



1    **Response of seasonal soil freeze depth to climate change across China**

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14   **Abstract.** The response of seasonal soil freeze depth to climate change has repercussions for the  
15   surface energy and water balance, ecosystems, the carbon cycle, and soil nutrient exchange. In this  
16   study, we use data from 845 meteorological stations to investigate the response of variations in  
17   soil freeze depth to climate change across China. Observations include daily air temperature, daily  
18   soil temperatures at various depths, mean monthly gridded air temperature, and Normalized  
19   Difference Vegetation Index. Results show that soil freeze depth decreased significantly at a rate  
20   of  $-0.18$  cm/year, resulting in a net decrease of 8.05 cm over 1967–2012 across China. On the  
21   regional scale, soil freeze depth decreases varied between 0.0 and 0.4 cm/year in most parts of  
22   China from 1950 to 2009. Combining climatic and non-climatic factors with soil freeze depth, we



23 conclude that air temperature increases are responsible for the decrease in soil seasonal freeze  
24 depth during this period. Changes in snow depth and vegetation are negatively correlated with soil  
25 freeze depth. These results are important for understanding the soil freeze/thaw dynamics and the  
26 impacts of soil freeze depth on ecosystem and hydrological process.

## 27 **1 Introduction**

28 There is a globally averaged warming trend of 0.85°C during 1880-2012 based on  
29 multiple land and ocean surface temperature dataset (IPCC, 2014). Given that all of the  
30 cryosphere's components are inherently sensitive to air temperature changes on different time  
31 scales, cryospheric changes serve as indicators of climate change. Frozen ground is an important  
32 component of the cryosphere. Permafrost regions underlay approximately 24% of the exposed  
33 land surface of the Northern Hemisphere (Zhang et al, 1999), and seasonally frozen ground  
34 regions occupy 57% (Zhang et al., 2003),. China is the country with the third-largest frozen  
35 ground extent in the world, with a permafrost area of  $\sim 2.20 \times 10^6$  km<sup>2</sup>, or approximately 23% of its  
36 land area, mainly on the Tibetan Plateau; regions with seasonally frozen ground occupy more than  
37 80% of the land area (Zhou et al., 2000). Under warming climate conditions, frozen ground  
38 regions are vulnerable to subsidence, especially ice-rich permafrost and relatively warm  
39 discontinuous permafrost (Osterkamp et al., 2000; Stendel and Christensen, 2002; Morison et al.,  
40 2000). Maximum freeze depth of seasonally frozen ground (SFG) and active layer depth over  
41 permafrost play a significant role in cold environments all hydrological, ecological, biological,  
42 and pedological activities occur within this layer (Hinzman et al., 1991; Kane et al., 1991; Zhao et  
43 al., 2004). Simultaneously, soil freeze depth influences the surface and subsurface hydrological  
44 cycle, promotes soil texture changes, and alters the availability of soil nutrients for plant growth.



45 The soil freeze/thaw cycle and soil freeze depth variations affect the decomposition of soil organic  
46 matter, and greenhouse gas exchanges between the land surface and the atmosphere (Shiklomanov,  
47 2012; Mu et al., 2015). Thus, seasonal soil freeze depth variability—including the maximum soil  
48 freeze depth in SFG and maximum thaw depth in permafrost regions—and climate are closely  
49 linked.

50 Due to global climate warming, significant effort has been devoted to permafrost research,  
51 such as permafrost variations on the hemispheric-scale, permafrost temperature changes  
52 (Romanovsky et al., 2010; Wu and Zhang, 2008; Guglielmin and Cannone, 2012; Streletskiy et al.,  
53 2013; Wu et al., 2015), permafrost degradation (Jorgenson et al., 2006; Sannel and Kuhry, 2011;  
54 Ravanel et al., 2010; Streletskiy et al., 2015; Park et al., 2016), hydrological processes in  
55 permafrost regions (Wang et al., 2009; Hu et al., 2009; Streletskiy et al., 2015; Park et al., 2013;  
56 Ford and Frauenfeld, 2016), feedbacks to climate change (Schuur et al., 2008; Park et al., 2015),  
57 and other aspects. The increasing thickness of the active layer has been indicated by many  
58 observations in permafrost regions at high latitudes (Brown et al., 2000; Frauenfeld et al., 2004;  
59 IPCC, 2014; Callaghan et al., 2011; Fyodorov-Davydov et al., 2008; Smith et al., 2010; Wu and  
60 Zhang, 2010; Zhang et al, 2005; Zhao et al., 2010; Li et al., 2012; Liu et al., 2014). Less research  
61 has focused on SFG areas (Zhang et al., 2003; Frauenfeld et al., 2004; Frauenfeld and Zhang, 2011;  
62 Wang et al, 2015), although the near-surface soil freeze/thaw status has been investigated using  
63 satellite passive microwave remote sensing (Zhang and Armstrong, 2001; Zhang et al., 2003, 2004;  
64 Li et al., 2008; Jin et al., 2015).

65 Shiklomanov (2012) has similarly pointed out that SFG did not receive much attention  
66 despite its vast area extent and importance, mainly due to a lack of long-term observational time



67 series to document changes. Evaluating climatic and non-climatic changes on SFG requires  
68 comprehensive spatial assessments of available soil temperature records (Shiklomanov, 2012). To  
69 date, no comprehensive investigation of soil freeze depth in relation to climate change has been  
70 conducted in China, despite the prevalence of SFG in this part of the world. Therefore, using  
71 long-term observational data, the goals and unique contributions of this study are 1) to estimate  
72 China's spatial and temporal variations of seasonal soil freeze depth; 2) to quantify the potential  
73 forcing factors of soil freeze depth including climatic and non-climatic factors; and 3) to establish  
74 how soil freeze depth variability responds to climate change in China.

## 75 **2 Data and methods**

### 76 **2.1 Data**

#### 77 **2.1.1 Mean daily air and ground surface temperature**

78 Mean daily air temperature and ground surface temperature data are collected from the  
79 China Meteorological Administration (CMA) for a total of 839 meteorological stations (Figure 1)  
80 available four times daily at 02:00, 08:00, 14:00, and 20:00. These data come already quality  
81 controlled, and stations date back to the 1950s and 1960s. Some stations end during the 1990s,  
82 while others are available until 2013. Most stations are located in east central China, with fewer  
83 sites in the west and at high elevations, such as on the Qinghai-Tibetan Plateau (Figure 1). These  
84 mean daily air and ground surface temperatures are used to estimate climate change and to  
85 calculate the freeze/thaw index.

#### 86 **2.1.2 Soil temperature**

87 Daily soil temperature data are available for 845 sites across China (Figure 1) from the  
88 CMA, and measured at the depths of 0.00, 0.05, 0.1, 0.15, 0.2, 0.4, 0.5, 0.8, 1.6, and 3.2 m. The



89 record of available soil temperature data varies for these stations, with some observations dating  
90 back to the late 1950s, and some only to the 1970s. Some station records end in the 1990s, while  
91 others are available through 2006 (Wang et al., 2015). Soil temperature is used to calculate the soil  
92 freeze depth; we combine the potential maximum soil seasonal freeze depth in permafrost regions,  
93 and maximum soil freeze depth in seasonally frozen ground regions. The number of stations with  
94 both daily air temperature and soil temperature observations is 729.

### 95 **2.1.3 Mean monthly gridded air temperature**

96 Gridded air temperature was used to analyze soil freeze depth at the regional scale across  
97 China. We obtained mean monthly gridded air temperature (MMGAT) from the University of  
98 Delaware's 1900–2014 terrestrial air temperature gridded monthly time series  
99 (<http://climate.geog.udel.edu/~climate/>), with 0.5°×0.5° spatial resolution. This dataset was  
100 produced by combining many observational station records across the world, using spatial  
101 interpolation and cross-validation procedures (Legates et al., 1990; Peterson et al., 1997 & 1998;  
102 Willmott et al., 1995). The period 1950–2010 is chosen for MMGAT, for assessing its  
103 correspondence with the seasonal freeze depth across China.

### 104 **2.1.4 Digital elevation model (DEM)**

105 We use a DEM from the global 30 arc-second elevation data set (GTOPO30,  
106 <https://ita.cr.usgs.gov/GTOPO30>, Figure 1). The DEM data are used for spatial regridding, as  
107 detailed below in section 2.2.

### 108 **2.1.5 Snow depth**

109 We obtained daily mean snow depth data for 672 sites across China. The period of record  
110 at these locations varies, with some stations dating back to the late 1950s and some only to the



111 1970s. Some station records end around the 1990s while others are available through 2005. These  
112 data were used to assess the influence of seasonal snow cover on soil freeze depth.

### 113 **2.1.6 Normalized differential vegetation index**

114 The NDVI dataset used in this study is from the Global Inventory Modeling and Mapping  
115 Studies (GIMMS) team, available for 1982–2006. NDVI is derived from NOAA AVHRR data,  
116 available at 15-day temporal resolution, and an 8-km spatial resolution. (Tourre et al., 2008).

### 117 **2.2 Methods**

118 Daily missing air temperatures are filled in based on highly correlated neighboring sites  
119 using linear least squares regression. Similarly, daily missing mean ground surface temperatures  
120 are estimated using linear regression with the daily mean air temperature. Based on the daily air  
121 temperature and ground surface temperature in each site, we can calculate the mean annual air  
122 temperature (MAAT) and mean annual ground surface temperature (MAGST) at each site,  
123 respectively.

124 To improve the original  $0.5^\circ \times 0.5^\circ$  MMGAT data to a 1-km resolution, spatial  
125 interpolation was used in conjunction with the 1-km resolution DEM (e.g., Willmott and Matsuura,  
126 1995; Gruber et al., 2012). The data processing steps are to (1) calculate the average monthly  
127 atmospheric lapse rate based on all available meteorological stations across China and their  
128 elevations; (2) bring each average monthly gridded air temperature value to sea level using the  
129 average monthly lapse rate; (3) apply a Kriging interpolation to the sea-level adjusted MMGAT; (4)  
130 bring the gridded sea-level air temperature back to the DEM-gridded height. Based on more than  
131 800 sites, we test the interpolated MMGAT and observational monthly air temperature, and find  
132 that the regression coefficient is almost 1.0 with a minimum of 0.98 in April.



133           The freezing/thawing index can also be an important indicator to assess the variations in  
134 frozen ground (Zhang et al, 1997; Frauenfeld et al., 2007; Nelson, 2003). In general, there are two  
135 types of freezing/thawing indices: the surface freezing/thawing index, calculated from ground  
136 surface temperature, and the air freezing/thawing index, computed from air temperature. Daily  
137 mean air temperature and ground surface temperature at 839 meteorological stations were used to  
138 obtain both variants of the freezing/thawing index. For the regional-scale air freezing/thawing  
139 index, we employ the methodology of Zhang et al. (1997) and Frauenfeld et al. (2007), and the  
140 methodology of Peng et al. (2013) for the adjusted 1-km gridded terrestrial air temperature data.

141           We calculate the annual maximum snow depth (SND) from the daily data for 1 July–30  
142 June, and match up those snow depth stations with the soil temperature stations. If there is missing  
143 data in the spring, autumn and winter season of one station, this station data will not be used.

144           Various methods are available to calculate the soil freeze depth. For example, it can be  
145 estimated directly from soil temperature, from physical and statistical models, and based on the  
146 Stefan solution. In this study, we use the Stefan solution to estimate soil freeze depth, which is  
147 determined using equation 1:

$$148 \quad \text{SFD} = \sqrt{2K_f \left( \frac{n_f \text{FI}_a}{P_b w L} \right)} \quad (1)$$

149 where SFD is soil freeze depth (m),  $K_f$  is the thermal conductivity of the frozen soil ( $\text{W}/\text{m}^\circ\text{C}$ ),  $n_f$   
150 is the n-factor for the freezing season and corresponds to the ratio between the surface freezing  
151 index and the air freezing index (Peng et al., 2016),  $\text{FI}_a$  is the annual air freezing index ( $^\circ\text{C}\cdot\text{d}$ ),  $P_b$   
152 is the soil bulk density ( $\text{kg}/\text{m}^3$ ),  $w$  the soil water content by weight, and  $L$  the latent heat of fusion  
153 ( $\text{J}/\text{kg}$ ) (Zhang et al., 2005). In equation 1, many site-specific factors are required to estimate SFD,  
154 which are not easily obtained, particularly at the regional scale. Based on the SFD and annual



155 freezing index at observational sites, however, we can quantify the relationship between these two  
156 parameters (Figure 2) and find a strong and statistically significant correlation of  $R=0.87$ . Thus,  
157 the relationship between SFD and the annual freezing index can be simplified (Harlan and Nixon,  
158 1978) as:

$$159 \text{ SFD} = E\sqrt{\text{FI}_a} \quad (2)$$

160 Where E is defined (Nelson and Outcalt, 1987) as:

$$161 E = \sqrt{\frac{2K_f n_f}{P_b w L}} \quad (3)$$

162 To estimate the SFD at the regional scale across China, we first calculate SFD for every  
163 observational station by interpolating the depth of the  $0^\circ\text{C}$  isotherm throughout the  $0.0\sim 3.2$  m  
164 temperature profile using the daily mean soil temperature (Frauenfeld et al., 2004). Next, we  
165 estimate the  $\text{FI}_a$  based on the calculations in Frauenfeld et al. (2007). To estimate the E value for  
166 all stations we use the SFD,  $\text{FI}_a$ , and equations 2 and 3. Then, we interpolate the E value to the  
167 regional scale at 1-km resolution using kriging in ArcGIS. The SFD is estimated across China  
168 based on equation 2, the 1-km E value, and  $\text{FI}_a$ . We can then estimate the regional-scale SFD for  
169 each year from 1950 to 2009 across China, and obtain the mean decadal SFD. Finally, using  
170 regression analysis, we estimate the SFD trend at the regional scale across China.

201 From the 1-km scale E factor values, we can extract every site's E factor based on the  
202 sites' latitude and longitude. Then, the air freezing index from the sites is used to calculate the  
203 annual soil freeze depth at every site by equation 2. To verify the accuracy, we compare the  
204 observational SFD calculated from the soil temperature, and the simulated SFD calculated by the  
205 Stefan method (Figure 3). The result demonstrates that the mean absolute error and  
206 root-mean-square error are 0.08 m and 0.14 m, respectively. It shows that there is a good





207 agreement between simulated and observational SFD by this method. To find the potential forcing  
208 variables of the observed long-term SFD changes across China, a number of factors are related to  
209 SFD: MAAT, MAGST, freezing index, thawing index, SND, and NDVI. We used correlation to  
210 analyze the relationship between these variables with SFD, and employ a 95%-significance level  
211 for all statistical analyses.

## 212 **3 Results**

### 213 **3.1 Soil freeze depth**

214 Based on every site's E factor and  $FI_a$ , we calculate the spatial variability and trends of  
215 SFD at every location (Figure 4). The highest SFD was mainly located in northeastern and  
216 northwestern China, and the Tibetan Plateau. In contrast, the lowest SFD was found in the south of  
217 China. Locations with SFD greater than 0.4 m are found north of the Yellow River. In the  
218 northwest of China, locations with SFD less than 0.8 m are found in the Taklamakan desert, and  
219 some sites with SFD greater than 2.0 m are located in the Altai, Tianshan, and Pamir Mountains.  
220 There are several possible reasons for these observed SFD differences in the northwest of China.  
221 In the desert, the mean annual air temperature is higher and the elevation is lower than in the  
222 surrounding Altai, Tianshan, and Pamir Mountains. Precipitation in the desert is lower, which can  
223 affect the soil moisture and the soil thermal conductivity, such that low soil moisture is related to  
224 low thermal conductivity. Vegetation cover can also influence the albedo and temperature,  
225 affecting the SFD. The albedo in the desert is larger than the vegetated regions, which can affect  
226 the net solar radiation, resulting the shallower SFD in desert regions. Combined, these possible  
227 factors can account for the spatial differences in SFD in the northwest of China.

228 On the Tibetan Plateau, most sites have a SFD greater than 2.4 m. There is an increase in



229 SFD with increasing latitude and elevation. The significant magnitude of SFD change is between  
230 -0.4 and less than 0 cm/year. The sites with the strongest decreasing trends of -1.2 cm/year are on  
231 Tibetan Plateau and -1.0 cm/year in the north of China.

232           Based on the sites' E factors and  $FI_n$ , we calculate SFD time series anomalies from 1951  
233 to 2012 (Figure 5). Although a composite time series of all available stations data can be  
234 calculated during 1951–2012, few of the 839 stations actually contribute to the mean values before  
235 the 1960s (Figure 5). There are fewer than 200 stations in the early years, and therefore does not  
236 represent the SFD across China as a whole. Beginning in 1967 more than 800 stations contribute  
237 to each year's mean, therefore long-term SFD trends will only be evaluated from then on. There is  
238 a statistically significant change in SFD anomalies of -0.18 cm/year, corresponding to a net  
239 decrease of 8.05 cm. In addition to the overall long-term decrease, there are also some patterns of  
240 inter-decadal variability during 1967–2012, including slight positive changes in some periods.  
241 SFD exhibited both increases and decreases until 1975, followed by a sharp decrease until 1990.  
242 However, SFD has remained constant or may perhaps be increasing slightly during 1990–2012.  
243 Therefore, the overall SFD change during 1967–2012 was largely controlled by the decrease  
244 during 1975–1990 period. Similar SFD changes, attributable to the North Atlantic Oscillation,  
245 were found in high-latitude Eurasia (Frauenfeld and Zhang, 2011).

### 246 **3.2 Spatial and temporal variability of SFD in China**

247           Based on the 1-km resolution E factor and 1-km  $FI_n$  calculated from MMGAT, we  
248 estimate SFD across China from 1950 to 2009 by the Stefan method. Figure 6 shows the spatial  
249 variability of mean decadal SFD. The overall spatial pattern of SFD variability is quite consistent.  
250 Thus, we describe the spatial pattern of SFD from the 1950s as an example. SFD increases with



251 latitude and elevation, with SFD greater than 1.5 m in northeastern China, the Mongolia Plateau,  
252 Tibetan Plateau, and north of the Xinjiang region. In the east of China, the SFD ranges from 0.0 m  
253 to more than 4.0 m, and increases with latitude. In the Yellow River region, the elevation  
254 decreases from west to east, while the SFD varies from greater than 2.5 m to less than 0.5 m. The  
255 SFD in the Taklimakan desert is lower than in the surrounding area.

256 Figure 7 represents the SFD trend across China from 1950 to 2009. The gray region  
257 represents areas where the SFD trends are not statistically significant, however, they are  
258 statistically significant in all other regions. In general, the SFD decreased significantly over  
259 northern China, except in two small areas. The SFD trend ranges between 0.0 and -0.4 cm/year in  
260 most areas. SFD trends less than -0.4 cm/year are found in some areas, such as the Tibetan Plateau,  
261 and the Pamirs. In the two small areas of increasing SFD, we further investigated the MAAT trend  
262 during 1950-2010 based on the MMGAT dataset. There is similarly a statistically significant  
263 decrease of MAAT in these same areas during this period. Thus, air temperature is one of the  
264 important factors that influences the soil freeze depth in these areas.

265 Overall, the spatial variability indicates that SFD changes with latitude and elevation at  
266 the regional scale across China. As is expected from climate warming, a statistically significant  
267 decreasing trend in SFD is evident across China from 1950 to 2009.

### 268 **3.3 Potential forcing variables**

269 To explore the possible variables leading to the documented changes in SFD, we analyze  
270 potentially important factors for soil freeze dynamics: MAAT, MAGST, freezing index including  
271  $FI_a$  and the ground surface freezing index ( $FI_s$ ), thawing index including the air ( $TI_a$ ) and ground  
272 surface thawing index ( $TI_s$ ), SND, and NDVI. Temperature—including MAGST and MAAT—at



273 the 839 station locations exhibits a statistically significant increase over the 1951–2013 period of  
274 0.019 and 0.013 °C/year, or approximately 1.2 °C and 0.78 °C over the 63 years, respectively  
275 (Figure 8 a, and b). MAGST and MAAT are statistically significantly correlated with SFD at  
276  $R=-0.56$  and  $R=-0.66$ , which means that 31% and 44% of the variability in SFD can be accounted  
277 for by these temperature measures. Further, the negative correlation demonstrates that increasing  
278 temperatures result in SFD decreases at the 839 stations.

279 Soil freeze usually begins in autumn or winter, with temperatures less than 0°C, reaching  
280 their maximum freeze depth toward the end of winter season or spring. Therefore, maximum  
281 annual SFD occurs during the cold seasons. Freezing index is thus an important indicator for  
282 accumulated cold season temperatures (Frauenfeld and Zhang, 2011). From 1951 to 2013,  $FI_s$  and  
283  $FI_a$  underwent a statistically significant decrease of 3.0 and 1.62 °C-days/year, respectively  
284 (Figure 8 c, and d), indicating warming, which reduces the cold season's magnitude and/or  
285 duration. The correlation between  $FI_s$ ,  $FI_a$ , and SFD was a statistically significant 0.68 and 0.87,  
286 indicating that the FI accounts for 46% and 76% of SFD variability.

287 The thawing index is used to assess the accumulated positive degree-days during the  
288 warm season (Frauenfeld and Zhang, 2011). There are no obvious TI changes at the station  
289 locations until approximately 1985. The TI increases during 1985-2008, followed by a decrease  
290 until 2013. From 1951 to 2013,  $TI_s$  and  $TI_a$  show statistically significant increases at a magnitude  
291 of 3.73 and 2.77 °C-days/year, respectively (Figure 8 e and f). The correlation coefficient between  
292  $TI_s$ ,  $TI_a$ , and SFD is -0.53 and -0.57, respectively, indicating a weak negative association such that  
293 warm summer conditions correspond to a shallower SFD the following cold season.

294 Figure 9 shows the correlation between SFD and SND. There was no statistically



295 significant trend in SND for the 1951–2005 period. Also, SND is not statistically significantly  
296 correlated with SFD (Figure 9).

297 As suggested by Shiklomanov (2012), non-climatic factors likely also affect SFD. The  
298 surface can be affected directly by climate forcing, while the subsurface effects are more complex.  
299 The subsurface soil only indirectly receives a climatic signal, which is furthermore altered by  
300 site-specific soil processes (e.g., thermal conductivity and analogous soil properties). Vegetation is  
301 a likely non-climatic factor that influences the soil freeze depth (Shiklomanov, 2012). Thus, we  
302 investigate vegetation using NDVI (Peng et al., 2013) and find it is significantly correlated with  
303 SFD at -0.80, suggesting that 64% of the variability in SFD can be accounted for by NDVI. The  
304 statistically significant negative correlation demonstrates that when NDVI increases (more  
305 greening), this corresponds to a decrease in SFD (Figure 10).

#### 306 **4 Discussion**

307 Soil freeze-thaw changes involve a series of processes, such as energy exchanges, soil  
308 moisture exchanges, and gases exchanges between the atmospheric and terrestrial system.  
309 Therefore, variations of soil freeze/thaw most likely have an important effect on geomorphic,  
310 hydrological, and biological processes. As freeze/thaw depth changes, these variations may have  
311 destabilizing effects on engineering structures, such as on improperly constructed infrastructure  
312 (Smith and Burgess, 1999; Stendel and Christensen, 2002). The release of additional amounts of  
313 greenhouse gases to the atmosphere also occurs (Michaelson et al., 1996; Mu et al., 2015). In this  
314 paper, we use the Stefan method to calculate SFD, analyze the spatial SFD variability and trends,  
315 and quantify the potential driving factors affecting SFD.

#### 316 **4.1 Climatic and non-climatic factors**



317 SFD changes can influence the environmental and natural systems, and are also affected  
318 by variables such as air temperature, ground surface temperature, freezing/thawing index, and  
319 vegetation. SFD is vulnerable to climate warming. Many examples of permafrost degradation have  
320 been reported, such as deeper the active layer thickness, reduced freeze time duration, and shifts in  
321 the timing of thawing and freezing in seasonally frozen ground regions (Wang et al., 2015;  
322 Callaghan et al., 2011; IPCC, 2014; Henry 2008). Negative correlations are found here between  
323 SFD and temperature (including MAAT and MAGST), because of solar radiation heating the  
324 ground, energy transfer into the soil, ultimately increasing the soil temperature. Thus, increasing  
325 temperature is found to be the main factor influencing SFD variability in China, as in previous  
326 work focusing only on the Tibetan Plateau (Zhao et al., 2004).

327 The freezing/thawing indices represent the accumulated negative and positive degree days  
328 in the cold and warm seasons, respectively (Wu et al., 2011). The positive and negative correlation  
329 between SFD and FI and TI were statistically significant, consistent with previous results in other  
330 regions (Frauenfeld and Zhang, 2011). Due to the maximum soil freeze depth occurring in the cold  
331 season and SFD being affected by temperature, the positive correlation between SFD and FI is  
332 reasonable. Although TI is the accumulated temperature in the warm season, it takes some time to  
333 transfer the energy into the deeper ground. The energy flux into the soil reduces with increasing  
334 soil depth. Therefore, if all the conditions are the same, a larger TI can precondition the ground by  
335 increasing the energy in the deeper soil, which can subsequently delay soil freezing. Thus, the TI  
336 can indirectly influence soil temperature to some extent (Frauenfeld and Zhang, 2011).

337 Snow depth can have an effect on soil temperature, which would affect the active layer  
338 thickness and soil seasonal freeze depth variability. Numerical modeling studies have shown that



339 snow depth does impact SFD (Zhang and Starnes, 1998; Ling and Zhang, 2003; Park et al., 2015).  
340 Park et al. (2015) indicated that both increasing SND and snow structure changes were favorable  
341 to soil warming, resulting in active layer thickness decreasing in northern regions as previously  
342 found by Frauenfeld et al. (2004). Snow cover insulates the ground during the cold season (Zhang,  
343 2005). Interestingly, in our study we did not find a relationship between SND and SFD. This could  
344 be due to the spatial heterogeneity of snow across China. According previous research, the snow  
345 depth, snow water equivalent, and snow densities are smallest on the Tibetan Plateau compared to  
346 other parts of China (Ma et al., 2012). Compared with other regions, multi-year average snow  
347 depth in general is low in China, especially on the Tibetan Plateau and the east-central mountain  
348 regions of China (Zhong et al., 2014), and may therefore have only limited insulating effects. This  
349 could lead to the lack of a relationship between SFD and SND across China and motivates further  
350 future investigation.

351 A negative correlation between SFD and vegetation, as quantified by NDVI, is found.  
352 Vegetation change has a significant influence on the climate system mostly through changes to the  
353 surface radiative energy budget, which can be affected the SFD. Based on previous research,  
354 vegetation varies in different land cover types and responds to climate change via different  
355 physical mechanisms (Snyder et al., 2004). These involve, in general, changes in the surface  
356 albedo (e.g., bare ground versus vegetation cover), the variability of cloud cover which strongly  
357 influences the radiative balance at the surface, the roughness of the surface, vegetation  
358 transpiration, and shading effects (Kelley et al., 2004; Chang et al., 2012; Zhang et al., 2012;  
359 Snyder et al., 2004; Swann et al., 2010). Compared to no vegetation cover, vegetation causes a  
360 large annual-average increase in the surface albedo with the largest changes in the winter and



361 spring seasons, which reduces the amount of net radiation absorbed at the surface, making the  
362 surface colder. Removal of vegetation cover reduces the roughness of the surface and increases the  
363 low-level winds, resulting in enhanced advection. Furthermore, reducing vegetation cover can lead  
364 to increases in low-level cloud cover, resulting in reduced incoming shortwave radiation, and  
365 keeping the surface colder (Snyder et al., 2004). While we observe a negative correlation between  
366 vegetation and SFD, the detailed physical mechanism will require further future work.

## 367 **5 Summary and Conclusions**

368 In this study, we conducted a comprehensive regional-scale investigation of SFD over  
369 China. As a significant climate indicator, SFD is influenced by many variables including climatic  
370 and non-climatic factors. These factors are often integrated to affect SFD (Lachenbruch and  
371 Marshall, 1986; Brown et al., 2000; Frauenfeld et al., 2004). Our results can be summarized as  
372 follows:

373 The spatial distribution of SFD variability is influenced by latitude and elevation across  
374 China. High latitude and altitude sites are characterized by high SFD. In contrast, lower SFD  
375 values are mainly observed for lower latitude and lower elevation regions.

376 Of the total 839 sites, we find that the SFD decreased significantly, at -0.18 cm/year from  
377 1967 to 2012, equal to a net change of 8.05 cm. The long-term decrease also indicated  
378 inter-decadal variability, including some positive changes in some periods and no change since  
379 1990.

380 On the regional scale, the 1950–2009 spatial variation of SFD ranges between 0.0 and  
381 4.5 m across China, with most areas exhibiting significant decreases between less than 0.0 and  
382 -0.4 cm/year. Climatic and non-climatic factors as potential driving variables for SFD were





383 explored. A negative relationship is evident between SFD and MAAT, MAGST,  $TI_a$ , and  $TI_s$ , with  
384 statistically significant correlations of -0.66, -0.56, -0.57, and -0.56, respectively. The climatic  
385 factors  $FI_s$  and  $FI_a$  were correlated positively with SFD, at 0.87 and 0.68, respectively. There is no  
386 correlation between SFD and SND. The non-climatic factor vegetation (NDVI) is negatively  
387 correlated with SFD, indicating that 64% of the changes in SFD can be accounted for by  
388 vegetation.

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395 Engineering Research Institute of Chinese Academy of Sciences.

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614 **Figure captions:**

615 **Figure 1.** The observational station distribution across China, including the 839 air temperature  
616 (green dot), 839 ground surface temperature stations (green dot), 845 soil temperature stations (red  
617 dot), and elevation. The blue solid line is the main rivers. The elevation varies between below 0 m  
618 and 8752 m.

619 **Figure 2.** Linear least squares regression between soil freeze depth and annual freezing index  
620 based on observational sites. The black solid line is the linear fitted line.

621 **Figure 3.** Comparison of the simulated and observed SFD for all stations. The red solid line is the  
622 1:1 line; the blue dashed line is regression fit between the simulated and observed values.

623 **Figure 4.** Spatial distribution and variability of SFD at the observing stations. (a) Multi-year mean  
624 SFD at each site; (b) magnitude of SFD change at each site; (c) the number of sites with different  
625 SFD; (d) the number of sites with different SFD changes. The time period of each site is different,  
626 mainly controlled by the observation time, but most mainly during 1951-2012.

627 **Figure 5.** 1951–2012 SFD anomalies with respect to 30-year baseline (1971-2000) (red solid line)  
628 based on up to 839 stations across China as depicted in figure 1. Included also is the 1 standard  
629 deviation range (gray shading), the linear trend from 1967 to 2012 (blue dashed line), and 7-year  
630 smoothing (green line). The figure in the upper right corner is the observation stations with time  
631 series.

632 **Figure 6.** Spatial variability of SFD in the decades of the 1950s, 1960s, 1970s, 1980s, 1990s, and  
633 2000s across China.

634 **Figure 7.** SFD trends across China from 1950 to 2009. The grey regions mean the SFD change  
635 without statistically significant at  $p > 0.05$ , conversely statistically significant in other regions.

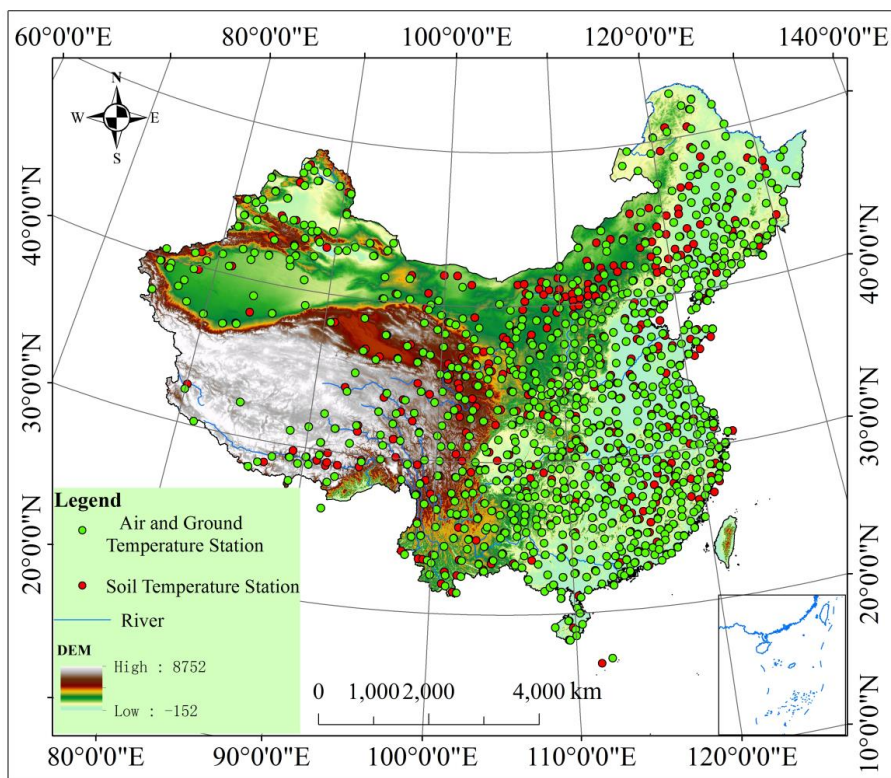
636 **Figure 8.** Potential forcing variables of SFD and its trend (black line): (a) red line: mean annual  
637 ground surface temperature, (b) green line: mean annual air temperature, (c) cyan line: surface  
638 freeze index, (d) magenta line: air freezing index, (e) yellow line: surface thawing index, (f)  
639 orange line: air thawing index. All the variables are standardized to range 0–1. R is the correlation  
640 coefficient, and all with statistically significant.

641 **Figure 9.** Correlation between SFD (top: blue circles) and SND (top: gray circles); Bottom: the  
642 number of observing stations contributing to the top time series. The variables are standardized to  
643 range 0–1. The negative correlation coefficient between SND and SFD, but without statistically  
644 significant.

645 **Figure 10.** Correlation between SFD (top: blue circles) and mean annual NDVI (top: gray circles);  
646 Bottom: the number of observing stations contributing to the top time series. The variables are  
647 standardized to range 0–1. In the upper panel, the negative correlation coefficient  $R = -0.8$  presents  
648 there is a strongly significant correlation between NDVI and SFD.

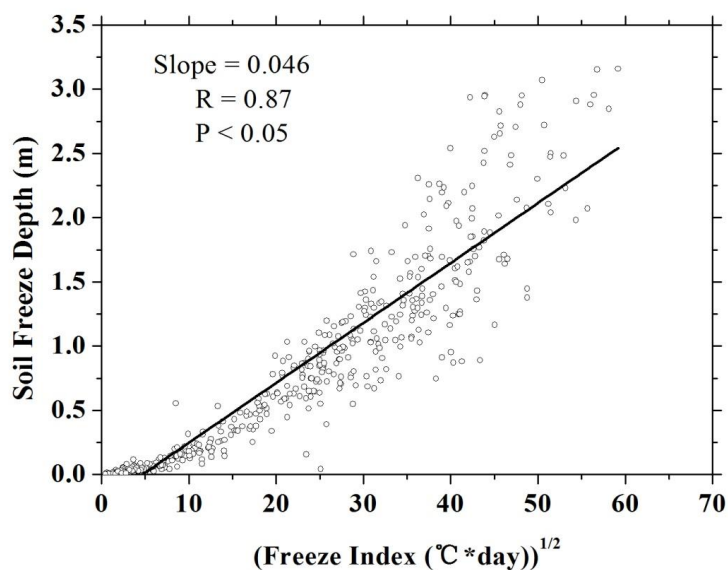
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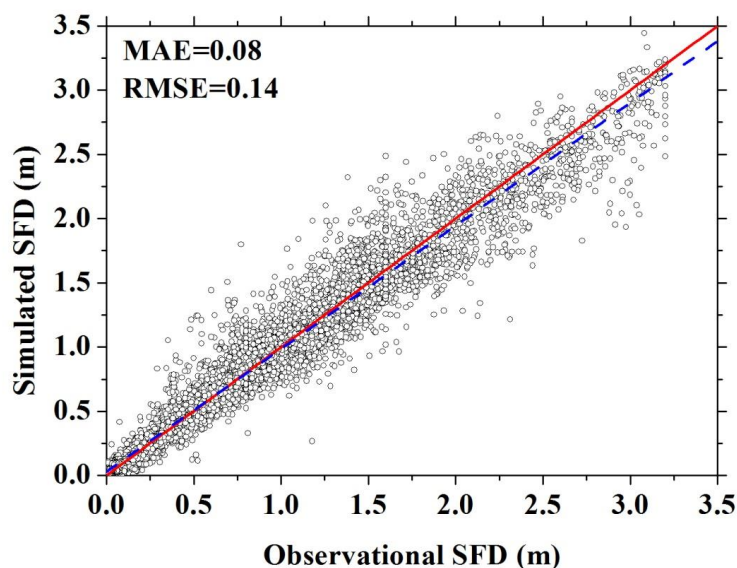


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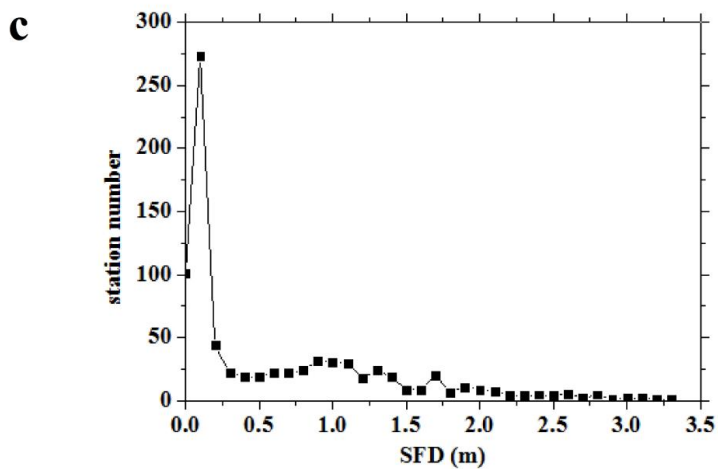
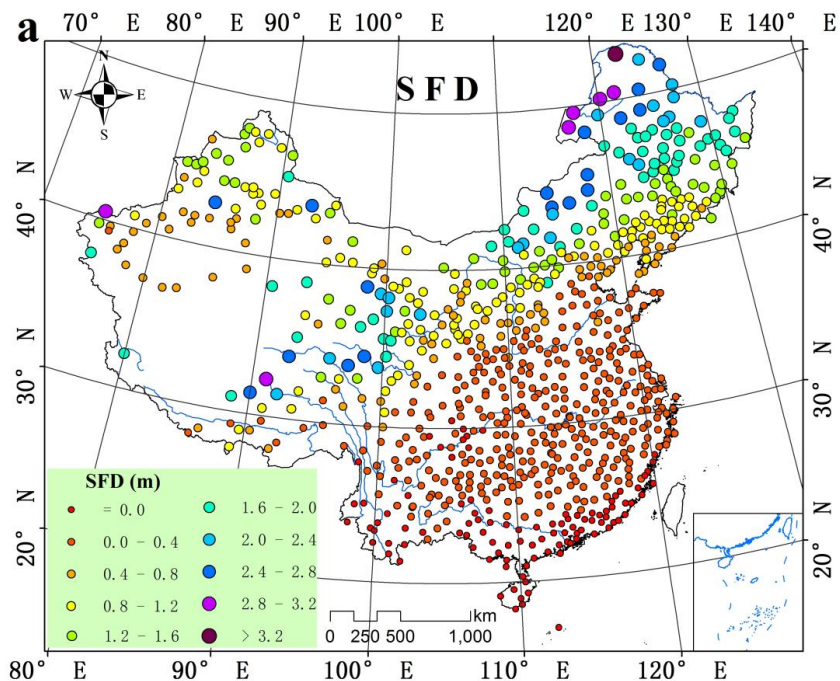


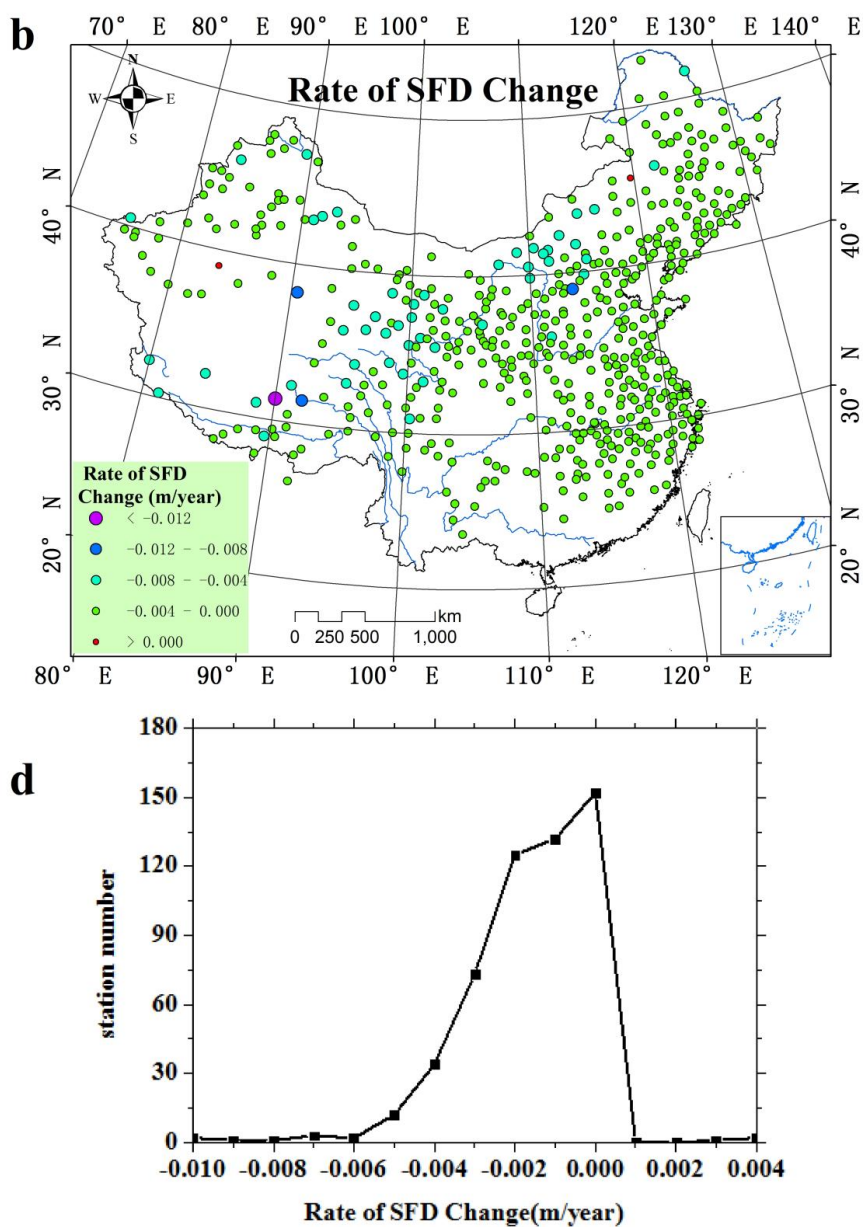


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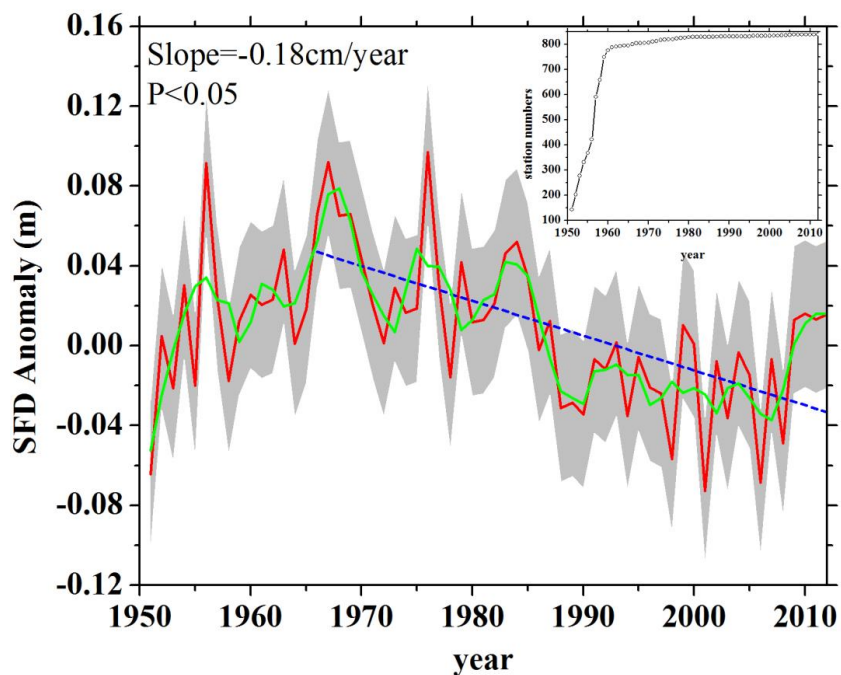


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662 **Figure 3.** Comparison of the simulated and observed SFD for all stations. The red solid line is the  
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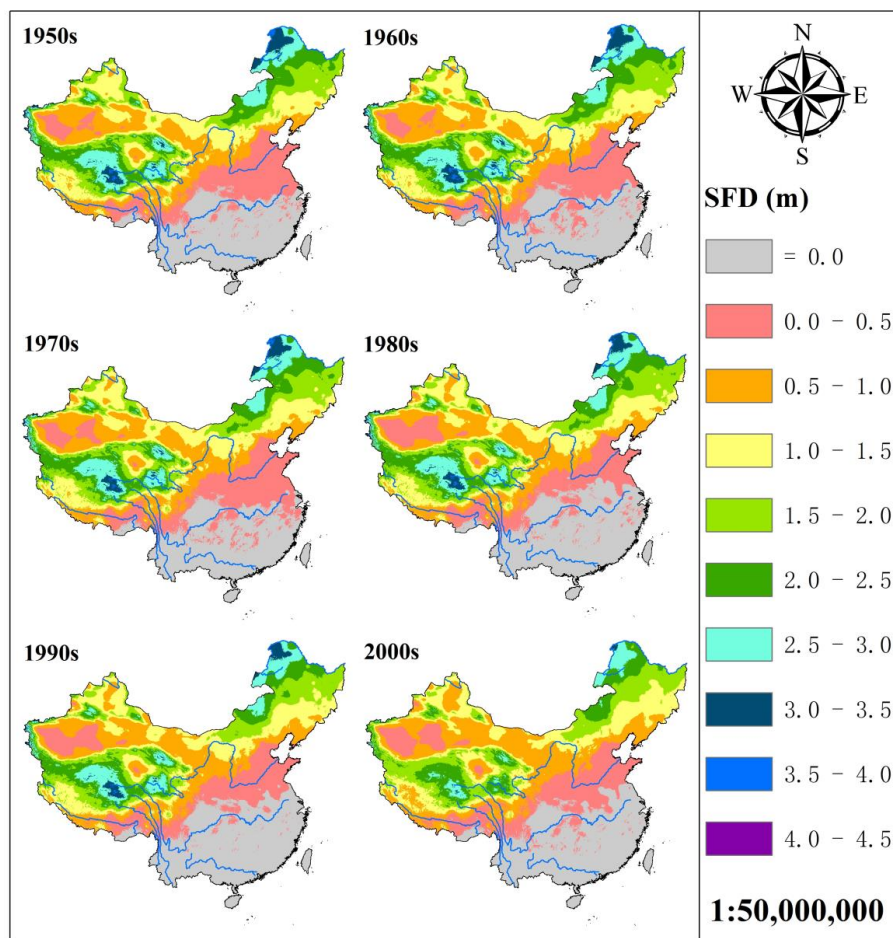


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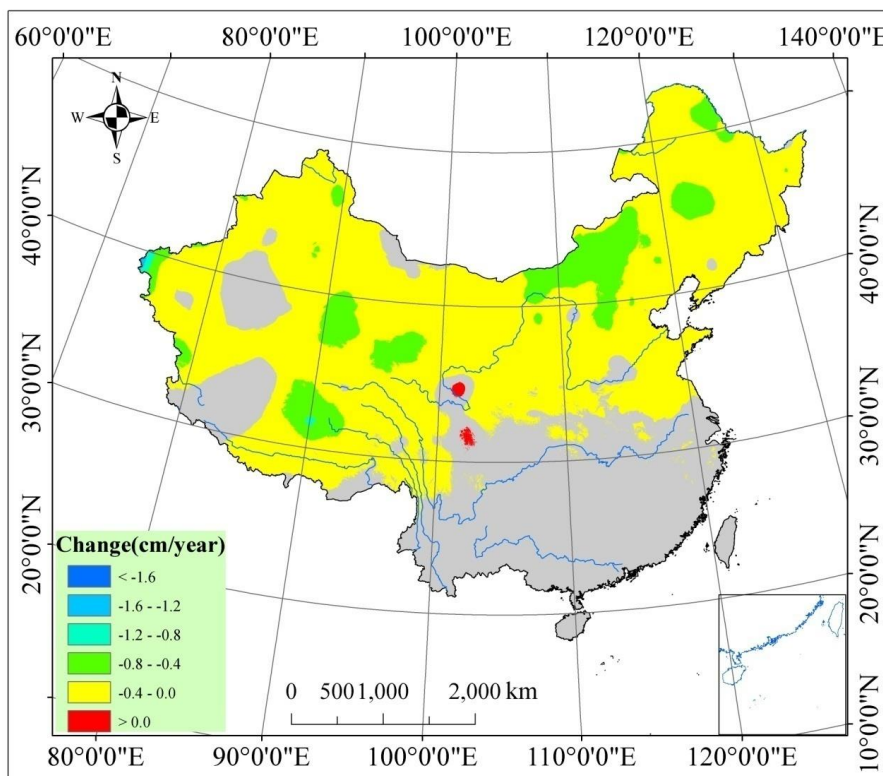
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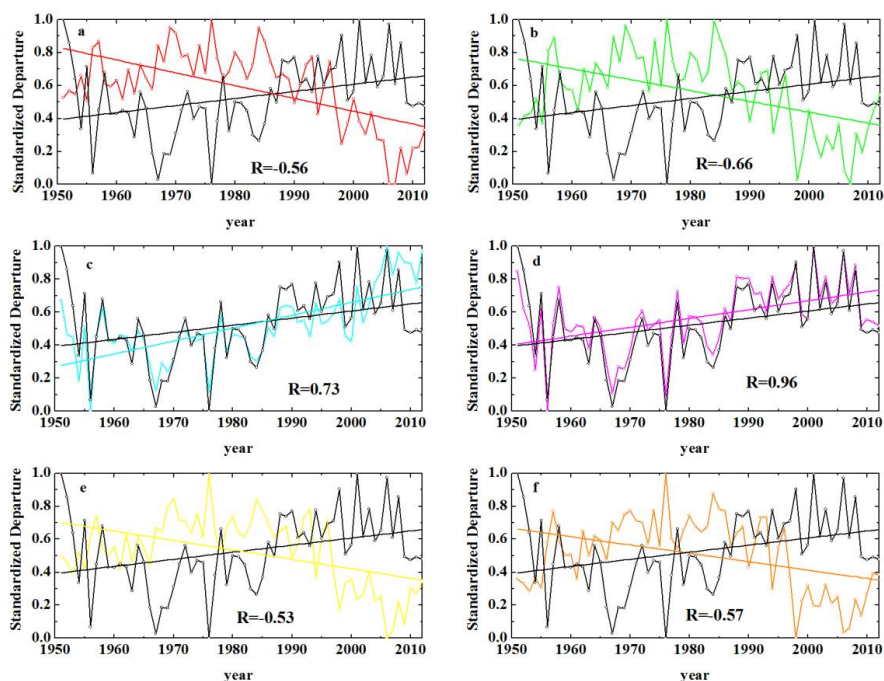
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681 **Figure 7.** SFD trends across China from 1950 to 2009. The grey regions mean the SFD change  
682 without statistically significant at  $p > 0.05$ , conversely statistically significant in other regions.

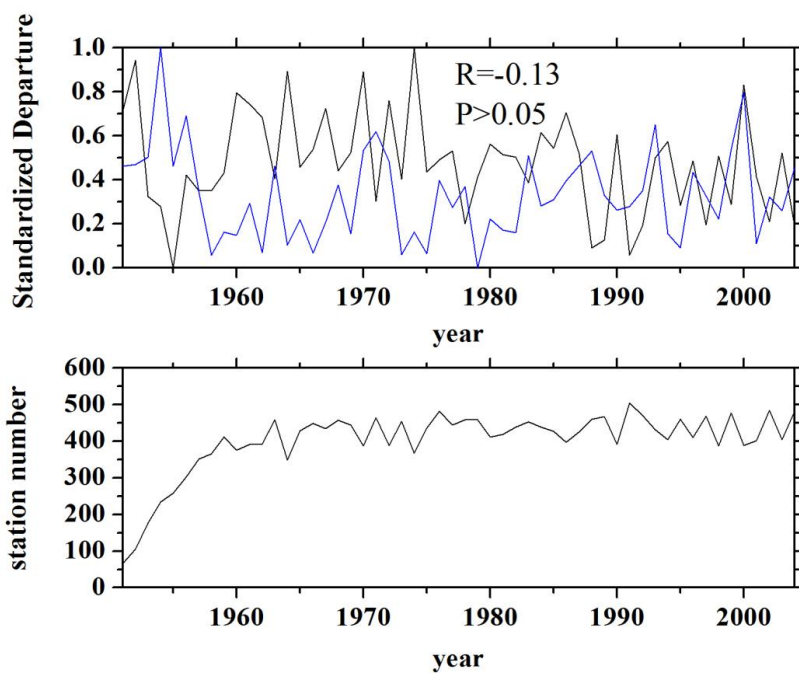
683



684

685 **Figure 8.** Potential forcing variables of SFD and its trend (black line): (a) red line: mean annual  
686 ground surface temperature, (b) green line: mean annual air temperature, (c) cyan line: surface  
687 freeze index, (d) magenta line: air freezing index, (e) yellow line: surface thawing index, (f)  
688 orange line: air thawing index. All the variables are standardized to range 0–1. R is the correlation  
689 coefficient, and all with statistically significant.

690

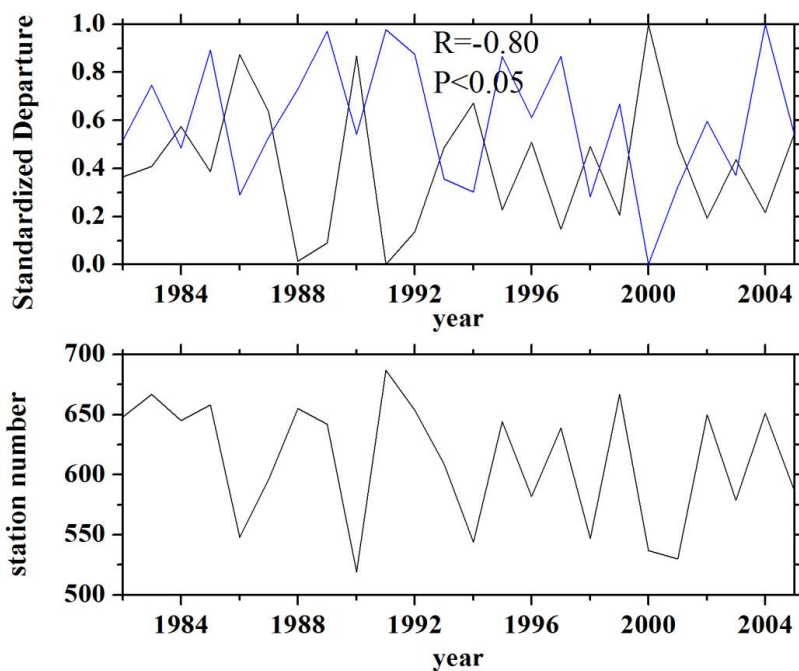


691

692 **Figure 9.** Correlation between SFD (top: blue circles) and SND (top: gray circles); Bottom: the  
693 number of observing stations contributing to the top time series. The variables are standardized to  
694 range 0–1. The negative correlation coefficient between SND and SFD, but without statistically  
695 significant.

696





697

698 **Figure 10.** Correlation between SFD (top: blue circles) and mean annual NDVI (top: gray circles);  
699 Bottom: the number of observing stations contributing to the top time series. The variables are  
700 standardized to range 0–1. In the upper panel, the negative correlation coefficient  $R=-0.8$  presents  
701 there is a strongly significant correlation between NDVI and SFD.

702

703