



Comparison of different methods to retrieve effective snow grain size in central Antarctica

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Abstract. The effective size of snow grains (r_{eff}) affects the reflectivity of snow surfaces and thus the local surface energy budget in particular in polar regions. Therefore, the specific surface area (SSA) was monitored for a two-month period in central Antarctica (Kohnen research station) during austral summer 2013/14. The data were retrieved on the basis of spectral surface albedo measurements collected by the COmpact RAdiation measurement System (CORAS, ground-based) and the Spectral Modular Airborne Radiation measurement system (SMART, airborne). The Snow Grain Size and Pollution amount (SGSP) algorithm, originally developed to analyze spaceborne reflectance measurements by the MODerate Resolution Imaging Spectroradiometer (MODIS), was modified and applied to the ground-based and airborne observations collected in this study. Furthermore, spectral ratios of surface albedo at 1280 nm and 1100 nm wavelength were used to reduce the retrieval uncertainty. Additionally, the algorithm originally developed for cloudless conditions was adapted to handle overcast conditions. Optical in situ observations of SSA utilizing an IceCube device were used to validate the retrieval results. The SSA retrieved from CORAS observations varied between $27 \text{ m}^2 \text{ kg}^{-1}$ and $86 \text{ m}^2 \text{ kg}^{-1}$. Snowfall events caused distinct SSA maxima which were often followed by a gradual decrease in SSA due to snow metamorphism and wind-induced transport of fresh fallen ice crystals (vice versa for r_{eff}). SSA retrieved by data from CORAS and MODIS agree with the in situ observations within the ranges given by the measurement uncertainties. However, SSA retrieved by the airborne SMART observations underestimated the ground-based observations by a factor of 2.1 (overestimation of r_{eff}).

1 Introduction

The cryosphere plays a fundamental role in determining the Earth's surface radiative energy budget, as snow and sea ice represent surfaces with the highest albedo on Earth. Picard et al. (2016) estimated that a hypothetical change in global surface albedo of one percent would result in a difference in reflected energy comparable to the globally averaged radiative forcing of 1.82 W m^{-2} caused by the increase in CO_2 concentration since the preindustrial time (Myhre et al., 2013). This change in global surface albedo could be caused either by a variation in snow and sea ice cover or by a change of the snow albedo itself. During boreal winter, snow and sea ice cover up to 15 % of the Earth's surface (Vaughan et al., 2013). Although these areas



are mainly in polar regions with mostly low incoming solar radiation, the high values of snow and sea ice albedo facilitate substantial changes in the local surface energy budget. In Antarctica, more than 99.8 % of the continent (Burton-Johnson et al., 2016) and most of the sea ice are covered with snow with little seasonal variability. However, the snow surface albedo varies both on a temporal and spatial scale. For example, Munneke et al. (2008) found variations of the broadband albedo of snow at five different locations in Dronning Maud Land in a range between 0.77 and 0.88.

This variability is caused by different parameters such as snow grain size (and shape), surface roughness (e.g., Warren et al., 1998), soot content (e.g., Bond et al., 2013), and cloudiness; it depends on wavelength (e.g., Hudson et al., 2006; Warren and Brandt, 2008) and solar position (e.g., Wiscombe and Warren, 1980; Wiscombe, 1980; Dumont et al., 2010). Furthermore, snow surface albedo varies with snow depth and the liquid water content. Out of these parameters, the snow grain size has the largest effect on snow albedo. Measurements on the East Antarctic plateau show that an increase in snow grain size due to snow metamorphism may decrease the local surface albedo by three percent (Freitag et al., in prep.). Dang et al. (2015) showed that the transition from fresh fallen snow with a typical effective grain size of 100 μm to old snow (1000 μm) leads to a decrease in solar snow albedo (spectrally integrated over 0.3-4.0 μm) of 15 % from 0.83 to 0.72.

The larger the snow grains are, the longer is the photon path length through the individual ice crystals and the higher is the probability of photon absorption leading to a lower surface albedo (Wiscombe and Warren, 1980). This effect is most pronounced at wavelengths larger than 1000 nm where the imaginary part of the complex refractive index of ice increases. Observations showed that the grain size of snow crystals varies between 10 μm for fresh fallen snow and up to 3 mm for old snow (Singh, 2001). As snow ages, the grains become larger and more spherical (Colbeck, 1983; Kaempfer and Schneebeli, 2007). This snow metamorphism can even be effective at temperatures below the freezing level and is influenced by the vertical temperature profile within the snowpack, the snow structure, air temperature, and air humidity.

The grain size is traditionally defined by the geometric length of the largest extension of a snow grain (Fierz et al., 2009; Leppänen et al., 2015), which may cause ambiguities due to the complex shapes of snow grains. Therefore, for radiative transfer applications, the optical-equivalent grain size is introduced as the effective radius r_{eff} of a collection of spheres with the same volume-to-surface ratio compared to the actual non-spherical snow grains (Grenfell and Warren, 1999; Neshyba et al., 2003). The specific surface area (SSA, surface area of ice-air interface per unit mass) is related to the optical-equivalent grain size and the density of ice ρ_{ice} (917 kg m^{-3}) by:

$$\text{SSA} = \frac{3}{\rho_{\text{ice}} \cdot r_{\text{eff}}}. \quad (1)$$

SSA (in units of $\text{m}^2 \text{kg}^{-1}$) can be measured with different techniques such as methan adsorption (e.g., Domine et al., 2001; Legagneux et al., 2002), stereology (e.g., Matzl and Schneebeli, 2010), and X-ray microtomography (e.g., Flin et al., 2005; Kaempfer and Schneebeli, 2007). Those methods are difficult to employ in the field. Therefore, optical measurements that utilize the spectral absorption of snow grains are applied in field studies (e.g., Gallet et al., 2009) for the in situ measurement of SSA. However, in situ techniques to measure SSA are restricted to single observation sites. Consequently, longer time series of SSA are scarce in remote Arctic and Antarctic areas.



To retrieve SSA and r_{eff} , measurements of reflected solar radiation are required (e.g., Bohren and Barkstrom, 1974; Wiscombe, 1980; Grenfell et al., 1994). The retrievals use these radiation measurements and are based on the spectral variability of snow albedo as a function of effective snow grain size. Snow albedo models are used to calculate the spectral albedo and to invert the measurements to retrieve the effective snow grain size (e.g., Wiscombe and Warren, 1980). These albedo models
5 mostly assume spherical grains, which is critical because the grain shape is usually far from being spherical. As shown by Dang et al. (2016), the representation of non-spherical snow grains by a population of spherical grains with the same area-to-mass ratio can lead to an underestimation of the effective snow grain size by a factor of 2.4.

Several algorithms have been developed that consider snow grains of irregular shape (e.g., Kokhanovsky and Zege, 2004; Lyapustin et al., 2009). The Snow Grain Size and Pollution amount (SGSP) retrieval algorithm by Zege et al. (2011) to analyze
10 satellite observations by the MODerate Resolution Imaging Spectroradiometer (MODIS) was validated against ground-based in situ measurements from the Arctic, the Antarctic, Greenland, and Japan revealing a correlation coefficient of 0.86 (Wiebe et al., 2013). However, polar orbiting satellites do not provide a sufficiently high temporal resolution that may resolve snow precipitation events and snow metamorphism that typically can advance in a matter of hours.

In this study, ground-based measurements with high temporal resolution were utilized to study the evolution of effective snow
15 grain size in central Antarctica. Independent methods are introduced and applied in Sect. 2. The SGSP retrieval algorithm was further developed and adapted to ground-based spectral albedo measurements as discussed in Sect. 3. The obtained time series of effective grain size estimates is presented in Sect. 4 including results from in situ measurements (Freitag et al., in prep.) as well as from remote sensing by ground-based and additional airborne and satellite observations.

2 Measurements and instrumentation

20 The measurements were conducted at and in the vicinity of the Kohnen research station operated by the Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research (AWI). Kohnen station is located at the outer part of the East Antarctic plateau (75° 0' S, 0° 4' E, 2892 m a.s.l.), approximately 500 km from the coastline, where the local weather and climate is mostly determined by weak catabatic winds. The annual snow accumulation is 62 mm liquid water equivalent (Oerter et al., 2000) with moderate snowfall (1 mm to more than 5 mm water equivalent) occurring only a few times per year
25 (Birnbaum et al., 2006). The atmosphere is both clean with an Aerosol Optical Depth (AOD) at Kohnen station of 0.015 (at 500 nm, measured 2001-2006, Tomasi et al., 2007) and dry (mean integrated atmospheric water vapor between December 2013 and January 2014: 1.1 kg m⁻²). The black carbon (BC) load in the snowpack on the Antarctic plateau is low; for the South Pole, Hansen and Nazarenko (2004) reported a mean BC load of 0.2 ppbw (parts per billion by weight).

2.1 Ground-based observations

30 The ground-based measurements were embedded in the 'Coldest Firn' (CoFi) project by AWI, which targets microstructure as well as physical and chemical composition of snow and firn on the Antarctic plateau. Solar broadband and spectral radiation measurements of snow surface albedo combined with meteorological observations (temperature, humidity, wind velocity and

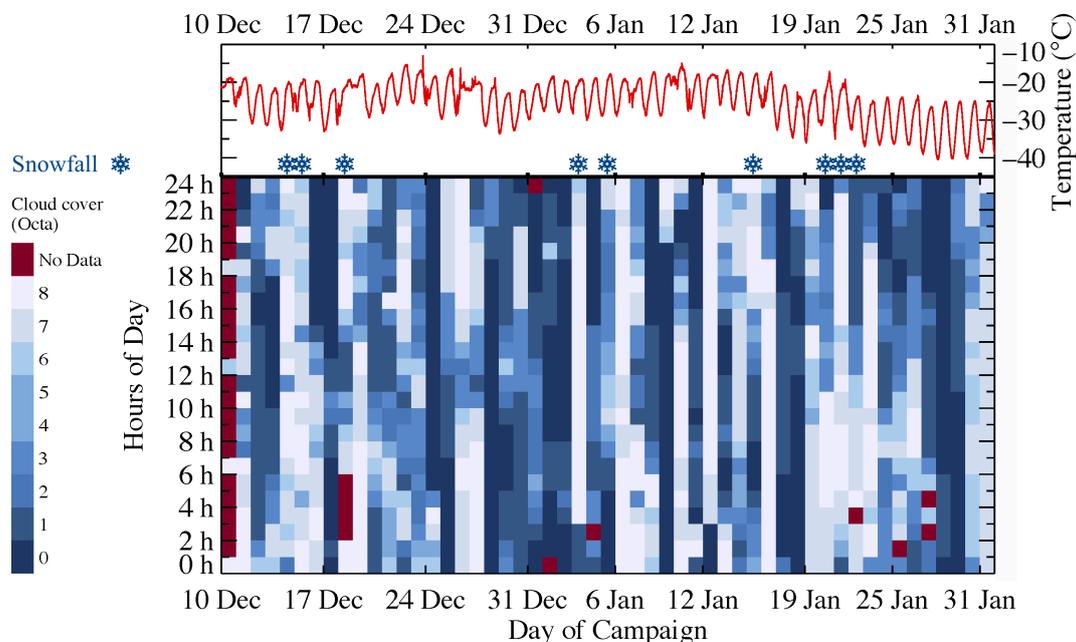


Figure 1. Time series of 2 m air temperature (red line) and hourly cloud cover (blueish squares) at Kohnen station between 10 December 2013 and 31 January 2014. Snowflake symbols denote days with snowfall.

direction, radio sounding, synoptic observations) were conducted. In addition, effective grain size and density of snow samples were measured in situ on a daily basis at Kohnen station, accompanied by measurements of vertical snow temperature profiles (Freitag et al., in prep.). Snow samples from in situ measurements were extracted daily (except on 5 December, 12, 17, 18, and 19 January) along a 100 m-transect. After extraction, the measurements of SSA were conducted with an IceCube device, which uses a laser diode at 1310 nm to illuminate the snow sample underneath an integrating sphere (Gallet et al., 2009). The reflected signal is detected by a photodiode. By means of a certified standard, the hemispherical infrared reflectance is derived, which is used to calculate SSA and r_{eff} applying a radiative transfer model. For the derived SSA values between 5 to 130 $\text{m}^2 \text{kg}^{-1}$, the measurement uncertainty is 10 % (Freitag et al., in prep.).

Two downward-looking digital cameras were employed for ground-based photogrammetric measurements of surface roughness structures. Another digital camera was used to resolve the hemispherical-directional reflectance factor (HDRF) of the snow surface following a method described by Ehrlich et al. (2012). For the definition of reflectance quantities used within this study, we refer to Schaepman-Strub et al. (2006). Furthermore, an all-sky camera was used for cloud observations. AOD was determined by means of a sun photometer.

The ground-based measurements were carried out during austral summer between 4 December 2013 and 31 January 2014. Within this period, nine snowfall events occurred (recorded by visual observation), surface temperature ranged from -15°C to -40°C . The time series of the measured 2 m air temperature and the hourly cloud fraction are presented in Fig. 1. The temperature indicates diurnal cycles on most days. Only on days with high cloud cover almost constant air temperatures were



observed. Towards the end of the measurement period, the temperature level decreased due to the approaching end of the polar day. The mean total cloud amount over the entire period was less than 4 octa. Only five completely overcast days were reported. Total cloud cover was highly variable and mainly influenced by cirrus clouds.

The spectral snow albedo $\alpha(\lambda)$ was measured from both ground-based and airborne instruments. At Kohnen station, the
 5 CCompact RADIation measurement System (CORAS) measured upward and downward spectral irradiance [$F^\uparrow(\lambda)$, $F^\downarrow(\lambda)$]
 within 0.3 to 2.2 μm wavelength. The spectral snow albedo was obtained by:

$$\alpha(\lambda) = \frac{F^\uparrow(\lambda)}{F^\downarrow(\lambda)}. \quad (2)$$

The spectral resolution is 2 to 3 nm between 0.3 and 1.0 μm and 15 nm up to 2.2 μm wavelength. The uncertainty of albedo
 10 measurements with CORAS of 3.3 % combines the uncertainties associated with the radiometric calibration using an integrating
 sphere (1.4 %), the signal-to-noise ratio, dark current, and wavelength calibration of the spectrometer (2.5 %) as well as the
 correction of the non-ideal cosine characteristic of the irradiance optical inlets (1.0 %). The location and mounting of the
 ground-based instrumentation are illustrated in Fig. 2. Table 1 lists the ground-based and airborne instruments relevant to
 investigate the evolution of snow microphysical and optical properties.

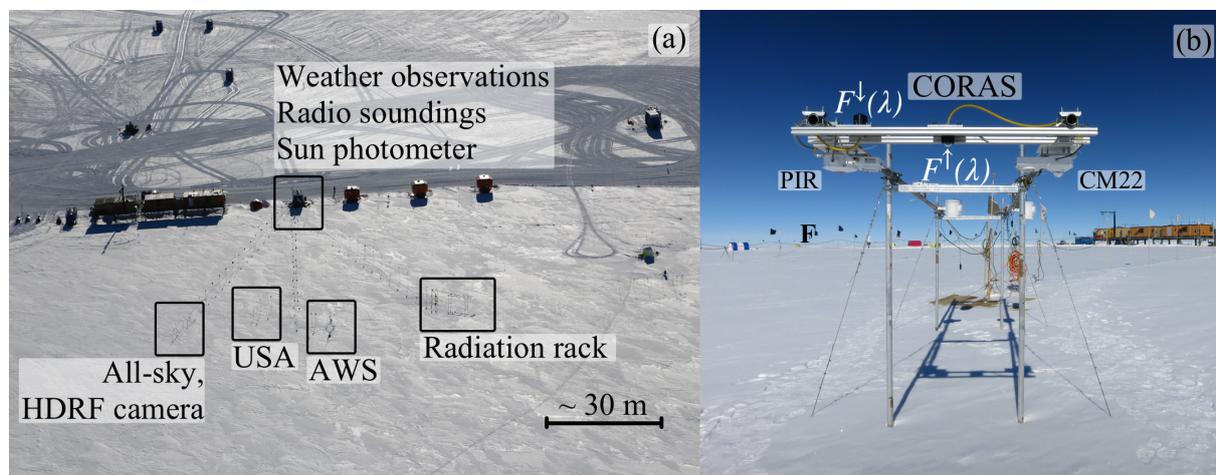


Figure 2. Left (a): Aerial photograph of Kohnen station. The positions of different ground-based instruments are marked with black rectangles. USA: Ultrasonic anemometer. AWS: Automatic weather station. Right (b): Instrument setup at the ground-based radiation rack: optical inlets of the CORAS instrument and the broadband radiation instruments CM22 and PIR.

2.2 Airborne data

15 To characterize the representativeness of the local ground-based observations, an intensive observation phase including airborne
 measurements using the Polar 6 research aircraft from AWI was conducted between 24 December 2013 and 5 January 2014.
 The aircraft flew 60 hours to characterize the spatial variability of snow properties above Dronning Maud Land. A map of the
 tracks of the 18 research flights is shown in Fig. 3. During each flight, an overpass over Kohnen station was realized to compare



airborne and ground-based measurements. The airborne observations covered a wide variety of surface roughness structures as well as different precipitation patterns, which strongly influence snow albedo. Beside solar broadband and spectral radiation measurements, the airborne observations included measurements of snow HDRF by means of a digital camera and surface roughness measurements using a laser scanner. Meteorological measurements were provided by the Aircraft-Integrated Meteorological Measurement System (AIMMS20). The aircraft instrumentation additionally included geophysical observations within the CoFi project (snow and ice thickness).

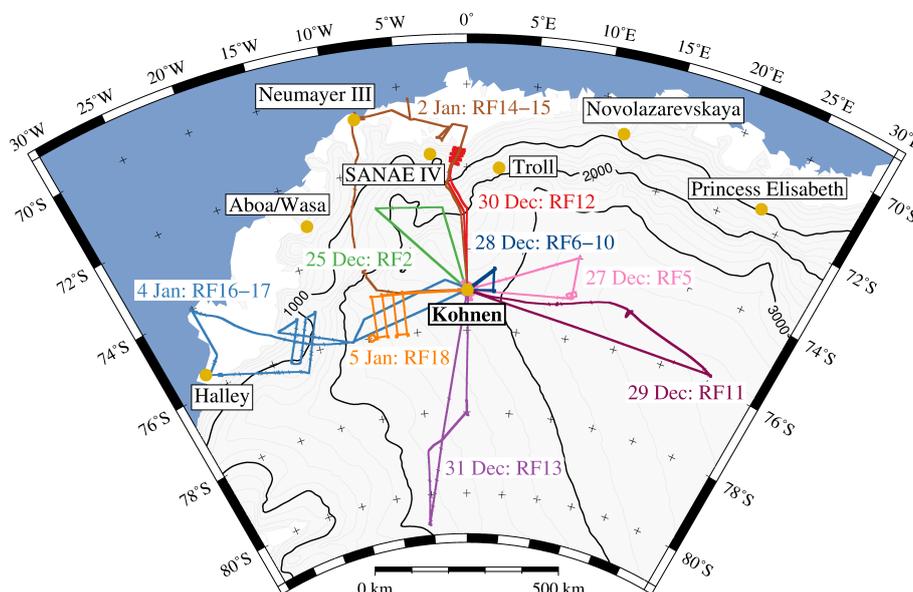


Figure 3. Map of flight patterns with the Polar 6 research aircraft during the campaign. Each color corresponds to a different flight.

On Polar 6, irradiance measurements similar to the ground-based observations were conducted using the Spectral Modular Airborne Radiation measurement system (SMART) which applies an active horizontal stabilization of the optical inlets to correct for aircraft movement (Wendisch et al., 2001; Ehrlich et al., 2008). SMART albedo measurements have an estimated uncertainty of 3.4 % taking into consideration an additional source of uncertainty due to the active horizontal stabilization of 1.0 %.

3 Methodology

3.1 SGSP retrieval algorithm using satellite data

Absorption of solar radiation by ice gets crucial in the near-infrared part of the solar spectrum (Warren and Brandt, 2008). As the effective photon path is longer within larger snow grains, the magnitude of absorption is determined by the size of the snow grains. Hence, snow surface albedo in the near-infrared mainly depends on the effective snow grain size. Zege et al. (1991) showed that the plane albedo $\alpha_p(\theta_0)$ as a function of solar zenith angle θ_0 can be parameterized using an asymptotic solution



of the radiative transfer theory by:

$$\alpha_p(\theta_0) = \exp[-y \cdot K_0(\theta_0)] \quad \text{with} \quad K_0(\theta_0) = \frac{3}{7}(1 + 2 \cos \theta_0). \quad (3)$$

The escape function $K_0(\theta_0)$ (dimensionless) describes the angular distribution of the number of photons escaping from a non-absorbing, semi-infinite medium. The uncertainty introduced by its approximation given in Eq. 3 (Kokhanovsky and Zege, 2004) is below 2% for $\theta_0 < 78^\circ$. Following Kokhanovsky and Zege (2004), the parameter y depends on the volumetric extinction and absorption coefficients of snow b_{ext} and b_{abs} (both in m^{-1}) and the dimensionless asymmetry parameter (average cosine over the phase function) $g(\xi)$ of the snow grain which shape is represented by the parameter ξ :

$$y = 4 \sqrt{\frac{b_{\text{abs}}}{3b_{\text{ext}} \cdot [1 - g(\xi)]}}. \quad (4)$$

Assuming pure snow and applying the framework of geometrical optics, the volumetric extinction and absorption coefficients of snow can be derived by (Kokhanovsky and Zege, 2004):

$$b_{\text{ext}} = \frac{1.5C_v}{r_{\text{eff}}} \quad \text{and} \quad b_{\text{abs}} = B(\xi) \cdot b_{\text{abs,ice}} \cdot C_v = B(\xi) \cdot \frac{4\pi\chi}{\lambda} \cdot C_v, \quad (5)$$

and only depend on the volumetric concentration of snow grains C_v (dimensionless, $\rho_{\text{snow}}/\rho_{\text{ice}}$), the effective snow grain size r_{eff} , the absorption enhancement parameter $B(\xi)$ (dimensionless), and the absorption coefficient of pure ice $b_{\text{abs,ice}}$ (m^{-1}), which is determined by the imaginary part of the complex refractive index of ice χ at wavelength λ . Consequently, Eq. 4 reduces to:

$$y = A \cdot \sqrt{\frac{4\pi\chi}{\lambda} \cdot r_{\text{eff}}} \quad \text{with} \quad A = \frac{4}{3} \sqrt{\frac{2B(\xi)}{1 - g(\xi)}}. \quad (6)$$

The form factor A accounts for the snow grain shape only by merging $B(\xi)$ and $g(\xi)$ into a single parameter. Equation 6 forms the basis of the SGSP retrieval algorithm by Zege et al. (2011) who applied the χ data base by Warren and Brandt (2008) and assumed a form factor of $A = 5.8$. The factor A varies in general between 5.1 (Kokhanovsky and Macke, 1997) for fractals and 6.5 for spheres. Within the SGSP algorithm, a value of 5.8 is used as an average value over a mixture of randomly oriented hexagonal plates and columns with $B(\xi) \approx 1.5$ and $g(\xi) \approx 0.84$. For the retrieval of effective snow grain size from satellite data, snow-atmosphere radiative interactions have to be taken into account by employing an atmosphere model as described in Zege et al. (2011).

Radiance data from MODIS onboard the Aqua and Terra satellites were used to retrieve effective snow grain sizes in the entire area covered by the campaign. The SGSP algorithm was applied for areas identified as cloudless. After a preliminary separation of snow pixels, the effective snow grain size of each pixel is retrieved from radiance measurements of MODIS channels 3 (469 nm wavelength), 2 (858 nm), and 5 (1240 nm).

The final product of estimated effective grain sizes is provided in 2D-maps of spatial resolution of 1 km. For the local effective snow grain size at Kohnen station, the spatial average of the 50 x 50 pixel of MODIS surrounding the geographic coordinates of Kohnen was calculated. Daily averages combine up to four MODIS overpasses per day under cloudless conditions.



For the solar zenith angle range between 60° and 80° , the relative error of the retrieval is below 10 % for effective grain sizes between $30\ \mu\text{m}$ to $300\ \mu\text{m}$. It grows with increasing solar zenith angle and gets as high as 20 % for $\theta_0 = 85^\circ$, and even higher for lower sun elevations. Therefore, the retrieval is generally not applied for $\theta_0 > 85^\circ$. The large uncertainty of the SGSP retrieval for high solar zenith angles is related to the conversion of the measured reflectance from one viewing direction by the satellite sensor into measured albedo. For this, the bidirectional reflectance distribution function (BRDF) of the snow surface has to be assumed. Due to the strong forward scattering characteristic for snow grains, small errors in the assumed BRDF greatly distort the albedo, especially at low sun elevations. Consequently, within this work the satellite retrieval is limited to $\theta_0 \leq 75^\circ$. Furthermore, an additional uncertainty of 2 % originates from the atmospheric model.

3.2 Retrieval of effective snow grain size from spectral albedo measurements

In contrast to the satellite observations, for the ground-based and airborne spectral albedo measurements, the atmospheric influence can be neglected because of the high surface elevation providing a dry and aerosol-free atmosphere (Wendisch et al., 2004). To test this assumption, the direct fraction of global irradiance was simulated with the library for radiative transfer libRadtran by Mayer and Kylling (2005) using the discrete ordinate radiative transfer solver DISORT by Stamnes et al. (1988). The radiosondes released up to four times a day were used for meteorological input (profiles of air temperature, relative humidity, and static air pressure). The contribution of direct solar radiation to the global irradiance measured at the surface was estimated to vary between 94.6 and 99.8 % at the wavelengths used in the retrieval algorithm. Therefore, the simulated diffuse part hardly exceeded 5 % of the total incident irradiance. Hence, the effective snow grain size can be calculated directly by inverting Eqs. 3 and 6:

$$r_{\text{eff}} = \left[\frac{\ln \alpha_p}{A \cdot K_0(\theta_0) \cdot \sqrt{\frac{4\pi\chi}{\lambda}}} \right]^2. \quad (7)$$

The uncertainty of the retrieved r_{eff} is related to the measured albedo and the assumed particle shape. Especially surface albedo values close to unity are uncertain due to the small differences between upward and downward irradiance. To minimize uncertainties, the retrieval algorithm was adapted to spectral ratio measurements as introduced by Werner et al. (2013) and Brückner et al. (2014). Using ratios of measured snow albedo at different wavelengths decreases the retrieval error as the impact of measurement uncertainties is reduced. For the ground-based CORAS and airborne SMART measurements, the ratio \mathcal{R} of albedo measurements at 1280 nm normalized by the albedo at a weakly absorbing wavelength of 1100 nm was used:

$$\mathcal{R} = \frac{\alpha(\lambda_1 = 1280\text{nm})}{\alpha(\lambda_2 = 1100\text{nm})}. \quad (8)$$

Equation 7 thus changes to:

$$r_{\text{eff}} = \left\{ \frac{\ln \mathcal{R}}{A \cdot K_0(\theta_0) \cdot \left[\sqrt{\frac{4\pi\chi(\lambda_2)}{\lambda_2}} - \sqrt{\frac{4\pi\chi(\lambda_1)}{\lambda_1}} \right]} \right\}^2 \quad \text{with} \quad \lambda_1 = 1280\text{nm} \quad \text{and} \quad \lambda_2 = 1100\text{nm}. \quad (9)$$

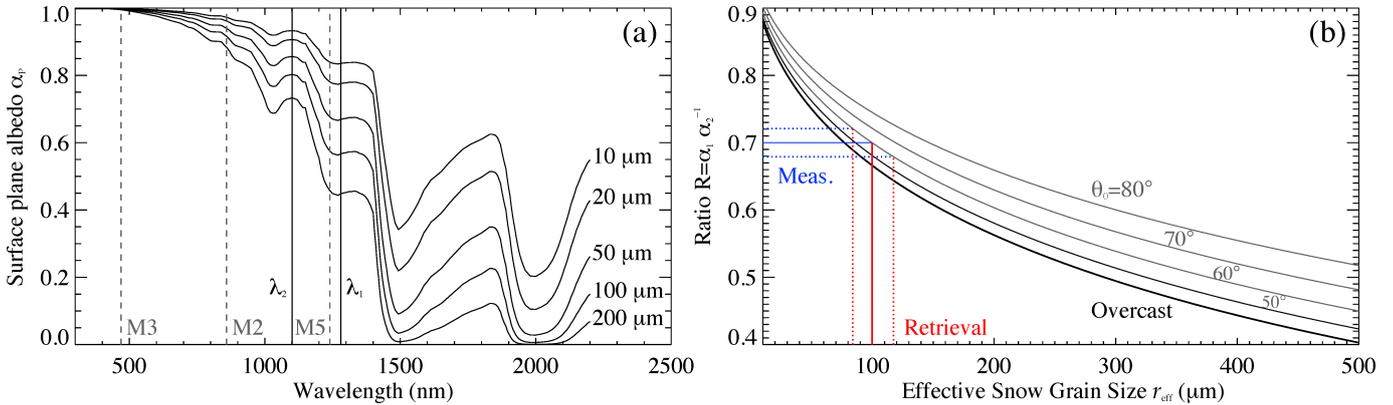


Figure 4. Left (a): Surface plane albedo for effective snow grain sizes between 10 μm and 200 μm , $\theta_0 = 60^\circ$, $A = 5.8$, χ -data from Warren and Brandt (2008). M3, M2, and M5 mark MODIS spectral bands used within the SGSP algorithm. λ_1 and λ_2 denote wavelengths used within the CORAS and SMART grain size retrieval. Right (b): Illustration of retrieval principle. Dependence of ratio \mathcal{R} with respect to effective snow grain size for different solar zenith angles (50° to 80°) and for overcast conditions, $A = 5.8$, χ -data from Warren and Brandt (2008). Blue and red lines illustrate the retrieval of effective snow grain size from a measured albedo ratio $\mathcal{R} = 0.7$ with a relative uncertainty of 3 %.

Figure 4a shows simulated snow surface plane albedos based on Eq. 7 for the wavelength range between 300 nm and 2200 nm for different effective snow grain sizes (10 μm to 200 μm) at 60° solar zenith angle ($A = 5.8$, χ -data from Warren and Brandt, 2008). In addition, it shows the MODIS channels used within the SGSP algorithm (M3, M2, and M5) and the spectral albedos α_p at wavelengths λ_1 and λ_2 used for calculating the albedo ratio \mathcal{R} . The spectra of α_p are related to the wavelength-dependence of the imaginary part of the complex refractive index of ice. Band M5 and λ_1 are situated within a spectral albedo region which is more sensitive to effective snow grain size due to stronger absorption by ice. The retrieval principle is illustrated in Fig. 4b. For four different solar zenith angles ($\theta_0 = 50^\circ - 80^\circ$), it shows the dependence of the measured albedo ratio \mathcal{R} with respect to the effective snow grain size utilizing Eq. 9 ($A = 5.8$, χ -data from Warren and Brandt, 2008). Photons entering the snowpack under grazing angles have a higher probability of escaping the snowpack due to the pronounced forward scattering of ice crystals. This increases the spectral albedo mostly in the spectral range of stronger ice absorption. Therefore, \mathcal{R} increases with lower sun position. The overcast line in Fig. 4b corresponds to a solar zenith angle of around 50° under cloudless conditions, which is in accordance with Wiscombe and Warren (1980). The measurement uncertainty using \mathcal{R} is reduced to 3 % as the transition to relative measurements yields independance from the radiometric calibration. Using Eq. 9, a measured ratio \mathcal{R} of 0.700 ± 0.021 would lead to an estimated effective snow grain size of about $100 \pm 17 \mu\text{m}$ at $\theta_0 = 60^\circ$ (blue and red lines in Fig. 4b). However, the relative uncertainty of the retrieval varies with solar position and effective grain size. In general, it is higher for smaller snow grains. The retrieval uncertainty ranges between 13 % and 31 % for the SSA throughout the measurement period.



3.2.1 Application in cloudless conditions

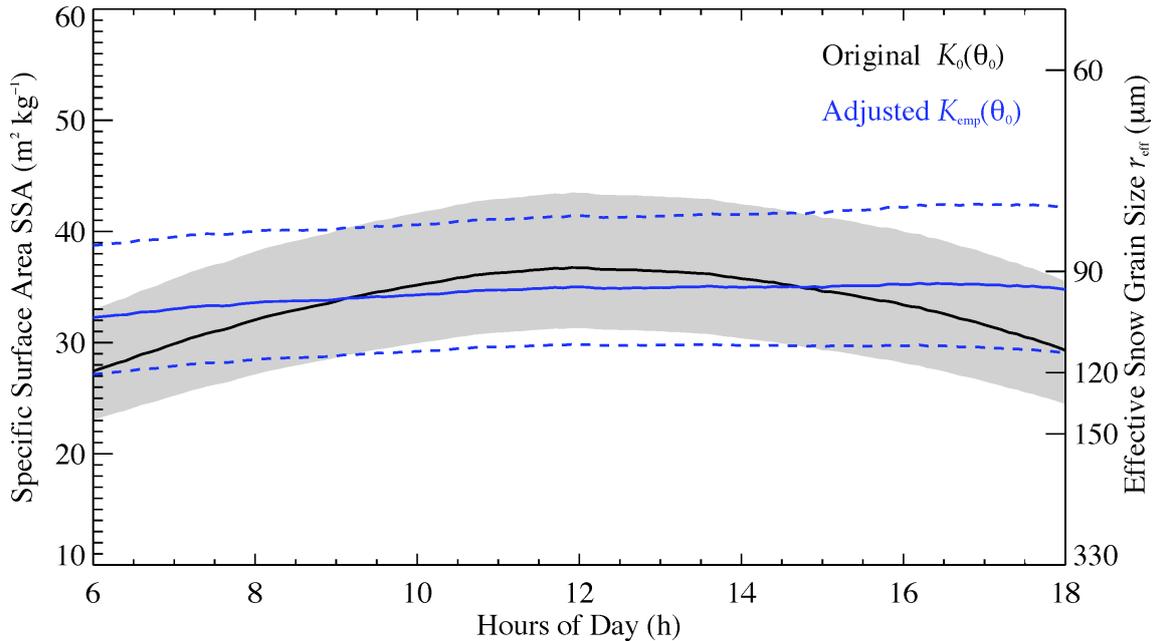


Figure 5. Diurnal cycle of SSA retrieved from CORAS measurements and effective snow grain size at Kohnen station on 24 December 2013. Black: SSA retrieved with original escape function $K_0(\theta_0)$ (solid line) with retrieval uncertainty (shaded gray), Blue: SSA retrieved with empirically adjusted escape function $K_{emp}(\theta_0)$ (solid line) and retrieval uncertainty (dashed lines).

The retrieval algorithm was tested for measurements collected on a day with prevailing cloudless conditions. During this day, changes in effective snow grain size are expected to be small as the last snowfall took place six days earlier. Figure 5 shows the results retrieved from ground-based measurements with CORAS (SSA and effective snow grain size) on 24 December 2013 between 6 UTC and 18 UTC. Effective grain sizes were calculated according to Eq. 9 using the escape function $K_0(\theta_0)$ given by Eq. 3 (black line). The retrieved SSA shows a pronounced diurnal cycle and varies between 27–37 $\text{m}^2 \text{kg}^{-1}$ ($r_{eff} = 90 - 120 \mu\text{m}$). As no snowfall occurred on that day, the diurnal cycle is likely to be an artefact originating from the change in solar zenith angle and the assumed escape function $K_0(\theta_0)$. The escape function might be incorrect if the snow BRDF is more complex due to the non-spherical snow grain shape. In order to eliminate the unrealistic diurnal cycle in the retrieved time series, the escape function was empirically adjusted to:

$$K_{emp}(\theta_0) = \frac{3}{7} (1.5 + 1.1 \cos \theta_0). \quad (10)$$

Applying K_{emp} , the diurnal cycle in the retrieved SSA was significantly reduced (blue line in Fig. 5). The SSA ranges only between 32–35 $\text{m}^2 \text{kg}^{-1}$ (93–101 μm). Analyses of time series on other cloudless days showed similar features. Therefore, K_{emp} was applied for the entire period of measurements. To minimize the impact of solar zenith angle even further, measurements



between 13 UTC and 14 UTC were preferably analyzed to represent the typical daily value of SSA and r_{eff} . Using these times of day additionally ensures higher upward and downward irradiances and, therefore, a reduced measurement uncertainty by enhanced signal-to-noise ratios. The retrieval time period is also close to the probing of in situ SSA between 15 and 18 UTC which is favorable for the comparison.

5 3.2.2 Extension to overcast conditions

The retrieval in overcast conditions was only applied when no cloudless period occurred during the day. The original SGSP algorithm is restricted to cloud-free scenes. However, in case of ground-based measurements, the analysis can be extended to cloudy conditions by using the spherical albedo and assuming isotropic illumination by the clouds. In this case, the spherical albedo α_s can be expressed by using $K_0(\theta_0) = 1$ (isotropic) in Eq. 3 (Kokhanovsky and Zege, 2004):

$$10 \quad \alpha_s = \exp(-y). \quad (11)$$

Using the albedo ratio \mathcal{R} in Eq. 9, the retrieved effective snow grain size is obtained in overcast conditions:

$$r_{\text{eff}}^{\text{cld}} = \left\{ \frac{\ln \mathcal{R}}{A \cdot \left[\sqrt{\frac{4\pi \chi(\lambda_2)}{\lambda_2}} - \sqrt{\frac{4\pi \chi(\lambda_1)}{\lambda_1}} \right]} \right\}^2. \quad (12)$$

Equation 12 is illustrated within Fig. 4b for comparison (overcast line).

3.2.3 Retrieval using airborne measurements

- 15 For the airborne observations, SSA and r_{eff} were retrieved in a similar way as described above for ground-based data but using measurements of SMART. For comparison with the ground-based observations, any flight leg over the 5 x 5 pixel of MODIS surrounding Kohnen station (5 x 5 km²) is regarded as an overflight. The retrieval was not applied to each single measurement point but to the mean albedo measured within this box. The uncertainties of the retrieval were estimated similarly to the ground-based CORAS measurements, with the exception that the uncertainty of irradiance measurements is assumed to be
- 20 slightly higher due to the remaining uncertainty in the horizontal leveling of the airborne sensors by the horizontal stabilization of SMART. As a result, the estimated uncertainty of the measured albedo ratio \mathcal{R} is about 3.3 %.

3.3 Influence of wavelength choice

- The in situ measurements and all retrievals (in the original and adapted SGSP algorithm) use different wavelengths. Therefore, each instrument retrieves the effective grain size from a different depth within the snowpack. Vertical differences in snow
- 25 grain size can impose systematic differences in the retrieved values between the various instruments. To quantify the impact of the choice of wavelength, the e-folding depth $\epsilon(\lambda)$ was calculated. It is defined as the distance in the snowpack over which the irradiance reduces to 1/e or 37 % of the incident value and is wavelength-dependent. Following Zege et al. (1991), it is



calculated by:

$$\epsilon(\lambda) = \left\{ 3 \frac{\rho_{\text{snow}}}{\rho_{\text{ice}}} \cdot \sqrt{2\pi \cdot \frac{\chi}{\lambda r_{\text{eff}}} \cdot B(\xi) \cdot [1 - g(\xi)]} \right\}^{-1}. \quad (13)$$

The calculation assumed a mixture of hexagonal plates and columns ($A = 5.8$). The IceCube system penetrates 0.14–0.31 cm into the snowpack at 1310 nm (at snow densities between 280 and 360 kg m⁻³). At the wavelengths more sensitive to ice absorption, CORAS (SMART) mainly measures photons reflected in a depth up to 0.30 cm (at 1280 nm) and MODIS channel 5 (1240 nm) receives reflected radiation from a depth similar to the IceCube system. Hence, the penetration depth is almost identical for all measurement devices reducing its potential influence on the differences in the retrieved SSA signal.

4 Results and discussion

Figure 6 shows the time series of SSA and respective effective snow grain size derived from satellite (MODIS, red), ground-based (CORAS, blue) and airborne (SMART, green) observations between 10 December 2013 and 31 January 2014 at Kohonen station. For comparison, the in situ data from Freitag et al. (in prep.) are shown in black.

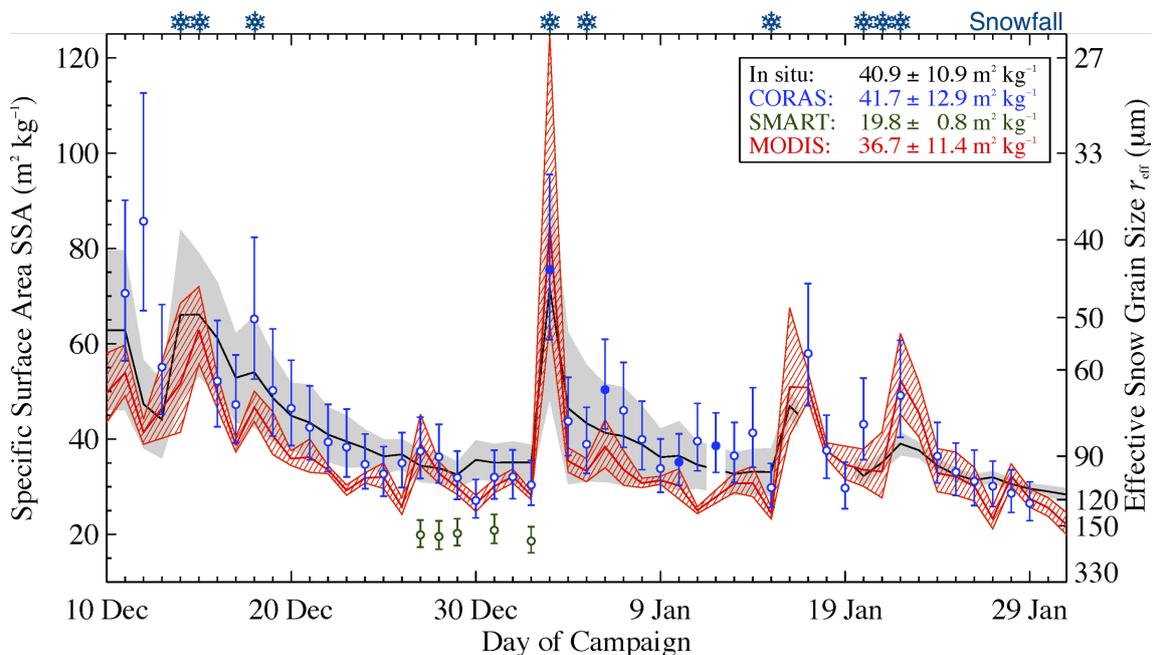


Figure 6. Time series of measured SSA and r_{eff} for the entire campaign at Kohonen station. Black: Mean (solid line) and standard deviation (shaded gray) for in situ measurements (data from: Freitag et al., in prep.), Red: MODIS retrieval, Blue: CORAS retrieval in cloudless (open circles) and overcast (filled circles) conditions, Green: SMART retrieval in cloudless (open circles) conditions. Top right: mean and standard deviation of the SSA retrieved by the different instruments. Snowflake symbols denote snowfall events.



4.1 SSA and effective snow grain size from CORAS (ground-based)

SSA retrieved from CORAS measurements (blue circles in Fig. 6) varied between $27 \text{ m}^2 \text{ kg}^{-1}$ and $86 \text{ m}^2 \text{ kg}^{-1}$ throughout the campaign. The evolution of the time series revealed four pronounced maxima (minima in r_{eff}) on 18 December 2013, 3, 17, and 22 January 2014, which can be related to snowfall of about 1 mm at Kohlen. The fresh fallen snow consists of smaller grains which increases SSA of the uppermost snow layer. The average snowfall-induced increase in SSA is $28 \text{ m}^2 \text{ kg}^{-1}$. These highest SSA values are followed by a gradual decrease in SSA. From 18 to 30 December 2013, the SSA decreased daily by approximately $3.2 \text{ m}^2 \text{ kg}^{-1} \text{ d}^{-1}$ from $65 \text{ m}^2 \text{ kg}^{-1}$ to $27 \text{ m}^2 \text{ kg}^{-1}$. This corresponds to an increase in effective snow grain size by $5.9 \mu\text{m}$ per day. This decrease in SSA is slightly slower than measured by Libois et al. (2015) at Dome C ($75^\circ 6' \text{ S}$, $123^\circ 0' \text{ E}$), Antarctica. They observed a drop in SSA from $90 \text{ m}^2 \text{ kg}^{-1}$ to $30 \text{ m}^2 \text{ kg}^{-1}$ within 10 days due to snow metamorphism. However, some abrupt decreases in SSA such as from 3 to 4 January 2014 cannot be explained by metamorphism alone, especially under the cold conditions on the Antarctic plateau. Instead, this strong increase in effective grain size within one day is supposedly caused by strong wind, which removes the small, fresh fallen snow grains and exposes deeper layers of larger grains. With mean wind speeds of 4 m s^{-1} and maximum wind gusts reaching 11 m s^{-1} at Kohlen station, drifting snow occurred mainly due to creeping or saltation of the ice crystals. This wind-induced transportation of fresh fallen snow grains is superimposed on the signal of snow metamorphism in the temporal evolution of SSA retrieved from CORAS.

The mean SSA is $42 \pm 13 \text{ m}^2 \text{ kg}^{-1}$. The SSA retrieved from CORAS measurements reproduces the in situ probing (solid black line in Fig. 6) within the measurement uncertainties and range within the shaded gray area, which indicates the standard deviation of the mean SSA value along the 100 m-transect where SSA was probed. The standard deviation is a measure of the small-scale variability in SSA mainly caused by wind-induced roughness structures of the snow surface. Nevertheless, the temporal signal in SSA is significant for all sample positions. Only during the end of the campaign, the last two snowfall-induced maxima in SSA are overestimated by CORAS. The agreement between the SSA retrieved by CORAS and the in situ data is reflected in the linear correlation coefficient of 0.81 (95 % confidence interval: 0.67-0.89).

On eight days throughout the campaign, no retrieval of SSA using CORAS data was possible due to broken clouds. For that reason, e. g., the first maximum of the in situ measured SSA (15 December 2013) could not be reproduced by CORAS data. Overcast retrieval conditions were used on four days (filled circles: 3, 6, 10, and 12 January 2014). The retrieved SSA on overcast days agree well with the in situ measurements and are in coherence with the retrieved SSA under cloudless conditions. This illustrates the potential of extending the retrieval method by applying the spherical albedo. In addition, it highlights the benefit of ground-based observations that, in comparison to satellite observations, provide continuous time series and are not restricted to cloudless time periods only.

4.2 SSA and effective snow grain size from SMART (airborne)

On five days between 27 December 2013 and 2 January 2014, SSA and r_{eff} were retrieved from airborne spectral albedo measurements by SMART (green circles in Fig. 6). With a mean value of $20 \pm 1 \text{ m}^2 \text{ kg}^{-1}$, SMART seems to systematically underestimate in situ SSA by a factor of 2.1. Using the same calibration reference and the identical retrieval algorithm for



both CORAS and SMART, the differences are likely connected to the different sizes of the sampling areas covered by both instruments. While CORAS measurements represent a spot of about $2 \times 2 \text{ m}^2$, SMART measurements have a larger footprint and were averaged over an area of $5 \times 5 \text{ km}^2$ surrounding Kohnen station. On such scales, the local small-scale variability of SSA as indicated by the in situ measurements can lead to significant differences in SSA. Already along the 100 m-transect, in situ SSA varied by up to 34 % (4 January 2014). On larger scales, this variability is likely to increase.

4.3 SSA and effective snow grain size from MODIS (spaceborne)

A smaller bias is present in the SSA retrieved from MODIS data ($37 \pm 11 \text{ m}^2 \text{ kg}^{-1}$, red line in Fig. 6), which integrates over an area of $50 \times 50 \text{ km}^2$ surrounding Kohnen station. The uncertainty in Fig. 6 is given as the standard deviation of SSA over the $50 \times 50 \text{ km}^2$. The SSA derived from MODIS observations could reproduce the SSA signal from in situ measurements, the linear correlation coefficient is with 0.86 (95% confidence interval: 0.75-0.92) slightly higher than for the CORAS measurements. Furthermore, it was able to resolve abrupt changes in SSA due to precipitation or wind-induced transportation of snow grains. For high solar zenith angles, the SGSP algorithm is known to underestimate the actual effective grain size (Zege et al., 2011). During the entire observation period, the solar zenith angle varied between 52° and 87° and in 48 % of the time was higher than 70° . However, the effective snow grain size retrieved from MODIS data mostly showed a slight overestimation compared to the in situ measurements. The comparison of the ground-based (CORAS) and spaceborne (MODIS) remote sensing methods to retrieve SSA yields a linear correlation coefficient of 0.77 (95 % confidence interval: 0.61-0.87) which lies in the same range as the correlation coefficient between CORAS and the in situ measurements.

5 Conclusions

The temporal variability of SSA and respective r_{eff} on the East Antarctic plateau were investigated during austral summer 2013/14 utilizing spectral albedo measurements (ground-based and airborne) and MODIS reflectance measurements. The retrieved SSA and r_{eff} were compared with in situ data.

For the retrievals from spectral surface albedo measurements, the SGSP algorithm was extended to spectral ratios of albedo at 1280 nm and 1100 nm wavelength. Being independent of systematic measurement uncertainties (e.g., radiometric calibration), this approach decreases the uncertainty of the retrieved SSA compared to the single-wavelength approach. The retrieval was successfully applied to measurements in overcast conditions by using the spherical instead of the plane albedo. Satellite observations are limited by clouds in space and time. During the two months of observations at Kohnen station, cloudless conditions were present only 264 h out of 1272 h total observation time (21 %). However, many cloudy periods were characterized by a broken cloud field (62 % of total observation time). In this case, the concept of spherical albedo is not applicable and SSA retrievals might fail. Therefore, only overcast conditions were included in the analysis.

SSA retrieved from CORAS measurements varied between $27 \text{ m}^2 \text{ kg}^{-1}$ and $86 \text{ m}^2 \text{ kg}^{-1}$ and revealed distinct maxima related to light snowfall at Kohnen station. The average increase in SSA due to snowfall was $28 \text{ m}^2 \text{ kg}^{-1}$. The maxima were followed by a gradual decrease in SSA, which was partly caused by snow metamorphism and by wind-induced transport of the



fresh fallen ice crystals. During the longest dry period (18 until 30 December 2013), SSA decreased on average by $3.2 \text{ m}^2 \text{ kg}^{-1}$ per day. This corresponded to a daily increase of r_{eff} by $5.9 \mu\text{m}$.

The temporal evolution of SSA retrieved from the ground-based CORAS measurements could reproduce the in situ measurements (linear correlation coefficient: 0.81). The same holds true for the spaceborne MODIS retrieval (0.86). Despite the
5 biases in SSA retrieved from the different instruments, the agreement especially between SSA retrieved from CORAS and in situ measurements emphasizes the potential of the retrieval algorithms. SSA retrieved from airborne SMART measurements underestimated in situ SSA by a factor of 2.1. This might be due to spatial averaging. However, especially the differences between SSA derived from CORAS and SMART measurements need to be investigated in more detail in further research, before extending the retrieval validation between SMART and MODIS to a larger area in Dronning Maud Land which would
10 also cover coastal areas with supposedly higher variability in SSA. An Antarctic-wide survey of albedo mapping can only be achieved by satellite observations. For this purpose, a more detailed understanding of the relation between satellite and in situ observation of SSA is required, including the influence of the different spatial scales of satellite, airborne, and in situ measurements.

The validation presented in this study provided an unique testbed for retrievals of effective snow grain size from satellite
15 reflectance and spectral surface albedo measurements under Antarctic conditions where in situ data are scarce and can be used for testing prognostic snowpack models in Antarctic conditions.

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**Table 1.** List of instruments operated on ground and on Polar 6 aircraft.

	Instrument	Measured quantity	Specifications
Ground-based (Kohnen)	Kipp & Zonen CM22	F^\downarrow, F^\uparrow (W m^{-2})	Broadband, 0.2-3.6 μm
	Eppley Precision Infrared Radiometer	F^\downarrow, F^\uparrow (W m^{-2})	Broadband, 3.5-50 μm
	CORAS	$F^\downarrow(\lambda), F^\uparrow(\lambda)$ ($\text{W m}^{-2} \text{nm}^{-1}$)	Spectral, 0.3-2.2 μm
	CANON EOS 6D	I^\uparrow ($\text{W m}^{-2} \text{nm}^{-1} \text{sr}^{-1}$)	180° fish-eye lens
	CANON EOS 600D	All-sky images	
	CANON EOS 600D (2x)	Photogrammetric images	Image overlap: 50%
	Sun photometer SPIA31	AOD	10 channels: 368.5 to 1019.4 nm
	Automatic weather station	$p, T, \text{RH}, F^\uparrow, F^\downarrow, \vec{v}$, snow accumulation	1 min averages
	Ultrasonic anemometer	\vec{v}	
	Radio sounding	p, T, RH, \vec{v}	
	Synoptic observations	Cloud cover, precipitation	Visual observation
	IceCube by A2 Photonic Sensors	SSA ($\text{m}^2 \text{kg}^{-1}$), ρ_{snow} (kg m^{-3})	Wavelength: 1310 nm
	Pt-100	Snow temperature profile	
Airborne (Polar 6)	Kipp & Zonen CMP22	F^\downarrow, F^\uparrow (W m^{-2})	Broadband, 0.2-3.6 μm
	Kipp & Zonen CGR4	F^\downarrow, F^\uparrow (W m^{-2})	Broadband, 4.5-42 μm
	SMART	$F^\downarrow(\lambda), F^\uparrow(\lambda)$ ($\text{W m}^{-2} \text{nm}^{-1}$)	Spectral, 0.3-2.2 μm
		$I^\downarrow(\lambda), I^\uparrow(\lambda)$ ($\text{W m}^{-2} \text{nm}^{-1} \text{sr}^{-1}$)	Spectral, 0.3-2.2 μm
	CANON EOS 1D Mark III	I^\uparrow ($\text{W m}^{-2} \text{nm}^{-1} \text{sr}^{-1}$)	180° fish-eye lens
	RIEGL VQ580	Surface topography	Airborne laser scanner
	AIMMS20	\vec{v}, p	Meteorological measurements
	KT19	T_{surf}	Radiation thermometer

F^\downarrow : downward irradiance, F^\uparrow : upward irradiance, λ : wavelength (indicates spectral quantity), I^\uparrow : reflected radiance, AOD: aerosol optical depth, p : pressure, T : temperature, RH: relative humidity, \vec{v} : wind vector, SSA: specific surface area, ρ_{snow} : snow density, T_{surf} : surface temperature