

1 **Evaluation of air-soil temperature relationships**  
2 **simulated by land surface models during winter across**  
3 **the permafrost region**

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5 **Wenli Wang<sup>1+</sup>, Annette Rinke<sup>1,2+</sup>, John C. Moore<sup>1</sup>, Duoying Ji<sup>1\*</sup>, Xuefeng Cui<sup>3</sup>,**  
6 **Shushi Peng<sup>4,17,18</sup>, David M. Lawrence<sup>5</sup>, A. David McGuire<sup>6</sup>, Eleanor J. Burke<sup>7</sup>,**  
7 **Xiaodong Chen<sup>21</sup>, Bertrand Decharme<sup>9</sup>, Charles Koven<sup>10</sup>, Andrew MacDougall<sup>11</sup>,**  
8 **Kazuyuki Saito<sup>12,15</sup>, Wenxin Zhang<sup>13, 19</sup>, Ramdane Alkama<sup>9,16</sup>, Theodore J. Bohn<sup>8</sup>,**  
9 **Philippe Ciais<sup>18</sup>, Christine Delire<sup>9</sup>, Isabelle Gouttevin<sup>4</sup>, Tomohiro Hajima<sup>12</sup>,**  
10 **Gerhard Krinner<sup>4,17</sup>, Dennis P. Lettenmaier<sup>8</sup>, Paul A. Miller<sup>13</sup>, Benjamin Smith<sup>13</sup>,**  
11 **Tetsuo Sueyoshi<sup>14</sup>, Artem B. Sherstiukov<sup>20</sup>**

12 <sup>1</sup>College of Global Change and Earth System Science, Beijing Normal University, Beijing,  
13 China

14 <sup>2</sup>Alfred Wegener Institute Helmholtz Centre for Polar and Marine Research (AWI), Potsdam,  
15 Germany

16 <sup>3</sup>School of System Science, Beijing Normal University, Beijing, China

17 <sup>4</sup>CNRS, LGGE, Grenoble, France

18 <sup>5</sup>National Center for Atmospheric Research, Boulder, USA

19 <sup>6</sup>U.S. Geological Survey, Alaska Cooperative Fish and Wildlife Research Unit, University of  
20 Alaska Fairbanks, Fairbanks, AK, USA

21 <sup>7</sup>Met Office Hadley Centre, Exeter, UK

22 <sup>8</sup>School of Earth and Space Exploration, Arizona State University, Tempe, AZ, USA

23 <sup>9</sup>GAME, Unit émixte de recherche CNRS/Meteo-France, Toulouse cedex, France

24 <sup>10</sup>Lawrence Berkeley National Laboratory, Berkeley, CA, USA

25 <sup>11</sup>School of Earth and Ocean Sciences, University of Victoria, Victoria, BC, Canada

- 1 <sup>12</sup>Japan Agency for Marine-Earth Science and Technology, Yokohama, Japan
- 2 <sup>13</sup>Department of Physical Geography and Ecosystem Science, Lund University, Lund, Sweden
- 3 <sup>14</sup>National Institute of Polar Research, Tachikawa, Japan
- 4 <sup>15</sup>University of Alaska Fairbanks, Fairbanks, AK, USA
- 5 <sup>16</sup>Institute for Environment and Sustainability (IES), Ispra, Italy
- 6 <sup>17</sup>Université Grenoble Alpes, LGGE, Grenoble, France
- 7 <sup>18</sup>LSCE, CEA/CNRS/UVSQ, Saclay, France
- 8 <sup>19</sup>Center for Permafrost (CENPERM), Department of Geosciences and Natural Resource  
9 Management, University of Copenhagen, Copenhagen, Denmark
- 10 <sup>20</sup>All-Russian Research Institute of Hydrometeorological Information-World Data Centre,  
11 Obninsk, Russia
- 12 <sup>21</sup>Department of Civil and Environmental Engineering, University of Washington, Seattle, WA,  
13 USA
- 14 + *these authors contributed equally to this work*
- 15 \* *correspondence to: Duoying Ji (duoyingji@bnu.edu.cn)*
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1 **Abstract.** A realistic simulation of snow cover and its thermal properties are important for  
2 accurate modelling of permafrost. We analyze simulated relationships between air and near-  
3 surface (20 cm) soil temperatures in the Northern Hemisphere permafrost region during winter,  
4 with a particular focus on snow insulation effects in nine land surface models and compare  
5 them with observations from 268 Russian stations. There are large across-model differences in  
6 the simulated differences between near-surface soil and air temperatures ( $\Delta T$ ) (3 to 14 °C), in  
7 the sensitivity of soil to air temperature (0.13 to 0.96 °C/°C), and in the relationship between  $\Delta T$   
8 and snow depth. The observed relationship between  $\Delta T$  and snow depth can be used as a metric  
9 to evaluate the effects of each model's representation of snow insulation, and hence guide  
10 improvements to the model's conceptual structure and process parameterizations. Models with  
11 better performance apply multi-layer snow schemes and consider complex snow processes.  
12 Some models show poor performance in representing snow insulation due to underestimation  
13 of snow depth and/or overestimation of snow conductivity. Generally, models identified as  
14 most acceptable with respect to snow insulation simulate reasonable areas of near-surface  
15 permafrost (13.19 to 15.77 million km<sup>2</sup>). However, there is not a simple relationship between  
16 the sophistication of the snow insulation in the acceptable models and the simulated area of  
17 Northern Hemisphere near-surface permafrost, because several other factors such as soil depth  
18 used in the models, the treatment of soil organic matter content, hydrology, and vegetation  
19 cover also affect the simulated permafrost distribution.

## 1 **1 Introduction**

2 Present-day permafrost simulations by global climate models are limited and future  
3 projections contain high, model-induced uncertainty (e.g., Slater and Lawrence, 2013; Koven  
4 et al., 2013). Most of the model biases and across-model differences in simulating permafrost  
5 area are due to inaccurate atmospheric simulation e.g. of air temperature and precipitation,  
6 deficient simulation of snow and soil temperature, and the coupling between atmosphere and  
7 land-surface. In winter, the snow insulation effect is a key process for the air-soil temperature  
8 coupling. Its strength depends on the snow depth, areal coverage, snow density and  
9 conductivity (see overview by Zhang, 2005). Many individual model studies have shown the  
10 strong impact of snow parameterizations on soil temperature simulations (e.g., Langer et al.,  
11 2013; Dutra et al., 2012; Gouttevin et al., 2012; Essery et al., 2013; Wang et al., 2013; Jafarov  
12 et al., 2014). Most importantly, these studies showed that the consideration of wet snow  
13 metamorphism and snow compaction, improved snow thermal conductivity and multi-layer  
14 snow schemes can improve the simulation of snow dynamics and soil temperature.  
15 Parameterizations that take into account snow compaction (e.g. related to overburden pressure,  
16 thermal metamorphism and liquid water) work better than simpler schemes such as an  
17 exponential increase of density with time (Dutra et al., 2010). The influence of snow thermal  
18 conductivity on soil temperature has been demonstrated by many model studies (e.g., Bartlett  
19 et al., 2006; Saha et al., 2006; Vavrus, 2007; Nicolsky et al., 2007; Dankers et al., 2011;  
20 Gouttevin et al., 2012). Winter soil temperature can change by up to 20 K simply by varying  
21 the snow thermal conductivity by 0.1-0.5 W m<sup>-1</sup> K<sup>-1</sup> (Cook et al., 2008). The snow insulation  
22 effect also plays an important role for the Arctic soil temperature response to climate change  
23 and therefore for future near-surface permafrost thawing and soil carbon vulnerability (e.g.,  
24 Schuur et al., 2008). Shallower snow can reduce soil warming while shorter snow season can  
25 enhance soil warming (Lawrence and Slater, 2010). The model skill in atmosphere-soil  
26 coupling with the concomitant snow cover in the Arctic is an important factor in the  
27 assessment of limitations and uncertainty of carbon mobility estimates (Schaefer et al., 2011).

28

29 The Snow Model Intercomparison Project (Snow MIP) (Essery et al., 2009) and the Project  
30 for Intercomparison of Land-Surface Parameterization Schemes (PILPS) Phase 2e (Slater et  
31 al., 2001) examined the snow simulations of an ensemble of land-surface schemes for the  
32 mid-latitudes. However, until now there has been no attempt to evaluate the air-soil  
33 temperature relationship in the Northern Hemisphere permafrost region and the detailed role

1 of snow depth therein across an ensemble of models. In such an investigation, a first suitable  
2 approach is the evaluation of stand-alone (off-line) land surface models (LSMs). The  
3 retrospective (1960-2009) simulations from the model integration group of the Permafrost  
4 Carbon Network ("PCN"; <http://www.permafrostcarbon.org>) provide an opportunity to  
5 evaluate an ensemble of nine state-of-the-art LSMs. Here, the LSMs are run with observation-  
6 based atmospheric forcing, meaning that snow depth is not influenced by biases in the  
7 atmospheric forcing in a coupled model set-up. The evaluation of the offline modeled air  
8 temperature - snow depth - near-surface soil temperature relationship in winter is therefore  
9 important for revealing a model's skill in representing the effects of snow insulation.

10

11 Most of the LSMs participating in PCN are the land-surface modules of Earth System Models  
12 (ESMs) participating in the Coupled Model Intercomparison Project (CMIP5; [http://cmip-  
13 pcmdi.llnl.gov/cmip5/](http://cmip-pcmdi.llnl.gov/cmip5/)) although in some cases different versions were used for PCN and  
14 CMIP5 simulations. Thus, the results we present can guide the corresponding evaluation of  
15 these ESMs, though analysis of coupled model results requires consideration of couplings  
16 between model components and is necessarily more complex.

17

18 The scope of the present study is to analyze the extent to which the ensemble of PCN models  
19 can reproduce the observed relationship between air and near-surface soil temperatures in the  
20 Northern Hemisphere permafrost region during winter, with a particular focus on the snow  
21 insulation effect. For the latter we analyze the impact of snow depth on the difference  
22 between near-surface soil and air temperatures. Our related key questions are: How well do  
23 the models represent the observed spatial pattern of the air-soil temperature difference in  
24 winter and its control by the snow depth? What is the range of the simulated air-soil  
25 temperature relationship across the model ensemble? To the extent possible, we try to relate  
26 the performance of the models to their snow schemes. With this aim in mind, a simultaneous  
27 analysis of simulated air and near-surface soil temperatures, and snow depth is presented and  
28 compared with those from a novel data set of Russian station observations. We used this data  
29 set because it has been compiled within PCN, and it is hard to find other station data sets  
30 which provide simultaneous observations of both air and soil temperatures as well as snow  
31 depth over a long period.

32

33 In Sect. 2, we describe the model simulations, the station observations used for evaluation,  
34 and the analysis methods. In Sect. 3, we present a detailed analysis of near-surface air

1 temperature - snow depth - soil temperature relationships in winter. In Sect. 4, we discuss the  
2 roles of atmospheric forcing and model processes. In Sect. 5, we investigate the relation of  
3 simulated snow insulation and permafrost area. We summarize our findings and present  
4 conclusions in Sect. 6.

## 5 **2 Data and Analysis**

### 6 **2.1 Models**

7 We use data from nine LSMs participating in the PCN, including CLM4.5, CoLM, ISBA,  
8 JULES, LPJ-GUESS, MIROC-ESM, ORCHIDEE, UVic, and UW-VIC. For detailed  
9 information about the models and simulations we refer to Rawlins et al. (2015), Peng et al.  
10 (2015), and McGuire et al. (2016). The total soil depth for soil thermal calculations ranges  
11 from 3 m (divided into 8 layers) in LPJ-GUESS to 250 m (divided into 14 layers) in UVic.  
12 The soil physical properties differ among the models as well, and four of them (CLM4.5,  
13 ISBA, UVic, UW-VIC) include organic horizons. Three models (ISBA, LPJ-GUESS, UW-  
14 VIC) do not archive soil sub-grid results and provide only area-weighted ground temperature  
15 (i.e. averaged over wetlands and vegetated areas, and in some cases lake fractions).

16

17 Table 1 lists relevant snow model details. One model (UVic) uses an implicit snow scheme  
18 which replaces the upper soil column with snow-like properties, i.e. the near-surface soil layer  
19 takes the temperature of the air-snow interface. The other models use separate snow layers on  
20 top of the ground, either a single bucket (LPJ-GUESS, UW-VIC) or multi-layer snow  
21 schemes (CLM4.5, CoLM, ISBA, JULES, MIROC-ESM, ORCHIDEE). Snow insulation is  
22 explicitly considered in all models; increasing snow depth increases the insulation effect.  
23 Most models consider the effect of varying snow density on insulation (Table 1). This is  
24 parameterized by a snow conductivity-density relationship. Some of the models (LPJ-GUESS,  
25 MIROC-ESM, ORCHIDEE, UVic) use a fixed snow density, consider only dry snow and no  
26 compaction effects, while others represent liquid water in snow and different processes for  
27 snow densification such as mechanical compaction, and thermal and destructive  
28 metamorphism (Table 1).

29

30 The simulations were generally run for the period 1960-2009, although some simulations  
31 were stopped a few years earlier. Each model team was free to choose appropriate driving  
32 data sets for weather and climate, atmospheric CO<sub>2</sub>, nitrogen deposition, disturbance, land  
33 cover, soil texture, etc. However, the climate forcing data (surface pressure, surface incident

1 longwave and shortwave radiation, near-surface air temperature, wind and specific humidity,  
2 rain and snowfall rates) are from gridded observational datasets (e.g. CRUNCEP, WATCH)  
3 (SI Table 1). The exception is MIROC-ESM, which was run as a fully-coupled model, forced  
4 by its own simulated climate. Mean annual air temperature simulated by MIROC-ESM for the  
5 permafrost region was within the range (-7.2 to 2.2 °C) of the other forcing data sets used in  
6 this study and the trend in near-surface air temperature (+0.03 °C yr<sup>-1</sup>) was the same for all  
7 forcing data sets. However, MIROC-ESM had both the highest annual precipitation (range  
8 433 to 686 mm) and the highest trend in annual precipitation (range -2.1 to +0.8 mm yr<sup>-1</sup>)  
9 among the forcing data sets.

10

11 The spatial domain of interest is the Northern Hemisphere permafrost land regions. Our  
12 analysis is based on the 0.5° × 0.5° resolution gridded driving and modeled data for winter  
13 (DJF) 1980-2000.

## 14 **2.2 Observations**

15 A quality-checked data set of monthly near-surface air temperature, 20 cm soil temperatures  
16 and snow depth from Russian meteorological stations have been provided by the All-Russian  
17 Research Institute of Hydrometeorological Information-World Data Centre (RIHMI-WDC;  
18 <http://meteo.ru/>). 579 stations report snow depth and 268 stations provide simultaneous data  
19 of all three variables. Ground surface temperature data are not available. A detailed  
20 description of dataset preparation is provided in Sherstiukov (2012a). Observing conditions at  
21 the Russian stations in all meteorological elements correspond with WMO standards. The  
22 observations presented have been included in other data sets, such as the Global Summary of  
23 the Day (GSOD) data set, HadSRUT4 etc., and are widely used in climate researches (e.g.  
24 Anisimov and Sherstiukov, 2016; Decharme et al. 2016; Park et al., 2014; Brun et al., 2013;  
25 Pavlov and Malkova, 2009; PaiMazumder et al., 2008). The soil temperature dataset was run  
26 through four independent methods of quality control (Sherstiukov, 2012b). However, some  
27 soil temperature observations could be disturbed by grass cutting during the warm season and  
28 the removal of organic materials, mainly at agricultural sites, which may affect the trend in  
29 warm season (Park et al., 2014), but this does not affect our results about the air - upper soil  
30 temperature relationship in winter.

31

32 Precipitation station data have been compiled from GSOD data set produced by the National  
33 Climatic Data Center (NCDC; <http://www.ncdc.noaa.gov>) for all of the stations that are  
34 included in the RIHMI-WDC data set. In addition to the station's ground snow depth

1 observations we use gridded snow water equivalent (SWE) data from the GlobSnow-2  
2 product (<http://www.globsnow.info/swe/>), which has been produced using a combination of  
3 passive microwave radiometer and ground-based weather station data (Takala et al., 2011).  
4 Orographic complexity, vegetation cover, and snow state (e.g. wet snow) affect the accuracy  
5 of this product. When compared with ground measurements in Eurasia, the GlobSnow  
6 product shows root-mean-square error (RMSE) values of 30 to 40 mm for SWE values below  
7 150 mm, with retrieval uncertainty increases when SWE is above this threshold (e.g., Takala  
8 et al., 2011; Muskett, 2012; Klehmet et al., 2013). To compare with station data, snow depth  
9 was then calculated from SWE using a snow density of  $250 \text{ kg m}^{-3}$ , which is a median  
10 observed value in winter. Zhong et al. (2013) report snow density values of  $180\text{-}250 \text{ kg m}^{-3}$   
11 for tundra/taiga and  $156\text{-}193 \text{ kg m}^{-3}$  for alpine snow classes. Woo et al. (1983) report snow  
12 density values of  $250\text{-}400 \text{ kg m}^{-3}$  for various terrain types. Choice of density does not  
13 materially affect the results.

14

15 All these data have been compiled for winter (DJF) and the same time period of 1980-2000.  
16 This period was chosen because soil temperature data are sparse before 1980 and the JULES  
17 simulation stopped in the year 2000. Comparison of the simulations with the station data was  
18 done using a weighted bilinear interpolation from the 4 surrounding model grid points onto  
19 the station locations.

### 20 **2.3 Analysis Methods**

21 Our analysis is focused on the common winter (DJF) condition, although snow can begin in  
22 November or even earlier and end at the beginning of May, but we checked that a different  
23 winter definition (NDJFMA) does not qualitatively change any of the inter-variables  
24 relationships found. The focus in our study is on the evaluation of the simulated air-soil  
25 temperature relationships, modulated by snow depth. For this, we analyze the winter mean as  
26 well as the interannual variability (expressed as the standard deviation) of four key variables:  
27 near-surface air temperature ( $T_{air}$ ), near-surface soil temperature (soil temperature at 20 cm  
28 depth;  $T_{soil}$ ), snow depth ( $d_{snow}$ ), and the difference between  $T_{soil}$  and  $T_{air}$ . This difference  $\Delta T$   
29 ( $\Delta T = T_{soil} - T_{air}$ ) is called the air-soil temperature difference. By limiting our analysis to the  
30 winter only, we are able to attribute the across-model and model-to-observation differences in  
31  $\Delta T$  primarily to snow insulation effects. In winter, the effects of other factors (e.g. soil  
32 moisture, texture) on  $\Delta T$  are much smaller than that of snow. Ground surface temperatures  
33 were not recorded in the Russian data set, but 20 cm soil depth temperatures were. To test  
34 how sensitive are results using 20 cm temperatures instead of ground surface, we also



1 analyzed model simulated temperature differences between ground surface and  $T_{air}$ , and found  
 2 no qualitative differences, hence justifying use of 20 cm observations.

3  
 4 We use the Pearson product-moment correlation coefficient and its significance (von Storch  
 5 and Zwiers, 1999) to investigate the co-variability between  $\Delta T$  and  $d_{snow}$  as well as between  
 6  $T_{soil}$  and its two forcing factors ( $T_{air}$  and  $d_{snow}$ ). Before we compute the correlations we  
 7 detrended the data by removing a least squares regression line. The calculated correlation  
 8 maps (i.e. spatial distributions of correlation coefficients) based on model and observation  
 9 data, allow the comparison of the spatial patterns of these relationships.

10  
 11 To further examine the functional behavior between the key variables, we present relation  
 12 diagrams between pairs of variables (e.g. variation of  $\Delta T$  with change of  $d_{snow}$ ). To evaluate  
 13 the performance of the individual LSMs we calculate the RMSE between the observed and  
 14 modeled relationships. We illustrate the dependence of  $\Delta T$  vs.  $d_{snow}$  and  $T_{soil}$  vs.  $d_{snow}$  relations  
 15 for three  $T_{air}$  ranges. To distinguish dry snow pack regimes from those where sporadic melt  
 16 may occur even in winter, we split  $T_{air}$  into three regimes: the coldest conditions ( $T_{air} \leq -25$  °C,  
 17 representing 24% of observations), the intermediate temperature conditions ( $-25$  °C  $< T_{air} \leq -$   
 18  $15$  °C, representing 42% of the observations), and the warmest conditions ( $-15$  °C  $< T_{air} \leq -5$  °C,  
 19 representing 34% of observations). Hence it is an indirect separation of temperature-gradient  
 20 metamorphosis regimes and density-gradient metamorphosis snow pack regimes.  
 21 Additionally, we present conditional probability density functions (PDFs) of  $\Delta T$  for different  
 22 snow depth and air temperature regimes and compare the simulated PDFs with those obtained  
 23 from station observations.

## 24 **3 Results**

### 25 **3.1 Relationship between air – soil temperature difference and snow depth**

26 The air-soil temperature difference ( $\Delta T$ ) - snow depth ( $d_{snow}$ ) relationship in winter (Fig. 1)  
 27 shows in the Russian station observations an increase of  $\Delta T$  with increasing  $d_{snow}$ . The data  
 28 exhibit a linear relation between  $\Delta T$  and  $d_{snow}$  at relatively shallow snow depths with a trend  
 29 towards asymptotic behavior at thicker snow, which is in agreement with earlier findings  
 30 (Zhang, 2005; Ge and Gong, 2010; Morse et al., 2011). There is also significant scatter in the  
 31 observation-based relationship indicated by the inter-quartile range in  $\Delta T$  of 1.5-8.5 °C at  
 32 specific snow depth and air temperature regimes, likely resulting from complicating factors

1 such as snow pack density and moisture content variability over the winter, as well as  
 2 observational errors.

3

4 All models reproduce the observed relationship, i.e. increasing  $\Delta T$  with increasing  $d_{snow}$ .  
 5 However, Fig. 1 also shows a wide across-model spread in the simulated relationships, and  
 6 that some of the models are not consistent with the behavior in the observations. Only three  
 7 models (CLM4.5, CoLM, JULES) reproduce reasonably well the observed  $\Delta T$  vs.  $d_{snow}$   
 8 relationship using a benchmark of RMSE  $< 5$  °C for all temperature regimes. In particular  
 9 LPJ-GUESS, ORCHIDEE, UVic, UW-VIC, MIROC-ESM show large RMSE for cold air  
 10 conditions. ISBA stands out overall, with a RMSE of 7-18 °C in all temperature ranges. We  
 11 conclude that these models do not adequately represent the features of the observed  $\Delta T$  vs.  
 12  $d_{snow}$  relationship. The scatter in the modeled relationships, indicated by the inter-quartile  
 13 range, is of the same order as in the observations, except for ISBA and MIROC-ESM which  
 14 produce noticeably smaller variations.

15

16 Figure 2a views the  $\Delta T$  vs.  $d_{snow}$  relationship in a complementary form using the PDFs of  $\Delta T$   
 17 for different snow depth regimes. This analysis allows a detailed evaluation of the snow  
 18 regime-dependent  $\Delta T$  separation by quantifying and comparing the modal value and width of  
 19 the different conditional PDFs. Since the Russian snow depths are clearly non-Normal in  
 20 distribution (SI Fig. 1, with a median  $d_{snow}$  of 30 cm), we divide the data into "shallow" ( $d_{snow}$   
 21  $\leq 20$  cm) and "thick" ( $d_{snow} \geq 45$ cm) regimes to separate two snow depth regimes. The modal  
 22 value of the station-based  $\Delta T$  PDF is 5 °C for "shallow" snow and 14 °C for "thick" snow -  
 23 that is thick snow is a better insulator than thin snow. Based on the  $\Delta T$  PDFs, five models  
 24 (CoLM, CLM4.5, JULES, ORCHIDEE, MIROC-ESM) successfully separate the  $\Delta T$  regimes  
 25 under different snow depth conditions. Their simulated  $\Delta T$  PDFs have a smaller modal value  
 26 for thin snow than for thick snow, like in the observations. The other models clearly fail in  
 27 separating the  $\Delta T$  PDFs for the two different snow depth regimes. However, even for the five  
 28 successful models, both the shapes and the modal values of the simulated PDFs differ from  
 29 the observed PDF.

30

31 Both Figs. 1 and 2b further indicate that  $\Delta T$  are related to  $T_{air}$  conditions. This is expected due  
 32 to the effects of  $T_{air}$  on snow pack properties, particularly its density and moisture content that  
 33 affect the thermal conductivity of the snow. For example, the density of fresh fallen snow  
 34 tends to be much lower under cold  $T_{air}$  than warm (Anderson, 1976), leading to increased

1 insulation (larger  $\Delta T$ ). Snow densification is also a function of  $T_{air}$ , for example, depth hoar  
 2 metamorphosis of the snow pack, which produces more insulation (loosely packed depth-hoar  
 3 crystals have very low thermal conductivity), is promoted by strong thermal gradients in the  
 4 snow pack, and is typical of continental climates (e.g., Zhang et al., 1996). Therefore, we can  
 5 expect that the same thickness of snow in colder climates will provide greater insulation than  
 6 it would in warmer climates.

7

8 Our analysis of observations (Figs. 1 and 2b) confirms i) a larger  $\Delta T$  for colder  $T_{air}$  than for  
 9 warmer  $T_{air}$  (for a given snow depth), ii) a greater sensitivity of  $\Delta T$  to changes in  $d_{snow}$  in  
 10 colder  $T_{air}$  (Fig. 1), and iii) larger modal value of the  $\Delta T$  PDF for colder  $T_{air}$  than for warmer  
 11  $T_{air}$  (21 °C for  $T_{air} \leq -25$  °C and 9 °C for  $-15$  °C <  $T_{air} \leq -5$  °C; Fig. 2b). These effects are  
 12 consistent with colder climates having lower density snow packs, and the differences are in  
 13 line with measurements of snow density variability (Zhong et al., 2013). Additionally, both  
 14 the inter-quartile range in Fig. 1 and the width of the PDFs in Fig. 2b become larger as  $T_{air}$   
 15 cool. This may be related to the formation of depth hoar, which is a very good insulator and  
 16 its varying presence in the snow pack decouples  $\Delta T$  from  $d_{snow}$ . Cold, thin snow packs tend to  
 17 contain much more low density depth hoar than warmer snow packs (e.g., Zhang et al., 1996;  
 18 Singh et al., 2011). Continental regions have large annual temperature cycles, with greater  
 19 interannual variability and thinner snow packs, than maritime ones. This variability leads to  
 20 greater scatter and greater sensitivity of the  $\Delta T$  vs.  $d_{snow}$  relationship in the cold winter regions.  
 21 An additional cause of scatter is that the density of fresh-fallen snow decreases with the  
 22 decrease of temperature. Accordingly, we find in the cold  $T_{air}$  regime ( $T_{air} \leq -25$  °C) a larger  
 23  $\Delta T$  in early winter (November-December) when the snow pack is composed of thin, low  
 24 density fresh snow (and depth hoar) than in late winter (January-February) (SI Fig. 2). Under  
 25 warm conditions ( $-15$  °C <  $T_{air} \leq -5$  °C) such a separation is not observed.

26

27 If we evaluate the models with respect to this observed impact of  $T_{air}$  on the  $\Delta T$  vs.  $d_{snow}$   
 28 relationship, we demonstrate that some models (CLM4.5, CoLM, JULES) are better able to  
 29 replicate the effect than others (LPJ-GUESS, MIROC-ESM, ORCHIDEE, UW-VIC) (Fig. 1).  
 30 The latter do not fully replicate the larger  $\Delta T$  under cold  $T_{air}$  conditions. CLM4.5, CoLM and  
 31 JULES capture a larger  $\Delta T$  for colder  $T_{air}$  for a given  $d_{snow}$  in agreement with the observations.  
 32 However, for shallow snow JULES simulates an increase of  $\Delta T$  with increasing  $d_{snow}$  for all  
 33 temperature ranges that is twice as large as observations. Two models (ISBA, UVic) clearly  
 34 fail in this evaluation. Poor model performance in reflecting  $T_{air}$  influence on the  $\Delta T$  vs.  $d_{snow}$

1 also manifests itself in regime separation of the PDFs (Fig. 2b). Some models do not separate  
 2 the  $\Delta T$  regimes under different  $T_{air}$  conditions well or at all (ISBA, LPJ-GUESS, MIROC-  
 3 ESM, UVic), while others cannot capture the observed cold temperature regime features (i.e.,  
 4 too broad PDFs and shifts towards smaller modal values; ORCHIDEE, UW-VIC). The three  
 5 models with reasonable inter-variable relations (CLM4.5, CoLM, JULES) also capture the  
 6 regime separation in the PDFs. These three models as well as LPJ-GUESS and ORCHIDEE  
 7 also represent the observed greater insulation of early winter snow packs under cold  
 8 conditions (SI Fig. 2).

9

10 The maps of the  $\Delta T$  vs.  $d_{snow}$  correlations in winter (Fig. 3) demonstrates a pronounced spatial  
 11 variability in the  $\Delta T$  vs.  $d_{snow}$  relationship. Highest positive correlation occurs in the region of  
 12 the East Siberian Plain and Siberian High Lands. In other regions, namely in Scandinavia,  
 13 West Russian Arctic, West and Central Siberian Plains, the correlation is much weaker and  
 14 often not statistically significant. These regions have snow (Sect. 4.1.2) influenced by North  
 15 Atlantic cyclonic activity which brings relatively warm moist air and heavy precipitation in  
 16 winter (and a positive correlation between  $d_{snow}$  and  $T_{air}$ ), leading to relatively small mean  $\Delta T$ .

17

18 Some models (CLM4.5, CoLM, ORCHIDEE, UW-VIC) show a reasonable spatial pattern of  
 19 correlation coefficient ( $r \geq 0.4$ ) comparing to that of the observations, while the others do not  
 20 (Fig. 3). Obvious outliers are the LPJ-GUESS and UVic models, which do not reproduce the  
 21 observed pattern of correlation. UVic calculates a reverse spatial pattern comparing to that of  
 22 the observations (e.g. significant positive correlation in West Siberian Plain and Central  
 23 Siberian Highlands). LPJ-GUESS produces very few statistically significant correlations.

### 24 3.2 Variability of soil temperature with air temperature and snow depth

25 Next we assess whether or not the models can correctly reproduce the interannual near-  
 26 surface soil temperature ( $T_{soil}$ ) variability in relation to snow depth ( $d_{snow}$ ) and near-surface air  
 27 temperature ( $T_{air}$ ) variability. Previous studies have noted that the strength of relationship  
 28 between  $T_{soil}$  and  $T_{air}$  is modulated by  $d_{snow}$  and the snow insulation effect increases only up to  
 29 a limiting depth beyond which extra snow makes little difference to soil temperatures (Smith  
 30 and Riseborough, 2002; Sokratov and Barry, 2002; Zhang, 2005; Lawrence and Slater, 2010).  
 31 Zhang (2005) reported that the limiting snow depth is approximately 40 cm.

32

33 To inspect the difference of the insulation capacity for shallow and thick snow, we investigate  
 34 the  $T_{soil}$  vs.  $T_{air}$  relationship under shallow ( $d_{snow} \leq 20$  cm) and thick ( $d_{snow} \geq 45$  cm) snow

1 conditions. Our Russian observation analysis (Fig. 4, Table 2) indicate a three times higher  
 2 regression slope between  $T_{soil}$  and  $T_{air}$  ( $0.62\text{ }^{\circ}\text{C}/^{\circ}\text{C}$ ,  $R^2=0.8$ ) under shallow snow pack than  
 3 thicker snow conditions ( $0.21\text{ }^{\circ}\text{C}/^{\circ}\text{C}$ ,  $R^2=0.4$ ). This is consistent with observations that the  
 4 mean freezing n-factor (the ratio of freezing degree days at the ground surface to air freezing  
 5 degree days) is high at sites where the snow cover is thin or absent, and low at sites where the  
 6 snow cover is thick (e.g., for Yukon Territory in Canada; Karunaratne and Burn, 2003).

7

8 Figure 4 clearly shows that some models (CoLM, CLM45, JULES) can well capture this  
 9 difference. Their regression slopes for thick and thin snow are well separated and in  
 10 agreement with those from the observed relationship (Table 2). The RMSE of their modeled  
 11  $T_{soil}$  vs.  $T_{air}$  relationships from observations is smaller than  $4\text{ }^{\circ}\text{C}$ . These models better  
 12 reproduce the observed  $\Delta T$  vs.  $d_{snow}$  relationship. Other models (LPJ-GUESS, MIROC-ESM,  
 13 ORCHIDEE) do not reproduce the much greater regression slope between  $T_{soil}$  vs.  $T_{air}$  for  
 14 shallow snow than for thick snow as the observations show. They also produce a regression  
 15 slope for thick snow more than twice as large as observations. Two models (ISBA, UVic) do  
 16 not show any sensitivity in the  $T_{soil}$  vs.  $T_{air}$  relation to snow conditions (Fig.4, Table 2).  
 17 Another measure quantitatively confirms the same models behavior: The observed average  
 18  $d_{snow}$  in the shallow snow regime is  $13.7\text{ cm}$  and that for the thick snow regime is  $58.5\text{ cm}$ , so  
 19 we would expect, if near-surface  $T_{air}$  and conductivities were equal in both snow depth  
 20 classes, a ratio between the slopes for shallow and thick snow would be  $4.3$ . CLM4.5, CoLM,  
 21 and JULES reproduce this observed variation in the  $T_{soil}$  vs.  $T_{air}$  relation better than others  
 22 (Table 2). JULES and CoLM indicate a factor of  $4$  change, while CLM4.5 indicates a factor  
 23 of  $2$  change. Other models (LPJ-GUESS, MIROC-ESM, ORCHIDEE) underestimate the  
 24 increase of the regression slope for decreasing snow depth; they simulate only a factor  
 25 change of about  $1.5$ . The two models with unrealistic  $\Delta T$  vs.  $d_{snow}$  relationships (ISBA, UVic)  
 26 also fail in this evaluation of their  $T_{soil}$  vs.  $T_{air}$  relationship. They simulate a too strong  
 27 sensitivity of  $T_{soil}$  to  $T_{air}$  (regression slopes larger than  $0.9\text{ }^{\circ}\text{C}/^{\circ}\text{C}$ ,  $R^2>0.7$ ; Table 2) that are  
 28 almost completely independent of the snow depth regimes, particularly in ISBA, which is not  
 29 consistent with observations. These models' spatial correlation patterns between  $T_{soil}$  and  $T_{air}$   
 30 also differ greatly from the observations and the other models (SI Fig. 3) and show very high  
 31 positive correlation ( $r > 0.8$ ) in most regions, as may be expected from the large regression  
 32 slope shown in Fig. 4. The RMSE of their modeled  $T_{soil}$  vs.  $T_{air}$  relationships from  
 33 observations reaches ca.  $10\text{ }^{\circ}\text{C}$ .

34

1 The  $T_{soil}$  vs.  $d_{snow}$  relationship (Fig. 5) displays the variation of  $T_{soil}$  with changing snow depth  
 2 and emphasizes the reduced sensitivity of  $T_{soil}$  to snow depth under thick snow conditions.  
 3 With increasing  $d_{snow}$ ,  $T_{soil}$  asymptotically converges towards a value of around 0 °C. Overall,  
 4 the Russian observations indicate that snow depth above about 80-90 cm has very little  
 5 additional insulation effect on  $T_{soil}$ . Most models show consistent results with regard to this  
 6 aspect, although the inter-quartile range of  $T_{soil}$  for specific snow depths is quite large in some  
 7 models (ISBA, ORCHIDEE, UVic, UW-VIC) (Fig. 5). The figure further points to the air  
 8 temperature dependency of the relation. On average, for a given  $d_{snow}$ , a colder  $T_{soil}$  is  
 9 observed for colder near-surface air temperatures, compared with warmer air temperatures.  
 10 Most models can replicate this effect of air temperatures on the  $T_{soil}$  vs.  $d_{snow}$  relationship,  
 11 though with differing accuracy. The RMSE between the observed and modeled relationships  
 12 can reach ca. 10 °C or more (in ISBA, UVic, UW-VIC), particularly under cold conditions.

13

14 The spatial patterns of the correlation coefficients between  $T_{soil}$  and  $T_{air}$  (SI Fig. 3) and  
 15 between  $T_{soil}$  and  $d_{snow}$  (SI Fig. 4) show a relatively large across-model scatter in many regions.  
 16 Obvious outliers in the  $T_{soil}$  vs.  $T_{air}$  correlation maps are ISBA and UVic which strongly  
 17 overestimate the correlation ( $r > 0.9$ ) over most of the Arctic. This indicates an  
 18 underestimated snow insulation effect, and confirms the weak insulation in both models,  
 19 which we already discussed based on their underestimated  $\Delta T$  (Fig. 1) and weak correlation  
 20 between  $\Delta T$  and  $d_{snow}$  (Fig. 3). Other models (LPJ-GUESS, ORCHIDEE, UW-VIC) also  
 21 overestimate the correlation in some regions (e.g. western Russian Arctic,  $r > 0.7$ ). Most of  
 22 the simulated maps of  $T_{soil}$  vs.  $d_{snow}$  correlation agree with the observations on a strong  
 23 positive correlation in East Siberia. This is a region of relatively shallow snow (10-40 cm; Fig.  
 24 6) and there  $T_{soil}$  is very sensitive to variations in snow depth (e.g., Romanovsky et al., 2007).  
 25 Comparing both simulated correlation maps, it is obvious that in this region,  $T_{soil}$  correlates  
 26 more strongly with  $d_{snow}$  than with  $T_{air}$ , in agreement with the Russian data and earlier studies  
 27 (Romanovsky et al., 2007; Sherstyukov, 2008).

#### 28 **4 Roles of atmospheric forcing and model processes**

29 The across-model differences in the snow insulation effect, presented by the air temperature -  
 30 snow depth - soil temperature relationships described above, are partially due to the  
 31 differences in the atmospheric forcing data and also due to differences in the snow and soil  
 32 physics used in the LSMs. However, because the climate forcing data sets utilized with each  
 33 model are observation-based (except for MIROC-ESM), obvious outliers in individual model

1 performance likely indicate poor or deficient physical descriptions of the air/snow/soil  
2 relations in that specific LSM.

### 3 **4.1 Atmospheric forcing and snow depth**

#### 4 **4.1.1 Air temperature and precipitation**

5 Both near-surface air temperature ( $T_{air}$ ) and precipitation are given by the climate forcing data  
6 sets (SI Table 1) for all models, except for MIROC-ESM which simulates both. The across-  
7 model differences in forcing  $T_{air}$  used are relatively small and the simulated spatial patterns of  
8 temperature are very similar (SI Fig. 5). All forcing datasets are somewhat colder than  
9 Russian station data in their grid cells. The biases of winter mean  $T_{air}$  ranges from -0.8 °C to -  
10 4.7 °C (SI Table 2), reflecting biases in the climate forcing data used by the models. In  
11 contrast, MIROC-ESM has a positive (mean)  $T_{air}$  bias of +2.7 °C.

12

13 The large-scale patterns of precipitation are similar across the models, but regional differences  
14 can be large (SI Fig. 6). The individual differences in winter precipitation range from -0.2  
15 mm/day to +0.5 mm/day (SI Table 2) relative to the average of the Russian station data.  
16 Unfortunately, snowfall was archived in only a few models, however large-scale spatial  
17 patterns are similar across these models (SI Fig. 7).

#### 18 **4.1.2 Snow depth**

19 The broad-scale spatial snow depth ( $d_{snow}$ ) patterns are similar across the models and show  
20 general agreement with the observed patterns (Fig. 6). The well-pronounced areas of  
21 maximum winter  $d_{snow}$  (50-100 cm) are in Scandinavia, the Urals, the West Siberian Plain,  
22 Central Siberian Highlands, the Far East, Alaskan Rocky mountains, and Labrador Peninsula  
23 and isle of Newfoundland. However, large regional across-model variability is obvious. Some  
24 models (JULES, LPJ-GUESS, ORCHIDEE, UVic) underestimate  $d_{snow}$ , while others  
25 (CLM4.5, CoLM, ISBA, UW-VIC) overestimate it (Fig. 6; Table 3). The model biases are  
26 quite similar with respect to station observations and GlobSnow data. It should be noted, that  
27 the models do not account for snowdrift. However, redistribution of snow due to wind is an  
28 important aspect, which makes comparison between in-situ measured and modeled snow  
29 depths difficult (e.g., Vionnet et al., 2013; Sturm and Stuefer, 2013; Gisnas et al., 2014).

30

31 Precipitation/snowfall across-model differences cannot be the primary explanation of these  
32  $d_{snow}$  differences since some models (JULES, MIROC-ESM, ORCHIDEE) have positive bias

1 in precipitation ( $> 0.2$  mm/d, SI Table 2) but simulate much lower  $d_{snow}$  compared to other  
2 models (Fig. 6, SI Figs. 6, 7, Table 3). Across-model differences in the interannual variability  
3 of winter precipitation do not translate simply to corresponding differences in the interannual  
4  $d_{snow}$  variability (not shown). For example, UVic calculates the (unrealistically) largest  
5 interannual  $d_{snow}$  variability in the boreal Europe permafrost region which is not reflected in  
6 the precipitation variability. These results indicate that the simulated snow depth is a function  
7 of both the prescribed winter precipitation, and the model's snow energy and water balance.

## 8 **4.2 Model processes**

9 We have shown that the across-model spread in the representation of snow insulation effects  
10 (Sects. 3.1, 3.2) can not predominantly be explained by differences in the forcing data (Sect.  
11 4.1), but to a large extent is due to the representation of snow processes in the models. By  
12 considering the relationship plots (Figs. 1, 4 and 5), and the conditional PDFs (Fig. 2) we  
13 were able to categorize the models in terms of their snow insulation performance. In this  
14 section we discuss the influence of the different snow parameterizations in the models.

15

16 Models with better performance (CLM4.5, CoLM, JULES) apply multi-layer snow schemes.  
17 This allows them to simulate more realistic (stronger) insulation because they consider the  
18 snowpack's vertical structure and variability. They calculate the energy and mass balance in  
19 each snow layer, are able to capture nonlinear profiles of snow temperature, and can also  
20 account for thermal insulation within the snowpack such as when the upper layer thermally  
21 insulates the lower layers (e.g., Dutra et al., 2012). These models also incorporate storage and  
22 refreezing of liquid water within the snow, parameterize wet snow metamorphism, snow  
23 compaction, and snow thermal conductivity (Table 1), which have been found to be among  
24 the most important processes for good snow depth and surface soil temperature simulation  
25 (e.g., Wang et al., 2013).

26

27 An underestimated snow depth directly leads to insulation that is too weak in JULES, LPJ-  
28 GUESS, ORCHIDEE, and UVic (Fig. 6, Table 3). However only in ORCHIDEE and UVic  
29 does this lead to a significant underestimation of  $\Delta T$  (Table 3, SI Fig. 8) indicating bias  
30 compensation in the two other models. Thus, compensating error effects occur due to snow  
31 density and conductivity (SI Fig. 9, Table 1), which impact snow thermal insulation.

32

33 Our analysis showed that two models (ISBA, UVic) have  $T_{soil}$  vs.  $T_{air}$  correlation that are too  
34 high indicating that they do not represent the modulation of the  $T_{soil}$  vs.  $T_{air}$  relationship by



1 snow depth (Fig. 4). This is consistent with their underestimation of  $\Delta T$  (Figs. 1 and 2, SI Fig.  
2 8, Table 3). In UVic, the snowpack is treated not as a separate layer but as an extension of the  
3 top soil layer and a combined surface-to-soil thermal conductivity is calculated (Table 1).  
4 Such a scheme largely negates or reduces the insulating capacity of snow (Slater et al., 2001).  
5 Koven et al. (2013) noted that such a scheme simulates very little warming of soil, and  
6 sometimes even cooling. The slightly underestimated snow depth (Table 3, Fig. 6) contributes  
7 (but not as the primary factor) to reduced snow insulation, as reported for UVic (Avis, 2012).

8

9 ISBA strongly underestimates  $\Delta T$ , while strongly overestimating  $d_{snow}$ , compared with  
10 observations (Table 3, Fig. 6). However, ISBA uses the same atmospheric forcing data as  
11 JULES (accordingly the air temperature and precipitation are quite similar; SI Table 2). Also,  
12 the model's snow density (150-250 kg m<sup>-3</sup>) is similar to other models (CLM45, CoLM,  
13 JULES) (SI Fig. 9) and in agreement with Zhong et al. (2013) who report snow density values  
14 of on 180-250 kg m<sup>-3</sup> for tundra/taiga and 156-193 kg m<sup>-3</sup> for alpine snow classes in winter.  
15 This apparent contradiction comes from the parameterization of snow cover fraction within  
16 each grid cell (SCF). The version of ISBA used here calculates a unique superficial soil  
17 temperature whether or not the soil is covered by snow and all the energy and radiative fluxes  
18 are area-weighted by SCF (equations 7 and 20 in *Douville et al.*, 1995). In order to get  
19 reasonable albedos in snow-covered forests, as is necessary when ISBA is coupled to the  
20 CNRM-CM climate model, the parameterization gives very low SCF in the boreal forest  
21 (between 0.2 and 0.5). Hence, snow insulates only 20% to 50% of the grid cell, despite fairly  
22 high snow depths. The heat fluxes from the snow-covered fraction are averaged with the  
23 fluxes from the snow-free surface, strongly concealing the actual insulating effect of snow  
24 and underestimating it over the grid cell. Using the detailed snow model Crocus (Brun et al.,  
25 1992; Vionnet et al., 2012) with a SCF equal to 100% leads to an almost perfect simulation of  
26 near-surface soil temperature over Northern Eurasia (Brun et al., 2013). A similar experiment  
27 with ISBA and a SCF equal to 100% (Decharme et al., 2016) leads to good performances  
28 showing that the low  $\Delta T$  in ISBA despite high snow depth in the present study is mostly due  
29 to this sub-grid snow fraction. Decharme et al. (2016) still showed that the ISBA results are  
30 further improved by updating the snow albedo and snow densification parameterization.

31

32 Interestingly, the ORCHIDEE performance in simulating snow depth and  $\Delta T$  is similar to  
33 UVic (underestimation of  $d_{snow}$  and  $\Delta T$ ; Table 3). However, ORCHIDEE can better represent  
34 the observed  $T_{soil}$  vs.  $T_{air}$  relationship and its modulation due to snow pack. ORCHIDEE

1 employs, similarly to UVic, a fixed snow density and thermal conductivity. However, in  
2 contrast with UVic, ORCHIDEE applies a multi-layer scheme and simulates heat diffusion in  
3 the snowpack in up to 7 discrete layers (Table 1; Koven et al., 2009). This helps resolving the  
4 snow thermal gradients between the top and the base of the snow cover, and might explain  
5 how some of the snow insulation effects are reasonably represented in ORCHIDEE, despite  
6 the simpler treatment of temperature diffusion.

## 7 **5 Permafrost area**

8 Snow cover plays an important role in modulating the variations of soil thermodynamics, and  
9 hence near-surface permafrost extent (e.g., Park et al., 2015). Here we evaluate if there is a  
10 simple relationship between the simulated Northern hemisphere permafrost area and the  
11 sophistication and ability of the snow insulation component in the LSM to match observed  
12 snow packs. The simulated near-surface permafrost area varies greatly across the nine models  
13 in the hind cast simulation (1960-2009; Table 4). Some of the better performing snow  
14 insulation effect models (CLM4.5, JULES) simulate a near-surface permafrost area of 13.19  
15 to 15.77 million km<sup>2</sup>, which is comparable with the IPA map estimate (16.2 million km<sup>2</sup>)  
16 (Brown et al., 1997; Slater and Lawrence, 2013). CoLM and ORCHIDEE, identified as  
17 reasonable models with respect to snow insulation, simulate much lower (7.62 million km<sup>2</sup>)  
18 and higher (20.01 million km<sup>2</sup>) areas, respectively. The main deficiency of CoLM is its too  
19 shallow soil depth (3.4 m) compared with CLM4.5 (45.1 m) despite having very similar snow  
20 modules (Table 1). However, ISBA, one of the two models that showed rather limited skill in  
21 representing snow insulation effects, also significantly over-estimate permafrost area (20.86  
22 million km<sup>2</sup>). This is inconsistent with previous studies (e.g., Vavrus, 2007; Koven et al.,  
23 2013) which concluded that the first-order control on modelled near-surface permafrost  
24 distribution is the representation of the air-to-surface soil temperature difference. Table 4  
25 shows that the situation is more complex and that snow insulation simulation is not the  
26 dominant factor in a good permafrost extent simulation. When the land surface models having  
27 poor snow models are eliminated, the remaining models' simulated permafrost area show  
28 little or no relationship with the performance of the snow insulation component, because  
29 several other factors such as differences in the treatment of soil organic matter, soil hydrology,  
30 surface energy calculations, model soil depth, and vegetation also provide important controls  
31 on the simulated permafrost distribution (e.g., Marchenko and Etzelmüller, 2013).

## 1 **6 Summary and conclusions**

2 The aim of this work was to evaluate how state-of-the-art LSMs capture the observed  
 3 relationship between winter near-surface soil and air temperatures ( $T_{soil}$ ,  $T_{air}$ ) and their  
 4 modulation by snow depth ( $d_{snow}$ ) and climate regime. We presented some benchmarks to  
 5 evaluate model performance. The presented relation diagrams of  $T_{soil}$  and the difference of  
 6  $T_{soil}-T_{air}$  to snow depth allow a much better assessment to reveal structural issues of the  
 7 models than a direct point-by-point comparison with station observations. The results are  
 8 based on the comparison of LSMs with a comprehensive Russian station data set.

9  
 10 We see large differences across the models in their mean air-soil temperature difference ( $\Delta T$ )  
 11 of 3 to 14 °C, in the sensitivity of near-surface soil temperature to air temperature ( $T_{soil}$  vs.  
 12  $T_{air}$ ) (0.49 to 0.96 °C/°C for shallow snow, 0.13 to 0.93 °C/°C for thick snow), and in the  
 13 increase of  $\Delta T$  with increasing snow depth (modal value of  $\Delta T$  PDF: 0 to 10 °C for shallow  
 14 snow, 5 to 21 °C for thick snow). Most of the nine models compare to the observations  
 15 reasonably well (observations:  $\Delta T = 12$  °C, modal  $\Delta T$  values of 5 °C for shallow snow and of  
 16 14 °C for thick snow,  $T_{soil}$  vs.  $T_{air} = 0.62$  °C/°C for shallow snow,  $T_{soil}$  vs.  $T_{air} = 0.21$  °C/°C for  
 17 thick snow). Several models also capture the modulation by air temperature condition (larger  
 18 increase in  $\Delta T$  with increasing  $d_{snow}$  under colder conditions) and display the control of snow  
 19 depth on  $T_{soil}$  (weaker  $T_{soil}$  vs.  $T_{air}$  relationship under thicker snow). However, while they  
 20 generally capture these observed relationships, their strength can differ in the individual  
 21 models. Two models (ISBA, UVic) show the largest deficits in snow insulation effects and  
 22 cannot separate the  $\Delta T$  regimes neither for different snow depths nor for different air  
 23 temperature conditions.

24  
 25 This study uses the ensemble of models to document model performance with respect to  $T_{soil}$   
 26 versus  $T_{air}$  relationships, and to identify those with better performance, rather than to quantify  
 27 the best model. We were able to attribute performance strength/weakness to snow model  
 28 features and complexity. Models with better performance apply multi-layer snow schemes  
 29 and consider complex snow processes (e.g. storage and refreezing of liquid water within the  
 30 snow, wet snow metamorphism, snow compaction). Those models which show limited skill in  
 31 snow insulation representation (underestimated  $\Delta T$ , very weak dependency of  $\Delta T$  on  $d_{snow}$ ,  
 32 almost unity ratio of  $T_{soil}$  vs.  $T_{air}$ ) have some deficiencies or over simplification in the  
 33 simulation of heat transfer in snow and soil layer, particularly in the representation of snow  
 34 depth and density (conductivity). We also emphasize that compensating errors in snow depth

1 and conductivity can occur. For example, an excessive correlation between  $T_{soil}$  and  $T_{air}$  can  
2 be attributed to excessively high thermal conductivity even when the snow depth is correctly  
3 (or over) simulated. This finding underscores the need for detailed model evaluations using  
4 multiple, independent performance metrics to establish that the models get the right  
5 functionality for the right reason. It should be noted that the treatment of ground properties,  
6 particularly soil organic matter and soil moisture/ice content, also affect the simulated winter  
7 ground temperatures. The specific evaluation of these individual processes is more robustly  
8 investigated with experiments conducted for individual models (e.g. recently, Wang et al.,  
9 2013; Gubler et al., 2013; Decharme et al., 2015).

10  
11 Snow and its insulation effects are critical for accurately simulating soil temperature and  
12 permafrost in high latitudes. The simulated near-surface permafrost area varies greatly across  
13 the nine models (from 7.62 to 20.86 million km<sup>2</sup>). However, it is hard to find a clear  
14 relationship between the performance of the snow insulation in the models and the simulated  
15 area of permafrost, because several other factors e.g. related to soil depth and properties and  
16 vegetation cover also provide important controls on the simulated permafrost distribution.

17  
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19 performed research; J.C.M. contributed with ideas; A.R., J.C.M., W.W., and D.J. wrote the  
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21  
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1 **Tables**

2

3 **Table 1.** PCN snow model details.

Model Reference for snow scheme	Snow scheme <sup>1</sup>	Snow layers	Water phases	Liquid water treatment <sup>2</sup>	Snow density <sup>3</sup>	Snow thermal conductivity <sup>4</sup>
CLM4.5 Swenson and Lawrence, 2012 Oleson et al., 2013	ML	Dynamic (max. 5)	Liquid, Ice	Bucket-type prognostic in each layer	depends on snow depth; compaction <sup>3) a,b,c</sup>	quadratic equation on $\rho$
CoLM Dai et al., 2003 Ji et al. 2014	ML	Dynamic (max. 5)	Liquid, Ice	Bucket-type prognostic in each layer	depends on snow depth; compaction <sup>3) a,b,c</sup>	quadratic equation on $\rho$
ISBA Boone and Etchevers, 2001	ML	Static (3)	Liquid, Ice, Vapor	Diagnosed from snow temperature, mass, density	compaction <sup>3) a,b</sup>	quadratic equation on $\rho$ , contribution due to vapor transfer
JULES Best et al., 2011	ML	Dynamic (max. 3)	Liquid, Ice, Vapor	Bucket-type prognostic in each layer	compaction <sup>3) a</sup>	power equation on $\rho$
LPJ-GUESS Gerten et al., 2004 Wania et al., 2009	BL	Static (1)	Ice	Not represented	fixed 362 kg m <sup>-3</sup>	fixed 0.196 Wm <sup>-1</sup> K <sup>-1</sup>
MIROC-ESM Takata et al., 2003	ML	Dynamic (max. 3)	Ice	Not represented	fixed 300 kg m <sup>-3</sup>	fixed 0.3 Wm <sup>-1</sup> K <sup>-1</sup>
ORCHIDEE Gouttevin et al.,2012	ML	Dynamic (max. 7)	Ice	Not represented	fixed 330 kg m <sup>-3</sup>	fixed 0.25 Wm <sup>-1</sup> K <sup>-1</sup> for tundra, 0.042 Wm <sup>-1</sup> K <sup>-1</sup> for taiga
UVic Meissner et al., 2003 Avis, 2012	I	Static (1)	Ice	Not represented	fixed 330 kg m <sup>-3</sup>	bulk conductivity
UW-VIC Andreadis et al., 2009	BL	Dynamic (max. 2)	Liquid, Ice, Vapor	Constant liquid water holding capacity	compaction <sup>3) a,b</sup>	fixed 0.7 Wm <sup>-1</sup> K <sup>-1</sup>

4 <sup>1</sup> ML: Multi-layer, BL: Bulk-layer, I: Implicit; according to Slater et al. (2001)5 <sup>2</sup> Not represented means dry snow6 <sup>3</sup> Processes for densification of the snow: a) mechanical compaction (due to the weight of the overburden), b)  
7 thermal metamorphosis (via the melting–refreezing process), c) destructive metamorphism (crystal breakdown  
8 due to wind, thermodynamic stress); Anderson (1976), Jordan (1991), Kojima (1967)9 <sup>4</sup> quadratic equation on  $\rho$  according to Jordan (1991), Anderson (1976); contribution due to vapor transfer  
10 according to Sun et al.(1999)

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12

1 **Table 2.** Sensitivity of near-surface soil temperature ( $T_{soil}$ ) to air temperature ( $T_{air}$ ) in winter  
 2 (DJF) calculated by the slopes of the linear regression between  $T_{soil}$  (°C) and  $T_{air}$  (°C) for  
 3 different regimes of snow depth ( $d_{snow}$ ), using data from all Russian station grid points and 21  
 4 individual winter 1980-2000. All relationships are statistically significant at  $p \leq 0.01$ .

	Snow depth regimes			
	Shallow		Thick	
	$d_{snow} \leq 20$ cm		$d_{snow} \geq 45$ cm	
	$T_{soil}$ vs. $T_{air}$ (°C/°C)	R <sup>2</sup>	$T_{soil}$ vs. $T_{air}$ (°C/°C)	R <sup>2</sup>
Observation	0.62	0.79	0.21	0.41
CLM4.5	0.69	0.89	0.33	0.56
CoLM	0.49	0.73	0.13	0.44
ISBA	0.93	0.98	0.93	0.94
JULES	0.68	0.77	0.19	0.46
LPJ-GUESS	0.73	0.89	0.52	0.75
MIROC-ESM	0.78	0.98	0.49	0.67
ORCHIDEE	0.86	0.83	0.56	0.64
UVic	0.96	0.97	0.81	0.68
UW-VIC	0.54	0.74	0.76	0.65

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7

1 **Table 3.** Russian-station-location averaged error statistics for snow depth (cm) and  
 2 temperature difference between 20 cm soil and air temperature ( $\Delta T$ ;  $^{\circ}\text{C}$ ) for winter 1980-2000.  
 3 For each variable, the maximum available number of observations (n) is used. Mean<sup>St,GS</sup> and  
 4 stdev<sup>St,GS</sup> are the observed mean and interannual variability (standard deviation), while stdev is  
 5 the standard deviations of each model. Bias is the mean error ‘simulation minus observation’  
 6 and rmse is the root-mean-square error. The statistics for snow depth is given based on both  
 7 station observation (St) and GlobSnow (GS) data.

8

	Snow depth (n=579)					$\Delta T$ (n=268)		
	mean <sup>St</sup> = 26.4 cm, mean <sup>GS</sup> =23.4 cm					mean <sup>St</sup> = 11.9 $^{\circ}\text{C}$		
	stdev <sup>St</sup> = 9.0 cm, stdev <sup>GS</sup> = 6.5 cm					stdev <sup>St</sup> = 2.3 $^{\circ}\text{C}$		
	bias <sup>St</sup>	rmse <sup>St</sup>	bias <sup>GS</sup>	rmse <sup>GS</sup>	stdev	bias <sup>St</sup>	rmse <sup>St</sup>	stdev
CLM4.5	11.5	18.1	14.3	18.1	5.8	2.3	4.1	2.2
CoLM	15.6	21.4	17.8	22.1	9.8	2.7	3.7	2.4
ISBA	13.0	18.8	15.7	19.8	9.5	-8.4	9.1	0.9
JULES	-4.1	14.1	-1.3	12.8	7.7	-0.8	4.2	3.2
LPJ-GUESS	-5.3	17.3	-2.5	16.0	5.0	-0.7	3.7	1.7
MIROC-ESM	-0.4	17.9	1.9	14.0	6.3	-4.9	6.7	2.0
ORCHIDEE	-8.7	16.5	-5.3	15.3	6.9	-5.2	6.0	1.9
UVic	-3.7	18.9	-0.5	16.8	9.4	-5.1	6.5	1.4
UW-VIC	12.5	19.8	15.0	20.0	10.4	-1.3	4.8	2.1

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1 **Table 4.** Permafrost area, defined as maximum seasonal active layer thickness < 3 m in 1960  
 2 (Mc Guire et al., 2016). The IPA map estimate is 16 million km<sup>2</sup> (Brown et al., 1997; Slater  
 3 and Lawrence, 2013).

4

5

Land Surface Model	Snow Insulation skill	Permafrost Area (10 <sup>6</sup> km <sup>2</sup> )
CLM4.5	High	15.77
CoLM	High	7.62
ISBA	Low	20.86
JULES	High	13.19
LPJ-GUESS	Medium	17.41
MIROC-ESM	Medium	13.02
ORCHIDEE	Medium	20.01
UVic	Low	16.47
UW-VIC	Medium	17.56

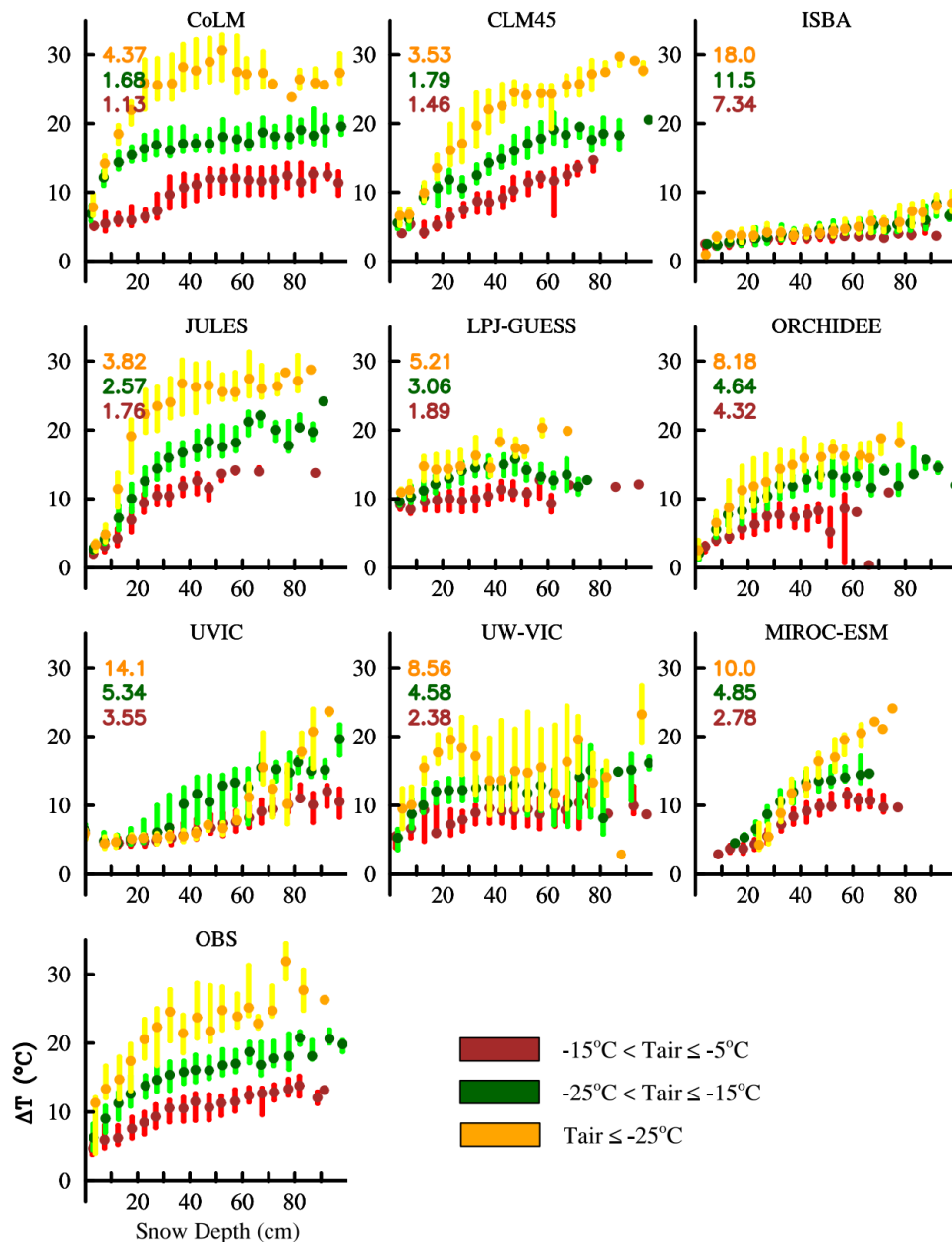
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2 **Figure 1.** Variation of  $\Delta T$  ( $^{\circ}\text{C}$ ), the difference between soil temperature at 20 cm depth and  
 3 air temperature) with snow depth (cm) for winter 1980-2000. The dots represent the medians  
 4 of 5 cm snow depth bins and the upper and lower bars indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles,  
 5 calculated from all Russian station grid points ( $n=268$ ) and 21 individual winters. The  
 6 numbers in each model panel indicate the RMSE between the observed and modeled  
 7 relationship. Color represents different air temperature regimes.

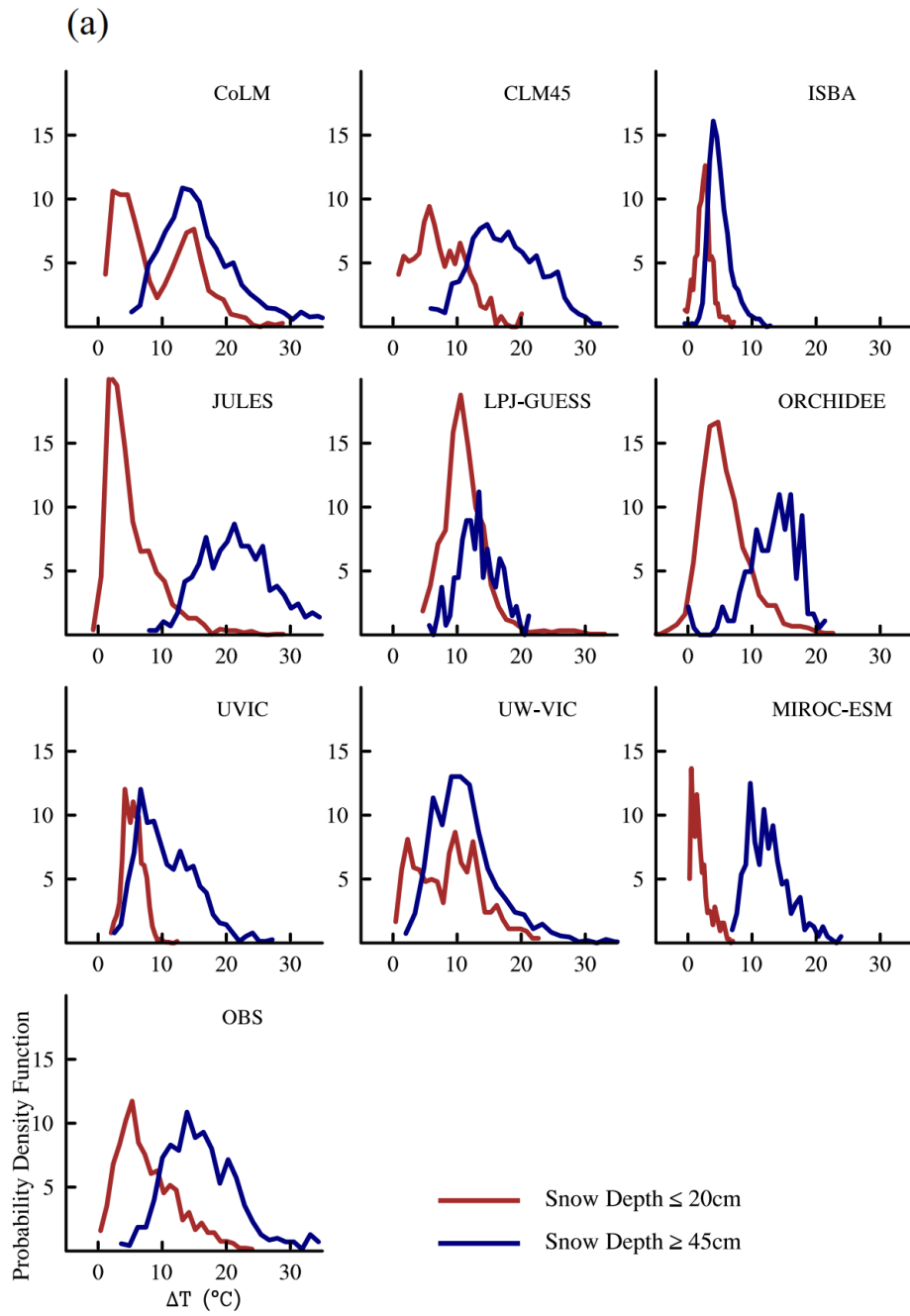
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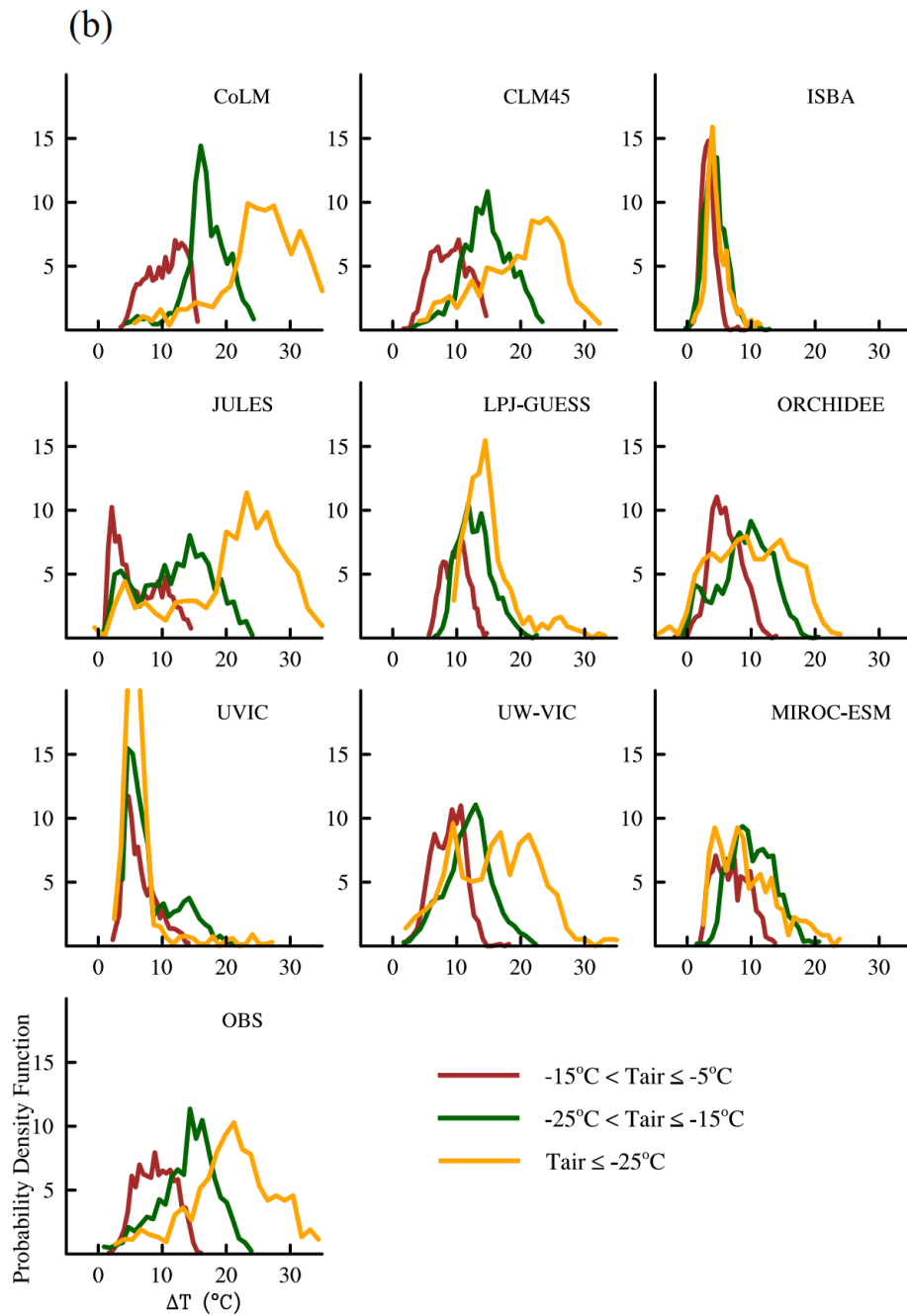
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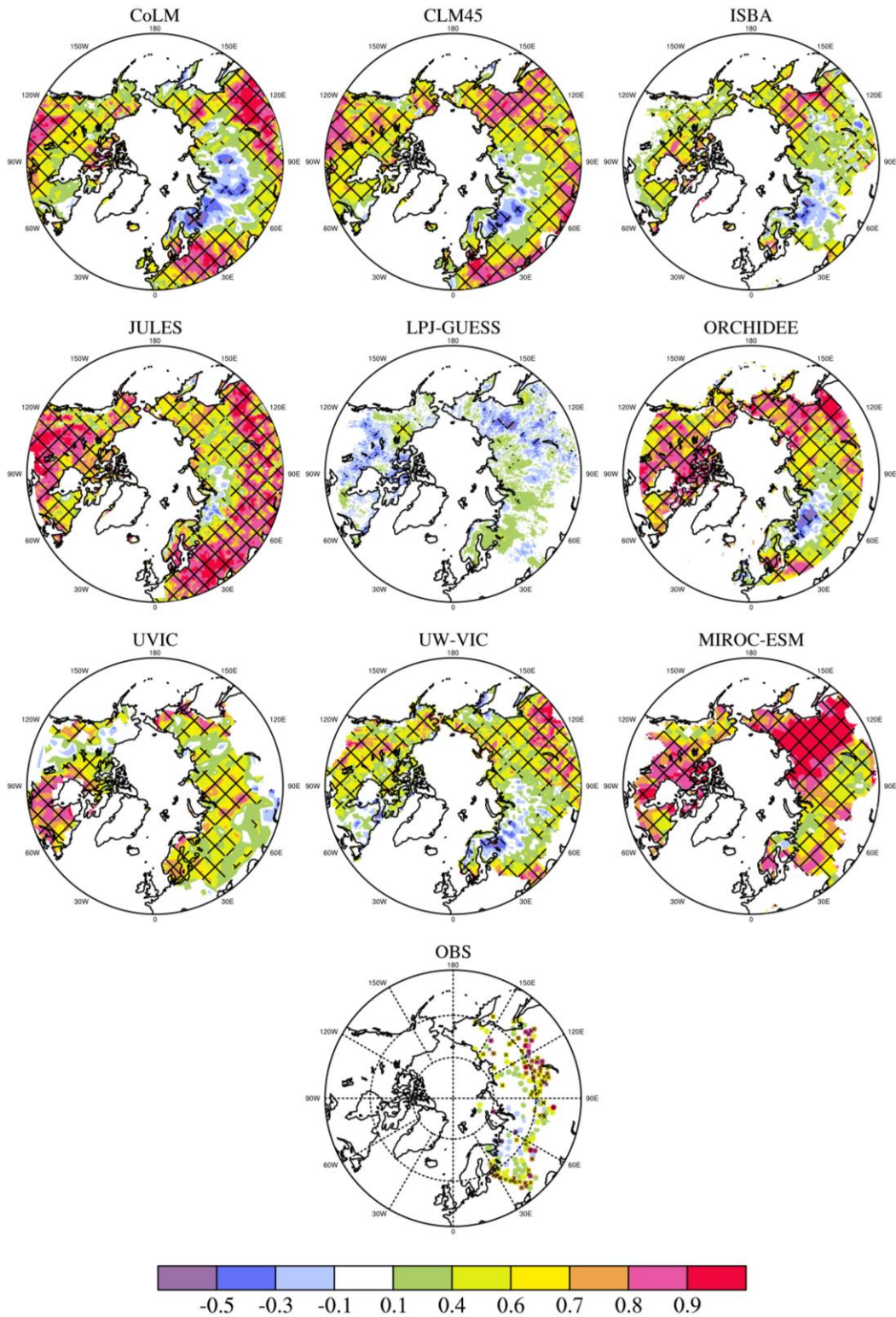






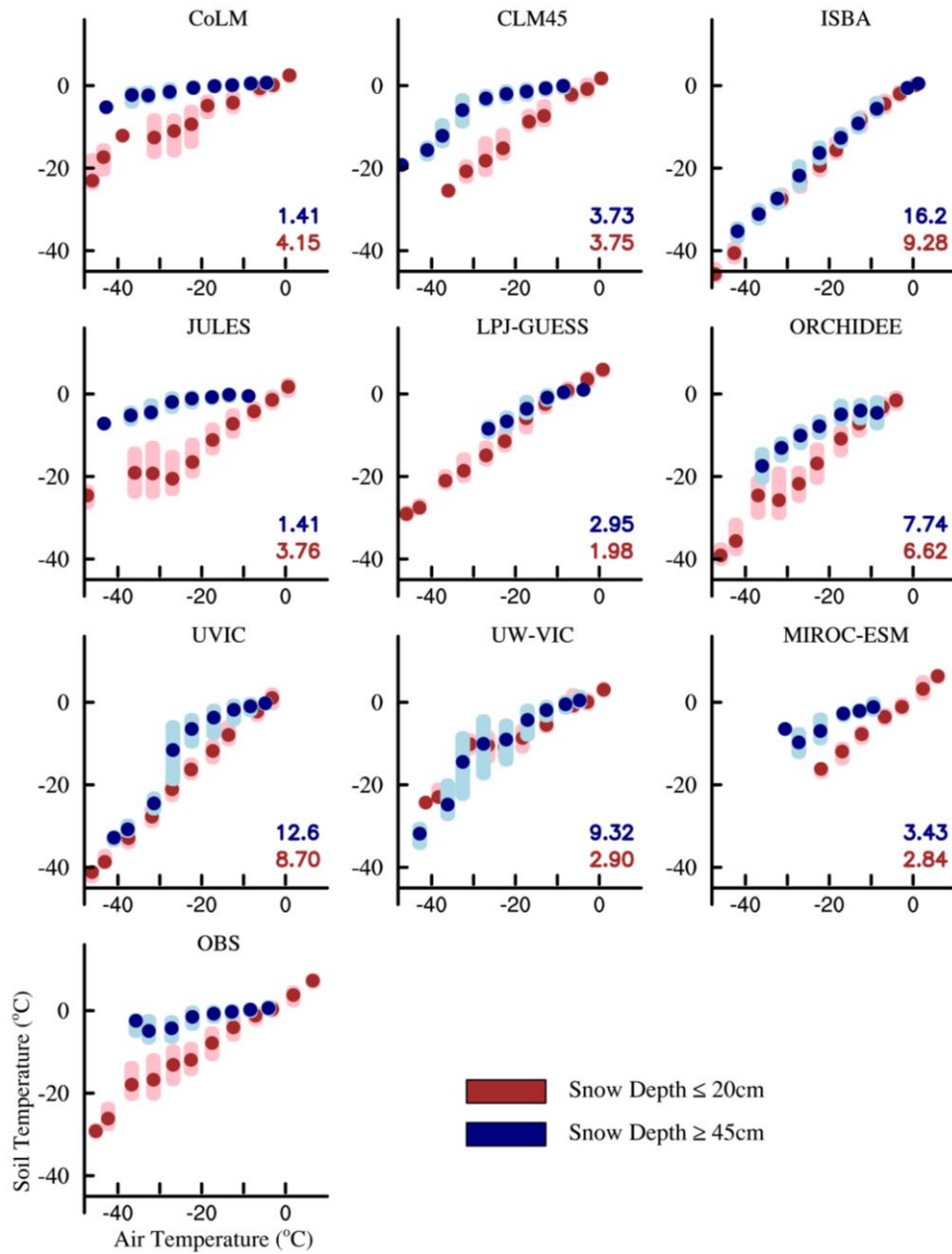
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2 **Figure 2.** Conditional probability density functions (PDFs) of  $\Delta T$  ( $^{\circ}\text{C}$ ), the difference  
 3 between soil temperature at 20 cm depth and air temperature for (a) different snow depth  
 4 classes and (b) air temperature regimes, for winter 1980-2000.



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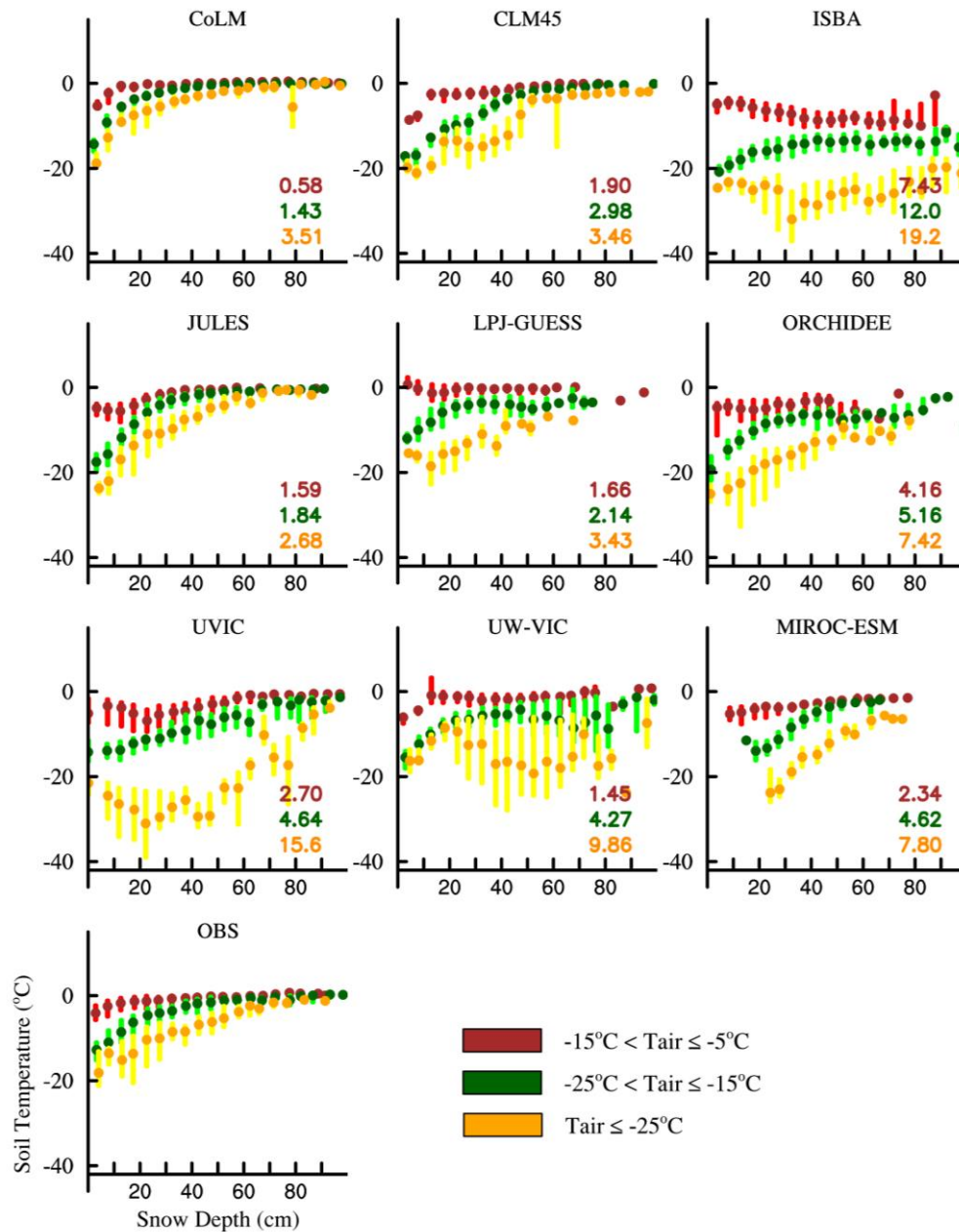
**Figure 3.** Spatial maps of the correlation coefficients between snow depth and  $\Delta T$ , the difference between soil temperature at 20 cm depth and air temperature for winter 1980-2000. Regions with greater than 95% significance are hashed.



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2 **Figure 4.** Variation of soil temperature at 20 cm depth (°C) with air temperature (°C) for  
 3 winter 1980-2000. The dots represent the medians of 5 °C air temperature bins and the upper  
 4 and lower bars indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, calculated from all Russian station grid  
 5 points (n=268) and 21 individual winters. The numbers in each model panel indicate the  
 6 RMSE between the observed and modeled relationship. Color represents different snow depth  
 7 regimes.

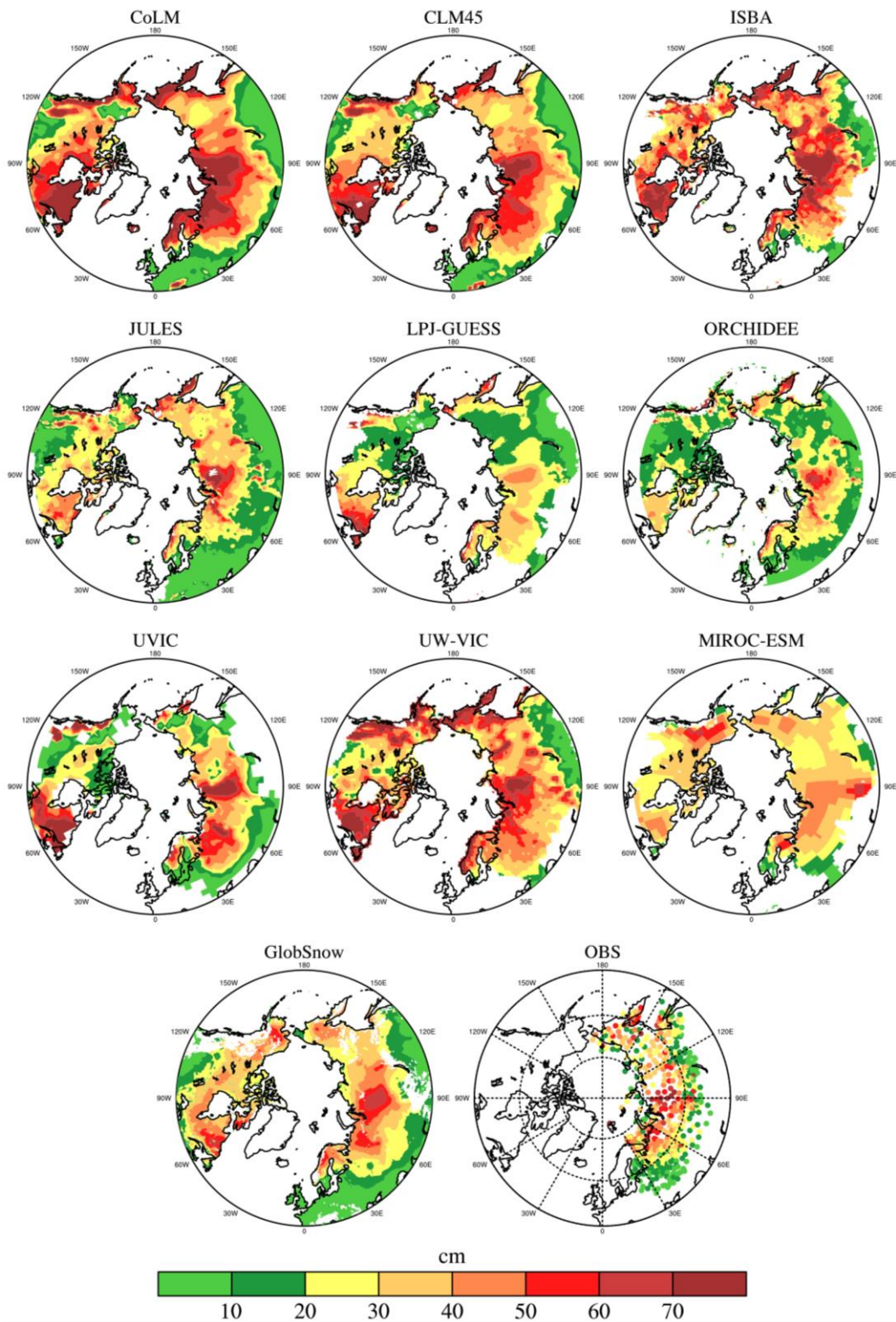
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2 **Figure 5.** Variation of soil temperature at 20 cm depth (°C; y axis) with snow depth (cm) for  
 3 winter 1980-2000. The dots represent the medians of 5 cm snow depth bins and the upper and  
 4 lower bars indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, calculated from all Russian station grid points  
 5 (n=268) and 21 individual winters. The numbers in each model panel indicate the RMSE  
 6 between the observed and modeled relationship. Color represents different air temperature  
 7 regimes.





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3 **Figure 6.** Spatial maps of snow depth (cm) for winter 1980-2000.