

# 3D surface properties of glacier penitentes over an ablation season, measured using a Microsoft Xbox Kinect.

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**Abstract.** In this study, the first small-scale digital surface models (DSMs) of natural penitentes on a glacier surface were produced using a Microsoft Xbox Kinect sensor on Tapado Glacier, Chile (30°08'S; 69°55'W). The surfaces produced by the complete processing chain were within the error of standard terrestrial laser scanning techniques, but insufficient overlap between scanned sections that were mosaicked to cover the sampled areas can result in three-dimensional positional errors of up to 0.3 m. Between November 2013 and January 2014 penitentes become fewer, wider, deeper, and the distribution of surface slope angles becomes more skewed to steep faces. Although these morphological changes cannot be captured by manual point measurements, mean surface lowering of the scanned areas was comparable to that derived from manual measurements of penitente surface height at a minimum density of 5 m<sup>-1</sup> over a 5 m transverse profile. Roughness was computed on the 3D surfaces by applying two previously published geometrical formulae; one for a 3D surface and one for single profiles sampled from the surface. Morphometric analysis shows that skimming flow is persistent over penitentes, providing conditions conducive for the development of a distinct microclimate within the penitente troughs. For each method a range of ways of defining the representative roughness element height was used, and the calculations were done both with and without application of a zero displacement height offset to account for the likelihood of skimming air flow over the closely-spaced penitentes. The computed roughness values are in the order of 0.01-0.10 m during the early part of the ablation season, increasing to 0.10-0.50 m after the end of December, in line with the roughest values previously published for glacier ice. Both the 3D surface and profile methods of computing roughness are strongly dependent on wind direction. However, the two methods contradict each other in that the maximum roughness computed for the 3D surface coincides with airflow across the penitente lineation while maximum roughness computed for sampled profiles coincides with airflow along the penitente lineation. These findings highlight the importance of determining directional roughness and wind direction for strongly aligned surface features and also suggest more work is required to determine appropriate geometrical roughness formulae for linearized features.

## 1 Introduction

Penitentes are spikes of snow or ice, ranging from a few centimetres up to several metres in height that can form during the ablation season on snowfields and glaciers. They are a common feature of high elevation, low-latitude glaciers and snowfields (e.g. Hastenrath and Koci, 1981; Corripio and Purves, 2005; Winkler et al., 2009) where very low humidity, persistently cold temperatures and sustained high solar radiation favour their development (Lliboutry, 1954). As cryospheric water resources are relatively important to local dry season water supply in arid mountain ranges (Kaser et al., 2010), there is potential value in understanding how penitentes might influence both runoff and atmospheric humidity.

40 Penitentes form linearized, inclined fins of snow or ice on the surface. Both the latitudinal range (within 55° of the  
41 equator on horizontal surfaces) and geometry (aligned with the arc of the sun across the sky, and tilted toward the  
42 sun at local noon) of penitentes are governed by solar-to-surface geometry (Lliboutry, 1954; Hastenrath and Koci,  
43 1981; Bergeron et al., 2006; Cathles et al., 2014). During the initial stages of penitente development, ablation is  
44 thought to proceed by sublimation alone driven by the low atmospheric humidity. Surface irregularities focus  
45 reflected solar radiation within depressions (Amstutz, 1958; Corripio and Purves, 2005; Lhermitte et al., 2014;  
46 Claudin et al., 2015) such that the energy receipts, and consequently ablation, are enhanced in the hollow and the  
47 surface irregularity becomes amplified. Subsequently, as the surface relief increases, a more humid microclimate is  
48 thought to develop in the hollows between penitentes, suppressing sublimation and allowing melting in the  
49 depressions. Meanwhile, the penitentes tips continue to ablate by sublimation alone (Lliboutry, 1954; Drewry, 1970;  
50 Claudin et al., 2015) and, as melting requires approximately an eighth of the energy of sublimation to remove the  
51 same amount of ice, the spatial differentiation of ablation process between penitente trough and tip is very effective  
52 at amplifying the penitente surface relief.

53 The altered partitioning of ablation between sublimation and melting that occurs in penitente fields, as compared to  
54 surfaces without penitentes (e.g. Lliboutry, 1998; Winkler et al., 2009; Sinclair and MacDonell, 2015), is expected  
55 to alter the rate of mass loss and meltwater production of snow and icefields during the ablation season, but this has  
56 not yet been fully quantified. Previous studies, based on modelling idealized penitente surfaces, have investigated  
57 the impact of penitentes on the shortwave radiative balance, and suggest that penitentes reduce effective albedo by  
58 up to 40% compared to flat surfaces (Warren et al, 1998; Corripio and Purves, 2005; MacDonell et al., 2013; Cathles  
59 et al., 2014; Lhermitte et al., 2014). In addition to altering the radiative properties of the surface, the development of  
60 penitentes also manifestly alters the surface roughness properties, but neither the impact of penitentes on surface  
61 roughness, nor the associated impact on turbulent energy fluxes has been investigated. The roughness of snow and  
62 ice surfaces is particularly prone to varying in space and time (e.g. Smeets et al., 1999; Brock et al., 2006; Fassnacht  
63 et al., 2009b). Wind profile measurements over linearized sastrugi surface features shows that the derived  
64 aerodynamic roughness length varied from 1-70 mm over a 120° range of impinging wind direction (Jackson and  
65 Carroll, 1978). While penitentes are a relatively rare form of linearized surface feature, linear crevasses are  
66 widespread, and penitentes offer a unique test bed for investigating the significance of linearized features on  
67 effective surface roughness for various wind directions.

68 Measurements of natural penitentes required to examine their morphometry and roughness are rare (e.g. Naruse and  
69 Leiva, 1997), and difficult to obtain because the complex, and partially overhanging, surface prevents the use of  
70 simplified automated tools such as photogrammetric determination of surface profile heights (e.g. Fassnacht et al.,  
71 2009a; Manninen et al., 2012) or line-of-sight surveying from fixed positions. Recent advances in close-range  
72 photogrammetry and mobile depth-of-field sensors and efficient feature tracking software used in interactive  
73 computer gaming offer potentially useful tools that can be applied to resolve such problems in earth science (e.g.  
74 Mankoff and Russo, 2013; Smith et al., 2016). In this study a Microsoft Xbox Kinect sensor is used as a close-range  
75 mobile distance ranger to produce a series of small-scale digital surface models (DSMs). The method of DSM  
76 generation is evaluated against standard terrestrial laser scanning, and the Kinect-derived DSMs of the penitentes are  
77 used to (i) perform the first detailed examination of the morphometry of natural penitentes over the course of an  
78 ablation season; (ii) compare the volume change computed from DSM differencing with estimates based on manual  
79 measurements of surface lowering and (iii) examine the geometrical roughness properties of the sampled penitente  
80 surfaces.

## 81 2 Methods

### 82 2.1 Description of fieldsite

83 Tapado Glacier (30°08'S; 69°55'W) lies in the upper Elqui Valley of the semi-arid Andes of the Coquimbo Region  
84 of Chile (Fig 1). The glacier is relatively accessible and is known to develop penitentes every summer (Sinclair and  
85 MacDonell, 2015). Two separate study areas were analysed. Firstly, a test site was established at a patch of snow  
86 penitentes within a dry stream bed at 4243 m a.s.l. in the glacier foreland (Fig 1). This site was used to (i) test  
87 instrumental setups in order to optimize the field operation of the Kinect sensor, and (ii) compare the performance of  
88 the Kinect sensor against a Terrestrial Laser Scanner (TLS). This location was chosen due to the logistical  
89 difficulties of transporting the TLS to the glacier. Subsequently, two study plots were established at an elevation of  
90 4774 m a.s.l. on the glacier ablation zone (Fig 1). These sites were scanned repeatedly with the Xbox Kinect (see  
91 section 2.3) during the core ablation season between the end of November 2013 and the beginning of January 2014.  
92 The location and layout of the two glacier sites is shown in Fig 1a. Site A (5 m by 2 m) was measured four times, on  
93 25 November, 11 December, 20 December and 3 January. Site B (2 m by 2 m) was only measured on the last three  
94 dates. The corners of the study sites were marked with 2 m lengths of plastic plumbing piping hammered vertically  
95 into the snow, or drilled into the ice (Fig 1c). In order to locate the study sites in space and to provide a common  
96 reference for each survey date, marker stake positions were measured using a Trimble 5700 differential GPS with  
97 Zephyr antenna on the 25th November, with a base station in the glacier foreland. On each visit to the glacier, when  
98 possible, the stakes were hammered further into the snow and the resultant lowering of the stake top was noted. The  
99 maximum standard deviations of the GPS stake positions were < 1.0 cm, 1.1 cm and 1.7 cm in easting, northing and  
100 elevation respectively, with combined XYZ standard deviation < 2.0 cm for all stakes (Table S1). Error on the  
101 manual measurements of height offsets of the marker stakes on subsequent survey dates is conservatively estimated  
102 to be 2.0 cm. This results in total positional errors of the ground control points at each scan date of between 2.3 and  
103 2.7 cm depending on the stake. Manual measurements of surface lowering were made along the eastern long side of  
104 site A. All surfaces heights were referenced to the elevation of the glacier surface at the upglacier end of this cross  
105 profile at the date of installation. An automatic weather station (AWS) on a free-standing tripod was installed beside  
106 the two glacier plots to provide meteorological context for the measurements (Fig 1).

### 107 2.2 Terrestrial laser scanning

108 At the test site surface scans produced by the relatively new Kinect sensor were compared with those produced by  
109 the well-established TLS method. The TLS system used was an Optech ILRIS-LR scanner, which is a long-range  
110 terrestrial laser scanner especially suitable for surveying snow and ice surfaces as it has a shorter wavelength laser  
111 beam (1064 nm) than other models. This equipment surveys surface topography based on time-of-flight  
112 measurement of a pulsed laser beam reflected to a given angle by a system of two rotating mirrors. It has a raw  
113 range accuracy of 4 mm at 100 m distance, raw angular accuracy of 80  $\mu$ rad, beam diameter of 27 mm at 100 m  
114 distance and beam divergence of 250  $\mu$ rad. The instrument was placed in five locations around the surveyed snow  
115 patch and boulder, overlooking it from different directions. Positions of the TLS were measured with Trimble 5700  
116 differential GPS with Zephyr antennae in static mode. Seventeen point clouds were obtained with nominal  
117 resolution of 0.11-0.75 cm. Resulting point clouds were corrected for atmospheric conditions (pressure, temperature  
118 and humidity) and trimmed with ILRIS Parser software, aligned with Polyworks IMAAlign software into a common  
119 local coordinate system and georeferenced with differential GPS measurements using Polyworks IMInspect  
120 software. The alignment error of the point clouds as estimated by this software is 0.36-0.87 cm and comparison with  
121 ground control points gives an error of 5.65 cm. The TLS scan of the snow penitentes is presented as an example of  
122 the nature of the DSM that can be obtained within a penitente field using TLS (Fig 2). Unfortunately, the scans of  
123 snow penitentes could not be carried out with both the TLS and Kinect on the same day, so direct comparison of the  
124 TLS and Kinect scans is instead performed on a reference boulder within the test site, whose surface is assumed  
125 unchanged between different scan dates.

126 **2.3 Kinect surface scanning**

127 The Kinect sensor emits a repeated pattern of structured infra-red (IR) beams, and records the pattern distortion with  
128 an IR camera. The depth-of-field calculation is performed via a proprietary algorithm and a distance map is the raw  
129 data output. Using the standard calibration the static raw depth field resolution of the Kinect is 1 mm and the  
130 distance error is  $< 1.0$  cm at the distance range of the penitente scans (Mankoff and Russo, 2013).

131 For its original gaming usage, the Kinect is in a fixed position and proprietary software uses feature tracking to track  
132 the movements of players moving within the field of view of the Kinect. However, the inverse of this workflow can  
133 also be applied whereby the Kinect sensor is moved interactively around a static surface or 3D body, using the same  
134 feature tracking to compute the position of the sensor relative to the object and thereby allowing a point cloud  
135 reconstruction of the object. In this work we apply the second work flow and sample Kinect data using the  
136 ReconstructMe™ 2.0 software package. In common with alternative reconstruction packages that are compatible  
137 with the Kinect, ReconstructMe™ performs bilateral filtering on the output depth map frame and converts the pixel  
138 version of each depth map frame to 3D coordinate maps of vertices and normals. An iterative closest point (ICP)  
139 alignment algorithm is then applied frame by frame at three scales to repeatedly rotate and translate the depth field  
140 to determine camera position and an aligned surface, giving weighted preference to portions of the surface that are  
141 perpendicular to the line of sight. The ReconstructMe™ software has the advantage of producing surface meshes in  
142 real-time, so that the operator can check the scan quality and coverage at the time of capture, but the disadvantage  
143 that the raw point cloud is not saved and if the real-time tracking is lost a new scan must be started.

144 The Xbox Kinect was connected via a 5m powered USB extension cord to an MSI GE60 gaming laptop, powered  
145 using a 240V 600W inverter connected to the 160Ah 12V battery of the automatic weather station on the glacier.  
146 Scans were carried out by two people; one moving the Kinect across the penitente field and the other monitoring the  
147 quality of the surface being generated on screen. In bright conditions, the return IR signal of the Kinect is swamped  
148 by natural radiation over snow and ice surfaces, which reflect a high proportion of incident shortwave radiation, and  
149 absorb or scatter much of the longwave radiation signal. Therefore, scanning was carried out at twilight or just after  
150 nightfall. Sudden movements caused by the operator slipping or the snow compacting underfoot resulted in the  
151 ReconstructMe software losing its tracking of common reference points. Consequently, each study site was scanned  
152 in small sections and three to thirteen overlapping surface meshes were used to cover the area of each study site.  
153 Recommendations for using the Kinect in this way are given in the supplementary material (S1).

154 **2.4 Kinect surface mesh processing**

155 The full mesh processing procedure using the freely available Meshlab software is presented in the supplementary  
156 material (S2), and briefly described here. Small surface components, unreferenced and duplicated vertices were  
157 removed from the meshes using inbuilt filters. The component meshes that cover each sampling date at a single site  
158 were aligned using an iterative closest point (ICP) algorithm which distributes the alignment error evenly across the  
159 resultant mosaicked surface mesh. Alignment solutions consistently had mean distributed error  $< 4$  mm (Table S1).  
160 The aligned meshes were flattened into a single layer, remeshed using a Poisson filter and resampled to reduce the  
161 point density by setting a minimum vertex spacing of 2.5mm.

162 The surface mesh for each scan date was georeferenced in Polyworks software using the known coordinates of the  
163 base of the marker stakes at the time of each scan because the upper portions of the symmetrical stakes are often  
164 poorly captured by the meshing software. The local elevation zero was set to be the north-east corner of site A. The  
165 mismatch evident in the georeferencing step (Table 1) is much larger than the mesh alignment error (Table S2). This  
166 is most likely an artifact of a combination of (i) reduced mesh quality at the margins of the component scans and (ii)  
167 insufficient overlap between some scan sections producing distortion within the mesh alignment.

168 To eliminate the marker stakes and any data gaps near the margins of the study areas, each surface mesh was sub-  
169 sampled within the staked area. The sub-sampled area for site A is a 2.0 by 3.5 m horizontal area (7.00 m<sup>2</sup>), and site  
170 B is a 1.5 x 1.5 m horizontal area (2.25 m<sup>2</sup>) shown in the examples in Figure 3. Mesh vertices and an index file of  
171 the vertices comprising each face were exported from Meshlab for subsequent analysis in Matlab software.

## 172 **2.5 Calculations of surface geometrical properties**

173 The geo2d and geo3d toolboxes (available from the Matlab File Exchange) were used to compute the face areas and  
174 normals of the mesh, from which surface height distribution, aspect and dip of the sampled surface were calculated,  
175 weighted by the ratio of each face area to the total surface area of all faces. As the surfaces contain overhanging  
176 parts, DSM differencing cannot be performed by simple subtraction. Instead volumes for all surfaces were computed  
177 relative to a baselevel horizontal reference. Volumes relative to this horizontal reference for upward-facing triangles  
178 were computed column-wise, by projecting the area of each triangular face onto the reference surface and using the  
179 height coordinate of the triangle centroid as the height dimension for each column. These were summed and  
180 volumes for overhanging triangles, calculated in the same way, were subtracted to derive the total volume between  
181 the reference surface and each scanned penitente surface. Successive volumes were subtracted to obtain the volume  
182 change over each measurement interval.

## 183 **2.6 Manual measurements of surface change**

184 Traditional single-point stake measurements of glacier surface lowering are unreliable within the inhomogeneous  
185 surface of a penitente field. One alternative is to measure surface lowering at intervals along a profile perpendicular  
186 to the main axis of alignment of the penitentes. Such a reference was installed along the 5 m-long eastern margin of  
187 site A, between two longer corner stakes drilled 3 m into the ice using a Kovacs hand drill. The distance between a  
188 levelled string and the glacier surface was measured using a standard tape measure at 0.2 m intervals on 23  
189 November. Subsequent measurements, on the 12 and 21 December and on 4 January, were made at 0.1 m intervals.  
190 All measurements were recorded to the nearest centimetre, and the error on each measurement is estimated to be 2.0  
191 cm, which is assumed to capture the error associated with the horizontal position of the measurements along the  
192 reference frame and the vertical measurements of the distance to the surface beneath.

## 193 **2.7 Calculations of geometric surface roughness**

194 The aerodynamic roughness length ( $z_0$ ) is the distance above the surface at which an extrapolation of a logarithmic  
195 windspeed profile under neutral conditions towards the surface would reach zero. Over taller roughness elements the  
196 level of momentum transfer between the airflow and the surface roughness elements is displaced upwards by a  
197 distance, termed the zero-plane displacement ( $z_d$ ). Above particularly rough surfaces, a roughness sub-layer is  
198 formed in the lowest part of the surface layer within which surface roughness elements create a complex 3D flow  
199 that is almost chaotic. Where roughness elements are widely spaced, the separated flow over obstacles reattaches to  
200 the surface before the subsequent obstacle is reached. More closely packed roughness elements experience a wake  
201 interference regime, and in the most densely packed arrays of roughness elements skimming flow occurs  
202 (Grimmond and Oke, 1999). At the top of the roughness sublayer individual wakes caused by surface obstacles are  
203 smeared out and the flow is independent of horizontal position, and thus, observations at this level represent the  
204 integrated surface rather than individual surface obstacles. This level is known as the blending height ( $z_r$ ). All these  
205 properties are dependent on the size and arrangement of surface roughness elements.

206 As it is logistically challenging to deploy instrumentation to determine roughness parameters from atmospheric  
207 profile or eddy covariance measurements on glacier surfaces, efforts have been made to instead use methods based  
208 on properties such as radar backscatter (e.g. Blumberg and Greeley, 1993) or more readily measurable surface  
209 terrain properties (e.g. Kondo and Yamazawa, 1986; Munro, 1989; Fassnacht et al., 2009a; Andreas, 2011; Smith et  
210 al., 2016). Grimmond and Oke (1999) tested several methods of determining apparent aerodynamic properties from

211 surface morphometry in urban environments, which are among the roughest surface conditions encountered in the  
212 atmospheric boundary layer, and found that morphometric determinations of surface roughness do not clearly  
213 underperform in comparison with aerodynamic methods, suggesting that morphometric measurements of roughness  
214 are worth pursuing.

215 There are a number of formulations for deriving  $z_0$  from geometrical measurements (see summary in Smith et al.,  
216 2016); the simplest of which is to take the standard deviation of the surface elevations as a measure of roughness  
217 (Thomsen et al., 2015). In this work, the surface meshes were analysed for roughness on the basis of a widely-used  
218 relationship established by Lettau (1969), initially developed for isolated, regular obstacles distributed over a plane:

$$219 \quad z_0 = 0.5 h \left( \frac{s}{S} \right) \quad (1)$$

220 where  $h$  is the height of the obstacles,  $s$  is the upwind silhouette area of each obstacle and  $S$  is the specific area  
221 occupied by each roughness element obstacle, also referred to as its lot area. Isolated roughness elements of regular  
222 geometry distributed over a horizontal plane are a poor analogy for the irregular surface topography of a penitente  
223 field, and the applicability of this formulation over penitentes has not been established. However, on the basis of  
224 wind profile measurements over a glacier surface Smeets and others (1999) suggest the formulation has merit. Here  
225 we apply the analysis as an illustration of the nature of the results generated from such an approach over penitentes  
226 and hope that future aerodynamic roughness lengths obtained from micrometeorological measurements can be  
227 compared to these morphometrically-derived ones.

228 Roughness values computed using Equation 1 over 3D snow surfaces have been shown to vary widely depending on  
229 the methods of surface interpolation used (Fassnacht et al., 2014), due to the influence on interpolation method on  
230 the unit surface area occupied by each roughness element. However, the high resolution meshes produced in this  
231 study can be expected to adequately capture the surface properties as no extrapolation or interpolation procedure is  
232 needed. Macdonald and others (1998) state that for irregular obstacles  $h$  can be replaced by average obstacle height,  
233  $s$  with the sum of all the upwind silhouette areas, and  $S$  with the total area covered by the obstacles. While the  
234 upwind silhouette area, and indeed surface area in any direction, is relatively easily defined for each surface mesh  
235 area using trigonometry, it is difficult to define individual roughness elements and their representative heights, due  
236 to the lack of an apparent base level (Smith et al., 2016). Here we first detrend the surfaces to remove any general  
237 surface slope at the site, then compute the roughness for the detrended 3D meshes assuming that the roughness  
238 elements cover the whole surface area (i.e  $S$  = horizontal area of the study site), and for four possible representations  
239 of average obstacle height ( $h$ ) as follows: (i) the maximum range of the detrended mesh; (ii) twice the standard  
240 deviation of the detrended surface mesh; (iii) mean mesh height above the mesh minimum; and (iv) median mesh  
241 height above the minimum.

242 These data are computed for illustrative purposes only as it is reported that Equation 1 fails when the roughness  
243 element density exceeds 20-30% (Macdonald et al., 1998), as is expected for penitente fields. High density  
244 roughness elements means that they interfere with the airflow around each other, and upwards displacement of the  
245 zero wind velocity level means that effective roughness is a result of the roughness elements above this zero velocity  
246 displacement plane, and the zero displacement height, gives an indication of the penetration depth of effective  
247 turbulent mixing into the penitente field. Accordingly, we additionally present sample calculations of three-  
248 dimensional roughness on the detrended surface meshes using three possible realizations of  $z_d$ , as, like  $h$ ,  $z_d$  is also  
249 unknown in the case of the penitente fields being sampled. In the first case,  $z_d$  is taken to be  $h$ , in the second  $2/3 h$ ,  
250 which is a widely used standard in forests and other complex terrain applications (Brutsaert, 1975), and in the third  
251  $1/3 h$ . Each  $z_d$  case is computed for the four realizations of  $h$  used as before. Equation 1, (for irregular obstacles) is  
252 then applied to the roughness elements remaining above the plane of the general surface slope offset by a distance  $z_d$   
253 above the minimum height of the surface mesh. The representative height  $h$  for this portion of the mesh exceeding  
254 the plane is taken to be the mean area-weighted height of all triangles above this plane,  $s$  is the summed frontal area

255 of all mesh triangles above  $z_d$  with respect to the chosen wind direction and  $S$  is the total horizontal area of the study  
256 site.

257 Munro (1989, 1990) modified the formula of Lettau (1969) to be applied to a single irregular surface cross-section  
258 of length  $X$ , sampled perpendicular to the wind direction. This modified formulation is easier to work with on a  
259 glacier where the roughness elements are irregular, closely spaced, and generally poor approximations of objects  
260 distributed over a plane. Instead of having to define an obstacle height above the plane,  $h$  is replaced with an  
261 effective height  $h^*$  expressed as twice the standard deviation from the standardized mean profile height;  $s$  is replaced  
262 with  $h^*X/2f$ , in which  $f$  is the number of profile sections that are above the mean elevation; and  $S$  is replaced with  
263  $(X/f)^2$ . This approach approximates the surface elevation profile as rectangular elements of equal size, and has been  
264 shown to give results within 12% of the silhouette area determined by integrating between true topographic minima  
265 (Munro, 1989). Importantly, roughness values derived this way over snow, slush and ice surfaces show reasonable  
266 agreement with roughness values derived from wind profiles (Brock et al., 2006). To investigate the nature of the  
267 roughness computed this way for north-south and east-west impinging wind directions, cross profiles longer than  
268 1.5 m at 0.1 m intervals orientated E-W and N-S were extracted from each scanned surface. Cross-sections were  
269 detrended to remove the influence of any general surface slope at the site, and roughness was computed on each of  
270 these cross-sectional profiles following the modifications of Munro. Mean profile roughness for these two wind  
271 directions are presented for each sampled surface.

## 272 **3 Results**

### 273 **3.1 Evaluation of the quality and suitability of penitente scans by TLS and Kinect**

274 The test site was well-developed snow penitentes 0.5 - 1.0 m in height in a channel (Fig 1b). TLS scans of these  
275 penitentes were taken from five different vantage points above the penitentes. The penitente surface produced by the  
276 TLS had surface slope ranging between -30 and 90 degrees, indicating that overhanging surfaces within the  
277 penitente field can be captured. However the limitations of this conventional fixed-point scanning system in  
278 capturing the penitente surfaces is illustrated by the fact that only 58% of the total surveyed horizontal area could be  
279 scanned, as the deepest parts of the troughs were obscured from the view by the surrounding penitentes (Fig 2a). By  
280 comparison, the hand-held, mobile nature of the Kinect means that the whole surface of the penitente field can be  
281 captured as the field of view can be adjusted into almost limitless close-range positions, although the close range  
282 Kinect sensor is impractical to apply over large areas.

283 For the direct comparison of the two methods on a reference boulder, the Kinect-derived surface, produced from  
284 three mosaicked meshes was aligned to the surface produced from the TLS point clouds. The TLS scan was  
285 incomplete, with parts of the top and overhanging surfaces of the boulder missing due to being obscured from the  
286 TLS survey positions, while the Kinect scan achieved complete coverage of the boulder. The difference between the  
287 two aligned meshes where overlapping data existed was always  $< 2$  cm (Fig 2b), which is well within the error of  
288 the georeferenced TLS surface model. Larger differences in Fig 2b, up to 5 cm, occur only where there are holes in  
289 the surfaces being compared.

290 It is difficult to formally assess the total error of the surfaces produced by the Kinect scans because the workflow  
291 involves several black box processing steps. The mean alignment errors of the mesh mosaicking step in Meshlab is  
292  $< 0.4$  cm and quantifiable errors associated with the GPS positions, subsequent measurement of the stake bottom  
293 positions relative to the GPS positions are all  $< 2.0$  cm. However, the three-dimensional georeferencing error in this  
294 study is large (Table 1) compared to the other sources and is therefore taken as a reasonable value for the error of the  
295 total process chain. Errors given on the seasonal mass, volume and surface changes are based on summing the  
296 squares of the mean elevation difference between the marker stakes and ground control points (GPCs) at each site on  
297 the first and last survey dates.

### 298 **3.2 Meteorological conditions**

299 During the study period one significant snowfall event occurred on the 8<sup>th</sup> December 2013, when the sonic ranger  
300 recorded a surface height increase of 0.09 m over the course of the day (Table 2). Surface albedo and temperature  
301 are derived from radiation measurements that sample an area beneath the instrument. Surface temperature was  
302 calculated from measured surface longwave emissions, assuming emissivity of 1. Over the study period, air  
303 temperature and atmospheric longwave receipts increase, while albedo decreases and derived surface temperature  
304 increases (Table 2). Thus, over the course of the study, atmospheric energy supply increases and surface properties  
305 become more conducive to melting. The warming atmosphere is clearly expressed in the positive degree days of the  
306 three periods which are 3.7, 2.2 and 31.5 over the 16, 9 and 14 day-long periods respectively. Hourly surface  
307 temperatures exceed the melting point in 22, 38 and 43% of cases in each period respectively. Daily surface  
308 lowering rates calculated between the hourly mean sensor-to-surface distance recorded by the AWS sonic ranger at  
309 midnight at the end of the survey days indicates lowering rates of 17, 37 and 56 mm d<sup>-1</sup> over the three measurement  
310 intervals, confirming that the increasing energy receipts translate into increasing rates of surface lowering at the  
311 AWS.

### 312 **3.3 Areal scans of penitente surfaces**

313 Surface lowering rates derived from the calculated volume changes per unit area are 21, 41 and 70 mm d<sup>-1</sup> over each  
314 interval at site A, and 57 and 61 mm d<sup>-1</sup> over the last two intervals at site B. Surface lowering calculated as the  
315 difference between successive hypsometric mean mesh elevation for each site were within a few millimetres of the  
316 volume computations: 22, 38 and 69 mm d<sup>-1</sup> for the three measured intervals at site A, and 54 and 60 mm d<sup>-1</sup> for the  
317 last two intervals at site B. The total surface lowering over the whole available period computed by volume change  
318 (hypsometric mean height change) was 1.68 (1.77) ± 0.11 m at site A and 1.37 (1.32) ± 0.38 m at site B. Surface  
319 height changes recorded at site A over the same period as at site B were 1.35 (1.31) ± 0.21 m, indicating that the  
320 values were repeatable at both sites. The volume loss was converted to mass loss using the mean snow density of  
321 426 kg m<sup>-3</sup> (with an assumed error of ± 5%) measured in a 1.10 m snow pit excavated on 22 November 2013 beside  
322 the AWS. Mass loss at site A computed from mesh volume change (hypsometric height change) between 25  
323 November and 3 January was 716 ± 58 (754 ± 59) kg m<sup>-2</sup>. Mass loss at site B from mesh volume changes  
324 (hypsometric height changes) between 11 December and 3 January was 582 (562) ± 166 kg m<sup>-2</sup>. Measurements at  
325 site A over the same period give mass loss of 573 (558) ± 95 kg m<sup>-2</sup>, so again, measurements at both sites are within  
326 error of each other.

327 The morphometry of the sampled penitentes changed visibly over the measured intervals (Figs 3 and 4). The strong  
328 east-west lineation and preferred north and south surface aspect predicted from theory developed early and was  
329 maintained throughout study period. Over time penitente troughs became fewer in number, but wider and deeper.  
330 This causes total surface area to increase; at site A the true surface is between 1.7 and 4.0 times the horizontal  
331 equivalent area, between 2.1 and 3.7 times the horizontal surface area equivalent and at site B (Fig 4 a & b).  
332 Snowfall during the first measurement interval decreases the surface area at site A over that interval. Surface relief,  
333 expressed by the vertical range of the mesh, also increases through time, except when snowfall partially filled the  
334 developing penitentes and reduces both the range of the surface and the general slope angle. The largest part of the  
335 surface is facing southwards, and the predominant angle generally steepens over time, though again this trend is  
336 reversed by snowfall (Fig 4 c & d). From the onset of measurements the surface aspect distribution is strongly  
337 dominated by north and south facing components and this becomes more pronounced in the latter measurements and  
338 the preferred orientation rotates slightly over the course of the season (Fig 4 e & f).

### 339 **3.4 Manual measurements of reference cross-profile**

340 The surface properties from manual measurements were computed on data sampled at 0.2 m over 5.0 m. Maximum  
341 relief of the sampled penitente profile, defined as the range of the distance from the horizontal reference to the



342 surface, increased over time from 0.76, through 0.83 and 1.00 to 1.38 m on each measurement date. The standard  
343 deviation of the surface remained relatively unchanged with values of 0.24, 0.26, 0.28 and 0.32 m at each  
344 measurement date. Surface lowering rate calculated by differencing the mean surface height along the profile on  
345 each measurement data was 13, 57 and 61 mm d<sup>-1</sup> over the three sampled intervals, giving a total mean surface  
346 lowering of 1.61 ± 0.14 m between 23 of November and 4 January. These manual measurements along the cross-  
347 profile compare well to the aerially-averaged lowering rates from the scanned surfaces, despite the fact that the  
348 manual measurements are made in only 2 dimensions, do not visually represent the complexity of the penitente  
349 surfaces, and individual points are sometimes out of the range of error of the Kinect (Fig 5). The computed mass  
350 loss over the same period is 688 ± 70 kg m<sup>-2</sup>, which underestimates, but is within error of, the value for site A  
351 derived from volume changes.

352 To investigate the impact of sampling resolution, maximum elevation range, mean surface height compared to the  
353 horizontal reference and mean surface lowering were calculated from manual measurements at 0.1 (n = 52), 0.2 (n =  
354 26), 0.4 (n = 14) and 1.0 m (n = 6) intervals on the last three measurement dates. The highest resolution sample was  
355 taken as a reference against which to evaluate coarser sampling. Surface relief differed from that measured at 0.1 m  
356 by maxima of 0.13, 0.29 and 0.41 m for 0.2, 0.4 and 1.0 m sampling intervals respectively. Mean measured surface  
357 height was within 0.03 m of the highest resolution measurements at 0.2 m and 0.4 m intervals, and within 0.12 m at  
358 1.0 m resolution. Mean lowering rates at 0.1, 0.2 and 0.4 m sampling intervals were all within 3 mm d<sup>-1</sup>. This  
359 increased to a maximum of 12 mm d<sup>-1</sup> when the sampling resolution was decreased to 1.0 m. Decreasing the length  
360 of the sampled profile down to 2 m alters the mean lowering rate by less than 5 mm d<sup>-1</sup> at sampling resolutions of  
361 0.1, 0.2 and 0.4 m.

362 Probing of the snow depth on 25 November indicated mean snow depth of 1.83 m (standard deviation 0.56 m). The  
363 underlying ice surface does not appear to be influencing the structure of the overlying snow penitentes (Fig 5).  
364 However, it is difficult to draw a firm conclusion based on these measurements, particularly as, while the surface of  
365 the penitentes was still snow on the 3 January, in several instances the surface had lowered below the level of the ice  
366 interface suggested by the initial probing.

### 367 3.5 Surface roughness assessments

368 Given that aerodynamic measurements to determine the most suitable representative height and zero displacement  
369 level for penitentes are thus far unavailable, the approach taken here was to do an exploratory study and compute  
370 geometric surface roughness values using various ways of expressing  $h$  and  $z_d$ . As a consequence the results are  
371 purely illustrative and while patterns can be drawn from them that have meaning for understanding the nature of the  
372 computation, the applicability of these values in turbulent exchange calculations remains to be established. The  
373 representative height,  $h$ , used in the calculations increases over time in all cases, and is bounded by the maximum  
374 case, taking  $h$  as range of the detrended surfaces, and the minimum case, taking  $h$  as twice the standard deviation of  
375 the detrended surface (Fig 6). For clarity, the two intermediate values are not included in Fig 6. Differences in  $h$   
376 computed by the same method can reach as much as 0.2 m between the two sites, although the pattern of change  
377 over time is consistent.

378 The application of Lettau's (1969) formula is considered to be invalid if the ratio of the frontal area to the planar  
379 area of the obstacles exceeds 0.2 – 0.3, with 0.25 often being chosen as a single value. This ratio is greater than 0.2  
380 for all of the penitente surfaces, and after the 20<sup>th</sup> December is always greater than 0.3. Exceeding this threshold  
381 implies that the obstacles are so closely packed that 'skimming' airflow will occur. Ignoring this issue, calculated  $z_0$   
382 values increase with time and show a strong dependence on the impinging wind direction, with values peaking for  
383 wind directions perpendicular to the alignment of the penitentes (Fig 7). Calculated  $z_0$  ranges from 0.01 – 0.90 m,  
384 depending on the way in which the representative height is expressed, the date and the wind direction (Fig 8).  
385 However, given the close spacing of the penitentes it is likely more valid to explore what the calculated  $z_0$  would be  
386 when a zero displacement height offset is applied. Again, in the absence of validation data from independent

387 measurements, calculated values can be only indicative of the pattern of roughness computed by these methods.  
388 Introducing the zero displacement height reduces the maximum calculated roughness by about half, and also reduces  
389 the variability between different representative heights (Fig 8), as a smaller  $h$  value translates into a smaller  $z_d$  so  
390 that the calculation is performed on a larger portion of the mesh.

391 Surface roughness assessments on the basis of calculations following Munro's modification for single profile  
392 measurements were applied to cross profiles longer than 1.5 m yielding 20 (6) profiles orientated N-S and 33 (7) E-  
393 W at site A (B). Surface amplitude increases over time, and the amplitude of the N-S running cross profiles is  
394 generally larger than the E-W running cross profiles, as illustrated in the example of site B (Fig 9). Table 3 shows  
395 the calculated roughness values at each survey date, revealing that while profile-computed roughness length  
396 increases monotonically over time at site B, it reduces over the first period at site A, associated with snowfall during  
397 this period. Both the range and relative increase in roughness over time is larger for the N-S running profiles. The  
398 computed roughness at both sites is 4.3 to 6.8 times larger for airflow impinging on the penitente field in an E-W  
399 direction than for airflow in the N-S direction. This is contrary to the results computed on the full 3D mesh surface,  
400 but is understandable because this formulation relies on the amplitude of the surface, which is generally larger in the  
401 N-S orientated cross profiles than the E-W running cross profiles.

## 402 **4 Discussion**

### 403 **4.1 Penitente morphology**

404 Although the natural penitentes sampled here are more convoluted than the parallel rows of penitentes used in model  
405 representations (Corripio and Purves, 2005; Lhermitte et al., 2014), the morphometric properties of the meshes  
406 broadly meet the properties of simplified surfaces. The penitente surface represents a much larger total surface area  
407 than the equivalent non-penitente surface and the control of solar radiation on penitente morphology means that the  
408 vast majority of the surface consistently dips steeply to the north and south at all stages of development. This means  
409 that the angle of incidence of direct solar radiation is reduced, decreasing both the intensity of the solar beam and the  
410 proportion of it that is absorbed. Although these effects are counteracted by multiple reflections of solar radiation  
411 within the penitente (Corripio and Purves, 2005; Lhermitte et al., 2014; Claudin et al., 2015) modeled mean net  
412 shortwave at sampled points in an example penitente field at the summer solstice at 33°S is about half of that of a  
413 level surface (Corripio and Purves, 2005). However, given the larger surface area of the penitente field compared to  
414 a flat surface, the total absorbed shortwave is a third higher in the modeled penitentes, broadly in line with the  
415 observed effect of penitentes on spatially-averaged albedo (Warren et al, 1998; Corripio and Purves, 2005;  
416 MacDonell et al., 2013; Cathles et al., 2014; Lhermitte et al., 2014). For idealized penitentes at 33°S during summer  
417 solstice, modeled increase in net shortwave radiation over penitentes is not compensated by modelled changes in net  
418 longwave radiation, meaning that the excess energy receipts must be compensated by either turbulent energy fluxes  
419 or consumption of energy by melting (Corripio and Purves, 2005).

420 Unless a snowfall event occurs to partially fill the troughs, surface relief, slope angle, penitente spacing and total  
421 surface area all increase over time as the penitentes develop and deepen. Thus the impact of penitentes on surface  
422 properties will also change along with the morphological changes. At Tapado Glacier, penitentes are initially  
423 overhanging to the north, and the southfacing sides are convex compared to the northfacing overhanging faces. Over  
424 the season the penitentes become more upright as the noon solar angle gets higher. Idealized modelling based on  
425 measurements at Tapado Glacier, shows that concave and convex slopes, as well as penitente size have been shown  
426 to impact the apparent albedo as measured by ground and satellite sensors (Lhermitte, et al., 2014), and there may be  
427 some value in assessing the impact of these morphometry changes on albedo over time. In the context of the  
428 numerical theory of Claudin and others (2015), penitente spacing controls the atmospheric level at which water  
429 vapor content is representative of the bulk surface properties. Simultaneous field or laboratory measurements of  
430 penitente spacing evolution and vapor fluxes above the surface would be required to solidly confirm this, but the

431 spacing from the field measurements provided here can be used as an indication of the level at which measurements  
432 would need to be made in order to capture the bulk surface fluxes rather than fluctuations governed by the small-  
433 scale surface terrain.

#### 434 **4.2 Methods of measuring change of rough glacier surface elements**

435 The test site for scanning penitentes with a TLS was chosen as scanning positions could be established on the  
436 surrounding higher ground overlooking the penitente field, thereby offering the best viewing angles possible.  
437 Nevertheless, the terrestrial laser scanning could only capture the upper portions of the penitentes. As ablation is at  
438 its maximum in the troughs, TLS data is therefore not able to determine the true volume change of penitentes. The  
439 coverage would be increased if a higher viewing angle could be achieved, but the steep, dense nature of penitente  
440 fields makes it difficult to imagine where sufficient suitable locations can be found surrounding glaciers or  
441 snowfields with penitentes. In contrast, the mobile Kinect sensor can be moved across the complex relief of the  
442 penitente field to make a complete surface model. Although it is in principle possible to capture a large area with the  
443 ReconstructMe software used here, and it offers the advantage of providing real time feedback on the mesh  
444 coverage, it proved difficult to capture the study sites in a single scan given (i) the reduced signal range of the sensor  
445 over snow and ice (Mankoff and Russo, 2013), and (ii) the difficulty of moving around the penitente field. As a  
446 result, partial scans were obtained, with the disadvantage that subsequently combining these introduces a substantial  
447 degree of additional error associated with alignment if the component scans were not of high quality at the margins,  
448 or did not overlap adjacent scan areas sufficiently. A combination of these two techniques might allow the  
449 extrapolation of small-scale geometry changes and volume loss determined from a Kinect surface scan to be  
450 extrapolated usefully to the glacier or snowfield scale using measurements made with a TLS.

451 Despite not visually capturing the complex morphology of the penitentes, manual measurements of surface height  
452 change in a penitente field along a profile cross-cutting the penitentes are robust for determining mean surface  
453 lowering rates, and show good agreement to the volume changes computed from differencing the digital surface  
454 models scanned in detail using a Kinect. Thus, the detailed surface geometry need not be known in order to  
455 reasonably calculate the total volume loss over time within penitente fields. Comparison of the manual sampling at  
456 different intervals suggests that five samples per meter is adequate to characterize surface change of penitentes, but  
457 that data will be unreliable if the cross-profile is too short. Over the 39 days of the study, mass loss calculated from  
458 26 points spaced at 0.2 m intervals along a 5 m profile crosscutting the penitentes differed from that calculated from  
459 volume change computed on surface meshes consisting of over 1.3 million points and covering an area of 7 m<sup>2</sup> by  
460 only 28 kg m<sup>-2</sup>. Although this difference was within the error of the two measurement types, the seasonal difference,  
461 assuming that this difference applies to a whole ablation season of 120 days would be 86 kg m<sup>-2</sup>, and applied to the  
462 whole glacier (3.6 km<sup>2</sup>) would amount to an underestimate of mass loss over an ablation season of 0.3 gigatonnes.  
463 As a side note, the probing of snowdepth carried out as part of this study highlights the difficulty in identifying the  
464 underlying ice surface, or summer ablation surface, within a penitente field, suggesting that a single location must be  
465 sampled very densely to obtain a characteristic snowdepth by this method.

#### 466 **4.3 Surface roughness**

467 The changing morphometry of the penitentes alters the geometrical surface roughness as they develop over the  
468 ablation season. Values calculated using a single, simple, geometric relationship (Lettau 1969) were investigated  
469 because a profile-based version of this formulation has previously been tested against aerodynamic measurements  
470 over glacier surfaces (Munro, 1989, 1990; Brock et al., 2006). Certainly other relationships could be explored in the  
471 context of linearized glacier features, but given the wide spread of values produced in previous comparisons such an  
472 analysis might be of limited value in the absence of simultaneous aerodynamical investigations (Grimmond and  
473 Oke, 1999). Furthermore, the results of Grimmond and Oke (1999) indicate that for the sites sampled, the Lettau  
474 method gives  $z_0$  values that are in the middle of the range of all the methods. The analysis of geometric  
475 computations of roughness properties in Grimmond and Oke (1999) highlight the importance of correctly

476 determining  $z_d$ , and limited sensitivity analyses show the computed  $z_d$  and  $z_0$  to be strongly dependent on the  
477 dimensions of the obstacles. Lettau's (1969) formula, which does not account for  $z_d$ , overestimates roughness for  
478 densely packed obstacles, but this does not compensate sufficiently to reproduce values of  $z_d + z_0$  for densely packed  
479 obstacles from formulations that include  $z_d$  in the computation of  $z_0$ . Thus, Lettau's formula is expected to estimate  
480 the zero velocity point of a logarithmic wind profile to be lower than formulations that include  $z_d$  in the computation  
481 of  $z_0$ .

482 The ratio of frontal to planar area of the penitentes implies that skimming flow prevails, such that turbulent airflow  
483 in the overlying atmosphere does not penetrate penitente troughs. This is in agreement with the theory of formation  
484 and growth of penitentes, in which the development and preservation of a humid microclimate within the penitente  
485 troughs is required to facilitate differential ablation between the trough and tip of the penitente. Although the data  
486 here shows that penitentes become less densely packed over time, skimming flow regime persists over the study  
487 period, and available data is insufficient to determine if this holds true to the end of the ablation season.

488 Application of geometrical roughness equations is made more problematic in penitente fields as it is not clear how  
489 an appropriate representative obstacle height should be expressed, nor how to define the zero displacement level  
490 during skimming flow. Roughness calculated using a range of possible representations of these properties point  
491 towards roughness values in the order of 0.01-0.10 m during the early part of the ablation season and 0.10-0.50 m  
492 after the end of December. These values are greater than the roughest values previously published for glacier ice,  
493 which are  $< 0.10$  m (Smeets et al., 1999; Obleitner, 2000). The topographic analysis clearly shows that in the  
494 absence of intervening snowfall events, this roughness increase is related to the deepening of the penitentes over  
495 time and an increase of the surface amplitude. The pattern of the computed roughness properties is consistent  
496 between the two neighbouring sites, but individual values can differ, suggesting that relief varies substantially over  
497 short distances and sampling a large area is necessary to capture mean properties.

498 The dependence of topographic roughness determinations on wind direction has been illustrated in previous studies  
499 (e.g. Jackson and Carol, 1978; Smith et al., 2016), and this is exceptionally so for the strongly aligned penitentes.  
500 Roughness calculated from 3D surface meshes are higher for wind impinging in a north-south direction, as the large  
501 faces of the penitentes form the frontal area in this case. In contrast, roughness calculated for individual profiles  
502 extracted from the mesh to mimic manual transect measurements in the field, is between 3 and 6 times larger for air  
503 flow impinging in an east-west direction, than in a north-south direction. Neither approach has been evaluated  
504 against independent surface roughness derived from atmospheric profile measurements over penitentes.  
505 Consequently, although surface roughness calculations on the basis of profile geometry have been evaluated against  
506 aerodynamic roughness over rough ice surfaces, the available data is insufficient to distinguish if maximum  
507 aerodynamic roughness is associated with wind flowing across or along the penitente lineation. Thus it is not clear  
508 which method captures the appropriate relationship between wind direction and surface roughness for calculating  
509 turbulent fluxes over penitentes. In principle it sounds reasonable to expect airflow across the penitente lineation to  
510 maximize turbulence as the penitentes present a large surface area to the wind, yet, if skimming flow is established,  
511 with the result that only the tips of the penitentes are determining the structure of the turbulence then roughness in  
512 this direction would be strongly reduced, and perhaps even be less than for air flow along the penitente lineation, for  
513 which the smaller frontal area reduces the likelihood of skimming flow. Further investigation of this in order to  
514 quantify the impact of penitentes on turbulent fluxes for various airflow patterns requires measurement of turbulent  
515 fluxes using eddy covariance or atmospheric profile methods, which would demonstrate the nature of the directional  
516 roughness and establish the impact of penitentes on turbulent energy fluxes for different wind directions. Such  
517 measurements would be best implemented in a manner which can sample all wind directions equally, and eddy  
518 covariance systems for which analysis is limited to a sector of airflow centred around the prevailing airflow source,  
519 might not be able to capture the nature of the directional dependence correctly.

520 Prevailing wind direction differs only slightly in each period with an increasing northwesterly component in the  
521 second two periods compared to the first. This may be related to the occurrence of snow during the first period,

522 which is expected to alter thermally-driven valley wind systems. Over the whole study period wind direction is  
523 predominantly from the south-easterly and north-westerly sectors, and swings through both extreme wind angles  
524 used in the roughness calculations here (Fig 10). This indicates that the effective roughness at this site can be  
525 expected to differ significantly over time depending on the wind direction.

526 In this study we did not explicitly compute the blending height as available formulae are dependent upon  $z_0$  and  $z_d$ .  
527 Estimates of the blending height independently from  $z_0$  and  $z_d$  have been suggested to be 2.5 - 4.5 times  $h$ , as twice  
528 the mean element spacing, or as combination of the height and spacing (see examples within Grimmond and Oke,  
529 1999). Given that only atmospheric measurements above the blending height give representations of integrated  
530 surface fluxes and conditions, the first approach would imply that aerodynamical or flux measurements over  
531 penitentes would have to be carried out at considerable height above the surface to capture mean surface properties  
532 rather than the effects of individual roughness elements. The mathematical model of Claudin and others (2015) gives  
533 a characteristic length scale for the level at which the vapour flux is constant in horizontal space that is related to the  
534 spacing of the penitentes. Interpreting this level as the blending height implies that the blending height might be  
535 determined on the basis of spacing of penitentes alone, and that this in turn might contain useful data for  
536 understanding the structure and efficiency of turbulence above penitentes. Exploring these ideas requires  
537 information from detailed meteorological measurements as well as the geometrical information offered in this paper.

## 538 **5 Conclusion**

539 Surface scanning technology and software is an area of rapid development, and a number of potentially superior  
540 alternative set-ups and data capture sensors and software is now available. This study demonstrates that the  
541 Microsoft Kinect sensor can work successfully at close range over rough snow and ice surfaces under low light  
542 conditions, and generate useful data for assessing the geometry of complex terrain and surface roughness properties.  
543 The data collected offers the first detailed study of how the geometry of penitentes evolve through time, highlighting  
544 the rate of change of surface properties over an ablation season that can serve as a guideline for parameterizing  
545 surface properties required for energy and mass balance modelling of penitente surfaces.

546 The results confirm that even relatively crude manual measurements of penitente surface lowering are adequate for  
547 quantifying the seasonal mass loss, which is good news for the validity of measurements of surface change on  
548 glaciers with penitentes. However, further measurements and/or modelling studies are required to determine if the  
549 mass loss from the expanded and convoluted surface of penitentes is enhanced or inhibited compared to mass loss in  
550 the absence of penitentes.

551 Aerodynamical roughness properties and related metrics over very rough surfaces remain poorly quantified and both  
552 geometric and meteorological determinations of these values show a wide spread; consequently it remains unclear  
553 what the best methods to use are or what values modellers would be best to use (Grimmond and Oke, 1999). In this  
554 context further study of penitentes offers a useful opportunity as (a) their morphometric evolution over time allows  
555 various geometries to be evaluated by monitoring a single site, and (b) they offer a bridge between wind tunnel and  
556 urban field experimentation of turbulence and roughness over extreme terrain. Although validity of surface  
557 roughness calculations based on surface geometry remains to be established for penitentes, this study highlights that  
558 (i) skimming flow is expected to persist over penitentes field, but is more likely under wind directions perpendicular  
559 to the penitente alignment; (ii)  $z_d$  is certainly greater than zero, and while the depth of penetration of surface layer  
560 turbulence into a penitente field is not clearly established it is likely to evolve with the developing penitentes, and  
561 values of  $z_d \sim 2/3h$  give results that are theoretically reasonable in the framework outlined by Grimmond and Oke  
562 (1999); (iii) the two methods of geometric computation of surface roughness applied here give conflicting results as  
563 to whether the effective surface roughness of penitentes is greater for airflow along or across the penitente lineation  
564 and (iv) more complete understanding of the impact of penitentes on the turbulent structure, its evolution in time,

565 and its directional dependency, would require atmospheric measurements with no directional bias concurrent with  
566 measurements of penitentes morphology.

567 Potential future applications and analyses of the surfaces generated in this study include (i) using surface properties  
568 and roughness values as a guide for input into surface energy balance models; (ii) assessing the performance of  
569 models against the measured volume loss over time and (iii) evaluating how well simplified representations of  
570 penitente surfaces used in small scale radiation models and turbulence models capture the real-world complexity.  
571 Such studies would help establish the nature of the likely micro-climatic distribution of the surface energy balance  
572 within a real penitente field, and as a result the impact of penitentes on runoff and exchange of water vapour with  
573 the atmosphere.

574 **Author contributions.** LN designed the study. Fieldwork was carried out by LN and BP with MP providing the  
575 TLS data. TLS and AWS equipment was provided by SM through collaboration with CEAZA. The data was  
576 analysed by LN and MP. Preparation of the manuscript and figures was led by LN with contributions from all co-  
577 authors.

578 **Data availability.** Surface meshes used in this study are provided in the supplementary material (naming convention  
579 is `site_ddmm.ply`). Interactive 3D views of surfaces from site B can be seen at:  
580 <https://sketchfab.com/LindseyNicholson/folders/penitentes-on-glaciar-tapado-chile>. Processing scripts are available  
581 on request.

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663 Table 1: Maximum absolute georeferencing error at each marker stake for site A and B, relative to the standard  
 664 deviation of the differential GPS measurement.

	$\Delta X$ [mm]	$\Delta Y$ [mm]	$\Delta Z$ [mm]	$\Delta XY$ [mm]	$\Delta XYZ$ [mm]	<i>dGPS XYZ</i> standard deviation [mm]
<b>A-1</b>	63	25	38	68	77	17
<b>A-2</b>	214	118	259	233	312	15
<b>A-3</b>	14	57	53	57	62	14
<b>A-4</b>	23	29	61	33	69	16
<b>A-5</b>	54	32	128	56	139	18
<b>B-1</b>	59	46	19	75	77	16
<b>B-2</b>	121	11	102	164	193	17
<b>B-3</b>	11	48	2	49	49	12
<b>B-4</b>	85	37	34	85	92	12

665 Table 2: Mean meteorological conditions during the measurement intervals: incoming shortwave (SW in), albedo  
 666 ( $\alpha$ ), incoming longwave (LW in), windspeed (u), wind direction (dir), surface temperature computed from measured  
 667 outgoing longwave radiation (T surface), air temperature (T air), relative humidity (RH), air pressure (P) and the  
 668 distance between the sonic ranger and the glacier surface (dist).  
 669

	SW in	$\alpha$	LW in	u	dir	T surface	T air	RH	P	dist
	[W m <sup>-2</sup> ]	[-]	[W m <sup>-2</sup> ]	[m s <sup>-1</sup> ]	[°]	[°C]	[°C]	[%]	[hPa]	[m]
sensor	<i>Kipp and Zonen CNR1</i>			<i>Young 05103</i>	CNR1	<i>Vaisala HMP45</i>	<i>Setra 278</i>	<i>SR50</i>		
<b>26/12 - 11/12</b>	413	0.54	205	3.0	170	-5.3	-2.7	32.5	442	1.62
<b>12/12 - 20/12</b>	441	0.48	212	2.8	214	-2.9	-0.8	41.4	448	1.96
<b>21/12 - 03/01</b>	426	0.41	224	3.1	217	-1.4	1.9	39.5	456	2.56

670 Table 3: Surface roughness ( $z_0$ ) computed according to Munro (1989) on detrended profiles longer than 1.5 m,  
 671 extracted at 0.10 m intervals from the Kinect surface meshes at site A and B for E-W impinging wind and N-S  
 672 impinging wind. The number of profiles used for each wind direction is given in parenthesis. The likely  
 673 displacement of the zero velocity plane ( $d_{top} \pm$  standard deviation), was computed as the mean of  $2/3h$  for all  
 674 profiles and expressed as a distance from the top of the penitentes. The range of the detrended 3D mesh (3D range)  
 675 provides a reference for the penetration depth of turbulence.  
 676

	site A						site B					
	$z_0$ E-W (20)			$z_0$ N-S (33)			$z_0$ E-W (6)			$z_0$ N-S (7)		
	mean	max	min	mean	max	min	mean	max	min	mean	max	min
<b>25-Nov</b>	45	111	11	8	19	3						
<b>11-Dec</b>	33	68	12	6	13	2	28	41	22	6	9	1
<b>20-Dec</b>	70	146	57	25	67	7	122	156	84	22	47	14
<b>03-Jan</b>	136	211	71	45	136	11	133	186	101	21	30	12
	3D range [m]	$d_{top} \pm$ std [m]		3D range [m]	$d_{top} \pm$ std [m]		3D range [m]	$d_{top} \pm$ std [m]		3D range [m]	$d_{top} \pm$ std [m]	
<b>25-Nov</b>	0.41	0.27	0.06	0.41	0.34	0.02						
<b>11-Dec</b>	0.48	0.33	0.05	0.48	0.41	0.01	0.58	0.45	0.02	0.58	0.51	0.02
<b>20-Dec</b>	0.76	0.58	0.03	0.76	0.61	0.04	0.98	0.76	0.02	0.98	0.84	0.04
<b>03-Jan</b>	1.07	0.79	0.03	1.07	0.86	0.05	1.14	0.86	0.03	1.14	0.98	0.02

677 Figure 1: Map of Tapado Glacier in the Elqui catchment of the Coquimbo Region of Chile, showing the location of  
678 the measured sites and insets of (a) the glacier site layout, showing the location of the horizontal reference (black  
679 line) and (b) the test site, indicating the boulder (red star) at which the Kinect scans were compared against TLS.

680 Figure 2: (a) Oblique view of the TLS-derived DSM of the test site highlights the patchy coverage of the penitentes  
681 obtained by this method. (b) Absolute differences between DSMs of the sample boulder produced using TLS and  
682 Kinect.

683 Figure 3: Shaded DSM meshes of N-S orientated DSMs for the 1.5 m x 1.5 m glacier site B on (a) 12 December  
684 2013 (b) 20 December 2013 and (c) 03 January 2013 obtained using the Kinect.

685 Figure 4: Summary of the DSM properties through time at site A (left) and B (right). (a and b) Surface height  
686 distribution as a percentage of total surface area, in local coordinates [m] relative to the position of the northern end  
687 of ablation frame. Inset tables show weighted mean mesh elevation, range, surface area and surface area as a  
688 function of the horizontal area of the sampled site. (c and d) Distribution of surface angles as a percentage of total  
689 surface area. (e and f) Aspect distribution as a percentage of total surface area.

690 Figure 5: Comparison of surface height through time extracted from the Kinect scan and measured manually along  
691 the horizontal reference. Vertical error on the Kinect cross profiles is given by a linear interpolation of total  
692 positional error between the bounding stakes. Solid black triangles indicate locations where snowdepth exceeded the  
693 length of the 3 m probe.

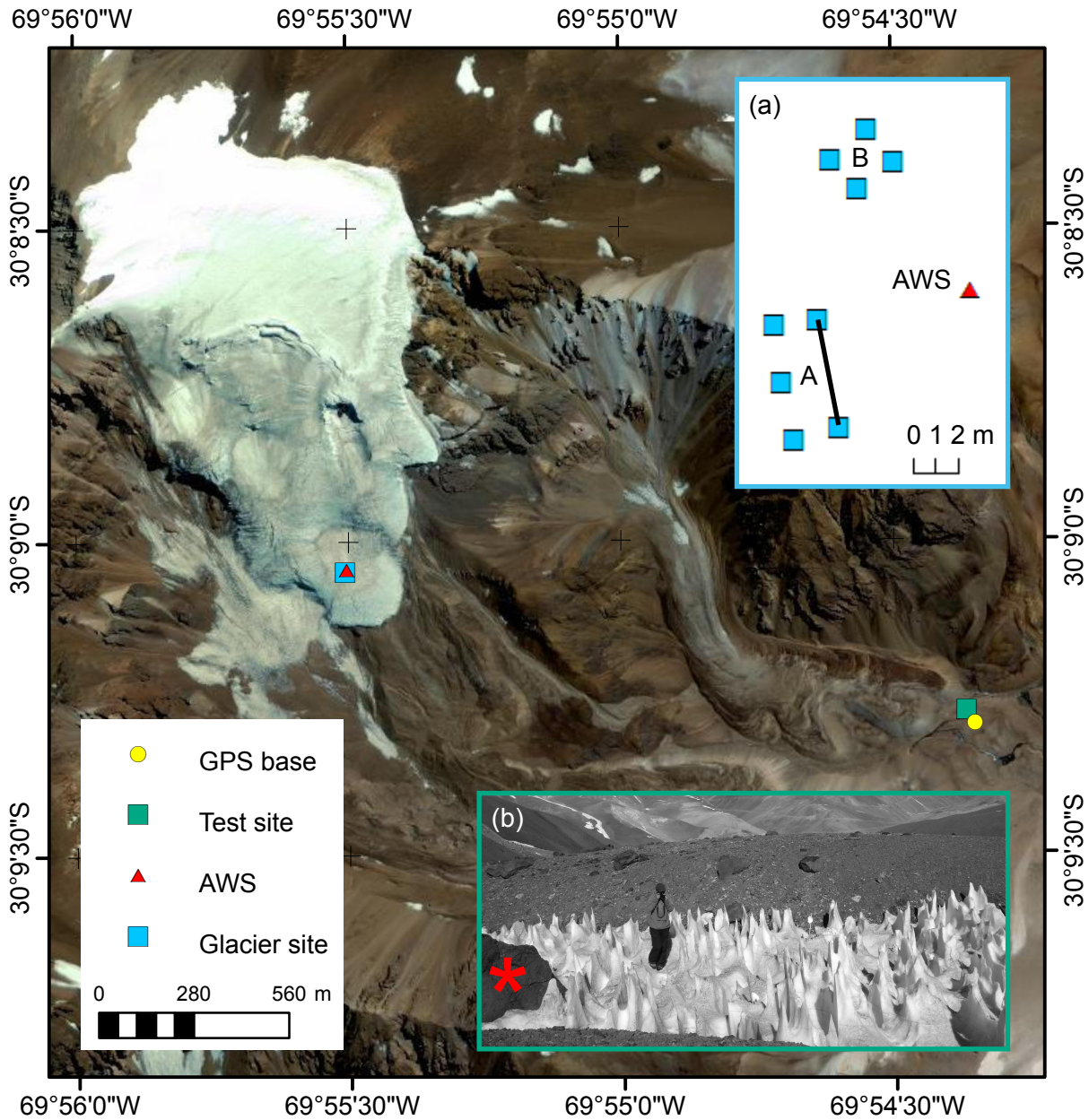
694 Figure 6: Representative surface heights computed on detrended surface meshes for site A (solid) and site B (open)  
695 over time where  $h1-h4$  refer to representative surface heights computed as range ( $h1$ ), twice the standard deviation  
696 ( $h2$ ), area weighted mean height above the minimum ( $h3$ ), and area weighted median above the minimum mesh  
697 height ( $h4$ ).

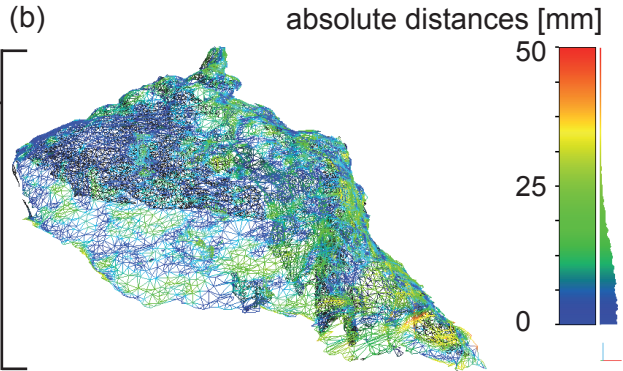
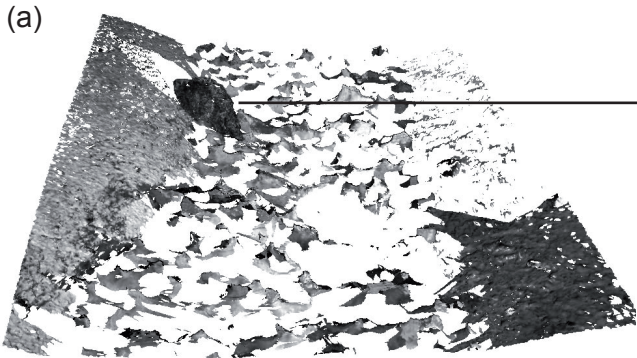
698 Figure 7: 3D  $z_0$  computed for  $10^\circ$  aspect intervals for all detrended DSMs highlighting peak roughness occurs in N-S  
699 airflow. Maximum values take  $h$  to be the detrended mesh elevation range, and minimum values take  $h$  to be twice  
700 the standard deviation of the detrended mesh.

701 Figure 8: Comparison of three-dimensional surface roughness through time, indicating the range of  $z_0$  computed for  
702 all incident wind angles (at  $10^\circ$  intervals). Upper panels show the roughness with no zero level displacement and  
703 lower panels show values with a zero displacement offset  $d1 = h$ ;  $d2 = 2/3h$  and  $d3 = 1/3h$ . As before,  $h1-h4$  refer to  
704 representative surface heights computed as range, twice the standard deviation, area weighted mean height above the  
705 minimum, and area weighted median above the minimum mesh height respectively.

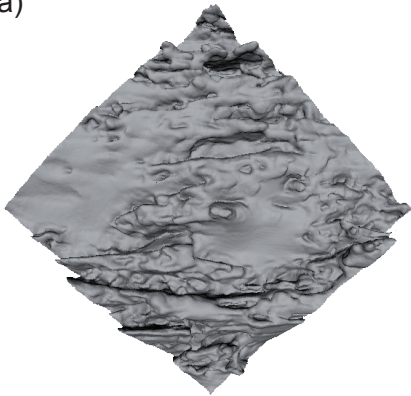
706 Figure 9: Examples of (a) N-S, and (b) E-W orientated cross sections longer than 1.5 m, sampled at 0.1 m intervals  
707 from which effective surface roughness properties were computed using the methods of Munro (1989, 1999). The  
708 local coordinates are relative to the NE corner marker of site A (Fig 1).

709 Figure 10: Wind rose for the whole study period (26 Nov 2013 – 3 Jan 2014).

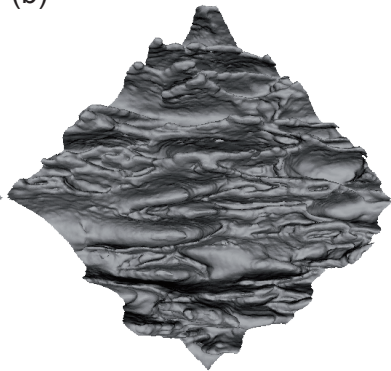




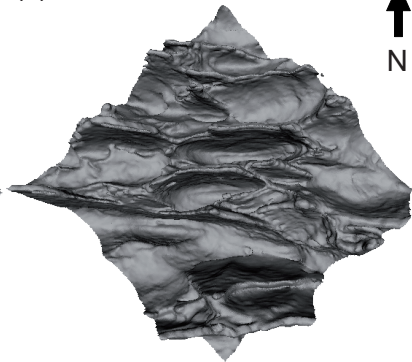
(a)

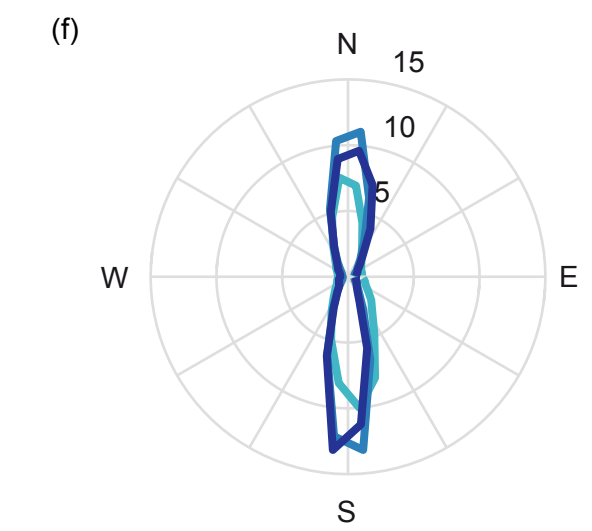
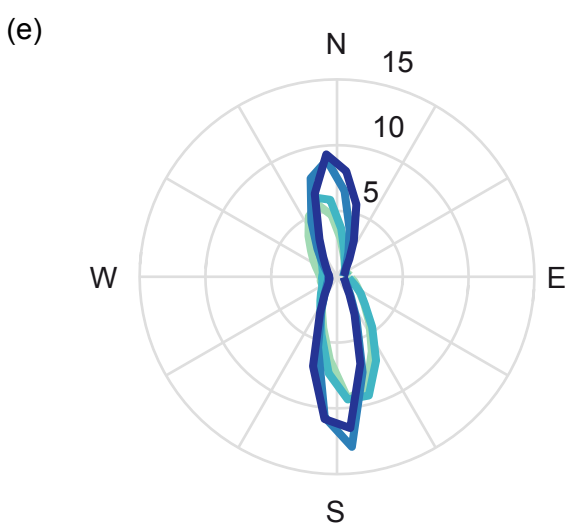
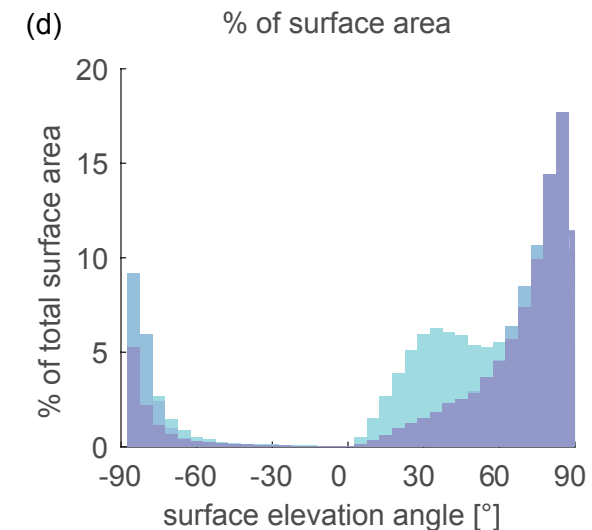
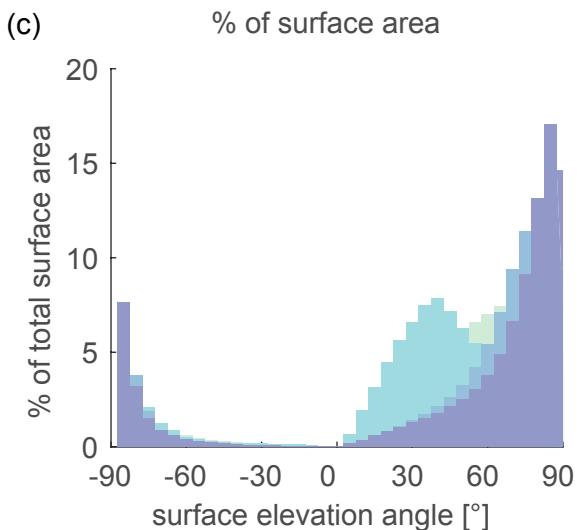
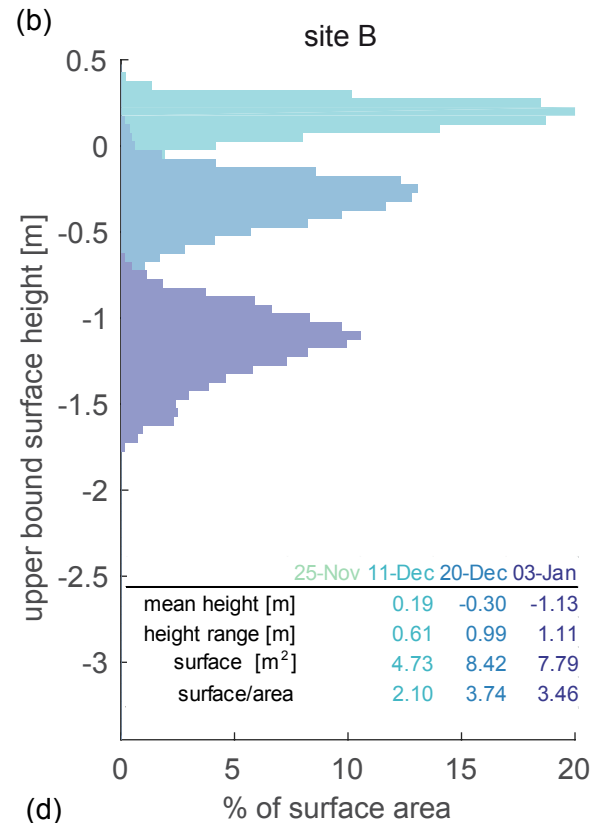
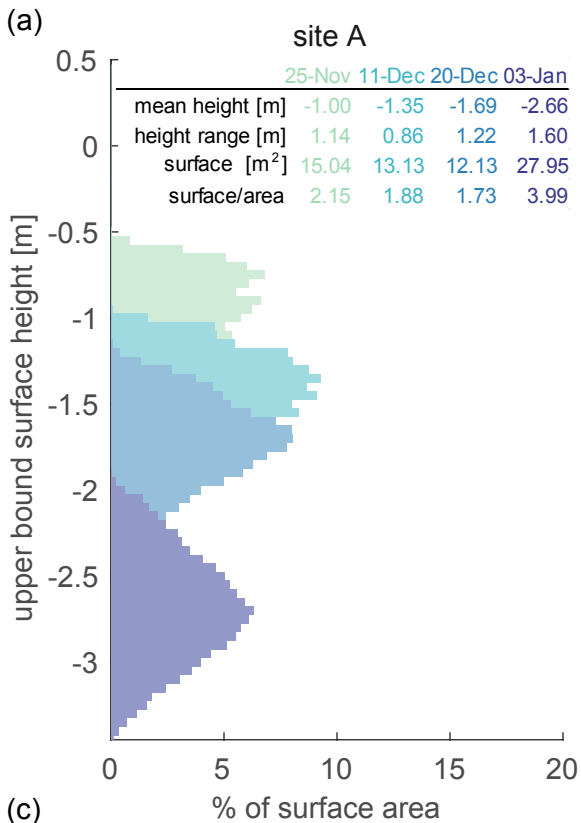


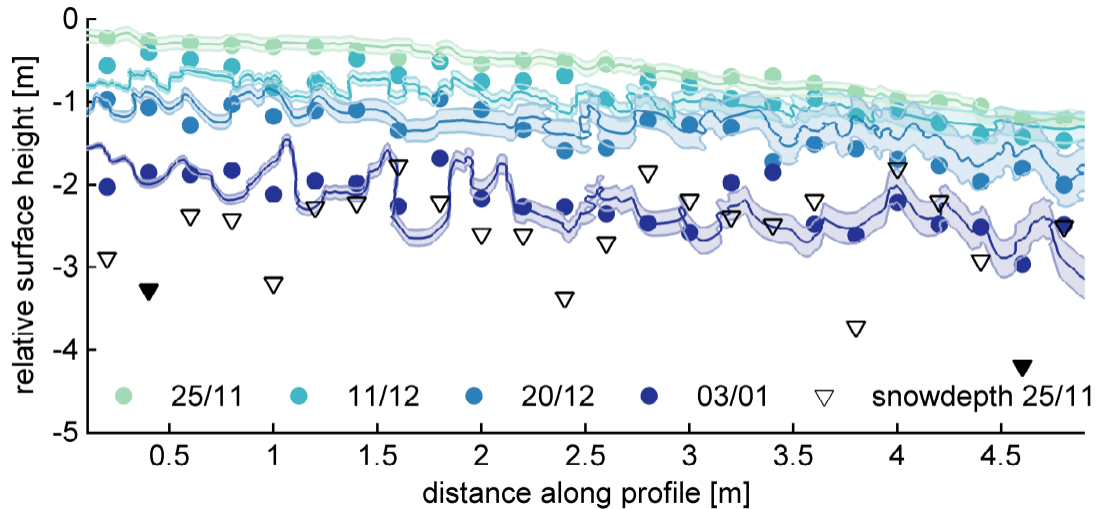
(b)

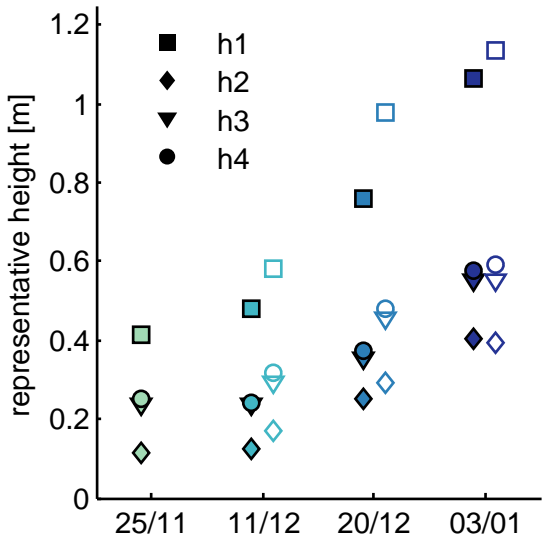


(c)

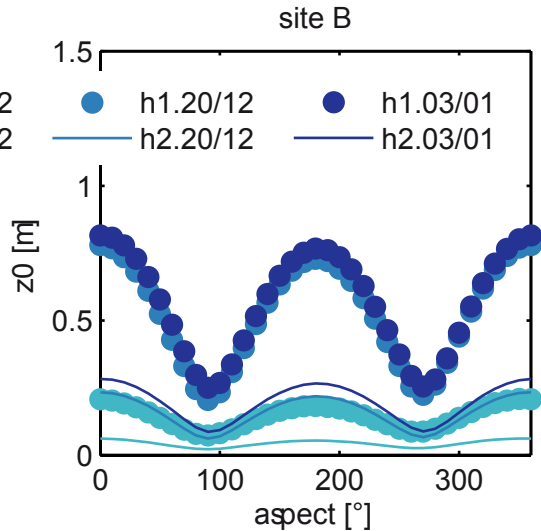
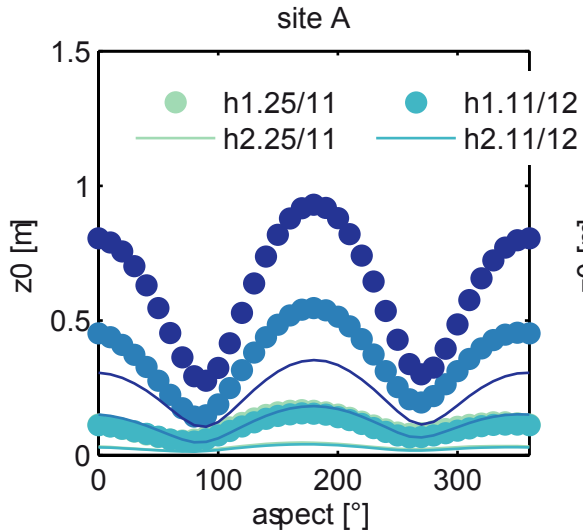


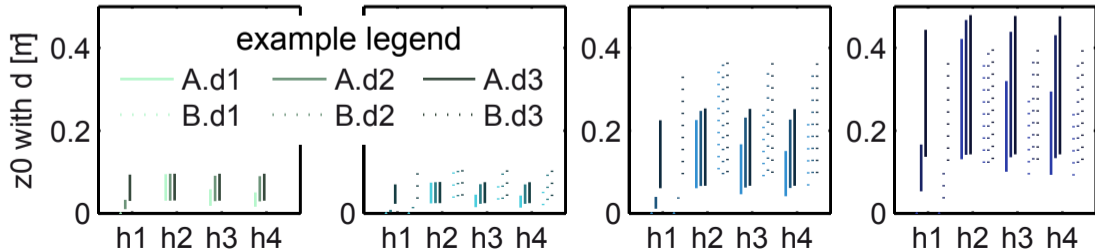
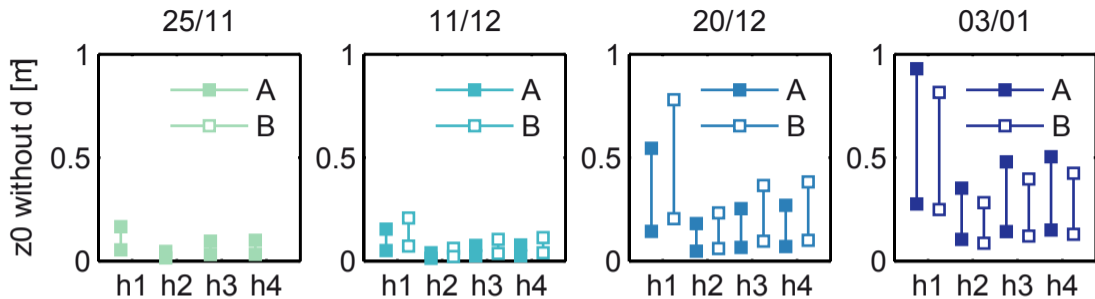




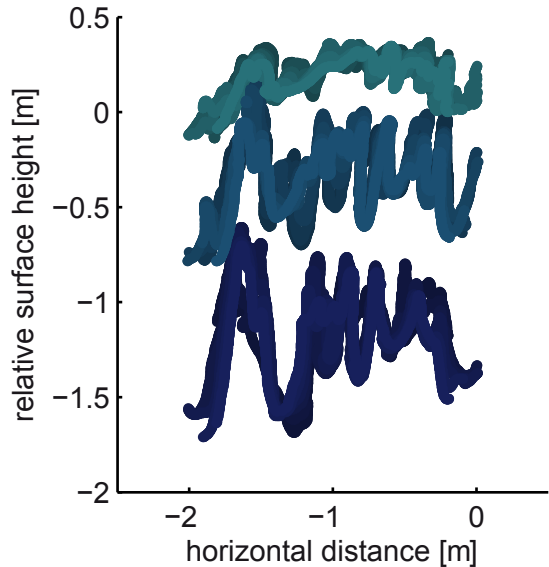




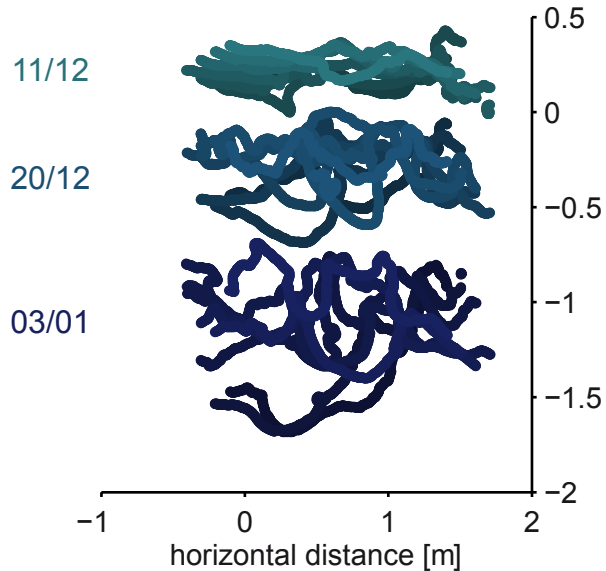




N-S sections >1.5m



E-W sections > 1.5m



26.11.13 – 03.01.2014

