



1 Effects of Seasonal Snow Cover on Hydrothermal Conditions of the Active Layer in  
2 the Northeastern Qinghai-Tibet Plateau

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12 **Abstract.** Snow cover significantly influences the moisture and thermal properties of the active  
13 layer in permafrost regions. Seasonal snow cover, soil temperature, and moisture were monitored  
14 in the northeastern Qinghai-Tibet Plateau (QTP) from December 2012 to February 2015.

15 According to field data, the following conclusions were drawn. (1) The snow season in this region  
16 is predominantly during spring (March to May) and autumn (September to November), the  
17 thickness of individual snowfall events is usually less than 5 cm, and the duration of land surface  
18 snow cover is generally no longer than 5 days. (2) Removal of seasonal snow cover is beneficial  
19 for cooling the active layer in a whole year and in other seasons with the exception of summer.

20 Further analysis on the ground temperature in the active layer shows that the cooling effect of the  
21 snow removal maybe results from the high thermal resistivity of snow, the delay of snowfall time  
22 in autumn, and the drastic decrease of moisture content in the active layer. (3) Seasonal snow  
23 cover maintains the high water content of the active layer. Snow removal can therefore lead to a  
24 rapid decrease of soil moisture content. A small decrease in water content of the active layer at the  
25 natural snow site (NSS) is related with less rainfall during the monitoring period. Significant  
26 differences between the NSS and the snow removal site (SRS) may depend predominantly on the  
27 inhibitory action of snow cover on the evaporation capacity of surface soil because of its cooling  
28 and shading effects during the daytime and in summer.

29 **Keywords:** Seasonal snow cover, Active layer, Soil temperature, Soil moisture, Qinghai-Tibet  
30 Plateau



## 31 1. Introduction

32 The active layer is defined as the top layer of ground that is subject to annual thawing and  
33 freezing in areas underlain by permafrost (Washburn, 1979). The active layer over permafrost  
34 plays a significant role in the surface energy balance, the hydrologic cycle, carbon exchange  
35 between the atmosphere and the land surface, ecosystems, landscape processes, and human  
36 infrastructure in cold regions (Brownet al., 2000; Lemkeet al., 2007; Wang et al., 2009; Han et al.,  
37 2010). Due to the impact of global climate change and human engineering activities, active layer  
38 thickness and temperature have increased over the past few decades in the Arctic, Antarctic,  
39 Alpine, QTP, and other areas (Brown et al., 2000; Jin et al., 2000; Zhao et al., 2000; Harris et al.,  
40 2003; Nelson et al., 2004; Zhao et al., 2004; Wu and Liu, 2004; Zhang et al., 2005; Cheng and Wu,  
41 2007; Zhao et al., 2008; Wu and Zhang, 2010; Zhao et al., 2010; Wu et al., 2012; Guglielmin and  
42 Vieira, 2014).

43 Aside from the climate and human activities, changes in the active layer are strongly linked  
44 to factors such as the physical and thermal properties of the surface soil, vegetation, soil moisture  
45 content, and seasonal snow cover (Brown et al., 2000; Hinkel et al., 2003). Seasonal snow cover  
46 has significant and complex effects on the hydrothermal regime of the active layer as a result of its  
47 unique thermal properties. The high albedo of snow cover (98%) is helpful for reducing the snow  
48 surface temperature. In high latitude areas, the average temperature of the nival surface in winter  
49 is 0.5-2.0 °C lower than the air temperature (Weller, 1974; Yershov, 1998). The large latent heat  
50 (335 kJ/kg) delays the snow cover thawing process and the ground heating rate by a significant  
51 amount (Zhang, 2005). In addition, the evaporation of snow meltwater can also help to reduce the  
52 land surface temperature. Good thermal insulation occurs in thick layers of snow because of the  
53 small thermal conductivity coefficient of snow cover (0.15 W/m·k) (Zhang et al., 1996). However,  
54 the thermal conductivity coefficient of snow cover is not fixed (Sturm et al., 1997). Monitoring  
55 results from the Alps indicate that the increase rate of the snow cover thermal conductivity  
56 coefficient is 0.01 W/m·k·d (Morin et al., 2010). A remarkable increase in this value, even by an  
57 order of magnitude (Reimer, 1980), can be caused by the wind (Yen, 1965).

58 Dramatic spatio-temporal differences in the effects of snow cover on the active layer have  
59 been observed due to the thermal properties mentioned above (Zhang, 2005). In high latitude areas  
60 with thick snow cover, the temperature of both the active layer underneath the snow cover and the  
61 permafrost is often significantly higher than that of bare land, with a 20 °C temperature difference  
62 in some areas (Smith, 1975). In Alaska, ground temperatures at depths of 0.29 m and 3.0 m  
63 dropped by 1.48 °C and 0.72 °C, respectively, when the snow cover thickness reduced from 40 cm  
64 to 20 cm (Ling and Zhang, 2006). Daniel (2001) discovered that snow cover with a thickness  
65 greater than 80 cm will have remarkable thermal insulation, and a decrease of 10 cm in snow  
66 cover thickness can reduce the mean annual ground temperature (MAGT) by 0.3 °C. In the Amur  
67 region of the Greater Khingan Mountains, snow cover 21-36 cm thick can increase the mean  
68 annual ground surface temperature (MAGST) by 2.8-5.0 °C (Liang et al., 1993). In the Altai  
69 Mountains in northwestern China, seasonal snow cover increases the temperature difference  
70 between the ground surface and the atmosphere, which reaches 4.6-7.0 °C in the lower mountain  
71 belt and 10°C in the medium mountain belt (Tong et al., 1986). In contrast with the thermal  
72 insulation generally discovered in the Arctic Pole and the subarctic region, the effects of snow  
73 cover on active layer temperature in the Antarctic Pole and mid-latitude regions are linked to snow  
74 cover thickness. In the Antarctic continent, a cooling effect was observed when the snow cover



75 thickness was less than 0.6 m (Goyanes et al., 2014; Guglielmin et al., 2014). In mid-latitude areas  
76 of the Alps, results from bottom temperature of snow (BTS) measurements indicate that 0.8 m is  
77 the critical thickness for thermal insulation of the snow cover (Keller and Gubler, 1993), while  
78 numerical simulation results show a critical thickness of 0.6 m (Luetschg et al., 2008). Jin et al  
79 (2008) analyzed previous research data and proposed that, in eastern parts of the QTP, thermal  
80 insulation occurs in seasonal snow cover when its thickness is more than 20 cm, which is similar  
81 to monitoring results from the Qilian Mountain ice groove (Hao et al., 2009) and predictions using  
82 the Coupmodel (Zhou et al., 2013). In addition, snow cover formation and thawing time can also  
83 deeply influence the active layer temperature. Daniel (2001) analyzed the thermal regime of the  
84 active layer over the Corvatsch site in the Alps and found that snow cover 5-15 cm thick in late  
85 autumn could more effectively cool the shallow soil mass.

86 Snow cover influences not only the temperature and thickness of the active layer, but also the  
87 soil moisture content. In spring, water content in the active layer increases remarkably, even  
88 reaching saturation conditions, because of the infiltration of melted snow (Hinzman et al., 1991;  
89 Hinkel et al., 2001). In winter, the permafrost shell thickness of the surface layer significantly  
90 influences the infiltration of melted snow, while a permafrost shell more than 0.4 m thick could  
91 impede infiltration (Iwata et al., 2011). Using observation results from high latitude areas, the  
92 SNOW-17 snow cover energy and water balance model has been developed, which theoretically  
93 discusses the effects of seasonal snow cover on the water content of the active layer (Anderson,  
94 1976).

95 Previous studies have shown that seasonal snow cover remarkably influences the  
96 hydrothermal regime of the active layer, producing significant spatio-temporal differences. In this  
97 study, the western section of the Qilian Mountains in the northeastern QTP is investigated, where  
98 mountain island permafrost dominates (Li et al., 2012; Li et al., 2014), and a wide distribution of  
99 snow cover exists (Zeng et al., 1985; Chen et al., 1991). During the period from 2003 to 2010,  
100 there has been a remarkable decrease in the number of average snow days and a gradual increase  
101 in the stable snow cover (Sun et al., 2014). Because of differences in geographical location, the  
102 area in this study differs significantly from the more commonly studied high latitude and Alpine  
103 regions with respect to radiation, climate, and snow cover characteristics. Recent studies on snow  
104 cover effects on the active layer in this area have mainly focused on numerical simulations and the  
105 shallow soil layer at a depth of about 50 cm (Jin et al., 2008; Wang et al., 2011; Zhou et al., 2013;  
106 Xiang et al., 2013). As the active layer thickness of the Qinghai-Tibet Plateau is usually 2-3 m  
107 (Wu and Zhang, 2010), it is very difficult to objectively evaluate the effects of seasonal snow  
108 cover on the hydrothermal regime of the active layer in this area without deep hydrothermal  
109 monitoring.

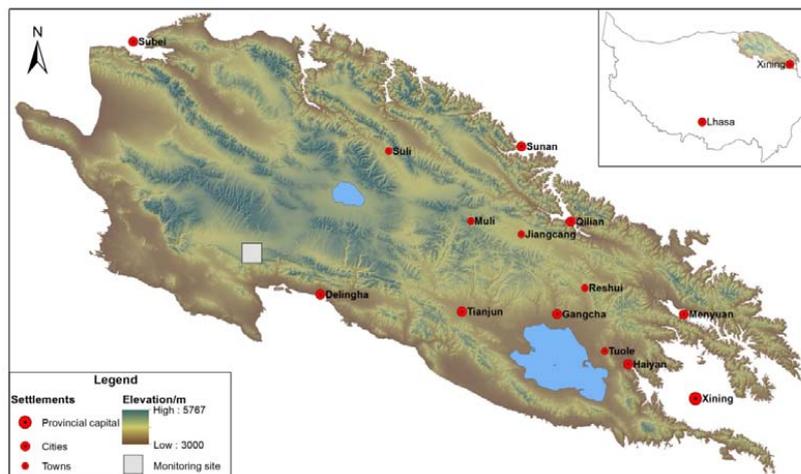
## 110 **2. Description of Monitoring Site and Equipment**

### 111 **2.1 Description of monitoring site**

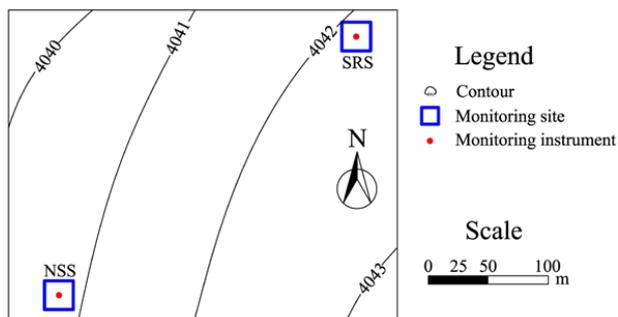
112 The monitoring snow site, including the NSS and SRS, is located in the Yashatu basin of the  
113 western Qilian Mountains in the northeast of Qinghai-Tibet Plateau, about 80 km from Delingha  
114 city, Haixi Prefecture, Qinghai Province in the southeast and about 30 km from the Qaidam Basin  
115 margin in the south, at 96.516° E and 37.6952° N (Fig.1a, b). The average altitude of this site is  
116 approximately 4040 m. The snow site and its surrounding areas are flat with a maximal gradient of  
117 0.5°. The Zongwulong Mountain, which runs nearly east to west at an altitude of 4500 m, is  
118 located between the Yashatu basin where the snow site lies and the Qaidam Basin. There is a



119 remarkable difference in the climate of Yashatu and Delingha city, attributed to influences of the  
 120 Zongwulong Mountain and the altitude contrast.  
 121 a. Site location



122  
 123 b. Layout of the NSS and SRS, and the positions of the instruments



124  
 125 c. Semi-desert landscape



d. Vegetation near the river



126  
 127 Figure 1 Location and layout of the NSS and SRS, the positions of the instruments, and typical  
 128 landscapes over the Yashatu basin in the Qilian Mountains, QTP

129 According to monitoring results from Yashatu Basin during the period from November 2009  
 130 to February 2015, the region has a minimum air temperature of  $-32.6\text{ }^{\circ}\text{C}$ , a maximum air



131 temperature of 22.9 °C, an average annual temperature of -3.0-4.5 °C, an atmospheric pressure of  
 132 610-630 hpa, and an annual precipitation of 100-200 mm. West, south, and southeast are the  
 133 predominant wind directions in this area where the mean annual wind speed is 3.3 m s<sup>-1</sup> and the  
 134 mean annual maximum half-hour wind speed is 18.3 m s<sup>-1</sup>. Except for the two sides of the river  
 135 where *Myricaria prostrata* and some *Koeleria tibetica* are found, other parts of the Yashatu Basin  
 136 have vegetation coverage below 20%. Some areas even have bare surfaces, and describe typical  
 137 half-desert or desert landscapes (Figure 1c and d).

138 According to drilling results from 2009 and pitting results from 2010, the depth of the active  
 139 layer in the snow site is 3.0-4.0 m, mainly consisting of sandy soil, sandy-gravelly soil, gravelly  
 140 soil, and mudstone. Mudstone is located 5.0 m below the NSS and 3.6 m below the SRS (Figure 2),  
 141 where the total ice content is less than 10%. Based on a less than 0.1 °C standard temperature  
 142 fluctuation, the annual ground temperature propagation depth of the snow site is less than 5.0 m,  
 143 and the MAGT increased from -0.5 °C in 2009 to -0.4 °C in 2014. The MAGT at a depth of 15.0 m  
 144 fluctuated between -0.32--0.30 °C during the period from 2009 to 2014, yet, due to the accuracy of  
 145 the equipment and the probe, there has been effectively no change in ground temperature at a  
 146 depth of 15.0 m over the past 4 years.

147

a-NSS

Site type		NSS	Longitude	96°31'	Latitude	37°42'	Vegetation cover	<20%
Total depth		15 m	Altitude	4040 m	Slope gradient	0.5°	Slope orientation	225°
Depth /m	Thick. /m	Column 1:100	Stratigraphic description			W.C.		Notes
						Depth /m	%	
0.6	0.6		Sandy-gravelly soil, earthy yellow, slight wet			0.5	5.5	In this graph, W.C. is derived from weight method and represents the mass water content in the active layer.
						Sandy-gravelly soil, yellowish brown, gravel content equal to approximate 20%, water saturated		
			Sandy soil, reddish, with a few silty clay sandwich, frozen, ice rich					
						Silty sand, steel gray, slightly sticky, frozen, total ice poor except for several ice-rich soil layer		
3.0	2.4		Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
						Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
			Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
4.3	1.3					Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
5.0	0.7		Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
6.0	1.0					Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
			Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					

148

149

b-SRS

Site type		SRS	Longitude	96°31'	Latitude	37°42'	Vegetation cover	<10%
Total depth		50 m	Altitude	4043 m	Slope gradient	0.5°	Slope orientation	305°
Depth /m	Thick. /m	Column 1:100	Stratigraphic description			W.C.		Notes
						Depth /m	%	
0.4	0.4		Sandy soil with little gravel, yellowish brown			0.3	2.9	In this graph, W.C. is derived from weight method and represents the mass water content in the active layer.
0.8	0.4					Gravelly soil, steel gray, proportion of rock with diameter > 2 cm is more than 30%, water saturated		
			Sandy soil with a lot of gravel, gray, proportion of rock with diameter > 2 mm is about 20%					
						Gravelly soil, steel gray, proportion of rock with diameter > 2 mm is about 60%, secondary psephicity; 2.7 m-3.0 m, water saturated, 3.0 m-3.5 m, moist; 3.5 m-3.6 m, frozen, ice poor		
2.0	1.2		Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
						Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
			Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
3.6	0.9					Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
			Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					
6.0	2.4					Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer		
			Mudstone, red, very sticky, frozen, total ice content <10%, except for seldom ice-rich soil layer					

150

151

Figure 2 Lithological column based on test pit and borehole data from the NSS and the SRS



152 The field survey of the permafrost site in the Yashatu Basin was carried out in March 2009  
 153 and the borehole study was completed by the end of September. Ground temperature and  
 154 meteorological equipment was installed and used for monitoring by the end of November. Air  
 155 temperature and humidity were simultaneously monitored by CR3000 (HMP45C) and Hobo  
 156 (S-THB-M002). Two monitoring locations with a separation distance of approximately 300 m  
 157 were established by May 2010 (Figure 1b), the NSS and the SRS, which had similar ground  
 158 vegetation (Figure 1c) and lithologies (Figure 2) i.e. semi-desert landscape with sparse vegetation.  
 159 Monitoring results during the period of 2010-2012 indicated that the difference in mean annual  
 160 ground temperature between the two locations was less than 0.05 °C and the maximum snow  
 161 season was approximately 2 months.

162 The hydrothermal probe for the active layer was established by May 2010. Two sets of  
 163 monitoring devices were installed in the center of the two locations (Figure 1b) in order to perform  
 164 a comparative study on the effects of snow cover. In 2012, the two sets of monitoring devices were  
 165 upgraded. Surface albedo and surface infrared temperature probes were added to one site to  
 166 monitor the snow removal site, and probes for surface albedo, surface infrared degrees, ultrasonic  
 167 snow depth, and shallow soil thermal flux were added to the other set of equipment to monitor the  
 168 natural snow site. Detailed information on the type, model, properties, and quantity of the probes  
 169 is listed in Table 1.

170 Table 1 Characteristics of probes used at the Yashatu snow site. AT&H = Air temperature and  
 171 humidity, GST = ground surface temperature, SM = soil moisture, and ST = Soil temperature

Types	Versions	Accuracy	Ranges	Numbers		Brand	Notes
				SRS	NSS		
Wind	05103-L	$\pm 1 \text{ m s}^{-1}$	0-100 $\text{m s}^{-1}$	1	/	R.M. Young	/
AT&H	HMP45C	2% RH, $\pm 0.2 \text{ }^\circ\text{C}$ (20 $^\circ\text{C}$ )	0.8%-100%RH, -40 $^\circ\text{C}$ to +60 $^\circ\text{C}$	1	/	Vaisala	/
	S-THB-M002	2.5% RH, $\pm 0.2 \text{ }^\circ\text{C}$ (+50 $^\circ\text{C}$ )	0%-100% RH, -40 $^\circ\text{C}$ to +75 $^\circ\text{C}$	1	/	Hobo	/
Barometer	CS106	$\pm 1.5 \text{ mb}$	500-1100 mb	1	/	Vaisala	/
Rain gauge	TRWS 200E	0.1%	750 mm	1	/	MPS SYSTEM	/
Albedo-1	NR01	$< 15 \text{ W m}^{-2}$	0-2000 $\text{W m}^{-2}$	1	/	Hukseflux	/
Albedo-2	240-8104	$< 1 \text{ W m}^{-2}$	0-1500 $\text{W m}^{-2}$	/	1	Novalynx	/
GST	SI-111	$\pm 0.2 \text{ }^\circ\text{C}$	-40-70 $^\circ\text{C}$	1	1	Apogee	/
Snow depth	260-700	$\pm 1 \text{ cm}$	0.5-10 m	/	1	NovaLynx Corporation	/
Thermal flux	HFP01	50 $\mu\text{V}/$ $\text{W m}^{-2}$	-2000-+2000 $\text{W m}^{-2}$	2	2	Hukseflux	※1
SM-1	CS616-L	$\pm 2.5\%$	0-100%	10	/	Campbell Scientific, Inc.	※2
SM-2	SM300	$\pm 2.5\%$	0-50%	/	10	SPECTRUM	※2
ST	SKLFSE-TS	$\pm 0.05 \text{ }^\circ\text{C}$	-30- + 30 $^\circ\text{C}$	10	10	/	※3

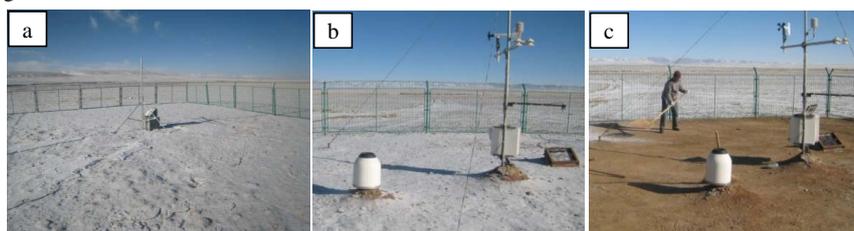
172 Notes: ※1: The heat flux plates are laid at depths of 5 cm and 10 cm. ※2: The soil moisture probes are laid at  
 173 depths of 5 cm, 20 cm, 40 cm, 80 cm, 120 cm, 160 cm, 200 cm, and 250 cm. ※3: The soil temperature probes are  
 174 laid at depths of 5 cm, 20 cm, 40 cm, 80 cm, 120 cm, 160 cm, 200 cm, 250 cm, 300 cm, and 400 cm.

175 The propagation rate of sonic waves was adjusted by using the existing temperature probe of  
 176 the monitoring site to enhance measurement accuracy of the snow depth. In the QTP, the snow



177 cover thickness of the shallow ground is usually less than 6cm, and the duration of snow cover is  
178 generally 2-3 days (French, 2007). High frequency continuous data are needed to analyze the  
179 effect of snow cover on the active layer because snow cover changes rapidly. In order to capture  
180 the hydrothermal states of the active layer, all sensors including the infrared surface temperature  
181 probe, the snow depth probe, and the surface albedo probe were connected to the CR3000  
182 automatic data acquisition instrument with a half hour acquisition time interval. However, due to a  
183 power supply problem that was not carefully considered when upgrading the meteorological  
184 station, leakage in data acquisition often occurred during the night at the snow removal site.

185 There is more than one dominant wind direction in this area, and the dominant wind  
186 directions differ between seasons. Snow fences were not adopted in the SRS. Snow shovels and  
187 brooms were used to remove the snow cover of the SRS. Snow removal was typically completed  
188 one day after snowfall. Images of NSS and SRS before and after snow removal are shown in  
189 Figure 3.



190

191 Figure 3 Experiment sites of seasonal snow cover in the Yashatu Basin of Qilian Mountains, QTP.

192 a) NSS after snow fall, b) SRS after snow fall, and c) SRS after snow removal.

### 193 2.2 Soil temperature acquisition

194 The SKLFSE-TS probe manufactured under the supervision of the State Key Laboratory of  
195 Frozen Soil Engineering (SKLFSE, China) was adopted to monitor soil temperature at the snow  
196 site. The SKLFSE-TS thermistor temperature probe has been widely used since 1982 (Cheng,  
197 1980), currently for permafrost engineering and environmental monitoring along the  
198 Qinghai-Tibet railways (Cheng, 2005, 2007; Zhang et al., 2008; Wu and Zhang, 2008; Zhao et al.,  
199 2010). The thermistors calibration is also carried out through comparison with the national  
200 second-class platinum resistance thermometer in a temperature calibration tank. Detailed  
201 calibration process and method are described in the study of Liu et al. (2011). The measuring  
202 range of the SKLFSE-TS temperature probe is  $-30\text{ }^{\circ}\text{C}$ - $+30\text{ }^{\circ}\text{C}$ , which can be extended to  $\pm 40\text{ }^{\circ}\text{C}$   
203 when standardization is performed under wide temperature ranges. The temperature resolution is  
204  $0.01\text{ }^{\circ}\text{C}$ , temperature accuracy is  $\pm 0.05\text{ }^{\circ}\text{C}$ , and the cable is longer than 300 m (Shen et al., 2012).

### 205 2.3 Soil moisture acquisition

206 The CS616 sensor manufactured by Campbell Scientific INC. U.S.A. was adopted for soil  
207 moisture monitoring. The probe has two extensions 300 mm long, 3.2 mm in diameter, and with a  
208 32 mm separation distance. Based on the principle of FDR (Frequency Domain Reflector), CS616  
209 can only be used to measure the volumetric water content in soil (Campbell Scientific Inc., 2004).  
210 All water contents mentioned in this paper are volumetric water contents, except for some special  
211 cases, discussed below.

212 Unlike the temperature probes, the soil moisture probes have to be laid in layers by digging a  
213 test pit, instead of being laid by drilling. Considering the convenience of construction, the soil  
214 moisture monitoring probes are usually laid when the active layer reaches maximum thawing



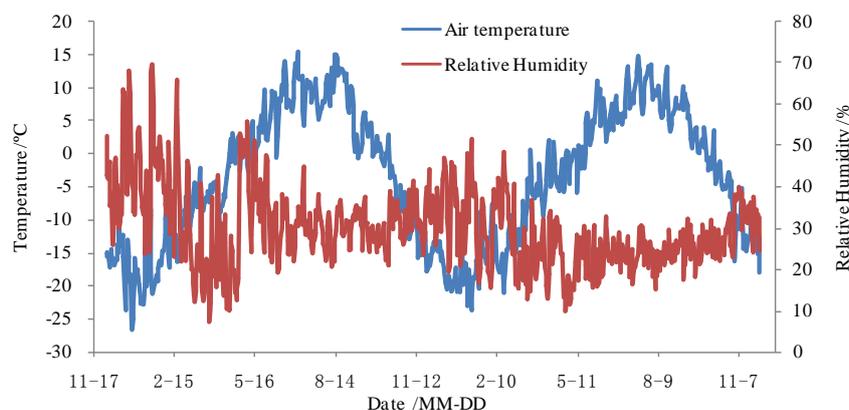
215 penetration. Previous research results indicate that the active layer in permafrost regions in the  
216 Qinghai-Tibet Plateau usually reaches the maximum thawing penetration in September and  
217 October (Wu and Zhang, 2010). The water probes were due to be installed in October 2009.  
218 However, it was found during drilling in September of 2009 that sandy soil and gravelly soil  
219 occupied most of the depth from 0-3.6 m where the underground water level was less than 1.0 m.  
220 As a result, the water probes were unable to be laid. The probes were finally laid in May 2010  
221 when the active layer was completely frozen and no longer melting. The water probes were laid at  
222 5, 20, 40, 80, 120, 160, 200, and 250 cm depth from the surface. Comparative monitoring of the  
223 snow cover over the two locations started in December 2012. Because the hydrothermal probes  
224 had been installed in the active layer by 2010, digging of the active layer wouldn't significantly  
225 influence the accuracy of monitoring data after December 2012.

226 The CS616 probe only measures water content in the thawing soil and unfrozen-water  
227 content in the frozen soil (Kunio Watanabe and Tomomi Wake, 2009; Gary Parkin et al., 2013).  
228 When the active layer is frozen, measurement results are much lower than the true value. In order  
229 to discuss the true water content and its variability, only values measured in the thawing period  
230 were analyzed in this paper.

### 231 3. Monitoring results

#### 232 3.1 Characteristics of snow cover in the Yashatu Basin

233 Field observations and automatic data collected from the meteorological data for the period  
234 from December 2012 to October 2014 indicate that the MAAT, maximum and minimum  
235 temperature are -3.4, 15.4 and -26.5 °C, respectively, and the mean annual relative humidity is  
236 32.8% (Figure 4). For the same period there are 45 measured snowfalls in the Yashatu Basin, with  
237 a total surface snow cover thickness of 69.3 cm, and a 2-year accumulated surface snow cover  
238 duration of 77 days.



239

240 Figure 4 Variation in air temperature and relative humidity from 2012.12 to 2014.11

241 During the 2-year monitoring period, the distribution of snow cover is highly uneven and  
242 changes significantly between months and years (Figure 5). Over the period from December 2012  
243 to November 2013, snowfall occurs in all months except for March, July, and August. The  
244 accumulated annual snow cover is 25.5cm, the mean monthly accumulated snow cover is about  
245 2cm, and the maximum monthly snow cover is 6.7cm. From December 2013 to November 2014,  
246 snow falls only in six months, mainly in October and November. For 2014-10-30 to 2014-11-15,



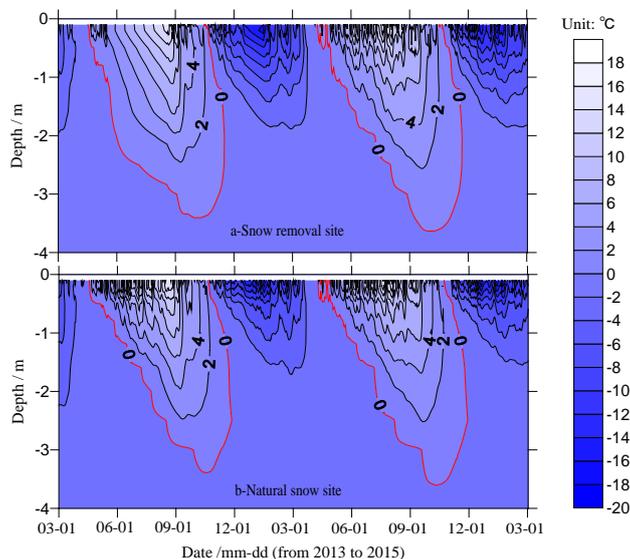


269 Table 2 Accumulated thickness and days of snow cover over four seasons in the Yashatu Basin

Start and end time	2012.12-2013.11		2013.12-2014.11	
Seasons	Thickness (cm)	Duration (days)	Thickness (cm)	Duration (days)
Winter (12-2)	3.1	15	5.6	16
Spring (3-5)	10.2	4	3.0	5
Summer (6-8)	0.6	1	0.0	0
Autumn (9-11)	12.1	13	34.7	22

270 **3.2 The active layer thickness (ALT) and soil temperatures in the active layer**

271 According to the definition of Muller (1947), the active layer floor is usually equal to the  
 272 maximum seasonal depth of the 0 °C isotherm. This definition has been widely recognized and  
 273 accepted because it eliminates various field interferences to the freezing-thawing depth, which is  
 274 helpful to perform quantitative analysis on ALT (Brown et al., 2000). The thawing and refreezing  
 275 process curves in the NSS and SRS during the period of 2013.3-2014.12 are given in Figure 7  
 276 based on the ground temperature monitoring data during the period of 2013.3.1-2014.2.28. As  
 277 shown in figure 7, the ALTs of the two sites are 339.1cm and 340.6 cm in 2013, and 360.5 cm and  
 278 363.4 cm in 2014. Accordingly, the ALTs in the two sites have increased by 21.4 cm and 22.8 cm  
 279 respectively.



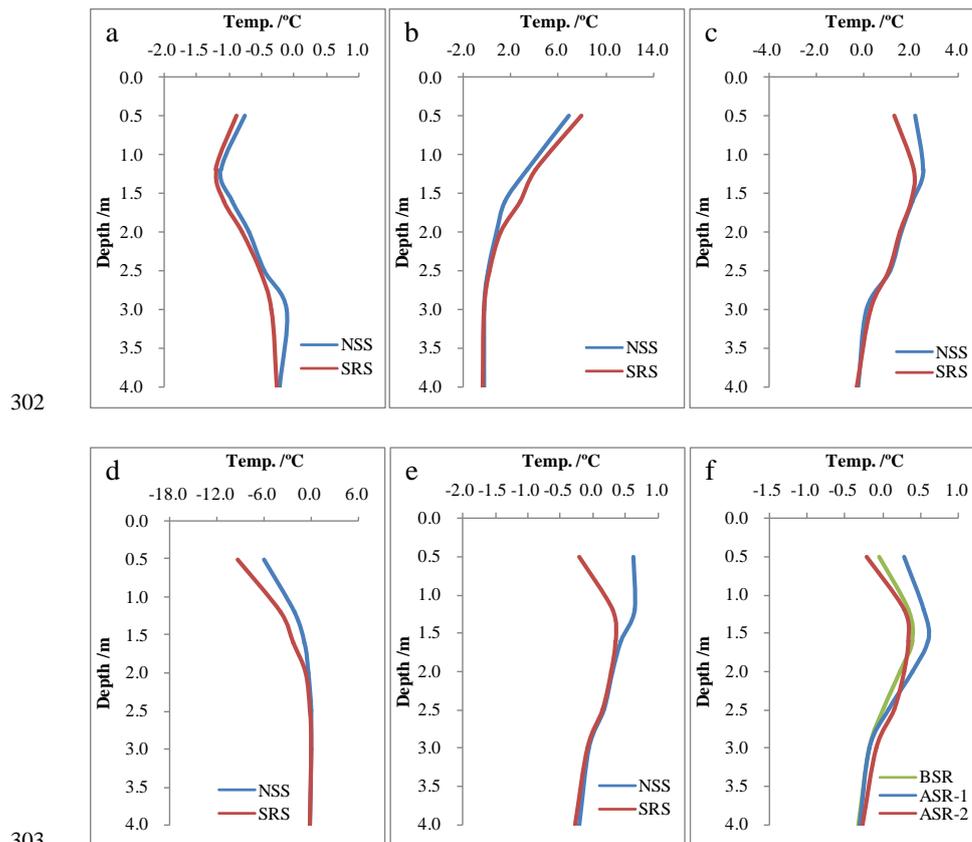
280  
 281 Figure 7 Ground temperature regime in the NSS and the SRS

282 The soil temperature of the active layer, especially the topsoil, changes greatly throughout the  
 283 year (Figure 7). It is therefore unsuitable to estimate the thermal effect of seasonal snow cover on  
 284 the active layer by comparing the ground temperature at one point in time. The mean annual soil  
 285 temperature is the average value of soil temperatures acquired at a certain frequency in one year,  
 286 which synthetically reflects the thermal regime of soil at any depth in the active layer, or in a  
 287 perennial frozen earth layer, and can be used to study trend of the thermal regime of the active  
 288 layer or permafrost (Wu and Zhang, 2008).

289 Previous studies suggest that the daily geothermal propagation depth is within 2.0 m (Yershov,  
 290 1998). In the QTP, we assume that the depth, where daily soil temperature amplitude is less than



291 0.1 °C, is the daily geothermal propagation depth. Excluding the daily meteorological extremes  
 292 and the effect of human activities, the daily geothermal propagation depth in the quaternary strata  
 293 is usually less than 0.5 m. The soil temperature of layers above 0.5 m depth varies significantly  
 294 within one day, and it is not sufficient to obtain the average daily soil temperature of layers at 0.05  
 295 m and 0.20 m depth by acquiring ground temperature at a single time, several times, even partial  
 296 time of each day. Continuous monitoring data of the SRS at night could not be acquired most of  
 297 the time, therefore the true mean annual soil temperature at depths of 0.0 m, 0.05 m, and 0.20 m in  
 298 the SRS can also not be determined. The profile of average soil temperature versus depth for  
 299 different seasons and over one year only at 0.5 m depth and below in the NSS and SRS from  
 300 2014.3.1-2015.2.28 is shown in Figure 8. The mean annual temperature profile of the SRS before  
 301 and after snow removal is also shown in Figure 8f.



302  
 303  
 304 Figure 8 Mean annual soil temperature profile of active layers in the NSS and SRS, where a, b, c,  
 305 d, and e refer to the average soil temperatures within the active layers of the two sites in spring  
 306 (March-May), summer (June-August), autumn (September-November), winter  
 307 (December-February), and the entire year. Panel f shows the mean annual soil temperature at the  
 308 SRS before and after snow removal. NSS and SRS refer to natural snow site and snow removal  
 309 site, respectively. BSR means before snow removal in the SRS (2011.12-2012.11), and ASR refers  
 310 to after snow removal. ASR-1 and ASR-2 indicate the first (2012.12-2013.11) and second



311 (2013.12-2014.11) years after the start of snow removal, respectively.

312 In spring, the soil temperature of the active layer in the NSS is higher than that of the SRS,  
313 with a temperature difference of generally less than 0.1 °C, except for the area near 3 m depth  
314 (Figure 8a). In summer, the temperature of the NSS at 0.5-2.0 m depth is about 1 °C lower than  
315 that of the SRS, while at 2.5-4.0 m depth, the temperature of the two sites is almost the same  
316 (Figure 8b). In autumn, the temperature of the NSS at 0.5-1.5 m depth is approximately 0.5 °C  
317 higher than that of the SRS, and at depths below 1.5 m, the temperature difference of the two sites  
318 is less than 0.1 °C (Figure 8c). In winter, the temperature of the NSS at 0.5-2.0 m depth is at most  
319 3.3 °C higher than the SRS, while at depths below 2.0 m, the temperature curves of the two sites  
320 are basically equal, and the maximum temperature difference is no more than 0.1 °C (Figure 8d).  
321 Ground temperature at all depths in the active layer is higher in the NSS than that in the SRS with  
322 exception of summer. Their difference decreases with the depth.

323 In terms of yearly temperature, the mean annual soil temperature difference in active layers  
324 of the two sites also decreases with an increase in depth, and the temperature difference at 0.5 m  
325 depth is the greatest, with the NSS being 0.8 °C warmer than SRS. From 1.6 m to the bottom of  
326 the active layer, ground temperatures are all higher in the NSS than that in the SRS. However, the  
327 mean annual soil temperature difference of the two sites is generally less than 0.3 °C (Figure 8e).

328 Temperature differences are observed in the active layer of the SRS before and after snow  
329 removal (Figure 8f). In the first year after snow removal, increases of 0.3 °C and 0.2 °C occur at  
330 depths of 0.5 m and 2.0 m, respectively. In the second year after snow removal, the temperature of  
331 the active layer at 0-2.0 m depth decreases. Compared to the first year, decreases of approximately  
332 0.5 °C and 0.1 °C at depths of 0.5 m and 2.0 m are observed, respectively. From 2012 to 2014, the  
333 mean annual air temperature of Yashatu is -4.5 °C, -3.4 °C, and -3.9 °C, indicating that changes in  
334 shallow soil temperature follow changes in air temperature during the monitoring period, namely,  
335 by increasing and then decreasing.

### 336 3.3 Soil moisture in the active layer

337 The moisture profiles of the NSS and SRS from 2013.3.1 to 2015.2.28 are shown in Figure 9.  
338 During the period from June-October, soil water content is 10-20% in the shallow soil, 0.2-0.5 m  
339 depth, less than 10% at 0.4-0.7 m depth, and 20-40% beneath a depth of 0.4-0.7 m. Compared to  
340 the NSS, there is more soil with low water content in the shallow layer and more soil with high  
341 water content in the middle and bottom layers.

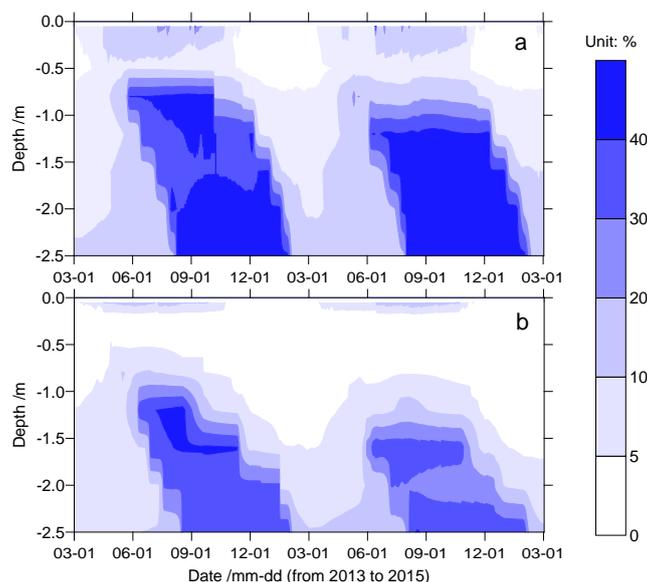
342 In October, with maximum thawing penetration, water content based on CS616 can reflect  
343 the true soil moisture. From October 2013 to October 2014, soil moisture is redistributed in the  
344 active layer and a change of total water content is not apparent in the NSS (Figure 9a), whereas  
345 very large changes occur in the SRS (Figure 9b). Soil layers with water contents of more than 70%  
346 have disappeared and areas with water contents between 30-40% have significantly reduced.

347 In order to perform quantitative analysis on soil moisture changes in the active layers of the  
348 two sites, moisture content of various layers from the NSS and SRS during maximum thawing  
349 penetration in 2012, 2013, and 2014 are shown in Figure 10.

350 At a depth of 0-50 cm, the range of soil moisture content in the two sites is no more than 4%,  
351 and there are no significant changes during the 2012-2014 period. At a depth of 80 cm, soil  
352 moisture decreases with time, and soil moisture in the NSS gradually decreases from 40.0% to  
353 18.4% to 16.1%, while soil moisture in the SRS decreases from 34.4% to 4.8% to 4.5%. At depths  
354 up to 120 cm, soil moisture in the NSS increases, the maximum annual increase in soil moisture of



355 each layer is less than 3%, and the increase over the two years is less than 4%. The change in soil  
 356 moisture at depths below 120 cm in the SRS differs greatly from that of the NSS. At 120 cm depth  
 357 the soil moisture gradually decreases from 31.5% to 9.2% to 7.2% and the soil moisture at 160 cm  
 358 and 200 cm depth first increases then decreases, while the soil moisture at 250 cm depth increases.



359  
 360 Figure 9 Volumetric moisture content based on the CS616 in (a) the NSS and (b) the SRS from  
 361 2013.3.1 to 2015.2.28

362 Soil moisture content in the active layer changes significantly with depth, so simply comparing  
 363 the soil moisture at a certain depth is not helpful for understanding the effects of seasonal snow  
 364 cover on soil moisture in the active layer. The CS616 probe acquires the volumetric water content,  
 365 under the assumption that the moisture content between the probes changes according to a known  
 366 law, and therefore the average moisture content within the monitoring scope can be directly  
 367 acquired from on site monitoring data. Referring to the acquisition method of the 0 °C isotherm,  
 368 the linear interpolation method is used in this paper to obtain soil moisture content at various  
 369 depths, and the overall moisture content of the active layer can be obtained through the following  
 370 Eq. (1):

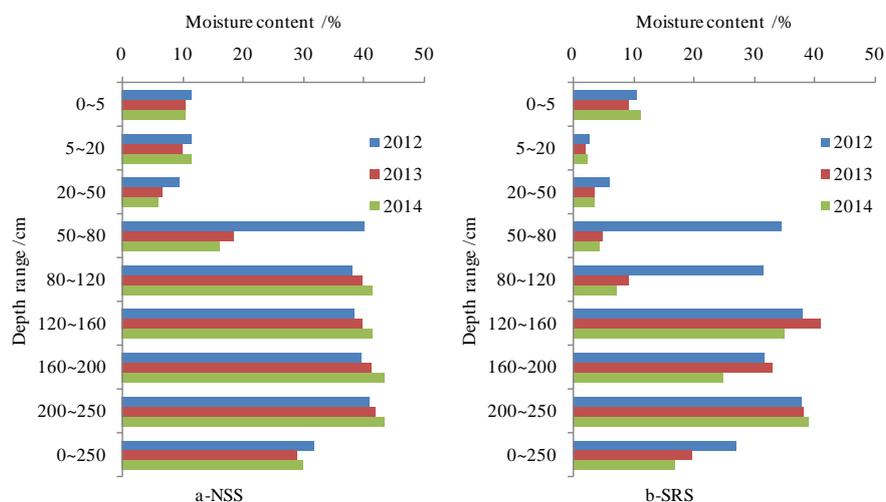
$$371 \quad w = \left[ H_1 \times w_1 + \sum_{i=2}^n (H_i - H_{i-1}) \times \frac{w_i + w_{i-1}}{2} \right] / H_n \quad (1)$$

372 In the above Eq. (1), the moisture content at 0-5 cm depth is the same as that at a depth of 5 cm,  
 373  $w$  and  $n$  refer to the average moisture content within the monitoring scope and the total number  
 374 of probes in the active layer, respectively,  $H_i$  and  $w_i$  are the depth and moisture content of the  
 375  $i^{\text{th}}$  probe from top to bottom, and  $H_n$  is the depth of the probe at the  $n^{\text{th}}$  soil layer. The unit for  
 376  $H_i$  and  $H_n$  is cm, and % for  $w$  and  $w_i$ .

377 The overall moisture content of active layers in the two sites between 0-2.5 m depth at the  
 378 maximum thawing penetration in 2012, 2013, and 2014 is obtained according to this method, and  
 379 the calculation results are listed in Figure 10. From 2012 to 2014, the range in overall moisture  
 380 content within the active layer at the NSS is 2.7%, and an accumulated decrease of 1.8% is



381 observed over the two years. The moisture content in the SRS decreases with time, with  
 382 decreases of 7.3% and 2.7% observed in 2013 and 2014, respectively, and a total decrease of 10.0%  
 383 over the two years. The overall moisture content within the active layer of the SRS has decreased  
 384 by 8.2% more than in the NSS.



385  
 386 Figure 10 Soil moisture content versus the depth in the active layer in the Yashatu Basin from  
 387 2012 to 2014

#### 388 4. Discussion

##### 389 4.1 Could thin seasonal snow cover warm the active layer?

390 Whether in mid-latitude mountainous areas like the Alps (Keller and Gubler, 1993; Luetschg  
 391 et al., 2008; Beniston et al., 2011; Tobias Rodder and Christof Kneisel, 2012), in high latitude  
 392 areas such as the South Pole (Guglielmin et al., 2014; Goyanes et al., 2014), or in the  
 393 Qinghai-Tibet Plateau (Jin et al., 2008; Hao et al., 2009; Zhou et al., 2013), snow cover with a  
 394 thickness of <0.2 m usually has a net cooling effect, which helps to decrease the temperature of  
 395 the active layer. The maximum snow cover thickness of Yashatu Basin during the period of  
 396 2012.11-2014.11 is only 5 cm (Figure 5), which is much less than the previously determined  
 397 critical snow cover thickness of 20 cm. Based on previous studies and monitoring results of snow  
 398 cover thickness, a thin seasonal snow cover should decrease the temperature within the active  
 399 layer at Yashatu sites. The ground temperature in the SRS should increase after snow removal.  
 400 However, in reality, air temperature during the two consecutive years was higher than prior to  
 401 snow removal the thickness of the snow cover was smaller than the critical snow cover thickness,  
 402 and the average soil temperature of the active layer in the SRS two years after snow removal was  
 403 lower than both before snow removal and the NSS.

404 The temperature decrease within the active layer of the SRS may be connected to the high  
 405 thermal resistivity of snow cover, which decreases the heat dissipation intensity of the active layer  
 406 in the winter (Goodrich, 1982; Sturm et al., 1992). The temperature decrease in the SRS may also  
 407 be connected to the timing of snowfall. The observation and simulation results from Alaska  
 408 indicate that the timing of snowfall influences the temperature within the active layer. A delay of  
 409 10 days in snowfall time may result in a drop of 9 °C in surface temperature, which decreases by



410 1.1 °C at a depth of 2 m (Ling and Zhang, 2003). The main snowfall season in the Yashatu Basin is  
 411 in autumn (Figure 5), and the duration of surface snow cover is quite long (Figure 6). The cooling  
 412 period of the active layer is also in autumn, when the snow cover significantly decreases heat  
 413 release and hinders the temperature decrease within the active layer. The increase in ground  
 414 temperature under snow cover occurs not only in winter, but also throughout the whole year, when  
 415 the ground temperature may be higher (Williams, 1989). In fact, except for summer (Figure 8b),  
 416 the shallow soil temperature within the active layer of SRS is lower than that in the NSS in spring,  
 417 autumn, and winter (Figures 8a, 8c, and 8d).

418 Table 3 Average monthly thermal flux ( $W m^{-2}$ ) at 5 cm depth from 2015.4-2016.3

Year	2015												2016		
Month	4	5	6	7	8	9	10	11	12	1	2	3			
NSS	8.7	11.0	12.7	16.5	9.1	1.8	-4.2	-9.3	-14.0	-12.8	-7.5	0.9			
SRS	13.3	13.4	11.6	16.8	13.3	1.6	-4.7	-14.7	-20.5	-17.0	-6.4	2.5			

419 Note: In this table, positive number represents the thermal influx in the active layer. Negative numbers mean that  
 420 the active layer released its heat towards the atmosphere.

421 Soil moisture content in the active layer of the SRS has decreased continuously since snow  
 422 removal experiment began in December 2012 (Figure 10), which not only influences the  
 423 thawing-freezing process but also alters the thermal balance of active layer. The decrease of  
 424 moisture content influences the thermal balance in both areas. Firstly, the thermal conductivity  
 425 decreases, especially in the frozen state, which impedes the thermal release in winter and warms  
 426 the active layer. Secondly, decrease of moisture content releases the huge latent heat, which may  
 427 be the thawing latent heat or the evaporating latent heat. The thawing latent heat is  $335 kJ kg^{-1}$  and  
 428 the evaporating latent heat is  $2257 kJ kg^{-1}$  at  $100 °C$  under the condition of a standard atmospheric  
 429 pressure. The thawing and evaporating latent heat for the water with VWC equal to 1% in  $1 m^3$   
 430 soil body is  $3350 kJ$  and  $22570 kJ$ , respectively. When the average thermal capacity in the active  
 431 layer of the SRS is assumed to be  $2267 kJ/(m^3 \cdot °C)$  and the VWC decreases by 1%, the temperature  
 432 of the active layer can decrease by  $1.5 °C$  due to the thawing latent heat and  $10.0 °C$  due to the  
 433 evaporating latent heat theoretically. Therefore, the dramatic decrease in moisture content may be  
 434 the other significant factor which leads to the temperature decrease of the active layer in the SRS.

435 In order to verify this phenomenon, the problems experienced with the power supply were  
 436 solved at the SRS in April 2015. Thermal flux data were successfully collected at half-hour  
 437 intervals during the period from April 2015 to March 2016, and listed in Table 3. According to this  
 438 data, thermal states of the active layer can be classified into four stages: (a) warming stage  
 439 (April-August), (b) the first steady stage from warming to cooling (September), (c) cooling stage  
 440 (October-February), and (d) the second steady stage from cooling to warming (March). Excluding  
 441 February, heat release from the active layer in the NSS is less than that in the SRS during the  
 442 cooling stage. Excluding June, active layer heat intake is also less in the NSS than the SRS during  
 443 the warming stage. Abnormal heat fluxes in February and June are both related to significant  
 444 snowfall from October to January and from April to May, which leads to less heat release and heat  
 445 intake in the NSS than the SRS. Therefore, in February and June, when there is little or no  
 446 snowfall, the heat exchange is much greater in the NSS than in the SRS. During the period from  
 447 April 2015 to March 2016, the average thermal influx at 5 cm depth is  $1.1 W m^{-2}$  in the NSS and  
 448  $0.7 W m^{-2}$  in the SRS. Owing to the higher thermal intake, the average soil temperature of the  
 449 active layer is higher in the NSS than the SRS.



#### 450 **4.2 What leads to the soil moisture decrease and disparity between the NSS and SRS?**

451 In permafrost areas, the active layer is the soil layer where soil moisture changes are most  
452 active. Runoff on the surface and in the active layer, soil properties, as well as evaporation and  
453 infiltration, can all alter the soil moisture content of the active layer. As the snow site is located in  
454 the bottom of Yashatu Basin where the slope gradient is less than 1°, runoff here can be  
455 disregarded because of the flat ground (Neal, 1938). In the process of installing soil moisture  
456 probes, digging often changes the soil properties, such as soil structure, grain size distribution, and  
457 compactness, etc. Grain size distribution is disturbed vertically and horizontally. However, the soil  
458 in the active layer of the NSS and SRS is unstructured coarse sand and gravelly soil with inferior  
459 water retention ability. The pit is fully backfilled layer-by-layer with the original soil according to  
460 the excavation sequence in May. There is no significant difference between the pit surface and  
461 neighboring ground surface in September, including the elevation and other surface characteristics.  
462 It shows that the digging's influence on the soil moisture could be ignored in this field site.  
463 Permafrost and mudstone, developed below the active layer, are often regarded as an impermeable  
464 soil layer. Therefore, the contribution of downward seepage throughout the mudstone-permafrost  
465 layer to soil moisture in the active layer is very small (Fetter, 2000).

466 The accumulated liquid precipitation in the Yashatu Basin during the period of  
467 2012.12.1-2014.11.30 was 175 mm, and the accumulated surface snow cover thickness was 690  
468 mm. According to previous results, the snow cover density in the Qilian Mountain area was 0.16 g  
469 cm<sup>-3</sup> (Hao et al., 2009), and the snow water equivalent (SWE) of two-year snow cover was 110  
470 mm. Considering the liquid and solid precipitation quantities, the total precipitation in the Yashatu  
471 Basin during the period of 2012.11-2014.11 was 285 mm. According to the observation results  
472 from the neighboring Delingha meteorological station, annual rainfall in the urban area of  
473 Delingha is 140 mm, and the evaporation reaches 2230 mm (Lv, 1960). The altitude in Yashatu  
474 Basin is 1000 m higher than that of Delingha meteorological station, and according to rainfall  
475 trends in mountain areas, the annual rainfall of the former should be larger than that of the latter.  
476 In fact, the rainfall in Yashatu Basin during the period of 2012.12-2014.11 was similar to that of  
477 Delingha. The rainfall in Yashatu for the year 2013 was only 100 mm, which is even less than that  
478 of the urban area in Delingha. The analysis shows that Yashatu Basin experienced a significant dry  
479 period in the years 2012-2014. Intense evaporation reduced the water supply from rainfall to the  
480 active layer during these dry years, and finally resulted in the slight decrease in moisture content  
481 observed in the NSS.

482 The SRS is influenced by both the dry years and the lack of snow cover compared to the NSS.  
483 The SWE of snow in this area over the two years was 110 mm. This result could only increase the  
484 moisture content at depth range of 0-2.5 m in the active layer by 4.4% at most, which is  
485 significantly less than the 8% moisture content difference between the two sites. Therefore,  
486 infiltration of melted snow cover alone cannot sufficiently explain this difference in moisture  
487 content.

488 Infiltration is not the only way that the seasonal snow cover influences the moisture content  
489 of the active layer, and the effect of snow cover on evaporation maybe more significant. Firstly,  
490 the snow cover has a shielding effect on the surface. By coating the surface, the snow cover  
491 changes the contact pattern between the atmosphere and the surface, and greatly reduces the effect  
492 of airflow on surface soil evaporation (Penman, 1948; Yeh, 1983). Secondly, the shielding effect  
493 of snow cover also significantly reduces surface warming from solar radiation. Compared to the



494 bare surface, the albedo of snow cover is high, and the snow surface temperature is even lower  
495 than the air temperature (Yershov, 1998), and much lower than the bare surface. Therefore, the  
496 ground surface temperature under the snow cover is lower than that of the SRS during the day or  
497 in summer because of the low snow surface temperature. Furthermore, Yashatu Basin is located in  
498 the mid-latitude zone, where the annual solar global radiation is fairly strong. Even in winter, solar  
499 radiation greatly increases the temperature of the bare surface during the daytime. The monitoring  
500 results from the west of Qilian Mountain indicate that the evaporation capacity of the surface soil  
501 is enhanced by an increase in surface temperature (Wang and Guo, 2013). Additionally, the heat  
502 needed for snow cover thawing comes not only from radiation from the sun and the surrounding  
503 atmosphere, but also from the underlying surface soil, which helps to decrease the surface  
504 temperature and reduce the evaporation capacity of the active layer.

505 Influenced by the reduction in precipitation and snow removal, moisture content within the  
506 active layer in the SRS decreases significantly and consistently over the two years of this study.  
507 Compared to the first year, the range of moisture content decreased in the second year by over  
508 50%. The rate of moisture content decrease in the SRS will drop year by year as the snow removal  
509 duration increases, until a new dynamic equilibrium is reached.

## 510 **5. Conclusions**

511 Based on analysis and discussion on the monitoring data from the monitoring sites of Yashatu  
512 Basin in the western Qilian Mountain, Qinghai-Tibet Plateau, during the period of  
513 2012.12.1-2015.2.28, some preliminary conclusions are drawn.

514 1. In Yashatu Basin, the snow cover is usually less than 5 cm, which can be classified as thin  
515 snow cover. The annual accumulated snow cover thickness is usually less than 50 cm. The surface  
516 snow cover duration is less than 5 days, which can be classified as short-term surface snow cover.

517 2. Over a calendar year, the ground temperature in the active layer is higher in the NSS than  
518 that in the SRS. Seasonally, the ground temperature in the active layer is also higher in the NSS  
519 than that in the SRS in other seasons with exception of summer. This phenomenon may result  
520 from the high thermal resistivity of snow, snowfall time, and the marked decrease of moisture  
521 content in the active layer.

522 3. Reduction of moisture content in the active layer of the NSS and SRS is related with less  
523 rainfall and intensive evaporation during the period of 2012.12-2014.11. The dramatic decrease of  
524 moisture content in the active layer of the SRS maybe depends on the removal of seasonal snow  
525 cover.

526

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533

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