

1 **Frozen debris lobe morphology and movement: an**
2 **overview of eight dynamic features, southern Brooks**
3 **Range, Alaska**

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11
12 **Abstract**

13 Frozen debris lobes (FDLs) are elongated, lobate permafrost features that mostly move
14 through shear in zones near their bases. We present a comprehensive overview of eight FDLs
15 within the Dalton Highway corridor (southern Brooks Range, Alaska), including their
16 catchment geology and rock strengths, lobe soil characteristics, surface movement
17 measurements collected between 2012 and 2015, and analysis of historic and modern imagery
18 from 1955 to 2014. Field mapping and rock strength data indicate that the metasedimentary
19 and metavolcanic bedrock forming the majority of the lobe catchments has very low to
20 medium strength and is heavily fractured, thus easily contributing to FDL formation. The
21 eight investigated FDLs consist of platy rocks typical of their catchments, organic debris, and
22 an ice-poor soil matrix; massive ice, however, is present within FDLs as infiltration ice,
23 concentrated within cracks open to the surface. Exposure of infiltration ice in retrogressive
24 thaw slumps (RTSs) and associated debris flows lead to increased movement and various
25 stages of destabilization, resulting in morphological differences among the lobes. Analysis of
26 historic imagery indicates that movement of the eight investigated FDLs has been
27 asynchronous over the study period, and since 1955, there is an overall increase in movement
28 rates of the investigated FDLs. The formation of surface features, such as cracks, scarps, and
29 RTSs, suggests that the increased movement rates correlate to general instability, and even at

1 their current distances, FDLs are impacting infrastructure through increased sediment
2 mobilization. FDL-A is the largest of the investigated FDLs. As of August 2015, FDL-A was
3 39.2m from the toe of the Dalton Highway embankment. Based on its current distance and
4 rate of movement, we predict that FDL-A will reach the Dalton Highway alignment by 2023.

5

6 **1 Introduction**

7 An atmospheric temperature rise has been identified as unequivocal by the Intergovernmental
8 Panel on Climate Change (IPCC), with greater and faster temperature increase and an overall
9 precipitation increase demonstrated at northern latitudes (Stocker et al., 2013). Analysis of
10 field data collected throughout Arctic and sub-Arctic areas corroborates with IPCC's findings,
11 demonstrating an overall permafrost temperature rise (Christiansen et al., 2010; Romanovsky
12 et al., 2010; Smith et al., 2010). Slopes in permafrost areas are in danger of instability with
13 rising temperatures. Increasing temperatures lead to deeper active layer depths resulting in
14 increased water infiltration; ice within the soil or debris melts, causing loss of soil strength,
15 accelerated movement, and potential debris flows or total collapse (Deline et al., 2015;
16 Geertsema et al., 2006; Gude and Barsch, 2005; Harris et al., 2008a, 2008b; Lewkowitz and
17 Harris, 2005; Swanger and Marchant, 2007). Slope instability presents a risk to adjacent
18 infrastructure especially where roads and utilities pass through mountainous regions. An
19 increase in infrastructure construction may occur in northern regions, including Alaska, as
20 Arctic countries focus on economic development (EOP, 2014; Sevunts, 2013). Thus,
21 recognizing areas of slope instability and quantifying historic and potential movement become
22 progressively important as climate changes and northern regions see increasing development.

23 An example of previous development in the Alaskan Arctic was the construction of the Trans
24 Alaska Pipeline System (TAPS) and supporting infrastructure, including the Dalton Highway,
25 which opened a corridor within the Brooks Range. In the late 1970's and early 1980's, those
26 mapping the geology and geologic hazards along the Dalton Highway corridor noted the
27 presence of elongated, lobate features along slopes adjacent to the highway, thought to be
28 inactive at that time (Hamilton, 1978, 1979, 1981; Kreig and Reger, 1982; Brown and Kreig,
29 1983). These features were "rediscovered" in 2008, partially due to the fact that they were
30 indeed actively moving. When originally mapped, these features were identified as flow
31 slides or rock glaciers; however, ongoing investigations indicate that they are different from
32 rock glaciers in their source, composition, rate and mechanism of movement, and vegetation

1 coverage (Daanen et al., 2012; Simpson et al., in press). Because of these differences, these
2 permafrost features were given the new name *frozen debris lobes* (FDLs) (Daanen et al.,
3 2012). As the resolution of freely-available satellite imagery improves, we continue to
4 identify additional FDLs, with nearly 160 FDLs located thus far within the Brooks Range
5 (Figure S1).

6 Field investigations of FDLs began in 2008 with preliminary differential global positioning
7 system (DGPS) measurements, soil pits, and field observations on FDL-A, with some work on
8 nearby FDL-B, -C, and -D (Daanen et al., 2012). Field work continued with a 2012
9 subsurface investigation of FDL-A (Simpson et al., in press), and we expanded the Area of
10 Interest (AOI) in 2013 to comprise eight FDLs (Figure 1). Since expanding the investigation,
11 we have traveled to the field two to three times a year to collect DGPS measurements of the
12 FDL surfaces, as well as samples of soil, rock, water, and ice. Our field investigations and
13 observations led us to the following questions. 1) How does the bedrock source geology
14 contribute to FDL morphology? 2) Are the investigated FDLs consistent in composition and
15 morphology? 3) Has the movement of these FDLs been synchronous? 4) Have their rates of
16 movement changed over time? 5) How can we describe the origin of these features? 6) How
17 are FDLs impacting infrastructure? In an effort to answer these questions, in this paper we
18 present for the first time a comprehensive overview of eight different FDLs within the Dalton
19 Highway corridor. Within this overview, we detail catchment geology, measured rock
20 strengths, lobe soil characteristics, and historic and current rates of movement.

21

22 **2 Study Site and Background**

23 The AOI is located in the south-central Brooks Range, within the Arctic Mountains
24 Physiographic Division (Wahrhaftig, 1965). This area is underlain by continuous permafrost
25 (Jorgenson et al., 2008). Daanen et al. (2012) provided an overview of the historic
26 temperatures of the area, as well as initial descriptions of four FDLs and preliminary
27 characterization of movement processes. FDL-A is the largest frozen debris lobe within the
28 AOI, and the closest to the Dalton Highway (Figure 2a). Due to its close proximity to
29 infrastructure and thus greater potential risk, some of the authors conducted a subsurface
30 investigation of FDL-A jointly with the Alaska Department of Transportation and Public
31 Facilities in September 2012 (Darrow et al., 2012, 2013). We drilled a total of eight
32 boreholes, four on and four adjacent to FDL-A to the south and west. The borings ranged

1 between 3.0 and 30.5-m deep. In several of the borings, we installed slotted casing for
2 inclinometer measurements and thermistor strings; in the 30.5-m deep boring within FDL-A,
3 we installed an automated MEMS-based in-place inclinometer (M-IPI), two vibrating-wire
4 piezometers, and a thermistor string (the location of this main instrumented borehole is
5 indicated in Figure 2a). Simpson et al. (in press) summarized the geotechnical investigation,
6 presenting measured temperatures and slope movement data, the results of strength testing of
7 frozen soil samples, a slope stability analysis, and the initial results of a GIS protocol by
8 which to examine FDLs.

9 Results from these investigations indicate that FDLs are mainly composed of a fine-grained
10 soil matrix, but also contain rocks and organic debris. Sub-surface measurements within
11 FDL-A indicate that this frozen debris lobe moves predominantly through shear in a zone 20.6
12 to 22.8m below ground surface (bgs), with temperature-dependent internal flow as a
13 secondary movement mechanism (Darrow et al., 2015; Simpson et al., in press). For example,
14 between September 2012 and August 2015, FDL-A moved 13.8m through shear and only
15 1.9m through internal flow, for a total displacement of 15.7m at the main borehole location.
16 The significant movement within the shear zone severed the instrumentation approximately
17 one month after its installation; however, we are still able to collect subsurface temperature
18 and movement measurements from the upper 20.6m of the M-IPI. Temperatures measured
19 from 15 to 20.6m from January 2014 through August 2015 were stable at -0.85°C , whereas
20 the temperature of the adjacent permafrost at 3m from the same time period was -2.1°C .

21

22 **3 Methods**

23 **3.1 Fieldwork and sample collection**

24 We selected eight FDLs based on size, evidence of movement, and proximity to the Dalton
25 Highway to assess their geohazard potential. We installed surface marker pins to determine
26 current rates and spatial variability of surface movement, beginning with FDL-A in late
27 October 2012. In June 2013, we continued the installation on the other seven investigated
28 FDLs. On each lobe, the surface marker pins were positioned along a longitudinal profile
29 from the catchment to the lobe toe, and along at least one cross-sectional transect. We made
30 repeated measurements of all surface marker pins in August 2013, June and August 2014, and
31 May and August 2015, with additional measurements of FDL-A twice in November 2012 and

1 in March or April of each year. Measurements were made with a DGPS unit, having
2 horizontal and vertical accuracies of ± 5 cm. We also located and mapped easily visible scarps
3 in the less-vegetated catchment areas with a hand-held GPS. RTSs are present in several FDL
4 catchments and on the lobes; we repeatedly mapped their head scarps to determine rates of
5 regression.

6 We collected rock and soil samples (Figure S2) to determine rock strengths and soil
7 engineering index properties. We sampled rocks from the catchment areas for strength
8 testing, while also updating the pre-existing geologic map of the area (Spangler and Hubbard,
9 in review). Tests were made with a hydraulic point-load testing device to determine the
10 rocks' point load strength indices, which were converted to uniaxial compressive strengths.
11 On each lobe, we dug two 1-m deep soil pits, examining the near surface soils and collecting
12 samples for standard engineering index property testing, including moisture content [ASTM
13 D2116], organic content [modified from AASHTO T267], grain size distribution [AASHTO
14 T27/T11, ASTM D422], and plasticity [ASTM D4318] (AASHTO, 2009; ASTM, 1990, 1998,
15 2000). All soil classifications are based on the Unified Soil Classification System (USCS).

16 Field observations and subsequent analysis of LiDAR data indicated the presence of relatively
17 flat benches along the catchment slopes on either side of FDL-A. Hypothesizing that the
18 benches represented the paleosurface of FDL-A before its downslope movement began, in
19 August 2015 we sampled buried organic material from a trench in the active layer on the
20 south bench (see green dot in Figure 2a). We submitted the sampled organic soil to Beta
21 Analytic, Inc. for radiocarbon dating. This laboratory service calibrated the results using
22 databases associated with the 2013 INTCAL program (Reimer et al., 2013); the resulting two-
23 sigma calendar calibration range is presented herein.

24 The summer of 2014 was the wettest on record for parts of Interior Alaska, with rainfall
25 exposing massive ice in several RTSs on FDL-7, -A, and -D. In August 2014 we collected
26 samples of exposed ice on FDL-A in the lower RTS near the left flank (see lower yellow
27 arrow in Figure 2a), and water samples from the creek that drains FDL-A and a puddle
28 adjacent to the lobe during a major rain event; in March 2015, we collected two samples of
29 snow from the lobe. These samples were submitted for analysis to the Alaska Stable Isotope
30 Facility at the University of Alaska Fairbanks' Water & Environmental Research Center.
31 Stable isotope data were obtained using continuous-flow isotope ratio mass spectrometry
32 (CFIRMS). The $\delta^{2}\text{H}$ and $\delta^{18}\text{O}$ values were measured using pyrolysis-EA-IRMS. This

1 method utilized a ThermoScientific high temperature elemental analyzer (TC/EA) and Conflo
2 IV interface with a DeltaVPlus Mass Spectrometer. Stable isotope ratios were reported in δ
3 notation as parts per thousand (‰) deviation from the international standards, V-SMOW
4 (Standard Mean Ocean Water). Typically, instrument precision is $<3.0\text{‰}$ for hydrogen and
5 $<0.5\text{‰}$ for oxygen. We compared the results obtained against the Global Meteoric Water
6 Line (GMWL) and isotope values from massive ice bodies taken from the literature.

7 **3.2 Historic image collection and analysis**

8 We acquired aerial and satellite imagery for the AOI from years between 1955 and 2014
9 (Table 1); images for each dataset were compiled into mosaics using the Agisoft Photoscan
10 and ENVI software. The mosaics were ortho-rectified according to the American Society of
11 Photogrammetry and Remote Sensing's (ASPRS) horizontal accuracy standards (ASPRS,
12 2015). In a GIS environment, we used contour lines derived from digital elevation models
13 (DEMs) produced from 2011 LiDAR and 2001 Interferometric Synthetic Aperture Radar
14 (IfSAR) data (1m and 5m resolution, respectively), GPS measurements, and field observations
15 as references for determining catchment and 2011 lobe extents. Next, we determined the
16 extent of each lobe for each year of available imagery (spatial limitations in imagery coverage
17 are summarized in Table 1). Because the FDLs demonstrate only minor lateral spreading,
18 longitudinal profile polylines oriented along the center of each lobe served as the consistent
19 reference from which to measure changes. The distance between each pair of toe locations
20 measured along the longitudinal center line for two data sets was divided by the time interval
21 between data years, resulting in an average movement rate for the time interval. Each rate
22 was assigned to the latter of the two data years. Although not part of the rate analysis, we also
23 include assessment of 2001 and 2002 Google Earth images of the AOI in the discussion.

24

25 **4 Results**

26 **4.1 Catchments and rock data**

27 Frozen debris lobes typically originate from catchments (Figure 2a), many of which may have
28 supported small cirque glaciers during early to mid-Pleistocene glacial advances in the area
29 (Hamilton, 1986). In some cases, FDLs have formed at the base of a slope rather than a
30 catchment, from the accumulation of loose colluvium (e.g., FDL-C), or from landslide

1 deposits (Figure 2b). The catchments of the eight FDLs presented here range from 121,000
2 m² (FDL-B) to 801,000 m² (FDL-A), with an average size of 414,000 m² (Table S1).

3 The catchments of the investigated FDLs range from bowl-like and well-defined (FDL-11, -B,
4 -A, -D, -5), to flatter with more open slopes (FDL-7, -4). The upper portions of the
5 catchments consist of exposed rock talus and solifluction lobes supporting shrubby vegetation
6 (Figure 2c). The major sources of debris coming into the catchments are rock fall and
7 solifluction (Daanen et al., 2012; Spangler et al., 2013).

8 The bedrock contributing to the studied catchment areas consists of heavily fractured,
9 metasedimentary and metavolcanic rocks, including phyllite, slate, metasiltstone,
10 metasandstone, greywacke, and conglomerate, with minor amounts of limestone, marble, and
11 igneous intrusions (Figure S2; see Table S2 for rock unit descriptions). The joint spacing is
12 typically less than 30mm. The rocks tested had strengths ranging from 14.0 to 77.3 MPa
13 (Figure S2, Table S1), which covers the range from very low strength to medium strength
14 (Kehew, 2006). It should be noted that testing was conducted on samples that were
15 competent enough to be collected and transported from the field, which suggests that these
16 strength values are an overestimate of the actual rock strengths in the field area. While the
17 bedrock in each catchment consists of different units, the commonality among all catchments
18 is the predominance of heavily fractured, platy, foliated rocks. Additionally, while some
19 samples demonstrated medium strength values, the test results and associated bedrock
20 geology indicate that most of the catchment areas are underlain by very low to low strength
21 rocks. The combination of low strength and high degree of fracturing suggests that much of
22 the bedrock can be treated as dense coarse soil (Milligan et al., 2005), thus easily contributing
23 to the formation of mass movement features such as FDLs.

24 **4.2 Frozen debris lobe composition and morphology**

25 FDLs are elongate lobate features. The areas of the eight FDLs presented here range from
26 83,000 m² (FDL-11) to 286,000 m² (FDL-A), with an average area of 149,000 m². Their
27 length-to-width ratios typically range from 4:1 to 7:1 (Table S3). An exception is FDL-C,
28 with a length-to-width ratio of 2:1. The rounder appearance of this lobe is most likely due to
29 its origin at the base of a slope rather than in a catchment, which limits both its supply of
30 debris and water. Most notable about the surface of FDL-C are the smaller, superimposed
31 surface lobes that form as the mass moves downslope (Figure 2d). These features are present
32 on several other lobes, including FDL-A. Analysis of subsurface data suggests that the

1 surface lobes form as faster internal flow within the active layer becomes sandwiched between
2 the cooling surface and the rising permafrost table in the fall (Darrow et al., 2015). Soil pits
3 excavated into the top 1m of several of the lobes contained buried organic layers, possibly
4 buried as the surface was overrun by uphill material in a surface lobe.

5 A special case of buried organic material was manifested on the south bench of FDL-A. The
6 trench dug into the active layer at this location exposed the transition of colluvium (brown
7 organic silt) into FDL-A lobe soil (gray silty sand with gravel). Within the lobe soil, we
8 intercepted a buried organic layer that was submitted for radiocarbon dating; the calibrated
9 result (95% probability) indicated a time of burial between Cal AD 1220 to 1285.

10 FDLs are composed of platy rocks typical of their catchments, organic debris such as trees
11 and shrubs, and a soil matrix composed of silty sand with varying amounts of gravel, all of
12 which is frozen (Table S3). Where sampled, the upper 1m of tested FDL soils were moist to
13 wet and slightly organic to organic. Similar tests were conducted on the subsurface samples
14 obtained from the 2012 drilling on FDL-A. Samples were collected from depths ranging from
15 2.9 to 24.8m bgs, and tested uniformly as wet (when thawed) silty sand with gravel (Table
16 S3), indicating a consistency in the soil gradation and moisture content with depth that may
17 occur for all FDLs. Boreholes from the 2012 subsurface investigation intercepted no massive
18 ice, and all samples obtained from the drilling were ice-poor (i.e., samples contained no
19 excess ice and volumetric moisture contents (averaging 31%) were less than the calculated
20 porosity of the soil).

21 While the sampled soils were ice-poor, in striking contrast massive ice does exist within the
22 FDLs. Over several years, we measured and observed the changes of two RTSs on FDL-A's
23 surface (Figure 2a). Figure 3 contains photographs of the change in the upper RTS, which
24 retreated up to 20m between 2011 and 2015 due to the melting of massive ice.

25 There are several different origins of massive ice in periglacial regions (Davis, 2001;
26 Washburn, 1985; Williams and Smith, 1989); we propose that the ice exposed in the FDLs is
27 infiltration ice, which forms as rain and snow melt quickly freeze after entering into cracks in
28 the ground. Tarussov (1992) used the term "infiltration ice" to describe a similar
29 phenomenon produced as summer melt infiltrates glacial ice. The ice we observed in the RTS
30 head scarps was clear consisting of large crystals, and containing bubbles and strands of
31 fungus (Figure 4a inset). Observations of the head scarp in the lower RTS further support the
32 infiltration ice origin. The exposed massive ice corresponded with an open surface crack with

1 a buried organic layer vertically offset to its right and left, indicating extension and downslope
2 movement (Figure 4a).

3 Figure 4b is a presentation of the isotope analysis results with the GMWL and isotope values
4 from massive ice bodies taken from the literature, including Pleistocene wedge ice near
5 Fairbanks, Alaska (Douglas et al., 2011), lateglacial and Holocene wedge ice near Barrow,
6 Alaska (Meyer et al., 2010), and a suite of wedge ice samples ranging in age from Pleistocene
7 to recent from northern Siberia (Meyer et al., 2002). This collection of data indicates that the
8 oldest ice has the lightest isotopic composition, which becomes heavier with decreasing age.
9 The infiltration ice sample from FDL-A is bracketed by recent and subrecent wedge ice. The
10 heavy isotopic composition of the sampled ice and its similarity to the creek and puddle
11 samples supports the hypothesis that infiltration ice forms predominantly from rain water
12 entering cracks open at the surface.

13 **4.3 Frozen debris lobe movement rates**

14 Figures 5 and 6 are vector maps, illustrating the amount of movement measured on the lobe
15 surfaces between June 2013 and August 2015, as well as RTS head scarp retreat. The lobes
16 were divided into those demonstrating between 6 and 45m of movement (Figure 5), and those
17 demonstrating less than 6m of movement (Figure 6) during the measurement period.
18 Movement is generally parallel to each FDL's longitudinal profile. Levees that formed along
19 the lobe flanks demonstrate a component of movement away from the center line, indicating
20 some spreading of the lobe along its periphery (see FDL-7, -A, -C, and -4 as examples).
21 Additionally, the levees move slower than the rest of the lobe. We observed a notable
22 example of this differential movement in August 2014 on FDL-7 when a recent debris flow
23 along the levee margin was sheared forming echelon cracks within the young deposit. The
24 average rates of movement for all FDLs for the 2013-2014 and 2014-2015 measurement
25 periods are presented in Table S3. These values exclude measurements taken on levees or
26 above the lobes in their catchments. The 2014-2015 rates range from 0.2m yr⁻¹ for FDL-11 to
27 13.3m yr⁻¹ for FDL-D, with FDL-A falling in between at 5.2m yr⁻¹.

28 **4.4 Analysis of historic imagery**

29 Figure 7 is a presentation of the change in extent of the eight investigated FDLs from 1955 to
30 2014, and changes in movement rates over this period are presented in Figure 8 (see Table S4
31 for a rate summary). The eight FDLs can be divided into two general groups, those with

1 increasing rate trends (either steadily or rapidly increasing; Figures 8a and 8b, respectively),
2 and those with decreasing rate trends (Figure 8c). Only two of the eight FDLs have
3 decreasing rate trends.

4 Analysis of the visual progression and rates indicates that movement of these FDLs has been
5 asynchronous over the study period. For example, FDL-11 advanced nearly 10m yr⁻¹ in the
6 1970s, faster than any of the other FDLs at that time; however, our surface marker
7 measurements indicate that FDL-11 is currently moving only 0.2m yr⁻¹. In contrast, FDL-D
8 experienced a rapid increase in movement in recent years, moving an average 32.1m yr⁻¹
9 between 2009 and 2011, with FDL-7 and FDL-5 demonstrating the next largest increases in
10 movement rates. FDL-A on the other hand, has demonstrated a steady increase in its
11 movement rate since 1955, fitting a linear trend with a coefficient of correlation (R²) of 0.88
12 over this period.

13

14 **5 Discussion**

15 Much work has been done to describe and categorize mass wasting features on permafrost-
16 stabilized slopes in mountain regions (French, 2007; Gorbunov and Seversky, 1999; Gruber
17 and Haeberli, 2007; Haeberli et al., 2006; Humlum, 1998a; Käab et al., 2007; Matsuoka et al.,
18 2005; Wahrhaftig and Cox, 1959). FDLs are the latest features to be defined (Daanen et al.,
19 2012), taking their place in the continuum of mass movement processes. Since FDLs were
20 referred to previously as rock glaciers, we include a brief summary of these features for
21 comparison. Rock glaciers are described in many cold climate regions (Ballantyne et al.,
22 2009; Barsch, 1977; Berthling et al., 2003; Bollman et al., 2015; Brenning and Azocar, 2010;
23 Calkin et al., 1998; Farbrot et al., 2007; Haeberli and Vonder Mühl, 1996; Haeberli et al.,
24 1998, 2006; Humlum, 1998a, 1998b; Ikeda and Matsuoka, 2006; Ikeda et al., 2008; Isaksen et
25 al., 2000; Käab et al., 1997; Krainer et al., 2015; Wahrhaftig and Cox, 1959; Wirz et al.,
26 2015), forming on talus slopes, at the base of cliffs, or within cirques (Davis, 2001; Embleton
27 and King, 1975). In terms of lobe geometry, Matsuoka et al. (2005) describe a classification
28 for rock glaciers and solifluction lobes. FDLs most resemble these authors' description for
29 "pebbly" rock glaciers; however, the dimensions of FDLs are much greater with a notably
30 different composition. Rock glaciers often support surfaces covered by blocky
31 cobble/boulder-sized debris, underlain by ice-supersaturated finer material, which consists of
32 sand and gravel with little silt or clay (Embleton and King, 1975; Haeberli and Vonder Mühl,

1 1996; Haeberli et al., 1998; Ikeda and Matsuoka, 2006; Krainer et al., 2015; Wahrhaftig and
2 Cox, 1959). It is typical for the subsurface ice-supersaturated layer to be 50 to 90% ice by
3 volume, and geophysical soundings and drilling indicate a stratigraphy of coarse blocky
4 material, underlain by ice-rich soils, underlain by another coarse basal layer (Haeberli et al.,
5 1998; Krainer et al., 2015). Unlike rock glaciers, FDLs are “homogeneously heterogeneous”;
6 that is, they are composed of silty sand with varying amounts of gravel. Drilling in FDL-A
7 and visual observations of exposures on all of the lobes indicate this consistent mix of soil
8 with depth. While cobbles and boulders do exist on and within these features, they are a
9 minor component and do not form distinct horizons.

10 The large volume of ice within rock glaciers allows for their movement through steady-state
11 creep, with the younger, stratigraphically higher layers moving faster than the older, deeper
12 layers (Haeberli and Vonder Mühll, 1996; Haeberli et al., 1998). Since the creep rate
13 decreases with decreasing ice content for volumetric ice contents less than 65% (Arenson et
14 al., 2015), the slower creep rate with depth may be related to rock glacier stratigraphy. Rock
15 glaciers move 1 m yr^{-1} or less, although recent measurements show rates as high as 6.3 m yr^{-1}
16 (Micheletti et al., 2015; Wirz et al., 2015). FDLs move at rates an order of magnitude greater
17 than those typical of rock glaciers. While measurements from FDL-A indicate a component
18 of internal flow/creep, this mechanism of movement is minor contributing only 12% to the
19 overall movement rate. Instead, FDL-A – and other FDLs based on field observations – move
20 mostly by shear in zones near their bases. This is similar to the findings of Krainer et al.
21 (2015), who documented an ice-cemented rock glacier that moved mainly in two shear
22 horizons, with internal deformation contributing minor movement (albeit at a much slower
23 rate than FDLs).

24 Another characteristic of FDLs that sets them apart from rock glaciers is their vegetative
25 cover. Rock glaciers that are mostly or completely covered by vegetation are relict features
26 (Krainer et al., 2015). In contrast, many of the FDLs within the Dalton Highway corridor
27 support mature white spruce forests on their surfaces and all support brushy vegetation; the
28 trees indicate movement of the underlying, active FDL by their orientations away from
29 vertical. Occasionally, active forested rock glaciers do exist, such as Tien Shan rock glaciers
30 in Central Asia (Sorg et al., 2015) and the Slims River lobate rock glacier in Canada
31 (Blumstengel and Harris, 1988); however, their slower rates of movement and composition
32 differentiate these rock glaciers from FDLs.

1 Haeberli et al. (1998) presented a discussion of how the multilayered structure of rock glaciers
2 forms, and Haeberli and Vonder Mühll (1996) suggested that many rock glaciers in the
3 European Alps have been in existence since the beginning of the Holocene. Recent work by
4 Krainer et al. (2015) on a rock glacier in the Italian Alps verified its formation nearly 10,300
5 years ago. Similar ages are suggested for rock glaciers in Alaska's northern and central
6 Brooks Range, with rock glaciers forming from increased rockfall from oversteepened valleys
7 and cirque walls after Pleistocene glacial retreat (Calkin et al., 1987; Ellis and Calkin, 1984).

8 In the southern Brooks Range, the catchments within the AOI supported cirque glaciers
9 during the Itkillik I advance (110-60 ka), but subsequent advances were not as extensive
10 (Hamilton 1978, 1986); thus, these catchments may have been ice-free longer than the
11 Holocene. With the retreating ice, debris accumulated in the catchment bottoms. The platy
12 and weak rocks typical of the area weathered to form the silty sand with gravel soil matrix
13 comprising FDLs. As the AOI was propitious for the formation of permafrost, the debris
14 froze as it accumulated, providing rheological properties that both countered erosional
15 processes and allowed flow. Accumulation continued until the debris reached a "critical
16 mass" and began to flow out of the catchment areas. The recharge of the debris in the
17 catchment areas is at a much slower rate than the movement rates of the individual lobes; thus,
18 this is the first and only journey these specific features will make downslope. As indicated by
19 Daanen et al. (2012), the end of this mass movement process is an alluvial fan that forms
20 when an FDL completely destabilizes or when it reaches the river in the valley bottom, which
21 removes the toe.

22 When did these FDLs begin to flow from their catchments? The answer to this question is
23 important to build a framework from which to evaluate the risk these features pose to the
24 adjacent infrastructure, and here we focus on FDL-A. FDL-A is farther downslope than any
25 of the other lobes, which suggests that it either began to flow out of its catchment earlier or
26 experienced rapid downslope movement. The benches present on either side of its lower
27 catchment may represent its paleosurface before downslope movement began. Recreating the
28 lobe within the catchment at this level provides a rough volume estimate of 1,450,000 m³
29 (Figure 9). The sampled organic layer from within the bench was buried (possibly by debris
30 flow deposits) as the lobe surface was actively building. Based on the radiocarbon date, the
31 bulk of the lobe moved downslope around 730 to 795 years ago, leaving behind the benches
32 and the buried organic material at the lobe's original elevation. While this interpretation is

1 based on only one date from one site on FDL-A, it provides a general timeframe for this stage
2 of lobe development.

3 Downslope movement of an FDL causes tension and shearing, resulting in the formation of
4 surface cracks. All of the investigated FDLs support numerous transverse and longitudinal
5 cracks, and we suspect that these cracks contain infiltration ice. As a crack opens due to
6 movement, water entering the crack freezes forming infiltration ice; the crack cannot close
7 again, nor fill with debris. Thus, infiltration ice contributes to FDL movement by providing
8 additional lobe volume. An open, unfilled tension crack represents a break in the subsurface
9 lateral stress distribution (Cornforth, 2005); however, if filled with ice, stresses developed in
10 the upper lobe can be transmitted to the lower reaches. Finally, the ice volume must be
11 considered with increasing temperatures. Increased melting of infiltration ice will lead to
12 reduced soil strength and increased pore water pressure within the lobe (Simpson et al., in
13 press), which will accelerate FDL movement. Ikeda et al. (2008) documented a similar
14 process in a rock glacier in the Swiss Alps; movement formed tensile cracks, allowing snow
15 melt to penetrate into voids, decreasing effective stress and increasing movement rates. Based
16 on the number of surface cracks, an appreciable volume of the FDLs may be ice; however, we
17 do not know how deeply these cracks penetrate the lobe. The volume and distribution of
18 massive ice within FDLs may be determined through geophysical methods. Several methods
19 – such as seismic refraction, georadar, and electrical DC resistivity – have yielded much
20 information about the permafrost subsurface, including rock glaciers (Haeberli and Vonder
21 Mühl, 1996; Hauck, 2013). Preliminary work on FDL-A indicates that induced polarization
22 tomography (IPT) is a promising method to visualize the shear zone and any zones of liquid
23 water within these features.

24 Not all of the FDLs are in the same state of downhill progression. Here we discuss three
25 specific lobes that represent possible stages of FDL destabilization. Since beginning our field
26 observations, we have noticed increasing signs of instability in FDL-5. Its surface appears to
27 be “deflating” as evident by trees leaning towards its center on both the right and left flanks
28 (Figure 2e). This redistribution of mass resulted in oversteepening of the toe, measured at
29 $\sim 44^\circ$ in 2015.

30 FDL-7 on the west side of the Dietrich River represents the next stage in destabilization. This
31 lobe also deflated with trees leaning towards its center line; however, at this more advanced
32 stage, the center has surged forward, forming a lower tongue shape (Figure 10a). The lower

1 tongue is actively and quickly changing, with large exposures of bare mineral soil and highly
2 damaged spruce trees (Figure 10b). On the flanks where the lower tongue begins, massive ice
3 is exposed in RTSs that generate debris flows and provide another source of surface water
4 during the summer months (Figure 10c). Between May and August 2015, significant erosion
5 occurred, merging the head scarps of RTSs near the right and left flanks of FDL-7 into one
6 RTS that spans the entire width of the lower tongue (Figure 5a).

7 The most advanced stage of destabilization is manifested in FDL-D. Between 1993 and 2001,
8 a RTS formed in FDL-D's lower catchment area. By 2010, transverse cracks in the catchment
9 and longitudinal cracks along the levees were visible and persistent throughout the winter,
10 indicating that the lobe was moving significantly throughout the year (see Figure 11a for an
11 example of transverse cracks within a winter aufeis deposit). Following the formation of the
12 RTS, FDL-D rapidly moved 316m downhill between 2002 and 2014. Although the
13 northernmost scarp has not changed significantly since 2011, other active RTSs continue to
14 enlarge. Our mapping of two other RTSs indicated up to 38m of head scarp retreat between
15 2011 and 2015 (Figure 5c). These head scarps expose massive ice, which melts and
16 contributes to debris flows. The debris flows cover much of the upper lobe area (Figure 11b),
17 provide additional sediment and water to the lobe, and increase the surface temperature of this
18 already unstable permafrost feature.

19 Downhill of the catchment, the surface of FDL-D is a jumbled landscape, full of cracks,
20 scarps, ponds, bare mineral soil, and crisscrossed vegetation that once was a mature spruce
21 forest with a moss-covered ground surface. Figures 11c and 11d are two examples of the
22 landscape and extreme movement of the surface. In each photograph, a spruce tree is upside
23 down with its roots (Figure 11c) or trunk (Figure 11d) exposed, while the rest of the tree is
24 completely consumed by the lobe.

25 All of the investigated FDLs have scarps or RTSs in their upper reaches (Figures 5 and 6).
26 Analysis of the historic images and Google Earth satellite imagery indicates that the change in
27 lobe morphology and formation of scarps on FDL-7 occurred between 1979 and 1993. The
28 scarps on FDL-A, -C, and -D formed later between 1993 and 2002; the scarps on the other
29 lobes are smaller and difficult to discern in the historic imagery. As mentioned above, the
30 scarp on FDL-D evolved into an active RTS, and subsequently the lobe moved rapidly
31 downslope. We hypothesize that RTS formation is a key step in FDL destabilization. The
32 initial exposure of bare mineral soil increases the surface temperature, which causes

1 infiltration ice to melt (Burn, 2000; Kokelj et al., 2009; Malone et al., 2013). The meltwater
2 forms debris flows that cover a larger area of the lobe, changing the moss-covered surface to
3 bare mineral soil, which increases the surface temperature and repeats the cycle (Gooseff et
4 al., 2009). The debris flows also load the lobe surface with additional sediment, potentially
5 providing the extra driving force needed to initiate downslope movement and the formation of
6 transverse cracks. The meltwater can infiltrate through the now open cracks potentially to the
7 basal shear zone, increasing pore water pressure and further accelerating the lobe's
8 movement. More movement perpetuates this process, resulting in overall destabilization.

9 The underlying topography also may contribute to the destabilization of FDLs. Examination
10 of the topographic maps generated from the 1955 imagery and the other historic images
11 indicates that the drainages downslope of FDL-11, -7, -D, and -5 have topographic
12 constrictions that impeded downslope movement of these lobes. The topographic constriction
13 is most obvious for FDL-7. Sometime between 1979 and 1993, the lobe met this topographic
14 narrowing, which halted the movement of the toe of the lobe; however, by 1993 a small
15 portion of the lobe continued to flow forward, forming the lower tongue. The subsequent
16 shearing along the flanks exposed infiltration ice, leading to growth of RTSs and acceleration
17 of FDL-7's lower tongue. We suspect that FDL-5 is only now reaching a topographic
18 constriction and may experience a similar destabilization and increase in movement in the
19 near future.

20 The creeks draining the FDLs modify the permafrost downslope of the lobes, which also may
21 contribute to accelerated movement. For example, we observe that the increased sediment
22 load causes the creeks to jump out of their established channels, resulting in thermokarsts in
23 the adjacent ice-rich soils (Gooseff et al., 2009). Often these creeks disappear within the
24 permafrost, reappearing farther downhill as springs. This channel migration lowers the
25 permafrost table, and increases ground temperature and pore water pressure, facilitating the
26 movement of the lobe as it slides across the modified terrain. It is through their drainages that
27 even the most-distant FDLs are impacting the infrastructure. The increased sediment
28 mobilization from FDL movement and destabilization fills ditches and culverts, resulting in
29 an overtopping hazard to the Dalton Highway and increased maintenance costs. Even FDL-7,
30 which is across the Dietrich River from the Dalton Highway and TAPS (Figure 1), may affect
31 the infrastructure. The alluvial fan that is building in front of the lobe has the potential to shift
32 the active Dietrich River channel to the east impinging on the TAPS alignment.

1 As of August 2015, the eight investigated FDLs range from about 1500m (FDL-4) to less than
2 40m (FDL-A) from the Dalton Highway (Table S3). Given the rate trends presented in Figure
3 8, we can estimate when each FDL will intersect the highway embankment. Based on its
4 2015 distance of 39.2m, rate of 5.2m yr^{-1} , and correlation coefficient for rate of movement, we
5 predict that FDL-A will intersect the existing Dalton Highway alignment by 2023. This
6 estimate, however, is based on data from 1955 to 2014, which may have been a stable time for
7 FDL-A. The recent enlargement of the upper RTS and the formation of large, persistent
8 transverse cracks across FDL-A mirrors the pattern of instability demonstrated by FDL-D.
9 These features may forecast rapid downslope movement for FDL-A.

10 While the results of the research presented here have increased our understanding of the
11 composition, morphology, and movement trends of FDLs, this study is not without
12 limitations. 1) Lack of aerial imagery limited the historic image analysis. Many data sets
13 were unusable due to cloud cover, lighting conditions and shadowing, and damage to the film.
14 Analysis of additional imagery could refine the rate trends, and identify the exact timing of
15 rapid movement for FDL-11, -7, and -D. 2) The organic material sampled from FDL-A
16 provided preliminary evidence for its initial downslope movement from the catchment.
17 Additional samples should be collected on FDL-A and the other FDLs to increase the
18 understanding of movement history. 3) The observations presented here indicate that FDL
19 changes in movement may be tied to changes in air temperature. Unfortunately, long-term
20 temperature data does not exist for the immediate area. Future studies could monopolize on
21 the spruce forests in the area by developing a proxy climate record from tree-ring analysis. 4)
22 From our observations, we suspect that infiltration ice comprises a considerable percentage of
23 FDL volume; however, we cannot estimate this volume based on current data. We
24 recommend the use of geophysical methods, specifically IPT, combined with additional
25 drilling to determine better estimates of ice. 5) Finally, ongoing measurements of surface
26 movement will provide more refined estimates of rates, allowing field identification of
27 destabilization features.

28

29 **6 Conclusions**

30 We present the results of the first comprehensive study of eight FDLs near the Dalton
31 Highway in the Brooks Range, which include repeated surface measurements, rock strength
32 testing, soil engineering index property testing, isotope analysis of infiltration ice, radiocarbon

1 dating, and analysis of historic images of the AOI. Analysis of these various data sets
2 provided answers to initial questions:

- 3 1) The bedrock forming the majority of the catchments has very low to medium strength
4 and is heavily fractured. These characteristics indicate that the bedrock responds
5 quickly and easily to physical weathering processes, and thus contributes to the
6 formation of FDLs.
- 7 2) FDLs consist of platy rocks typical of their catchments, along with organic debris, and
8 an ice-poor soil matrix composed of silty sand with varying amounts of gravel.
9 Massive ice is present within FDLs as infiltration ice, concentrated within cracks open
10 to the surface. Increased movement and exposure of ice in RTSs leads to various
11 stages of destabilization, resulting in morphologic differences among the lobes.
- 12 3) Movement of the FDLs within the AOI has been asynchronous since 1955, with FDL-
13 11 demonstrating significant movement in the 1970s followed by quiescence, while
14 FDL-7, -D, and -5 currently demonstrate significant movement and/or increasing signs
15 of destabilization. The radiocarbon dating results provide other preliminary evidence
16 of asynchronous movement, indicating that FDL-A began to move out of its catchment
17 over 700 years ago, demonstrating either greater or earlier downslope movement than
18 any of the other FDLs.
- 19 4) Since 1955, six of the eight investigated lobes demonstrated an increase in movement
20 rates. The formation of surface features, such as cracks, scarps, and RTSs, suggest
21 that the increased movement rates correlate to general instability.
- 22 5) We offer a formation scenario of the FDLs after deglaciation of the area, as well as
23 observations on contributing factors to lobe movement and destabilization.
- 24 6) Even at a distance, FDLs are impacting infrastructure through increased sediment
25 mobilization. Based on its current distance and rate of movement, we predict that
26 FDL-A will reach the Dalton Highway alignment by 2023; however, this estimate
27 does not account for the signs of increasing instability in the upper reaches of FDL-A.

28

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9

10 **Disclaimer**

11 The views, opinions, findings, and conclusions reflected in this paper are the responsibility of
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14

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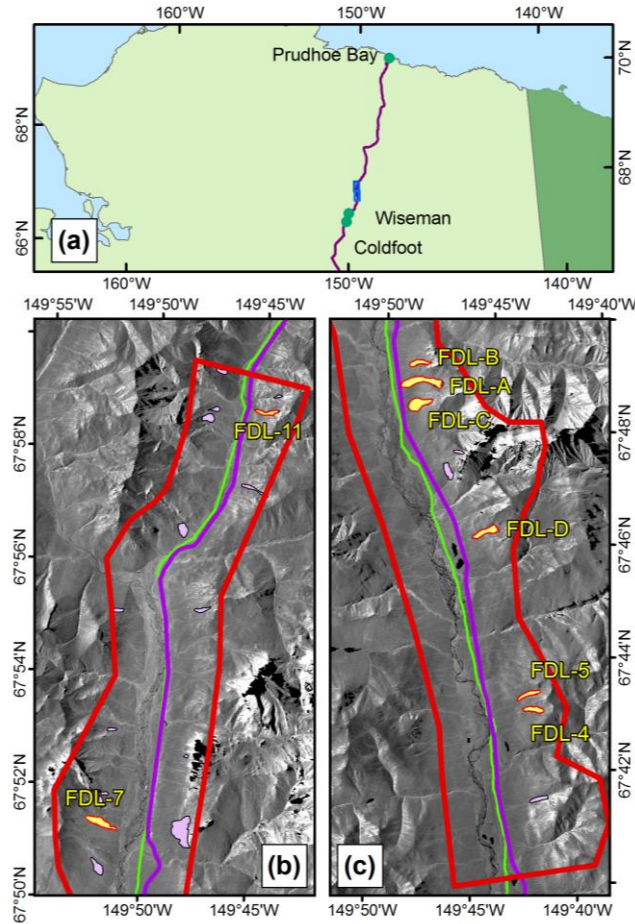
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14 conditions. *Earth Surface Dynamics Discussions*, 3, 459-514, 2015.
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1 Table 1. Summary of available imagery used for historic analysis. USGS is the U.S.
 2 Geological Survey, AHAP stands for Alaska High-Altitude Photography, and DGGS is the
 3 Alaska Division of Geological & Geophysical Surveys. If all FDLs are covered by a given
 4 data set, "NONE" is stated under Limitations.

Year	Source	Resolution (m)	Limitations in FDL coverage
1955	USGS (Aerial)	1.78	NONE
1970	AHAP (Aerial)	2.0	NONE
1978	AHAP (Aerial)	1.5	no FDL-5, -4
1979	AHAP (Aerial)	1.5	only FDL-11, -7, -B
1981	AHAP (Aerial)	1.5	only FDL-D, -5, -4
1993	Quantum Spatial (Aerial)	0.3	NONE
2007	DigitalGlobe Ikonos (Satellite)	1.5	only FDL-7, FDL-B
2009	DigitalGlobe WorldView (Satellite)	0.5	no FDL-11
2011	DGGS (LiDAR)	1.0	NONE
2014	DigitalGlobe WorldView (Satellite)	0.5	NONE

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 2 Figure 1. Map of the study area. (a) Location relative to communities along the Dalton
 3 Highway (shown in purple); blue rectangular insets show locations of Area of Interest (AOI).
 4 The northern and southern portions of the AOI (in red) are shown in (b) and (c), respectively.
 5 The eight investigated FDLs are shown in yellow and labeled; other FDLs within the AOI are
 6 shown in lavender. The TAPS alignment is indicated in green; within the AOI the
 7 infrastructure parallels the Dietrich River. (Base map data from ASGDC (2014) and GINA
 8 (2001))
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18 Figure 2. Typical FDL appearance, lobe, and catchment features. (a) FDL-A, originating
19 from a cirque-like catchment; the Dalton Highway is in the foreground (photograph taken in
20 June 2013). Yellow arrows indicate locations of two retrogressive thaw slumps (RTSs), the
21 blue arrow indicates the location of the 2012 instrumented borehole, and the green dot is the
22 location of the sampled organic layer. (b) FDL at the base of a slope outside of the AOI that
23 may have formed from a paleo-landslide deposit. The Trans Alaska Pipeline is in the
24 foreground. (c) FDL-11 catchment, showing typical vegetation and recent scarp; two people
25 stand above the scarp for scale. (d) Riser of smaller surface lobe on FDL-C. (e) Trees near
26 the right flank lean progressively towards the center of FDL-5.

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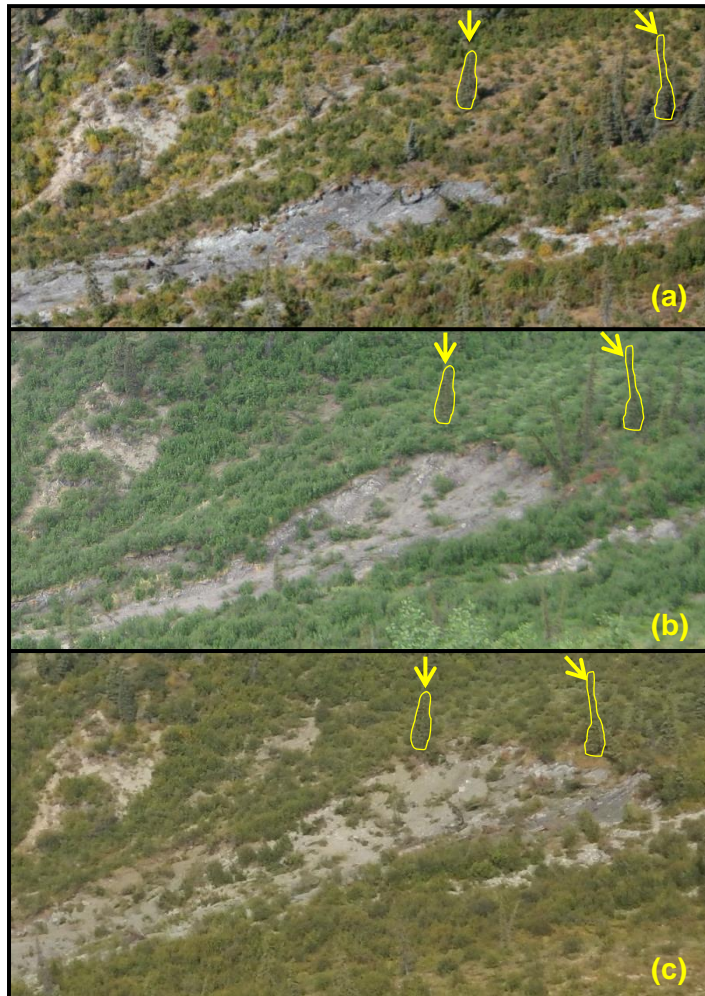
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17 Figure 3. Retrogressive thaw slump (RTS) development on FDL-A: (a) August 2008; (b)
18 June 2013; (c) August 2015. Arrows and outlines indicate the same two trees in all three
19 images for comparison.

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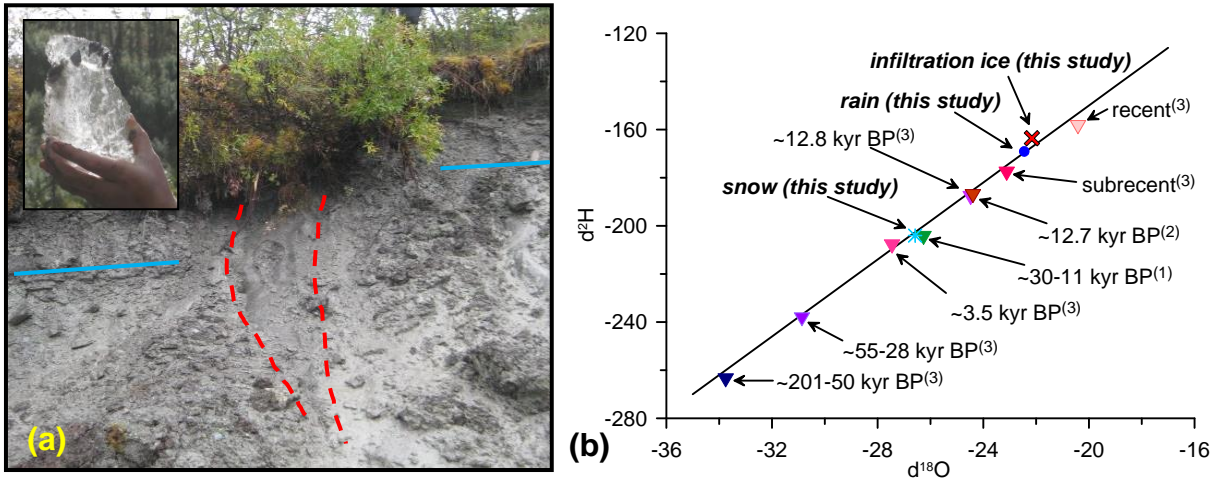
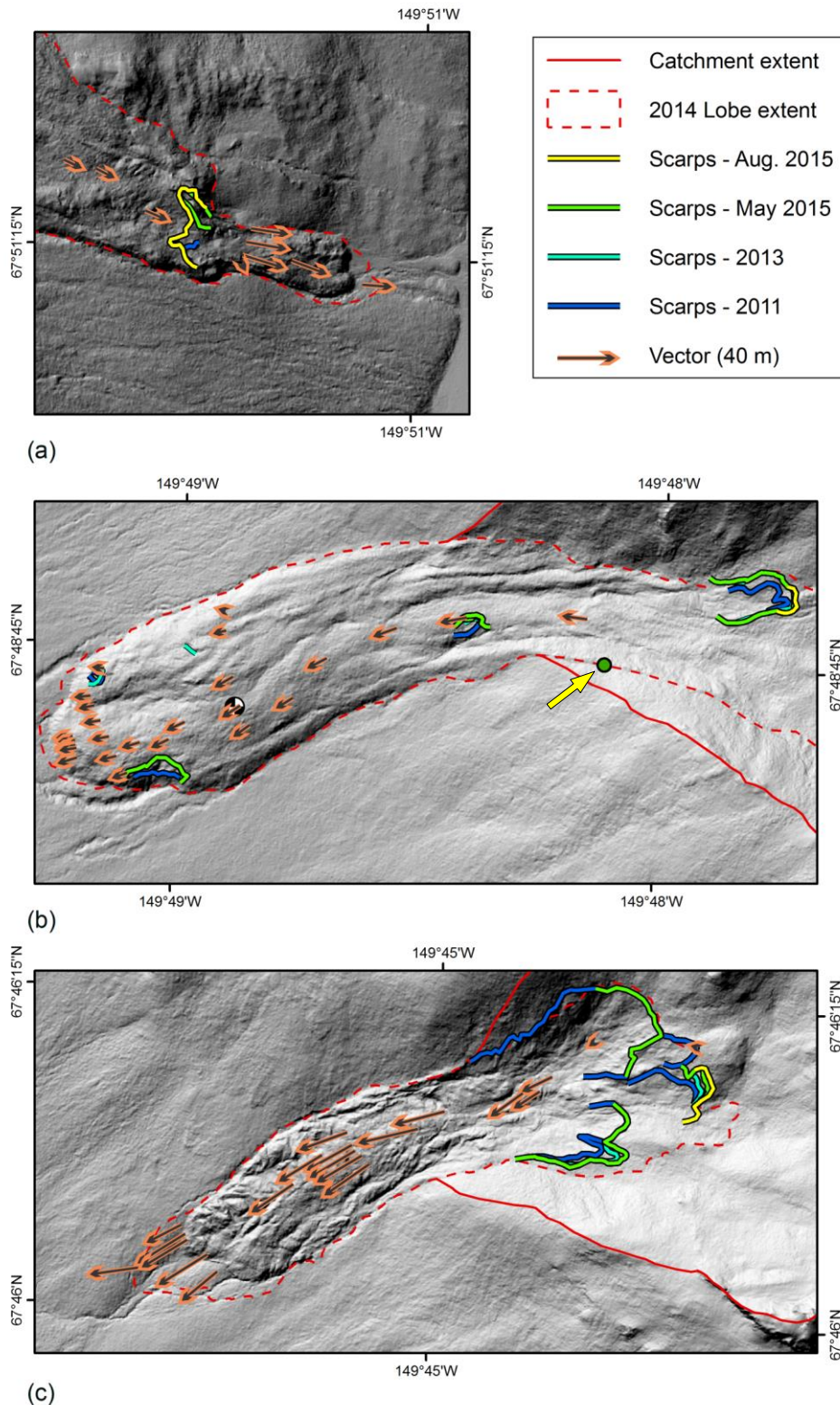
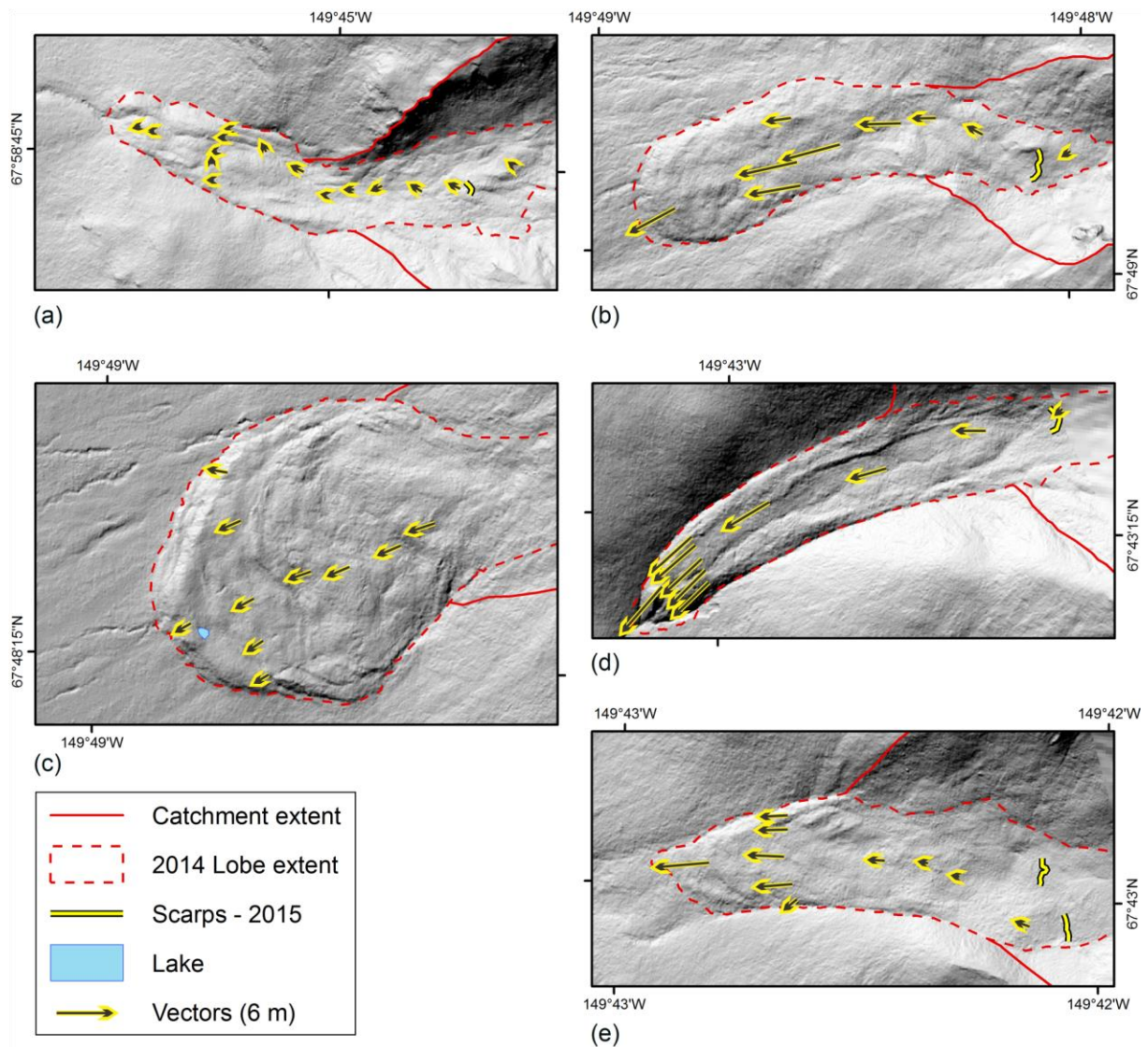


Figure 4. Infiltration ice in FDL-A. (a) Massive ice (outlined by red dashed lines) exposed in RTS along the left flank in August 2014 (see Figure 2a for location). Offset buried organic layers are indicated by solid blue lines; inset shows example of clear infiltration ice. (b) Isotope analysis results; the GMWL is plotted for comparison. Upside-down triangle symbols represent wedge ice sample values; values taken from the literature are from Douglas et al. (2011)⁽¹⁾, Meyer et al. (2010)⁽²⁾, and Meyer et al. (2002)⁽³⁾.



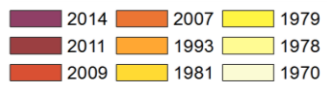
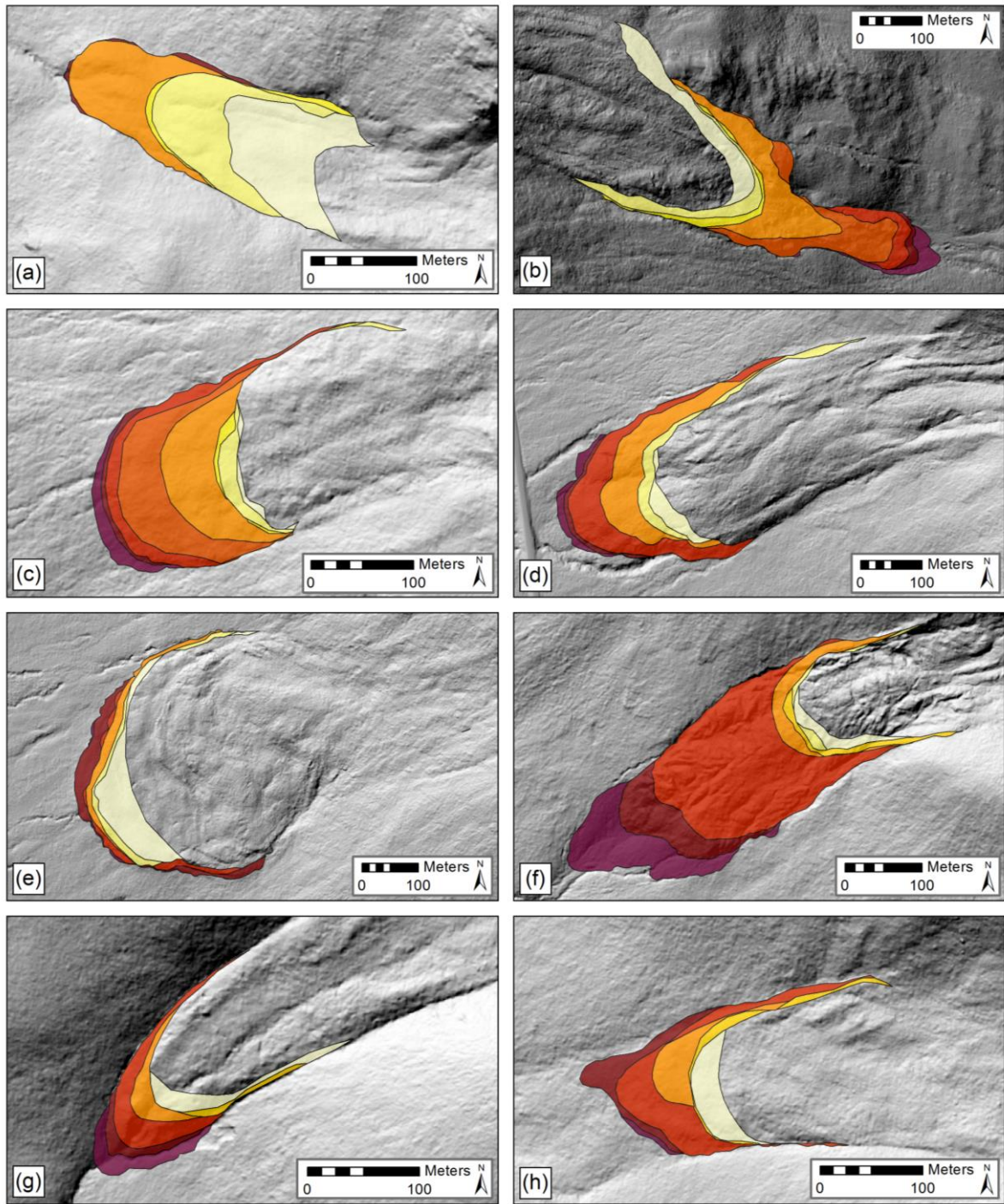
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 2 Figure 5. Vector maps of (a) FDL-7, (b) FDL-A, and (c) FDL-D summarizing movement
 3 measured from June 2013 to August 2015, and RTS development. The scale of each image is
 4 1:10,000. Vectors are scaled from the 40 m scale included in the legend. The arrow and the
 5 green dot in (b) indicates the location of sampled organics for radiocarbon dating, and the

1 location of the 2012 borehole on FDL-A is indicated by the white and black symbol. As the
2 base maps are from 2011 LiDAR data (Hubbard et al., 2011), the 2014 FDL extents also are
3 shown.
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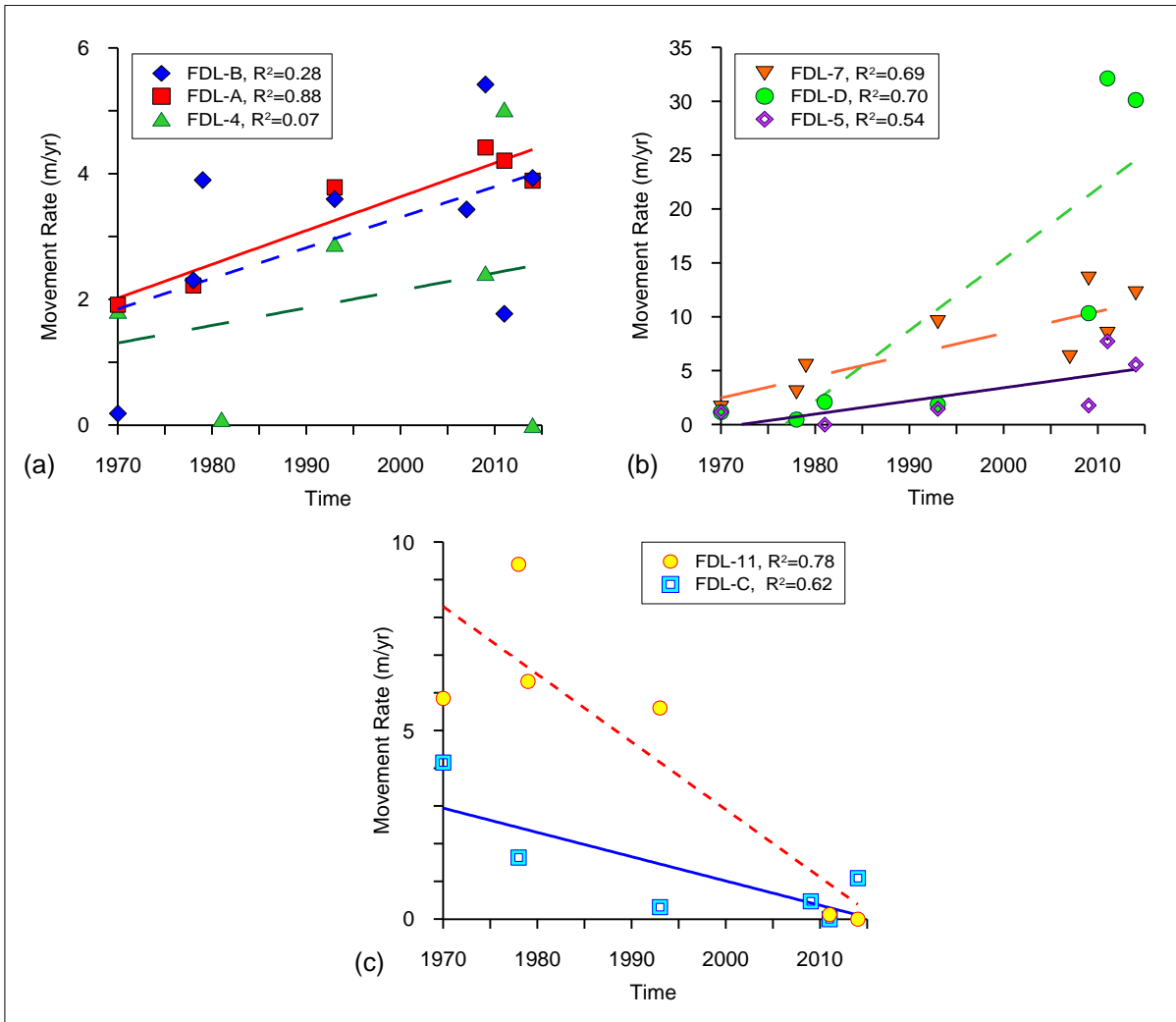
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 2 Figure 6. Vector maps of (a) FDL-11, (b) FDL-B, (c) FDL-C, (d) FDL-5, and (e) FDL-4
 3 summarizing movement measured from June 2013 to August 2015, and scarp locations. The
 4 scale of each image is 1:10,000. Vectors are scaled from the 6 m scale included in the legend.
 5 As the base maps are from 2011 LiDAR data (Hubbard et al., 2011), the 2014 FDL extents
 6 also are shown. Base maps also include data from GINA, 2001.

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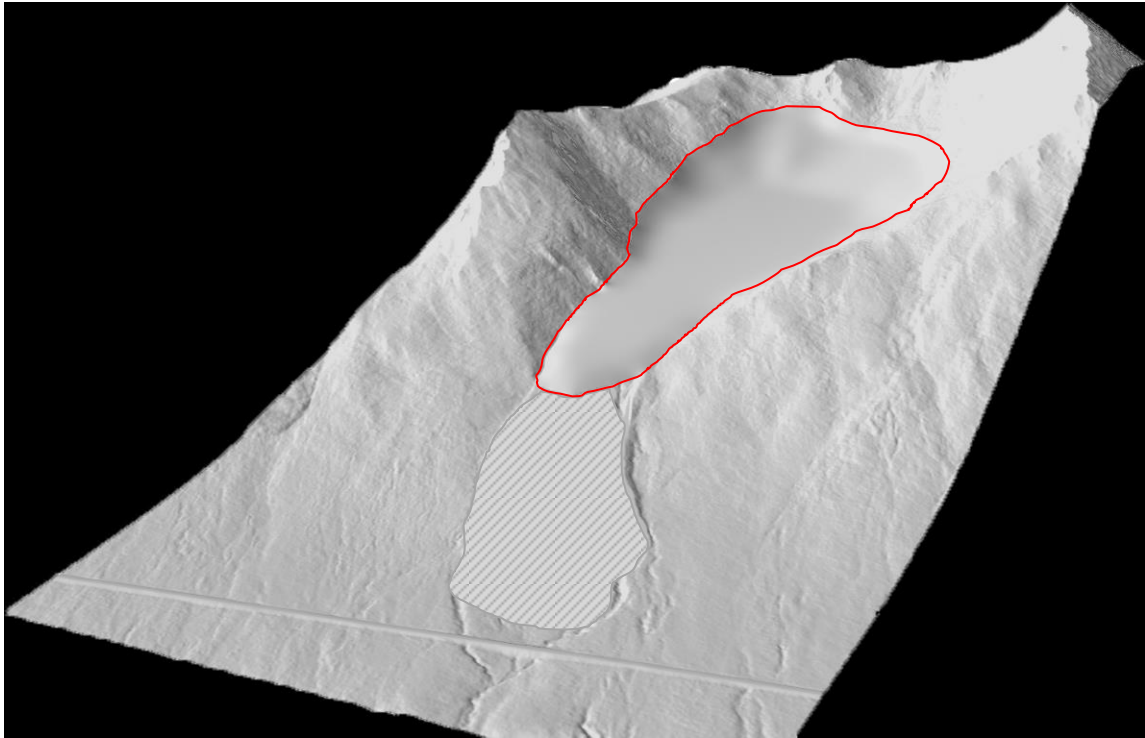


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Figure 7. Change in FDL extent from 1955 to 2014: (a) FDL-11, (b) FDL-7, (c) FDL-B, (d) FDL-A, (e) FDL-C, (f) FDL-D, (g), FDL-5, (h) FDL-4. (Base maps from 2011 LiDAR data (Hubbard et al., 2011))

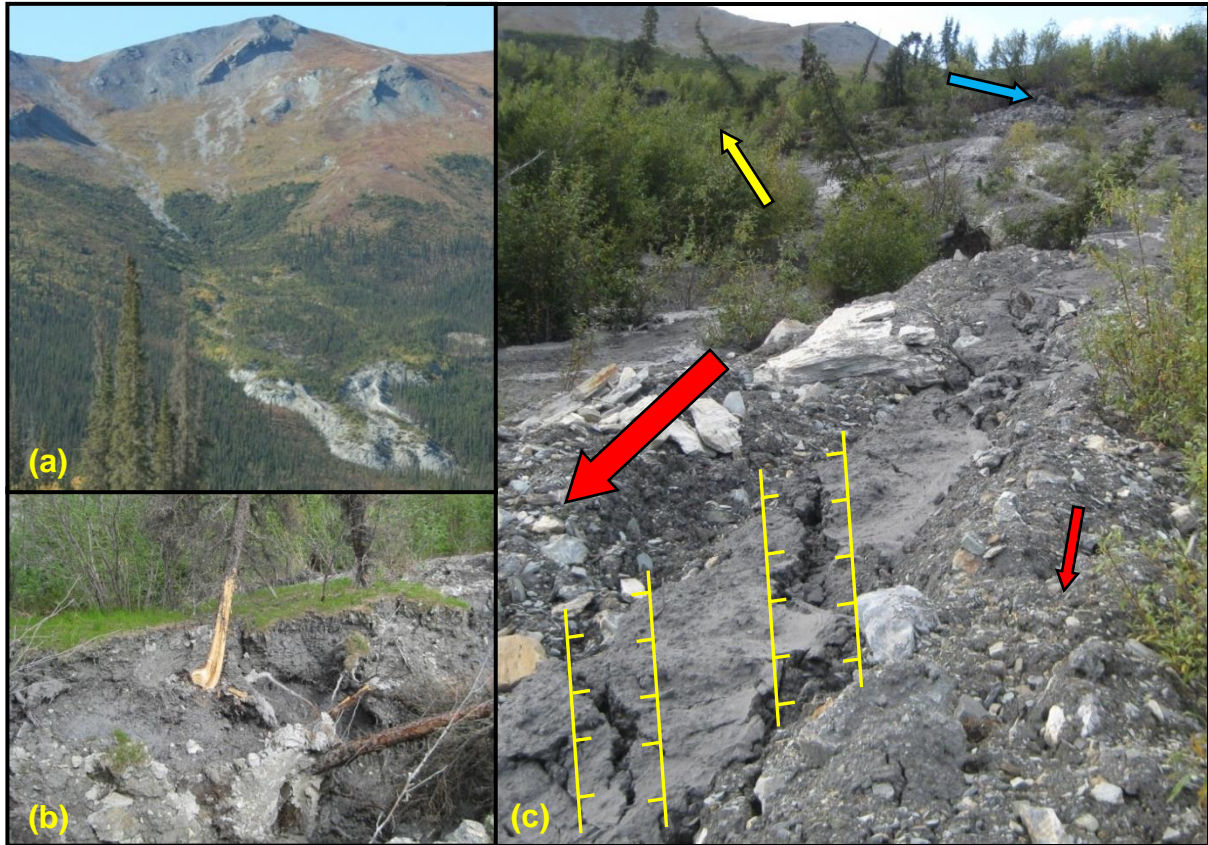


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 2 Figure 8. Historic FDL movement rates from 1955 to 2014 for lobes with (a) steadily
 3 increasing rates, (b) rapidly increasing rates, and (c) decreasing rates. The coefficient of
 4 correlations (R^2) for linear trend lines fit to each lobe data set are presented in the figure
 5 legends.
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2 Figure 9. Reconstruction of paleosurface of FDL-A based on bench elevations in its
3 catchment. The reconstructed lobe is outlined in solid red for visibility. The current lobe
4 extent that was removed for the reconstruction is indicated by area with gray diagonal lines.
5 (Base map data from Hubbard et al. (2011))

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 2 Figure 10. Features of FDL-7. (a) Deflation of the main lobe body towards the lower tongue,
 3 and the major RTSs along the right and left flanks. (b) Vegetation on the lower tongue,
 4 including a completely split spruce tree demonstrating about 20 cm of previous sedimentation
 5 along its trunk. (c) Along the left flank of the lower tongue of FDL-7, differing rates of
 6 movement are indicated by the larger red arrow on the lobe and the smaller red arrow on the
 7 levee (far right). Echelon cracks are annotated to show extension. The RTS that was the
 8 source of the debris flow is indicated by the blue arrow, and an area of leaning trees is
 9 indicated by the yellow arrow.

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16 Figure 11. Destabilization of FDL-D. (a) Evidence of ongoing movement throughout the
17 2014-15 winter and spring, as transverse cracks separate an aufeis deposit on the upper lobe.
18 (b) View down the lobe from the head scarp of one of many RTSs in the catchment, looking
19 over a debris flow originating from the exposed massive ice. (c) Tree completely upside
20 down with root mass sticking out of a crack. (d) Trunk of tree sticking out of debris at toe of
21 FDL-D. Yellow arrows in (c) and (d) point to the tree trunks exiting the lobe surface.