Mapping and Assessing Variability in the Antarctic Marginal Ice Zone, the Pack Ice and Coastal Polynyas in two Sea Ice Algorithms with implications on Breeding Success of Snow Petrels

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13 Abstract

14 Sea ice variability within the marginal ice zone (MIZ) and polynyas plays an important role for 15 phytoplankton productivity and krill abundance. Therefore, mapping their spatial extent, 16 seasonal and interannual variability is essential for understanding how current and future changes 17 in these biologically active regions may impact the Antarctic marine ecosystem. Knowledge of 18 the distribution of MIZ, consolidated pack ice and coastal polynyas to the total Antarctic sea ice 19 cover may also help to shed light on the factors contributing towards recent expansion of the 20 Antarctic ice cover in some regions and contraction in others. The long-term passive microwave 21 satellite data record provides the longest and most consistent record for assessing the proportion 22 of the sea ice cover that is covered by each of these ice categories. However, estimates of the 23 amount of MIZ, consolidated pack ice and polynyas depends strongly on what sea ice algorithm 24 is used. This study uses two popular passive microwave sea ice algorithms, the NASA Team and 25 Bootstrap, and applies the same thresholds to the sea ice concentrations to evaluate the 26 distribution and variability in the MIZ, the consolidated pack ice and coastal polynyas. Results 27 reveal that the seasonal cycle in the MIZ and pack ice is generally similar between both 28 algorithms, yet the NASA Team algorithm has on average twice the MIZ and half the 29 consolidated pack ice area as the Bootstrap algorithm. Trends also differ, with the Bootstrap 30 algorithm suggesting statistically significant trends towards increased pack ice area and no 31 statistically significant trends in the MIZ. The NASA Team algorithm on the other hand 32 indicates statistically significant positive trends in the MIZ during spring. Potential coastal 33 polynya area and broken ice within the consolidated ice pack is also larger in the NASA Team 34 algorithm. The timing of maximum polynya area may differ by as much as 5 months between 35 algorithms. These differences lead to different relationships between sea ice characteristics and 36 biological processes, as illustrated here with the breeding success of an Antarctic seabird.

37 **1. Introduction**

Changes in the amount of the ocean surface covered by sea ice play an important role in the global climate system. For one, sea ice and its snow cover have a high surface reflectivity, or albedo, reflecting the majority of the sun's energy back to space. This helps to keep the polar 41 regions cool and moderates the global climate. When sea ice melts or retreats, the darker (lower 42 albedo) ocean is exposed, allowing the ocean to absorb solar energy and warm, which in turn 43 melts more ice, creating a positive feedback loop. During winter, sea ice helps to insulate the 44 ocean from the cold atmosphere, influencing the exchange of heat and moisture to the 45 atmosphere with impacts on cloud cover, pressure distribution and precipitation. These in turn 46 can lead to large-scale atmospheric changes, affecting global weather patterns [e.g. Jaiser et al., 47 2012]. Sea ice also has important implications for the entire polar marine ecosystem, including 48 sea ice algae, phytoplankton, crustaceans, fish, seabirds, and marine mammals, all of which 49 depend on the seasonal cycle of ice formation in winter and ice melt in summer. For example, 50 sea ice melt stratifies the water column, producing optimal light conditions for stimulating bloom 51 conditions. Antarctic sea birds rely upon the phytoplankton bloom for their breeding success and 52 survival [e.g. Park et al., 1999]. 53 In stark contrast to the Arctic, which is undergoing a period of accelerated ice loss [e.g. 54 Stroeve et al., 2012; Serreze and Stroeve, 2015], the Antarctic is witnessing a modest increase in 55 total sea ice extent [Parkinson and Cavalieri, 2012; Simmonds et al., 2015]. Sea ice around 56 Antarctica reached another record high extent in September 2014, recording a maximum extent 57 of more than 20 million km² for the first time since the modern passive microwave satellite data 58 record began in October 1978. This follows previous record maxima in 2012 and 2013 [Reid et 59 al., 2015], resulting in an overall increase in Antarctic September sea ice extent of 1.1% per 60 decade since 1979. While the observed increase is statistically significant, Antarctic's sea ice extent (SIE) is also highly variable from year to year and region to region [e.g. Maksym et al., 61 62 2012; Parkinson and Cavalieri, 2012; Stammerjohn et al., 2012]. For example, around the West 63 Antarctic Peninsula (WAP), there have been large decreases in sea ice extent and sea ice duration 64 [e.g. Ducklow et al., 2012; Smith and Stammerjohn, 2001], coinciding with rapid warming since 65 1950 [Ducklow et al., 2012]. The temporal variability of the circumpolar Antarctic sea ice extent is underscored by sea ice 66 67 conditions in 2015 when the winter ice cover returned back to the 1981-2010 long-term mean. 68 Also, recent sea ice assessments from early satellite images from the Nimbus program of the late 69 1960s indicate similarly high but variable SIE as observed over 2012-2014 [Meier et al., 2013; 70 Gallaher et al., 2014]. Mapping of the September 1964 ice edge indicates that ice extent likely 71 exceeded both the 2012 and 2013 record monthly-average maxima, at 19.7±0.3 million km². This was followed in August 1966 by an extent estimated at 15.9±0.3 million km², considerably 72

72 rins was followed in August 1966 by an extent estimated at 13.9 ± 0.5 minion km , considerable rate at 13.9 ± 0.5 minion km , considerable rat 13.9

74 circumpolar average also hides contrasting regional variability, with some regions showing either

75 strong positive or negative trends with magnitudes equivalent to those observed in the Arctic

76 [*Stammerjohn et al.*, 2012]. In short, interannual and regional variability in Antarctic sea ice is

considerable, and while the current positive trend in circumpolar averaged Antarctic sea ice

extent is important, it is not unprecedented compared to observations from the 1960s and it is not regionally distributed.

Several explanations have been put forward to explain the positive Antarctic sea ice trends. Studies point to anomalous short-term wind patterns that both grow and spread out the ice, related to the strength of the Amundsen Sea low pressure [e.g. *Turner et al.*, 2013; *Reid et al.*, 2015; *Holland and Kwok*, 2012]. Other studies suggest melt water from the underside of floating ice surrounding the continent has risen to the surface and contributed to a slight freshening of the surface ocean [e.g. *Bintanja et al.*, 2013]. While these studies have helped to better understand

86 how the ice, ocean and atmosphere interact, 2012 to 2014 showed different regions and seasons

contributing to the net positive sea ice extent, which has made it difficult to establish clear linksand suggests that no one mechanism can explain the overall increase.

89 While the reasons for the increases in total extent remain poorly understood, it is likely that 90 these changes are not just impacting total sea ice extent but also the distribution of pack ice, the 91 marginal ice zone (MIZ) and polynyas. The MIZ is a highly dynamic region of the ice cover, 92 defined by the transition between the open ocean and the consolidated pack ice. In the Antarctic, 93 wave action penetrates hundreds of kilometers into the ice pack, resulting in small rounded ice 94 floes from wave-induced fracture [Kohout et al., 2014]. This in turn makes the MIZ region 95 particularly sensitive to both atmospheric and oceanic forcing, such that during quiescent 96 conditions, it may consist of a diffuse thin ice cover, with isolated thicker ice floes distributed 97 over a large (hundreds of kilometers) area. During high on-ice wind and wave events, the MIZ 98 region contracts to a compact ice edge with rafted ice pressed together in front of the solid ice 99 pack. The smaller the ice floes, the more mobile they are and large variability in ice conditions 100 can be found in response to changing wind and ocean conditions. Polynyas on the other hand are 101 open water areas near the continental margins [e.g. Morales-Magueda et al., 2004] that often 102 remain open as a result of strong katabatic winds flowing down the Antarctic plateau. The winds 103 continuously push the newly formed sea ice away from the continent, which influences the outer 104 ice edge as well, thus contributing to the overall increase in total ice extent in specific regions 105 around the Antarctic continent where katabatic winds are persistent. 106 Both polynyas and the MIZ are biologically important regions of the sea ice cover that have

implications for the entire trophic web, from primary productivity [*Yun et al.*, 2015], to top
predator species, such as seabirds. Near the ice edge and in the MIZ, the stable upper layer of the
water column is optimal for phytoplankton production [e.g. *Park et al.*, 1999]. This

110 phytoplankton bloom is subsequently exploited by zooplankton, with effects that cascade up to 111 fish, seabirds and marine mammals. Similarly, within polynyas there is a narrow opportunity for

phytoplankton growth, the timing of which plays an important role in both biogeochemical

113 cycles [*Smith and Barber*, 2007] and biological production [*Arrigo and van Dijken*, 2003; *Ainley*

114 *et al.*, 2010]. However, while studies have suggested that the timing of sea ice retreat is 115 synchronized with the timing of the phytoplankton bloom, other factors such as wind forcing

116 [*Chiswell*, 2011], thermal convection [*Ferrari et al.*, 2014] and iron availability [*Boyd et al.*,

117 2007, and references therein] play important roles as well.

118 In this study we use the long-term passive microwave sea ice concentration data record to 119 evaluate variability and trends in the MIZ, the pack ice and polynyas from 1979 to 2014. A 120 complication arises however as to which sea ice algorithm to use. There are at least a dozen 121 algorithms available, spanning different time-periods, which give sea ice concentrations that are 122 not necessarily consistent with each other [see Ivanova et al., 2015; 2014 for more information]. 123 To complicate matters, different studies have used different sea ice algorithms to examine sea ice 124 variability and attribution. For example, *Hobbs and Raphael* [2010] used the Had1SST1 sea ice 125 concentration data set [Rayner et al., 2003], which is based on the NASA Team algorithm 126 [Cavalieri et al., 1999], whereas Raphael and Hobbs [2014] relied on the Bootstrap algorithm [Comiso and Nishio, 2008]. To examine the influence in the choice of sea ice algorithm on the 127 128 results, we use both the Bootstrap (BT) and NASA Team (NT) sea ice algorithms. Results are 129 evaluated hemispheric-wide and also for different regions. We then discuss the different 130 implications resulting from the two different satellite estimates for biological impact studies. We

focus on the breeding success of snow petrels because seabirds have been identified as useful

indicators of the health and status of marine ecosystems [*Piatt and Sydeman*, 2007].

133 2. Data and Methods

134 To map different ice categories, the long-term passive microwave data record is used, which 135 spans several satellite missions, including the Scanning Multichannel Microwave Radiometer 136 (SMMR) on the Nimbus-7 satellite (October 1978 to August 1987), the Special Sensor 137 Microwave/Imager (SSM/I) sensors -F8 (July 1987 to December 1991), -F11 (December 1991 to 138 September 1995), -F13 (May 1995 to December 2007) and the Special Sensor Microwave 139 Imager/Sounder (SSMIS) sensor -F17 (January 2007- to present), both on the Defense 140 Meteorological Satellite Program's (DMSP) satellites. Derived sea ice concentrations (SICs) 141 from both the Bootstrap [Comiso and Nishio, 2008] and the NASA Team [Gloersen et al., 1992; 142 Cavalieri et al., 1999] are available from the National Snow and Ice Data Center (NSIDC) and 143 provide daily fields from October 1978 to present, gridded to a 25 km polar stereographic grid. 144 While a large variety of SIC algorithms are available, the lack of good validation has made it 145 difficult to determine which algorithm provides the most accurate results during all times of the year and for all regions. Using two algorithms provides a consistency check on variability and 146 147 trends. Note that NSIDC has recently combined these two algorithms to build a climate data 148 record (CDR) [Meier et al., 2013]. 149 Using these SIC fields, we define six binary categories of sea ice based on different SIC 150 thresholds [Table 1]. Because the marginal ice zone is highly dynamic in time and space, it is 151 difficult to precisely define this region of the ice cover. Wadhams [1986] defined the MIZ as that 152 part of the ice cover close enough to the open ocean boundary to be impacted by its presence, 153 e.g. by waves. Thus the MIZ is typically defined as the part of the sea ice that is close enough to 154 the open ocean to be heavily influenced by waves, and it extends from the open ocean to the 155 dense pack ice. In this study, we define the MIZ as extending from the outer sea ice/open ocean boundary (defined by SIC > 0.15 ice fraction) to the boundary of the consolidated pack ice 156 157 (defined by SIC = 0.80). This definition was previously used by *Strong and Rigor* [2013] to 158 assess MIZ changes in the Arctic and matches the upper SIC limit used by the National Ice 159 Center in mapping the Arctic MIZ. The consolidated ice pack is then defined as the area south of 160 the MIZ with ice fractions between 0.80 < SIC < 1.0. Potential coastal polynyas are defined as 161 regions near the coast that have SIC < 0.80. 162 To automate the mapping of different ice categories, radial transects from 50 to 90S are 163 individually selected to construct one-dimensional profiles [Figure 1]. The algorithm first steps 164 from the outer edge until the 0.15 SIC is detected, providing the latitude of the outer MIZ edge. 165 Next, the algorithm steps from the outer MIZ edge until either the 0.80 SIC is encountered, or the 166 continent is reached. Data points along the transect between these SIC thresholds are flagged as 167 the MIZ. In this way, the MIZ includes an outer band of low sea ice concentrations that 168 surrounds a band of inner consolidated pack ice, but sometimes the MIZ also extends all the way 169 to the Antarctic coastline (as sometimes observed in summer). South of the MIZ, the 170 consolidated ice pack (0.80 < SIC < 1.0) is encountered; however, low sea ice concentrations can 171 appear near the coast inside the pack ice region as well. These are areas of potential coastal polynyas. While it is difficult to measure the fine scale location of a polynya at 25km spatial 172 173 resolution, the lower sea ice concentrations provide an indication of some open water near the 174 coast, which for sea birds provides a source of open water for foraging. We have previously 175 tested mapping polynyas using a SIC threshold of 0.75 and 0.85 for the NASA Team and 176 Bootstrap algorithms, respectively, and found that these thresholds provided consistent polynya

areas between the two algorithms and matched other estimates of the spatial distribution of

polynyas [see *Li et al.*, 2016]. However, for this study we chose just one threshold, a

compromise between the two algorithms, so that we can better determine the sensitivity of usingthe same threshold on polynya area and timing of formation.

Using our method of radial transects, the algorithm then steps from the coast northward and flags pixels with < 0.80 SIC until a 0.80 SIC pixel appears and defines that region as a potential coastal polynya. Within the consolidated pack ice (and away from the coast), it is also possible to encounter instances where 0.15 < SIC < 0.80 or SIC < 0.15. These are flagged as open pack ice and open water areas within the consolidated pack ice, respectively. Finally, an ocean mask derived from climatology and distributed by NSIDC was applied to remove spurious ice concentrations at the ice edge as a result of weather effects.

188 Figure 2 shows sample images of the classification scheme as applied to the NASA Team 189 and Bootstrap algorithms on days 70 (March 11) and 273 (September 30), respectively, in 2013. 190 During the fall and winter months when the ice cover is expanding there is a well-established 191 consolidated pack ice region, surrounded by the outer MIZ. Coastal polynyas are also found 192 surrounding the continent in both algorithms. The BT algorithm tends to show a larger 193 consolidated ice pack than NT, particularly during the timing of maximum extent. During the 194 melt season there is mixing of low and high ice concentrations, leading to mixtures of different 195 categories, which is still seen to some extent in the March images. However, during March areas 196 of polynyas (green), open water (pink) and open pack ice (orange) appear to extend from the 197 coastline in some areas (e.g. southern Weddell and Ross seas). While any pixel with SIC < 0.8198 adjacent to the coastal boundary is flagged as potential polynya when stepping northwards, if a 199 pixel is already flagged as MIZ or consolidated pack ice when stepping southwards, it remains 200 flagged as MIZ or pack ice. After that analysis, a check for pixels with SICs less than 0.8 is done 201 to flag for broken ice or open water. Thus, during these months (e.g. December to February or

202 March), the physical interpretation of the different ice classes may be less useful.

203 Using the binary classification scheme, daily gridded fields at each 25 km pixel are obtained. 204 Using this gridded data set we then obtain regional averages for five different regions as defined 205 previously by Parkinson and Cavalieri [2012]. These regions are shown in Figure 3 for 206 reference. Climatological mean daily and monthly time-series spanning 1981 to 2010 are 207 computed for each of the five sub-regions, as well as the entire circumpolar region, and for each 208 ice classification together with the +/- one standard deviation (1 σ). Monthly trends over the 209 entire time-series are computed by first averaging the daily fields into monthly values and then 210 using a standard linear least squares, with statistical significance evaluated at the 90th, 95th and 211 99th percentiles using a student t-test.

212 **3. Results**

213 3.1 Seasonal Cycle

214 **3.1.1 Circumpolar Extent**

We begin with an assessment of the consistency of the outer ice edge between both sea ice algorithms [**Figure 4**]. As a result of the large emissivity difference between open water and sea ice, estimates of the outer ice edge location has high consistency between the two algorithms despite having large differences in SIC [e.g. *Ivanova et al.*, 2014; 2015]. This results in similar total sea ice extents between both algorithms during all calendar months, except for a small southward displacement of the Bootstrap ice edge during summer, and similar long-term trends.

221 This is where the similarities end however.

222 **Figure 5** summarizes the climatological mean seasonal cycle in the extent of the different ice 223 categories listed in Table 1 for both sea ice algorithms, averaged for the total hemispheric-wide 224 Antarctic sea ice cover. The one standard deviation is given by the colored shading. The first 225 notable result is that the BT algorithm has a larger consolidated ice pack than the NT algorithm, which comes at the expense of a smaller MIZ. Averaged over the entire year, the NT MIZ area is 226 227 twice as large as that from BT [see also Table 2]. The BT algorithm additionally has a smaller 228 spatial extent of potential coastal polynyas and little to no broken ice or open water within the 229 consolidated pack ice. Another important result is that the BT algorithm exhibits less interannual 230 variability in the 5 ice categories identified, as illustrated by the smaller standard deviations from 231 the long-term mean. Thus, while the total extents are not dissimilar between the algorithms, how 232 that ice is distributed among the different ice categories differs quite substantially as well as their 233 year-to-year variability.

234 The timing of the ice edge advance and retreat are generally similar, reflecting the fact that 235 both algorithms do well in distinguishing open water from sea ice. In regards to the consolidated pack ice, it advances in March, with the BT algorithm showing a distinct peak in September, 236 237 reaching a maximum extent of 14.89 10⁶ km². The NT algorithm shows a somewhat broader 238 peak, extending from July to October, with the peak extent also reached in September. In 239 September the NT pack ice extent is a little more than twice the spatial extent of the MIZ; 11.31 10^{6} km² vs. 5.41 10^{6} km² [Table 2]. BT on the other hand has a much smaller fraction (41% less) 240 of ice classified as MIZ ($3.19 \ 10^6 \ \text{km}^2$). In both algorithms the MIZ also begins to expand in 241 242 March, and continues to expand until November or December, after which it rapidly declines. 243 However, in the NT algorithm, an initial peak in MIZ coverage is also reached around 244 September, coinciding with the peak in the consolidated pack ice extent and stays nearly constant 245 until the end of November. The further increase in the MIZ coverage after the consolidated ice 246 pack begins to retreat implies that as the pack ice begins to retreat, it does so in part by first 247 converting to MIZ over a wider area. This is consistent with the idea that in spring, the pack ice 248 on average undergoes divergence first (in relation to the circumpolar trough being poleward and 249 south of the ice edge, as reflected by the Semi-Annual Oscillation, SAO, of the trough). This in 250 turn facilitates increased solar heating of open water areas, which in turn facilitates increased 251 melt back, thus creating, eventually, a more rapid ice edge retreat (in Nov-Dec) as compared to 252 the slow ice edge advance in autumn [see Watkins and Simmonds, 1999].

253 Open pack ice is negligible in the Bootstrap algorithm except for a slight peak in 254 November/December. With the NASA Team algorithm however there is a clear increase in open 255 pack ice during the ice expansion phase, which continues to increase further as the pack ice 256 begins to retreat, also peaking in November. Open pack ice in September contributes another 1.28 10⁶ km² to the total Antarctic sea ice extent in the NT algorithm, compared to only 0.36 10⁶ 257 258 km^2 in the BT algorithm. As with the open pack ice, the fraction of potential coastal polynyas 259 also increases during the ice expansion phase, and then continues to increase as the sea ice retreats, peaking around November in the NT algorithm, with a total area of $1.02 \ 10^6 \ \text{km}^2$, and in 260 December in BT ($0.81 \ 10^6 \ \text{km}^2$). Inner open water within the pack is generally only found 261 between November and March in both algorithms as the total ice cover retreats and reaches its 262 263 seasonal minimum.

264 3.2.2 Regional Analysis

Analysis of the Antarctic-wide sea ice cover however is of limited value given that the sea ice variability and trends are spatially heterogeneous [*Makysm et al.*, 2012]. For example, while the ice cover is increasing in the Ross Sea, it has at the same time decreased in the

Bellingshausen/ Amundsen Sea region. Thus, we may anticipate significant regional variability 268 269 in the amount, seasonal cycle and trends of the different ice classes (trends discussed in section 270 3.3). The Ross Sea for example [Figure 6, top] consists of a large fraction of consolidated ice 271 throughout most of the year (April through November) in both algorithms, with considerably less MIZ. In the Bellingshausen/Amundsen (B/A) Sea on the other hand [Figure 6, 2^{nd} row], the NT 272 algorithm has a MIZ extent that exceeds that of the consolidated pack ice until May, after which 273 274 the spread (+/- 1σ) in MIZ and consolidated pack ice overlaps. The reverse is true in the BT 275 algorithm, which consistently indicates a more consolidated ice pack, with only 0.51 10⁶ km² flagged as MIZ during the maximum extent in September, compared to 0.84 10⁶ km² in the NT 276 277 algorithm. On an annual basis, the NT algorithm shows about equal proportion of MIZ and 278 consolidated pack ice in the B/A Sea whereas, the BT algorithm indicates a little more than a 279 third of the total ice cover is MIZ. Note also that the B/A Sea is the only region where the 280 maximum MIZ extent does not occur after the maximum pack ice extent during spring. This is

true for both sea ice algorithms.

282 In the Ross Sea there is also a very broad peak in the maximum extent of the consolidated 283 pack ice, stretching between July and October in the NT algorithm, and a peak in MIZ extent in 284 late August/early September with a secondary peak in December as the pack ice continues to 285 retreat. The BT algorithm shows a similar broad peak in the pack ice extent, but with less 286 interannual variability, and a nearly constant fraction of MIZ throughout the advance and retreat 287 of the pack ice. Annually the NT algorithm shows about 56% more MIZ in the Ross Sea than the 288 BT algorithm. Note that in both algorithms, the pack ice retreats rapidly after the maximum 289 extent is reached.

290 In the Weddell Sea, the pack ice extent advances in March in both algorithms and peaks in 291 August in the NT algorithm, September in BT. The MIZ also begins its expansion in March and 292 continues to increase until September in NT, and then again until December (both algorithms) as 293 the pack ice quickly retreats [Figure 6 (middle)]. In this region, the sea ice expands northwards 294 until it reaches a region with strong winds and currents. The open pack ice north of the pack ice 295 continues to expand either by further freezing or breaking of the pack ice by the winds and 296 currents. Overall, the Weddell Sea has the largest spatial extent in the MIZ in both algorithms, as 297 well as the largest distribution of pack ice. In the NT algorithm, the MIZ extent within the 298 Weddell Sea is again larger than in the BT algorithm and has considerably larger interannual 299 variability. For example, in September the NASA Team algorithm gives a climatological mean MIZ extent of 1.61 10^6 km², twice as large as that in the Bootstrap algorithm (0.83 10^6 km²). 300

Finally, in the Indian and Pacific Ocean sectors [Figure 6, 4th row and bottom] the MIZ 301 302 extent increases from March until November in both algorithms, retreating about a month after 303 the peak extent in the pack ice is reached. However, in the Pacific Ocean sector, the NT MIZ 304 comprises a larger percentage of the overall ice cover, being nearly equal in spatial extent, and 305 even exceeding that of the pack ice in September (0.93 (MIZ) vs. $0.76 \ 10^6 \ \text{km}^2$ (pack ice)). This 306 results in an annual mean extent of MIZ that exceeds that of the consolidated pack ice. This is 307 the only region of Antarctica where this occurs. In the BT algorithm, the reverse is true, with 308 again a larger annual extent of pack ice than MIZ.

While the above discussion focused on regional differences in the MIZ and the consolidated pack ice, the spatial extent and timing of coastal polynyas also varies between the algorithms. For example, in the B/A sea region, the maximum polynya area occurs in July in NT $(0.17 \ 10^6 \ \text{km}^2)$ and in December in the BT algorithm $(0.11 \ 10^6 \ \text{km}^2)$. Thus, while the overall maximum spatial extent in polynya area is not all that different in the two algorithms, the timing of when the maximum is reached differs by 5 months. This is also the case in the Pacific Ocean where the

315 NT algorithm reaches its largest spatial extent in polynya area in August $(0.14 \ 10^6 \text{ km}^2)$ whereas

BT shows the maximum polynya area occurring in November $(0.11 \ 10^6 \text{ km}^2)$. In other regions,

317 such as the Indian Ocean, the Ross Sea and the Weddell Sea, the timing of the maximum

318 polynya area occurs similarly in both algorithms, during November for the Indian Ocean and

319 December in the Ross and Weddell Seas. The Ross and Weddell seas have the largest

320 climatological polynya areas, 0.32 (NT)/0.26 (BT) 10^{6} km² and 0.33 (NT)/0.30 (BT) 10^{6} km²,

321 respectively.

322 **3.2 Trends**

323 3.2.1 Spatial Expansion/Contraction during September

324 As mentioned earlier, estimates of the outer ice edge location are similar between both 325 algorithms. This is also true in terms of the locations where the outer edge is expanding or contracting. A way to illustrate this is shown in Figure 7 (top), which shows a spatial map of the 326 327 trend in the outer edge of the entire ice pack (defined as the 15% SIC contour, equivalent to the 328 total sea ice extent) for both algorithms during the month of September, the month at which the 329 ice pack generally reaches its maximum extent. Locations of northward expansion (red areas) 330 and contraction (blue areas) are remarkably consistent between algorithms as well as the spatial 331 extent of the expansion and contraction. In both algorithms the ice edge shows trends towards 332 expansion within the Ross Sea, the Amundsen Sea and the Pacific and Indian Ocean sectors, 333 except for the Davis Sea, where there is a trend towards contraction of the outer ice edge. The 334 Bellingshausen and Weddell seas also show trends towards contraction of the outer ice edge.

335 While there is general consistency between the algorithms in both the location and changes 336 of the outer ice edge over time, there are differences as to how the MIZ and pack ice widths are 337 changing [Figure 7, middle and bottom]. The BT MIZ width is a relatively constant ring 338 around the edge of the consolidated pack ice, with little change over time. Thus, in the BT 339 algorithm, the spatial pattern of expansion/contraction of the total ice cover in September is 340 largely a result of the changes happening in the pack ice [Figure 7, bottom]. The NT algorithm 341 on the other hand shows more pronounced changes in the MIZ, such that both the MIZ and the 342 pack ice contribute to the observed spatial patterns and changes in the total ice cover. However, 343 expansion/contraction of the NT MIZ and pack ice sometimes counter act each other. For 344 example the contraction of the total ice edge the Bellingshausen Sea is a result of contraction of 345 the consolidated ice pack while the MIZ width is generally increasing as a result of the MIZ 346 moving further towards the continent. This is also true in the Weddell Sea and the Indian Ocean.

347 Somewhat surprisingly, the spatial pattern of expansion/contraction of the MIZ is broadly 348 similar between both algorithms, despite overall smaller changes in the BT algorithm. This 349 highlights the fact that the spatial trends in SIC are similar to the spatial trends in SIE as well as 350 to the timing of advance/retreat/duration, so that the spatial trends in the MIZ and pack ice will 351 show the same overall pattern because they rely on SIC. This also highlights the fact that the 352 spatial pattern persists throughout the regional ice covered area, i.e. from the edge to the coastal 353 area, which may imply that climate-related regional wind-driven changes at the ice edge are felt 354 all the way to the coast. Alternatively it may imply that the ocean is also responding to the same 355 climate-related wind changes, thus communicating the change all the way to the coast.

356 3.2.2 Circumpolar and Regional Daily Trends

Figure 8 summarizes daily circumpolar Antarctic trends in the extent of pack ice, MIZ and polynyas for both algorithms, with monthly mean trends listed in **Table 3**. Both algorithms are

359 broadly similar during the ice expansion phase, indicating positive trends in the consolidated ice 360 pack and mostly negative trends in the MIZ until the pack ice reaches its peak extent. Thus, 361 during these months, the positive trends in total SIE are a result of expansion of the consolidated 362 pack ice. However, during retreat of the pack ice, trends in the NT MIZ switch to positive in the while remaining mostly negative in the BT algorithm. At the same time, daily trends in the pack 363 364 ice become noisy in the NT algorithm, alternating between positive and negative trends while BT 365 trends remain positive. Table 3 indicates that the positive trends in the consolidated pack during 366 the ice expansion/retreat phase (March through November) are statistically significant (p<0.01) 367 for the BT algorithm, and from March to July in the NT algorithm (p<0.05). Trends in the NT 368 MIZ are not statistically significant, except during September and October (p<0.10). Trends in 369 the pack ice are larger in the BT algorithm, particularly in August through November, in part 370 reflecting a shrinking MIZ whereas the NT algorithm shows positive trends in the MIZ during 371 those months. Trends in possible polynyas near the continent are negative throughout most of the 372 year in both algorithms, except for December and January. However, none of the polynya trends 373 are statistically significant.

374 Regionally, there are larger differences between the two algorithms. Figure 9 shows monthly 375 trends as a function longitude (x-axis) and month (y-axis) for the pack ice (top) and MIZ 376 (bottom). Monthly trends averaged for each of the 5 sectors are also listed in Table 3. Focusing 377 first on the pack ice trends, we find the spatial patterns of statistically significant positive and 378 negative trends are generally consistent between both algorithms, though the magnitudes of the 379 trends tend to be larger in the Bootstrap algorithm. For example, in the Ross Sea, the sign of the 380 pack ice trends are spatially consistent between both algorithms, though not all trends are statistically significant, particularly for the NT algorithm. The largest consistency occurs in the 381 382 the western Ross Sea, where positive trends are seen in both algorithms, statistically significant 383 from March to November (p<0.01) in the BT algorithm, and from January to July and October to 384 November in the NT algorithm. Note also that both algorithms show statistically significant 385 positive trends in the MIZ from January to March in the western Ross Sea and generally negative 386 trends in the eastern Ross Sea. This pattern switches from June to December, with mostly 387 negative MIZ trends in the western Ross Sea and positive trends in the eastern Ross Sea. In 388 particular, the statistically significant positive trends in the MIZ in the NT algorithm occur at the 389 time of year with the largest overall trends in the SIE in this region. This would suggest perhaps 390 different interpretation of processes impacting the overall ice expansion in the Ross Sea 391 depending on which algorithm is used.

In the B/A Sea, statistically significant positive trends in pack ice are limited to May through August in the NT algorithm and June and July in the BT algorithm. The positive NT pack ice trends are offset by negative trends in the NT MIZ. Both algorithms exhibit negative pack ice trends during other months that are consistent between the algorithms, though larger in magnitude for the BT algorithm. This is generally compensated by statistically significant negative trends in the NT MIZ to give an overall negative decline of total extent.

Trends in the pack ice are also consistent between algorithms in the Weddell Sea, with statistically significant trends generally occurring at the same longitude and during the same months. The positive pack ice trends in MAM (NT) or MAMJ (BT) are confined to a very narrow longitude band which moves to the east with progressing season. Then in June, and continuing for several months, negative pack ice trends occur. For both algorithms, trends in the MIZ are generally not statistically significant, except for some positive trends in the eastern Weddell Sea from January to March and negative trends mostly from June to November near330 degrees longitude.

Finally, in the Pacific and Indian Oceans we again see spatial consistency in pack ice and
MIZ trends for both algorithms, with generally larger (smaller) pack ice (MIZ) trends for the BT
algorithm, though trends are closer in magnitude in the Pacific sector from March to July. Pack

409 ice trends are generally positive, more in BT than NT and trends in MIZ extent basically vary

410 around zero with exceptions during August through December in both algorithms in the Pacific411 Ocean.

In summary, while the magnitude of trends differs between both algorithms, there is general spatial consistency in the patterns of positive and negative trends in the consolidated pack ice and the MIZ. Results suggest that positive trends in total SIE are generally a result of statistically significant positive trends in the consolidated pack ice in the BT algorithm in all sectors of the

416 Antarctic, except for the Bellingshausen/Amundsen Sea sector and the Weddell Sea during ice

417 retreat. The NT algorithm on the other hand suggests more instances of statistically significant

418 positive trends in the MIZ, though this is highly regionally dependent.

419 3.2.3 Seasonal Trends in MIZ and Pack Ice Width

420 Finally, we compute the overall width of the MIZ and pack ice following *Strong and Rigor*

421 [2013] and produce seasonal means. Briefly, following the classification of each ice category,

422 latitude boundaries are computed for each longitude and each day. These are averaged for each423 month to provide monthly mean latitude boundaries at each longitude. The boundaries are

subsequently converted to width in km, and averaged for all longitudes. Finally, seasonal means
 are derived.

Time-series of seasonal means of the circumpolar MIZ width and pack ice width are shown
 in Figure 10 for all seasons except summer when the results are noisy. As we may expect

in Figure 10 for all seasons except summer when the results are noisy. As we may expect
following the previous results, the NT MIZ width is larger and the pack ice width is smaller than

- 429 the seen in the BT algorithm. During autumn (MAM) however, the differences in widths for both
- the MIZ and the pack ice between the algorithms are largely reduced compared to the other

431 seasons. For example the difference in 1979-2014 pack ice width between the algorithms during

- 432 MAM is 60 km, 121 km in JJA and 139 km in SON. Similarly, the long-term mean MIZ width
- 433 differences are 54 km (MAM), 74 km (JJA) and 83 km (SON). In addition, during autumn,
- trends in the MIZ and pack ice are largely consistent between the two algorithms, with no trend
- 435 in the MIZ and increases in the pack ice on the order of 21.2 km dec⁻¹ and 20.0 km dec⁻¹
- (p<0.01) for the BT and NT algorithms, respectively. This is the season with the largest trends in
 the pack ice width, representing a 21% widening over the satellite record.

During winter (JJA) and spring (SON) however, the NT and BT algorithms exhibit opposing
 trends in the MIZ with the NT algorithm indicating an increase, and the BT a decrease. The

440 largest positive trend in the MIZ width occurs during spring at a rate of ± 10.3 km dec⁻¹ (p<0.01) 441 in the NT algorithm, indicating a 6% widening since 1979. This widening is a result of the MIZ

442 moving slightly equatorward rather than expanding southwards (as also seen in Figure 7).

However, this is an increase of only about 1 to 1.5 grid cells over the entire data record, and

444 despite a statistically significant trend, there remains substantial interannual variability in the

445 SON MIZ width, with the maximum width recorded in 2003 (310 km) and the minimum in 1985

446 (217 km), with a mean SON MIZ width of 248 km. The trend during winter is considerably

447 smaller at +2.7 km dec⁻¹, as a result of expansion both equatorward and southwards, yet it is not 448 statistically significant.

449 For the pack ice, both sea ice algorithms show statistically significant positive trends towards 450 increased width of the pack ice, which are also nearly identical during winter at +18.7 and +18.1km dec⁻¹ (p<0.01) for the BT and NT algorithms, respectively. This represents a widening of the 451 452 pack ice of approximately 11% from 1979 to 2014 during winter. As one may expect, differences 453 in the pack ice width between the algorithms are largely found in spring as a result of the MIZ 454 expanding in the NT algorithm. Therefore, during SON the trends in the width of the NT pack ice are smaller, with trends of ± 10.0 (p<0.05) km dec⁻¹ compared to ± 16.7 (p<0.01) for the BT 455 456 algorithm. 457 Finally it is important to point out that the interannual variability in the pack ice is similar

between both data sets despite differences in magnitude. Correlations between the two
algorithms are: 0.96 (MAM), 0.92 (JJA) and 0.77 (SON). The reason for the weaker correlation
in SON is not entirely clear. For the MIZ, interannual variability is generally about twice as large
in the NASA Team algorithm and the two data sets are not highly correlated except for autumn,

462 with correlations of 0.67 (MAM), 0.39 (JJA) and 0.43 (SON).

463 **4. Implications for a Seabird**

464 Here we use data on the MIZ and the consolidated ice pack from both algorithms to 465 understand the role of sea ice habitat on breeding success of a seabird, the snow petrel 466 Pagodroma nivea. As mentioned in the introduction, the MIZ is a biologically important region 467 because it is an area of high productivity and provides access to food resources needed by seabirds [Ainley et al., 1992]. During winter, productivity is reduced at the surface in open water, 468 469 while it is concentrated within the ice habitat, especially within the ice floes [Ainley et al., 1986]. 470 This patchy distribution of food availability within the MIZ and pack ice provides feeding 471 opportunities for seabirds such as the snow petrel. Observations suggest that the snow petrel 472 forages more successfully in areas close to the ice edge and within the MIZ than in consolidated 473 ice conditions [Ainley et al., 1984, 1992]. 474 Breeding success of snow petrels depends on sufficient body condition of the females, which 475 in part reflects favorable environmental and foraging conditions prior to the breeding season. Indeed, female snow petrels in poor early body condition are not able to build up the necessary 476 477 body reserves for successful breeding [Barbraud and Chastel, 1999]. Breeding success was 478 found to be higher during years with extensive sea ice cover during the preceding winter 479 [Barbraud and Weimerskirch, 2001]. This is in part because winters with extensive sea ice are 480 associated with higher krill abundance the following summer [Flores et al., 2012; Loeb et al., 481 1997; Atkinson et al., 2004], thereby increasing the resource availability during the breeding 482 season. However, extensive winter sea ice may protect the under ice community from predation 483 and thus reduce food availability, in turn affecting breeding success [Olivier et al., 2005]. By 484 distinguishing between the areas of MIZ and pack ice, we can expect a better understanding of 485 the role of sea ice on food availability and hence breeding success of snow petrels. 486 In the following, we expect that an extensive pack ice during winter may reduce breeding 487 success the following breeding season by protecting the under ice community from predation, 488 while an extensive MIZ may increase breeding success by providing easier access to foraging. 489 With the classifications as defined by both algorithms we calculated the MIZ and pack ice area in 490 a wide rectangular sector defined by the migration route of the snow petrel [Delord et al., 2016] 491 from April to September [see Table 4 for latitude and longitude limits]. This is the first time that 492 appropriate areas of the observed foraging range are used to study the carry over effect of winter

- 493 conditions on the breeding performance of snow petrel, as this information did not
- 494 existed previously. Using these locations, we averaged the MIZ and pack ice extents over the
- 495 entire winter from April to September. We next employed a logistic regression approach to study
- 496 the effects of MIZ and pack ice area within this sector and evaluate the impacts on breeding
- 497 success the following summer. The response variable was the number of chicks C_t in a breeding
- 498 season t, from 1979 to 2014 collected at Terre Adélie, Dumont D'Urville [Barbraud and 499 Weimerskirch, 2001, Jenouvrier et al., 2005].
- 500 Effects of MIZ and pack ice area were analyzed using Generalized Linear Models (GLM)
- 501 with logit-link functions and binomial errors fitted in R using the package glm.
- 502 Specifically, the response variable is the number of chicks C_t in a breeding season t, from 1979 to
- 503 2014 collected at Terre Adelie, Dumont D'Urville [Barbraud and Weimerskirch, 2001,
- Jenouvrier et al., 2005]. It follows a binomial distribution, such that $C_t \sim Bin(\mu_t, N_t)$, where N_t is 504
- the number of breeding pairs and μ_t is the breeding success in year t. The breeding success is a 505
- 506 function of the MIZ and pack ice covariates at time t (COV) such as:
- 507 $\mu_t = \beta_0 + \beta_1 \operatorname{COV}_{(t)}$
- 508

509 To select the covariate that most impacts the breeding success of snow petrels, we applied the

510 information-theoretic (I-T) approaches [Burnham et al., 2011]. This is based on quantitative

511 measures of the strength of evidence for each hypothesis (Hi) rather than on "testing" null 512 hypotheses based on test statistics and their associated P values. To quantify the strength of

- 513 evidence for each hypothesis (Hi) - here the effect of each covariate on the breeding success-
- 514 we used the common criteria AIC (the Akaike's Information Criteria), where AIC = $-2 \log(L) +$
- 515 2K [Akaike, 1973]. The term, -2 log(L), is the "deviance" of the model, with log(L) the
- 516 maximized log-likelihood and K the total number of estimable parameters in the model. The
- 517 chosen model is the one that minimizes the AIC, in orther words, minimizes the Kullback-
- 518 Leibler distance between the model and truth. The ability of two models to describe the data was
- 519 assumed to be "not different" if the difference in their AIC was < 2 [Burnham and Anderson,
- 520 2002]. Note the AIC is a way of selecting a model from a set of models based on information

521 theory [Burnham and Anderson, 2002], and is largely used in biological sciences. While non-522 linear models may be more appropriate as ecological system relationships are likely more 523 complex than linear relationships, without a priori knowledge of the mechanisms that could lead

- 524 to such non-linear relationships, it is extremely difficult to set meaningful hypothesis to be
- 525 included in the model selection.

526
Table 5 summarizes model selection. The model with the lowest AIC (highlighted in gray)
 527 suggests the BT pack ice as a sea ice covariate. If AIC are sorted from lowest to highest value, 528 the next model includes the sea ice covariate MIZ calculated with the NASA algorithm.

529

However, it shows a $\triangle AIC \sim 8$ from the best model, and thus the NT MIZ is not well supported 530 by the data in comparison to the best model. The relationship between BT pack ice and breeding

531 success is negative [Figure 11]. In other words, a more extensive consolidated pack ice during

532 winter tends to reduce breeding success the following summer by limiting foraging

- 533 opportunities. The effect of the MIZ however was uncertain, contrary to what one may expect
- 534 given the increased opportunities for foraging within the MIZ. However, if we had only used ice
- classifications based on the NASA Team algorithm, the model with the lowest AIC would have 535
- 536 suggested an importance of the MIZ. We would have then concluded a negative effect of the
- 537 MIZ on the breeding success of snow petrels, contrary to what one may expect given that the
- 538 MIZ is the main feeding habitat of the species. By using both algorithms, we instead conclude

that the breeding success of snow petrels is negatively affected by the pack ice area as calculated

540 with the Bootstrap algorithm.

541 **5. Discussion**

542 While the main purpose for doing the classification of different ice categories is for 543 interdisciplinary studies of sea bird breeding success, the results may also be useful for 544 attribution of the observed sea ice changes. The positive trends in Antarctic sea ice extent are 545 currently poorly understood and are at odds with climate model forecasts that suggest the sea ice 546 should be declining in response to increasing greenhouse gases and stratospheric ozone depletion 547 [e.g. Turner et al., 2013; Bitz and Polvani, 2012; Sigmond and Fyfe, 2010]. However, several 548 modeling studies, such as those used in the phase 5 Coupled Model Intercomparison Project 549 (CMIP5), have suggested that the sea ice increase over the last 36 years remains within the range 550 of intrinsic of internal variability [e.g. Bitz and Polvani, 2012; Turner et al., 2013; Mahlstein et 551 al., 2013; Polvani and Smith, 2013; Swart and Fyfe, 2013]. Earlier satellite from the 1960s and 1970s and from ship observations suggest periods of high and low sea ice extent, and thus high 552 553 natural variability [Meier et al., 2013; Gallaher et al., 2014]. Further evidence comes from ice 554 core climate records, which suggest that the climate variability observed in the Antarctic during 555 the last 50 years remains within the range of natural variability seen over the last several hundred 556 to thousands of years [*Thomas et al.*, 2013; *Steig et al.*, 2013]. Thus, we may require much 557 longer records to properly assess Antarctic sea ice trends in contrast to the Arctic, where negative 558 trends are outside the range of natural variability and are consistent with those simulated from 559 climate models.

560 While many assessments of how Antarctic sea ice trends and variability compare with 561 climate models have focused on the net circumpolar sea ice extent, it is the regional variability 562 that becomes more important. For example, Hobbs et al. [2015] argue that when viewing trends 563 on a regional basis, the observed summer and autumn trends fall outside of the range of natural 564 variability as simulated by present-day climate models, with the signal dominated by opposing trends in the Ross Sea and the Bellingshausen/Amundsen seas. These results have questioned the 565 566 ability of climate models to correctly simulate processes at the regional level and within the 567 southern ocean-atmosphere-sea ice coupled system.

568 The net take-away point from these studies is that the net circumpolar changes in sea ice 569 extent do not enhance our understanding of how the Antarctic sea ice is changing. Instead our 570 focus should be on what drives regional and seasonal sea ice changes, including feedbacks and 571 competing mechanisms. The results of this study may help to better understand regional and total 572 changes in Antarctic sea ice by focusing not only on the total ice area, but also on how the 573 consolidated pack ice, the marginal ice zone and coastal polynyas are changing. Differences in 574 climatologies and trends of the different ice classes may suggest different processes are likely 575 contributing to their seasonal and interannual variability. In addition, the different contributions 576 of ice categories towards the overall expansion of the Antarctic sea ice cover between algorithms 577 may in turn influence attribution of the observed increase in SIE. For example, within the highly 578 dynamic MIZ region, intense atmosphere-ice-ocean interactions take place [e.g. Lubin and 579 *Massom*, 2006] and thus an expanding or shrinking MIZ may help to shed light on the relative 580 importance of atmospheric or oceanic processes impacting the observed trends in total SIE. 581 Another issue is whether or not new ice is forming along the outer edge of the pack ice or if it is 582 all being dynamically transported from the interior.

583 However, a complication exists, what sea ice algorithm should be used for such assessments? 584 In this study we focused on using passive microwave satellite data for defining the different ice 585 categories used here as it is the longest time-series available and is not limited by polar darkness 586 or clouds. However, results are highly dependent on which sea ice algorithm is used to look at 587 the variability in these ice classes, which will also be important in assessing processes 588 contributing to these changes as well as implications of these changes to the polar marine 589 ecosystem. In this study, the positive trends in circumpolar sea ice extent over the satellite data 590 record are primarily driven by statistically significant trends (p<0.05) in expansion of the 591 consolidated pack ice in both sea ice algorithms. However, an exception occurs in the NASA 592 Team sea ice algorithm after the ice pack reaches its seasonal maximum extent when the positive 593 trends in the pack ice are no longer as large, nor statistically significant. Instead, positive trends 594 in the MIZ dominate during September and October (p<0.10). This is in stark contrast to the 595 Bootstrap algorithm, which shows a declining MIZ area from March through November.

596 The algorithms also give different proportions of how much the total ice cover consists of 597 consolidated ice, MIZ or polynya area. In some regions, such as the Pacific Ocean sector, the NT 598 algorithm suggests the MIZ is the dominant ice category whereas in the BT algorithm, the pack 599 ice is dominant, which is true for all sectors analyzed in the Bootstrap algorithm. Considering the 600 circumpolar ice cover, the MIZ in the NASA Team algorithm is on average twice as large as in 601 the Bootstrap algorithm. In the Arctic, Strong and Rigor [2013] found the NASA Team 602 algorithm gave about three times wider MIZ than the Bootstrap algorithm. In this case, the 603 Bootstrap results agreed more with MIZ widths obtained from the National Ice Center (NIC).

604 While we find consistency in trends in pack ice and the MIZ, there are some important differences that may influence interpretation of processes governing sea ice changes. For 605 example, in the Ross Sea, the largest regional positive trends in total SIE are found at a rate of 606 119,000 km² per decade [e.g. Turner et al., 2015], accounting for about 60% of the circumpolar 607 608 ice extent increase. This is entirely a result of large positive trends in the pack ice in the BT 609 algorithm from March to November (p<0.01) whereas the NT algorithm shows statistically 610 significant increases in the MIZ. Several studies have suggested a link between sea ice anomalies 611 in the Ross Sea and the wind-field associated with the Amundsen Sea Low (ASL) [e.g. Fogt et 612 al., 2012; Hosking et al., 2013; Turner et al., 2012]. The strengthened southerly winds over the 613 Ross Sea cause a more compacted and growing consolidated ice cover in the BT algorithm at the 614 expense of a shrinking MIZ, whereas in the NT algorithm the area of the MIZ is increasing more 615 than the pack ice during autumn, which may suggest a smaller sensitivity to thin ice growing in 616 openings and leads for BT than for NT. While this is true as averaged over the entire Ross Sea 617 sector, Figure 9 highlights that the area-averaged trends hide important spatial variability.

618 In the Weddell Sea, expansion of the overall ice cover is only statistically significant during 619 the autumn months (MAM) [e.g. Turner et al., 2015]. During this time-period, both algorithms 620 agree on statistically significant positive trends in the pack ice area, that extend through May for NT (p < 0.05) and through June for BT (p < 0.05). Statistically significant trends are also seen 621 622 during March in the MIZ, with larger trends in the NT algorithm (p<0.01). Thus, overall 623 expansion of sea ice in the Weddell during autumn is in part driven by expansion of the MIZ 624 early in the season, after which it is controlled by further expansion of the consolidated pack. 625 In contrast, the B/A Sea is a region undergoing declines in the overall ice cover [e.g. 626 Parkinson and Cavalieri, 2012; Stammerjohn et al., 2012]. Separating out trends for both the

pack ice and the MIZ reveals positive trends during winter (JJA), and negative trends in the

628 consolidated pack ice during the start of ice expansion in March and April. However, when

629 averaging over the entire region, the trends are generally not statistically significant except for

630 positive trends during winter in the NT algorithm. This is the only region where the BT

algorithm does not show statistically significant trends in the pack ice. In the NT algorithm, the

632 overall sea ice decline is largely a result of negative trends in the MIZ, consistent with the

633 observation that the SIE trends in the Bellingshausen/Amundsen Sea are largely wind-driven, so

634 it would be expected that the wind-driven compaction would lead to decreased MIZ and
 635 increased pack ice. In regards to potential coastal polynyas, the largest expansion of polynya area

is found in the Bellingshausen/Amundsen Sea during November, whereas small increases in

polynya area are found in both the Indian and Pacific sector during the ice expansion phase.

638 Outside of these regions/months, no significant changes in coastal polynya area are observed.

639 Differences between the algorithms are not entirely surprising as the two algorithms use 640 different channel combinations with different sensitivities to changes in physical temperature 641 [Comiso et al., 1997; Comiso and Steffen, 2001]. In addition, the NT uses previously defined tie 642 points for passive microwave radiances over known ice-free ocean, and ice types, defined as type 643 A and B in the Antarctic, as the radiometric signature between first-year and multivear ice in the 644 Antarctic is lost. The ice is assumed to be snow-covered when selecting the tie points, which can 645 result in an underestimation of sea ice concentration if the ice is not snow covered [e.g. Cavalieri 646 et al., 1990]. While large-scale validation studies are generally lacking, a recent study of the 647 interior of the ice pack in the Weddell Sea in winter suggested that the Bootstrap algorithm 648 shows a better fit to upward looking sonar data [Connolley, 2005]. This suggests that broken 649 water inside the pack ice as recorded by the NASA Team algorithm during winter may be 650 erroneously detected.

651 However, another complication is that seasonal variations in sea ice and snow emissivity can 652 be very large, leading to seasonal biases in either algorithm [e.g. Andersen et al., 2007; Willmes 653 et al., 2014; Gloersen and Cavalieri, 1986]. In addition, ice-snow interface flooding, formation 654 of meteoric ice and snow metamorphism all impact sea ice concentrations, which have not been 655 quantified yet for Antarctic sea ice, and trends in brightness temperatures found in the Weddell Sea may reflect increased melt rates or changes in the melt season [Willmes et al., 2014]. The 656 657 advantage of the Bootstrap algorithm is that the ice concentration can be derived without an a 658 priori assumption about ice type, though consolidated ice data points are sometimes difficult to 659 distinguish from mixtures of ice and open ocean due to the presence of snow cover, flooding or 660 roughness effects.

661 While one may expect the Bootstrap algorithm to provide more accurate results than the 662 NASA Team algorithm, near the coast the BT algorithm has been shown to have difficulties 663 when temperatures are very cold. Because the NT algorithm uses brightness temperature ratios it is largely temperature independent. During summer or for warmer temperatures, the NT 664 algorithm may indeed be biased towards lower sea ice concentrations whereas the BT algorithm 665 666 may be biased towards higher ice concentrations [e.g. Comiso et al., 1997]. This will result in different proportions of MIZ and consolidated pack ice. In the Arctic, the MIZ is not only driven 667 668 by wave mechanics and flow breaking (dynamic origin), but also by melt pond processes in 669 summer (thermodynamic origin) [Arnsten et al., 2015]. Thus, larger sensitivity of the NT 670 algorithm to melt processes may be one reason for the larger discrepancy observed in the MIZ 671 between the algorithms the Arctic. Interestingly, the BT algorithm shows less interannual 672 variability in the MIZ, consolidated pack ice and potential coastal polynyas compared to NT (as 673 shown by the smaller standard deviations). This would in turn influence assessments of

atmospheric or oceanic conditions driving observed changes in the ice cover.

What is clear is that more validation is needed to assess the accuracy of these data products,

- 676 especially for discriminating the consolidated pack ice from the MIZ. Errors likely are larger in
- 677 the MIZ because of the coarse spatial resolution of the satellite sensors. The MIZ is very
- 678 dynamic in space and time, making it challenging to provide precise delimitations using sea ice 679 concentrations that are in turn sensitive to melt processes and surface conditions. Another
- 679 concern is that mapping of the consolidated ice pack does not always mean a compact ice cover.
- The algorithms may indicate 100% sea ice concentration (e.g. a consolidated pack ice), when in
- reality the ice consists of mostly brash ice and small ice floes more representative of the MIZ.
- 683 Future work will focus on validation with visible imagery.

684 **Conclusions**

685 Antarctic sea ice plays an important role in the polar marine ecosystem. While total Antarctic 686 sea ice cover is expanding in response to atmospheric and oceanic variability that remains to be 687 fully understood, one may expect that these increases would also be manifested in either 688 equatorward progression of the MIZ or the consolidated pack ice or both, that in turn would 689 impact the entire trophic web, from primary productivity, to top predator species, such as 690 seabirds. In this study we identified several different ice categories using two different sets of 691 passive microwave sea ice concentration data sets. The algorithms are in agreement as to the 692 location of the northern edge of the total sea ice cover, but differ in regards to how much of the 693 ice cover consists of the marginal ice zone, the consolidated ice pack, the size of potential 694 polynyas as well as the amount of broken ice and open water within the consolidated ice pack. 695 Here we use sea ice concentration thresholds of 0.15 < SIC < 0.80 to define the width of the MIZ and 0.80 < SIC < 1.0 to define the consolidated pack ice. Yet applying the same thresholds for 696 697 both sea ice algorithms results in a MIZ from the NASA Team algorithm that is on average twice 698 as large as in the Bootstrap algorithm and considerably more broken ice within the consolidated 699 pack ice. Total potential coastal polynya areas (SIC < 0.80) also differ between the algorithms, 700 though differences are generally smaller than for the MIZ and the consolidated pack ice. While 701 we do not precisely resolve polynyas, these potential coastal polynyas (i.e. open water areas near 702 the coast) are important foraging sites for sea birds.

703 While the spatial extents of the different ice classes may differ, the seasonal cycle is 704 generally consistent between both algorithms. Climatologically, the advance of the consolidated 705 ice pack happens over a much longer period (~7-8 months) than the retreat (~4-5 months), while 706 the MIZ exhibits a longer advance period (~8-10 months). This seasonal cycle in 707 expansion/contraction of the ice cover is in general agreement with results by Stammerjohn et al. 708 [2008] who showed sea ice retreat begins in September at the outer most edge of the sea ice and 709 continues poleward over the next several months. However, what these results show is that while 710 the pack ice starts to retreat around September, this in turn results in a further expansion of the 711 MIZ, the amount of which is highly dependent on which algorithm is used. The timing of when 712 the maximum polynya extent is reached however can differ by several months between the 713 algorithms in regions such as the Bellingshausen/Amundsen Sea and the Pacific Ocean. 714 Since the MIZ is an important region for phytoplankton biomass and productivity [e.g. Park 715 et al., 1999], mapping seasonal and interannual changes in the MIZ is important for 716 understanding changes in top predator populations and distributions. However, as we show in 717 this study, results are highly dependent on which sea ice algorithm is used for delineating the 718 MIZ, which may result in different conclusions when using this data in ecosystem models. To

- hightlight this sensitivity, we examined the impact the winter MIZ and consolidated pack ice
- area as derived from both algorithms would have on the breeding success of snow petrels the
- following summer. The different proportions of MIZ and consolidated pack ice between
- algorithms affected the inferences made from models tested even if trends were of the same sign.
- Given the sensitivity of the relationships between the consolidated pack ice/MIZ and breeding
- success of this species, caution is warranted when doing this type of analysis as different
- relationships may emerge as a function of which sea ice data set is used in the analysis. Further
- work is needed to validate the accuracy of the distribution of the MIZ and consolidated pack ice
- from passive microwave so that the data will be more useful for future biological and ecosystemstudies.
- 728

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Tables

Table 1. Sea ice categories defined in this study.

Region	Definition	Binary Classification Value
Outer MIZ	Outer region of sea ice with ice	16
	concentration between 15% and 80%	
Inner Polynya	Region near the coast with concentration	32
	< 80% south of 80% concentration	
Distant ice	Scattered sea ice regions north of MIZ,	48
	possibly islands or atmospheric storms	
Pack Ice	Ice concentration $> 80\%$	80
Inner open	Concentration < 15% south of MIZ	112
water		
Open pack ice	Concentration $> 15\%$ and $< 80\%$ within	128
	consolidated ice region	

936 Table 2. Monthly mean extents of the different ice classes. Values are only listed for the

consolidated pack ice, the marginal ice zone and the potential coastal polynya area. Values are listed in 10^6 km². 937

		NASA Team	า		Bootstrap	
			Total Antarct	ic		
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	2.44	0.31	1.94	2.06	0.36	2.27
February	1.51	0.20	1.18	1.25	0.22	1.49
March	2.03	0.25	1.42	1.65	0.24	2.08
April	2.71	0.42	3.27	1.84	0.31	4.62
May	3.07	0.62	5.85	1.97	0.37	7.79
June	3.63	0.69	8.22	2.31	0.37	10.65
July	4.03	0.66	10.31	2.53	0.35	13.00
August	4.75	0.62	11.29	2.88	0.34	14.49
September	5.41	0.63	11.31	3.19	0.35	14.89
October	5.41	0.74	10.83	3.39	0.38	14.16
November	5.62	1.02	7.92	3.69	0.63	11.10
December	5.05	0.88	3.81	3.56	0.81	5.43
Annual	3.83	0.59	6.49	2.54	0.39	8.53
			Ross Sea			
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.83	0.10	0.28	0.68	0.13	0.40
February	0.47	0.05	0.11	0.40	0.07	0.19
March	0.62	0.10	0.34	0.45	0.09	0.57
April	0.60	0.15	1.22	0.37	0.09	1.63
May	0.60	0.15	1.93	0.36	0.08	2.43
June	0.67	0.15	2.29	0.40	0.08	2.91
July	0.75	0.14	2.63	0.44	0.07	3.27
August	0.91	0.12	2.67	0.50	0.07	3.43
September	0.98	0.13	2.64	0.54	0.08	3.46
October	0.86	0.17	2.73	0.55	0.09	3.39
November	0.89	0.30	2.19	0.59	0.17	2.87
December	1.17	0.32	0.92	0.76	0.26	1.45
Annual	0.78	0.16	1.67	0.50	0.11	2.18
		Belli	nghausen/Amun	dsen Sea		
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.35	0.07	0.32	0.29	0.08	0.38
February	0.28	0.05	0.16	0.22	0.06	0.21
March	0.37	0.06	0.10	0.27	0.07	0.21
April	0.50	0.07	0.20	0.29	0.06	0.48
May	0.54	0.12	0.42	0.31	0.06	0.83
June	0.63	0.16	0.66	0.37	0.05	1.17
July	0.68	0.17	0.89	0.43	0.05	1.45
August	0.79	0.15	1.01	0.51	0.05	1.60
September	0.84	0.14	1.00	0.51	0.05	1.62
October	0.73	0.14	0.97	0.46	0.06	1.50
November	0.69	0.13	0.86	0.45	0.08	1.25
December	0.57	0.11	0.55	0.42	0.11	0.72
Annual	0.58	0.12	0.60	0.38	0.06	0.96
		=	Weddell Sea			
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.72	0.12	0.93	0.60	0.11	1.07
February	0.37	0.08	0.70	0.30	0.06	0.84
March	0.47	0.06	0.87	0.38	0.04	1.07
April	0.69	0.07	1.49	0.46	0.05	1.87
May	0.82	0.10	2.53	0.54	0.06	3.04
	0.96	0.10	3.62	0.64	0.06	4.21
June						

August	1.39	0.08	4.73	0.75	0.06	5.62
September	1.62	0.09	4.67	0.83	0.06	5.78
October	1.51	0.13	4.42	0.84	0.07	5.48
November	1.53	0.31	3.34	0.86	0.14	4.56
December	1.87	0.33	1.65	1.24	0.30	2.33
Annual	1.09	0.13	2.80	0.67	0.09	3.43
			Indian Ocea	n		•
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.26	0.01	0.16	0.23	0.02	0.18
February	0.15	0.01	0.06	0.14	0.01	0.08
March	0.24	0.01	0.03	0.24	0.02	0.06
April	0.43	0.01	0.16	0.35	0.05	0.30
May	0.57	0.13	0.55	0.43	0.08	0.80
June	0.75	0.14	1.04	0.53	0.08	1.40
July	0.82	0.13	0.59	0.54	0.07	2.05
August	0.87	0.11	2.09	0.57	0.06	2.59
September	1.03	0.12	2.24	0.67	0.07	2.81
October	1.33	0.15	2.02	0.87	0.08	2.71
November	1.62	0.18	1.10	1.13	0.13	1.75
December	0.94	0.07	0.37	0.74	0.09	0.55
Annual	0.75	0.10	0.96	0.54	0.06	1.29
		•	Pacific Ocea	n	•	•
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.28	0.01	0.24	0.25	0.02	0.26
February	0.23	0.01	0.14	0.19	0.02	0.17
March	0.34	0.02	0.10	0.31	0.03	0.15
April	0.51	0.05	0.20	0.38	0.06	0.34
May	0.54	0.11	0.43	0.35	0.10	0.67
June	0.61	0.14	0.62	0.38	0.11	0.93
July	0.70	0.14	0.73	0.45	0.10	1.10
August	0.81	0.14	0.79	0.54	0.09	1.19
September	0.93	0.14	0.76	0.63	0.10	1.17
October	0.96	0.14	0.71	0.68	0.09	1.08
November	0.88	0.10	0.44	0.66	0.11	0.70
December	0.49	0.05	0.30	0.41	0.06	0.38
Annual	0.61	0.09	0.46	0.44	0.07	0.69

Table 3. Comparison of trends in the marginal ice zone, polynyas and the consolidated pack ice

for March through November (1979 to 2013) for both the NASA Team and Bootstrap sea ice algorithms. Trends are computed in km² per year. Statistical significance at the 90th, 95th and 99th percentiles are denoted by ⁺, ⁺⁺ and ⁺⁺⁺, respectively. Results are only shown for March through

November.

NASA Team			Bootstrap			
	-		Total Antarctic			
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,900	+700	+14,300++++	+4,900	-300	+18,000++++
April	-8,200	-500	+29,600+++	-10,400	-1000	+38,000+++
May	-9,400	-2,400	+35,000+++	-8,500	-2,200	+41,300 ⁺⁺⁺
June	-10,100	-5,100	+32,900+++	-9,200	-2,400	+52,400+++
July	-3,400	-5,700	+22,600++	-6,600	-2,300	+25,200+++
August	+3,700	-3,600	+11,900	-6,200	-1,500	+31,800+++
September	$+10,900^{+}$	-3,300	+3,700	-4,200	-1,400	+39,400+++
October	+9,600+	-4,900	+7,300	-4,300	-2,900	+25,200++++
November	+2,600	-4,000	+6,000	-9,800	-3,700	+29,400++++
			Ross Sea			
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,800	+300	+4,100	+1,500	-100	+7,700 ⁺⁺
April	-1,400	-1,500	+12,400++	-2,700	-1,400	+14,600++++
May	+2,600+	-2,200	+11,100 ⁺⁺	-700	-1,100	+16,400++++
June	0	-1,200	+12,700 ⁺⁺	-2,000	-800	+18,600++++
July	+700	-700	+8,200 ⁺	-700	-600	+14,200+++
August	+6,900+++	-1,600	+3,400	+500	-900	+12.700+++
September	+4,800 ⁺⁺	-1,200	+1,800	-700	-700	+15,100+++
October	+5,400+++	-2,300	+7,300 ⁺	+1,100	-1,300	+17,600+++
November	+3,700 ⁺	-1,200	+4,400	-700	-1,600	+13,700++++
November	.0,100		ghausen/Amunds		1,000	10,700
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	-7,500	-1,500	-2,800	-2,400	-1,700	-7,500
April	-8,600	-800	-3,100	-3,100	-900	-7,700
May	-8,600	-1,200	+2,800	-2,100	-800	-4,600
June	-6,800	-2,600	+8,500+++	-2,100	-500	+1,300
July	-3,500	-2,500	+10,100+++	-700	-700	+4,000
August	-1,200	-700	+7,000 ⁺	+500	-200	+2,700
September	+2,600	-500	-300	+1,500 ⁺	-200	-100
October	-800	-200	-1,100	-300	-200	-1,800
November	+2,600	+1,000 ⁺⁺	-1,400	+1,600	+600 ⁺	+300
November	12,000	1,000	Weddell Sea	1,000	1000	1300
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+4,100 ⁺⁺	+1,300 ⁺⁺	+9,500 ⁺⁺	+2,600 ⁺⁺	+600 ⁺	+13,600+++
			+12,000 ⁺⁺		+200	+19,200+++
April	+1,700	+400	+9,400 ⁺⁺	-2,000		+14,400+++
May	-100	-400		-1,500	-600	
June	-2,300	-900	+100	-4,800	-600	+8,800++
July	-2,900	-1,100	-4,800	-4,200	-400	-100
August	-1,700	-700	-5,100	-3,500	-100	+600
September	-200	-600	-100	-2,900	-200	+4,900
October	+4,300	-1,400	-8,800	-3,700	-700	+3,400
November	-2,100	-3,500	-4,700	-6,300	-2,200	+700
			Indian Ocean			
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,500++	+300 ⁺	+9,500++	+2,100 ⁺⁺	+300 ⁺	+1,500 ⁺⁺
April	+1,500 ⁺	+600 ⁺	+12,000++	-500	+300	+5,200+++
Мау	-200	$+600^{+}$	+9,400++	-1,400	+100	+7,700+++
June	+2,600 ⁺	-500	+100	+900	-300	+7,600++
July	+3,500 ⁺	-700	-4,800	+100	-100	+7,600++
August	+1,300	-300	-5,100	-1,500	0	+9,900+++

September	$+4,600^{+}$	-900	-100	+400	-100	+6,700++
October	+1,900	-900	-8,800	-200	-400	+8,600++
November	+2,000	-200	-4,700	-500	-400	+8,700++
			Pacific Ocea	n		
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+1,100	+400+++	+2,800+++	+1,100 ⁺⁺	+600+++	+1,500++
April	-1,400	+800+++	+5,600+++	-2,100	+700+++	+5,200++++
May	-3,000	+800++	+6,100+++	-2,800	+300 ⁺	+7,700++++
June	-3,600	+200	+7,000+++	-1,200	-300	+7,600++
July	-1,300	-700	+5,700++	-100	-400	+7,600++
August	-1,500	-300	+2,200	-2,200	-300	+9,900+++
September	-900	-100	+1,400	-2,500	-300	+6,700++
October	-1,200	0	+3,700++	-1,100	-300	+8,600++
November	-3,500	-500	+4,400++	-4,000	-200	+8,700++

947 Table 4. Monthly latitude/longitude corners used for assessment of sea ice conditions on snow

948 petrel breeding success. These areas were defined from the distribution of snow petrels

	April	May	June	July	August	September
Latitude ₁	-65	-65	-65	-65	-65	-65
Latitude ₂	-60	-60	-60	-60	-55	-55
Longitude ₁	90	65	50	35	25	50
Longitude ₂	120	120	120	120	115	140

949 recorded from miniaturized saltwater immersion geolocators during winter [Delord et al., 2016].

950

951
Table 5. Results of model selection for the relationship between pack ice and MIZ on breeding

952 success of snow petrel. The model with the lowest AIC is highlighted in gray. AIC scores are

often interpreted as difference between the best model (smallest AIC) and each model referred as 953

954 Δ AIC. According to information theory, models with Δ AIC < 2 are both likely [*Burnham and*

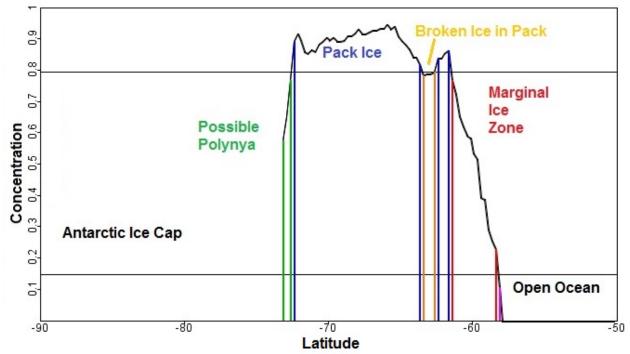
955 Anderson, 2002] but if a model shows a $\Delta AIC > 4$ it is unlikely in comparison with the best

956 model (smallest AIC).

Model	Variable	AIC	Slope
Bootstrap NASA Team	MIZ MIZ	931.86 887.11	-0.57544 -1.31416
Bootstrap	Pack ice	879.17	-1.04223
NASA Team	Pack ice	927.8	-0.41916

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- 996 in y-axis between the pack ice and the MIZ plots.
- 997 998



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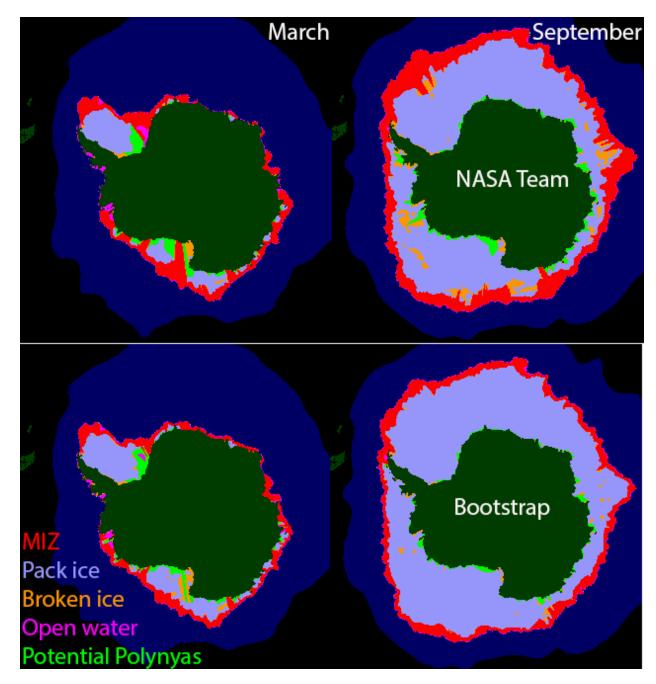
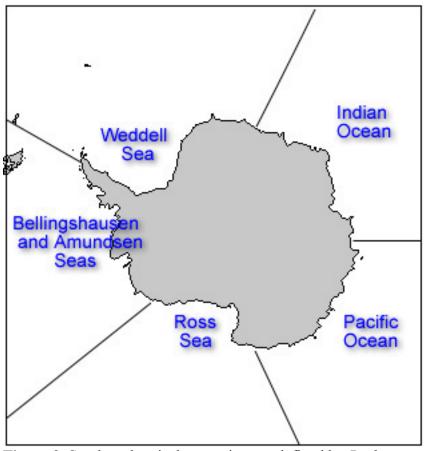
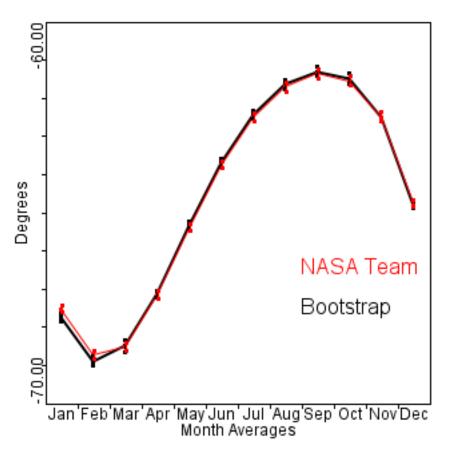


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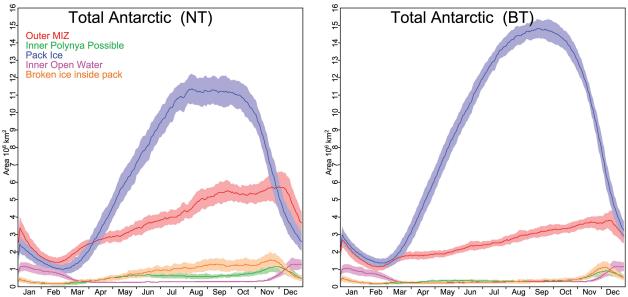


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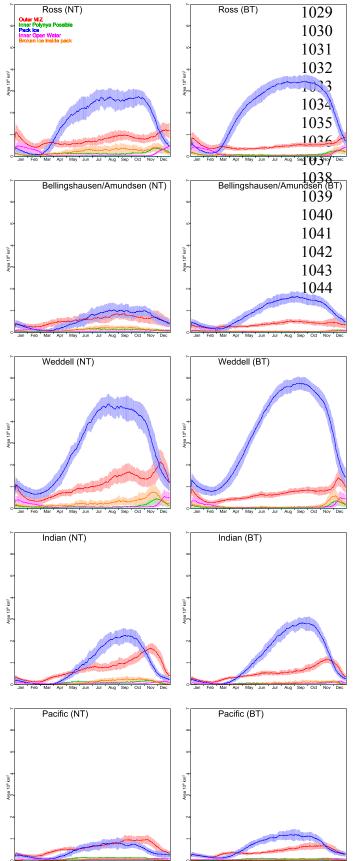
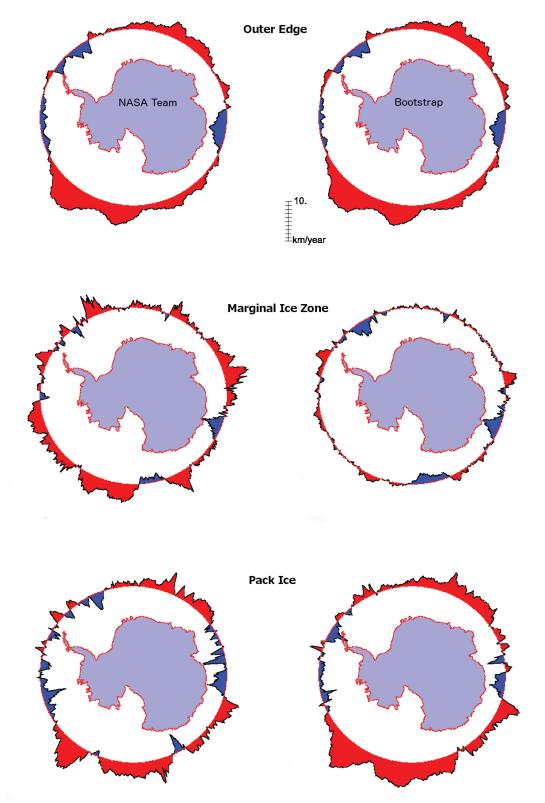
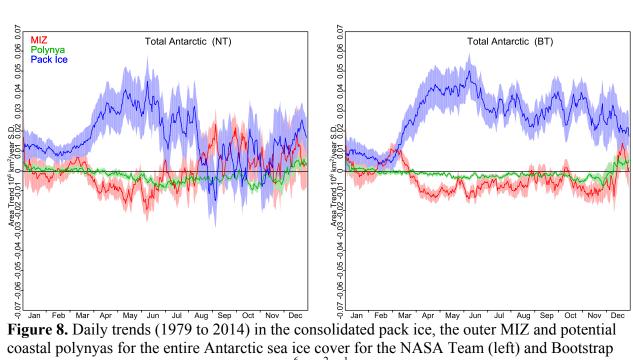


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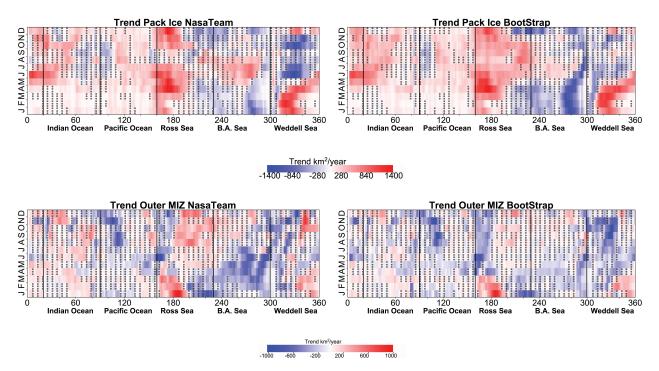


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1055

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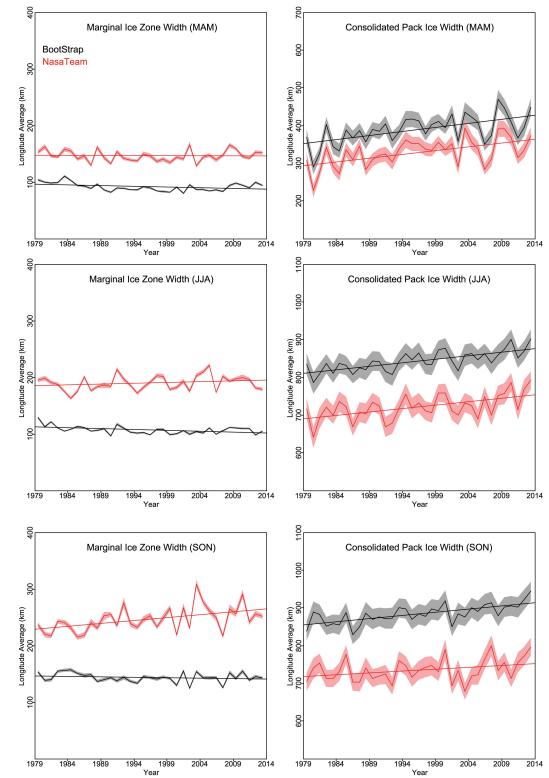
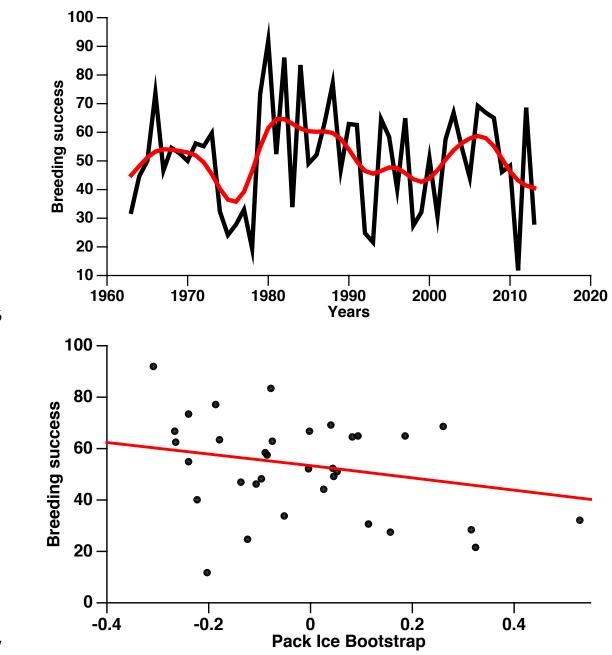


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1067 1068 1069 1070 Figure 11. Breeding success of snow petrel (top) since the 1960s and the effect of the Bootstrap consolidated pack ice area (x-axis) on the breeding success of snow petrels (y-axis) (bottom). 1071