

# Mapping and Assessing Variability in the Antarctic Marginal Ice Zone, the Pack Ice and Coastal Polynyas in two Sea Ice Algorithms with implications on Breeding Success of Snow Petrels

Julienne C. Stroeve<sup>1,2</sup>, Stephanie Jenouvrier<sup>3,4</sup>, G. Garrett Campbell<sup>1</sup>, Christophe Barbraud<sup>4</sup> and Karine Delord<sup>4</sup>

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<sup>1</sup>National Snow and Ice Data Center, Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA

<sup>2</sup>Center for Polar Observation and Modelling, University College London, London, UK

<sup>3</sup>Woods Hole Oceanographic Institution, Woods Hole, MA, USA

<sup>4</sup>Centre d'Etudes Biologiques de Chizé, UMR 7372 CNRS, 79360 Villiers en Bois, France

## Abstract

Sea ice variability within the marginal ice zone (MIZ) and polynyas plays an important role for phytoplankton productivity and krill abundance. Therefore, mapping their spatial extent, seasonal and interannual variability is essential for understanding how current and future changes in these biologically active regions may impact the Antarctic marine ecosystem. Knowledge of the distribution of MIZ, consolidated pack ice and coastal polynyas to the total Antarctic sea ice cover may also help to shed light on the factors contributing towards recent expansion of the Antarctic ice cover in some regions and contraction in others. The long-term passive microwave satellite data record provides the longest and most consistent record for assessing the proportion of the sea ice cover that is covered by each of these ice categories. However, estimates of the amount of MIZ, consolidated pack ice and polynyas depends strongly on what sea ice algorithm is used. This study uses two popular passive microwave sea ice algorithms, the NASA Team and Bootstrap, and applies the same thresholds to the sea ice concentrations to evaluate the distribution and variability in the MIZ, the consolidated pack ice and coastal polynyas. Results reveal that the seasonal cycle in the MIZ and pack ice is generally similar between both algorithms, yet the NASA Team algorithm has on average twice the MIZ and half the consolidated pack ice area as the Bootstrap algorithm. Trends also differ, with the Bootstrap algorithm suggesting statistically significant trends towards increased pack ice area and no statistically significant trends in the MIZ. The NASA Team algorithm on the other hand indicates statistically significant positive trends in the MIZ during spring. Potential coastal polynya area and broken ice within the consolidated ice pack is also larger in the NASA Team algorithm. The timing of maximum polynya area may differ by as much as 5 months between algorithms. These differences lead to different relationships between sea ice characteristics and biological processes, as illustrated here with the breeding success of an Antarctic seabird.

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## 1. Introduction

Changes in the amount of the ocean surface covered by sea ice play an important role in the global climate system. For one, sea ice and its snow cover have a high surface reflectivity, or albedo, reflecting the majority of the sun's energy back to space. This helps to keep the polar regions cool and moderates the global climate. When sea ice melts or retreats, the darker (lower albedo) ocean is exposed, allowing the ocean to absorb solar energy and warm, which in turn

50 melts more ice, creating a positive feedback loop. During winter, sea ice helps to insulate the  
51 ocean from the cold atmosphere, influencing the exchange of heat and moisture to the  
52 atmosphere with impacts on cloud cover, pressure distribution and precipitation. These in turn  
53 can lead to large-scale atmospheric changes, affecting global weather patterns [e.g. *Jaiser et al.*,  
54 2012]. Sea ice also has important implications for the entire polar marine ecosystem, including  
55 sea ice algae, phytoplankton, crustaceans, fish, seabirds, and marine mammals, all of which  
56 depend on the seasonal cycle of ice formation in winter and ice melt in summer. For example,  
57 sea ice melt stratifies the water column, producing optimal light conditions for stimulating bloom  
58 conditions. Antarctic sea birds rely upon the phytoplankton bloom for their breeding success and  
59 survival [e.g. *Park et al.*, 1999].

60 In stark contrast to the Arctic, which is undergoing a period of accelerated ice loss [e.g.  
61 *Stroeve et al.*, 2012; *Serreze and Stroeve*, 2015], the Antarctic is witnessing a modest increase in  
62 total sea ice extent [*Parkinson and Cavalieri*, 2012; *Simmonds et al.*, 2015]. Sea ice around  
63 Antarctica reached another record high extent in September 2014, recording a maximum extent  
64 of more than 20 million km<sup>2</sup> for the first time since the modern passive microwave satellite data  
65 record began in October 1978. This follows previous record maxima in 2012 and 2013 [*Reid et*  
66 *al.*, 2015], resulting in an overall increase in Antarctic September sea ice extent of 1.1% per  
67 decade since 1979. While the observed increase is statistically significant, Antarctic's sea ice  
68 extent (SIE) is also highly variable from year to year and region to region [e.g. *Maksym et al.*,  
69 2012; *Parkinson and Cavalieri*, 2012; *Stammerjohn et al.*, 2012]. For example, around the West  
70 Antarctic Peninsula (WAP), there have been large decreases in sea ice extent and sea ice duration  
71 [e.g. *Ducklow et al.*, 2012; *Smith and Stammerjohn*, 2001], coinciding with rapid warming since  
72 1950 [*Ducklow et al.*, 2012].

73 The temporal variability of the circumpolar Antarctic sea ice extent is underscored by sea ice  
74 conditions in 2015 when the winter ice cover returned back to the 1981-2010 long-term mean.  
75 Also, recent sea ice assessments from early satellite images from the Nimbus program of the late  
76 1960s indicate similarly high but variable SIE as observed over 2012-2014 [*Meier et al.*, 2013;  
77 *Gallaher et al.*, 2014]. Mapping of the September 1964 ice edge indicates that ice extent likely  
78 exceeded both the 2012 and 2013 record monthly-average maxima, at 19.7±0.3 million km<sup>2</sup>.  
79 This was followed in August 1966 by an extent estimated at 15.9±0.3 million km<sup>2</sup>, considerably  
80 smaller than the record low maximum extent of the modern satellite record (set in 1986). The  
81 circumpolar average also hides contrasting regional variability, with some regions showing either  
82 strong positive or negative trends with magnitudes equivalent to those observed in the Arctic  
83 [*Stammerjohn et al.*, 2012]. In short, interannual and regional variability in Antarctic sea ice is  
84 considerable, and while the current positive trend in circumpolar averaged Antarctic sea ice  
85 extent is important, it is not unprecedented compared to observations from the 1960s and it is not  
86 regionally distributed.

87 Several explanations have been put forward to explain the positive Antarctic sea ice trends.  
88 Studies point to anomalous short-term wind patterns that both grow and spread out the ice,  
89 related to the strength of the Amundsen Sea low pressure [e.g. *Turner et al.*, 2013; *Reid et al.*,  
90 2015; *Holland and Kwok*, 2012]. Other studies suggest melt water from the underside of floating  
91 ice surrounding the continent has risen to the surface and contributed to a slight freshening of the  
92 surface ocean [e.g. *Bintanja et al.*, 2013]. While these studies have helped to better understand  
93 how the ice, ocean and atmosphere interact, 2012 to 2014 showed different regions and seasons  
94 contributing to the net positive sea ice extent, which has made it difficult to establish clear links  
95 and suggests that no one mechanism can explain the overall increase.

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102 While the reasons for the increases in total extent remain poorly understood, it is likely that  
 103 these changes are not just impacting total sea ice extent but also the distribution of pack ice, the  
 104 marginal ice zone (MIZ) and polynyas. The MIZ is a highly dynamic region of the ice cover  
 105 defined by the transition between the open ocean and the consolidated pack ice. In the Antarctic,  
 106 wave action penetrates hundreds of kilometers into the ice pack, resulting in small rounded ice  
 107 floes from wave-induced fracture [Kohout *et al.*, 2014]. This in turn makes the MIZ region  
 108 particularly sensitive to both atmospheric and oceanic forcing, such that during quiescent  
 109 conditions, it may consist of a diffuse thin ice cover, with isolated thicker ice floes distributed  
 110 over a large (hundreds of kilometers) area. During high on-ice wind and wave events, the MIZ  
 111 region contracts to a compact ice edge with rafted ice pressed together in front of the solid ice  
 112 pack. The smaller the ice floes, the more mobile they are and large variability in ice conditions  
 113 can be found in response to changing wind and ocean conditions. Polynyas on the other hand are  
 114 open water areas near the continental margins [e.g. Morales-Maqueda *et al.*, 2004] that often  
 115 remain open as a result of strong katabatic winds flowing down the Antarctic plateau. The winds  
 116 continuously push the newly formed sea ice away from the continent, which influences the outer  
 117 ice edge as well, thus contributing to the overall increase in total ice extent in specific regions  
 118 around the Antarctic continent where katabatic winds are persistent.

119 Both polynyas and the MIZ are biologically important regions of the sea ice cover that have  
 120 implications for the entire trophic web, from primary productivity [Yun *et al.*, 2015], to top  
 121 predator species, such as seabirds. Near the ice edge and in the MIZ, the stable upper layer of the  
 122 water column is optimal for phytoplankton production [e.g. Park *et al.*, 1999]. This  
 123 phytoplankton bloom is subsequently exploited by zooplankton, with effects that cascade up to  
 124 fish, seabirds and marine mammals. Similarly, within polynyas there is a narrow opportunity for  
 125 phytoplankton growth, the timing of which plays an important role in both biogeochemical  
 126 cycles [Smith and Barber, 2007] and biological production [Arrigo and van Dijken, 2003; Ainley  
 127 *et al.*, 2010]. However, while studies have suggested that the timing of sea ice retreat is  
 128 synchronized with the timing of the phytoplankton bloom, other factors such as wind forcing  
 129 [Chiswell, 2011], thermal convection [Ferrari *et al.*, 2014] and iron availability [Boyd *et al.*,  
 130 2007, and references therein] play important roles as well.

131 In this study we use the long-term passive microwave sea ice concentration data record to  
 132 evaluate variability and trends in the MIZ, the pack ice and polynyas from 1979 to 2014. A  
 133 complication arises however as to which sea ice algorithm to use. There are at least a dozen  
 134 algorithms available, spanning different time-periods, which give sea ice concentrations that are  
 135 not necessarily consistent with each other [see Ivanova *et al.*, 2015; 2014 for more information].  
 136 To complicate matters, different studies have used different sea ice algorithms to examine sea ice  
 137 variability and attribution. For example, Hobbs and Raphael [2010] used the HadISST1 sea ice  
 138 concentration data set [Rayner *et al.*, 2003], which is based on the NASA Team algorithm  
 139 [Cavalieri *et al.*, 1999], whereas Raphael and Hobbs [2014] relied on the Bootstrap algorithm  
 140 [Comiso and Nishio, 2008]. To examine the influence in the choice of sea ice algorithm on the  
 141 results, we use both the Bootstrap (BT) and NASA Team (NT) sea ice algorithms. Results are  
 142 evaluated hemispheric-wide and also for different regions. We then discuss the different  
 143 implications resulting from the two different satellite estimates for biological impact studies. We  
 144 focus on the breeding success of snow petrels because seabirds have been identified as useful  
 145 indicators of the health and status of marine ecosystems [Piatt and Sydeman, 2007].

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- Deleted: Thus, in contrast to the Arctic, ocean waves primarily define the dynamic MIZ region, though in the Arctic this may be changing as the Arctic continues to experience longer and a larger region of ice-free summers with increased fetch on the later-timed ice edge advance [Wang *et al.*, 2015].
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163 **2. Data and Methods**

164 To map different ice categories, the long-term passive microwave data record is used, which  
165 spans several satellite missions, including the Scanning Multichannel Microwave Radiometer  
166 (SMMR) on the Nimbus-7 satellite (October 1978 to August 1987), the Special Sensor  
167 Microwave/Imager (SSM/I) sensors -F8 (July 1987 to December 1991), -F11 (December 1991 to  
168 September 1995), -F13 (May 1995 to December 2007) and the Special Sensor Microwave  
169 Imager/Sounder (SSMIS) sensor -F17 (January 2007- to present), both on the Defense  
170 Meteorological Satellite Program's (DMSP) satellites. Derived sea ice concentrations (SICs)  
171 from both the Bootstrap [Comiso and Nishio, 2008] and the NASA Team [Gloersen et al., 1992;  
172 Cavalieri et al., 1999] are available from the National Snow and Ice Data Center (NSIDC) and  
173 provide daily fields from October 1978 to present, gridded to a 25 km polar stereographic grid.  
174 While a large variety of SIC algorithms are available, the lack of good validation has made it  
175 difficult to determine which algorithm provides the most accurate results during all times of the  
176 year and for all regions. Using two algorithms provides a consistency check on variability and  
177 trends. Note that NSIDC has recently combined these two algorithms to build a climate data  
178 record (CDR) [Meier et al., 2013].

179 Using these SIC fields, we define six binary categories of sea ice based on different SIC  
180 thresholds [Table 1]. Because the marginal ice zone is highly dynamic in time and space, it is  
181 difficult to precisely define this region of the ice cover. Wadhams [1986] defined the MIZ as that  
182 part of the ice cover close enough to the open ocean boundary to be impacted by its presence,  
183 e.g. by waves. Thus the MIZ is typically defined as the part of the sea ice that is close enough to  
184 the open ocean to be heavily influenced by waves, and it extends from the open ocean to the  
185 dense pack ice. In this study, we define the MIZ as extending from the outer sea ice/open ocean  
186 boundary (defined by  $SIC \geq 0.15$  ice fraction) to the boundary of the consolidated pack ice  
187 (defined by  $SIC = 0.80$ ). This definition was previously used by Strong and Rigor [2013] to  
188 assess MIZ changes in the Arctic and matches the upper SIC limit used by the National Ice  
189 Center in mapping the Arctic MIZ. The consolidated ice pack is then defined as the area south of  
190 the MIZ with ice fractions between  $0.80 \leq SIC \leq 1.0$ . Potential coastal polynyas are defined as  
191 regions near the coast that have  $SIC < 0.80$ .

192 To automate the mapping of different ice categories, radial transects from 50 to 90S are  
193 individually selected to construct one-dimensional profiles [Figure 1]. The algorithm first steps  
194 from the outer edge until the 0.15 SIC is detected, providing the latitude of the outer MIZ edge.  
195 Next, the algorithm steps from the outer MIZ edge until either the 0.80 SIC is encountered, or the  
196 continent is reached. Data points along the transect between these SIC thresholds are flagged as  
197 the MIZ. In this way, the MIZ includes an outer band of low sea ice concentrations that  
198 surrounds a band of inner consolidated pack ice, but sometimes the MIZ also extends all the way  
199 to the Antarctic coastline (as sometimes observed in summer). South of the MIZ, the  
200 consolidated ice pack ( $0.80 \leq SIC \leq 1.0$ ) is encountered; however, low sea ice concentrations can  
201 appear near the coast inside the pack ice region as well. These are areas of potential coastal  
202 polynyas. While it is difficult to measure the fine scale location of a polynya at 25km spatial  
203 resolution, the lower sea ice concentrations provide an indication of some open water near the  
204 coast, which for sea birds provides a source of open water for foraging. We have previously  
205 tested mapping polynyas using a SIC threshold of 0.75 and 0.85 for the NASA Team and  
206 Bootstrap algorithms, respectively, and found that these thresholds provided consistent polynya  
207 areas between the two algorithms and matched other estimates of the spatial distribution of  
208 polynyas [see Li et al., 2016]. However, for this study we chose just one threshold, a

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217 compromise between the two algorithms, so that we can better determine the sensitivity of using  
218 the same threshold on polynya area and timing of formation.

219 Using our method of radial transects, the algorithm then steps from the coast northward and  
220 flags pixels with  $< 0.80$  SIC until a  $0.80$  SIC pixel appears and defines that region as a potential  
221 coastal polynya. Within the consolidated pack ice (and away from the coast), it is also possible to  
222 encounter instances where  $0.15 < SIC < 0.80$  or  $SIC < 0.15$ . These are flagged as open pack ice  
223 and open water areas within the consolidated pack ice, respectively. Finally, an ocean mask  
224 derived from climatology and distributed by NSIDC was applied to remove spurious ice  
225 concentrations at the ice edge as a result of weather effects.

226 **Figure 2** shows sample images of the classification scheme as applied to the NASA Team  
227 and Bootstrap algorithms on days 70 (March 11) and 273 (September 30), respectively, in 2013.  
228 During the fall and winter months when the ice cover is expanding there is a well-established  
229 consolidated pack ice region, surrounded by the outer MIZ. Coastal polynyas are also found  
230 surrounding the continent in both algorithms. The BT algorithm tends to show a larger  
231 consolidated ice pack than NT, particularly during the timing of maximum extent. During the  
232 melt season there is mixing of low and high ice concentrations, leading to mixtures of different  
233 categories, which is still seen to some extent in the March images. However, during March areas  
234 of polynyas (green), open water (pink) and open pack ice (orange) appear to extend from the  
235 coastline in some areas (e.g. southern Weddell and Ross seas). While any pixel with  $SIC < 0.8$   
236 adjacent to the coastal boundary is flagged as potential polynya when stepping northwards, if a  
237 pixel is already flagged as MIZ or consolidated pack ice when stepping southwards, it remains  
238 flagged as MIZ or pack ice. After that analysis, a check for pixels with SICs less than  $0.8$  is done  
239 to flag for broken ice or open water. Thus, during these months (e.g. December to February or  
240 March), the physical interpretation of the different ice classes may be less useful.

241 Using the binary classification scheme, daily gridded fields at each 25 km pixel are obtained.  
242 Using this gridded data set we then obtain regional averages for five different regions as defined  
243 previously by Parkinson and Cavalieri [2012]. These regions are shown in **Figure 3** for  
244 reference. Climatological mean daily and monthly time-series spanning 1981 to 2010 are  
245 computed for each of the five sub-regions, as well as the entire circumpolar region, and for each  
246 ice classification together with the  $\pm$  one standard deviation ( $1\sigma$ ). Monthly trends over the  
247 entire time-series are computed by first averaging the daily fields into monthly values and then  
248 using a standard linear least squares, with statistical significance evaluated at the 90<sup>th</sup>, 95<sup>th</sup> and  
249 99<sup>th</sup> percentiles using a student t-test.

## 250 3. Results

### 251 3.1 Seasonal Cycle

#### 252 3.1.1 Circumpolar Extent

253 We begin with an assessment of the consistency of the outer ice edge between both sea ice  
254 algorithms [**Figure 4**]. As a result of the large emissivity difference between open water and sea  
255 ice, estimates of the outer ice edge location has high consistency between the two algorithms  
256 despite having large differences in SIC [e.g. Ivanova et al., 2014; 2015]. This results in similar  
257 total sea ice extents between both algorithms during all calendar months, except for a small  
258 southward displacement of the Bootstrap ice edge during summer, and similar long-term trends.  
259 This is where the similarities end however.

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270 **Figure 5** summarizes the climatological mean seasonal cycle in the extent of the different ice  
271 categories listed in Table 1 for both sea ice algorithms, averaged for the total hemispheric-wide  
272 Antarctic sea ice cover. The one standard deviation is given by the colored shading. The first  
273 notable result is that the BT algorithm has a larger consolidated ice pack than the NT algorithm,  
274 which comes at the expense of a smaller MIZ. Averaged over the entire year, the **NT** MIZ area is  
275 twice as large as that **from BT** [see also **Table 2**]. The BT algorithm additionally has a smaller  
276 spatial extent of potential coastal polynyas and little to no broken ice or open water within the  
277 consolidated pack ice. Another important result is that the BT algorithm exhibits less interannual  
278 variability in the **5 ice categories identified**, as illustrated by the smaller standard deviations from  
279 the long-term mean. Thus, while the total extents are not dissimilar between the algorithms, how  
280 that ice is distributed among the different ice categories differs quite substantially as well as their  
281 year-to-year variability.

282 The timing of the ice edge advance and retreat are generally similar, reflecting the fact that  
283 both algorithms do well in distinguishing open water from sea ice. In regards to the consolidated  
284 pack ice, it advances in March, with the BT algorithm showing a distinct peak in September,  
285 reaching a maximum extent of  $14.89 \cdot 10^6 \text{ km}^2$ . The NT algorithm shows a somewhat broader  
286 peak, extending from July to October, with the peak extent also reached in September. In  
287 September the NT pack ice extent is a little more than twice the spatial extent of the MIZ;  $11.31$   
288  $10^6 \text{ km}^2$  vs.  $5.41 \cdot 10^6 \text{ km}^2$  [Table 2]. BT on the other hand has a much smaller fraction (41% less)  
289 of ice classified as MIZ ( $3.19 \cdot 10^6 \text{ km}^2$ ). In both algorithms the MIZ also begins to expand in  
290 March, and continues to expand until November or December, after which it rapidly declines.  
291 However, in the NT algorithm, an initial peak in MIZ coverage is also reached around  
292 September, coinciding with the peak in the consolidated pack ice extent and stays nearly constant  
293 until the end of November. The further increase in the MIZ coverage after the consolidated ice  
294 pack begins to retreat implies that as the pack ice begins to retreat, it does so in part by first  
295 converting to MIZ over a wider area. This is consistent with the idea that in spring, the pack ice  
296 on average undergoes divergence first (in relation to the circumpolar trough being poleward and  
297 south of the ice edge, as reflected by the Semi-Annual Oscillation, SAO, of the trough). This in  
298 turn facilitates increased solar heating of open water areas, which in turn facilitates increased  
299 melt back, thus creating, eventually, a more rapid ice edge retreat (in Nov-Dec) as compared to  
300 the slow ice edge advance in autumn [see *Watkins and Simmonds, 1999*].

301 Open pack ice is negligible in the Bootstrap algorithm except for a slight peak in  
302 November/December. With the NASA Team algorithm however there is a clear increase in open  
303 pack ice during the ice expansion phase, which continues to increase further as the pack ice  
304 begins to retreat, also peaking in November. Open pack ice in September contributes another  
305  $1.28 \cdot 10^6 \text{ km}^2$  to the total Antarctic sea ice extent in the NT algorithm, compared to only  $0.36 \cdot 10^6$   
306  $\text{km}^2$  in the BT algorithm. As with the open pack ice, the fraction of potential coastal polynyas  
307 also increases during the ice expansion phase, and then continues to increase as the sea ice  
308 retreats, peaking around November in the NT algorithm, with a total area of  $1.02 \cdot 10^6 \text{ km}^2$ , and in  
309 December in BT ( $0.81 \cdot 10^6 \text{ km}^2$ ). Inner open water within the pack is generally only found  
310 between November and March in both algorithms as the total ice cover retreats and reaches its  
311 seasonal minimum.

### 312 3.2.2 Regional Analysis

313 Analysis of the Antarctic-wide sea ice cover however is of limited value given that the sea  
314 ice variability and trends are spatially heterogeneous [*Makysm et al., 2012*]. For example, while  
315 the ice cover is increasing in the Ross Sea, it has at the same time decreased in the

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321 Bellingshausen/ Amundsen Sea region. Thus, we may anticipate significant regional variability  
322 in the amount, seasonal cycle and trends of the different ice classes (trends discussed in section  
323 3.3). The Ross Sea for example [Figure 6, top] consists of a large fraction of consolidated ice  
324 throughout most of the year (April through November) in both algorithms, with considerably less  
325 MIZ. In the Bellingshausen/Amundsen (B/A) Sea on the other hand [Figure 6, 2<sup>nd</sup> row], the NT  
326 algorithm has a MIZ extent that exceeds that of the consolidated pack ice until May, after which  
327 the spread (+/- 1σ) in MIZ and consolidated pack ice overlaps. The reverse is true in the BT  
328 algorithm, which consistently indicates a more consolidated ice pack, with only 0.51 10<sup>6</sup> km<sup>2</sup>  
329 flagged as MIZ during the maximum extent in September, compared to 0.84 10<sup>6</sup> km<sup>2</sup> in the NT  
330 algorithm. On an annual basis, the NT algorithm shows about equal proportion of MIZ and  
331 consolidated pack ice in the B/A Sea whereas, the BT algorithm indicates a little more than a  
332 third of the total ice cover is MIZ. Note also that the B/A Sea is the only region where the  
333 maximum MIZ extent does not occur after the maximum pack ice extent during spring. This is  
334 true for both sea ice algorithms.

335 In the Ross Sea there is also a very broad peak in the maximum extent of the consolidated  
336 pack ice, stretching between July and October in the NT algorithm, and a peak in MIZ extent in  
337 late August/early September with a secondary peak in December as the pack ice continues to  
338 retreat. The BT algorithm shows a similar broad peak in the pack ice extent, but with less  
339 interannual variability, and a nearly constant fraction of MIZ throughout the advance and retreat  
340 of the pack ice. Annually the NT algorithm shows about 56% more MIZ in the Ross Sea than the  
341 BT algorithm. Note that in both algorithms, the pack ice retreats rapidly after the maximum  
342 extent is reached.

343 In the Weddell Sea, the pack ice extent advances in March in both algorithms and peaks in  
344 August in the NT algorithm, September in BT. The MIZ also begins its expansion in March and  
345 continues to increase until September in NT, and then again until December (both algorithms) as  
346 the pack ice quickly retreats [Figure 6 (middle)]. In this region, the sea ice expands northwards  
347 until it reaches a region with strong winds and currents. The open pack ice north of the pack ice  
348 continues to expand either by further freezing or breaking of the pack ice by the winds and  
349 currents. Overall, the Weddell Sea has the largest spatial extent in the MIZ in both algorithms, as  
350 well as the largest distribution of pack ice. In the NT algorithm, the MIZ extent within the  
351 Weddell Sea is again larger than in the BT algorithm and has considerably larger interannual  
352 variability. For example, in September the NASA Team algorithm gives a climatological mean  
353 MIZ extent of 1.61 10<sup>6</sup> km<sup>2</sup>, twice as large as that in the Bootstrap algorithm (0.83 10<sup>6</sup> km<sup>2</sup>).

354 Finally, in the Indian and Pacific Ocean sectors [Figure 6, 4<sup>th</sup> row and bottom] the MIZ  
355 extent increases from March until November in both algorithms, retreating about a month after  
356 the peak extent in the pack ice is reached. However, in the Pacific Ocean sector, the NT MIZ  
357 comprises a larger percentage of the overall ice cover, being nearly equal in spatial extent, and  
358 even exceeding that of the pack ice in September (0.93 (MIZ) vs. 0.76 10<sup>6</sup> km<sup>2</sup> (pack ice)). This  
359 results in an annual mean extent of MIZ that exceeds that of the consolidated pack ice. This is  
360 the only region of Antarctica where this occurs. In the BT algorithm, the reverse is true, with  
361 again a larger annual extent of pack ice than MIZ.

362 While the above discussion focused on regional differences in the MIZ and the consolidated  
363 pack ice, the spatial extent and timing of coastal polynyas also varies between the algorithms.  
364 For example, in the B/A sea region, the maximum polynya area occurs in July in NT (0.17 10<sup>6</sup>  
365 km<sup>2</sup>) and in December in the BT algorithm (0.11 10<sup>6</sup> km<sup>2</sup>). Thus, while the overall maximum  
366 spatial extent in polynya area is not all that different in the two algorithms, the timing of when

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375 the maximum is reached differs by 5 months. This is also the case in the Pacific Ocean where the  
376 **NT** algorithm reaches its largest spatial extent in polynya area in August ( $0.14 \cdot 10^6 \text{ km}^2$ ) whereas  
377 **BT** shows the maximum polynya area occurring in November ( $0.11 \cdot 10^6 \text{ km}^2$ ). In other regions,  
378 such as the Indian Ocean, the Ross Sea and the Weddell Sea, the timing of the maximum  
379 polynya area occurs similarly in both algorithms, during November for the Indian Ocean and  
380 December in the Ross and Weddell Seas. The Ross and Weddell seas have the largest  
381 climatological polynya areas,  $0.32$  (NT)/ $0.26$  (BT)  $10^6 \text{ km}^2$  and  $0.33$  (NT)/ $0.30$  (BT)  $10^6 \text{ km}^2$ ,  
382 respectively.

## 383 3.2 Trends

### 384 3.2.1 Spatial Expansion/Contraction during September

385 As mentioned earlier, estimates of the outer ice edge location are similar between both  
386 algorithms. This is also true in terms of the locations where the outer edge is expanding or  
387 contracting. A way to illustrate this is shown in **Figure 7 (top)**, which shows a spatial map of the  
388 trend in the outer edge of the entire ice pack (defined as the 15% SIC contour, equivalent to the  
389 total sea ice extent) for both algorithms during the month of September, the month at which the  
390 ice pack generally reaches its maximum extent. Locations of northward expansion (red areas)  
391 and contraction (blue areas) are remarkably consistent between algorithms as well as the spatial  
392 extent of the expansion and contraction. In both algorithms the ice edge shows trends towards  
393 expansion within the Ross Sea, the Amundsen Sea and the Pacific and Indian Ocean sectors,  
394 except for the Davis Sea, where there is a trend towards contraction of the outer ice edge. The  
395 Bellingshausen and Weddell seas also show trends towards contraction of the outer ice edge.

396 While there is general consistency between the algorithms in both the location and changes  
397 of the outer ice edge over time, there are differences as to how the MIZ and pack ice widths are  
398 changing [**Figure 7, middle and bottom**]. The **BT** MIZ width is a relatively constant ring  
399 around the edge of the consolidated pack ice, with little change over time. Thus, in the **BT**  
400 algorithm, the spatial pattern of expansion/contraction of the total ice cover in September is  
401 largely a result of the changes happening in the pack ice [Figure 7, bottom]. The **NT** algorithm  
402 on the other hand shows more pronounced changes in the MIZ, such that both the MIZ and the  
403 pack ice contribute to the observed spatial patterns and changes in the total ice cover. However,  
404 expansion/contraction of the **NT** MIZ and pack ice sometimes counter act each other. For  
405 example the contraction of the total ice edge the Bellingshausen Sea is a result of contraction of  
406 the consolidated ice pack while the MIZ width is generally increasing as a result of the MIZ  
407 moving further towards the continent. This is also true in the Weddell Sea and the Indian Ocean.

408 Somewhat surprisingly, the spatial pattern of expansion/contraction of the MIZ is broadly  
409 similar between both algorithms, despite overall smaller changes in the **BT** algorithm. This  
410 highlights the fact that the spatial trends in SIC are similar to the spatial trends in SIE as well as  
411 to the timing of advance/retreat/duration, so that the spatial trends in the MIZ and pack ice will  
412 show the same overall pattern because they rely on SIC. This also highlights the fact that the  
413 spatial pattern persists throughout the regional ice covered area, i.e. from the edge to the coastal  
414 area, which may imply that climate-related regional wind-driven changes at the ice edge are felt  
415 all the way to the coast. Alternatively it may imply that the ocean is also responding to the same  
416 climate-related wind changes, thus communicating the change all the way to the coast.

### 417 3.2.2 Circumpolar and Regional Daily Trends

418 **Figure 8** summarizes daily circumpolar Antarctic trends in the **extent of** pack ice, MIZ and  
419 polynyas for both algorithms, with monthly mean trends listed in **Table 3**. Both algorithms are

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424 broadly similar during the ice expansion phase, indicating positive trends in the consolidated ice  
 425 pack and mostly negative trends in the MIZ until the pack ice reaches its peak extent. Thus,  
 426 during these months, the positive trends in total SIE are a result of expansion of the consolidated  
 427 pack ice. However, during retreat of the pack ice, trends in the **NT** MIZ switch to positive in the  
 428 while remaining mostly negative in the **BT** algorithm. At the same time, daily trends in the pack  
 429 ice become noisy in the NT algorithm, alternating between positive and negative trends while **BT**  
 430 trends remain positive. Table 3 indicates that the positive trends in the consolidated pack during  
 431 the ice expansion/retreat phase (March through November) are statistically significant ( $p < 0.01$ )  
 432 for the BT algorithm, and from March to July in the NT algorithm ( $p < 0.05$ ). Trends in the **NT**  
 433 MIZ are not statistically significant, except during September and October ( $p < 0.10$ ). Trends in  
 434 the pack ice are larger in the BT algorithm, particularly in August through November, in part  
 435 reflecting a shrinking MIZ whereas the NT algorithm shows positive trends in the MIZ during  
 436 those months. Trends in possible polynyas near the continent are negative throughout most of the  
 437 year in both algorithms, except for December and January. However, none of the polynya trends  
 438 are statistically significant.

439 Regionally, there are larger differences between the two algorithms. **Figure 9** shows **monthly**  
 440 trends as a function longitude (x-axis) and month (y-axis) for the pack ice (**top**) and **MIZ**  
 441 (**bottom**). Monthly trends averaged for each of the 5 sectors are also listed in Table 3. Focusing  
 442 first on the pack ice trends, we find the spatial patterns of **statistically significant** positive and  
 443 negative trends are generally consistent between both algorithms, though the magnitudes of the  
 444 trends tend to be larger in the Bootstrap algorithm. For example, in the **Ross Sea**, the sign of the  
 445 pack ice trends are spatially consistent between both algorithms, though not all trends are  
 446 statistically significant, particularly for the NT algorithm. The largest consistency occurs in the  
 447 the western Ross Sea, where positive trends are seen in both algorithms, statistically significant  
 448 from March to November ( $p < 0.01$ ) in the BT algorithm, and from January to July and October to  
 449 November in the NT algorithm. Note also that both algorithms show statistically significant  
 450 positive trends in the MIZ from January to March in the western Ross Sea and generally negative  
 451 trends in the eastern Ross Sea. This pattern switches from June to December, with mostly  
 452 negative MIZ trends in the western Ross Sea and positive trends in the eastern Ross Sea. In  
 453 particular, the statistically significant positive trends in the MIZ in the NT algorithm occur at the  
 454 time of year with the largest overall trends in the SIE in this region. This would suggest perhaps  
 455 different interpretation of processes impacting the overall ice expansion in the Ross Sea  
 456 depending on which algorithm is used.

457 In the B/A Sea, statistically significant positive trends in pack ice are limited to May through  
 458 August in the NT algorithm and June and July in the BT algorithm. The positive NT pack ice  
 459 trends are offset by negative trends in the NT MIZ. Both algorithms exhibit negative pack ice  
 460 trends during other months that are consistent between the algorithms, though larger in  
 461 magnitude for the BT algorithm. This is generally compensated by statistically significant  
 462 negative trends in the NT MIZ to give an overall negative decline of total extent.

463 Trends in the pack ice are also consistent between algorithms in the Weddell Sea, with  
 464 statistically significant trends generally occurring at the same longitude and during the same  
 465 months. The positive pack ice trends in MAM (NT) or MAMJ (BT) are confined to a very  
 466 narrow longitude band which moves to the east with progressing season. Then in June, and  
 467 continuing for several months, negative pack ice trends occur. For both algorithms, trends in the  
 468 MIZ are generally not statistically significant, except for some positive trends in the eastern

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- Deleted: , the largest regional positive trends in total SIE are found at a rate of 119,000 km<sup>2</sup> per decade [e.g. Turner et al., 2015], accounting for about 60% of the circumpolar ice extent increase. In the BT algorithm this is entirely a result of
- Deleted: large
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- Deleted: While the sign of the Ross Sea sector trends from the NT algorithm are spatially consistent with the pack ice trends shown in the BT algorithm, trends are only statistically from April to June ( $p < 0.05$ ). Instead,
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499 [Weddell Sea from January to March and negative trends mostly from June to November near](#)  
500 [330 degrees longitude](#).

501 Finally, in the Pacific and Indian Oceans we again see spatial consistency in pack ice and  
502 MIZ trends for both algorithms, with generally larger (smaller) pack ice (MIZ) trends for the BT  
503 algorithm, though trends are closer in magnitude in the Pacific sector from March to July. [Pack](#)  
504 [ice trends are generally positive, more in BT than NT and trends in MIZ extent basically vary](#)  
505 [around zero with exceptions during August through December in both algorithms in the Pacific](#)  
506 [Ocean](#).

507 In summary, while the magnitude of trends differs between both algorithms, there is general  
508 spatial consistency in the patterns of positive and negative trends in the consolidated pack ice  
509 and the MIZ. Results suggest that positive trends in total SIE are generally a result of statistically  
510 significant positive trends in the consolidated pack ice in the BT algorithm in all sectors of the  
511 Antarctic, except for the Bellingshausen/Amundsen Sea sector and the Weddell Sea during ice  
512 retreat. The NT algorithm on the other hand suggests more instances of statistically significant  
513 positive trends in the MIZ, though this is highly regionally dependent.

### 514 3.2.3 Seasonal Trends in MIZ and Pack Ice Width

515 Finally, we compute the overall width of the MIZ and pack ice following *Strong and Rigor*  
516 [2013] and produce seasonal means. [Briefly, following the classification of each ice category,](#)  
517 [latitude boundaries are computed for each longitude and each day. These are averaged for each](#)  
518 [month to provide monthly mean latitude boundaries at each longitude. The boundaries are](#)  
519 [subsequently converted to width in km, and averaged for all longitudes. Finally, seasonal means](#)  
520 [are derived](#).

521 Time-series of seasonal means of the circumpolar MIZ width and pack ice width are shown  
522 in **Figure 10** for all seasons except summer when the results are noisy. As we may expect  
523 following the previous results, the [NT MIZ width is larger and the pack ice width is smaller than](#)  
524 [the seen in the BT algorithm. During autumn \(MAM\) however, the differences in widths for both](#)  
525 [the MIZ and the pack ice between the algorithms are largely reduced compared to the other](#)  
526 [seasons. For example the difference in 1979-2013 pack ice width between the algorithms during](#)  
527 [MAM is 60 km, 121 km in JJA and 139 km in SON. Similarly, the long-term mean MIZ width](#)  
528 [differences are 54 km \(MAM\), 74 km \(JJA\) and 83 km \(SON\). In addition, during autumn,](#)  
529 trends in the MIZ and pack ice are largely consistent [between the two algorithms](#), with no trend  
530 in the MIZ and increases in the pack ice on the order of 21.2 km dec<sup>-1</sup> and 20.0 km dec<sup>-1</sup>  
531 (p<0.01) for the BT and NT algorithms, respectively. [This is the season with the largest trends in](#)  
532 [the pack ice width, representing a 21% widening over the satellite record](#).

533 During winter (JJA) and spring (SON) however, the NT and BT algorithms exhibit opposing  
534 trends in the MIZ with the NT algorithm indicating an increase, and the BT a decrease. The  
535 largest positive trend in the MIZ width occurs during spring at a rate of +10.3 km dec<sup>-1</sup> (p<0.01)  
536 in the NT algorithm, indicating a 6% widening [since 1979](#). This widening is a result of the MIZ  
537 moving slightly equatorward rather than expanding southwards [\(as also seen in Figure 7\)](#).  
538 However, [this is an increase of only about 1 to 1.5 grid cells over the entire data record, and](#)  
539 despite a statistically significant trend, there remains substantial interannual variability in the  
540 SON MIZ width, with the maximum width recorded in 2003 (310 km) and the minimum in 1985  
541 (217 km), with a mean SON MIZ width of 248 km. The trend during winter is considerably  
542 smaller at +2.7 km dec<sup>-1</sup>, as a result of expansion [both](#) equatorward and southwards, yet it is not  
543 statistically significant.

**Moved down [1]:** In the Weddell Sea, expansion of the overall ice cover is only statistically significant during the autumn months (MAM) [e.g. *Turner et al., 2015*]. During this time-period, both algorithms agree on statistically significant positive trends in the pack ice area, that extend through May for the NT algorithm (p<0.05) and through June for the BT algorithm (p<0.05). Statistically significant trends are also seen during March in the MIZ and polynya area (p<0.05), with larger trends in the NT algorithm (p<0.01). Thus, overall expansion of sea ice in the Weddell during autumn is in part driven by expansion of the MIZ early in the season, after which it is controlled by further expansion of the consolidated pack.

**Deleted:** Several studies have suggested a link between sea ice anomalies in the Ross Sea and the wind-field associated with the Amundsen Sea Low (ASL) [e.g. *Fogt et al., 2012; Hosking et al., 2013; Turner et al., 2012*]. The strengthened southerly winds over the Ross Sea cause a more compacted and growing consolidated ice cover in the BT algorithm at the expense of a shrinking MIZ, whereas in the NT algorithm the area of the MIZ is increasing more than the pack ice during autumn, which may additionally suggest an oceanic influence. While this is true as averaged over the entire Ross Sea sector, Figure 9 highlights that the area-averaged trends hide spatial variability, with positive trends in the MIZ in the eastern part of the Ross Sea and negative trends in the western part. - (... [1])

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**Deleted:** The BT algorithm indicates statistically significant trends in the pack ice from March to November in both sectors (p<0.05), while trends in overall SIE are only statistically significant in the Indian Ocean during MAM and JJA. The inconsistency in statistical significance between total SIE and pack ice trends is likely a result of corresponding negative trends in the MIZ, particularly in the Pacific sector, though the negative BT MIZ trends are not statistically significant. The NT algorithm mostly has statistically significant trends in the pack ice during the initial expansion phase only (p<0.05). In the Indian Ocean, there are also significant positive trends in MIZ during March (p<0.05) and April (p<0.10) and also June and July (p<0.10) that would contribute towards overall positive (... [3])

**Deleted:** Finally, the largest expansion of polynya area is found in the Bellingshausen/Amundsen Sea during November, whereas small increases in polynya area a (... [4])

**Deleted:** NASA Team algorithm consistently shows greater a larger MIZ width and smaller pack ice width

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622 For the pack ice, both **sea ice** algorithms show statistically significant positive trends towards  
623 increased width of the pack ice, which are also nearly identical during winter at +18.7 and +18.1  
624 km dec<sup>-1</sup> (p<0.01) for the BT and NT algorithms, respectively. This represents a widening of the  
625 pack ice of approximately 11% from 1979 to 2014 during winter. As one may expect, differences  
626 in the pack ice width between the algorithms are largely found in spring as a result of the MIZ  
627 expanding in the NT algorithm. **Therefore, during SON the trends in the width of the NT pack**  
628 **ice are smaller, with trends of +10.0 (p<0.05) km dec<sup>-1</sup>, compared to +16.7 (p<0.01) for the BT,**  
629 **algorithm.**

630 **Finally it is important to point out that** the interannual variability in the pack ice is similar  
631 between both data sets **despite differences in magnitude**. Correlations between the two  
632 algorithms **are: 0.96 (MAM), 0.92 (JJA) and 0.77 (SON)**. **The reason for the weaker correlation**  
633 **in SON is not entirely clear**. For the MIZ, interannual variability is generally about twice as large  
634 in the NASA Team algorithm and the two data sets are not highly correlated except for autumn,  
635 with correlations of **0.67 (MAM), 0.39 (JJA) and 0.43 (SON)**.

#### 636 4. Implications for a Seabird

637 Here we use data on the MIZ and the consolidated ice pack from both algorithms to  
638 understand the role of sea ice habitat on breeding success of a seabird, the snow petrel  
639 *Pagodroma nivea*. As mentioned in the introduction, the MIZ is a biologically important region  
640 because it is an area of high productivity and provides access to food resources needed by  
641 seabirds [Ainley et al., 1992]. During winter, productivity is reduced at the surface in open water,  
642 while it is concentrated within the ice habitat, especially within the ice floes [Ainley et al., 1986].  
643 This patchy distribution of food availability within the MIZ and pack ice provides feeding  
644 opportunities for seabirds such as the snow petrel. Observations suggest that the snow petrel  
645 forages more successfully in areas close to the ice edge and within the MIZ than in consolidated  
646 ice conditions [Ainley et al., 1984, 1992].

647 Breeding success of snow petrels depends on sufficient body condition of the females, which  
648 in part reflects favorable environmental and foraging conditions prior to the breeding season.  
649 Indeed, female snow petrels in poor early body condition are not able to build up the necessary  
650 body reserves for successful breeding [Barbraud and Chastel, 1999]. Breeding success was  
651 found to be higher during years with extensive sea ice cover during the preceding winter  
652 [Barbraud and Weimerskirch, 2001]. This is in part because winters with extensive sea ice are  
653 associated with higher krill abundance the following summer [Flores et al., 2012; Loeb et al.,  
654 1997; Atkinson et al., 2004], thereby increasing the resource availability during the breeding  
655 season. However, extensive winter sea ice may protect the under ice community from predation  
656 and thus reduce food availability, in turn affecting breeding success [Olivier et al., 2005]. By  
657 distinguishing between the areas of MIZ and pack ice, we can expect a better understanding of  
658 the role of sea ice on food availability and hence breeding success of snow petrels.

659 In the following, we expect that an extensive pack ice **during winter** may reduce breeding  
660 success **the following breeding season** by protecting the under ice community from predation,  
661 while an extensive MIZ may increase breeding success by providing easier access to foraging.  
662 With the classifications as defined by both algorithms we calculated the MIZ and pack ice area in  
663 a wide rectangular sector defined by the migration route of the snow petrel [Delord et al., 2016]  
664 from April to September [see **Table 4** for latitude and longitude limits]. **This is the first time that**  
665 **appropriate areas of the observed foraging range are used to study the carry over effect of winter**

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687 conditions on the breeding performance of snow petrel, as this information did not  
 688 existed previously. Using these locations, we averaged the MIZ and pack ice extents over the  
 689 entire winter from April to September. We next employed a logistic regression approach to study  
 690 the effects of MIZ and pack ice area within this sector and evaluate the impacts on breeding  
 691 success the following summer. The response variable was the number of chicks  $C_t$  in a breeding  
 692 season  $t$ , from 1979 to 2014 collected at Terre Adélie, Dumont D'Urville [Barbraud and  
 693 Weimerskirch, 2001, Jenouvrier et al., 2005].

694 Effects of MIZ and pack ice area were analyzed using Generalized Linear Models (GLM)  
 695 with logit-link functions and binomial errors fitted in R using the package glm.  
 696 Specifically, the response variable is the number of chicks  $C_t$  in a breeding season  $t$ , from 1979 to  
 697 2014 collected at Terre Adélie, Dumont D'Urville [Barbraud and Weimerskirch, 2001,  
 698 Jenouvrier et al., 2005]. It follows a binomial distribution, such that  $C_t \sim \text{Bin}(\mu_t, N_t)$ , where  $N_t$  is  
 699 the number of breeding pairs and  $\mu_t$  is the breeding success in year  $t$ . The breeding success is a  
 700 function of the MIZ and pack ice covariates at time  $t$  (COV) such as:

$$\mu_t = \beta_0 + \beta_1 \text{COV}(t)$$

701  
 702  
 703 To select the covariate that most impacts the breeding success of snow petrels, we applied the  
 704 information-theoretic (I-T) approaches [Burnham et al., 2011]. This is based on quantitative  
 705 measures of the strength of evidence for each hypothesis ( $H_i$ ) rather than on "testing" null  
 706 hypotheses based on test statistics and their associated P values. To quantify the strength of  
 707 evidence for each hypothesis ( $H_i$ ) – here the effect of each covariate on the breeding success –  
 708 we used the common criteria AIC (the Akaike's Information Criteria), where  $\text{AIC} = -2 \log(L) +$   
 709  $2K$  [Akaike, 1973]. The term,  $-2 \log(L)$ , is the "deviance" of the model, with  $\log(L)$  the  
 710 maximized log-likelihood and  $K$  the total number of estimable parameters in the model. The  
 711 chosen model is the one that minimizes the AIC, in other words, minimizes the Kullback-  
 712 Leibler distance between the model and truth. The ability of two models to describe the data was  
 713 assumed to be "not different" if the difference in their AIC was  $< 2$  [Burnham and Anderson,  
 714 2002]. Note the AIC is a way of selecting a model from a set of models based on information  
 715 theory [Burnham and Anderson, 2002], and is largely used in biological sciences. While non-  
 716 linear models may be more appropriate as ecological system relationships are likely more  
 717 complex than linear relationships, without a priori knowledge of the mechanisms that could lead  
 718 to such non-linear relationships, it is extremely difficult to set meaningful hypothesis to be  
 719 included in the model selection.

720 Table 5 summarizes model selection. The model with the lowest AIC (highlighted in gray)  
 721 suggests the BT pack ice as a sea ice covariate. If AIC are sorted from lowest to highest value,  
 722 the next model includes the sea ice covariate MIZ calculated with the NASA algorithm.  
 723 However, it shows a  $\Delta\text{AIC} \sim 8$  from the best model, and thus the NT MIZ is not well supported  
 724 by the data in comparison to the best model. The relationship between BT pack ice and breeding  
 725 success is negative [Figure 11]. In other words, a more extensive consolidated pack ice during  
 726 winter tends to reduce breeding success the following summer by limiting foraging  
 727 opportunities. The effect of the MIZ however was uncertain, contrary to what one may expect  
 728 given the increased opportunities for foraging within the MIZ. However, if we had only used ice  
 729 classifications based on the NASA Team algorithm, the model with the lowest AIC would have  
 730 suggested an importance of the MIZ. We would have then concluded a negative effect of the  
 731 MIZ on the breeding success of snow petrels, contrary to what one may expect given that the  
 732 MIZ is the main feeding habitat of the species. By using both algorithms, we instead conclude

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Deleted: model with the lowest AIC suggests an effect of the consolidated pack ice area on breeding success as derived from the Bootstrap algorithm. The MIZ and pack ice areas calculated from the NT algorithm are not supported (AIC difference > 2). As expected we found that the effect of consolidated pack ice on breeding success was negative [Figure 11].

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768 that the breeding success of snow petrels is negatively affected by the pack ice area as calculated  
769 with the Bootstrap algorithm.

## 770 5. Discussion

771 While the main purpose for doing the classification of different ice categories is for  
772 interdisciplinary studies of sea bird breeding success, the results may also be useful for  
773 attribution of the observed sea ice changes. The positive trends in Antarctic sea ice extent are  
774 currently poorly understood and are at odds with climate model forecasts that suggest the sea ice  
775 should be declining in response to increasing greenhouse gases and stratospheric ozone depletion  
776 [e.g. *Turner et al.*, 2013; *Bitz and Polvani*, 2012; *Sigmond and Fyfe*, 2010]. However, several  
777 modeling studies, such as those used in the phase 5 Coupled Model Intercomparison Project  
778 (CMIP5), have suggested that the sea ice increase over the last 36 years remains within the range  
779 of intrinsic of internal variability [e.g. *Bitz and Polvani*, 2012; *Turner et al.*, 2013; *Mahlstein et*  
780 *al.*, 2013; *Polvani and Smith*, 2013; *Swart and Fyfe*, 2013]. Earlier satellite from the 1960s and  
781 1970s and from ship observations suggest periods of high and low sea ice extent, and thus high  
782 natural variability [*Meier et al.*, 2013; *Gallaher et al.*, 2014]. Further evidence comes from ice  
783 core climate records, which suggest that the climate variability observed in the Antarctic during  
784 the last 50 years remains within the range of natural variability seen over the last several hundred  
785 to thousands of years [*Thomas et al.*, 2013; *Steig et al.*, 2013]. Thus, we may require much  
786 longer records to properly assess Antarctic sea ice trends in contrast to the Arctic, where negative  
787 trends are outside the range of natural variability and are consistent with those simulated from  
788 climate models.

789 While many assessments of how Antarctic sea ice trends and variability compare with  
790 climate models have focused on the net circumpolar sea ice extent, it is the regional variability  
791 that becomes more important. For example, *Hobbs et al.* [2015] argue that when viewing trends  
792 on a regional basis, the observed summer and autumn trends fall outside of the range of natural  
793 variability as simulated by present-day climate models, with the signal dominated by opposing  
794 trends in the Ross Sea and the Bellingshausen/Amundsen seas. These results have questioned the  
795 ability of climate models to correctly simulate processes at the regional level and within the  
796 southern ocean-atmosphere-sea ice coupled system.

797 The net take-away point from these studies is that the net circumpolar changes in sea ice  
798 extent do not enhance our understanding of how the Antarctic sea ice is changing. Instead our  
799 focus should be on what drives regional and seasonal sea ice changes, including feedbacks and  
800 competing mechanisms. The results of this study may help to better understand regional and total  
801 changes in Antarctic sea ice by focusing not only on the total ice area, but also on how the  
802 consolidated pack ice, the marginal ice zone and coastal polynyas are changing. Differences in  
803 climatologies and trends of the different ice classes may suggest different processes are likely  
804 contributing to their seasonal and interannual variability. In addition, the different contributions  
805 of ice categories towards the overall expansion of the Antarctic sea ice cover between algorithms  
806 may in turn influence attribution of the observed increase in SIE. For example, within the highly  
807 dynamic MIZ region, intense atmosphere-ice-ocean interactions take place [e.g. *Lubin and*  
808 *Massom*, 2006] and thus an expanding or shrinking MIZ may help to shed light on the relative  
809 importance of atmospheric or oceanic processes impacting the observed trends in total SIE.  
810 Another issue is whether or not new ice is forming along the outer edge of the pack ice or if it is  
811 all being dynamically transported from the interior.

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815 However, a complication exists, what sea ice algorithm should be used for such assessments?  
816 In this study we focused on using passive microwave satellite data for defining the different ice  
817 categories used here as it is the longest time-series available and is not limited by polar darkness  
818 or clouds. However, results are highly dependent on which sea ice algorithm is used to look at  
819 the variability in these ice classes, which will also be important in assessing processes  
820 contributing to these changes as well as implications of these changes to the polar marine  
821 ecosystem. In this study, the positive trends in circumpolar sea ice extent over the satellite data  
822 record are primarily driven by statistically significant trends ( $p < 0.05$ ) in expansion of the  
823 consolidated pack ice in both sea ice algorithms. However, an exception occurs in the NASA  
824 Team sea ice algorithm after the ice pack reaches its seasonal maximum extent when the positive  
825 trends in the pack ice are no longer as large, nor statistically significant. Instead, positive trends  
826 in the MIZ dominate during September and October ( $p < 0.10$ ). This is in stark contrast to the  
827 Bootstrap algorithm, which shows a declining MIZ area from March through November.  
828 The algorithms also give different proportions of how much the total ice cover consists of  
829 consolidated ice, MIZ or polynya area. In some regions, such as the Pacific Ocean sector, the NT  
830 algorithm suggests the MIZ is the dominant ice category whereas in the BT algorithm, the pack  
831 ice is dominant, which is true for all sectors analyzed in the Bootstrap algorithm. Considering the  
832 circumpolar ice cover, the MIZ in the NASA Team algorithm is on average twice as large as in  
833 the Bootstrap algorithm. In the Arctic, *Strong and Rigor* [2013] found the NASA Team  
834 algorithm gave about three times wider MIZ than the Bootstrap algorithm. In this case, the  
835 Bootstrap results agreed more with MIZ widths obtained from the National Ice Center (NIC).  
836 While we find consistency in trends in pack ice and the MIZ, there are some important  
837 differences that may influence interpretation of processes governing sea ice changes. For  
838 example, in the Ross Sea, the largest regional positive trends in total SIE are found at a rate of  
839 119,000 km<sup>2</sup> per decade [e.g. Turner et al., 2015], accounting for about 60% of the circumpolar  
840 ice extent increase. This is entirely a result of large positive trends in the pack ice in the BT  
841 algorithm from March to November ( $p < 0.01$ ) whereas the NT algorithm shows statistically  
842 significant increases in the MIZ. Several studies have suggested a link between sea ice anomalies  
843 in the Ross Sea and the wind-field associated with the Amundsen Sea Low (ASL) [e.g. Fogt et  
844 al., 2012; Hosking et al., 2013; Turner et al., 2012]. The strengthened southerly winds over the  
845 Ross Sea cause a more compacted and growing consolidated ice cover in the BT algorithm at the  
846 expense of a shrinking MIZ, whereas in the NT algorithm the area of the MIZ is increasing more  
847 than the pack ice during autumn, which may suggest a smaller sensitivity to thin ice growing in  
848 openings and leads for BT than for NT. While this is true as averaged over the entire Ross Sea  
849 sector, Figure 9 highlights that the area-averaged trends hide important spatial variability.  
850 In the Weddell Sea, expansion of the overall ice cover is only statistically significant during  
851 the autumn months (MAM) [e.g. Turner et al., 2015]. During this time-period, both algorithms  
852 agree on statistically significant positive trends in the pack ice area, that extend through May for  
853 NT ( $p < 0.05$ ) and through June for BT ( $p < 0.05$ ). Statistically significant trends are also seen  
854 during March in the MIZ, with larger trends in the NT algorithm ( $p < 0.01$ ). Thus, overall  
855 expansion of sea ice in the Weddell during autumn is in part driven by expansion of the MIZ  
856 early in the season, after which it is controlled by further expansion of the consolidated pack.  
857 In contrast, the B/A Sea is a region undergoing declines in the overall ice cover [e.g.  
858 Parkinson and Cavalieri, 2012; Stammerjohn et al., 2012]. Separating out trends for both the  
859 pack ice and the MIZ reveals positive trends during winter (JJA), and negative trends in the  
860 consolidated pack ice during the start of ice expansion in March and April. However, when

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865 averaging over the entire region, the trends are generally not statistically significant except for  
866 positive trends during winter in the NT algorithm. This is the only region where the BT  
867 algorithm does not show statistically significant trends in the pack ice. In the NT algorithm, the  
868 overall sea ice decline is largely a result of negative trends in the MIZ, consistent with the  
869 observation that the SIE trends in the Bellingshausen/Amundsen Sea are largely wind-driven, so  
870 it would be expected that the wind-driven compaction would lead to decreased MIZ and  
871 increased pack ice. In regards to potential coastal polynyas, the largest expansion of polynya area  
872 is found in the Bellingshausen/Amundsen Sea during November, whereas small increases in  
873 polynya area are found in both the Indian and Pacific sector during the ice expansion phase.  
874 Outside of these regions/months, no significant changes in coastal polynya area are observed.

875 Differences between the algorithms are not entirely surprising as the two algorithms use  
876 different channel combinations with different sensitivities to changes in physical temperature  
877 [Comiso et al., 1997; Comiso and Steffen, 2001]. In addition, the NT uses previously defined tie  
878 points for passive microwave radiances over known ice-free ocean, and ice types, defined as type  
879 A and B in the Antarctic, as the radiometric signature between first-year and multiyear ice in the  
880 Antarctic is lost. The ice is assumed to be snow-covered when selecting the tie points, which can  
881 result in an underestimation of sea ice concentration if the ice is not snow covered [e.g. Cavalieri  
882 et al., 1990]. While large-scale validation studies are generally lacking, a recent study of the  
883 interior of the ice pack in the Weddell Sea in winter suggested that the Bootstrap algorithm  
884 shows a better fit to upward looking sonar data [Connolley, 2005]. This suggests that broken  
885 water inside the pack ice as recorded by the NASA Team algorithm during winter may be  
886 erroneously detected.

887 However, another complication is that seasonal variations in sea ice and snow emissivity can  
888 be very large, leading to seasonal biases in either algorithm [e.g. Andersen et al., 2007; Willmes  
889 et al., 2014; Gloersen and Cavalieri, 1986]. In addition, ice-snow interface flooding, formation  
890 of meteoric ice and snow metamorphism all impact sea ice concentrations, which have not been  
891 quantified yet for Antarctic sea ice, and trends in brightness temperatures found in the Weddell  
892 Sea may reflect increased melt rates or changes in the melt season [Willmes et al., 2014]. The  
893 advantage of the Bootstrap algorithm is that the ice concentration can be derived without an *a*  
894 *priori* assumption about ice type, though consolidated ice data points are sometimes difficult to  
895 distinguish from mixtures of ice and open ocean due to the presence of snow cover, flooding or  
896 roughness effects.

897 While one may expect the Bootstrap algorithm to provide more accurate results than the  
898 NASA Team algorithm, near the coast the BT algorithm has been shown to have difficulties  
899 when temperatures are very cold. Because the NT algorithm uses brightness temperature ratios it  
900 is largely temperature independent. During summer or for warmer temperatures, the NT  
901 algorithm may indeed be biased towards lower sea ice concentrations whereas the BT algorithm  
902 may be biased towards higher ice concentrations [e.g. Comiso et al., 1997]. This will result in  
903 different proportions of MIZ and consolidated pack ice. In the Arctic, the MIZ is not only driven  
904 by wave mechanics and flow breaking (dynamic origin), but also by melt pond processes in  
905 summer (thermodynamic origin) [Arnsten et al., 2015]. Thus, larger sensitivity of the NT  
906 algorithm to melt processes may be one reason for the larger discrepancy observed in the MIZ  
907 between the algorithms in the Arctic. Interestingly, the BT algorithm shows less interannual  
908 variability in the MIZ, consolidated pack ice and potential coastal polynyas compared to NT (as  
909 shown by the smaller standard deviations). This would in turn influence assessments of  
910 atmospheric or oceanic conditions driving observed changes in the ice cover.

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914 \_\_\_ What is clear is that more validation is needed to assess the accuracy of these data products,  
915 especially for discriminating the consolidated pack ice from the MIZ. Errors likely are larger in  
916 the MIZ because of the coarse spatial resolution of the satellite sensors. The MIZ is very  
917 dynamic in space and time, making it challenging to provide precise delimitations using sea ice  
918 concentrations that are in turn sensitive to melt processes and surface conditions. Another  
919 concern is that mapping of the consolidated ice pack does not always mean a compact ice cover.  
920 The algorithms may indicate 100% sea ice concentration (e.g. a consolidated pack ice), when in  
921 reality the ice consists of mostly brash ice and small ice floes more representative of the MIZ.  
922 Future work will focus on validation with visible imagery.

## 923 Conclusions

924 Antarctic sea ice plays an important role in the polar marine ecosystem. While total Antarctic  
925 sea ice cover is expanding in response to atmospheric and oceanic variability that remains to be  
926 fully understood, one may expect that these increases would also be manifested in either  
927 equatorward progression of the MIZ or the consolidated pack ice or both, that in turn would  
928 impact the entire trophic web, from primary productivity, to top predator species, such as  
929 seabirds. In this study we identified several different ice categories using two different sets of  
930 passive microwave sea ice concentration data sets. The algorithms are in agreement as to the  
931 location of the northern edge of the total sea ice cover, but differ in regards to how much of the  
932 ice cover consists of the marginal ice zone, the consolidated ice pack, the size of potential  
933 polynyas as well as the amount of broken ice and open water within the consolidated ice pack.  
934 Here we use sea ice concentration thresholds of  $0.15 \leq SIC < 0.80$  to define the width of the MIZ  
935 and  $0.80 \leq SIC \leq 1.0$  to define the consolidated pack ice. Yet applying the same thresholds for  
936 both sea ice algorithms results in a MIZ from the NASA Team algorithm that is on average twice  
937 as large as in the Bootstrap algorithm and considerably more broken ice within the consolidated  
938 pack ice. Total potential coastal polynya areas ( $SIC \leq 0.80$ ) also differ between the algorithms,  
939 though differences are generally smaller than for the MIZ and the consolidated pack ice. While  
940 we do not precisely resolve polynyas, these potential coastal polynyas (i.e. open water areas near  
941 the coast) are important foraging sites for sea birds.

942 While the spatial extents of the different ice classes may differ, the seasonal cycle is  
943 generally consistent between both algorithms. Climatologically, the advance of the consolidated  
944 ice pack happens over a much longer period (~7-8 months) than the retreat (~4-5 months), while  
945 the MIZ exhibits a longer advance period (~8-10 months). This seasonal cycle in  
946 expansion/contraction of the ice cover is in general agreement with results by *Stammerjohn et al.*  
947 [2008] who showed sea ice retreat begins in September at the outer most edge of the sea ice and  
948 continues poleward over the next several months. However, what these results show is that while  
949 the pack ice starts to retreat around September, this in turn results in a further expansion of the  
950 MIZ, the amount of which is highly dependent on which algorithm is used. The timing of when  
951 the maximum polynya extent is reached however can differ by several months between the  
952 algorithms in regions such as the Bellingshausen/Amundsen Sea and the Pacific Ocean.

953 Since the MIZ is an important region for phytoplankton biomass and productivity [e.g. *Park*  
954 *et al.*, 1999], mapping seasonal and interannual changes in the MIZ is important for  
955 understanding changes in top predator populations and distributions. However, as we show in  
956 this study, results are highly dependent on which sea ice algorithm is used for delineating the  
957 MIZ, which may result in different conclusions when using this data in ecosystem models. To

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1000 highlight this sensitivity, we examined the impact the winter MIZ and consolidated pack ice  
1001 area as derived from both algorithms would have on the breeding success of snow petrels the  
1002 following summer. The different proportions of MIZ and consolidated pack ice between  
1003 algorithms affected the inferences made from models tested even if trends were of the same sign.  
1004 Given the sensitivity of the relationships between the consolidated pack ice/MIZ and breeding  
1005 success of this species, caution is warranted when doing this type of analysis as different  
1006 relationships may emerge as a function of which sea ice data set is used in the analysis. Further  
1007 work is needed to validate the accuracy of the distribution of the MIZ and consolidated pack ice  
1008 from passive microwave so that the data will be more useful for future biological and ecosystem  
1009 studies.

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1238 **Tables**1239 **Table 1.** Sea ice categories defined in this study.

<b>Region</b>	<b>Definition</b>	<b>Binary Classification Value</b>
Outer MIZ	Outer region of sea ice with ice concentration between 15% and 80%	16
Inner Polynya	Region near the coast with concentration < 80% south of 80% concentration	32
Distant ice	Scattered sea ice regions north of MIZ, possibly islands or atmospheric storms	48
Pack Ice	Ice concentration > 80%	80
Inner open water	Concentration < 15% south of MIZ	112
Open pack ice	Concentration > 15% and < 80% within consolidated ice region	128

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**Table 2.** Monthly mean extents of the different ice classes. Values are only listed for the consolidated pack ice, the marginal ice zone and the potential coastal polynya area. Values are listed in  $10^6 \text{ km}^2$ .

	NASA Team			Bootstrap		
Total Antarctic						
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	2.44	0.31	1.94	2.06	0.36	2.27
February	1.51	0.20	1.18	1.25	0.22	1.49
March	2.03	0.25	1.42	1.65	0.24	2.08
April	2.71	0.42	3.27	1.84	0.31	4.62
May	3.07	0.62	5.85	1.97	0.37	7.79
June	3.63	0.69	8.22	2.31	0.37	10.65
July	4.03	0.66	10.31	2.53	0.35	13.00
August	4.75	0.62	11.29	2.88	0.34	14.49
September	5.41	0.63	11.31	3.19	0.35	14.89
October	5.41	0.74	10.83	3.39	0.38	14.16
November	5.62	1.02	7.92	3.69	0.63	11.10
December	5.05	0.88	3.81	3.56	0.81	5.43
Annual	3.83	0.59	6.49	2.54	0.39	8.53
Ross Sea						
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.83	0.10	0.28	0.68	0.13	0.40
February	0.47	0.05	0.11	0.40	0.07	0.19
March	0.62	0.10	0.34	0.45	0.09	0.57
April	0.60	0.15	1.22	0.37	0.09	1.63
May	0.60	0.15	1.93	0.36	0.08	2.43
June	0.67	0.15	2.29	0.40	0.08	2.91
July	0.75	0.14	2.63	0.44	0.07	3.27
August	0.91	0.12	2.67	0.50	0.07	3.43
September	0.98	0.13	2.64	0.54	0.08	3.46
October	0.86	0.17	2.73	0.55	0.09	3.39
November	0.89	0.30	2.19	0.59	0.17	2.87
December	1.17	0.32	0.92	0.76	0.26	1.45
Annual	0.78	0.16	1.67	0.50	0.11	2.18
Bellinghousen/Amundsen Sea						
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.35	0.07	0.32	0.29	0.08	0.38
February	0.28	0.05	0.16	0.22	0.06	0.21
March	0.37	0.06	0.10	0.27	0.07	0.21
April	0.50	0.07	0.20	0.29	0.06	0.48
May	0.54	0.12	0.42	0.31	0.06	0.83
June	0.63	0.16	0.66	0.37	0.05	1.17
July	0.68	0.17	0.89	0.43	0.05	1.45
August	0.79	0.15	1.01	0.51	0.05	1.60
September	0.84	0.14	1.00	0.51	0.05	1.62
October	0.73	0.14	0.97	0.46	0.06	1.50
November	0.69	0.13	0.86	0.45	0.08	1.25
December	0.57	0.11	0.55	0.42	0.11	0.72
Annual	0.58	0.12	0.60	0.38	0.06	0.96
Weddell Sea						
Month	MIZ	Polynya	Pack Ice	MIZ	Polynya	Pack Ice
January	0.72	0.12	0.93	0.60	0.11	1.07
February	0.37	0.08	0.70	0.30	0.06	0.84
March	0.47	0.06	0.87	0.38	0.04	1.07
April	0.69	0.07	1.49	0.46	0.05	1.87
May	0.82	0.10	2.53	0.54	0.06	3.04
June	0.96	0.10	3.62	0.64	0.06	4.21
July	1.08	0.08	4.51	0.65	0.05	5.16

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August	1.39	0.08	4.73	0.75	0.06	5.62
September	1.62	0.09	4.67	0.83	0.06	5.78
October	1.51	0.13	4.42	0.84	0.07	5.48
November	1.53	0.31	3.34	0.86	0.14	4.56
December	1.87	0.33	1.65	1.24	0.30	2.33
Annual	1.09	0.13	2.80	0.67	0.09	3.43
<b>Indian Ocean</b>						
<b>Month</b>	<b>MIZ</b>	<b>Polynya</b>	<b>Pack Ice</b>	<b>MIZ</b>	<b>Polynya</b>	<b>Pack Ice</b>
January	0.26	0.01	0.16	0.23	0.02	0.18
February	0.15	0.01	0.06	0.14	0.01	0.08
March	0.24	0.01	0.03	0.24	0.02	0.06
April	0.43	0.01	0.16	0.35	0.05	0.30
May	0.57	0.13	0.55	0.43	0.08	0.80
June	0.75	0.14	1.04	0.53	0.08	1.40
July	0.82	0.13	0.59	0.54	0.07	2.05
August	0.87	0.11	2.09	0.57	0.06	2.59
September	1.03	0.12	2.24	0.67	0.07	2.81
October	1.33	0.15	2.02	0.87	0.08	2.71
November	1.62	0.18	1.10	1.13	0.13	1.75
December	0.94	0.07	0.37	0.74	0.09	0.55
Annual	0.75	0.10	0.96	0.54	0.06	1.29
<b>Pacific Ocean</b>						
<b>Month</b>	<b>MIZ</b>	<b>Polynya</b>	<b>Pack Ice</b>	<b>MIZ</b>	<b>Polynya</b>	<b>Pack Ice</b>
January	0.28	0.01	0.24	0.25	0.02	0.26
February	0.23	0.01	0.14	0.19	0.02	0.17
March	0.34	0.02	0.10	0.31	0.03	0.15
April	0.51	0.05	0.20	0.38	0.06	0.34
May	0.54	0.11	0.43	0.35	0.10	0.67
June	0.61	0.14	0.62	0.38	0.11	0.93
July	0.70	0.14	0.73	0.45	0.10	1.10
August	0.81	0.14	0.79	0.54	0.09	1.19
September	0.93	0.14	0.76	0.63	0.10	1.17
October	0.96	0.14	0.71	0.68	0.09	1.08
November	0.88	0.10	0.44	0.66	0.11	0.70
December	0.49	0.05	0.30	0.41	0.06	0.38
Annual	0.61	0.09	0.46	0.44	0.07	0.69

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**Table 3.** Comparison of trends in the marginal ice zone, polynyas and the consolidated pack ice for March through November (1979 to 2013) for both the NASA Team and Bootstrap sea ice algorithms. Trends are computed in  $\text{km}^2$  per year. Statistical significance at the 90<sup>th</sup>, 95<sup>th</sup> and 99<sup>th</sup> percentiles are denoted by <sup>+</sup>, <sup>++</sup> and <sup>+++</sup>, respectively. Results are only shown for March through November.

	NASA Team			Bootstrap		
	Total Antarctic					
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,900	+700	+14,300 <sup>+++</sup>	+4,900	-300	+18,000 <sup>+++</sup>
April	-8,200	-500	+29,600 <sup>+++</sup>	-10,400	-1000	+38,000 <sup>+++</sup>
May	-9,400	-2,400	+35,000 <sup>+++</sup>	-8,500	-2,200	+41,300 <sup>+++</sup>
June	-10,100	-5,100	+32,900 <sup>+++</sup>	-9,200	-2,400	+52,400 <sup>+++</sup>
July	-3,400	-5,700	+22,600 <sup>++</sup>	-6,600	-2,300	+25,200 <sup>+++</sup>
August	+3,700	-3,600	+11,900	-6,200	-1,500	+31,800 <sup>+++</sup>
September	+10,900 <sup>+</sup>	-3,300	+3,700	-4,200	-1,400	+39,400 <sup>+++</sup>
October	+9,600 <sup>+</sup>	-4,900	+7,300	-4,300	-2,900	+25,200 <sup>+++</sup>
November	+2,600	-4,000	+6,000	-9,800	-3,700	+29,400 <sup>+++</sup>
	Ross Sea					
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,800	+300	+4,100	+1,500	-100	+7,700 <sup>++</sup>
April	-1,400	-1,500	+12,400 <sup>++</sup>	-2,700	-1,400	+14,600 <sup>+++</sup>
May	+2,600 <sup>+</sup>	-2,200	+11,100 <sup>++</sup>	-700	-1,100	+16,400 <sup>+++</sup>
June	0	-1,200	+12,700 <sup>++</sup>	-2,000	-800	+18,600 <sup>+++</sup>
July	+700	-700	+8,200 <sup>+</sup>	-700	-600	+14,200 <sup>+++</sup>
August	+6,900 <sup>+++</sup>	-1,600	+3,400	+500	-900	+12,700 <sup>+++</sup>
September	+4,800 <sup>++</sup>	-1,200	+1,800	-700	-700	+15,100 <sup>+++</sup>
October	+5,400 <sup>+++</sup>	-2,300	+7,300 <sup>+</sup>	+1,100	-1,300	+17,600 <sup>+++</sup>
November	+3,700 <sup>+</sup>	-1,200	+4,400	-700	-1,600	+13,700 <sup>+++</sup>
	Bellinghousen/Amundsen Sea					
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	-7,500	-1,500	-2,800	-2,400	-1,700	-7,500
April	-8,600	-800	-3,100	-3,100	-900	-7,700
May	-8,600	-1,200	+2,800	-2,100	-800	-4,600
June	-6,800	-2,600	+8,500 <sup>+++</sup>	-2,100	-500	+1,300
July	-3,500	-2,500	+10,100 <sup>+++</sup>	-700	-700	+4,000
August	-1,200	-700	+7,000 <sup>+</sup>	+500	-200	+2,700
September	+2,600	-500	-300	+1,500 <sup>+</sup>	-200	-100
October	-800	-200	-1,100	-300	-200	-1,800
November	+2,600	+1,000 <sup>++</sup>	-1,400	+1,600	+600 <sup>+</sup>	+300
	Weddell Sea					
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+4,100 <sup>++</sup>	+1,300 <sup>++</sup>	+9,500 <sup>++</sup>	+2,600 <sup>++</sup>	+600 <sup>+</sup>	+13,600 <sup>+++</sup>
April	+1,700	+400	+12,000 <sup>++</sup>	-2,000	+200	+19,200 <sup>+++</sup>
May	-100	-400	+9,400 <sup>++</sup>	-1,500	-600	+14,400 <sup>+++</sup>
June	-2,300	-900	+100	-4,800	-600	+8,800 <sup>++</sup>
July	-2,900	-1,100	-4,800	-4,200	-400	-100
August	-1,700	-700	-5,100	-3,500	-100	+600
September	-200	-600	-100	-2,900	-200	+4,900
October	+4,300	-1,400	-8,800	-3,700	-700	+3,400
November	-2,100	-3,500	-4,700	-6,300	-2,200	+700
	Indian Ocean					
Month	dMIZ/dt	dPoly/dt	dPack/dt	dMIZ/dt	dPoly/dt	dPack/dt
March	+2,500 <sup>++</sup>	+300 <sup>+</sup>	+9,500 <sup>++</sup>	+2,100 <sup>++</sup>	+300 <sup>+</sup>	+1,500 <sup>++</sup>
April	+1,500 <sup>+</sup>	+600 <sup>+</sup>	+12,000 <sup>++</sup>	-500	+300	+5,200 <sup>+++</sup>
May	-200	+600 <sup>+</sup>	+9,400 <sup>++</sup>	-1,400	+100	+7,700 <sup>+++</sup>
June	+2,600 <sup>+</sup>	-500	+100	+900	-300	+7,600 <sup>++</sup>
July	+3,500 <sup>+</sup>	-700	-4,800	+100	-100	+7,600 <sup>++</sup>
August	+1,300	-300	-5,100	-1,500	0	+9,900 <sup>+++</sup>

September	+4,600 <sup>+</sup>	-900	-100	+400	-100	+6,700 <sup>++</sup>
October	+1,900	-900	-8,800	-200	-400	+8,600 <sup>++</sup>
November	+2,000	-200	-4,700	-500	-400	+8,700 <sup>++</sup>
<b>Pacific Ocean</b>						
<b>Month</b>	<b>dMIZ/dt</b>	<b>dPoly/dt</b>	<b>dPack/dt</b>	<b>dMIZ/dt</b>	<b>dPoly/dt</b>	<b>dPack/dt</b>
March	+1,100	+400 <sup>+++</sup>	+2,800 <sup>+++</sup>	+1,100 <sup>++</sup>	+600 <sup>+++</sup>	+1,500 <sup>++</sup>
April	-1,400	+800 <sup>+++</sup>	+5,600 <sup>+++</sup>	-2,100	+700 <sup>+++</sup>	+5,200 <sup>+++</sup>
May	-3,000	+800 <sup>++</sup>	+6,100 <sup>+++</sup>	-2,800	+300 <sup>+</sup>	+7,700 <sup>+++</sup>
June	-3,600	+200	+7,000 <sup>+++</sup>	-1,200	-300	+7,600 <sup>++</sup>
July	-1,300	-700	+5,700 <sup>++</sup>	-100	-400	+7,600 <sup>++</sup>
August	-1,500	-300	+2,200	-2,200	-300	+9,900 <sup>+++</sup>
September	-900	-100	+1,400	-2,500	-300	+6,700 <sup>++</sup>
October	-1,200	0	+3,700 <sup>++</sup>	-1,100	-300	+8,600 <sup>++</sup>
November	-3,500	-500	+4,400 <sup>++</sup>	-4,000	-200	+8,700 <sup>++</sup>

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1253 **Table 4.** Monthly latitude/longitude corners used for assessment of sea ice conditions on snow  
 1254 petrel breeding success. These areas were defined from the distribution of snow petrels  
 1255 recorded from miniaturized saltwater immersion geolocators during winter [Delord et al., 2016].

	April	May	June	July	August	September
Latitude <sub>1</sub>	-65	-65	-65	-65	-65	-65
Latitude <sub>2</sub>	-60	-60	-60	-60	-55	-55
Longitude <sub>1</sub>	90	65	50	35	25	50
Longitude <sub>2</sub>	120	120	120	120	115	140

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1256 **Table 5.** Results of model selection for the relationship between pack ice and MIZ on breeding  
 1257 success of snow petrel. The model with the lowest AIC is highlighted in gray. AIC scores are  
 1258 often interpreted as difference between the best model (smallest AIC) and each model referred as  
 1259  $\Delta$ AIC. According to information theory, models with  $\Delta$ AIC < 2 are both likely [Burnham and  
 1260 Anderson, 2002] but if a model shows a  $\Delta$ AIC > 4 it is unlikely in comparison with the best  
 1261 model (smallest AIC).

Model	Variable	AIC	Slope
Bootstrap	MIZ	931.86	-0.57544
NASA Team	MIZ	887.11	-1.31416
Bootstrap	Pack ice	879.17	-1.04223
NASA Team	Pack ice	927.8	-0.41916

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Deleted: Results of model selection for the relationship between pack ice and MIZ on breeding success of snow petrels. Model selection is based on the lowest AIC score, highlighted in green. The slope of the regression is also shown.

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## 1270 List of Figures

1271 **Figure 1.** Example of a radial profile from 50 to 90S at -11.60 degrees West on 3 September  
1272 1990, showing the different sea ice classifications found along this transect.

1273 **Figure 2:** Samples of ice classification on day 70 (March) and day 273 (September) 2013.  
1274 Results are shown for both the NASA Team (top) and Bootstrap (bottom) sea ice algorithms. The  
1275 MIZ (red) represents regions of sea ice concentration between 15 and 80% from the outer ice  
1276 edge, the pack ice is shown in light purple, representing regions of greater than 80% sea ice  
1277 concentration. Orange regions within the pack ice represent coherent regions of less than 80%  
1278 sea ice concentration, pink areas open water and green regions of less than 80% sea ice  
1279 concentration near the Antarctic coastline. Dark blue represents the ocean mask applied to  
1280 remove spurious ice concentrations beyond the ice edge.

1281 **Figure 3.** Southern hemisphere regions as defined by *Parkinson and Cavalieri* [2012].

1282 **Figure 4.** Location of the mean 1981-2010 outer marginal ice edge for both the NASA Team and  
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1284 **Figure 5.** Long-term (1979-2013) **and standard deviation (shading) of the** seasonal cycle in total  
1285 Antarctic extent of the consolidated pack ice, the outer marginal ice zone, polynyas, open pack  
1286 ice (or broken ice within the pack ice), and inner open water. There are essentially no scattered  
1287 ice floes outside of the MIZ. NASA Team results are shown on the left and the Bootstrap on the  
1288 right.

1289 **Figure 6.** Long-term (1979-2013) seasonal cycle in regional sea ice extent of the consolidated  
1290 pack ice, the outer marginal ice zone, polynyas, open pack ice (or broken ice within the pack  
1291 ice), and inner open water. Results for the NASA Team algorithm are shown on the left and  
1292 Bootstrap on the right, and for the Ross, Bellingshausen/Amundsen, Weddell, Indian and Pacific  
1293 Oceans.

1294 **Figure 7.** Expansion (red) or contraction (blue) of the outer ice edge (top), the width of the  
1295 marginal ice zone (middle) and the width of the pack ice from 1979 to 2013 during the month of  
1296 September.

1297 **Figure 8.** Daily trends (1979 to 2013) in the consolidated pack ice, the outer MIZ and potential  
1298 coastal polynyas for the entire Antarctic sea ice cover for the NASA Team (left) and Bootstrap  
1299 (right) algorithms. Trends are provided in  $10^6 \text{ km}^2 \text{ a}^{-1}$ .

1300 **Figure 9.** Daily (1979-2013) trends in regional sea ice extent of the consolidated pack ice (top),  
1301 the outer marginal ice zone (middle) and potential coastal polynyas (bottom). Results for the  
1302 NASA Team algorithm (left) and Bootstrap (right) are shown as a function of longitude. Trends  
1303 are provided in  $10^6 \text{ km}^2 \text{ a}^{-1}$ . Note the difference in color bar scales.

1304 **Figure 10.** Time-series of seasonal mean JJA (top), SON (middle) and MAM (bottom) marginal  
1305 ice zone (left) and consolidated pack ice (right) for both sea ice algorithms; NASA Team is  
1306 shown in red, Bootstrap in black. Shading represents one standard deviation. Note the difference  
1307 in y-axis between the pack ice and the MIZ plots.

1308 **Figure 11.** Breeding success of snow petrel (top) and effect of the Bootstrap pack ice on the  
1309 breeding success of snow petrels (bottom).

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Several studies have suggested a link between sea ice anomalies in the Ross Sea and the wind-field associated with the Amundsen Sea Low (ASL) [e.g. *Fogt et al.*, 2012; *Hosking et al.*, 2013; *Turner et al.*, 2012]. The strengthened southerly winds over the Ross Sea cause a more compacted and growing consolidated ice cover in the BT algorithm at the expense of a shrinking MIZ, whereas in the NT algorithm the area of the MIZ is increasing more than the pack ice during autumn, which may additionally suggest an oceanic influence. While this is true as averaged over the entire Ross Sea sector, Figure 9 highlights that the area-averaged trends hide spatial variability, with positive trends in the MIZ in the eastern part of the Ross Sea and negative trends in the western part.

While the magnitude of pack ice trends are generally larger in the Bootstrap algorithm, there are some exceptions. For example, in the Weddell Sea, the NT algorithm exhibits larger negative trends in the pack ice between June and November whereas the BT algorithm shows mixed positive and negative trends of smaller magnitude. This is also true with regards to MIZ trends during these months. However, none of the trends are statistically significant.

In contrast, the Bellingshausen/Amundsen Sea is a region undergoing declines in the overall ice cover [e.g. *Parkinson and Cavalieri*, 2012; *Stammerjohn et al.*, 2012]. Separating out trends for both the pack ice and the MIZ reveals negative trends in the consolidated pack ice during the start of ice expansion in March and April and also during initial retreat (September and October) in both algorithms, though none of the trends are statistically significant [Table 3]. This is the only region where the BT algorithm does not show statistically significant trends in the pack ice. Negative trends are also found in the MIZ during the initial ice advance phase in both algorithms though again none of them are statistically significant. Interestingly, during June and July, the NT algorithm shows large positive trends in the pack ice ( $p < 0.01$ ) at the expense of negative trends in the MIZ, though the MIZ trends are not statistically significant and are smaller than the positive trends in the pack ice. While the MIZ trends are not statistically significant, these results are consistent with the observation that the SIE trends in the Bellingshausen/Amundsen Sea are largely wind-driven, so it would be expected that the wind-driven compaction would lead to decreased MIZ and increased pack ice. Finally, both algorithms indicate statistically significant positive trends in coastal polynyas during November for this region (with larger trends in the NT algorithm,  $+1,000 \text{ km}^2 \text{ a}^{-1}$  ( $p < 0.05$ ) and  $+600 \text{ km}^2 \text{ a}^{-1}$  ( $p < 0.10$ ), respectively).

The BT algorithm indicates statistically significant trends in the pack ice from March to November in both sectors ( $p < 0.05$ ), while trends in overall SIE are only statistically significant in the Indian Ocean during MAM and JJA. The inconsistency in statistical significance between total SIE and pack ice trends is likely a result of corresponding negative trends in the MIZ, particularly in the Pacific sector, though the negative BT MIZ trends are not statistically significant. The NT algorithm mostly has statistically significant trends in the pack ice during the initial expansion phase only ( $p < 0.05$ ). In the Indian Ocean, there are also significant positive trends in MIZ during March ( $p < 0.05$ ) and April ( $p < 0.10$ ) and also June and July ( $p < 0.10$ ) that would contribute towards overall positive SIE trends. Both algorithms suggest an increase in

polynya area from March to May ( $p < 0.05$ ) in the Pacific sector, and the NT for the Indian sector in March ( $p < 0.05$ ).

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Finally, the largest expansion of polynya area is found in the Bellingshausen/Amundsen Sea during November, whereas small increases in polynya area are found in both the Indian and Pacific sector during the ice expansion phase. Outside of these regions/months, no significant changes in coastal polynya area are observed.		
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In the Weddell Sea, expansion of the overall ice cover is only statistically significant during the autumn months (MAM) [e.g. *Turner et al.*, 2015]. During this time-period, both algorithms agree on statistically significant positive trends in the pack ice area, that extend through May for the NT algorithm ( $p < 0.05$ ) and through June for the BT algorithm ( $p < 0.05$ ). Statistically significant trends are also seen during March in the MIZ and polynya area ( $p < 0.05$ ), with larger trends in the NT algorithm ( $p < 0.01$ ). Thus, overall expansion of sea ice in the Weddell during autumn is in part driven by expansion of the MIZ early in the season, after which it is controlled by further expansion of the consolidated pack.

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Furthermore, accurately mapping the extent of the MIZ from coarse resolution satellite data such as that from passive microwave sensors remains problematic. The MIZ is very dynamic in space and time, making it challenging to provide precise delimitations using sea ice concentrations that are in turn sensitive to melt processes and surface conditions. NeverthelessFurthermore, accurately mapping the extent of the MIZ from coarse resolution satellite data such as that from passive microwave sensors remains problematic. The MIZ is very dynamic in space and time, making it challenging to provide precise delimitations using sea ice concentrations that are in turn sensitive to melt processes and surface conditions.