



From Heinrich Events to cyclic ice streaming: the grow-and-surge instability in the Parallel Ice Sheet Model

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Abstract. Here we report on a cyclic, physical ice-discharge instability in the Parallel Ice Sheet Model, simulating the flow of a three-dimensional, inherently buttressed ice-sheet-shelf system which periodically surges on a millennial timescale. The thermo-mechanically coupled model on 1 km horizontal resolution includes an enthalpy-based formulation of the thermo-dynamics, a non-linear stress-balanced based sliding law and a very simple sub-glacial hydrology. The simulated unforced

- 5 surging is characterized by rapid ice streaming through a bed trough, resulting in abrupt discharge of ice across the grounding line which is eventually calved into the ocean. We identify and visualize the central feedbacks that dominate the sub-sequent phases of ice build-up, surge and stabilization which emerge from the interaction between ice dynamics, thermodynamics and the sub-glacial till layer. A reduction in the surface mass balance or basal roughness yields a damping of the feedback loop which suggests that thinner ice sheets may be less susceptible to surging. The presented mechanisms underlying our simula-
- 10 tions of self-maintained, periodic ice growth and destabilization may play a role in large-scale ice-sheet surging, such as the surging of the Laurentide Ice Sheet, which is associated with Heinrich Events, and ice-stream shut-down and reactivation, such as observed in the Siple Coast region of West Antarctica.

1 Introduction

Glacial surging is characterized by rapid speed-up of ice flow and abrupt increase in ice discharge. For instance, repeated
activation and stagnation of ice streams which drain the Siple Coast region (e.g., Retzlaff and Bentley, 1993; Fahnestock et al., 2000), alters the flow pattern and mass balance of this part of the West Antarctic Ice Sheet on a centennial time scale (Joughin and Alley, 2011; Kleman and Applegate, 2014). During glacial periods, quasi-periodic, large-scale surging of the Laurentide Ice Sheet likely let to massive iceberg calving into the ocean on a millennial time scale (MacAyeal, 1993; Clarke et al., 1999). These so-called Heinrich Events (Heinrich, 1988; Broecker et al., 1992; Kirby and Andrews, 1999) are associated
with substantial freshening of the North Atlantic, reduction of the Atlantic meridional overturning circulation (McManus)

et al., 2004) and are connected to abrupt climate changes on a global scale (Bond et al., 1993; Broecker, 1994; Hemming, 2004; Mohtadi et al., 2014).

Mechanisms underlying unforced "binge-purge" oscillations of ice-sheet growths and surging (MacAyeal, 1993) have been investigated in various studies with the help of numerical modeling. These included the demonstration of creep instability





(Clarke et al., 1977) and hydraulic runaway (Fowler and Johnson, 1995) as possible main feedbacks that drive unforced surging, the application to the Laurentide Ice Sheet to simulate its quasi-periodic surging (Marshall and Clarke, 1997; Calov et al., 2002; Greve et al., 2006; Papa et al., 2006; Calov et al., 2010), the simulation of (cyclic) ice streaming and stagnation reminiscent of the flow variability of the Siple Coast ice streams (Alley, 1990; Payne and Dongelmans, 1997; Fowler and Schiavi,

- 5 1998; Bougamont et al., 2011; Robel et al., 2013) and, most recently, the investigation of ice-stream oscillations in interaction with bed topography under the influence of ice-shelf buttressing (Robel et al., 2016). Limitations to these studies include the restriction to the flow-line case (only one horizontal dimension considered), the prescription of a strongly idealized bed geometry (flat bed or inclined plane), and the use of simplified parameterizations of ice-internal, lateral and basal stresses (e.g., basal sliding chosen to be proportional to the driving stress).
- 10 Here we apply a channel-type bed geometry to a three-dimensional state-of-the-art ice sheet model to overcome these limitations and simulate the cyclic surging of a marine ice-sheet-shelf system. In particular, and in contrast to many of the previous studies, our simulations use a sliding law that is based on the stress balance of the ice and thereby has stress boundary conditions. In other words, the computed sliding velocity is not a direct parameterization through the local basal conditions but results from solving the non-local shallow-shelf approximation of the stress balance and at the same time, in combination with
- 15 the basal properties, determines the basal stresses. Our model includes a minimal version of a subglacier, i.e., a basal till layer underlying the ice which interacts with the ice sheet through melt-water exchange; an interaction which is crucial to model unforced cyclic ice-sheet growth and surge. The nature of the chosen three-dimensional topographic setup allows to simulate complex ice flow and inherently emerging ice-shelf buttressing. Analyzing the modeled surge cycle, we identify competing fundamental mechanisms that underlie successive ice build-up, surge and stabilization. These mechanisms are visualized in a
- 20 novel way by the means of feedback loops. We also investigate conditions that lead to the damping of the oscillations in our model. Eventually we discuss our results and conclude.

2 Methods

2.1 Model

- We use the open-source Parallel Ice Sheet Model (PISM; Bueler and Brown, 2009; Winkelmann et al., 2011; PISM authors,
 2016), version stable07 (https://github.com/pism/pism/). The thermo-mechanically coupled model applies a superposition of the shallow-ice approximation (SIA; Morland, 1987) and the shallow-shelf approximation (SSA; Hutter, 1983) of the Stokes stress balance (Greve and Blatter, 2009). In particular, the SSA allows for stress transmission across the grounding line and thus accounts for the buttressing effect of laterally confined ice shelves on the upstream grounded regions (Gudmundsson et al., 2012; Fürst et al., 2016). The ice rheology is determined by Glen's flow law (Cuffey and Paterson, 2010). An energy-
- 30 conserving enthalpy formulation of the thermodynamics in particular allows for an advanced calculation of the basal melt rate for polythermal ice (Aschwanden et al., 2012). A linear interpolation of the freely evolving grounding line and accordingly interpolated basal friction enable realistic grounding-line motion similar to models of higher order (Feldmann et al., 2014).





A nonlinear Weertman-type sliding law is chosen to calculate the basal shear stress τ_b , based on the sliding velocity of the ice u_b with a sliding exponent q = 1/3 as used in several previous studies (e.g., Schoof, 2007; Goldberg et al., 2009; Gudmundsson et al., 2012; Pattyn et al., 2013; Feldmann and Levermann, 2015; Asay-Davis et al., 2016)

$$\boldsymbol{\tau_b} = -\tau_c \frac{\boldsymbol{u_b}}{\boldsymbol{u_0^q |\boldsymbol{u_b}|}^{1-q}}.$$

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Here τ_c is the till yield stress (Bueler and van Pelt, 2015). For simplicity we set the velocity scaling parameter u_0 to 1 m s⁻¹ (unit of the sliding velocity calculated in the model). Note that u_b results from solving the non-local SSA stress balance (Bueler and Brown, 2009, Eq. 17) in which τ_b appears as one of the terms that balance the driving stress. This implementation of basal sliding is substantially different (and introduces more complexity) compared to many models that have previously been used in attempt to model cyclic surging, where u_b is a local function of τ_b , the latter often given by the negative of the driving stress at the ice base (e.g. Payne and Dongelmans, 1997; Fowler and Schiavi, 1998; Papa et al., 2006; Calov et al., 2002, 2010; Robel

et al., 2013).

The till yield stress in Eq. (1) is determined by a Mohr-Coulomb model (Cuffey and Paterson, 2010)

$$\tau_c = \tan(\phi) \ N_0 \left(\frac{\delta P_o}{N_0}\right)^s 10^{\frac{e_0}{C_c}(1-s)},\tag{2}$$

- that accounts for the effect of evolving ice thickness H, the associated change in overburden pressure $P_o = \rho_i g H$ on the 15 basal till, and the amount of water stored in the till W_{til} . Here $s = W_{til}/W_{max}$ is the fraction of the water layer thickness in 16 the till with respect to a fixed maximum layer thickness W_{max} (Bueler and van Pelt, 2015). All other parameters are prescribed 17 and are constant in space and time (adopted from Bueler and van Pelt, 2015, see Table 1 for a full list of parameters, their 18 naming and values). Note that there is an upper bound to the yield stress enforced in the model, which is determined by the 19 overburden pressure, i.e., $\tau_{c,max} = \tan(\phi) P_o$ (for details see Bueler and van Pelt, 2015, Sec. 3.2).
- The sub-glacial model is a slightly modified version of the undrained plastic bed model of (Tulaczyk et al., 2000a, b), as described in (Bueler and van Pelt, 2015, Section 3). The term undrained refers to the fact that this model does not account for horizontal transport of melt water stored in the basal till and thus melt water is produced and consumed only locally. The evolution equation for the till-stored water thickness, W_{til} , is a function of the local basal melt rate m (positive for melting, negative for refreezing)

$$25 \quad \frac{\partial W_{til}}{\partial t} = \frac{m}{\rho_w} - C_d. \tag{3}$$

The drainage-rate parameter C_d allows for drainage of the till in the absence of water input. The water-layer thickness is bounded ($0 \le W_{til} \le W_{til}^{max}$) to avoid unreasonably strong filling of the till with melt water. Melt water which exceeds W_{til}^{max} is not conserved.





2.2 Experimental setup

The three-dimensional setup is designed to model a marine ice sheet, which drains through a bed trough, feeding a bay-shaped ice shelf which calves into the ocean. The idealized bed topography (Fig. 1) is a superposition of two components: the bed component in x direction, $b_x(x) = -150 \text{ m} - 0.84 \cdot 10^{-3} x$, is an inclined plane, sloping down towards the ocean (Fig. 1b). The component in y direction, $b_y(y)$, has channel-shaped form (Fig. 1c) and is a widened version of the one used in the

- 5 The component in y direction, $b_y(y)$, has channel-shaped form (Fig. 1c) and is a widened version of the one used in the MISMIP+ experiments (Asay-Davis et al., 2016, here with adjusted parameters for domain width and channel side-wall width, see Table 1). The superposition of both components yields a bed trough which is symmetric in both x and y directions. While the main ice flow is in x direction (from the interior through the bed trough towards the ocean) there is also a flow component in y direction, i.e., from the channel's lateral ridges down into the trough. Resulting convergent flow and associated horizontal
- 10 shearing enable the emergence of ice-shelf buttressing, having a stabilizing effect on the grounding line (Goldberg et al., 2009; Gudmundsson et al., 2012; Asay-Davis et al., 2016). Ice is cutoff from the ice shelf and thus calved into the ocean beyond a fixed position (Fig. 1a).

Surface mass balance, surface ice temperature and geothermal heat flux are assumed to be constant. There is no melting beneath the ice shelf. Glacial isostatic adjustment is not accounted for in the experiments. The simulations are initiated with a

15 block of ice from which an ice-sheet-shelf system evolves while ice flow, basal mechanics and till-stored water content adjust. This spinup lasts a few kyr and thus we focus on the time after this phase. Due to the symmetry of the setup we only consider the right-hand half of the domain throughout our analysis.

The model is run using finite differences and a regular grid of 1 km horizontal resolution. An initial examination of the flow field reveals that the SIA velocities are small compared to the SSA velocities in our simulation. Despite this fact, considering the SIA in the simulations in particular allows for the representation of a three-dimensional temperature field.

3 Results

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3.1 Cyclic surging

For the given set of parameters (Table 1) the ice-sheet-shelf system takes on an oscillatory equilibrium of continuously alternating phases of surge and growths. This unforced behavior can be described by competing internal feedback mechanisms
which affect the ice dynamics on different time scales (Fig. 2). On the slow time scale, the ice sheet tends to grow toward an equilibrium thickness, which is determined by the balance between snowfall and ice flux (velocity). If this equilibrium ice thickness is too large to be sustained by the basal conditions, this build-up (negative feedback loop gray in Fig. 2) is interrupted by an abrupt surge event with a rapid, self-enforcing speed-up of the ice flow (positive feedback loop in red). The associated large-scale ice discharge into the ocean eventually leads to a stabilization of the shrunken ice-sheet-shelf system (negative feedback loop in blue), which again tends to restore a balance thickness before a new surge event kicks in.

At the beginning of the modeled surge cycle the negative feedback loop of slowing-down ice growth is dominant: the basal till water content drops close to zero and basal friction is high, allowing gradual thickening of the ice sheet (Figs. 3, 4 and





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A1). The thickening causes an increase in basal melt water production due to the lowering of the pressure melting point at the ice base. The increasing water content in the basal till attenuates further increase in basal friction (which still increases due to the effect of ice thickening, i.e., growing overburden pressure P_o , see Eq. 2), leading to an increase in ice discharge and thus reducing further thickening. In the absence of any other mechanisms, the ice sheet would hence reach a steady state as ice thickening would approach zero, eventually.

However, the continuous accumulation of water in the sub-glacial till during the slow build-up initiates a surge event before the equilibrium thickness is reached. The self-enforcing feedback of rapid ice speed-up becomes dominant: lowered friction at the well lubricated ice-sheet base leads to an acceleration of ice flow through the bed trough (Fig. 3). In turn, this causes an increase in strain and frictional heating due to enhanced shearing inside the ice sheet and sliding of the ice over the bed,

- respectively (Fig. A1). The resulting additional melt water production further lubricates the ice base, leading to even more 10 speed-up (termed "hydraulic runaway" by Fowler and Johnson, 1995). Inside the bed trough, the previously relatively stagnant ice flow has entered a state of rapid ice streaming (velocities at several km vr⁻¹, Figs. 1a, b and 4d). The ice streaming is additionally fostered by the effect of strain heating at the side margins of the trough (Fig. 4): faster flow causes stronger shearing of the ice, resulting in more heat production which in turn softens the ice, allowing for more shearing and thus flow 15 acceleration (so called "creep instability", Clarke et al., 1977, see positive feedback loop in our Fig. 5).
- The ice streaming inside the bed trough leads to enhanced downstream advection from the ice sheet's thick interior into the ice shelf, manifesting a pronounced peak in iceberg calving (Fig. 3e). Eventually, this discharge-related thinning of the ice sheet leads to the end of the surge as melt water production decreases, basal friction increases and ice flow decelerates (feedback of stabilizing ice velocity causing ice-stream shut-down). When the ice sheet has become too thin to maintain insulation of
- its base from the cold atmosphere then basal refreezing sets in, consuming water from the till layer (Fig. A1). As the water 20 content in the drained till drops close to zero and thus bed friction quickly increases, the ice sheet can build up again. The period duration of a whole surge cycle is of about 1.8 kyr, from which the slow build-up phase takes more than 80 %.

3.2 Surge damping

Varying the bed strength in our simulations, we find that surging is maintained in a cyclic manner (oscillatory equilibrium) 25 only if the bedrock roughness allows the ice sheet to grow thick enough during the spinup phase. For rather slippery basal conditions, realized by low values of the till friction angle ϕ (and thus thinner ice sheets), surging occurs initially but then is damped such that on the long term the ice sheet reaches a non-oscillating stable equilibrium state (Fig. 6). The speed of this damping is faster the lower the initial ice-sheet thickness is. For sufficiently lubricated (thin) ice sheets no surging takes place at all. In contrast to the case of maintained cyclic surging, the ice flow enters a state of continuous streaming at velocities of several 100 m yr⁻¹ (Fig. 6c). 30

The mechanism underlying the surge damping can be explained assuming that a thinner ice sheet before the surge leads to a less dramatic surge event and thus to a larger minimum ice-sheet thickness after the surge. In turn, a thicker ice sheet after the surge experiences less freezing at its base as it is better insulated from the cold atmosphere. The initial drainage of the basal till during ice-sheet build-up thus turns out to be weaker (Fig. 6b). This shortens the build-up duration, as the critical amount





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of basal water content to trigger the next surge event is reached earlier than in the previous cycle. That also means that the following surge event starts at a smaller ice thickness and thus is weaker than the previous event. This way, surging ceases eventually, as ice-sheet thickness before and after surging converges towards an equilibrium thickness.

The conclusion that thinner ice bodies are less likely to surge than thicker ones, drawn from our results above, is supported by additional experiments with reduced surface accumulation a. According to these simulations, lower accumulation results in thinner ice sheets, longer surge-cycle duration and weaker surge amplitude (Fig. 7). Below a threshold of a fifth of the default value ($a = 0.075 \text{ myr}^{-1}$) a rather thin steady-state ice sheet forms and surging is not existent anymore.

4 Discussion and conclusions

We model the cyclic surging of a three-dimensional, inherently buttressed, marine ice-sheet-shelf system (Fig. 1). Periodically
alternating ice growth and surge are unforced and emerge from interactions between the dynamics of ice flow (evolution of velocity, internal and basal stresses, ice thickness), its thermodynamics (heat conduction, strain and basal frictional heating, melt-water production) and the subglacier (melt-water storage and drainage).

We identify three consecutive phases throughout the surge cycle (ice build-up, surge and stabilization), each characterized by a dominating feedback mechanism which we visualize in a feedback-loop scheme (Fig. 2). These feedbacks of slowing-down

- 15 ice thickening, rapid ice speed-up and discharge, and decelerating ice thinning (Figs. 3 and A1) can explain central processes that likely prevailed during repeated large-scale surging of the Laurentide Ice Sheet and the associated Heinrich Events of global-scale impact. During the surge phase mainly the process of hydraulic runaway (positive feedback between basal melt water production and flow acceleration; Fowler and Johnson, 1995) is in effect. It is complemented by creep instability (positive feedback between strain heating and ice deformation; Clarke et al., 1977), which additionally promotes rapid ice streaming
- 20 (Figs. 4 and 5). The modeled cyclic alternation of ice streaming and stagnation provides a simple example of ice-stream shutdown and re-activation, a phenomenon which is characteristic for the dynamics of some of the Siple Coast outlets in West Antarctica.

The period duration of a full surge cycle in our model of about 1.8 kyr is very close to results from other recent studies (Bougamont et al., 2011; Robel et al., 2016) which is surprising considering the differences in degree of physical approximations,

- 25 parameterizations, and setup complexity between the three studies. Though all of the three ice models use a Weertman-type, stress-balanced based sliding law (Eq. 1) and are based on the same sub-glacial model (Tulaczyk et al., 2000a, b), there are still substantial differences concerning applied modifications to the sub-glacial model and the (non-)linearity of the sliding law (here non-linear vs. linear in the other two studies). Migration of the grounding line in our simulations is less pronounced than in the flow-line SSA model (Robel et al., 2016). Possible reasons for that might be the mentioned difference in the sliding-law
- 30 exponent, the qualitatively different bed shape in main flow direction and the way buttressing is represented. In our simulations buttressing emerges inherently due to the formation of a confined ice shelf and the resulting stabilizing effect might be stronger than in the parameterized flow-line case.





We find a transition from surge to non-surge behavior of the ice when decreasing the thickness of the ice body in our simulations (realized by applying lower basal roughness or surface mass balance, Figs. 6 and 7). This is consistent with the existence of a critical ice thickness found by Schubert and Yuen (1982). According to their results, exceeding this thickness threshold enables the occurrence of creep instability, potentially leading to rapid surging.

Several other parameters in our model likely have an effect on the occurrence of surging and its dynamics (e.g., the sliding law exponent q in Eq. 1, the overburden-pressure fraction δ in Eq. 2, the till drainage rate C_d in Eq. 3, as well as surface temperature, geothermal heat flux and bed slope). A thorough investigation of the parameter-dependency of the surging behavior (e.g., as done for surface temperature and geothermal heat flux in Robel et al., 2014) is beyond the scope of this study.

In fact, it aims at reporting on the realization of cyclic surging/ice-streaming in the Parallel Ice Sheet Model based on suitable 10 model components and justified set of parameters.

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Competing interests. The authors declare no conflict of interest.

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Table 1. Physical constants and model parameters

Parameter	Value	Unit	Physical meaning
n	3		Exponent in Glen's flow law
q	1/3		Basal friction exponent
u_0	1	${\rm ms^{-1}}$	Scaling parameter for basal velocity in the sliding law
ϕ	10	0	Till friction angle
N_0	1000	Ра	Reference effective pressure (Bueler and van Pelt, 2015)
δ	0.02		Parameter determining effective overburden pressure δP_o (Bueler and van Pelt, 2015)
e_0	0.69		Reference void ratio at N_0 (Bueler and van Pelt, 2015)
C_c	0.12		Till compressibility (Bueler and van Pelt, 2015)
W_{til}^{max}	2	m	Maximum water in till (Bueler and van Pelt, 2015)
C_d	0.001	${\rm myr^{-1}}$	Till drainage rate (Bueler and van Pelt, 2015)
$ ho_i$	918	${\rm kgm^{-3}}$	Ice density
$ ho_w$	1000	${\rm kgm^{-3}}$	Fresh-water density
ρ_{sw}	1028	${\rm kgm^{-3}}$	Sea-water density
g	9.18	${ m ms^{-2}}$	Gravitational acceleration
L_x	700	km	Length of right-hand half of the symmetric domain
L_y	160	km	Width of domain (entering Eq. 4 of Asay-Davis et al., 2016)
f_c	16	km	Characteristic width of channel side walls (entering Eq. 4 of Asay-Davis et al., 2016)
d_c	500	m	Depth of bed trough compared with side walls (entering Eq. 4 of Asay-Davis et al., 2016
w_c	24	km	Half-width of bed trough (entering Eq. 4 of Asay-Davis et al., 2016)
x_{cf}	640	km	Position of fixed calving front in right-hand half of domain
a	0.3	${ m myr^{-1}}$	Surface accumulation rate
G	70	${ m mWm^{-2}}$	Geothermal heat flux
T_s	-20	$^{\circ}\mathrm{C}$	Surface temperature of the ice





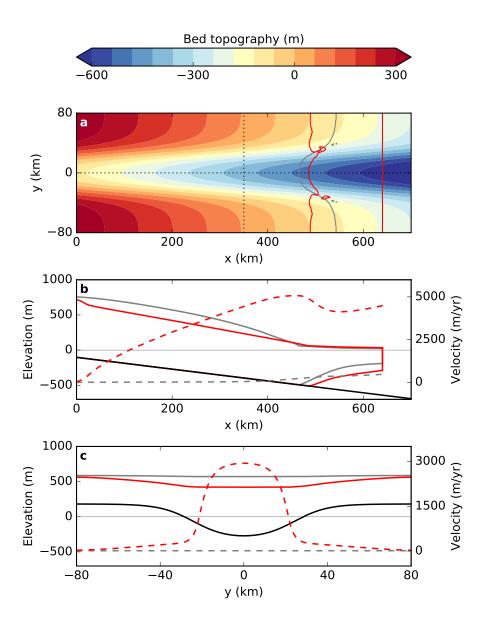


Figure 1. (a) Bed topography prescribed in the experiments (colorbar) with contours of grounding line and calving front during build-up (gray) and surge (red; see corresponding circles in Fig. 3). Note that throughout this study we focus on the right-hand-half of the symmetric model domain as shown here (symmetry axis at x = 0). Dotted lines mark locations of the cross sections shown in the other two panels. (b) Cross section in x direction along the centerline of the model domain. Profiles of the ice sheet (straight lines) and its velocity (dashed) are shown for the build-up phase (gray) and during surge (red), bed topography in black. (c) Cross section in y direction across the model domain at x = 350 km. Same colors as in panel (b).





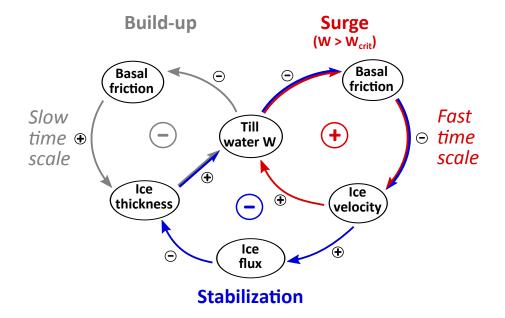


Figure 2. Schematic visualizing the three main feedback mechanisms, each of them dominating one of the three sub-sequent phases of slow ice build up (gray), abrupt surging (red) and stabilization (blue), forming a full surge cycle. The sign next to an arrow pointing from variable A to B indicates whether a small increase in variable A leads to an increase (+) or decrease (-) in variable B. According to this convention one can deduce from counting the negative links of a full loop whether this loop describes an amplifying (positive) or stabilizing (negative) feedback. An even number of negative links indicates a positive feedback loop (large +) whereas an odd number of negative links indicates a negative feedback loop (large -).





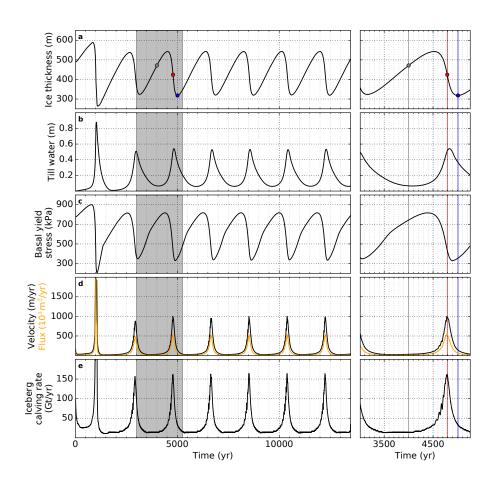


Figure 3. Timeseries of the main variables which characterize the feedback loops of growth, surge and stabilization in Fig. 2. (a) Ice thickness H, (b) till water thickness W_{til} , (c) basal yield stress τ_c , (d) velocity and ice flux (orange), and (e) iceberg calving rate. Except for the calving rate, data shown is averaged over the area of grounded ice. The calving rate has been smoothed with a 200-year moving window. The right-hand-side of each panel shows a zoom into a full cycle (highlighted in gray). Colored circles in panel (a) show the points in time chosen to be representative for the phases of build-up (gray), surge (red) and stabilization (blue).





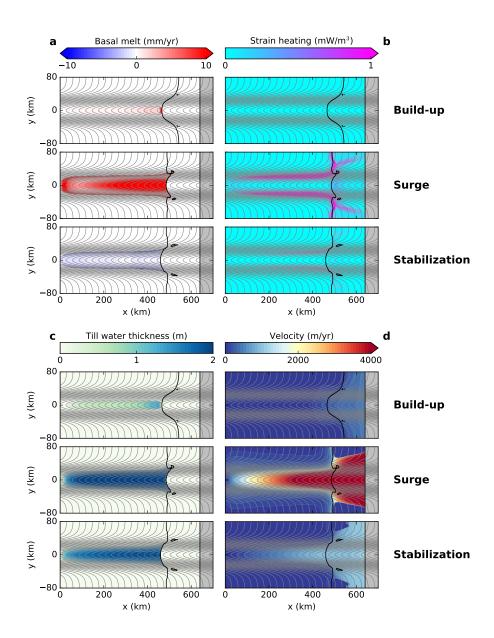


Figure 4. Fields of (a) basal melt rate m, (b) strain heating, (c) till water thickness W_{til} , and (d) velocity for a representative snapshot for each of the three phases of build-up, surge and stabilization (as denoted by the colored circles in Fig. 3). Thick black contours mark the grounding line and calving front. Bed topography shown by thin gray contours.





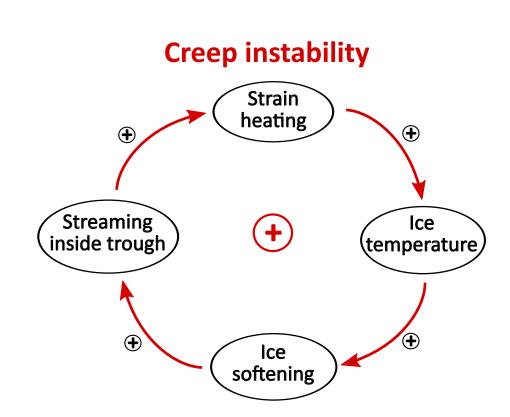


Figure 5. Positive feedback of creep instability, which fosters rapid ice streaming through the bed trough in addition to the positive feedback of ice-flow acceleration visualized in Fig. 2.





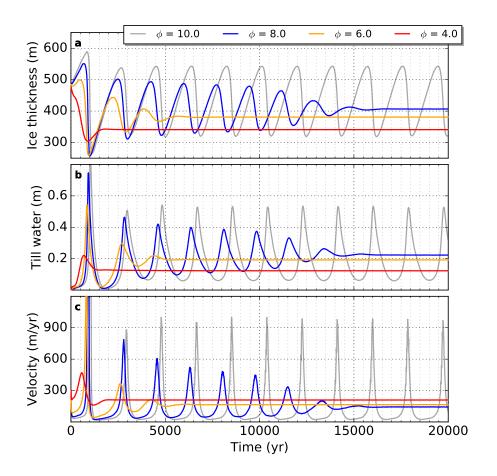


Figure 6. Timeseries of (a) ice thickness H, (b) till water thickness W_{til} , and (c) ice velocity (all averaged over area of grounded ice) for different values of the till friction angle ϕ . Between $\phi = 10$ (default case) and $\phi = 8$ there is a transition from maintained cyclic surging to damped surging.





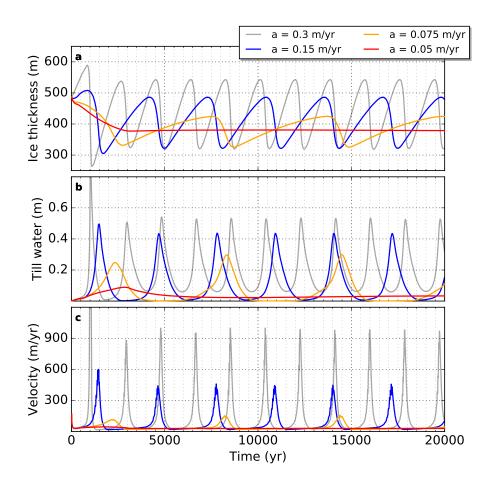


Figure 7. Timeseries of (a) ice thickness H, (b) till water thickness W_{til} , and (c) ice velocity (all averaged over area of grounded ice) for different values of the surface accumulation a. With decreasing a (default case in gray) the surge magnitude decreases and the cycle duration increases such that for sufficiently low accumulation surging is not existent.





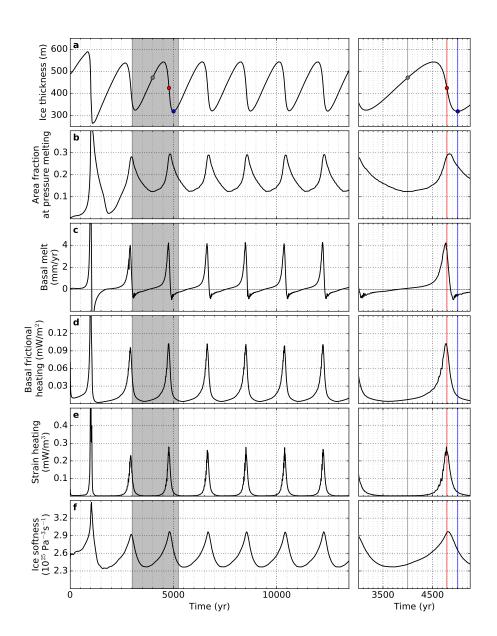


Figure A1. Additional timeseries of (a) ice thickness H, (b) fraction of grounded ice which is at pressure melting point at its base, (c) basal melt rate m, (d) basal frictional heating, (e) strain heating, and (f) vertically averaged ice softness. Except for panel (b) data shown is averaged over the area of grounded ice. The right-hand-sides of the panels are analogue to the ones in Fig. 3.