

# 1 **Small-scale disturbances in the stratigraphy of the NEEM** 2 **ice core: observations and numerical model simulations**

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## 13 **Abstract**

14 Disturbances on the centimetre scale in the stratigraphy of the NEEM ice core (North  
15 Greenland) can be mapped by an optical line scanner as long as the ice does have a visual  
16 layering, such as, for example, cloudy bands. Different focal depths allow, to a certain extent,  
17 a three dimensional view of the structures. In this study we present a detailed analysis of the  
18 visible folds, discuss their characteristics and frequency and present examples of typical fold  
19 structures. We also analyse the structures with regard to the deformation boundary conditions  
20 under which they formed. The structures evolve from gentle waves at about 1500 m to  
21 overturned z-folds with increasing depth. Occasionally, the folding causes significant  
22 thickening of layers. Their similar-fold shape indicates that they are passive features and are  
23 probably not initiated by rheology differences between alternating layers. Layering is heavily  
24 disturbed and tracing of single layers is no longer possible below a depth of 2160 m. C-axes  
25 orientation distributions for the corresponding core sections were analysed, where available,  
26 in addition to visual stratigraphy. The data show axial-plane parallel strings of grains with c-  
27 axis orientations that deviate from that of the matrix, which shows a single-maximum fabric  
28 at the depth where the folding occurs.

29 Numerical modelling of crystal-viscoplastic deformation and dynamic recrystallisation was  
30 used to improve the understanding of the formation of the observed structures during  
31 deformation. The modelling reproduces the development of bands of grains with a **tilted**  
32 **lattice** orientation relative to the single maximum fabric of the matrix, and also the associated  
33 local deformation. We conclude from these results that the observed folding can be explained  
34 by formation of **these tilted-lattice bands**.

35

## 36 **1 Introduction**

37 The NEEM (North Greenland Eemian Ice drilling) ice core, located at 77° 27' N 51° 3.6' W in  
38 the northwest of Greenland, has been drilled between June 2008 and July 2012. It is located  
39 on a topographic ridge, which dips towards the northwest so that the surface velocities on the  
40 ice divide have a non-negligible component of along ridge flow of about 6 m a<sup>-1</sup> ([NEEM  
41 community members, 2013](#)). In July 2010 the bedrock was reached at 2537.36 m depth. The  
42 site has been chosen in order to recover an undisturbed Eemian warm-period ice layer.  
43 However, it was found later that the ice below 2200 m was heavily disturbed and probably  
44 folded on a large scale ([NEEM community members, 2013](#)).

45 Visual stratigraphy of the NEEM ice core revealed folding on a small scale too, with fold  
46 amplitudes varying from less than 1 cm to a few decimetres ([Samyn et al., 2011](#)). These types  
47 of folds occur well above the large scale disturbances reported by the [NEEM community  
48 members \(2013\)](#). Similar structures have been found in the lower parts of other deep ice cores  
49 ([Alley et al., 1997](#); [Thorsteinsson, 1996](#); [Svensson et al., 2005](#); [Faria et al., 2010](#); [Fitzpatrick  
50 et al., 2014](#)). Stratigraphy bands are visualized by an indirect light source scattering on  
51 surfaces inside the ice, mainly particles and air bubbles / hydrates ([Svensson et al., 2005](#)).  
52 High impurity content is found in ice that originates from snow accumulated during glacial  
53 periods. Changing impurity contents between ice from glacial and interglacial periods have  
54 been linked to rheological differences (e.g. [Paterson, 1991](#)) and may lead to shear localization  
55 in distinct horizontal layers.

56 Due to their potential influence on the integrity of the climatic record, folds have been subject  
57 to modelling studies (e.g. [Waddington et al., 2001](#)). [Thorsteinsson and Waddington \(2002\)](#)  
58 explored the amplification of small disturbances in the layering of ice cores for isotropic and  
59 anisotropic conditions, investigating the potential for the existence of overturned folds near  
60 ice sheet centres. [Azuma and Goto-Azuma \(1996\)](#) concluded from their proposed anisotropic

61 flow law formulation that an inclined single maximum fabric could lead to vertical strain even  
62 in simple shear and thus influence the stratigraphy. They also suggested that horizontal  
63 variations in the inclinations could then cause alternating thickening and thinning of layers,  
64 leading to folding or boudinage in the stratigraphy. However, the initial formation of the  
65 disturbances is not fully understood.

66 Here we present a characterisation of the small-scale folding observed in the NEEM ice core.  
67 Another feature occasionally observed along with folding in deep ice cores are “fabric  
68 stripes” (Alley et al., 1997). They describe bands of deviating grain orientations with respect  
69 to the surrounding matrix, which is essentially a single maximum fabric in regions where  
70 folding occurs. We discuss possible folding mechanisms and the link to the so-called “Alley-  
71 stripes” in the crystal fabric of grains. Microstructural modelling with ELLE reproduces  
72 similar fabrics and fold structures to the ones we observe in the NEEM ice core.

73

## 74 **2 Methods**

75 The data used in this study were obtained by different observational methods, which will be  
76 introduced only briefly in the following section. For technical details we recommend to check  
77 the original literature cited in the subsections.

### 78 **2.1 Line scan visual stratigraphy**

79 The visual stratigraphy of the NEEM ice core was recorded by means of an automated line  
80 scan instrument (see Svensson et al. (2005) for a detailed description of the instrument and  
81 data from the North GRIP ice core). Clear ice appears dark when illuminated by an indirect  
82 light source. Dust particles or bubbles cause scatter of light and make the ice appear bright in  
83 the line scan image. A clear correlation between backscatter and dust content has been found  
84 in the North GRIP ice core (Svensson et al., 2005). The method can be applied directly in the  
85 field and in the case of the NEEM ice core was applied continuously for the entire core, with  
86 a gap between 860 m and 1150 m, which corresponds to the brittle zone where the core  
87 quality did not allow preparation for the line scanner. For the NEEM ice core the line scan  
88 images were recorded with a standardised exposure time and three focal planes within the ice  
89 core section with a vertical distance of 1 cm (Kipfstuhl, 2010). This allows to a certain degree  
90 a three-dimensional mapping of the visible layering in the ice core. The data are stored in  
91 high-resolution (118 pixel per centimetre) bmp images. One drawback of this method is, of

92 course, that it only shows disturbances in the ice if scattering surfaces are present. However, it  
93 is possible to even reveal structures at low dust content by means of image processing and  
94 filtering.

## 95 **2.2 Automated Fabric Analyzer**

96 The crystal fabric orientation of discrete samples was measured using a G50 Automatic  
97 Fabric Analyzer (Australian *Russell-Head* type, see e.g. [Russell-Head and Wilson, 2001](#);  
98 [Paternell et al., 2010](#), data set: [Weikusat and Kipfstuhl, 2010](#)). Samples cut from the physical  
99 properties part of the NEEM core were cut to 250  $\mu\text{m}$  thin sections to measure c-axis crystal  
100 lattice orientations by polarized light microscopy, where the thin section is placed between  
101 systematically varying crossed polarizers (e.g. [Wilson and Russell-Head, 2003](#)). The data  
102 coverage is much better than in previous ice cores with continuous sampling of selected core  
103 sections (bags) to investigate meter-scale variations in fabric throughout the core. However,  
104 due to the time-consuming preparation of the samples it was not possible to produce a  
105 continuous record.

## 106 **2.3 Microstructural modelling with ELLE and full field crystal plasticity**

107 We use 2-D numerical modelling to investigate the development of strain localization in a  
108 polycrystalline aggregate. At the moment it is not possible to combine simple shear and pure  
109 shear boundary conditions. We chose simple shear boundary conditions as an approximation  
110 for the in-situ conditions in the lower part of the ice **sheet** where the **bands of deviating grain**  
111 **orientation** are observed, and where horizontal shear is dominant. The simulation approach  
112 couples a full field method based on the fast Fourier transform (FFT) that simulates  
113 viscoplastic deformation, with a front-tracking code that simulate dynamic recrystallisation  
114 processes (DRX), included within the open-source numerical modelling platform ELLE  
115 (<http://www.elle.ws>; [Bons et al., 2008](#)). ELLE has been successfully used to simulate  
116 evolution of microstructures during deformation, such as recrystallization ([Piazolo et al.,](#)  
117 [2008](#); [Roessiger et al., 2011](#); [2014](#)) or strain localisation ([Jessell et al., 2005](#); [Griera et al.,](#)  
118 [2011, 2013](#)). The full-field crystal plasticity (FFT) code ([Lebensohn, 2001](#); [Lebensohn et al.,](#)  
119 [2008](#); [Montagnat et al., 2014a](#), [Llorens et al., in press](#)) simulates deformation by pure  
120 viscoplastic dislocation glide. An experimental run consists of iterative applications of small  
121 increments ( $\Delta\gamma=0.04$ ) of simple shear deformation, each followed by a sub-loop of processes  
122 simulating dynamic recrystallisation (grain boundary migration and recovery). While grain

123 boundary migration covers the motion of high-angle grain boundaries, recovery achieves a  
124 decrease in intra-crystalline heterogeneities by means of local rotation without motion of  
125 high-angle boundaries. The recrystallisation sub-loop may be called more than once to  
126 simulate the different balance between deformation and recrystallisation as a function of  
127 strain rate, since all simulations are performed with the same intrinsic mobility value ( $M_0$ ) and  
128 boundary-diffusion activation energy ( $Q$ ) (Roessiger et al., 2014; Llorens et al., in press).  
129 Exchange of data between ELLE and FFT is possible, as both use periodic boundary  
130 conditions and the physical space is discretised into a shared regular node mesh.

131

132 The ELLE data structure consists of three layers: (1) a network of nodes (boundary nodes or  
133 *bnodes*) that are connected by straight boundary segments that define the high-angle grain  
134 boundaries that enclose individual ice grains, (2) a set of unconnected nodes (*unodes*) to map  
135 lattice orientations and dislocation densities, used for the FFT calculation, and (3) a passive  
136 marker grid utilised to track finite strain. Distances between nodes are kept between  $5.5 \times 10^{-3}$   
137 and  $2.5 \times 10^{-3}$  times the unit distance (in a  $1 \times 1$  bounding box), by removing *bnodes* when their  
138 neighbours are too close or adding *bnodes* when two nodes are too far apart. The space is  
139 discretised in a mesh of  $256 \times 256$  Fourier points, resulting in a unit cell defined by 65,536  
140 discrete nodes. Each *unode* represents a small area or crystallite with a certain lattice  
141 orientation, defined by Euler angles, and a dislocation density value. The ELLE data structure  
142 has fully wrapping boundaries. The  $10 \times 10 \text{ cm}^2$  initial microstructure has 1632 grains, each  
143 with a homogeneous lattice orientation, showing a c-axis preferred orientation almost  
144 perpendicular to the shear plane, in order to simulate an intrinsic anisotropic material. The  
145 misorientation between grains was set at  $< 5^\circ$  (i.e. initial noise). Dislocation glide of ice-single  
146 crystal was defined by slip on the basal  $\{0001\}\{11-20\}$ , prismatic  $\{1-100\}\{11-20\}$  and  
147 pyramidal systems  $\{11-22\}\{11-23\}$ . In these simulations, the ratio  $A$  of critical resolved shear  
148 stress (CRSS) for non-basal versus basal slip systems was set to  $A = 20$ . The same stress  
149 exponent ( $n = 3$ ) is set for all slip systems. The physical values used for recrystallisation are:  
150 intrinsic mobility  $M_0$  ( $0.023 \text{ m}^2\text{kg}^{-1}\text{s}$ ; Nasello et al., 2005), boundary-diffusion activation  
151 energy  $Q$  ( $40 \text{ KJ mol}^{-1}$ ; Thorsteinsson, 2002), isotropic surface energy  $\gamma_e$  ( $0.065 \text{ Jm}^{-2}$ ;  
152 Ketcham and Hobbs, 1969) and temperature was set to  $T = -30^\circ\text{C}$ . To simulate recovery  
153 numerically, a modification of the approach proposed by Borthwick et al. (2013) was used.  
154 As the time step for the simulation of recrystallisation is smaller than necessary for the

155 computationally expensive FFT-calculation, we modelled ten DRX steps of  $\Delta t=6.3 \times 10^8$  s for  
156 each shear strain increment of  $\Delta \gamma=0.04$ , giving a shear strain rate of  $6.35 \times 10^{-12}$  s<sup>-1</sup>.  
157 Simulations with other shear strain rates were performed for comparison, but not presented  
158 here. See Llorens et al. (in press) for a complete description of the methods.

159

## 160 **3 Results**

### 161 **3.1 Stratigraphy and fold classification**

162 The stratigraphic data were visually inspected for all parts of the ice core containing cloudy  
163 bands, in order to categorise disturbances of the visible layers. It has to be noted that this  
164 method is only appropriate where sufficient layers are visible, since clear ice may have been  
165 deformed as well. Figure 1 shows an overview of the layering structures we find in the NEEM  
166 ice core. All images shown are confined to the core sections above the major disturbances in  
167 the Eemian ice beginning at a depth of approximately 2200 m. Around this depth the ice is  
168 heavily sheared and the layering becomes more and more diffuse. Below that it is no longer  
169 possible to see fold structures in the visual stratigraphy data as the Eemian ice is mostly clear.  
170 The panels display the scans of entire core sections of about 1.10 m, which were cut into  
171 segments of 0.55 m after scanning. The top always represents the upper part of the core  
172 segment. Some segments differ in length, as the recovered core pieces are not always exactly  
173 1.10 m long. Some of the pieces also fractured during the recovery process or during  
174 preparation, which is highlighted with red lines in figure 1. The images have been partly  
175 processed by applying a Gauss filter to enhance the visibility of the layering, and therefore the  
176 grey values are no absolute measure for impurity content or of other parameters that could  
177 influence the backscatter within the ice.

178 The upper part of the NEEM ice core shows little or no disturbances. Figure 1a shows an  
179 example from 1430 m depth with perfectly horizontal layers. The layer thickness and opacity  
180 does vary in the core segment, and single layers have a constant thickness throughout the 10  
181 cm wide core section. A close-up of one of the layers shows no particular structure within it  
182 (fig. 2a). According to the NEEM chronology published in Rasmussen et al. (2013), the  
183 annual layer thickness in this depth, which is the upper boundary of the glacial ice, is about 2  
184 cm. Svensson et al. (2005) describe the alternating cloudy and transparent layers of ice in the  
185 NorthGRIP ice core as result of depositional events, which do not necessarily reflect annual

186 cycles. Below a depth of about 1700 m the annual layer thickness has decreased to about 1 cm  
187 (Rasmussen et al., 2013), which is also reflected in thinner bands visible in the line scan  
188 image. In this depth the structure of the layering begins to change, as examples from depths of  
189 approximately 1760 m and 1867 m show (fig. 1b,c). Wave-like features with cm-scale  
190 amplitudes and wavelengths in the order of the core diameter can be observed. In some parts  
191 of the core segments these disturbances can be clearly followed through several layers. Figure  
192 2b shows an enlarged section of figure 1b, showing a well-developed asymmetric z-fold. Its  
193 shape indicates sinistral shear and the fold is beginning to overturn. The fold hinge is a sharp  
194 feature, which can be followed over several layers. The enlargements in figure 2b also show  
195 that the cloudy layers themselves appear to be laminated.

196 For the core sections shown in figure 1b,c the layers vary in thickness within the core, as can  
197 be clearly seen in figure 2b, where the central greyish layer (indicated with green dashed  
198 lines) nearly doubles its thickness in the centre of the image due to the folding. This shape is  
199 typical for so-called similar folds in geology (Ramsey et al., 1987). Figure 1d,e show  
200 examples from 1977 m and 2098 m depth, where the layering is significantly more disturbed.  
201 The vertical scale of the disturbances has risen to the scale of ten centimetres (fig. 2d,e). In  
202 between the larger-scale folds the layering appears to be more regular again, however the  
203 limited width of the core sections limits our interpretation here, as the layers could be  
204 overturned folds of which the limbs became near-horizontal due to a combination of the on-  
205 going shear and vertical thinning. Figure 2c shows a stack of flattened folds, where the  
206 doubling of layers may not be immediately obvious to the observer when focusing on the left  
207 part of the image. Tracing one boundary between a clear and a cloudy band highlights that the  
208 thin layers are probably limbs of an overturned fold (indicated with a blue dashed line). There  
209 are also new generations of folds standing out through their well-defined and steeper axial  
210 planes and which are not yet overturned (fig. 2c, on the left, fold axis indicated with a green  
211 dashed line).

212 At even greater depth the layering becomes less distinct (fig. 1f,g,h). In some parts of these  
213 sections the layers appear to be undisturbed but inclined, which may indicate that they are part  
214 of a larger deformation structure. The now very thin layers still show new generations of  
215 folds.

### 216 **3.2 Crystal fabric orientation anomalies connected to folds**

217 In comparison to previous deep ice cores, the amount of data gathered to analyse ice fabric is  
218 relatively high. To investigate small-scale variations entire bags of 55 cm from certain depths  
219 were processed. The general evolution of ice fabric with depth in the NEEM ice core was  
220 described in [Montagnat et al. \(2014b\)](#). The c-axis orientation distribution develops more or  
221 less linearly from an isotropic fabric to a single maximum at a depth of about 1400 m, which  
222 represents the transition from the Holocene to the last glacial ([Rasmussen et al., 2013](#)). Within  
223 the well-developed single maximum fabric we found inclined bands of grains with a deviating  
224 c-axis orientation. We assume that the bands are planar features, but as the thin sections are  
225 vertical cuts through the cylindrical core section the inclination of the bands is not necessarily  
226 equal to the inclination of the planes. Similar bands were described in the GRIP ice core  
227 ([Thorsteinsson, 1996](#)) and the GISP2 ice core ([Alley et al., 1997](#)). In case of the NEEM ice  
228 core, however, significantly more fabric data are available, which enables us to follow these  
229 structures through entire core sections.

230 One of the first examples of such a band, shown in [figure 3a](#), appears at a depth of 1800 m.  
231 The c-axis orientation of grains within the bands is tilted anti-clockwise relative to the single  
232 maximum, which is indicated by the blue-greenish colours in the colour wheel used to  
233 illustrate c-axis orientation (inset in [figure 3a](#)). The grain size does not differ from the average  
234 grain size of the sample. The subgrain boundary density in these grains does not differ  
235 significantly from the surrounding ones, which indicates that they are most likely not newly  
236 nucleated grains ([fig. 4](#)). However, while the subgrain boundaries in grains with vertical c-  
237 axes are mostly parallel to the basal planes, they are mainly perpendicular to the basal plane in  
238 grains within the band, indicating the onset of rotation recrystallisation ([Weikusat et al.,  
239 2009](#)).

240 A direct comparison of the fabric data with the line scan images ([figure 3](#)) reveals that these  
241 bands are connected with disturbances in the layering. The inclination is in agreement with  
242 the sense of shear that is derived from the asymmetry of the folded layers. However, layer  
243 disturbances are not always visible where fabric anomalies are found.

244 In [figure 5a,b,c](#) the three line scan images available from the different focal depths are plotted  
245 next to each other to illustrate the three-dimensional nature of the observed folding in the  
246 layering. The shape change of the highlighted layer indicates that the fold axis shifts to the  
247 left towards the centre of the core ([fig. 5e](#)). The thin sections for the fabric analysis are



248 prepared from the physical properties sample in the upper part. The line scan measurement is  
249 performed on the remaining part of the core with 1 cm between the different focal planes (fig.  
250 5d).

251 Figure 6 shows an example from approx. 1978 m depth where we see finely laminated layers  
252 and asymmetric folds that indicate dextral shear. The fold hinges indicated by the arrows are  
253 not very distinct, which is probably due to light diffusion caused by the distorted fine layers.  
254 The two distinct bands in the right half of figure 6a are relatively steep and exhibit a small tilt  
255 in the c-axes, while the feature indicated by the central arrow is more flattened and also shows  
256 a higher tilt in the c-axes. Another example can be found in the supplementary material  
257 (figure S1). Where several bands occur in one core section, their inclination and orientation  
258 appears to be consistent throughout (fig. 7).

### 259 3.3 Model results

260 To understand the development of the observed fabric anomalies and the related disturbances  
261 in the layering, we simulated the fabric evolution under simple shear with an initially well-  
262 developed single maximum orientation distribution. A random noise of  $<5^\circ$  was added to  
263 grain orientations. The setup of the simulation does not fully represent the probable kinematic  
264 boundary conditions in the region of the ice core where we observe the structures, which  
265 would be a combination of vertical compression and simple shear (Montagnat et al., 2013).  
266 We, however, model these structures in simple shear for simplicity. This approach is  
267 reasonable, since there is a significant flow along the ridge of about  $6 \text{ m a}^{-1}$  (NEEM  
268 Community Members, 2013), which gives the core location the character of a flank with  
269 relatively high shear strain rates, rather than a divide. Moreover, the choice of simple-shear  
270 boundary conditions is also justified by the fact that the bands start to appear in the lower  
271 third of the ice sheet where shear stress becomes the dominant driver for deformation  
272 (Montagnat et al., 2013). However, there might be aspects of the evolution of the folds that  
273 cannot be reproduced due to the simple shear approximation.

274 In the model simulation vertical bands similar to the ones observed in the ice core begin to  
275 stand out after a shear strain of  $\gamma = 0.6$ , but start to appear already after small strains  
276 (supplementary material figure S3). In the initial state the small deviations of the c-axes from  
277 the vertical are randomly distributed and do not show alignment (figure S3 a). During the first  
278 deformation steps narrow vertical bands develop with deviations from the single maximum

279 towards the shear direction as well as broader bands with an opposite rotation of the c-axes.  
280 The rotation of c-axes in the narrow bands intensifies during the next steps and the bands  
281 begin to tilt due to the continuing shear deformation (supplementary material [figure S2](#)). The  
282 rotation of the c-axes is twice the inclination of the band, which is typical for flexural-slip  
283 kink bands (supplementary material [figure S4](#); Dewey, 1965; Tanner, 1989). [Figure 8a,b,c](#)  
284 shows the c-axis orientations for the sample after shear strains of  $\gamma=1$ ,  $\gamma=2$  and  $\gamma=3$ . The bands  
285 seem to develop in different generations, which can be distinguished by their inclination as  
286 the new bands are steeper. There are areas between the bands where orientations of c-axes  
287 rotate anti-clockwise (magenta coloured), but on a larger scale and with less well-defined  
288 boundaries. In later stages of the simulation the oldest bands begin to disintegrate with the  
289 grains recrystallizing back to a vertical c-axis fabric.

290 [Figure 8d,e,f](#) shows the development of a passive marker grid during the simulations. The  
291 blue lines were perfectly horizontal at the beginning of the simulation and can be regarded as  
292 an analogue to the stratigraphic layering observed in the ice core. It is apparent that the bands  
293 with abnormal grain orientation are connected with folding in the layering. At first these  
294 disturbances appear as small steps, but they develop into overturned folds with a short and  
295 steep limb with progressive deformation. They correspond to the well-developed bands in the  
296 fabric, and to a long, less inclined limb, representing the area in between the bands. The  
297 disturbances in the layering are permanent, and therefore the bands are visible in the passive  
298 grid even when they no longer exist in the orientation plot. [The evolution of these bands and](#)  
299 [the corresponding folds is reminiscent to the formation of kink bands or chevron folds](#)  
300 [\(Fleuty, 1964; Dewey, 1965\)](#). As these terms may have genetic connotations, referring to  
301 [either single crystal processes \(e.g. Wilson et al., 1986\) or macroscopically strong foliated](#)  
302 [rocks under compression perpendicular to foliation \(e. g. Cobbold et al., 1971\)](#), we use the  
303 [term “tilted-lattice bands” \(TLB\) here to describe the bands of grains with lattice orientations](#)  
304 [that deviate from the dominant lattice preferred orientation \(LPO\)](#). The rotation rate of the  
305 [tilted-lattice bands](#) in the model run is controlled by the applied overall perfect simple shear  
306 deformation. If there is an additional component of vertical shortening, as in a natural ice  
307 sheet, the rotation rate is expected to increase.

308 [Figure 8g,h,i](#) shows the equivalent von Mises strain-rate for the deformation steps  $\gamma=1$ ,  $\gamma=2$   
309 and  $\gamma=3$ . The strain-rate appears to be localized around the margins of the bands where  
310 bending strain is the highest, which is most apparent for newer bands with steep inclinations.

311 One also sees that strain rate localises in horizontal zones as well, which is not visible in  
312 passively deformed horizontal lines (fig. 8d-f).

313

## 314 **4 Discussion**

### 315 **4.1 General discussion of folds**

316 The shape of the observed folds in the NEEM ice core is typical for similar folds, as the layers  
317 are thickened in the hinge region and thinned in the fold limbs. Similar folds are passive  
318 features, where all layers of the package are deformed in a similar way (fig. 9a). They form by  
319 passive shearing of the layering and can evolve to become overturned z-folds or even sheath  
320 folds (Quinquis et al., 1978; Bons and Urai, 1996; Alsop and Carreras, 2007). Competence or  
321 viscosity contrast between the different layers plays no or only a minor role. In contrast,  
322 buckle folds (fig. 9b) develop when layers have different viscosities. A competence contrast  
323 with a ratio of at least about 25 between strong and weak layers is required to develop distinct  
324 folds (Llorens et al., 2013a,b). When a stack of strong and weak layers is shortened, the  
325 strong layers form folds by bending, which suppresses thickening or thinning of these layers.  
326 The weak layers accommodate this bending by ductile flow into the hinge regions, a process  
327 known as flexural flow (Donath and Parker, 1964). Strong and weak layers are thus different  
328 in shape (fig. 9b). The fold shapes observed in the NEEM core, however, appear to be  
329 consistent across a stack of several layers (figs.1 and 2), which indicates that viscosity  
330 contrasts are very low and the folds formed by passive shearing, although there may be some  
331 differences in the flow strength of the ice between the layers due to different impurity content  
332 (Paterson, 1991).

333 Figure 10 gives an overview of the onset of folding (black line) and the evolution of an  
334 anisotropic fabric (red line) for several ice cores. Comparison with data from EDML (Faria et  
335 al., 2010) and WAIS (Fitzpatrick et al., 2014) in Antarctica and with GRIP (Thorsteinsson,  
336 1996), GISP2 (Alley et al., 1997; Gow et al., 1997) and North GRIP (Svensson et al., 2005;  
337 Wang et al., 2006) from Greenland reveal that the onset of visible folding is dependent on the  
338 relation between vertical strain rates (shortening) and shear strain rates (fig. 10). Due to the  
339 high vertical strain rates, fold structures are flattened out before they overturn, and are thus no  
340 longer visible. This has been theoretically described by Waddington (2001). Thus, the  
341 dynamical setting of the borehole location is, in addition to the anisotropy, an essential

342 parameter for the onset of visible folding. An ice core at flanks or on divides with non-  
343 negligible flow along the ridge samples ice which experiences more shear strain than an ice  
344 core at dome positions. While the GISP 2 and North GRIP ice cores are very similar in ice  
345 thickness and accumulation rate to GRIP, the onset of folding for the latter is 300 m deeper,  
346 which may be due to its dome position and the lower surface velocity (for a comparison of ice  
347 core parameters see Faria et al., 2014). In the region of the NEEM ice core there is an even  
348 higher along-ridge flow. A comparison of shear strain rates profiles with depth at the NEEM,  
349 GRIP and North GRIP locations can be found in [Montagnat et al. \(2014b\)](#). The depths of the  
350 crossing points of the curves for shear and vertical strain rate that is displayed in [Montagnat et](#)  
351 [al. \(2014b\)](#) corresponds approximately to the onset of folding in the cores.

352 The EDML ice core stands out in the comparison shown in [figure 10](#), as the folding begins  
353 significantly higher than the establishment of a single maximum fabric. However, [Faria et al.](#)  
354 [\(2010\)](#) report that a strong girdle fabric has formed in the region of the onset of folding, thus  
355 the fabric does show some anisotropy there as well.

356 The scale of the disturbances found in the layering of the NEEM ice core is very similar to the  
357 ones observed at EDML ([Faria et al., 2010](#)) and North GRIP ([Svensson et al., 2005](#)), for both  
358 of which a line scan dataset of comparable quality as for the NEEM ice core is available.

359 The very deep onset of folding in the WAIS ice core might be due to strong basal melting at  
360 this site ([Fitzpatrick et al., 2014](#); [WAIS Divide Project Members, 2013](#)).

## 361 **4.2 Tilted-lattice bands initiate folding**

362 Ice is a mechanically highly anisotropic mineral, as is polycrystalline ice with a strong single-  
363 maximum c-axes distribution. Our model results and the observations from the NEEM ice  
364 core show that this anisotropy and small perturbations thereof are an essential precondition  
365 for the development of folds on the cm-scale. A similar process is common in well-foliated  
366 rocks, which therefore exhibit a strong mechanical anisotropy ([Hudleston and Treagus, 2010](#)).  
367 In that context, there are basically two mechanisms for the formation of kink or chevron folds  
368 ([Dewey, 1965](#)), which may act in concert. The first is shear localisation, which occurs  
369 approximately parallel to the planes that experience the highest net shear stress. The result is a  
370 conjugate set of kink bands, originally at a high angle to each other. The second mechanism is  
371 a combination of localised bending and flexural slip. There is no thickening or thinning  
372 perpendicular to the foliation if the material can only deform by slip parallel to that foliation.

373 A geometric necessity of this type of folding is that the axial plane must be the bisector of the  
374 interlimb angle (fig. 9c) (Frank and Stroh, 1952; Dewey, 1965; Cobbold et al., 1971). With  
375 the interlimb angle at the beginning of folding being  $180^\circ$ , the bands with a slightly tilted  
376 lattice initiate at  $90^\circ$  to the foliation. We assume that this second mechanism can be applied to  
377 ice with strong LPO and explains the observed folding process.

378 Simple shear parallel to a foliation is a special case where the orientations of tilted-lattice  
379 bands formed by both mechanisms is identical:  $45^\circ$  to the maximum compression, which  
380 coincides with parallel and perpendicular to the foliation. Bands normal to the foliation have  
381 indeed been observed in geological simple shear experiments (Misra and Burgh, 2012;  
382 Williams and Price, 1990), and developed in our numerical model. Bands parallel to the  
383 foliation are difficult to observe in natural samples, as these would not fold the foliation.  
384 However, the numerical model shows shear-plane parallel localisation of strain rate as well  
385 (Fig. 8 g-i).

386 The tilted-lattice bands rotate passively, if there is a layer-parallel shear component, which  
387 does not necessarily have to be the dominant deformation component. As the band rotates by  
388 an angle  $\alpha$  to the long limb, the short limb has to rotate by  $2\alpha$ , as is observed in the NEEM  
389 core and numerical simulations (fig. 8d,e,f). The relation between the tilt of the band and the  
390 corresponding tilt of the c-axes from the model results is shown in supplementary figure S4.  
391 Alley et al. (1997) suggested that the rotation of the c axes of grains in the stripes lag behind  
392 the rotation of the bands themselves, while our model results indicate c-axes rotating twice as  
393 fast as the axial plane or stripe. Alley et al. 1997 explained the growth in length by the sense  
394 of shear at the perimeter of the stripe, which would cause a “spinning” to adjacent grains,  
395 causing their c-axes to rotate towards the inclination of the band and thus elongate it. In our  
396 numerical model we observe that tilted-lattice bands are seeded by individual grains and  
397 develop by linking these (fig. S3). However, their intensification under rotation appears to  
398 propagate along their length (fig. S2).

399 With progressive rotation of the tilted-lattice bands they become more distinct. Rotation of the  
400 short limb occurs by sliding parallel to the basal plane with a sense opposite to the overall  
401 shearing direction (fig. 9c). In the case of chevron folds the features finally “lock up” when  
402 the interlimb angle reduces to about  $90^\circ$ , i.e. when the bands are approximately  $45^\circ$  to the  
403 layering (Dewey, 1965). In the numerical simulations we see that tilted-lattice bands begin to  
404 disintegrate at this stage, with recrystallization and recovery consuming the grains with

405 deviating orientations and flow homogenizes again (see fig. 8). However, marker lines, such  
406 as the layers in the NEEM core, will still record the **tilted-lattice** bands, which now continue  
407 shearing and develop into passive folds.

408 In summary, the model results indicate that the evolution of **the observed tilted-lattice** bands  
409 is a consequence of a fabric with a strong anisotropy with superimposed small random  
410 disturbances. In this way grains orientated unfavourably for basal glide are rotated by rigid  
411 body rotation, **as well as shear along the basal plane with a shear sense opposite** to the bulk  
412 shear strain. Thus, **tilting bands** appear to be an essential process in ice deformation under  
413 shear.

414 [Azuma and Goto-Azuma \(1996\)](#) suggested that horizontal variation in the single maximum  
415 direction could explain heterogeneous layer thinning or thickening of initially horizontal  
416 layers, eventually leading to folding. The development of **tilted-lattice** bands is a process  
417 providing such variations in the fabric.

418 A difficulty in comparing the results of the simulation with the observational data is that with  
419 fabric measurements we can only capture a 2-dimensional section of a 3-dimensional feature.  
420 Assuming that the **tilted-lattice** bands are planar features, the angle at which the cylinder of  
421 the ice core is cut relative to the inclination of the plane determines its appearance in the 2-  
422 dimensional section. Thus, the inclination of the observed bands in the plane is not sufficient  
423 to describe the full orientation of the **feature**, but instead gives a minimum inclination of the  
424 plane. This also has to be taken into account when interpreting the fold structures on the line  
425 scan images.

426 Within one 55cm section of the ice core (bag) the cutting plane through the core is consistent  
427 and so are the samples used to prepare the thin sections for the fabric measurements. **Figure 7**  
428 shows that within one bag the inclinations of the **tilted-lattice** bands are consistent as well,  
429 strengthening the assumption that they are connected to the local stress environment and to  
430 the sense of shear, projected onto the plane of the thin section or line scan image. A  
431 consistency between the direction of shear and the shape of z-folds in the stratigraphy has also  
432 been reported for the GRIP and GISP2 ice cores ([Alley et al., 1995](#)). In both examples  
433 displayed in **figure 7**, different generations of **tilted-lattice** bands can be detected, differing in  
434 inclination of the bands as the older bands have been subjected to more shear strain since their  
435 formation, and in the corresponding shift in c-axes orientation, as it is seen in the model  
436 results as well.

437 The connection between bands with an anomalous LPO and stratigraphic disturbances has  
438 already been discussed before (Thorsteinsson, 1986; Alley et al., 1997; Samyn et al., 2011).  
439 Together with the microstructural model results the observations can be interpreted with an  
440 improved understanding of the underlying process. The model results clearly show that tilted-  
441 lattice bands can form in simple shear conditions and that a well-developed anisotropic fabric  
442 with small perturbations is required. At the moment it is not clear why the bands sometimes  
443 appear dark in the line scan images, but from deeper parts of the core where the crystals are  
444 larger in size the line scan images give indication that the backscattering can be affected by  
445 the crystal orientation.

446

## 447 **5 Summary and conclusions**

448 The onset of small-scale folding can be observed at the start of the lower third of the NEEM  
449 ice core, which is similar to the fold evolution observed in EDML. Below a depth of about  
450 2160 m it is no longer possible to track stratigraphic layers. The shape of the observed  
451 structures indicates that they are not buckle folds, which means that they are not originated by  
452 a competence contrast between alternating layers. The amounts of folding as well as the state  
453 of disturbance increase with depth.

454 Folding causes thickening of cloudy bands and can potentially influence the resolution of  
455 climate data extracted from the NEEM ice core. Folding causing doubling of layers was  
456 observed below a depth of about 2100 m. In some core sections the layering appears to be  
457 intact in between larger folds in the line scan data. However, within a core section, only folds  
458 and doubling of layers up to the scale of 10 cm can be delineated with certainty. It is therefore  
459 difficult to ascertain that the climate signal is not disturbed in regions with parallel layering,  
460 as these could potentially be part of larger-scale folds.

461 Microstructural numerical modelling results indicate that the observed folding is initiated by  
462 the formation of bands with a tilted lattice relative to the bulk LPO. The formation mechanism  
463 requires a highly anisotropic material and thus a well developed single maximum crystal  
464 orientation. Local deviations from the single maximum in the direction of shear provide the  
465 seeds for tilted-lattice bands and thus folding. Here we have shown that this process is active  
466 on the microstructural scale. Their possible link to larger scale folds still has to be  
467 investigated, but could be in line with suggestions by Azuma and Goto-Azuma (1996). Grains  
468 with inclined basal plane orientations within the tilted-lattice bands are eventually eroded

469 through recrystallisation and recovery. However, the **tilted-lattice** bands formed folds in  
470 material planes that further evolve by passive folding, which is visible in the layering, but not  
471 in the c-axes patterns.

472

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488

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- 640

## 641 **Figure Captions**

642 **Figure 1:** Visual stratigraphy overview. Line scan images of different depths. A Gauss filter  
643 was applied to images shown in panel a, d, e, f, g, and h to enhance the visibility of the layers.  
644 Red lines indicate fractures. Blue squares and associated figure codes indicate location of  
645 enlargements shown in figure 2. The 1.10 m line at the right indicates the scaling of the  
646 images and is also the typical length of a recovered core section.

647 **Figure 2:** Close-ups from the overview figure 1. (a) Undisturbed layering. (b) Angular z fold  
648 consistent throughout layering. Green dashed line indicates a layer discussed in section 3.1 (c)  
649 Different generation of folds. The dashed lines indicate features discussed in section 3.1 (d)  
650 Strongly disturbed layer significantly thickened. (e) Strongly disturbed layering with different  
651 generations of folds.

652 **Figure 3:** Comparison of fabric data and visual stratigraphy in detail, Bag 3276, approximate  
653 depth 1803 m. (a) fabric data in a vertical section, (b) linescan image in a vertical section, (c)  
654 stereoplot of c-axes orientations (horizontal plane).

655 **Figure 4:** (a) Close-up of **tilted-lattice** band grains at approximately 1803 m depth (bag  
656 3276). Inset shows the colour code for c-axes orientation (b) subgrain structures (blue) visible  
657 on LASM (Large Area Scanning Microscope) data. Black lines indicate grain boundaries; the  
658 red outlines highlight the **tilted-lattice band** grains.

659 **Figure 5:** Linescan images from the same sample as shown in fig. 3 from three focal depths  
660 with one highlighted layer (a) close to the surface, (b) in the centre of the core section, (c)  
661 close to the lower surface. (d) Sketch of the core sections, the upper part represents the  
662 physical properties sample, from which the thin sections are prepared. (e) Change of shape of  
663 the highlighted layer for the different foci.

664 **Figure 6:** Comparison of fabric data and visual stratigraphy in detail, Bag 3596, approximate  
665 depth 1977.8 m. (a) fabric data in a vertical section, (b) linescan image, in a vertical section  
666 (c) stereoplot of c-axes orientations (horizontal plane).

667 **Figure 7:** Comparison of entire 55 cm core sections (full bags) of linescan (a, d) and fabric  
668 data (b,c).

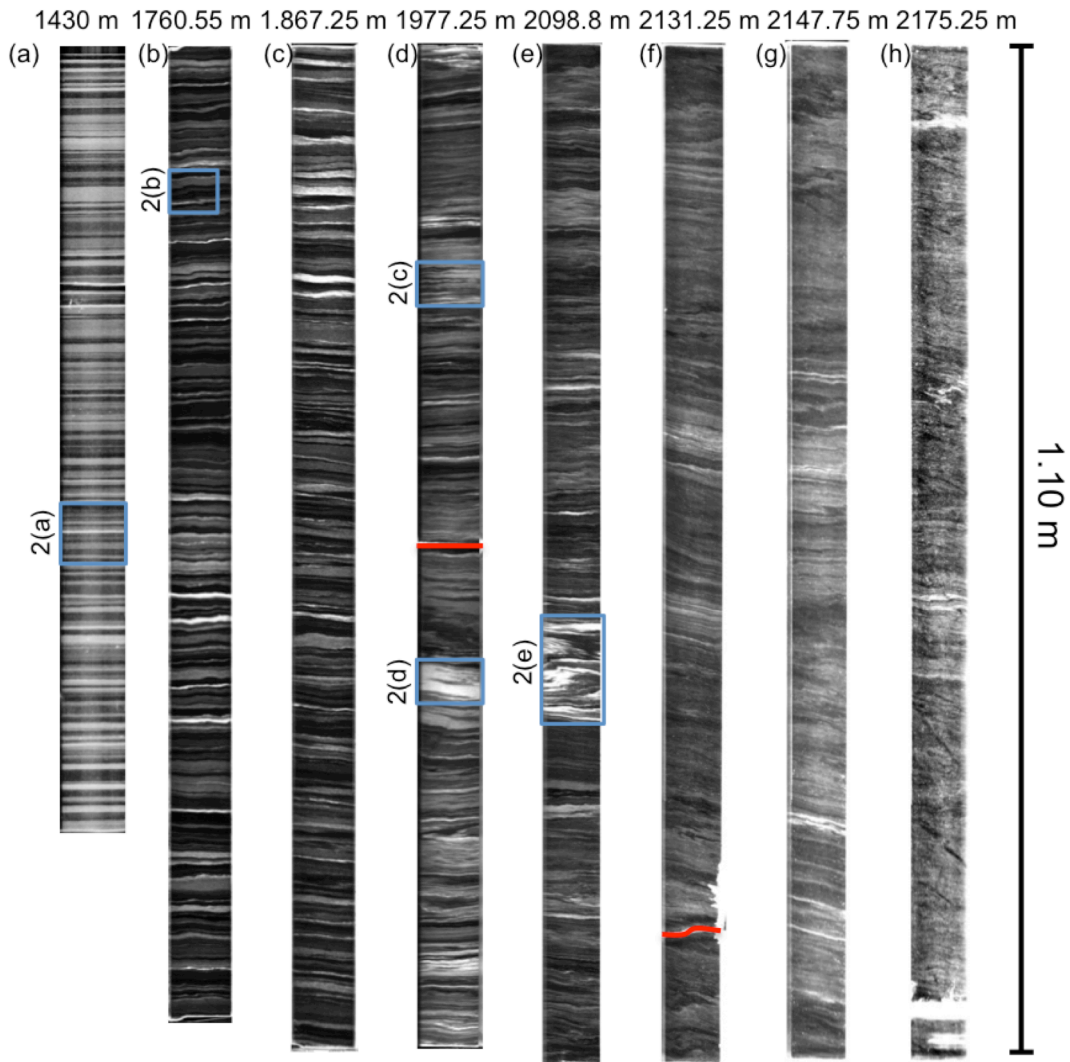
669 **Figure 8:** ELLE model results for the simple shear experiment. Panels (a), (b) and (c) show c-  
670 axes orientations for shear strains of  $\gamma=1$ ,  $\gamma=2$  and  $\gamma=3$ . Panels (d), (e) and (f) show the

671 distortion of the passive grid marker. Panels (g), (h) and (i) show the equivalent von Mises  
672 strain-rate field.

673 **Figure 9:** Basic folding mechanisms discussed in the text. (a) Passive folds form by shearing  
674 of disturbances in layering, without an active mechanical influence of that layering. Fold  
675 geometry is that of similar folds. (b) Buckle folds form by shortening of alternating strong and  
676 weak layers, in which the strong layers buckle and weak material flows into fold hinges. Fold  
677 geometry is that of parallel folds. (c) **Tilted-lattice** bands form in case of strong intrinsic  
678 anisotropy, but do not require viscosity contrasts between layers.

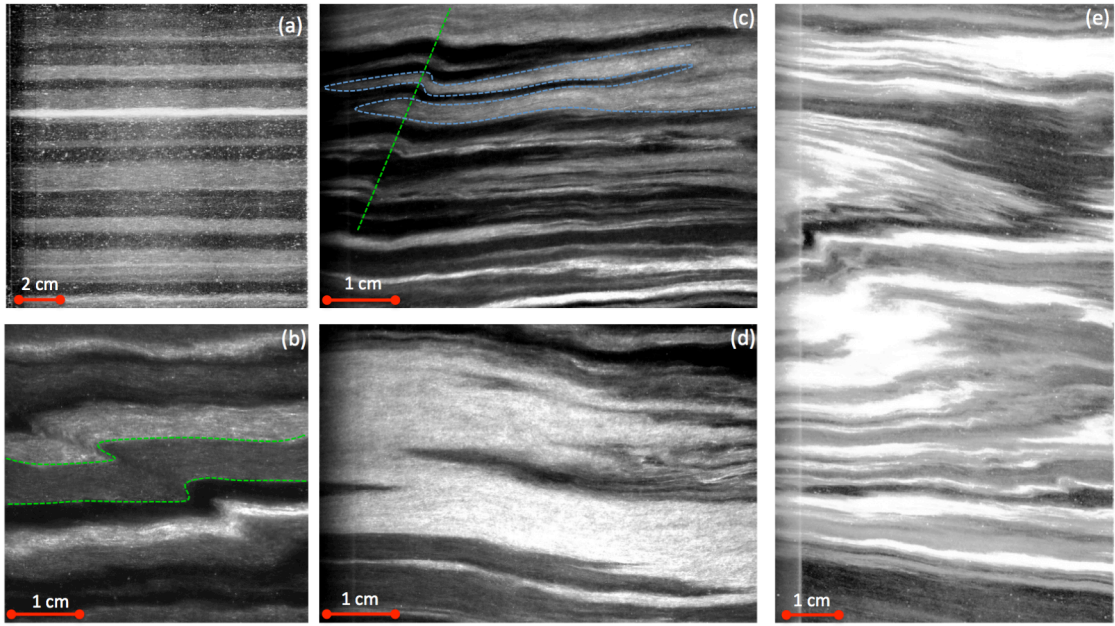
679 **Figure 10:** Comparison of the onset of visible folding in ice cores with published visual  
680 stratigraphy. The red line indicates single maximum fabric, the black line indicates onset of  
681 folding, the dashed black line indicated the lower third of the ice core. Data from  
682 Thorsteinsson, 1996 (GRIP), Alley et al., 1997; Gow et al., 1997 (GISP2), Svensson et al.,  
683 2005; Wang et al., 2006 (North GRIP), Faria et al., 2010 (EDML), Fitzpatrick et al., 2014  
684 (WAIS).

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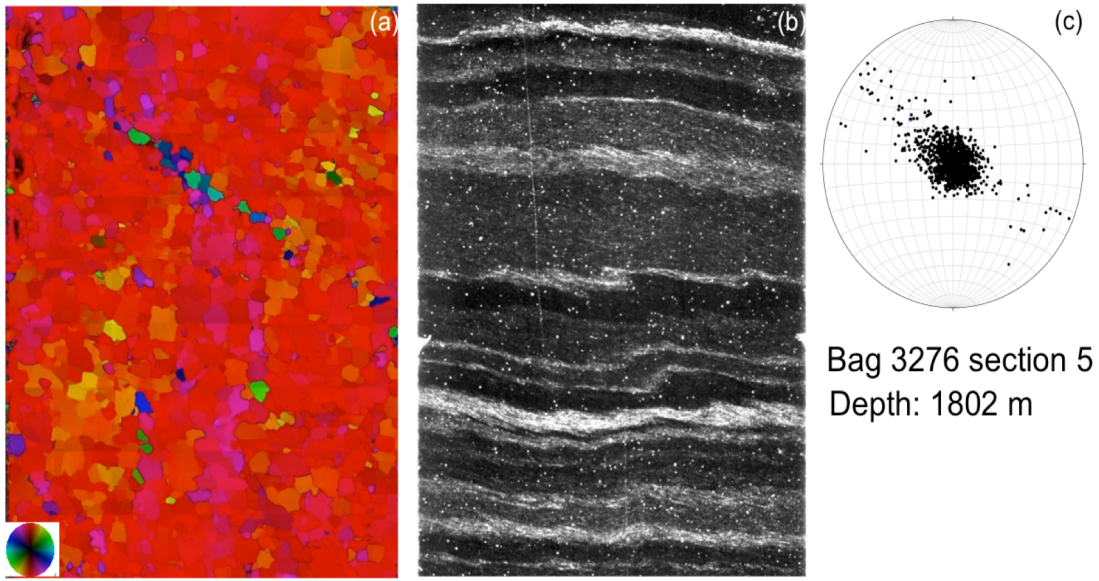


687  
688 Figure 1  
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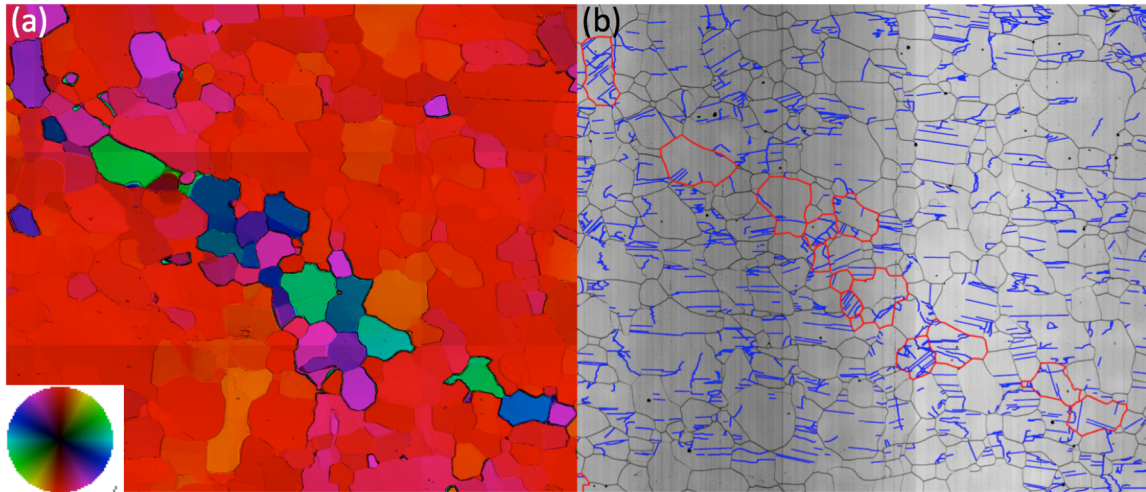




690  
691 Figure 2  
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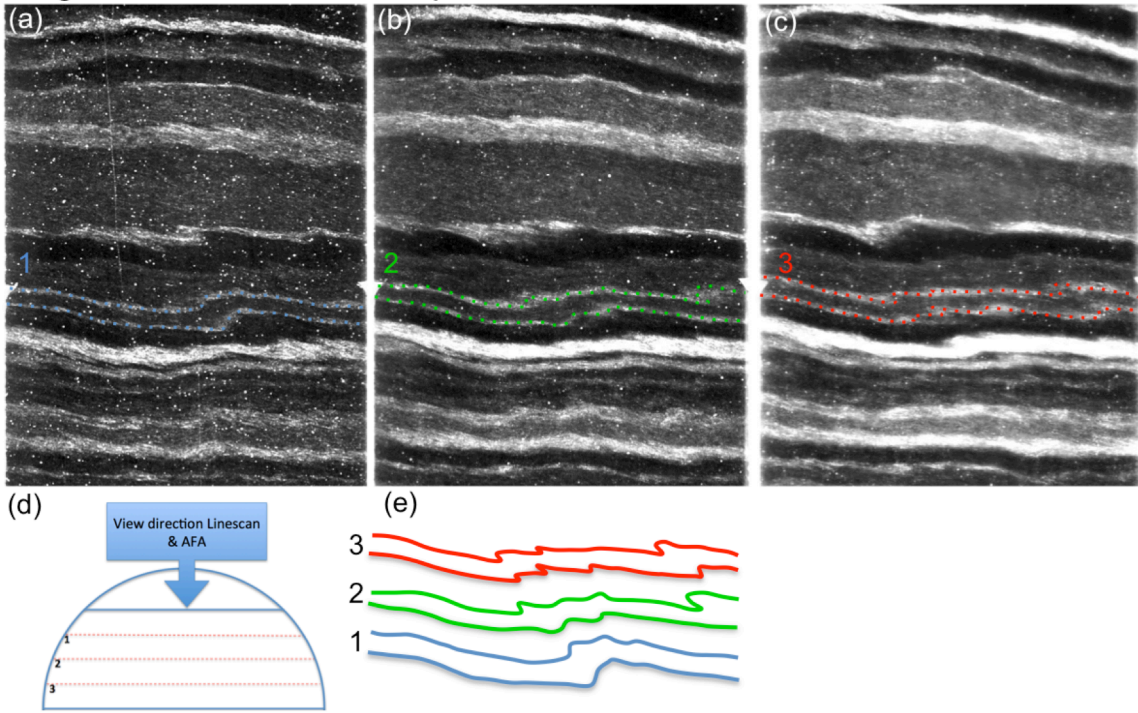
693  
694 Figure 3  
695



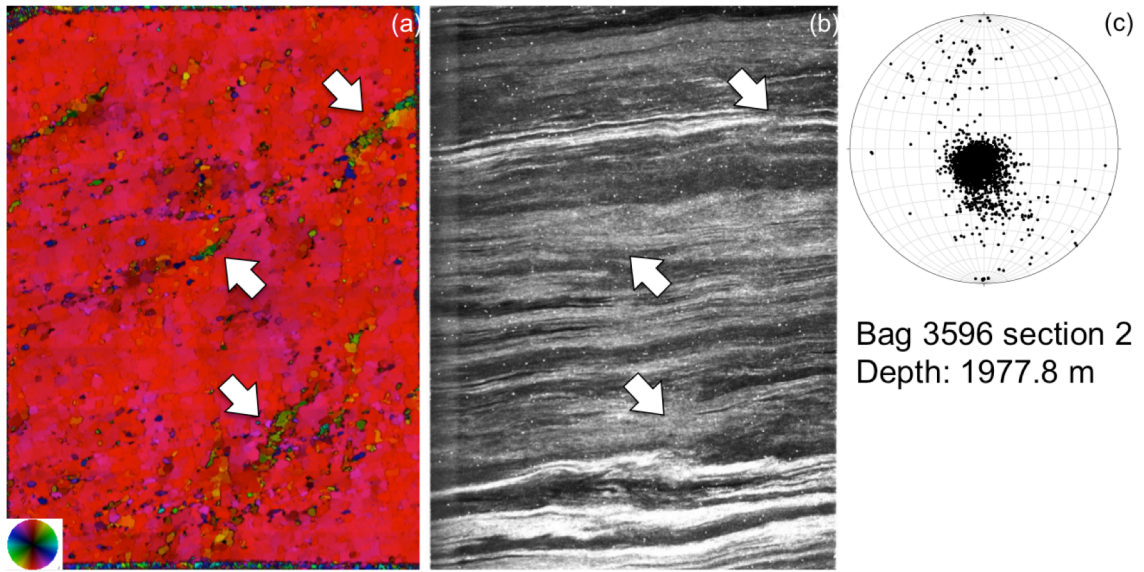
696  
697 Figure 4  
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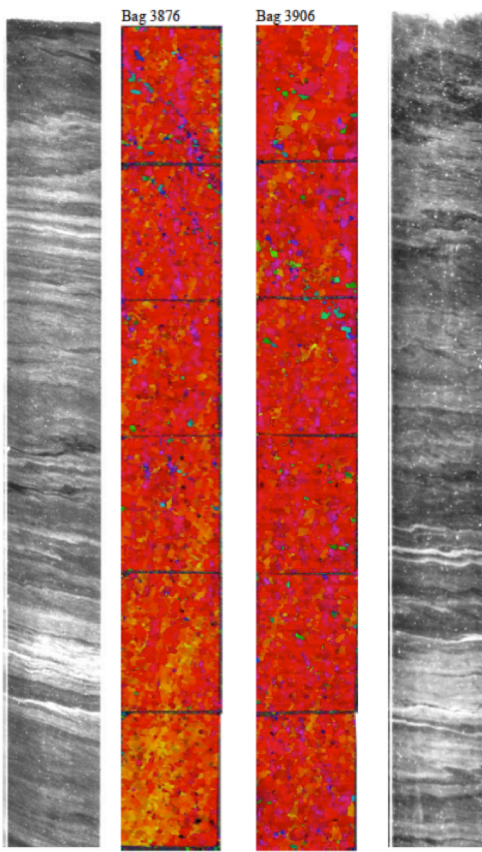
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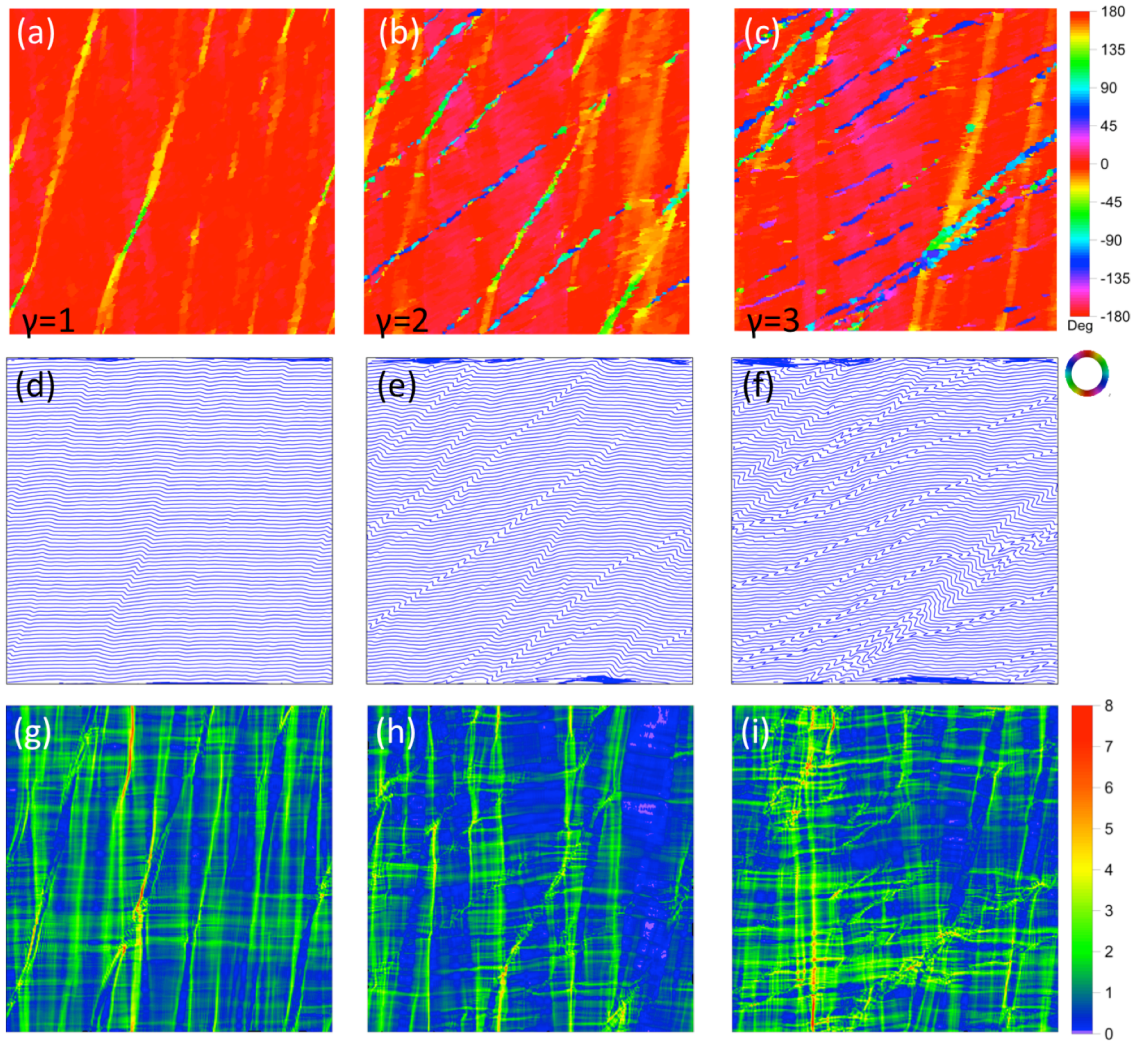
699 Figure 5  
700



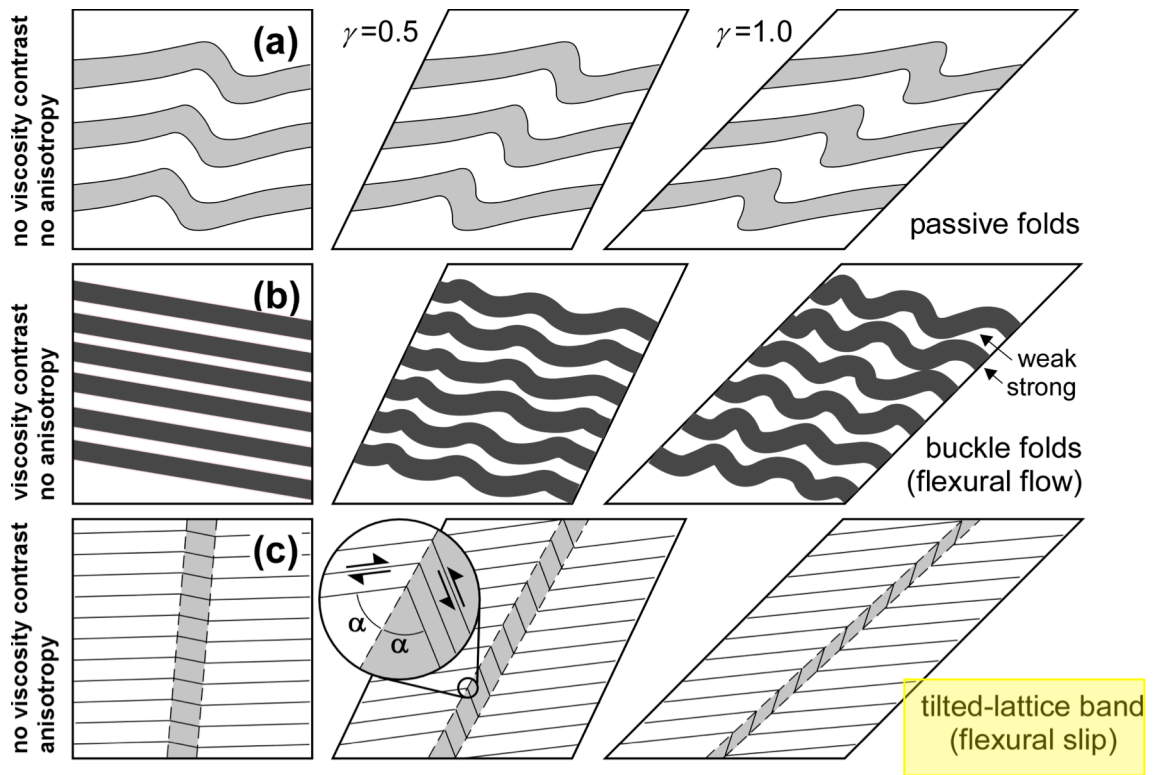
701 Figure 6  
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703 Figure 7  
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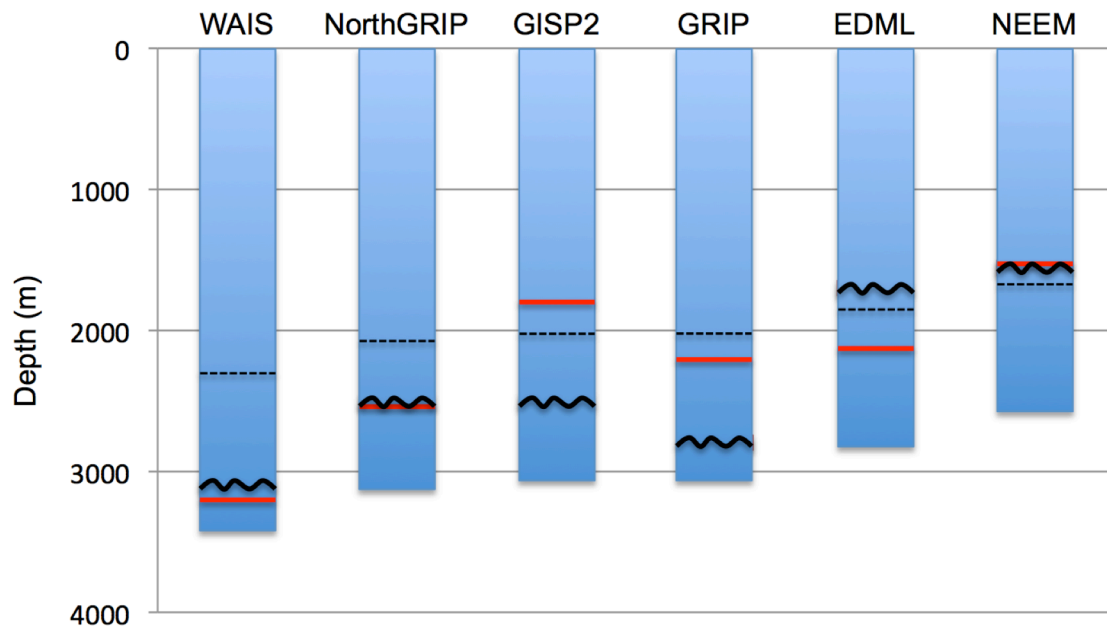


705 Figure 8  
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707 | Figure 9  
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709 Figure 10  
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