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Mapping snow depth within a tundra ecosystem using multiscale observations and

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Abstract

36 This paper compares and integrates different strategies to characterize the variability of end-of-37 winter snow depth and its relationship to topography in ice-wedge polygon tundra of Arctic 38 Alaska. Snow depth was measured using in situ snow depth probes, and estimated using ground 39 penetrating radar (GPR) surveys and the Photogrammetric Detection and Ranging (PhoDAR) 40 technique with an unmanned aerial system (UAS). We found that GPR data provided high-41 precision estimates of snow depth (RMSE = 2.9 cm), with a spatial sampling of 10 cm along 42 transects. UAS-based approaches provided snow depth estimates in a less laborious manner 43 compared to GPR and probing while yielding a high precision (RMSE = 6.0 cm) and a fine 44 spatial sampling (4 cm by 4 cm). We then investigated the spatial variability of snow depth and 45 its correlation to micro- and macrotopography using the snow-free LiDAR digital elevation map 46 (DEM) and the wavelet approach. We found that the end-of-winter snow depth was highly 47 variable over short (several meter) distances, and the variability was correlated with microtopography. Microtopographic lows (i.e., troughs and centers of low-centered polygons) 48 49 were filled in with snow, which resulted in a smooth and even snow surface following 50 macrotopography. We developed and implemented a Bayesian approach to integrate the snow-51 free LiDAR DEM and multi-scale measurements (probe and GPR) as well as the topographic 52 correlation for estimating snow depth over the landscape. Our approach led to high precision 53 estimates of snow depth (RMSE = 6.0 cm), at 0.5-meter resolution and over the LiDAR domain 54 (750 m by 700 m).

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1. Introduction



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58 impacts on soil hydrothermal processes and energy exchange (e.g., Callaghan et al., 2011). Snow 59 insulates the ground from intense cold during the Arctic winter, limiting the heat transfer 60 between the air and the ground (Zhang, 2005). Snow depth affects active layer and permafrost temperatures throughout the year (Gamon et al., 2012; Stieglitz et al., 2003), and increased snow 61 62 depth has resulted in permafrost degradation (Osterkamp, 2007). Snow's insulating capacity 63 enhances conditions for active soil microbial processes and CO<sub>2</sub>/CH<sub>4</sub> production during winter 64 (Nobrega and Grogan, 2007; Schimel et al., 2004; Clein and Schimel, 1995; Jansson and Taş, 65 2014; Zona et al., 2016). In addition, snow serves as an important water source to tundra 66 ecosystems during the growing season, and therefore has a large impact on biological processes 67 via hydrology. Snowmelt water can lead to extensive inundation of low-gradient tundra and large 68 runoff events in early summer (Bowling et al., 2003; Kane et al., 1991; Liljedahl et al., 2016). 69 Since soil biogeochemistry and vegetation are controlled by soil moisture (Sjögersten et al.,

Snow plays a critical role in ecosystem functioning of the Arctic tundra environment through its

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the season.

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In order to investigate controls of snow on ecosystem properties, high resolution estimates of snow are needed over large spatial regions. This is especially true in ice-wedge polygon tundra, which dominates a large portion of the high Arctic (Zona et al., 2011). Polygon evolution – caused by successive freezing, cracking and thawing of soil and ice and associated movement of soil – leads to *microtopography*, where the ground surface elevation can vary significantly over lateral length distances of several meters (e.g., Brown, 1967; MacKay, 2000). This

2006; Wainwright et al., 2015), the amount of snow affects ecosystem functioning throughout

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microtopography leads to dramatically variable snow depth across short distances. Liljedahl et al. (2016) found that the differential snow distribution increased the partitioning of snowmelt water into runoff, leading to less water stored on the tundra landscape. Gamon et al. (2012) reported that snow depth heterogeneity results in differential thawing and active layer thickness variability. In addition, there are large-scale spatial variability in ground surface elevation, or macrotopography, which can vary over lateral distances of several hundred meters to kilometers; macrotopography is often associated with drained thaw lake basins or drainage features (Hinkel et al., 2003). To account for the effect of such multiscale terrain heterogeneities on hydrology and ecosystem functioning, the snow representation of the Arctic tundra needs to be refined, especially by bridging from finer geographical scales (sub-meter) to large areal coverage (several hundred meters to kilometers). In the tundra environment, snow depth characterization has been limited to ground-based point (probe) measurements (Benson and Sturm, 1993; Dvornikov et al., 2015). Recently, there have been several new techniques for estimating snow depth in high resolution, and in a non-invasive and spatially extensive manner. Ground-penetrating radar (GPR) has been widely used to characterize snow cover in alpine, arctic and glacier environments (e.g., Harper and Bradford, 2003; Machguth et al., 2006; Gusmeroli and Grosse, 2012; Gusmeroli et al., 2014), GPR measures the radar reflection from the snow and ground surface, which can be used to estimate show depth. GPR can be collected by foot, snowmobile or airborne methods. In addition, Light Detection and Ranging (LiDAR) and Photogrammetric Detection and Ranging (PhoDAR) airborne methods have recently been used to estimate snow depth at site or regional scales (e.g., Deems et al., 2013; Harpold et al., 2014; Nolan et al., 2015). Both techniques measure the snow

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surface elevation, using laser in LiDAR, and the structure-from-motion (SfM) algorithm in PhoDAR, which allows us to estimate snow depth by subtracting the snow-free elevation. While the potential of those advanced methods for providing information about snow variability has been documented, they have not been used extensively for characterizing the variability of snow depth in ice-wedge polygonal tundra. Such indirect geophysical methods are, however, known to have increased uncertainty relative to direct measurements (here ground-based probe measurements) (e.g., Hubbard and Rubin, 2005). For example, the snow depth estimates obtained using GPR can be affected by uncertainty associated with radar velocity, which depends on snow density (Harper and Bradford, 2003). In the environments with complex terrain such as ice-wedge polygonal tundra, GPR-based snow estimates could also be influenced by the errors stemming from radar positioning and raypath assumptions. The airborne LiDAR/PhoDAR-based methods are subject to the errors associated with georeferencing, processing and calibration (e.g., Deems et al., 2013; Nolan et al., 2015). The accuracy of the airborne methods is usually several tens of centimeters, which is lower than the centimeter accuracy of the probe measurements. Integrating different types of snow measurements can take advantage of the strengths of various techniques while minimizing the limitations stemming from using a single method. Bayesian approaches have proven to be useful for integrating multiscale multi-type datasets to estimate spatially heterogeneous terrestrial system parameters in a manner that honors method-specific uncertainty (e.g., Wikle et al., 2001; Wainwright et al., 2014; 2016). Bayesian methods also permit systematic incorporation of expert knowledge or process-specific information, such as the

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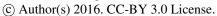




125 relationships between datasets and parameters. In particular, snow depth is known to be affected 126 by topography and wind direction (e.g., Benson and Sturm, 1993; Anderson et al., 2014; 127 Dvornikov et al., 2015). To our knowledge, such integration methods have not been developed to 128 estimate end-of-winter snow variability using multiple types of datasets. 129 130 The primary objectives of this study are to (1) compare point probe, GPR and UAS approaches 131 for characterizing snow depth, and the associated resolution and accuracy of the GPR and UAS 132 methods; (2) characterize the spatial heterogeneity of end-of-winter snow depth in ice-wedge 133 polygonal tundra landscape; (3) explore the relationship between snow depth and topography; 134 and (4) develop a Bayesian method to integrate multiscale multi-type data to estimate snow 135 depth over the LiDAR domain. In Section 2, we describe our site and datasets, including point 136 probes, GPR and UAS-based PhoDAR. In Section 3, we present the methodology to analyze the 137 indirect snow depth measurements from GPR and PhoDAR as well as to evaluate the 138 heterogeneity of snow depth in relation to both microtopography (i.e., ice-wedge polygons) and 139 macrotopography (i.e., large-scale gradient, drained thaw lake basins and interstitial upland 140 tundra). We then develop a Bayesian geostatistical approach to integrate the multiscale datasets 141 to estimate snow depth over the LiDAR domain. The snow measurement and estimation results are presented in Section 4 and discussed in Section 5. 142 143

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## 2. Data and Site Descriptions

2.1. Study Site Snow survey data were collected within a study site (approximately 750 m by 700 m) located on the Barrow Environmental Observatory near Barrow, Alaska, as part of the Department of Energy's Next-Generation Ecosystem Experiment Arctic project (Figure 1). Mean annual air temperature at the Barrow site is -11.3°C and mean annual precipitation is 173 mm (Liljedahl et al., 2011). Snowmelt usually ends in early to mid-June. The wind direction is predominantly from east to west throughout the year. Ice-wedge polygons are prevalent in the region, including low-centered polygons in drained thaw lake basins and high-centered polygons with welldeveloped troughs in the upland tundra (Hinkel et al., 2003; Wainwright et al., 2015). The dominant plants are mosses (Dicranum elongatum, Sphagnum), lichens and vascular plants (such as Carex aquatilis); plant distribution at the site is governed by surface moisture variability (e.g., Hinkel et al., 2003; Zona et al., 2011). There are no shrubs or tall woody plants that are known to affect snow depth (Sturm et al., 2005; Dvornikov et al., 2015). Three transects and four representative plots were chosen within the study site to explore snow variability and its relationship to topography (Figure 1). Typical for low-gradient tundra terrain, ice-wedge polygon microtopographic variations are superimposed on macrotopographic trends at the study site. The elevation is higher in the center of the domain (interstitial upland tundra) and lower near the drainage features in the south. The elevation is also relatively lower in the drained thaw lake basins (DTLB) region, which is located in the northeastern and northwestern edges of the study site. The four intensive plots (A-D), each 160m x 160m, were chosen to represent

specific polygon types or macrotopographic positions within the study area. The three parallel

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167 transects, each ~500m long, were designed to traverse multiple polygon types in a continuous 168 fashion (Hubbard et al., 2013). 169 170 2.2. Datasets 171 Airborne LiDAR data were collected at the site on October 4th, 2005, providing a high-172 resolution digital elevation map (DEM) of the snow-free ground at 0.5 m by 0.5 m resolution 173 (Hubbard et al., 2013). The DEM effectively resolved both micro- and macrotopography at the 174 study site (Figure 1). To evaluate the accuracy of the airborne DEM, we measured the ground 175 surface elevation in September 2011 using a high-precision centimeter-grade RTK Differential 176 GPS (DGPS) system. The root mean square error of the LiDAR DEM compared to GPS was 177 6.08 cm. 178 179 The majority of the snow depth data was collected on May 6–12, 2012, during which no snowfall 180 occurred and little change in snow depth was observed. Snow depth was measured in the four 181 intensive study plots and along three transect lines (Figure 1). Two sets of snow depth 182 measurements using a snow probe were collected. The 'fine-grid' dataset was aimed to 183 characterize the fine-scale heterogeneity by ~7200 snow depth point measurements (every 184  $\sim 0.3$  m along transects with a 4 m spacing) across a small domain ( $\sim 50 \times 50$  m) within Plots A-D. This was done using a GPS snow probe (Snow-Hydro). The reported accuracy of this snow 185 186 probe was < 0.01 m. The start and end coordinates of each transect were surveyed with a DGPS 187 and used to correct point measurement locations in respective transect. A second 'coarse-grid' 188 set of snow depth measurements covered the entire area in Plots A-D (~160 m × 160 m) with 189 lower sampling density. The coarse-grid snow data were collected using a tile probe, which had

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190 an accuracy of approximately 0.01 m. Snow depth was measured every 5 m along five parallel 191 lines in the coarse grid, which were spaced 40 m apart. The total number of data points in each 192 plot was 380. 193 194 Ground penetrating radar (GPR) data were acquired over the four study plots and along three 195 transects. The instrument (Mala ProEx with 500 MHz antenna) was pulled on a sled. In each 196 plot, we acquired the GPR data at 0.1-m intervals (marked by an odometer) along 37 lines of 4-m 197 spacing. Several of the GPR lines were co-located with the 'coarse-grid' probe measurements. 198 The GPR technique allowed for denser sampling within the plot relative to the tile probe, with 199 more than 50,000 points in each plot, while the exact location of each measurement was within 200 ~1 m (marked by tape majors). The GPR data were pre-processed to maximize signal-to-noise 201 ratio; a detailed explanation of the use and processing of GPR at this study site was provided by 202 Hubbard et al. (2013). Our pre-processing routine consisted of (1) picking the airwave (which is used to define the signal initiation time, or 'zero time'), (2) picking the travel time of the 203 204 reflection of the GPR signal that travelled from the snow surface to the snow-ground interface 205 and back to the snow surface, (3) subtracting the zero time from the reflection pick and (4) 206 dividing by two to obtain a one-way travel time between the snow surface and ground surface. A 207 more detailed explanation on the use of GPR in the tundra can be found in Hubbard et al. (2013). 208 209 Additional campaigns were carried out in 2014 and 2015 along the transects. UAS and PhoDAR 210 data were collected in July 2013 and 2014 to estimate snow-free ground surface elevation and in 211 May 2015 for estimating snow depth along the transects. To make these measurements, we lifted 212 a consumer-grade digital camera (Sony Nex-5R) to about 40 meters above the ground surface

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using a kite, and acquired downward-looking Red-Green-Blue landscape images, as well as collected some surface elevation data (method described in Smith et al., 2009). The reconstruction procedure was performed using a commercial computer vision software package (PhotoScan from Agisoft LLC). Reconstruction involved automatic image feature detection/matching, structure-from-motion and multiview-stereo techniques for 3D point-cloud generation, and georeferenced mosaic reconstruction. High-accuracy georeferencing was enabled by using a network of ground control points placed on the ground (in summer) and on the snow (in winter) that were surveyed with a high-precision centimeter-grade RTK DGPS system. The snow-free ground surface elevation measurements were then subtracted from the snow surface data to estimate the snow depth over the area. The snow probe measurements were taken at 183 locations to validate the UAS-based snow depth estimates.

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# 3. Methodology

3.1. GPR Snow Depth Analysis

Snow depth can be inferred by multiplying GPR one-way travel time by radar velocity. The radar velocity is determined by the dielectric constant, which depends on snow density in dry snow (Tiuri, et al., 1984; Harper and Bradford, 2003). Although the snow density is known to be variable in a vertical direction, we assume that the depth-averaged radar velocity—which is a function of depth-averaged snow density—is sufficient in this study for estimating snow depth. Thus, we compute the radar velocity based on the known snow depth from co-located probe measurements as: (radar velocity) = (probe-based snow depth)/(GPR one-way travel time). Identifying co-located points between the GPR and snow probe measurements, however, is not a trivial task in polygonal ground, since the topography and snow depth can vary significantly within a meter. To address these issues, we investigate the correlations between the radar velocity and the variability of topography. We assume that the effect of positioning errors is larger near the edge of polygons, or in the region where the topographic variability is high. We consider that the uncertainty of radar velocity can be reduced by not using the co-located probe measurements in regions of high topographic variability. To define the topographic variability, we compute the elevation difference within a 1-meter radius of each probe measurement. In addition, the reflections from the troughs could originate from the edge of polygons rather than the location right below the GPR instrument. Such an "edge reflection" effect can lead to underestimation of the radar velocity. We assume that we could detect the presence of the edge reflection by evaluating the systematic bias (i.e., underestimation) in the radar velocity in relation to the topographic variability.

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### 3.2. UAS Snow Depth Analysis

We first evaluate the accuracy of the UAS-derived digital surface model (DSM) by comparing it to the GPS elevation measurements along the transects. Since the UAS-derived DSM was obtained at very high lateral resolution (4 cm by 4 cm), it was more prone to noise or small scale variability (Nolan et al., 2015). As such, we test three schemes to explore the co-location between the two datasets: (1) nearest points, (2) average elevation within the 0.5-m radius, and (3) minimum elevation within the 0.5-m radius. We then compare the snow depth estimate from UAS and probe measurements at co-located points. In the same manner as the GPR data, we eliminate the probe measurements in the regions where the topographic variability is high.

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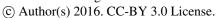
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## 3.3. Spatial Variability Analysis of Topography and Snow Depth

To quantify the topographic effects in a complex terrain of ice-wedge polygons and to partition micro- and macrotopography, we apply the wavelet transform method to the airborne LiDAR DEM, which is commonly used for 2D image processing. The wavelet approach has recently been applied to DEM for geomorphic studies, including terrain analysis and landslide analysis (Bjørke and Nilsen, 2003; Kalbermatten, 2010; Kalbermatten et al., 2012). In this transform, a high-pass filter (a mother wavelet) and a low-pass filter (a father wavelet) are applied to decompose the DEM into four images at each scale: low-pass, high-pass horizontal, high-pass vertical, and high-pass diagonal images). Depending on the scale of the wavelet transform, the method yields different images, corresponding to different scales of topographic features. We define this wavelet scale as a topography separation scale. We consider the low-pass image as macrotopographic elevation (i.e., the smoothed version of the original DEM) and the high-pass

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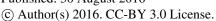


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image as microtopographic elevation (i.e., the topographic variability associated with ice-wedge 272 polygon development). 273 274 Correlations between the topographic metrics and snow depth are identified using the Pearson 275 product-moment correlation coefficient (Anderson et al., 2014). At each spatial scale, we can 276 compute micro- and macrotopographic metrics such as slope and curvature as well as their 277 correlations with corresponding probe-measured snow depth. The curvature is of particular 278 interest, since Dvornikov et al. (2015) reported strong correlations between snow surface 279 curvature and snow depth, and a dependency of this correlation on the DEM resolution. Note that 280 the DEM resolution (0.5 m) in this study is much finer than the one (25 m) in Dvornikov et al. 281 (2015). We compute a wind factor in a similar manner as Dvornikov et al. (2015), with a slight 282 modification. Here we define the wind factor as the inner product of the slope direction and 283 predominant wind direction. With this calculation, the wind factor is smallest in the slope against 284 the wind direction, and largest in the slope in line with the wind, which is reasonable and also 285 consistent with visual observations at the site. When the correlation is statistically significant, the 286 metrics are included in a regression analysis (Davison, 2003) to represent the snow depth as a 287 function of the topographic metrics. 288 289 A geostatistical approach has been used to investigate the spatial variability of snow depth as 290 well as the scales of variability (Anderson et al., 2014). The standard geostatistical analysis starts 291 with creating an empirical variogram, followed by estimating the spatial correlation parameters 292 (Diggle and Ribeiro, 2007). The spatial correlation parameters include (1) magnitude of 293 variability (or spatial heterogeneity) as variance, (2) fraction of correlated and uncorrelated

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variability (nugget ratio), (3) spatial correlation length (range), and (4) covariance model (i.e., the shape of decay in the spatial correlation as a function of distance), such as exponential and spherical models. Such spatial variability and correlation are particularly important for interpolating the sparse snow depth measurements. The interpolation can be applied not only for snow depth itself but also for snow surface (snow depth plus elevation) or residual snow depth after removing topographic correlations in the regression analysis. The same geostatistical analysis are therefore performed for snow surface and residual snow depth.

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## 3.4. Bayesian Geostatistical Estimation Method

We first define that the snow depth at each pixel  $y_i$  (i = 1, ..., n) is a hidden variable which can be observed only with an added measurement error. In this study, we set the pixel size to 0.5 by 0.5 m, which corresponded to the LiDAR DEM resolution. The snow depth distribution (or field) is defined by a vector  $\mathbf{y} = \{y_i | i = 1, ..., n\}$ . We integrate three datasets: point-probe data  $z_p$ , GPR data  $z_g$ , and LiDAR DEM  $z_d$ . The goal of the estimation is to determine the posterior distribution of snow depth conditioned on all the given datasets,  $p(y|z_p, z_g, z_d)$ . Following a Bayesian hierarchical approach, we divide this posterior distribution into three sets of statistical submodels (Wikle et al., 2001; Wainwright et al., 2014; 2016). First, data models represent each data value as a function of snow depth at each pixel, depending on different data types. Second, process models describe the spatial distribution of snow depth (i.e., snow depth field) as function of topography and correlation parameters. Finally, *prior models* define the prior information of parameters. The hierarchical approach breaks down a complex posterior distribution into a series of simple models, and hence enables us to capture complex relationships easily. In addition to the snow field vector and data vectors, two parameter vectors are defined: the process-model

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parameter vector *a* to represent the heterogeneous pattern of snow depth, and the data-model

parameter vector **b** to describe the correlations between the snow depth and the GPR travel time.

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We assume a linear model to describe the snow depth field,

$$y = \mathbf{A}a + \mathbf{\tau} \tag{1}$$

where A is the design matrix as a function of the topographic metrics as explanatory variables

(and hence a function of DEM  $z_d$ ). The process-model parameter vector  $\boldsymbol{a}$  describes the

324 correlation between the topographic metrics and the snow depth field. We assume that the
 325 residual of this correlation τ represents the unexplained variability by the topographic metrics

and that  $\tau$  is spatially correlated. The residual term  $\tau$  is described by a multivariate normal

distribution with a covariance  $\Sigma$ , which is determined by a geostatistical analysis (Diggle and

328 Ribeiro, 2007).

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The data model for the probe measurements defines the probe data  $z_p$  as a function of snow depth

331 *y*:

$$\mathbf{z}_{p} = \mathbf{y} + \boldsymbol{\varepsilon}_{p} \tag{2}$$

We assume that the vector  $\mathbf{\varepsilon}_p$  is an uncorrelated normally-distributed measurement error at each data location with the standard deviation of  $\sigma_p$ . We determine the error based on the accuracy

estimate of each probe. The probe data vector  $z_p$  follows a multivariate normal distribution with

the mean vector y and the covariance matrix  $D_p$ , which is a diagonal matrix with with diagonal

elements of  $\sigma_p^2$ .

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- 339 The data model for the GPR data describes the GPR data  $z_g$  as a function of the snow depth y at
- 340 the GPR locations. The GPR data model can be represented by a linear model:

$$\mathbf{z}_{q} = b_{0} + \mathbf{B}\mathbf{y} + \boldsymbol{\varepsilon}_{q} \tag{3}$$

- 342 where B is a matrix, the diagonal elements of which is  $b_1$ . The error vector  $\varepsilon_g$  is an uncorrelated
- 343 normally-distributed measurement error with the standard deviation of  $\sigma_g$ . The standard
- 344 deviation is computed from comparing the GPR-based snow depth to the probe-based one. At the
- 345 same time, the GPR data model can be written as a function of the parameter vector **b** such that:

$$\mathbf{z}_g = \mathbf{Y}\mathbf{b} + \mathbf{\varepsilon}_g \tag{4}$$

- 347 where Y is the design matrix with the first column being y, and the second column being all one.
- 348 The parameter vector  $\mathbf{b} = \{b_1, b_0\}$  represents the linear correlations between the GPR data and
- 349 snow depth. This alternative model is useful during the estimation procedure described below.
- 350 The GPR data vector  $z_g$  follows a multivariate normal distribution with the mean vector y and the
- covariance matrix  $D_g$  that is a diagonal matrix with with diagonal elements of  $\sigma_g^2$ . 351

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- 353 The posterior distribution of the snow depth conditioned on the datasets  $p(y \mid z_d, z_p, z_g)$  is a
- 354 marginal distribution of  $p(y, a, b| z_d, z_p, z_g)$ . By applying Bayes's rule and following the
- conditional dependencies defined above, we can decompose this posterior distribution as: 355

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$$p(y, a, b \mid z_d, z_p, z_g) \propto p(z_g \mid y, b) p(z_p \mid y) p(y \mid a, z_d) p(a) p(b).$$
 (5)

- 357 Table 1 defines all the distributions on the right-hand side of Equation (5) based on the models
- 358 defined in Equations (1) – (4). We also assume multivariate normal distributions for the prior
- 359 distributions of the parameter vectors a and b. The posterior distribution in Equation (5) can be
- 360 computed using the Markov-chain Monte-Carlo method (Gamerman and Lopes, 2006). Since all
- 361 the distributions are defined as multivariate normal distributions, it is possible to use efficient



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Gibbs' algorithm. The MCMC procedure is described in Appendix A. The convergence can be
 confirmed by the Geweke's convergence diagnostic (Geweke, 1992).

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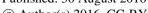




366 4. Results 367 4.1. Snow Depth Measurements 368 GPR Radar Velocity Analysis 369 Our results indicate that the estimated radar velocity does not have a systematic dependency on 370 (or trend with) the snow depth or topographic variability (Figures 2a and 2b). The variability of 371 the radar velocity, on the other hand, depends on those two factors (i.e., the variability of snow 372 depth and the one of topography). The variability is higher at shallower snow depth (Figure 2a), 373 and also in localized regions of large topographic variability (Figure 2b). By selecting the points 374 with a topographic variability < 0.05 m, we obtained a mean radar velocity of 0.25 m/ns, which 375 was used for subsequent analysis. 376 377 Using the mean velocity value, the calculated GPR-based snow depth estimates were compared 378 with the probe measurements (Figure 2c). The correlation between the measured and estimated is high, with the root mean square error (RMSE) being 5.4 cm, and with no significant under- or 379 380 overestimation (the mean bias error -0.16 cm). The selected points in the regions of low 381 topographic variability (red circles) are more tightly distributed around the one-to-one line. In 382 these regions, the RMSE of GPR-based snow depth improved to 2.9 cm. 383 384 Snow Depth Measurements in Different Polygon Types 385 Figure 3 shows the LiDAR DEM as well as snow probe measurements and GPR estimates in 386 Plots A-D. The LiDAR DEM (in the left column) illustrates the difference among four plots in 387 terms of both macro- and microtopography. For example, Plot A has better defined polygon rims 388 and troughs than Plot D, although Plot A and D are both low-centered polygons. Plot B has

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389 round-shaped high-centered polygons, while Plot C has flat-centered polygons with well-defined 390 troughs. The average size of polygons is also different, with smaller polygons in Plot B and 391 larger polygons in Plots A, C and D. In addition, these figures illustrate some macrotopographic 392 trends. Plot C is gradually sloping down towards the east, and Plot D has a depression (i.e., 393 DTLB) in the northeastern half. 394 395 The middle column in Figure 3 shows the snow probe data collected using the fine-grid and 396 coarse-grid scheme. The fine-grid data reveals the detailed heterogeneity of snow depth around a 397 single polygon. For example, the fine-grid data in Plot A show the snow depth distribution in a 398 low-centered polygon, including thin snow along the polygon rim and thick snow at the polygon 399 center and trough. Comparison of the fine-grid snow data with the DEM reveals that they are 400 mirror images of each other. The coarse-grid dataset covers the entire plot, although it is much 401 more difficult to ascertain the relationship between the snow depth and microtopography. The 402 probe data show that the snow depth is highly variable, ranging from 0.2 m to 0.8 m in a single 403 plot. 404 405 In the third column of Figure 3, the snow depth was estimated from GPR using a fixed radar 406 velocity 0.25 m/ns along the lines, and then interpolated with a simple linear interpolation in 407 between the lines. The GPR estimates clearly reveal the influence of microtopography on snow 408 depth at the resolution of a single-polygon scale and over the entire plot. The high-resolution 409 snow estimates over the large area allow us to visually identify the macrotopographic control on 410 snow depth. In Plot C, for example, the snow depth does not have an increasing or decreasing 411 trend, even though the elevation gradually decreases towards east. Plot D, on the other hand, has

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412 more snow accumulation in the eastern part of the domain, which is in the depression associated 413 with DTLB. 414 415 **UAS-based Snow Depth Measurements** 416 In the region of the transects, the UAS-derived snow-free DSM (Figure 4a) was first compared 417 with the GPS data in Table 2, using the different schemes to identify co-location. Taking the 418 average in the vicinity of each probe measurement provides the lowest RMSE (RMSE = 6.0 cm), 419 which is approximately the same as the LiDAR data (RMSE = 6.08 cm). The UAS-derived snow 420 depth estimates were obtained by differencing the snow surface and snow-free DSM (Figure 4b). 421 The comparison between the UAS-based snow estimates and the probe data are favorable 422 (Figure 4c), with a RMSE of 6.0 cm. When we removed the points that had a large topographic 423 variability in the vicinity (in the same way as the GPR snow depth analysis), the RMSE 424 improved to 4.6 cm (Figure 4c) 425 426 The UAS-derived snow depth (Figure 4b) reveals a similar pattern of snow distribution as the 427 GPR data in Figure 3, having deeper snow in the troughs and the centers of low-centered 428 polygons. The high-resolution image of the UAS data reveals more detail of the 429 microtopographic effect than the interpolated image of the GPR data in Figure 3, particularly in 430 the narrow troughs. The large aerial coverage also shows the effect of macrotopography: while 431 the elevation decreases towards south, the snow depth does not have a large-scale trend. 432 433 4.2. Snow Depth Variability over Tundra 434 Variability among Different Polygon Types

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Figure 5 shows the boxplots of the snow depth, elevation, and microtopographic elevation ( $\Delta$ elevation) in each plot. We used the coarse-grid probe measurements, since the samples are uniformly distributed over each plot. The median snow depth (Figure 5a) is fairly similar among four plots, even though they have different geomorphologic features and polygon types. Tukey's pairwise comparison test (Table 3) shows that only Plot B (small high-centered polygons) is significantly different from the other plots. The absolute elevation distribution varies among the four plots (Figure 5b), although the snow depth for each of the plots has similar median values and distributions. Plot A (well-defined lowcentered polygons), for example, is at a higher elevation than Plots C (flat-centered polygons) and D (low-centered polygons in DTLB), but the difference in the average snow depth is not statistically significant (Table 3). The microtopographic elevation is computed based on the wavelet transform with the scale of 32 m, removing the difference in the macrotopographic elevation among the four plots (Figure 5b). Plot D (low-centered polygons in DTLB), for example, has less variability in both elevation and snow depth, because Plot D has less distinct microtopography than others. In contrast, Plot B has large variability in both microtopography and snow depth. Correlations between Snow Depth and Topographic Indices Among the topographic indices of macro- and microtopography, the snow depth was significantly correlated only to the microtopographic elevation for all plots (Figure 6a). The correlation coefficient changes with the scale of the wavelet transform that separates micro- and macrotopography. The correlation coefficient is up to -0.8 at Plot B (small high-centered

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large portion of the snow depth variability.



458 polygons), and up to -0.7 at all the data points. The correlation coefficient is different among 459 different plots (i.e., different polygon types); the correlation is less significant at Plot D (low-460 centered polygons in DTLB), than other plots. The best correlation (i.e., the largest absolute 461 value) can be achieved at a different scale in each plot (Plot B < Plot A and Plot C < Plot D). 462 463 A significant correlation between snow depth and wind factor of macrotopography was identified 464 only in Plot D (low-centered polygons in DTLB; Figure 6b). The correlation coefficient is up to 465 0.41 at the scale of 38 m. Other topographic indices (i.e., the slope and curvature of both micro-466 and macrotopography, the wind factor of microtopography) are not shown here, since we did not 467 find any significant correlation. Although Dvornikov et al. (2015) reported a strong correlation 468 between snow depth and curvature (snow free DEM), we did not find any significant correlation 469 in our data. 470 471 Geostatistical Analysis of Snow Depth 472 Spatial correlation exists for all three variables: snow depth, snow surface, and residual snow 473 depth after removing the correlation to the microtopographic elevation (Table 4). The correlation 474 range is less than 20 m for the snow depth, which is consistent with the large variability in a short distance. The snow surface, on the other hand, has a larger correlation range (253 m). Such 475 476 a large correlation length is consistent with the field observation that the snow surface is smooth 477 across the site. The variance is comparable between the snow depth and snow surface, while the

variance is much lower in the residual snow depth, since the topographic correlation explains a

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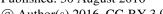
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4.3. Snow Depth Estimation based on LiDAR DEM 482 Based on the snow-topography analysis in Section 4.2, we included the linear correlation 483 between snow and microtopographic elevation in Equation (1) to describe the snow variability. 484 The first column of the design matrix A is the microtopographic elevation at all the pixels, and 485 the second one is a vector of all ones. The parameter vector  $\boldsymbol{a}$  is a 2-by-1 vector with the linear 486 correlation parameters (slope and intercept). The Bayesian method (Section 3.4) yielded 10,000 487 equally likely fields of the snow depth from the posterior distribution in Equation (5). 488 The estimated mean snow-depth field over the entire study region (Figure 7a) captures the effects 489 490 of microtopography, such as more snow accumulation in polygon troughs and centers of low-491 centered polygons. The snow depth does not have a large-scale trend over the domain, which is 492 different from the LiDAR DEM in Figure 1, but consistent with the ground-based measurements 493 (Figure 3 and 4). The variability is larger in the southern region where there are high-centered 494 polygons with deep troughs. In addition, we compared this result with the mean field based on 495 the kriging-based interpolation of the snow surface elevation (Diggle and Ribeiro, 2007) and 496 subtracting the ground surface elevation (Figure 7b). The two mean fields are similar, 497 particularly in the central regions that have many measurements. 498 499 The estimated standard deviation of snow depth over the region (Figure 8a), on the other hand, 500 shows a significant difference from the one based on the snow surface interpolation (Figure 8b). 501 This standard deviation represents the uncertainty in the estimation. In both cases, the standard 502 deviation is smaller near the measurement locations along the transects and within the four plots. 503 However, when the topographic correlation is included (Figure 8a), the standard deviation

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504 increases more rapidly as the pixel is farther away from the data points. This is due to the fact 505 that the spatial correlation range is small for the residual snow depth after removing the 506 topographic correlation (Table 4). 507 508 Validation of the snow depth estimates over the study area was performed by comparing the 509 estimates with the probe data not used in the procedure (randomly selected). The validation 510 results (Figure 9) show that the estimated confidence interval captures the probe-measured snow 511 depth. The estimated snow depth is distributed along with the one-to-one line without any 512 significant bias. The estimation, including the topographic correlation (Figure 9a), has a tighter 513 confidence interval and better estimation results than the one from interpolating the snow surface 514 (Figure 9b). The RMSE for including the topographic correlations is 6.0 cm, while the one for 515 interpolating the snow surface is 8.8 cm. 516

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#### 5. Discussion

#### 5.1. Different Observational Platforms

Our analysis showed that GPR data provided the end-of-winter snow depth distribution with high accuracy (RMSE = 2.9 cm) and resolution (10 cm along each line). The GPR-based estimation requires care, particularly regarding the estimation of radar velocity and associated possible errors, such as those due to positioning. Although the radar velocity is known to depend on the snow density, we attribute the variability of radar velocity at our site to random or positioning errors. Three results support this claim. First, the variability is smaller in a thicker snow pack, suggesting the small contribution of the error relative to the overall snow depth. Second, the radar velocity variability depends on the variability of the topography in the vicinity of the calibration points, suggesting the impact of positioning errors. Third, there was no systematic trend in the radar velocity as a function of the snow depth or topographic positions. We developed a simple methodology to select co-located calibration points based on the variability of topography, which proved to be useful to compute accurate velocity. We note that the snow density could be variable vertically along the depth; we indeed found some layers of ice created by winter rain events in the middle of the snow pack. It is possible that there might be a difference in the depth-averaged density and radar velocity at a later time, when the snow pack starts to melt in a heterogeneous manner. UAS-based PhoDAR provided an attractive alternative for estimating snow depth at high resolution over a large area. With much less labor and time, UAS can provide many more sample points than GPR. The UAS-based snow depth, however, was less accurate than ground-based

GPR or point probe measurements (RMSE = 6.0 cm). The main contribution of this error

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541 resulted from the snow-free elevation, since RMSE for the surface DSM is around 6 cm. We note 542 that the RMSE of 6.0 cm is still significantly more accurate than the previous LiDAR and other 543 airborne surveys (e.g., Deems et al., 2013; Harpold et al. 2014; Nolan et al., 2015). 544 545 The UAS-based approach is expected to continue its trajectory of continuous improvements in 546 terms of technical aspects, ease of use, and accuracy. At the time of our campaign, we were 547 allowed to use only a kite due to regulations, which led to a limited number of pictures that could 548 be used to reconstruct the DSM. The accuracy will significantly improve with the use of a light 549 unmanned aerial vehicle (UAV). Although UAS-based LiDAR acquisition technology continues 550 to improve (e.g., Anderson and Gaston, 2013), and is expected to be a powerful alternative to 551 characterize snow, the LiDAR device is still significantly more expensive than a conventional 552 camera (roughly by factor of 100). Given that the vegetation height is fairly small in the Arctic 553 tundra, the PhoDAR technique is an affordable option. 554 555 5.2. Snow Depth Variability 556 The end-of-year snow depth distribution at the ice-wedge polygons was highly variable over a 557 short distance. The snow depth was, however, significantly correlated with the microtopographic 558 elevation, suggesting that the snow depth could be described by microtopography. The wind-559 blown snow transport leads to significant snow redistribution, and fills microtopographic lows 560 (i.e., troughs and centers of low-centered polygons) with thicker snow pack (e.g., Pomeroy et al., 561 1993). The redistribution also results in the smooth snow surface, following the 562 macrotopography. The exception was observed at the edge of the DTLB, where the abrupt 563 change in macrotopography led to increased accumulation in the depression. This is a similar

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564 effect to that observed along the riverbanks by Benson and Sturm (1993). Although the tundra 565 ecosystem studies have focused on the effect of microtopography (e.g., Zona et al., 2011), the 566 macrotopography also may be important when we characterize snow distribution over a larger 567 area. 568 569 The "average" snow depth over a hundred-meter scale, on the other hand, was fairly uniform 570 across the site despite the different polygon types. Plot A (well-defined low-centered polygons) 571 and C (flat-centered polygons), for example, have different polygon types, but they have a 572 similar average snow depth. This is because microtopography and microtopographic features 573 (i.e., polygon troughs, rims) mainly control the snow distribution. Plot B (small high-centered 574 polygons) is an exception, having smaller median snow depth than the other plots. Plot B has the 575 largest variability in microtopography, characterized by the small round high-centered polygons, 576 like numerous small mounds (Figure 3). Such mounds are prone to erosion by the wind, and 577 hence lead to less snow trapping and accumulation. 578 579 Identifying such correlations between snow depth and topography requires an effective approach 580 to separate micro- and macrotopography. Our wavelet analysis revealed that the separation scale 581 depends on the polygon sizes; for example, the larger polygons in Plot A (well-defined low-582 centered polygons) and C (flat-centered polygons) lead to a larger separation scale than the 583 smaller polygons in Plot B (small high-centered polygons). It is a challenge to map 584 macrotopography accurately over a larger area, particularly at the present site, where different 585 types and sizes of polygons mix. Although we used the same scale for the estimation, the

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586 improved polygon delineation algorithm will possibly enable us to separate micro- and 587 macrotopography in the future (e.g., Wainwright et al., 2015). 588 589 5.3. Snow Depth Estimation 590 The developed Bayesian approach enabled us to estimate the snow depth distribution over a large 591 area based on the LiDAR DEM and the correlation between the snow depth and topography. 592 Although this paper only used the ground-based GPR and probe measurements collected at the 593 same time, UAS could be easily included in the same framework. The Bayesian method allowed 594 us to integrate three types datasets (LiDAR DEM, probe and GPR) in a consistent manner, and 595 also provided the uncertainty estimate for the estimated snow depth. Taking into account the 596 topographic correlation explicitly improved the accuracy of estimation significantly, compared to 597 interpolating the snow surface and subtracting the DEM. 598 599 Our approach can be extended to snow estimates over both time and space. The correlations 600 between snow depth and topography may change over time. In early and later winter, for 601 example, the snow depth would be more affected by curvature and slope of microtopography, 602 since the microtopographic lows (troughs and centers of the low-centered polygons) are not 603 filled by snow. It would be possible to quantify the changes in the topography-snow correlations 604 by designing ground-based measurements and remote sensing snow surface measurements (by 605 UAS). The Bayesian method presented here is flexible enough to account for changes in 606 parameters over time for the spatial-temporal data integration (e.g., Wikle et al., 2001). Although physically-based snow distribution models can be used for the same purposes (e.g., Pomeroy et 607 608 al., 1993; Liston and Sturm, 1998; 2002), it is difficult to parameterize all the processes, such as





- sublimation and turbulent transport. Our data-driven approach provides a powerful alternative to
- distribute snow depth based on various datasets.

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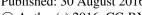
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611 6. Summary 612 In this study, we explored various strategies to estimate the end-of-year snow depth distribution 613 over an Arctic ice wedge polygon tundra region. We first developed an effective methodology to 614 calibrate GPR and UAS in the presence of complex microtopographic variability. We then 615 investigated the characteristics and accuracy of three observational platforms; point probe, GPR 616 and UAS. Although UAS showed a great potential for characterizing the snow depth over a large 617 area, the ground-based observations were still more accurate. 618 619 We investigated the spatial variability of the snow depth and its dependency on the topographic 620 metrics. At the peak snow depth during our data acquisition, the snow depth was highly 621 correlated with microtopographic elevation, although it was highly variable over short distances. 622 The wind redistribution created a smooth snow surface, following macrotopography at the site. 623 The challenge was to separate macro- and microtopography, since the separation scale was not 624 arbitrary, and depended on the polygon size. The wavelet analysis provided an effective 625 approach to identify this separation scale. 626 627 The Bayesian method was effective at integrating different measurements to estimate snow depth 628 distribution over the site. Although our estimation is based on the data collected from a one-time 629 campaign, and the correlations to topography may change over time, the approach developed 630 here is expected to be extensible for estimating both spatial and temporal variability of snow 631 depth and for exploring the influence of snow depth on ecosystem functioning.

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633 Appendix A

- In MCMC, we sample each variable sequentially conditioned on all the other variables. In other 634
- 635 words, when we update one variable (or one vector), we assume that the other variables are
- 636 known and fixed. After sampling thousands of sets of the variables, the distribution of those
- 637 samples converges to the posterior distribution. Each vector is sampled as follows:

638

639 The snow depth field is sampled from the distribution:

640 
$$p(\mathbf{y} \mid \bullet) = p(\mathbf{y} \mid \mathbf{a}, \mathbf{b}, \mathbf{z}_d, \mathbf{z}_g, \mathbf{z}_p) \propto p(\mathbf{z}_g \mid \mathbf{y}, \mathbf{b}) p(\mathbf{z}_p \mid \mathbf{y}) p(\mathbf{y} \mid \mathbf{a}, \mathbf{z}_d)$$
(A.1)

- 641 where "•" represents all the other variables. The distribution is decomposed to a series of small
- 642 conditional distributions defined in Table 1. Similarly, we can sample the snow-process
- 643 parameters *a* and GPR-data parameter *b* from the distributions:

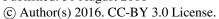
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$$p(\boldsymbol{a} \mid \boldsymbol{\bullet}) = p(\boldsymbol{a} \mid \boldsymbol{y}, \boldsymbol{h}) \propto p(\boldsymbol{y} \mid \boldsymbol{h}, \boldsymbol{a}) p(\boldsymbol{a})$$
 (A.2)

645 
$$p(\boldsymbol{b} \mid \bullet) = p(\boldsymbol{b} \mid \boldsymbol{v}, \boldsymbol{z}_{g}) \propto p(\boldsymbol{z}_{g} \mid \boldsymbol{v}, \boldsymbol{b}) p(\boldsymbol{b})$$
(A.3)

- 646 Since all the distributions in Equation A.1–A.3 are multivariate Gaussian, we can use the
- 647 conjugate prior to compute an analytical form of each distribution. Each distribution is
- 648 multivariate Gaussian with the covariance and mean vector defined in Table A.1. In the Gibbs'
- 649 sampling algorithm, we sample each variable vector sequentially until the distributions are
- 650 converged.

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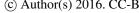




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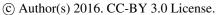




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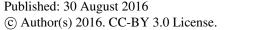
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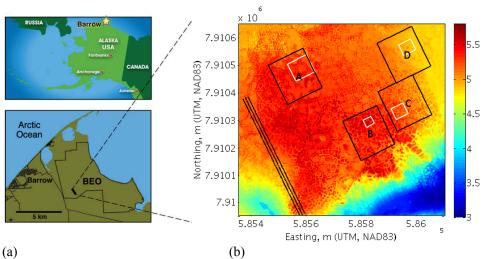


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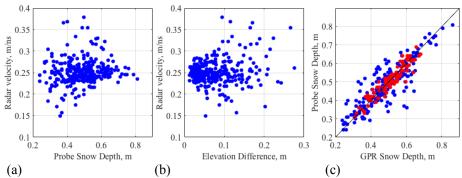


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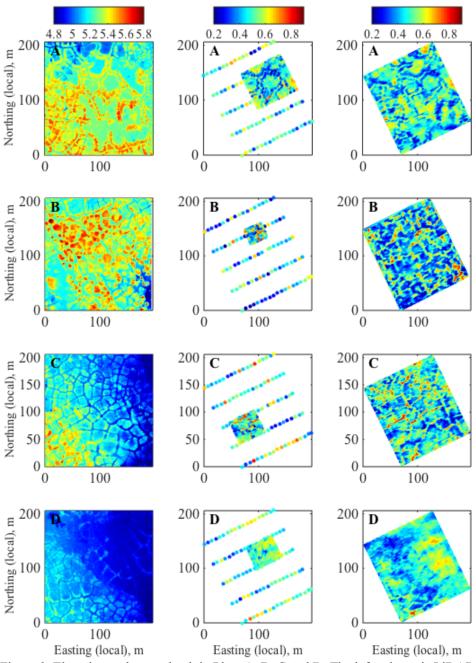
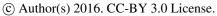


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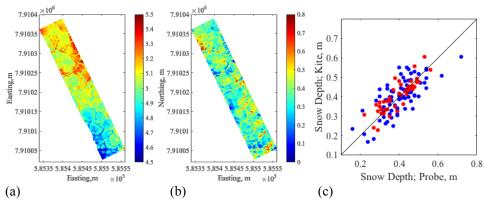


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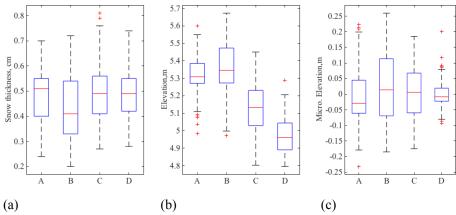


Figure 5. Boxplots of (a) snow depth and (b) elevation and (c) microtopographic elevation in Plots A-D.

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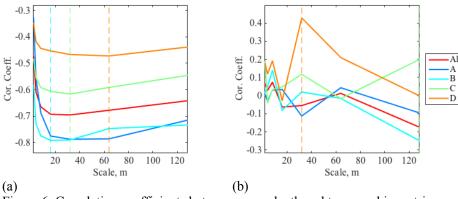


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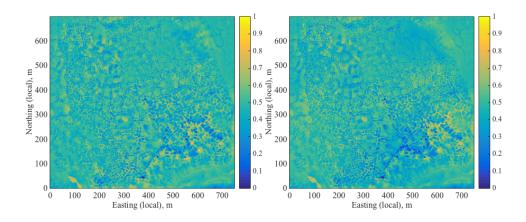




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892 893 (a) (b)

Figure 7. The estimated mean snow depth across the site (in meters) based on (a) the proposed Bayesian method including the correlation to microtopography, and (b) the kriging-based interpolation of the snow surface. The spatial extent is the same as Figure 1b.

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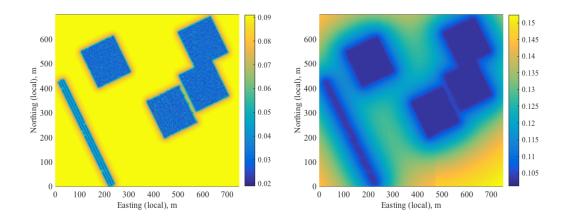
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(b)

Figure 8. The estimated standard deviation of snow depth across the site (in meters) based on (a) the proposed Bayesian method including the correlation to microtopography, and (b) the krigingbased interpolation of the snow surface. The spatial extent is the same as Figure 1b.



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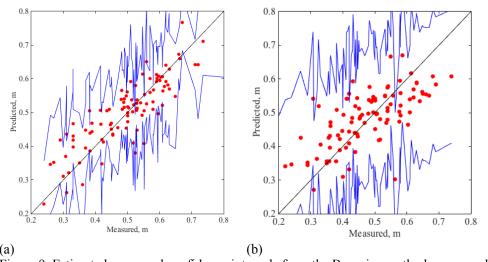


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Table 1. Multivariate normal distribution defined for each variable.

Variable		Type	Distribution	Covariance	Mean vector
Snow depth	y	Process model	$p(\mathbf{y} \mathbf{a}, \mathbf{z}_{d})$	Σ	Aa
Probe data	$z_{\rm p}$	Data model	$p(\mathbf{z}_{p} \mathbf{y})$	$D_p$	y
GPR data	$z_{\mathrm{g}}$	Data model	$p(\mathbf{z}_{\mathbf{g}} \mathbf{y},\mathbf{b})$	$D_{g}$	$\mathbf{B}\mathbf{y} + b_0$
Snow-depth parameters	a	Prior	$p(\boldsymbol{a})$	$V_a$	$\mu_a$
GPR parameters	b	Prior	$p(\boldsymbol{b})$	$V_b$	$\mu_b$

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Table 2. Root mean squared error (RMSE) between the UAS-derived DSM and GPS elevation measurements based on the three schemes: nearest neighbor, average, and minimum elevation within the 0.5 m radius.

	Nearest (cm)	Average (cm)	Minimum (cm)
July 2013	6.88	6.41	6.62
August 2014	6.40	6.19	6.34

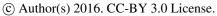






Table 3. p values from Tukey's pairwise comparison test for each pair of the plots.

	Snow depth
Plot A – Plot B	6.34 x 10 <sup>-3</sup>
Plot A – Plot C	0.982
Plot A – Plot D	0.998
Plot B – Plot C	1.72 x 10 <sup>-3</sup>
Plot B – Plot D	$3.55 \times 10^{-3}$
Plot C – Plot D	0.997

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Table 4. Estimated geostatistical parameters and covariance models for snow depth, snow surface and residual snow depth.

	Model	Range (m)	Variance (m <sup>2</sup> )	Nugget Ratio
Snow depth	Exponential	12.3	1.6 x 10 <sup>-2</sup>	0.0
Snow surface	Spherical	253.3	2.0 x 10 <sup>-2</sup>	0.16
Residual snow depth	Exponential	15.0	8.3 x 10 <sup>-3</sup>	0.0

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## 928 Table A.1. Posterior distributions during the Gibbs sampling

Variable		Covariance, Q	Mean vector
Snow depth	у		$Q(B^TD_g^{-1}(z_g-b_0)+D_p^{-1}z_p+\Sigma^{-1}A\boldsymbol{a})$
Snow depth parameters	а	$(\mathbf{A}^T \Sigma^{-1} \mathbf{A} + \mathbf{V_a}^{-1})^{-1}$	$Q(A^T \Sigma^{-1} y + V_a^{-1} \mu_a)$
GPR parameters	b	$(H^{T}D_{g}^{-1}H+V_{b}^{-1})^{-1}$	$Q(B^{T}D_{g}^{-1}(z_{g}-b_{0})+V_{b}^{-1}\mu_{b})$