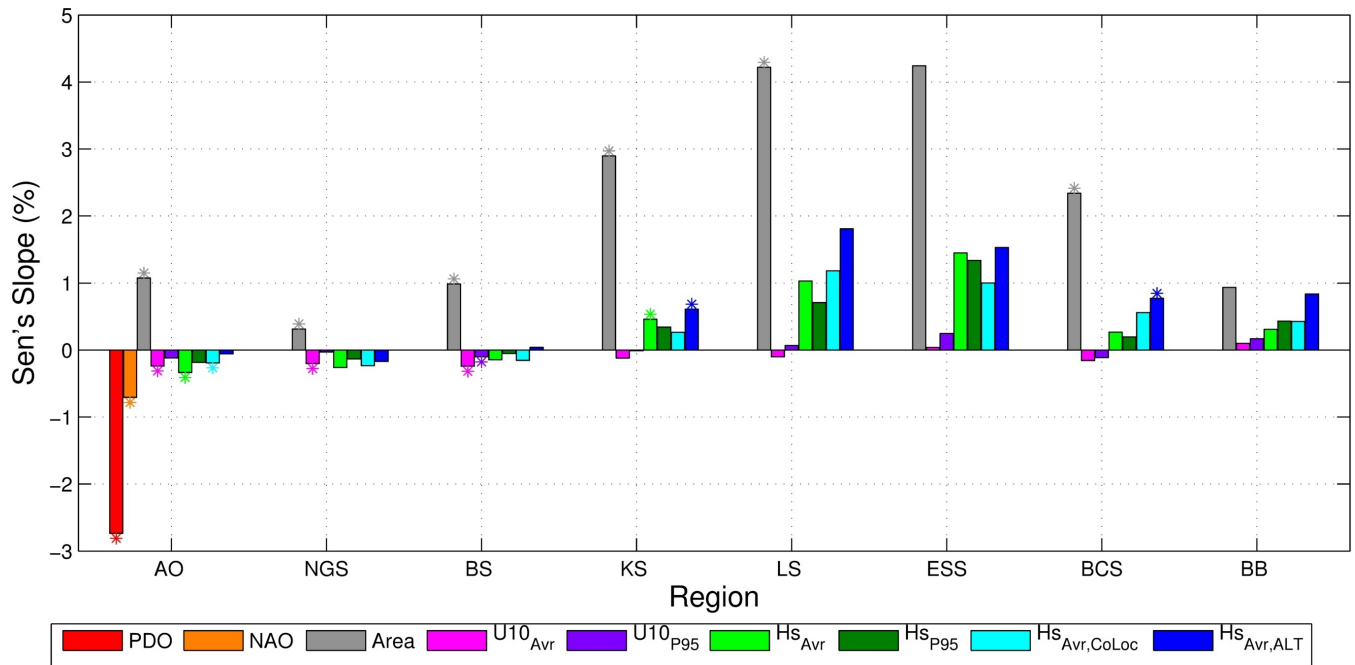


Figure 9. Sen's slope with the Mann-Kendall test (denoted by a '*') for the Arctic Regions of the Arctic Ocean (AO), Nordic-Greenland Sea (NGS), Barents Sea (BS), Kara Sea (KS), Laptev Sea (LS), East Siberia Sea (ESS), Beaufort-Chukchi Sea (BCS), and the Baffin Bay (BB) from monthly time series of the North Atlantic oscillation (NAO), Pacific Decadal Oscillation (PDO), ocean area (Area), wind speed (U10), significant wave heights from the 3 hour model data (H_{sAvr} and H_{sP95}), and co-located model and altimeter data ($H_{sAvr,CoLoc}$, $H_{sAvr,ALT}$).

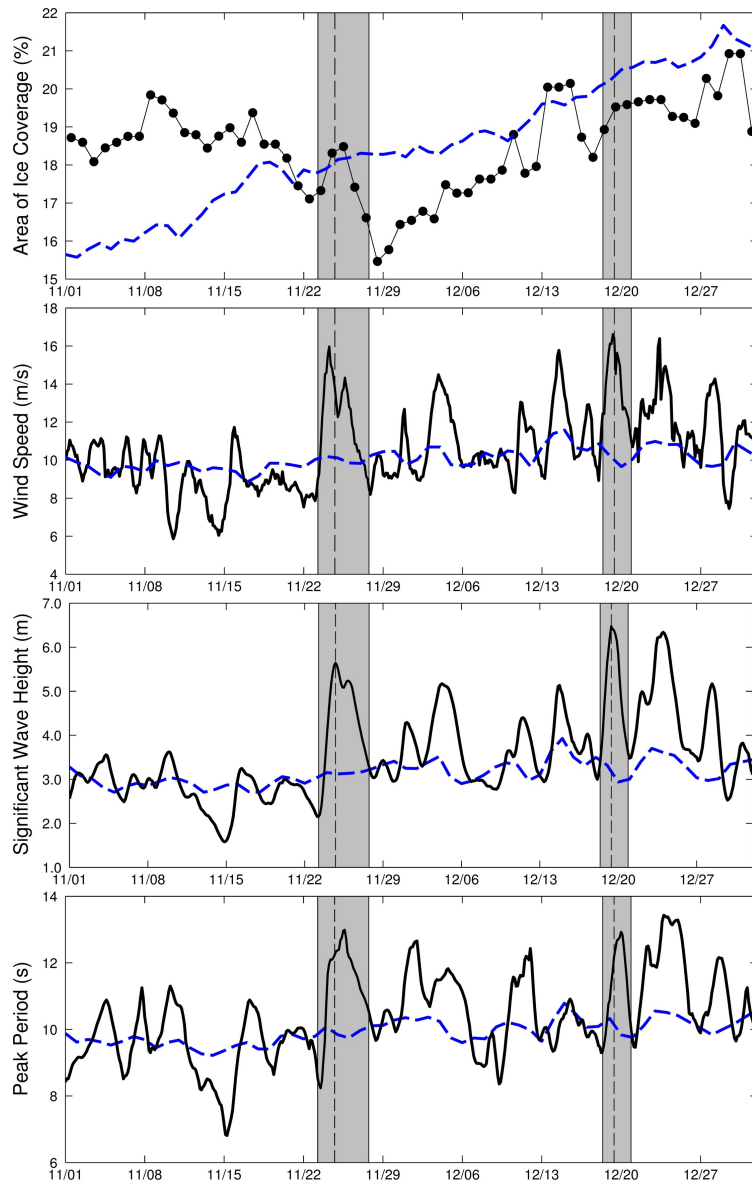


Figure 10. Area-averaged ice coverage, wind speed, significant wave height, and peak period in the Greenland Sea for November-December 1992 (solid line). The dashed line is the daily average from 1992-2014.

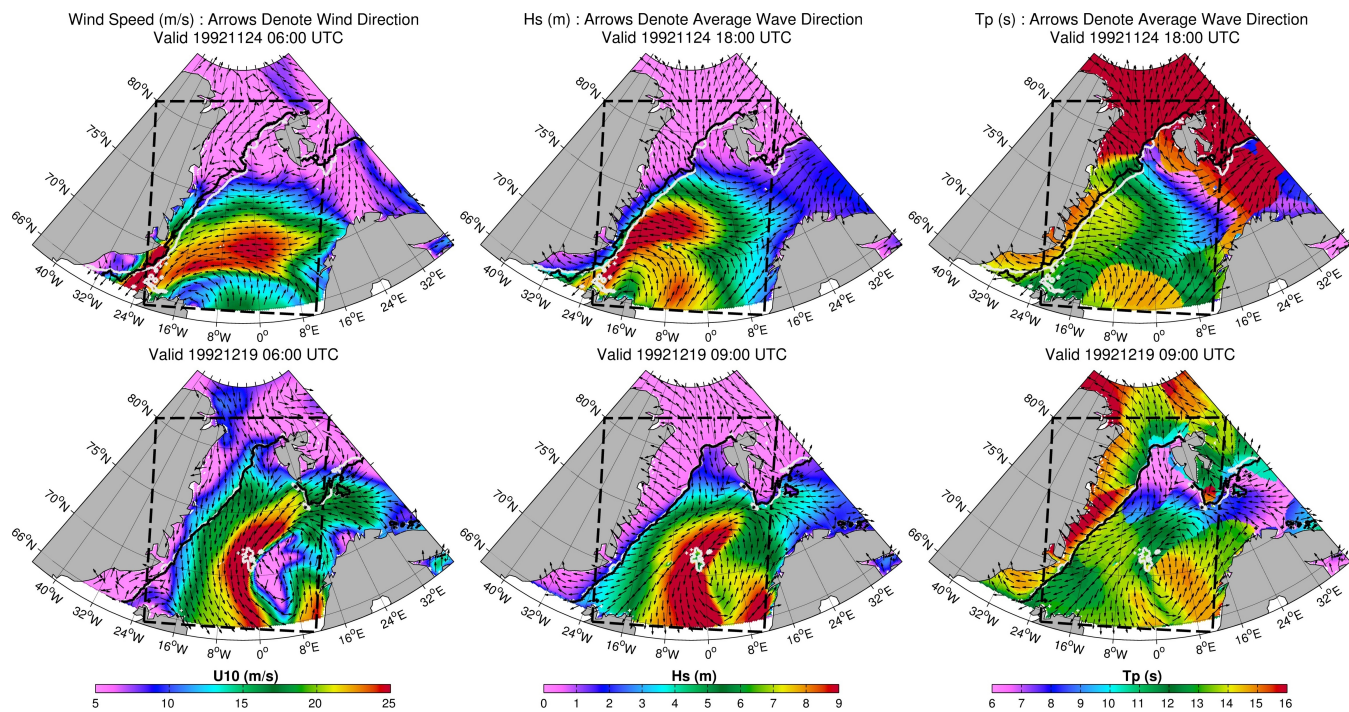


Figure 11. Wind speeds, significant wave heights, and peak periods for events in November and December 1992. The arrows denote the wind direction or average wave direction. The contour lines represent the 15% ice concentration before (white) and after (black) the event.

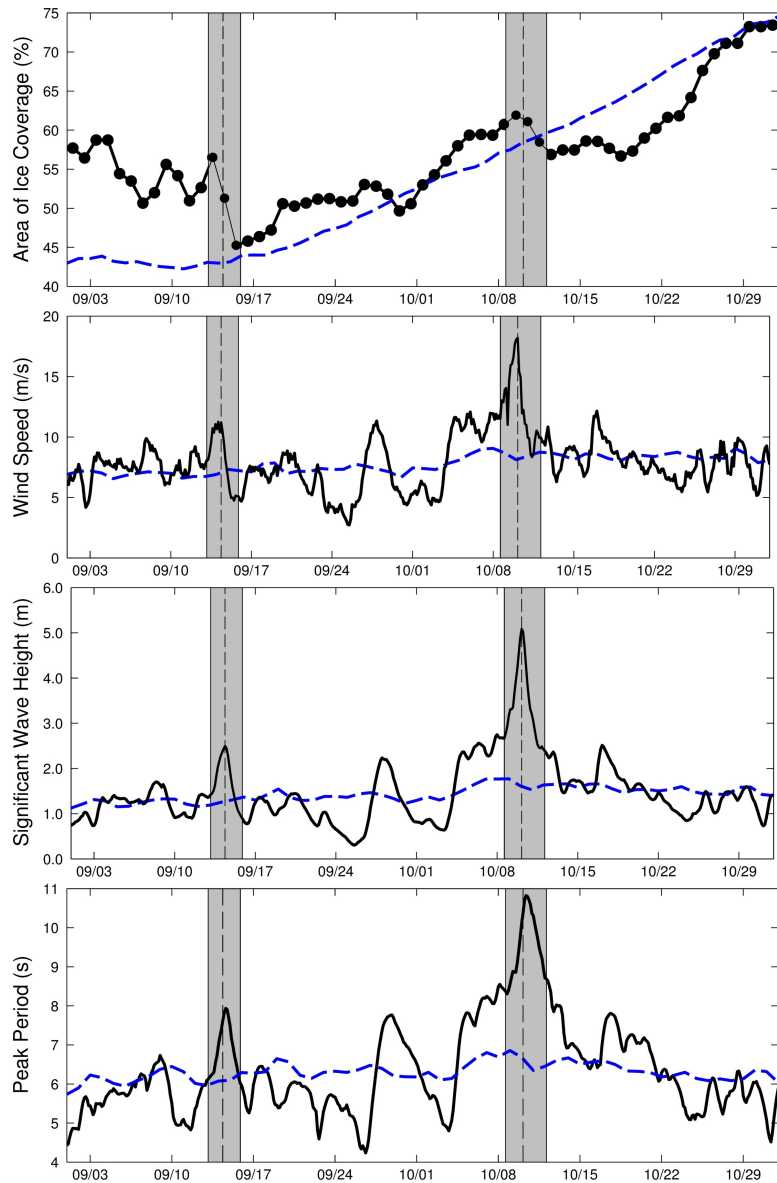


Figure 12. Area-averaged ice coverage, wind speed, significant wave height, and peak period in the Beaufort-Chukchi Sea for September-October 2006 (solid line). The dashed line is the daily average from 1992-2014.

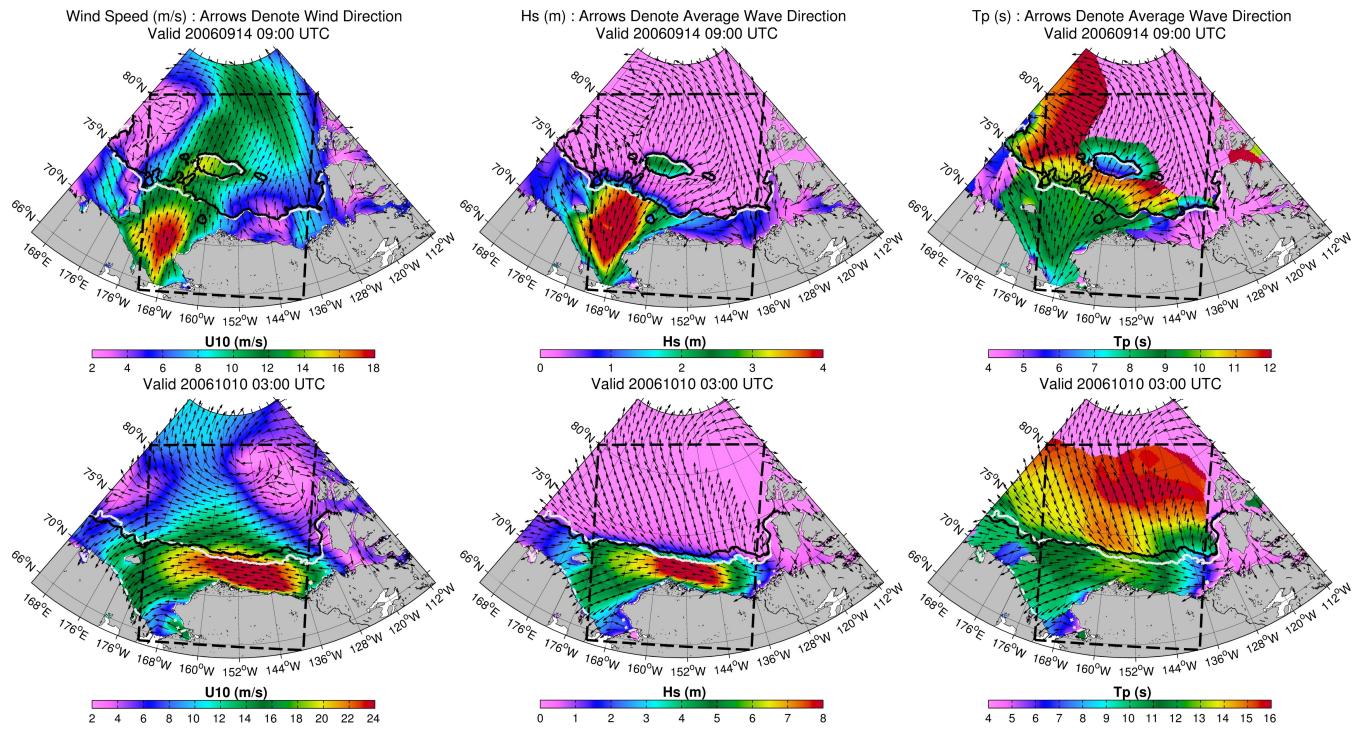


Figure 13. Wind speeds, significant wave heights, and peak periods for events in September and October 2006. The arrows denote the wind direction or average wave direction. The contour lines represent the 15% ice concentration before (white) and after (black) the event.

Table A1. H_s error metrics for select years in the Beaufort-Chukchi Sea using CFSR and ERAI in parentheses.

Buoy ID	Depth (m)	Years Valid (YY)	N	NBIAS (%)	RMSE (m)	SI (%)	R	NSTD (%)
All	-	12,13,14	7574	+8.44 (-3.14)	0.29 (0.25)	20.71 (20.05)	0.94 (0.94)	+1.42 (-5.55)
WMO48213	50.01	13	1700	+11.40 (+1.36)	0.31 (0.28)	25.99 (26.39)	0.91 (0.91)	+6.22 (+6.97)
WMO48214	36.13	12,13,14	3956	+7.79 (-3.52)	0.27 (0.24)	17.55 (16.43)	0.94 (0.95)	+0.79 (-9.06)
WMO48213	41.16	12	568	+5.66 (-3.67)	0.28 (0.23)	15.68 (13.57)	0.95 (0.95)	-11.66 (-10.30)
WMO48211	32.52	13	1350	+9.95 (-8.22)	0.30 (0.26)	30.72 (27.53)	0.87 (0.88)	+10.61 (-13.24)

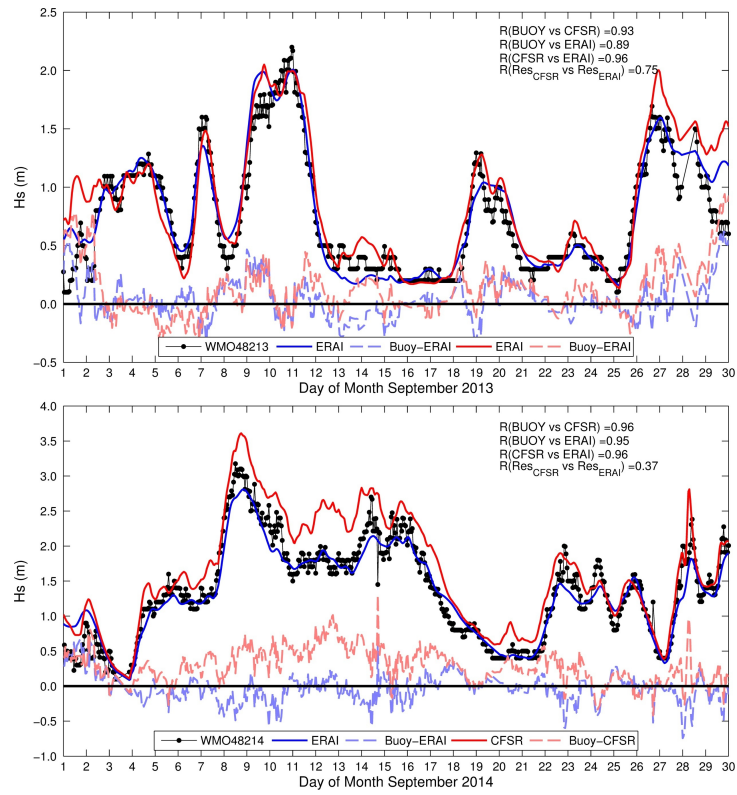


Figure A1. Buoy H_s time series for September 2013 (top) and 2014 (bottom). The solid red and blue lines denotes ERAI and CFSR. The dashed lines represent the residual (buoy-model).

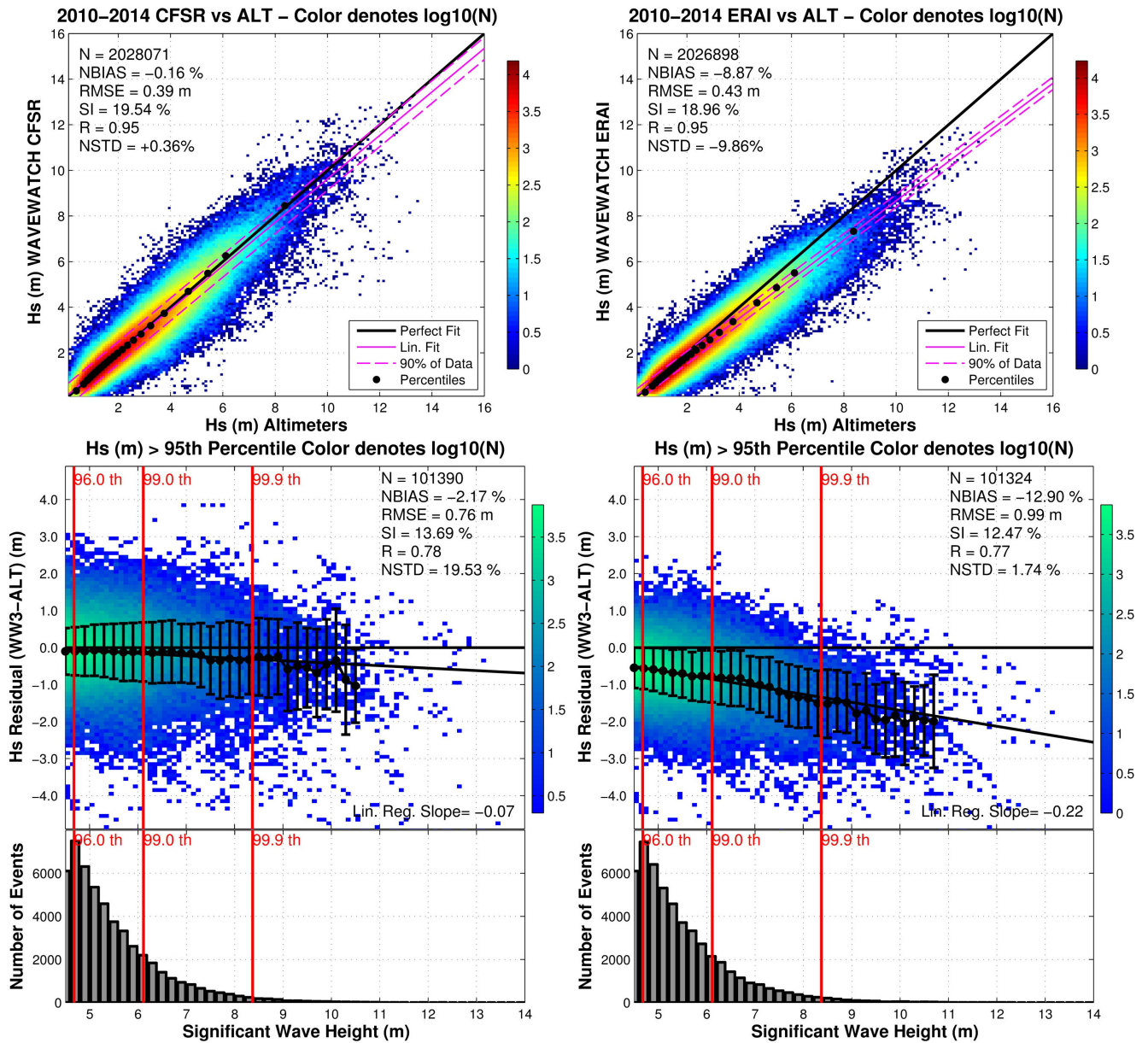


Figure A2. Significant wave height comparison from CFSR (left) and ERAI (right) versus co-located data from altimeters. The error dispersion of the models is presented in a scatterplot with the density given in a logarithmic scale (top panels). The upper percentiles are highlighted to show the differences (bottom panels).

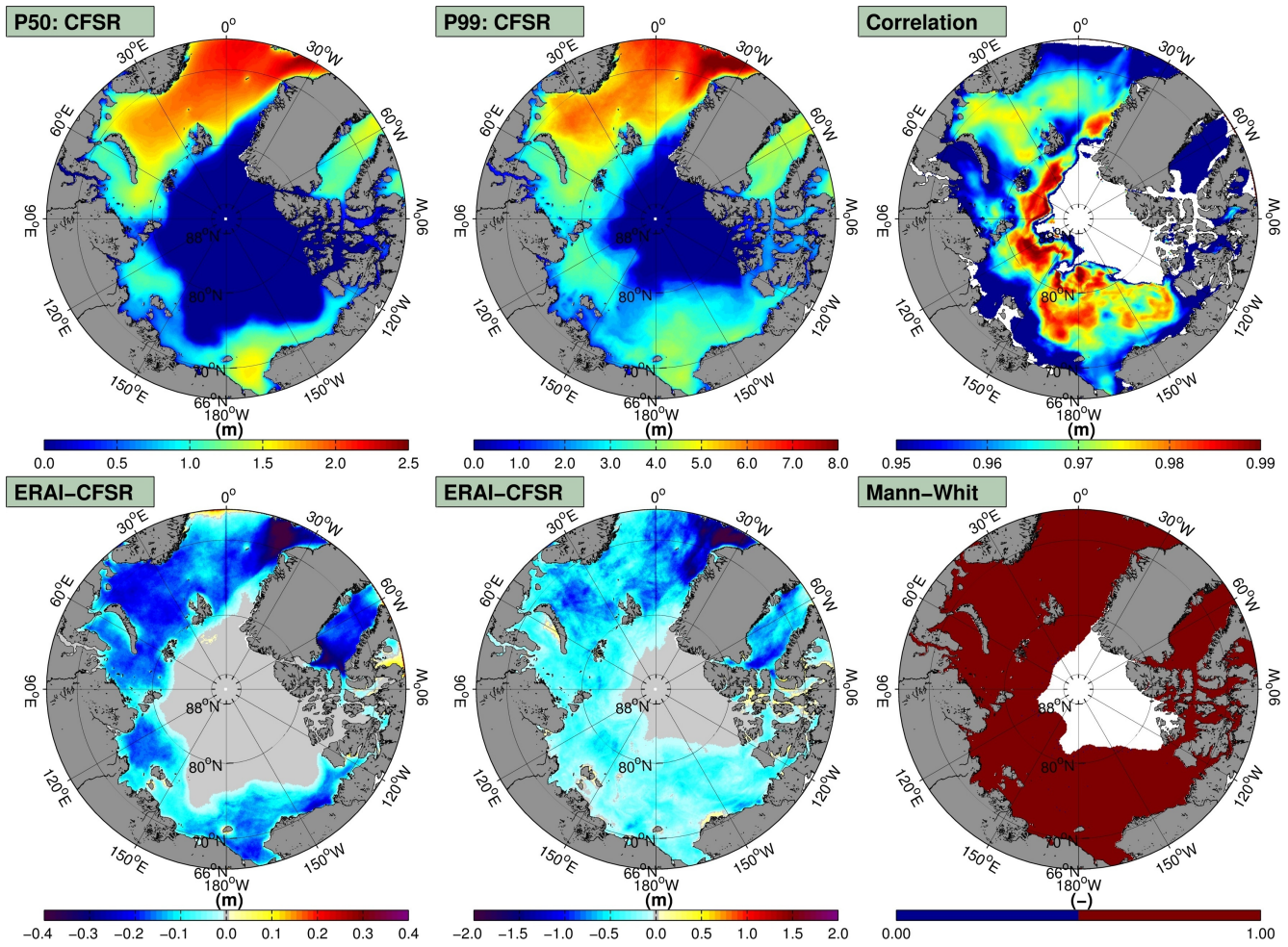


Figure A3. The 50th and 99th H_s CFSR percentiles (2010-2014) (top left and middle panels). ERAI-CFSR 50th and 99th percentiles are given in the bottom left and middle panels. The top right panel shows the correlation coefficients between ERAI and CFSR for a monthly averaged time series between CFSR and ERAI. The Mann-Whitney test is presented in the bottom right panel at the 99.9 % confidence limit.

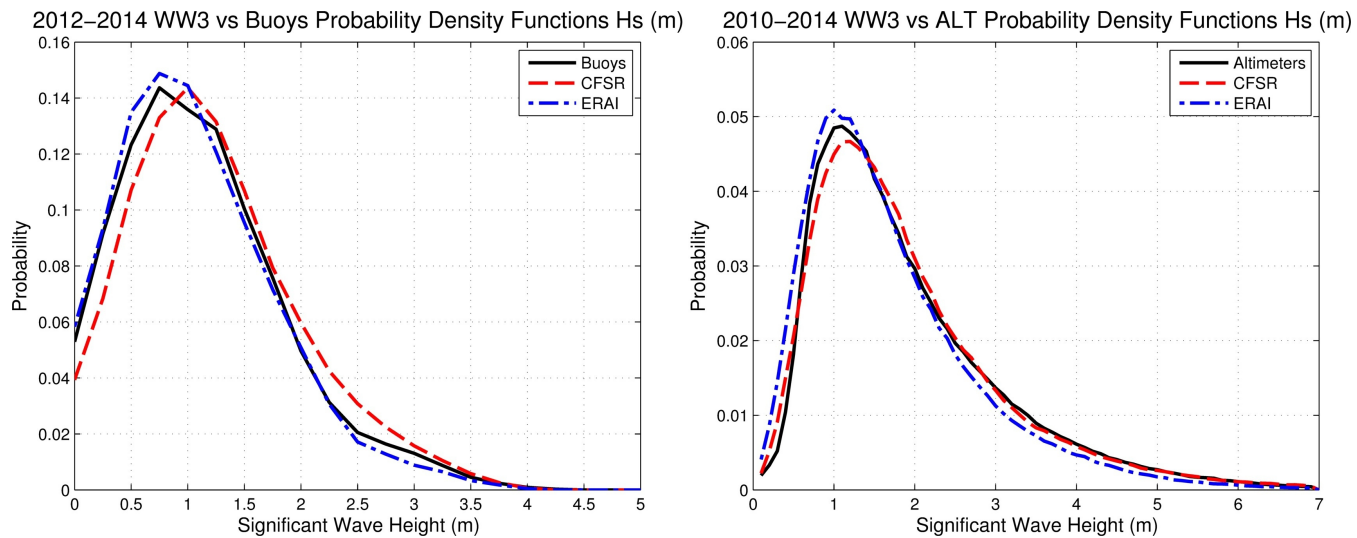


Figure A4. H_s probability distributions for CFSR and ERAI versus the buoys (left) and altimeters (right).

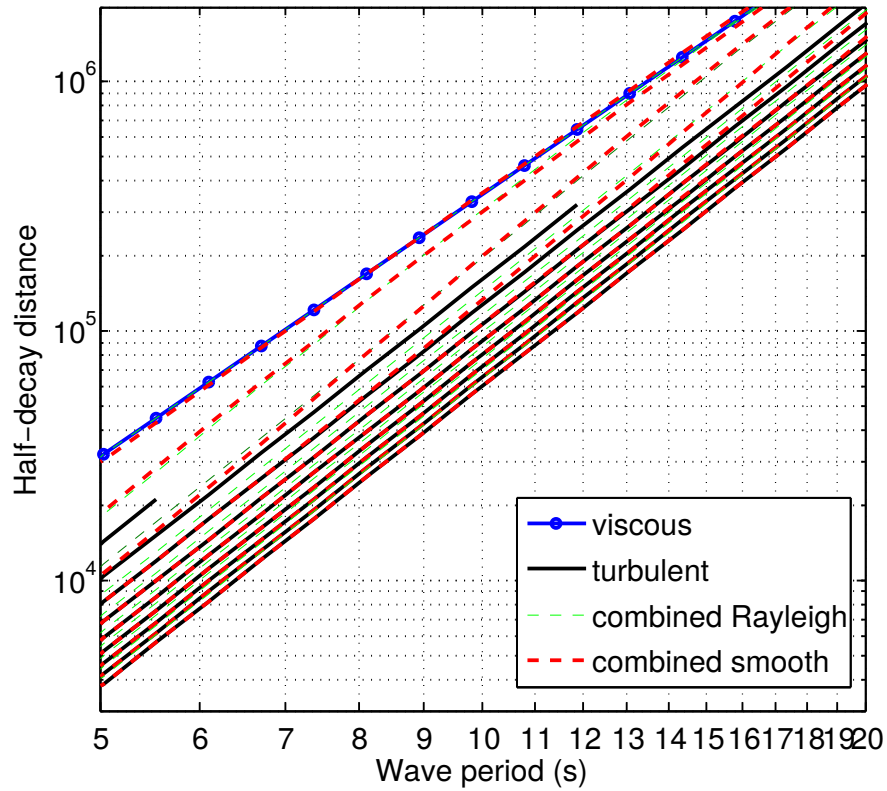


Figure B1. Half-decay distances as a function of the wave period T , and the significant wave height H_s . H_s is varied from 0.5 (upper curves) to 5 m (lower curves). The combination of viscous and turbulent expressions is made using either a Rayleigh distribution of wave height and computing the dissipation for each wave height in the distribution, or by a smooth linear combination of the viscous and turbulent terms adjusted to reproduce the Rayleigh result.

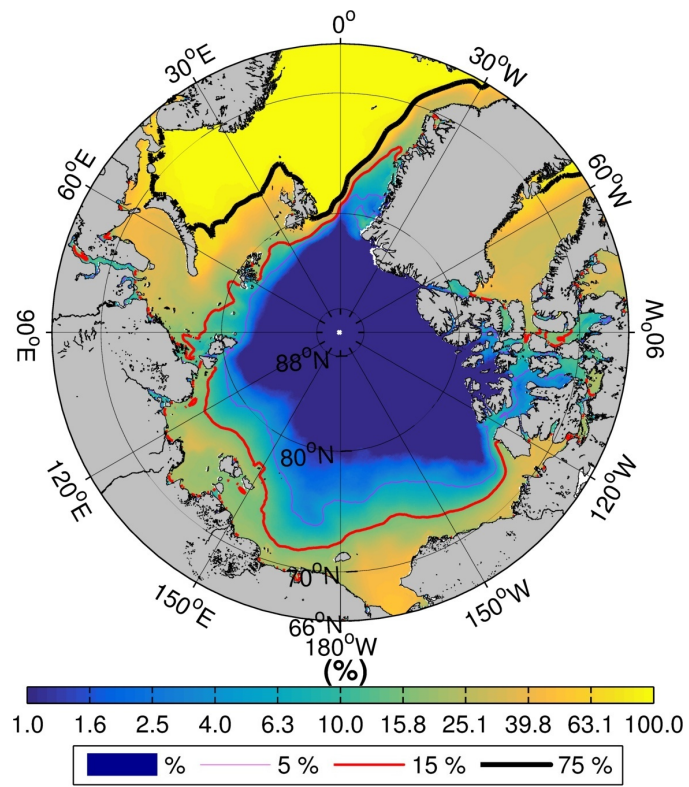


Figure C1. Percentage of ice-free time. Contours represent the 5 (thin purple line), 15 (medium red line), and 75 (thick black line).

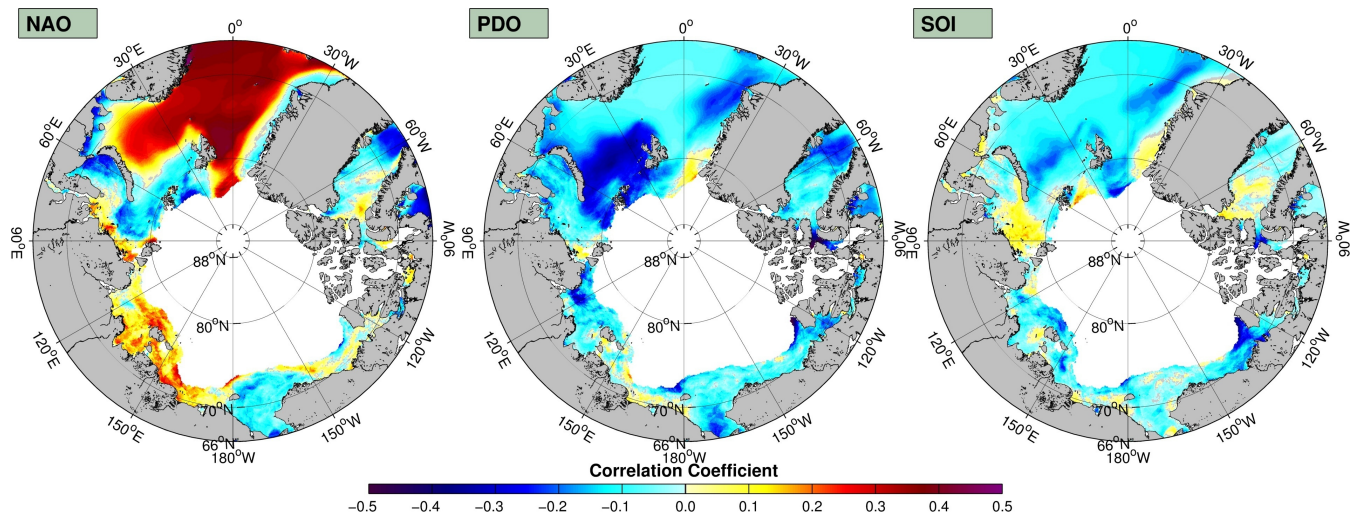


Figure D1. Correlation coefficients calculated from monthly time series of H_s of CFSR and the North Atlantic Oscillation (left) and Pacific Decadal Oscillation (right).