

## **Specific comments from referee 1**

P.6742, l. 12 and after: It is an error from the edition. In the original manuscript there is no problem, viscosity is in Pa.s.

Section 3.1.2: The current version of the manuscript indeed refers to snowdrift as a process responsible for snow compaction. "In the new version of the snow scheme, the evolution of snow density in each layer is due to snow compaction resulting from changes in snow viscosity (Brun et al., 1989) and snow densification of surface layers during snowdrift events (Brun et al., 1997)." The reviewer comment indicates that this formulation may be ambiguous. Indeed, what is referred to here is more precisely "wind-induced densification of near surface snow layers", which is related to the occurrence of snowdrift events but not blowing snow itself. In the revised manuscript, the term "snowdrift" is replaced everywhere by "wind-driven compaction" or "wind-induced densification of near surface snow layers ». This process is indeed responsible for the build-up of "wind crusts". Accordingly, some variable names were changed :  $\rho_{drift}$  to  $\rho_{wmax}$ ,  $\tau_{drift}$  to  $\tau_w$ , and  $\Gamma_{drift}$  to  $\Gamma_w$ .

P. 6754, l. 17 and after: "Global " scores was replaced by "Total" everywhere.

P. 6755, l. 6-9: The sentence "In terms of snow temperature, SNL induces warmer bottom snow layers in winter due to the densification of the lowest snow model layers and the insulation from other overlying layers. This explains the skill scores improvement found in winter soil temperature in Table 2" is indeed not clear. In fact, the soil temperature is warmer in winter with SNL (12 layers) compared to CTL (3 layers) because the bottom snow layer is better insulated from the atmosphere when using many layers due to the thermal gradients better represented. So this last bottom layer is warmer during winter in SNL (Figure 6). Because the temperature of the first layer of the soil remains close to the bottom snow temperature, the soil temperature is also warmer in SNL than in CTL. The previous sentence was changed to "In addition, the better representation of the vertical profile of density, that induces less dense and thus more insulating surface snow layers from November to February, permits also to better insulate the bottom snow layer from the atmosphere and results in higher bottom snow and top soil temperature. This explains the skill scores improvement found in winter soil temperature in Table 2"

P. 6755, l. 12:, We agree that SWE is not relevant here and it has been removed.

P. 6759, l. 1: The text is already too long and details about topographic indexes are not important for this study. Details are given in Decharme et al. (2013) Appendix B as it was said on page 6758 line 17. I prefer to suppress this sentence: " , and the topographic indexes used in the TOPMODEL runoff parameterization are given by the 1 km resolution HYDRO1K product ([http://eros.usgs.gov/#/Find\\_Data/Products\\_and\\_Data\\_Available/gtopo30/hydro](http://eros.usgs.gov/#/Find_Data/Products_and_Data_Available/gtopo30/hydro))" in the manuscript because descriptions of subgrid hydrological parameterizations are not the subject of this article and are given in Decharme et al. (2013).

P. 6759, l. 22 and after: Ablation or melting can be said for snow but I have changed all in "melting".

P. 6760, l. 15-17: We add "Figure 9" and a new paragraph starts after this sentence. The meaning of the sentence "In winter, regardless of the experiments, ISBA underestimates snow

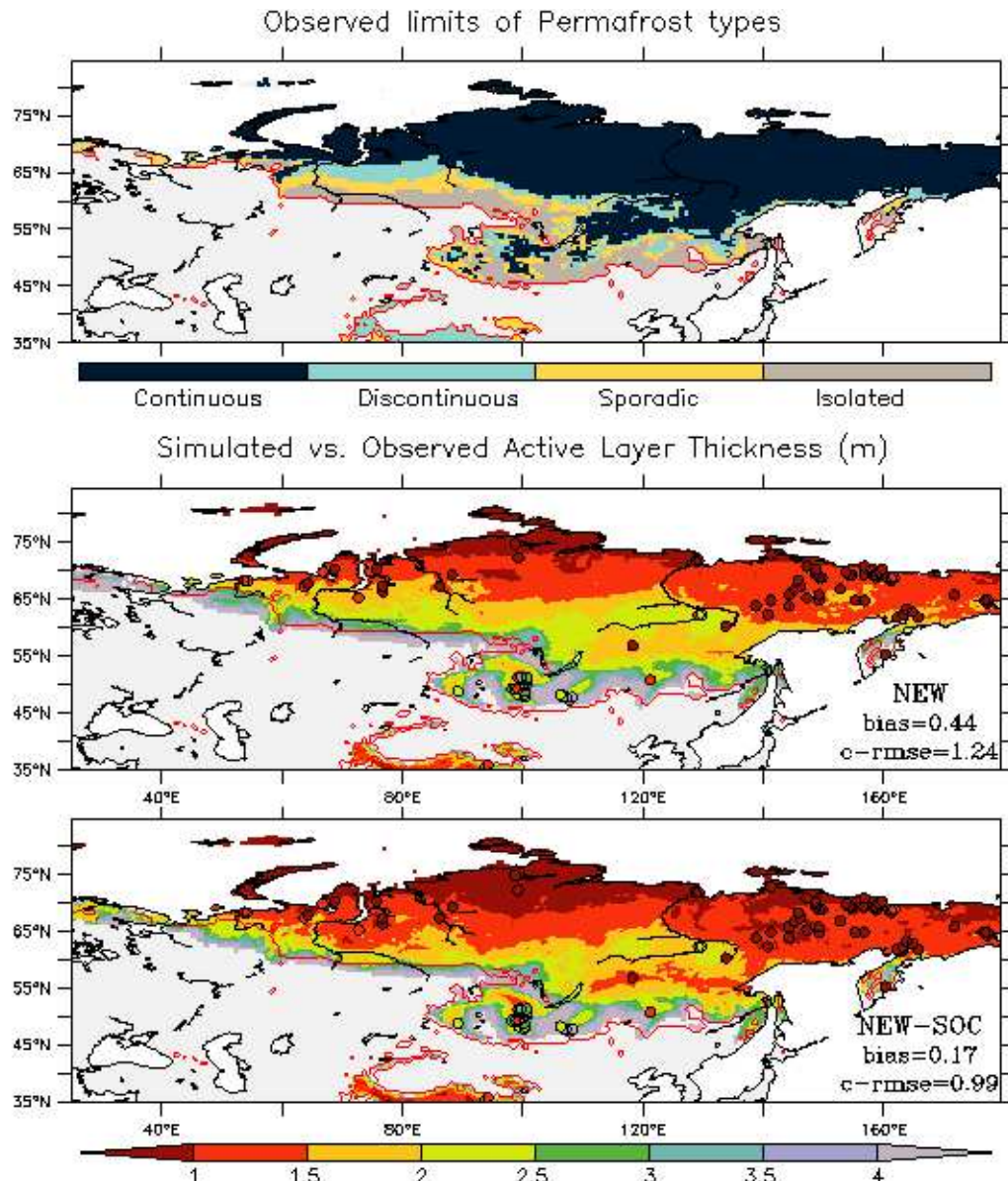
depth measurements at many stations, especially in the Northern and Western parts of the domain” is that all simulations with ISBA underestimate snow depth measurements in the Northern and Western parts of Siberia.

P. 6760, ll. 22-23: “even if a slight cold bias appears at the subsurface” means that even if *NEW-SOC* is in better agreement with observations compared to *NEW* in Figure 11, it is not the case at the subsurface where the bias is negative (cold) especially at 320cm depth (this is not the case for *NEW*). The sentence was change to “ In summer (Figure 11), as was already shown in Figure 8, *NEW-SOC* is in better agreement with observations compared to *NEW* regardless of the soil horizon (lower c-rmse) even if a slight cold bias appears at the subsurface as shown by the negative total bias found at 320cm depth.

P. 6760, l. 28: Correct and the sentence is now : ”The best total scores found on Figures 8 and 11 without soil organic carbon by the *NEW* experiment...”

P. 6761, ll. 14-19: I agree with the entire comment of reviewer 1. So, Figure 12 was changed and the CALM data was added (see next page). However, as you can see, the areas categorized as continuous and discontinuous permafrost exhibit generally active layers shallower than 1m or 1.5m in the CALM data (see next page or new manuscript). For this propose, the simulations have been extended to 2013 because the CALM period of observation is 1990-2015. So we have added in section 5.1 the description of the CALM data : “The second dataset gives access to in-situ observations on active layer thickness collected by the Circumpolar Active Layer Monitoring (CALM; <http://www.gwu.edu/~calm/>) since the 1990s to 2015 [Brown et al. 2000]. Over the studied domain, 233 monitoring sites are available. To compare with simulations performed at a 0.5° by 0.5° resolution, 89 virtual stations have been computed from the 233 original sites by averaging all stations in each 0.5° by 0.5° grid-cells.” In section 5.3, results are now commented as follow: “However, this comparison with the limits of different permafrost types does not allow to determine which simulation leads to the most accurate active layer thicknesses. The comparison with the CALM data given in Figure 12 seems to show that *NEW-SOC* simulates a more accurate spatial distribution of the active layer thickness. This result is confirmed by Figure 13 that shows the estimated and simulated active layer thicknesses over the Yakutia region”. The caption of Figure 12 is changed to “Distribution of permafrost characteristics. The NSIDC estimated limits of continuous, discontinuous, sporadic and isolated permafrost regions are shown in the top panel. In each panel the red lines correspond to the observed boundary of the entire permafrost region. In the middle and the bottom panels, the mean active layer thicknesses simulated over the 1990-2013 period by the *NEW* and the *NEW-SOC* experiments are shown and compared to in-situ observations from the CALM network (circles). Total skill scores are given for each experiment.”

P. 6765, l. 7: “soil carbon degradation” means soil organic carbon decomposition. The end of the sentence was changed to “...due to the strong interaction between soil thermal processes and soil organic carbon decomposition with release of greenhouse gases.”

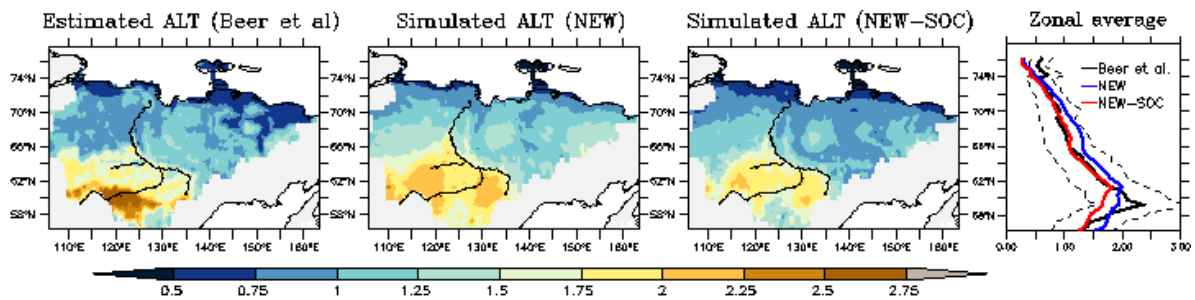


**Figure 12** – Distribution of permafrost characteristics. The NSIDC estimated limits of continuous, discontinuous, sporadic and isolated permafrost regions are shown in the top panel. In each panel the red lines correspond to the observed boundary of the entire permafrost region. In the middle and the bottom panels, the mean active layer thicknesses simulated over the 1990-2013 period by the *NEW* and the *NEW-SOC* experiments are shown and compared to observations from the CALM network (circles). Total skill scores are given for each experiment.

Technical corrections: All corrections have been done

Other main author's changes in manuscript :

- (1) Reference added : Brown J., Hinkel K.M., & Nelson F.E. 2000. The Circumpolar Active Layer Monitoring (CALM) program: historical perspectives and initial results. *Polar Geography* 24(3): 165-258.
- (2) Wang et al. 2015 was changed to Wang et al. 2016 (new published article: Wang, W., Rinke, A., Moore, J. C., Cui, X., Ji, D., Li, Q., Zhang, N., Wang, C., Zhang, S., Lawrence, D. M., McGuire, A. D., Zhang, W., Delire, C., Koven, C., Saito, K., MacDougall, A., Burke, E., and Decharme, B.: Diagnostic and model dependent uncertainty of simulated Tibetan permafrost area, *The Cryosphere*, 10, 287-306, doi:10.5194/tc-10-287-2016, 2016)
- (3) The color legend was added in Figure 13 last panel :



## **Specific comments from referee 2:**

Page 6744, lines 2-6: Yes, the snow albedo increases linearly with snowfall intensity up to  $\alpha_{max}$ . We precise at the end of the sentence: “while the snow albedo increases linearly with snowfall intensity [Boone and Etchevers 2001].” This aspect is not conserved in the new scheme. The fresh snow Albedo is computed with equation 17 and fresh snow characteristics (Age=0, density ~100 to 150 kg/m<sup>3</sup> depending on equation 7, etc...).

Page 6744, line 14: Yes the nature is often more complex than the representation in physical models. Without vegetation, mathematically speaking, our sentence remains true. With vegetation, we agree that our representation of soil/vegetation/snow heat conduction is not perfect. However, while there is no explicit representation of a complex layer of vegetation/litter/soil in our model, it is better to have at least a good computation of the snow/soil heat conduction. This good computation of the snow/soil heat conduction will allow us to add more easily the vegetation/litter thermal effect. But this is another study.

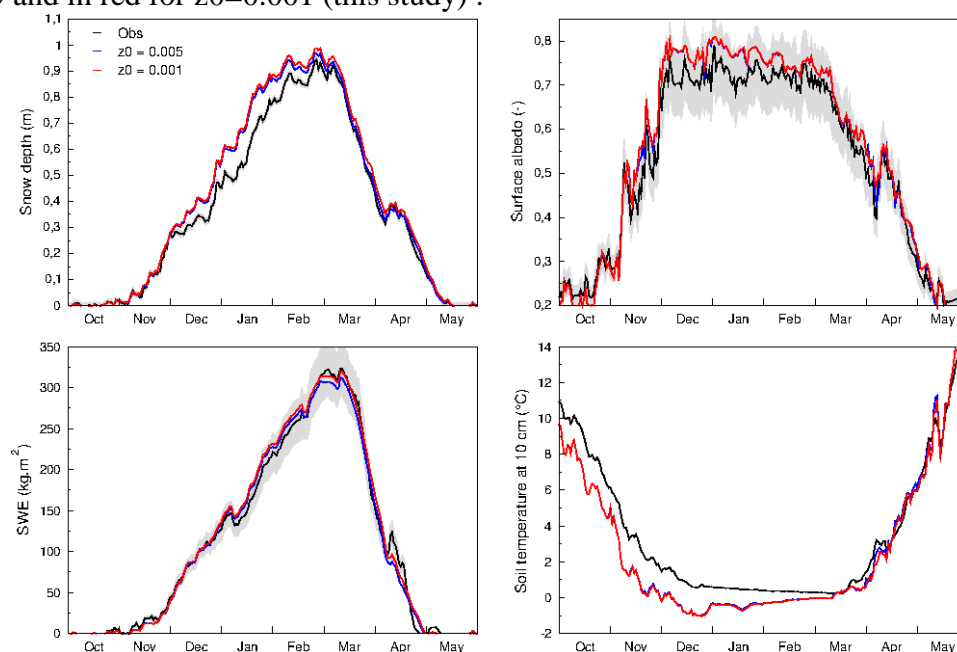
Page 6744, line 21: Because it is a good compromise (physical precision versus numerical cost) to represent low snow depth like over mountains and boreal regions and deeper snowpack over Greenland and Antarctica. But the user can choose a different number of layers via the model namelist.

Page 6748, Section 3.1.3: The snow age,  $A_{sn}$  (days), for each layer,  $i$ , is simply the time in days since the snow has fallen ( $\frac{\partial A_{sn}(i)}{\partial t} = \frac{1}{86400}$ ) and we don't think it requires an equation in the manuscript. However, we did add this sentence at the en of this section: “The snow age

for each layer is the time, in days, since the snow has fallen. When a snowfall event occurs, the fresh snow characteristics including its age (0 at time of snowfall) are averaged out with the snow already present in the first layer according to their respective masses. Finally, when the layer thicknesses of the entire snowpack are recalculated with Equation 11 and 12, the snow age is redistributed accordingly. For example, the age of snow in the first layers can remain from 0 day to a week during winter but aging largely in spring, while the last layers age continuously.”

Page 6749, line 8: We think that the error made by neglecting the process altogether is larger than the error due to the uncertainties in the HWSO database, at least at the global scale. Locally, it can be important.

Page 6753, line 9: Indeed, a value of 0.005 is generally used at the Col de Porte site [Vionnet et al. 2012]. We prefer to use the value used at global scale but the impact on the result is not important as shown by the following figure where the new model is represented in blue for a  $z_0=0.005$  and in red for  $z_0=0.001$  (this study) :



Page 6756, lines 7-10: Indeed, we do not consider blowing snow sublimation.

Page 6758, lines 3-4: We performed some local tests simulating water, ice and temperature down to 100m over a period of 300years and did not find significant differences with the simulation down to 12m. We think that it would be more important to account for the geothermal gradient than to increase the depth of the soil column. However, we agree that for very long simulations (superior to 1000years) like paleo-climatic simulations the soil should be deeper. Note that the user can prescribe its own depth and soil discretization in the namelist.

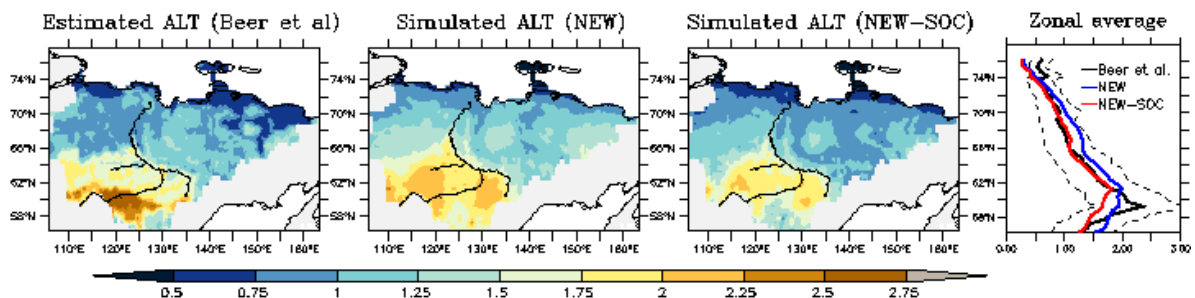
Page 6767, lines 6-7: Indeed, the default value is 5 but some tests to prepare the CNRM-CM climate model for CMIP5 experiment there is long time ago have shown that this value was too strong inducing a too low albedo during winter over forested area. So it has been reduced to 2 for global studies. We think also that this parameter should depend on vegetation type with a low value for low vegetation (~1 or 0.5) to larger value for forest (~2 or 3).

Figures 3 and 4: The most important in these two figures is the annual variability of the skill scores: bias and c-rmse . The time series in these 2 figures are mainly illustrative. As referee 2 mentions himself, it is the Figure 5 that is designed to show the differences between the model versions.

Technical corrections: All corrections have been done

Other main author's changes in manuscript :

- (4) Reference added : Brown J., Hinkel K.M., & Nelson F.E. 2000. The Circumpolar Active Layer Monitoring (CALM) program: historical perspectives and initial results. *Polar Geography* 24(3): 165-258.
- (5) Wang et al. 2015 was changed to Wang et al. 2016 (new published article: Wang, W., Rinke, A., Moore, J. C., Cui, X., Ji, D., Li, Q., Zhang, N., Wang, C., Zhang, S., Lawrence, D. M., McGuire, A. D., Zhang, W., Delire, C., Koven, C., Saito, K., MacDougall, A., Burke, E., and Decharme, B.: Diagnostic and model dependent uncertainty of simulated Tibetan permafrost area, *The Cryosphere*, 10, 287-306, doi:10.5194/tc-10-287-2016, 2016)
- (6) CALM data was added in Figure 12 (see responses to referee 1) and in the text.
- (7) The color legend was added in Figure 13 last panel



# **Impacts of snow and organic soils parameterization on North-Eurasian soil temperature profiles simulated by the ISBA land surface model.**

**B. Decharme<sup>(1)</sup>, E. Brun<sup>(1,2)</sup>, A. Boone<sup>(1)</sup>, C. Delire<sup>(1)</sup>, P. Le Moigne<sup>(1)</sup>, S. Morin<sup>(3)</sup>**

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Corresponding Author: Bertrand Decharme (bertrand.decharme@meteo.fr)

GAME-CNRM/GMGEC/VDR

Météo-France, 42 av. Gaspard Coriolis, 31057 Toulouse, France

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(1) GAME-CNRM UMR 3589, CNRS/Météo-France, Toulouse, France

(2) now at ONERC, Ministry of Ecology, Paris, France

(3) CEN ,GAME-CNRM UMR 3589, CNRS/Météo-France, Grenoble, France

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## ABSTRACT

In this study we analysed how an improved representation of snowpack processes and soil properties in the multi-layer snow and soil schemes of the ISBA land surface model impacts the simulation of soil temperature profiles over North-Eurasian regions. For this purpose, we refine ISBA's snow layering algorithm and propose a parameterization of snow albedo and snow compaction/densification adapted from the detailed Crocus snowpack model. We also include a dependency on soil organic carbon content for ISBA's hydraulic and thermal soil properties. First, changes in the snowpack parameterization are evaluated against snow depth, snow water equivalent, surface albedo, and soil temperature at a 10cm depth observed at the Col de Porte field site in the French Alps. Next, the new model version including all of the changes is used over Northern-Eurasia to evaluate the model's ability to simulate the snow depth, the soil temperature profile and the permafrost characteristics. The results confirm that an adequate simulation of snow layering and snow compaction/densification significantly impacts the snowpack characteristics and the soil temperature profile during winter, while the impact of the more accurate snow albedo computation is dominant during the spring. In summer, the accounting for the effect of soil organic carbon on hydraulic and thermal soil properties improves the simulation of the soil temperature profile. Finally, the results confirm that this last process strongly influences the simulation of the permafrost active layer thickness and its spatial distribution.

## 1. Introduction

Snowpack properties are known to be of primary importance for understanding the water and energy budgets of the land surface, especially in mountainous and boreal regions. From autumn to spring, solid precipitation is stored within the snowpack thereby modifying the terrestrial albedo and roughness length, and impacting the radiative and energy fluxes at the soil/atmosphere interface. During spring, the fresh water released by snowmelt contributes to soil infiltration, intense streamflow and large seasonal flood events, and it directly modulates the land surface evapotranspiration [Poutou et al. 2004; Niu and Yang 2006; Decharme and Douville 2007]. Snowpack also acts as an insulating layer at the surface which prevents significant heat loss in the winter. Over North-Eurasian regions, as discussed by Paquin and Sushama [2015], this last process controls the temperature of the permafrost. It is defined as a soil that remains below 0°C for two or more consecutive years, and it has a significant influence on the summer permafrost active layer thickness, defined as the maximum annual thaw depth. In summary, snowpack properties drastically influence soil/atmosphere interactions during a large part of the year through their impacts on many land surface processes.

Beside the importance of snowpack properties for understanding the water and energy budgets of the land surface in northern regions, the physical properties of soil organic carbon (or peat soil) also play a significant role. North-Eurasian soils are very rich in organic carbon because the low soil temperatures in this region inhibit decomposition of dead plant material that accumulates over time, thereby forming peat deposits. Soil organic carbon exhibits very different hydraulic and thermal properties than mineral soil [Boelter 1969; Letts et al. 2000]. It is characterized by a very high porosity, a weak hydraulic suction, and a sharp vertical hydraulic conductivity profile from high values at the surface to very low values at the subsurface. This generally induces a relatively wet soil with a shallow water table [Letts et al. 2000]. Its low thermal conductivity and its relatively high heat capacity act as an insulator for soil temperature that prevents the soil from significant warming during the summer [Bonan and Shugart 1989;

Lawrence and Slater 2008]. Over permafrost regions, the hydraulic and thermal properties of soil organic carbon partly control the soil depth reached by the 0°C isotherm which, in turn, defines the thickness of the active layer during summer [Paquin and Sushama 2015]. Through its influence on soil temperature and wetness, it impacts the continental part of the carbon cycle and the land surface CO<sub>2</sub> and CH<sub>4</sub> emissions to the atmosphere [Walter et al. 2006; Zimov et al. 2006].

In atmospheric, climate, and hydrological models, the dynamics of the snowpack and the evolution of water and heat profiles within the soil are simulated using so-called Land Surface Models (LSM). These LSMs, like the simple bucket scheme of Manabe [1969], were initially developed over four decades ago in order to simulate realistic land surface water and energy budgets in atmospheric general circulation models. Now, LSMs are used in many applications such as hydrological and meteorological forecasts, global hydrological and biogeochemical studies, and climate evolution prediction. Many LSMs use multi-layer soil schemes in which the vertical transport of moisture and heat into the soil is explicitly solved for using diffusion equations [e.g. Decharme et al. 2011]. Because the total soil depth is discretized using multiple layers, these schemes allow the representation of the vertical root distribution [Zeng et al., 1998; Feddes et al., 2001; Braud et al., 2005], as well as the surface/groundwater capillary exchanges [e.g. Vergnes et al. 2014]. Finally, their coupling with a multi-layer snowpack scheme permits a representation of the interaction between cold physical processes, such as the effect of snow on soil temperature, hydrology, and freezing [Slater et al. 2001; Luo et al. 2003; Gouttevin et al. 2012].

Three major classes of snowpack schemes exist in LSMs: single-layer schemes, multi-layer schemes of intermediate complexity, and detailed snowpack models. The first class was used preferentially in the past within forecast and climate models. The snowpack was represented with only one layer that evolves seasonally, which is characterized as having a high albedo, a low thermal conductivity, and a low thermal capacity [Manabe 1969; Verseghy 1991; Douville et al. 1995]. More recently, these simple single-layer schemes have been replaced by intermediate

complexity models inspired by the pioneering work of Anderson [1979]. These schemes use a multi-layer approach with the minimum number of layers needed to simulate all of the macroscopic physical properties of the snowpack such as albedo, compaction, density, and water refreezing [Lynch-Stieglitz 1994; Loth and Graf 1998; Boone and Etchevers 2001; Brown et al. 2006; Oleson et al. 2010; Dutra et al. 2010; Shrestha et al. 2010; Best et al. 2011; Kuipers Munneke et al. 2011]. Finally, more complex snowpack models have been developed primarily in support of avalanche forecasting, and more generally for all applications (including process studies) requiring a detailed representation of the vertical profile of the physical properties of snow. In addition to simulating macroscopic snowpack physical properties, they explicitly account for the time evolution of the snow microstructure driven by snow metamorphism, and the multiple feedback loops involving internal snow processes and the energy and mass balance at the air/snow and snow/ground interface [Brun et al. 1989, 1992; Jordan 1991; Bartelt and Lehning 2002]. In addition, these models can serve as a reference for the development and evaluation of intermediate complexity snowpack schemes.

The Interaction-Soil-Biosphere-Atmosphere (ISBA) LSM developed at Météo-France currently uses a multi-layer approach for the snowpack [Boone and Etchevers 2001] and the soil [Boone et al., 2000; Decharme et al. 2011]. ISBA is the land surface model embedded in the SURFEX (SURFace EXternalized) modeling platform [Masson et al. 2013], which is used in all of the atmospheric meso-scale, regional-scale and global-scale models of Météo-France, as well as in regional hydrological forecasting systems, global hydrological models and model chains in support of avalanche hazard warning [e.g. Lafaysse et al., 2013; Vernay et al., in press]. The ISBA multi-layer version was evaluated over many local or regional field datasets [Boone et al., 2000; Decharme et al. 2011, 2013; Canal et al. 2014; Parrens et al. 2014; Vergnes et al. 2014; Joetzjer et al. 2015], increasing our confidence in the model's capability to simulate realistic land surface processes under a variety of climate conditions. However, over cold regions, winter top soil temperatures tend to be underestimated [Wang et al. 2016] while during summer they are

generally too warm. The first biases are attributable to the ISBA multi-layer snowpack scheme of intermediate complexity developed by Boone et al. [2000] and based on Anderson [1979]. Indeed, when the ISBA multi-layer soil scheme is coupled with the detailed Crocus snowpack model, the winter soil temperature simulated at 20cm depth better matches observations over the Northern Eurasian regions [Brun et al. 2013]. Secondly, ISBA only accounts for mineral soil properties while many studies pointed out that the specific properties of soil organic carbon are required to simulate realistic soil thermal regime over cold regions [Nicolisky et al. 2007; Beringer et al. 2001; Lawrence and Slater 2008; Lawrence et al. 2008; Dankers et al. 2011].

The present study focuses on the impact of improving the representation of snowpack and soil properties in the ISBA LSM to reproduce snow characteristics and soil temperature profiles over cold regions. We replaced the original Boone and Etchevers [2001] representation of snow layering, albedo and snow compaction by adapting some parameterizations used in the Crocus snowpack model [e.g. Vionnet et al. 2012]. In addition, we added a parameterization of the organic carbon effect on hydraulic and thermal soil properties based on the pedotransfer function of Boelter [1969] and inspired by works of Letts et al. [2000] and Lawrence and Slater [2008]. The changes in the snowpack parameterizations are first evaluated at the Col de Porte field site located in the French Alps [Morin et al. 2012]. This dataset includes many observations at a daily time step such as snow depth, snow water equivalent, surface albedo and soil temperature at 10 cm from 1993 to 2011. In addition the meteorological observations required to drive the model are given at a 3-hourly time step over the same period. The new parameterizations were evaluated next over the North-Eurasian region using the same experimental design as Brun et al. [2013] using in-situ evaluation datasets of snow depth and soil temperature profile measurements and meteorological driving data from a global reanalysis. To quantify the model's ability to simulate the permafrost characteristics, two additional datasets were used that estimate the location of permafrost boundaries and the active layer thickness over the Yakutia region. A brief review of the ISBA multi-layer model is given in section 2, all of the snowpack and soil parameterization

improvements and updates are presented in section 3, sections 4 and 5 describe the model evaluation over the Col de Porte field site and the North-Eurasian region, respectively. Finally, a discussion and the main conclusions are given in section 6.

## 2. Review of the ISBA land surface model

### 2.1. Soil processes

The ISBA multi-layer model solves the one-dimensional Fourier law and the mixed-form of the Richards equation explicitly to calculate the time evolution of the soil energy and water budgets [Boone et al., 2000; Decharme et al. 2011]. In each layer  $i$ , the closed-form equations between the soil liquid water content,  $w$  ( $\text{m}^3 \cdot \text{m}^{-3}$ ), and the soil hydrodynamic parameters, such as the soil matric potential,  $\psi$  (m), and the hydraulic conductivity,  $k$  ( $\text{m} \cdot \text{s}^{-1}$ ), are determined according to the Brooks and Corey [1966] model adapted by Campbell [1974] as follows:

$$\psi(i) = \psi_{sat}(i) \left( \frac{w(i)}{w_{sat}(i)} \right)^{-b(i)} \quad \text{and} \quad k(i) = k_{sat}(i) \left( \frac{\psi(i)}{\psi_{sat}(i)} \right)^{\frac{2b(i)+3}{b(i)}} \quad (1)$$

where,  $b$  represents the dimensionless shape parameter of the soil-water retention curve,  $w_{sat}$  ( $\text{m}^3 \cdot \text{m}^{-3}$ ) the soil porosity, and  $\psi_{sat}$  (m) and  $k_{sat}$  ( $\text{m} \cdot \text{s}^{-1}$ ) the soil matric potential and hydraulic conductivity at saturation, respectively. In this study, the heat and soil moisture transfers within the soil are computed using 14 layers up to a 12 m depth. The depth of the 14 layers (0.01m, 0.04m, 0.1m, 0.2m, 0.4m, 0.6m, 0.8m, 1.0m, 1.5m, 2.0m, 3.0m, 5.0m, 8.0m, 12.0m) have been chosen to minimize numerical errors in solving the finite-differenced diffusive equations, especially in the uppermost meter of the soil [Decharme et al. 2011]. Saturated hydraulic conductivity, matric potential at saturation, and porosity of the mineral soil are related to the soil texture [Noilhan and Lacarrère 1995]. The total heat capacity of the mineral soil in each layer is computed as the sum of the soil matrix, water and ice heat capacities weighted by the volumetric water and ice content [Peters-Lidard et al. 1998]. The thermal conductivity of the mineral soil is computed via a more

complex combination of water, ice and soil conductivities as proposed by Peters-Lidard et al. [1998].

The soil ice content tendency (partial time derivative) is solved explicitly in each layer of the soil and accounts for ice sublimation and vegetation insulation effect at the surface [e.g. Boone et al., 2000]. The liquid water content that can freeze is limited by a maximum value,  $w_{lmax}$  ( $m^3 \cdot m^{-3}$ ), computed as a function of temperature based on the Gibbs free-energy method [Fuchs et al. 1978]:

$$w_{lmax}(i) = w_{sat}(i) \times \min \left[ 1.0, \left( \frac{L_f}{g \psi_{sat}(i)} \frac{T_g(i) - T_f}{T_g(i)} \right)^{-1/b(i)} \right] \quad (2)$$

where  $w_{sat}$  ( $m^3 \cdot m^{-3}$ ) is the soil porosity in each layer  $i$ ,  $T_g$  (K) the soil temperature,  $g$  ( $m \cdot s^{-2}$ ) the terrestrial gravity constant,  $T_f$  (273.16 K) is the triple-point temperature for water, and  $L_f$  ( $3.337 \times 10^5 J \cdot kg^{-1}$ ) the latent heat of fusion. The total water content in each soil layer is conserved during phase changes. When the soil freezes, the liquid water content will decrease owing to a corresponding increase in soil ice content. Finally, the maximum temperature,  $T_{max}$  (K), used for phase changes can be determined via the same Gibbs free-energy method :

$$T_{max}(i) = \frac{L_f T_f}{L_f - g \psi(i)} \quad (3)$$

where the soil matric potential  $\psi$  is defined using Equation 1. Thus, this scheme induces dependencies of water phase changes to soil textures and to the degree of soil humidity. The coarser the soil texture, the larger the quantity of water that will freeze at a given temperature. As the soil becomes dry, the temperature that allows freezing drops. More details can be found in the supplementary material of Masson et al. [2013] (<http://www.geosci-model-dev.net/6/929/2013/gmd-6-929-2013-supplement.pdf>)

## 2.2. *Snowpack internal processes*

The original ISBA explicit multi-layer snow scheme developed by Boone and Etchevers [2001] is a snowpack scheme of intermediate complexity made in order to take into account for

some processes such as snow mass and heat vertical redistribution, snow compaction, water percolation and refreezing, and explicit heat conduction at the snow/soil interface. Many of these processes, such as snow compaction or absorption of solar energy, are based on works of Anderson [1976] and Loth et al. [1993]. The thermal conductivity of snow (Appendix A) is computed via the snow density [Yen 1981]. An additional term depends on the snow temperature to account for vapor transfer through the snowpack [Sun et al. 1999]. The time evolution of the snow mass is linked to snowmelt, water freezing, evaporation, and liquid flow. The liquid water content into the snowpack is simulated as a succession of bucket-type reservoirs. A maximum liquid water holding capacity ( $W_{lmax}$ ) is computed in each layer. It varies from 3% to 10% of the snow mass according to a decrease in snow density after Anderson [1976]. A liquid water flux is generated when the liquid water content exceeds this threshold. More details can be found in Boone and Etchevers [2001] and only internal physical processes of the snowpack discussed in this study are described below.

### 2.2.1. Snow layering

In the original ISBA explicit snow scheme, three-layers are used for snow layering because it is considered to be the minimum number required to resolve adequately the snow thermal profile within the snowpack [Lynch-Stieglitz 1994; Loth and Graf, 1998; Boone and Etchevers 2001]. The algorithm that computes the snow grid thicknesses  $\Delta z$  of each layer,  $i$ , is described as follows:

$$\begin{cases} \Delta z(1) = \delta 0.25 h_{sn} + (1 - \delta) 0.05 \\ \Delta z(2) = \delta 0.5 h_{sn} + (1 - \delta) \times \min[0.5, 0.05 + 0.34(h_{sn} - \Delta z(1))] \\ \Delta z(3) = \delta 0.25 h_{sn} + (1 - \delta)(h_{sn} - \Delta z(1) - \Delta z(2)) \end{cases} \quad \text{with} \quad \begin{cases} \delta = 1 & \forall (h_{sn} \leq 0.2) \\ \delta = 0 & \forall (h_{sn} > 0.2) \end{cases} \quad (4)$$

where  $h_{sn}$  (m) is the total snow depth. As long as the snow remains below 0.2m, the fraction of the total depth that defines the thickness of each layer remains with a fine resolution at the top and the base of the snowpack. When the snow depth exceeds 0.2m, the thickness of the first layer remains equal to 0.05m, in order to adequately solve the diurnal cycle of the surface energy balance. In addition, for large snow depth values, the second layer thickness cannot exceed 0.5m because density and heat vertical gradients are generally the largest near the top of the snowpack. The

vertical grid is updated at the beginning of each time step before the computation of the other snowpack internal processes.

### 2.2.2. Snow compaction

The evolution of snow density,  $\rho_{sn}$  (kg.m<sup>-3</sup>) in each layer,  $i$ , is the sum of snow compaction due to change in snow viscosity,  $\eta$  (Pa.s), and settling due to freshly fallen snow,  $\xi$  (s<sup>-1</sup>), following Anderson [1976] and Loth et al. [1993]:

$$\frac{1}{\rho_{sn}(i)} \frac{\partial \rho_{sn}(i)}{\partial t} = \frac{\sigma(i)}{\eta(i)} + \xi(i) \quad \text{with} \quad \sigma(i) = g \sum_{j=1}^i [\Delta z(j) \rho_{sn}(j)] \quad (5)$$

where  $\sigma$  (Pa) is the snow vertical stress. The snow viscosity and settling of new snow are solved using two empirical exponential functions of snow density and temperature,  $T_{sn}$  (K), :

$$\begin{cases} \eta(i) = v_0 \exp(v_1(T_f - T_{sn}(i)) + v_2 \rho_{sn}(i)) \\ \xi(i) = s_0 \exp(-s_1(T_f - T_{sn}(i)) - s_2 \times \max(0, \rho_{sn}(i) - \rho_d)) \end{cases} \quad (6)$$

where  $v_0 = 3.7 \cdot 10^7$  Pa.s,  $v_1 = 0.081$  K<sup>-1</sup>,  $v_2 = 0.018$  m<sup>3</sup>.kg<sup>-1</sup>,  $s_0 = 2.8 \cdot 10^{-6}$  s<sup>-1</sup>,  $s_1 = 0.04$  K<sup>-1</sup>,  $s_2 = 0.046$  m<sup>3</sup>.kg<sup>-1</sup>, and  $\rho_d = 150$  kg.m<sup>-3</sup> are empirical parameters calibrated by Anderson [1976]. The minimum density of snow is constrained to be 50 kg.m<sup>-3</sup>. The snowfall density,  $\rho_{snow}$  (kg.m<sup>-3</sup>), is expressed as a function of wind speed,  $V_a$  (m.s<sup>-1</sup>), and air temperature,  $T_a$  (K), following an experimental study of Pahaut [1976] :

$$\rho_{snow} = a_\rho + b_\rho (T_a - T_f) + c_\rho V_a^{1/2} \quad (7)$$

where the coefficients  $a_\rho = 109$  kg.m<sup>-3</sup>,  $b_\rho = 6$  kg.m<sup>-3</sup>.K<sup>-1</sup>, and  $c_\rho = 26$  kg.s<sup>1/2</sup>.m<sup>-7/2</sup>.

### 2.2.3. Transmission of solar radiation and Snow albedo

The absorption of incident shortwave solar radiation,  $R_{sw}$  (W.m<sup>-2</sup>), within the snowpack is solved over a single spectral band. It uses an exponential decrease of incoming radiation with snow depth [Anderson 1976; Loth et al. 1993]. So, the net shortwave radiation  $Q_{sn}$  (W.m<sup>-2</sup>) absorbed by the snow level,  $i$ , is given by:

$$Q_{sn}(i) = (1 - \alpha_{sn}) R_{sw} \exp\left(-\sum_{j=1}^i [\beta_{sn}(j) \Delta z(j)]\right) \quad (8)$$

218 where  $\alpha_{sn}$  is the dimensionless snow albedo, and  $\beta_{sn}$  ( $\text{m}^{-1}$ ) the extinction coefficient of snow which  
219 is given by :

$$220 \quad \beta_{sn}(i) = C_v \rho_{sn}(i) / \sqrt{d_{opt}(i)} \quad (9)$$

221 As shown by Bohren and Barkstrom [1974], this extinction of snow is directly related to its  
222 density, the optical diameter  $d_{opt}$  (m), and a constant  $C_v = 3.8 \cdot 10^{-3} \text{m}^{5/2} \cdot \text{kg}^{-1}$ . The optical diameter is  
223 empirically linked to the snow density following a simple polynomial regression established by  
224 Anderson [1976]:

$$225 \quad d_{opt}(i) = \min(d_{max}, g_1 + g_2 \times \rho_{sn}(i)^4) \quad (10)$$

226 where  $d_{max}$  (m) is the maximum value equal to  $2.796 \cdot 10^{-3} \text{m}$ , and the coefficients  $g_1 = 1.6 \cdot 10^{-4} \text{m}$ ,  
227 and  $g_2 = 1.1 \cdot 10^{-13} \text{m}^{13} \cdot \text{kg}^{-4}$  were calibrated by Anderson [1976]. The time evolution of snow albedo  
228 is modelled in a simple way using time constants after Douville et al. [1995]. A linear decrease  
229 rate is used for dry snow and an exponential decrease is used for wet snow [while the snow albedo](#)  
230 [increases linearly with snowfall intensity \[Boone and Etchevers 2001\]](#). The snow albedo is  
231 constrained to be between its minimum value,  $\alpha_{min} = 0.5$ , and its maximum,  $\alpha_{max} = 0.85$ .

### 232 **3. Changes in explicit snow and soil schemes**

#### 233 *3.1. Changes in snowpack internal processes*

##### 234 *3.1.1. Snow layering*

235 Detailed snowpack models use more than a dozen layers to simulate well the snow thermal  
236 profile and the snowpack stratigraphy [Armstrong and Brun 2008; Vionnet et al 2012]. This  
237 configuration allows a good computation of the diurnal cycle through the use of fine top layers,  
238 while bottom layers are also sufficiently thin to ensure a good computation of the heat conduction  
239 at the snow/soil interface. However, these models were rarely used in global atmospheric, climate,  
240 and/or hydrological models due to their high computational costs partly due to the use of a large  
241 number of layers. For this reason, the multi-layer snow scheme in ISBA was developed using only  
242 three layers representing a good compromise between a reasonable simulation of the snow thermal

243 profile [Boone and Etchevers 2001] and a low computing time. Today, such computational  
 244 limitations are less of a constraint and a larger number of layers can be used in this scheme. The  
 245 number of snow layers in ISBA was increased to 12 with two fine layers at the top and the bottom  
 246 of the snowpack using the following simple algorithm:

$$\begin{aligned}
 & \Delta z(i) = \min\left(\delta_i, \frac{h_{sn}}{12}\right) \quad \forall i \leq 5 \quad \text{or} \quad \forall i \geq 9 \\
 & \Delta z(6) = 0.3d_r - \min[0, 0.3d_r - \Delta z(5)] \\
 & \Delta z(7) = 0.4d_r + \min[0, 0.3d_r - \Delta z(5)] + \min[0, 0.3d_r - \Delta z(9)] \\
 & \Delta z(8) = 0.3d_r - \min[0, 0.3d_r - \Delta z(9)] \\
 & d_r = h_{sn} - \sum_{i=1}^5 \Delta z(i) - \sum_{i=9}^{12} \Delta z(i)
 \end{aligned} \tag{11}$$

248 where the constants are defined as:  $\delta_1 = 0.01\text{m}$ ,  $\delta_2 = 0.05\text{m}$ ,  $\delta_3 = 0.15\text{m}$ ,  $\delta_4 = 0.5\text{m}$ ,  $\delta_5 = 1\text{m}$ ,  $\delta_9 =$   
 249  $1\text{m}$ ,  $\delta_{10} = 0.5\text{m}$ ,  $\delta_{11} = 0.1\text{m}$ , and  $\delta_{12} = 0.02\text{m}$ . For a snow depth below  $0.1\text{m}$ , each layer has the  
 250 same thickness of  $0.00833\text{m}$ . When the snow depth is above  $0.2\text{m}$ , the thicknesses of the first and  
 251 the last layers reach their constant values of  $0.01\text{m}$  and  $0.02\text{m}$  respectively to reasonably resolve  
 252 the diurnal cycle and the snow/soil heat exchanges. However, to keep as much as possible the  
 253 information of an historical snowfall event, the grid thicknesses are updated only if the two first  
 254 layers or the last layer become too small or too large. This condition can be summed-up as  
 255 follows:

$$\Delta z(i) < \frac{1}{2} \min\left(\delta_i, \frac{h_{sn}}{12}\right) \quad \text{or} \quad \Delta z(i) > \frac{3}{2} \min\left(\delta_i, \frac{h_{sn}}{12}\right) \quad \forall i = \{1, 2, 12\} \tag{12}$$

257 For example, for a total snow depth of  $1\text{m}$ , if the thickness of the top layer becomes lower than  
 258  $0.005\text{m}$  or greater than  $0.015\text{m}$  at the beginning of a time step, the layer thicknesses of the entire  
 259 snowpack are recalculated with Equation 9–11 and the snow mass and heat are redistributed  
 260 accordingly. A similar algorithm was also developed for the 6 and 9 layer cases, but these results  
 261 are not reported here. In terms of snowpack layering, the main difference with the Crocus scheme  
 262 is the fact that the total number of layers is constant, while in Crocus only the maximum number of

layers is specified (typically 20 or 50) and the model dynamically uses a number of layers which varies in time within this pre-defined constraint [Vionnet et al 2012].

### 3.1.2. Snow compaction ~~and snowdrift events~~

In the new version of the snow scheme, the evolution of snow density in each layer is due to snow compaction resulting from changes in snow viscosity [Brun et al 1989] and wind-induced densification of near surface snow layers ~~snow densification of surface layers during snowdrift events~~ [Brun et al. 1997]. This wind-driven compaction process ~~Snowdrift~~ is assumed to occur when wind velocity exceeds a threshold value that depends on snow surface characteristics. This process is especially important for simulating the evolution of the snow density over polar regions. Brun et al. [1997] pointed out that this process is also critical for reproducing the snow thermal conductivity and the snow temperature profile over these regions. Therefore, the time tendency of snow density in each layer is computed as follows:

$$\frac{\partial \rho_{sn}(i)}{\partial t} = \rho_{sn}(i) \frac{\sigma(i)}{\eta(i)} + \max\left(0, \frac{\rho_{wmax} - \rho_{sn}(i)}{\tau_w(i)}\right) \quad (13)$$

where  ~~$\rho_{drift} - \rho_{wmax}$~~  (kg.m<sup>-3</sup>) is the maximum density equal to 350 kg.m<sup>-3</sup> below which the snow densification occurs during wind-driven compactions ~~snowdrift events~~,  ~~$\tau_{drift} - \tau_w$~~  (s) the compaction rate of this process (Appendix B), and  $\sigma$  (Pa) the vertical stress in each layer. This stress is computed as the weight of the overlaying layers. At the top of the snow pack, half the mass of the uppermost layer is used. The vertical stress in each layer is then given by:

$$\begin{cases} \sigma(1) = \frac{g \Delta z(1) \rho_{sn}(1)}{2} \\ \sigma(i) = g \sum_{j=1}^{i-1} [\Delta z(j) \rho_{sn}(j)] \quad \forall i > 1 \end{cases} \quad (14)$$

The snow viscosity is a function of snow density, temperature, and liquid water content,  $W_l$  (kg.m<sup>-2</sup>), and it is given as follows:

$$\eta(i) = \frac{\eta_0}{f_w(i)} \frac{\rho_{sn}(i)}{\rho_0} \exp(a_\eta \times \min(\Delta T_\eta, T_f - T_{sn}(i)) + b_\eta \rho_{sn}(i))$$

$$f_w(i) = 1 + 10 \times \min\left(1.0, \frac{W_l(i)}{W_{lmax}(i)}\right)$$
(15)

where  $\eta_0$  ([Pa.s](#)) is a reference viscosity equal to 7622370 [Pa.s](#),  $\rho_0$  ( $\text{kg.m}^{-3}$ ) is a reference density equal to  $250 \text{ kg.m}^{-3}$ ,  $W_{lmax}$  ( $\text{kg.m}^{-2}$ ) represents the maximum liquid water holding capacity (e.g. section 2.2) and the constants  $a_\eta = 0.1 \text{ K}^{-1}$ ,  $b_\eta = 0.023 \text{ m}^3.\text{kg}^{-1}$ , and  $\Delta T_\eta = 5 \text{ K}$ . The viscosity dependence on snow temperature is limited according to Schleef et al. [2014] who pointed out that the impact of snow temperature on snow densification becomes negligible at low temperatures. The last dimensionless function,  $f_w$ , describes the decrease of viscosity in presence of liquid water. Finally, the snowfall density is computed as previously (Equation 7).

### 3.1.3. Transmission of solar radiation and Snow albedo

The absorption of incident shortwave solar radiation,  $R_{sw}$  ( $\text{W.m}^{-2}$ ), within the pack is now solved over three spectral bands according to Brun et al. [1992]. The first band ( $[0.3-0.8] \mu\text{m}$ ) represents the ultra-violet and visible range, while the two others ( $[0.8-1.5] \mu\text{m}$  and  $[1.5-2.8] \mu\text{m}$ ) represent two near-infrared ranges. The total net shortwave radiation,  $Q_{sn}$ , absorbed by the snow level  $i$ , is the sum of the absorption in each spectral bands,  $k$ , and is given by:

$$Q_s(i) = R_{sw} \sum_{k=1}^3 \left[ \omega(k) (1 - \alpha_{sn}(k)) \exp\left(-\sum_{j=1}^i [\beta_{sn}(k, j) \Delta z(j)]\right) \right]$$
(16)

where  $\omega$  is the empirical weight of each spectral bands equal to 0.71, 0.21, and 0.08 for  $[0.3-0.8]$ ,  $[0.8-1.5]$  and  $[1.5-2.8] \mu\text{m}$ , respectively. As previously, the extinction coefficient of snow,  $\beta_{sn}$ , depends on density and optical diameter of snow. The snow albedo,  $\alpha_{sn}$ , is a function of the snow optical diameter and of the age of the first layer of the snowpack. The age dependency is limited to the first band (visible range) and aims to represent the decrease of the snow albedo by impurities from deposition in a very simple way. Indeed, trace amount of light-absorbing impurities can significantly reduce snow albedo in the visible range but have no effect on the near-infrared range

306 [Warren 1984]. In each band, both the albedo and the extinction coefficient of snow are computed  
 307 according to Brun et al. [1992] as follows:

$$\begin{aligned}
 \alpha_{sn}(1) &= \max \left[ 0.6, \min(0.92, 0.96 - 1.58 \sqrt{d_{opt}(1)}) - \min \left( 1, \max \left( \frac{1}{2}, \frac{P_a}{P_{ref}} \right) \right) \times 0.2 \frac{A_{sn}(1)}{A_{ref}} \right] \\
 \beta_{sn}(1, i) &= \max [40, 0.00192 \rho_s(i) / \sqrt{d_{opt}(i)}] \\
 \alpha_{sn}(2) &= \max [0.3, 0.9 - 15.4 \sqrt{d_{opt}(1)}] \\
 \beta_{sn}(2, i) &= \max [100, 0.01098 \rho_s(i) / \sqrt{d_{opt}(i)}] \\
 \alpha_{sn}(3) &= 0.88 + 346.2 d' - 32.31 \sqrt{d'} \quad \text{with} \quad d' = \min [0.0023, d_{opt}(i)] \\
 \beta_{sn}(3, i) &= +\infty
 \end{aligned} \tag{17}$$

309 where  $A_{sn}$  is the age of the first snow layer expressed in days,  $A_{ref}$  a reference age set to 60 days  
 310 that modulates the snow albedo decrease due to impurities,  $P_a$  (Pa) is the near surface atmospheric  
 311 pressure, and  $P_{ref}$  (Pa) a reference pressure equal to 870hPa. The optical diameter of snow is  
 312 simply given by Equation (10) but is now also dependent on snow age:

$$d_{opt}(i) = \min [d_{max}, g_1 + g_2 \times \rho_{sn}(i)^4 + g_3 \times \min(15, A_{sn}(i))] \tag{18}$$

314 where  $g_3$  is the rate of increase of the optical diameter of snow with snow age. It is set to  $0.5 \cdot 10^{-4}$   
 315 m.day<sup>-1</sup> through calibration. The motivation to add this snow age dependency on snow optical  
 316 diameter is discussed in section 6.

317 The snow age for each layer is the time, in days, since the snow has fallen. When a  
 318 snowfall event occurs, the fresh snow characteristics including its age (0 at time of snowfall) are  
 319 averaged out with the snow already present in the first layer according to their respective masses.  
 320 Finally, when the layer thicknesses of the entire snowpack are recalculated with Equation 11 and  
 321 12, the snow age is redistributed accordingly. For example, the age of snow in the first layers can  
 322 remain from 0 day to a week during winter but aging largely in spring, while the last layers age  
 323 continuously.

### 324 3.2. Effects of soil organic carbon on soil hydraulic and thermal properties

325 North-Eurasian soils are rich in organic carbon as shown in Figure 1. This figure represents  
 326 the soil organic carbon content of two soil horizons (0-30cm and 30-70cm) aggregated at a 0.5° by

0.5° horizontal resolution and estimated from the Harmonized World Soil Database (HWSD; <http://webarchive.iiasa.ac.at/Research/LUC/External-World-soil-database/HTML/>) at a 1 km resolution from the Food and Agricultural Organization [FAO 2012]. The parameterization of the impact of soil organic carbon on hydraulic and thermal properties in ISBA is based on pedotransfer functions of Boelter [1969], and on the work by Letts et al. [2000] and Lawrence and Slater [2008]. The pedotransfer functions of Boelter [1969] link the soil water retention at different pressure levels to the fiber content of a peat soil. Letts et al. [2000] describe the vertical profile of hydraulic properties such as soil matric potential and hydraulic conductivity at saturation for a typical organic soil. The hydraulic properties change sharply from the near surface where peat is weakly decomposed (fibric soil) to the sub-surface with moderately and well decomposed peat (hemic and sapric soils respectively). Lawrence and Slater [2008] proposed a linear combination of such soil organic properties with the standard mineral soil properties.

In ISBA, before averaging soil organic with mineral properties, a typical peat soil profile is computed for the model soil grid using a power function for each hydraulic property,  $\alpha_{peat}$ , found in Table 1. For each soil layer  $i$ , this function is described as:

$$\alpha_{peat}(i) = \alpha_{fibric} z(i)^\beta \quad \text{with} \quad \beta = \frac{\ln(\alpha_{sapric} / \alpha_{fibric})}{\ln(d_{sapric} / d_{fibric})} \quad (19)$$

where  $z$  (m) is the depth of the considered soil grid node,  $\alpha_{fibric}$  and  $\alpha_{sapric}$  the fibric and sapric parameter values (Table 1),  $d_{fibric}$  (m) the depth arbitrarily set to 0.01m where the profile starts to depart from fibric values, and  $d_{sapric}$  (m) the depth of 1m where the soil properties reach the sapric values according to Letts et al. [2000].

To determine the organic fraction of soil, the density profile of the soil carbon must be known for the entire soil grid. Using the HWSD database, the soil carbon densities in the first 0.3m,  $\rho_{top}$  (kg.m<sup>-3</sup>), and the remaining 0.7m below,  $\rho_{sub}$  (kg.m<sup>-3</sup>), are known:

$$\rho_{top} = \frac{S_{top}}{\Delta d_{top}} \quad \text{and} \quad \rho_{sub} = \frac{S_{sub}}{\Delta d_{sub}} \quad (20)$$

where  $S_{top}$  and  $S_{sub}$  ( $\text{kg.m}^{-2}$ ) are the topsoil and subsoil organic carbon contents respectively,  $\Delta d_{top}$  and  $\Delta d_{sub}$  (m) the thicknesses of each observed soil horizon (0.3 and 0.7m respectively). We extrapolate the density present below 1m from this observed near-surface profile (Equation 20). The extrapolation assumes that the carbon profile decreases sharply with soil depth according to a power function. The shape of this function is given by the observed profile if the topsoil organic carbon density is superior to the subsoil density. Otherwise, the density of soil carbon below a 1m depth,  $\rho_{deep}$  ( $\text{kg.m}^{-3}$ ), is taken equal to the subsoil density:

$$\rho_{deep} = (1 - \delta)\rho_{sub} + \delta \frac{S_{top} + S_{sub}}{\Delta d_{deep} - \Delta d_{top} - \Delta d_{sub}} \left[ \left( \frac{\Delta d_{deep}}{\Delta d_{top} + \Delta d_{sub}} \right)^\beta - 1 \right]$$

$$\delta = \begin{cases} 0 & \forall \rho_{top} \leq \rho_{sub} \\ 1 & \forall \rho_{top} > \rho_{sub} \end{cases} \quad \text{and} \quad \beta = \frac{\ln[S_{top}/(S_{top} + S_{sub})]}{\ln[\Delta d_{top}/(\Delta d_{top} + \Delta d_{sub})]}$$
(21)

where  $\Delta d_{deep}$  (m) is an infinite soil thickness taken arbitrarily equal to 1000m.

Finally, the soil carbon density profile,  $\rho_{soc}$  ( $\text{kg.m}^{-3}$ ), over the entire soil grid is computed using these three soil horizons and a simple linear interpolation at each grid node that conserves the total soil carbon mass (Figure 2). The fraction of the soil that is organic,  $f_{soc}$ , in each layer is determined assuming this simple relationship:

$$f_{soc}(i) = \frac{\rho_{soc}(i)}{(1 - w_{sat,peat}(i))\rho_{om}}$$
(22)

where  $\rho_{om}$  ( $\text{kg.m}^{-3}$ ) is the pure organic matter density equal to  $1300 \text{ kg.m}^{-3}$  [Farouki 1986] and  $w_{sat,peat}$  the porosity of the peat soil profile computed using Equation 19 and Table 1. As in Lawrence and Slater [2008], this fraction is used to combine the standard mineral soil properties with soil organic properties using weighted arithmetic or geometric averages, depending on the parameter (Table 1). An example of this method is shown in Figure 2 for soil porosity, soil saturated hydraulic conductivity and soil heat capacity.

## 4. Local scale evaluation of snow processes at the Col de Porte site (France)

### 4.1. Experimental data set

The Col de Porte field site (45°17'N, 05°45'E) is located at an elevation of 1325m in the French Alps near Grenoble [Morin et al. 2012]. It consists in a 50m by 50m square covered by grass, mowed approximately once a month in summer depending on its growth rate. Soil textures (30% clay, 60% sand) are characteristic of a sandy-clay-loam soil that is very poor in organic carbon. For this reason, this site is only used to evaluate the effect of changes in snow parameterizations while changes in soil physics can be not tested. The atmospheric forcing variables (air temperature, rain and snow rates, air humidity, atmospheric pressure, wind speed, long-wave and short-wave incident radiation) are available at a one hour time step from August 1<sup>st</sup>, 1993 to July 31, 2011. It consists of a combination of in-situ measurements, roughly from September to June each year, and the regional reanalysis SAFRAN from June to September each year (see Morin et al. [2012] for details).

The Col de Porte dataset includes many observations at a daily time step for evaluating land surface models. In this study, the observed snow depth, surface albedo and soil temperature at 10 cm are used to evaluate model simulations over the entire period. The snow water equivalent (SWE) is also used for this model evaluation but daily values are only available from 2001 to 2011. Snow depth is measured using ultra-sound depth gauges with an accuracy of 1cm. Surface albedo is computed as the total daily reflected solar flux divided by the total daily incoming solar flux. We estimate the uncertainty in surface albedo to be about 10% based on the 10% uncertainty in observed radiative fluxes reported by Morin et al [2012]. Soil temperature is measured using automatic probes with an accuracy of 0.1K. SWE is measured using a cosmic ray sensor placed on the ground and exhibits an uncertainty of 10%. Three skill scores are used to compare model results to the observations. The mean annual bias measures the capability of the model to represents the observed mean. To evaluate the model ability to represent the observed day to day variability, two statistical quantities are used; the square correlation ( $r^2$ ), and the centered root mean square error (c-rmse). It is computed by subtracting the simulated and observed annual means from their respective time series before computing a standard root mean square error.

## 4.2. Model configuration

Four simulations were done to evaluate the effect of the different changes in the snow parameterization detailed in section 3:

- *CTL* uses Boone and Etchevers [2001] formulation for snow layering (3 layers), snow compaction, and snow albedo as described in section 2.2
- *SNL* is similar to *CTL* in terms of snow compaction and albedo but uses the new snow layering with 12 snow layers described in section 3.1.1.
- *CPT* uses 12 snow layers as in *SNL* but the compaction and the [wind-induced densification of near surface snow layers](#) ~~snow densification of surface layers during snowdrift events~~ are computed using formulations of Brun et al [1989 and 1997], both described in section 3.1.2.
- *NEW* uses all the package of snow equations described in section 3.1: 12 snow layers, the new snow compaction/densification, but also the spectral representation of the snow albedo (section 3.1.3).

For all of the simulations, the snow is assumed to cover the entire grid-cell (the snow fraction set to 1) as long as the snow remains present. The effective roughness length of snow is set to its usual value of 0.001m. The grid-cell is assumed to be entirely covered by grass with a root depth of 1m, the leaf area index varies from 0.1 in winter to 1 in summer, and the snow-free surface albedo is prescribed as 0.2. The model calculates soil temperature, moisture and ice content in each of the 14 soil layers corresponding to a soil depth of 12m. The model was run with a 15-minute time step from August 1<sup>st</sup>, 1993 to July 31, 2011. The model was spun-up by performing fifty iterations of the first two years (August, 1993 to July, 1995). This spin-up represents a total of one hundred years, and this was determined to guarantee that the water and heat profiles were equilibrated over the 12m soil depth of ISBA. Results are then evaluated over the entire period.

## 4.3. Results

Figure 3 and 4 show an overview of the four simulations performed at the Col de Porte in terms of snow depth, SWE, surface albedo and soil temperature at 10cm. A quick look at the time series indicates that all of the model versions match the observations relatively well. However, annual statistics show a clear hierarchy between the four experiments. The snow depth statistics shows that the new snow compaction/densification algorithm has a positive impact on the snowpack simulation. Indeed, both the *CPT* and *NEW* experiments exhibit the lowest bias and c-rmse for twelve of the eighteen years. However, the comparison to SWE data does not allow a discrimination between the four simulations, even if the c-rmse of the *NEW* experiment is the best for seven of the ten years. The surface albedo from the *NEW* simulation is clearly better than the albedo from the other experiments: bias and c-rmse are the best for all years (Figure 4). The soil temperature bias and c-rmse are also reduced by the *NEW* experiment (for ten of seventeen years) compared to the other simulations. Thus, accounting for different spectral bands within the snow albedo calculation has a significant positive impact on the energy balance of the snow-soil system.

The average seasonal cycle of snow depth, SWE, surface albedo and soil temperature at 10cm represented in Figure 5 highlights the qualities and weaknesses of the different parameterizations by focusing on the snow season (October to May). The corresponding statistics for the winter (DJF), spring (MAM) and the entire period are given in Table 2. The comparison of *SNL* to *CTL* indicates that the increase in number of snow layers from 3 to 12 improves the snow depth, SWE and winter soil temperature simulation. Change in snow compaction (from *SNL* to *CPT*) improves the seasonal cycle of snow depth and SWE and especially the maximum value. The seasonal and [globaltotal](#) biases in Table 2 verify this result and show the same behavior for winter soil temperature, although it is difficult to see visually from Figure 5. For these three variables, the simulated time variability is also improved from *CTL* to *SNL* to *CPT* as shown by the other seasonal and [globaltotal](#) scores (c-rmse and  $r^2$ ) in Table 2. Finally, the new spectral albedo scheme (from *CPT* to *NEW*) has a drastic impact on the snowpack simulation in spring. As shown by Figure 5 and Table 2, the new spectral albedos clearly improve the simulation of other

variables during this period. They induce a sharp springtime snowmelt with a strong decrease in snow depth and SWE. The snow insulation during spring is thus less important and allows the soil surface to warm up faster. As a result, the model is capable of reproducing the strong soil warming observed in April (Figure 5). Not surprisingly, the soil temperature skill scores for spring and the whole period are drastically improved although there is a slight degradation in winter.

Figure 6 shows daily mean time series of the snow density and temperature profiles averaged over the snow season for each experiment. With only 3 snow layers (*CTL*), the density distribution is more uniform than using the new snow layering scheme with 12 layers (*SNL*). The significant densification of the bottom layers in *SNL* is the main process responsible for the snow depth and SWE improvements observed in Figure 5 and Table 2. In addition, the better representation of the vertical profile of density, that induces less dense and thus more insulating surface snow layers from November to February, permits also to better insulate the bottom snow layer from the atmosphere and results in higher bottom snow and top soil temperature. ~~In terms of snow temperature, *SNL* induces warmer bottom snow layers in winter due to the densification of the lowest snow model layers and the insulation from other overlying layers.~~ This explains the skill scores improvement found in winter soil temperature in Table 2. The new snow compaction scheme (*CPT*) tends to increase the density contrast between the top and the bottom snow layers. The snowpack is also denser than with *SNL* leading to the strong decrease in snow depth observed in Figure 5 and to the better skill scores in snow depth ~~and SWE~~ over each period (Table 2).

*CPT* also results in a small warming at the bottom of the snowpack which slightly heats the soil temperature compared to *SNL*. Finally, the spectral albedo scheme (*NEW*) has a limited effect on the snow density profile but results in a slightly colder snowpack than in *CPT* and even *SNL* (not shown) due to the large daily winter albedos seen in Figure 5. This is the main reason for the lower winter soil temperatures with *NEW* than *CPT* and *SNL* (Table 2).

## 5. Simulations over North-Eurasia

### 5.1. Numerical experiment design and observational dataset

476 The experimental design used here is close to that proposed by Brun et al. [2013]. The  
477 region considered (35°N to 85°N, 25°E to 180°E) covers Eastern-Europe, Russia and Siberia  
478 (Figure 7). The ISBA land surface model is run at a 0.5° by 0.5° spatial resolution using the  
479 Interim Re-Analysis (ERA-I; <http://www.ecmwf.int/en/research/climate-reanalysis/era-interim>)  
480 [Dee et al. 2011]. ERA-I meteorological variables are extracted with a 3-hourly frequency in order  
481 to represent the diurnal cycle. This reanalysis covers the time period from 1979 to the present.  
482 Many details about ERA-I can be found in Dee et al [2011] and an evaluation of its performance is  
483 provided in Berrisford et al. [2011]. For precipitation, the monthly ERA-I precipitation are  
484 rescaled to match the observed Global Precipitation Climatology Center (GPCC) Full Data  
485 Product V5 (<http://gpcc.dwd.de>) as proposed by Decharme and Douville [2006a]. This method  
486 conserves the 3-hourly chronology of the ERA-I precipitation but ensures a reasonable monthly  
487 amount [Szczypta et al. 2012]. Brun et al. [2013] pointed out the significantly better performance  
488 of this ERA-I scaled GPCC forcing product in simulating North-Eurasian snowpack variables  
489 compared to the ERA-I precipitation or other “state of the art” global scale atmospheric forcings.

490 To evaluate snow and soil temperature simulations, several in-situ dataset are used. As in  
491 Brun et al. [2013], the Historical Soviet Daily Snow Depth (HSDSD; [http://nsidc.org/data/docs/noaa/g01092\\_hsdsd/index.html](http://nsidc.org/data/docs/noaa/g01092_hsdsd/index.html)) compiled by Amstrong [2001] was used  
492 in the current study. It consists in daily snow depth measurements taken at synoptic stations  
493 following the World Meteorological Organization (WMO) standards. WMO requires the  
494 measurements to be taken in bare ground open areas or clearings with regular grass cutting. These  
495 snow depth data are therefore representative of open areas of bare ground or those covered with  
496 very short grass. This dataset starts in 1881 with a few stations and ends in 1995. Considering that  
497 ERA-I starts in 1979, the model simulations are done from 1979 ~~to 1993~~ according to Brun et al.  
498 [2013]. 263 HSDSD stations are available over this period with approximately half of them  
499 without any missing data. We chose to use only the stations where the difference between the local  
500 and the ERA-I elevation is less than 100m to avoid temperature biases for instance that would be  
501

directly due to the low resolution of ERA-I. We also only kept the stations where the number of days with a non zero snow depth measurement over the entire period is superior to 100 days, and that have at least 8 days with snow measurement per year. With this filter, the number of available stations decreases to 158, which remains acceptable. Most stations are located in Russia and Western-Siberia with only a few in Eastern-Siberia (Figure 7).

The second source of observations is the Russian Historical Soil Temperature (RHST) dataset compiled by Zhang et al. [2001] over Siberia (<http://data.eol.ucar.edu/codiac/dss/id=106.ARCSS078>). Data coverage extends from the 1800s through 1990, but is not continuous. We compared our model results over the 1979-1990 period. ~~As for~~Similar to snow depth, soil temperature stations are subject to WMO standards and are located in open area sites. We used the same criteria as for snow depth. Only stations with local elevations close to the ERA-I altitude (less than 100m difference) are used. In addition, only stations with at least 36 months of observations (at least 3 years out of 12) are kept. Most soil temperature sites are collocated with snow depth sites (Figure 7). Measurements were taken at 20cm, 80cm, 160cm and 320cm depth. For each depth, 95, 48, 48, and 82 stations, respectively, were available for model evaluation. The spatial distribution of these stations is shown in Figure 7 for 20cm and 160cm depths.

To quantify the capability of the model to simulate the permafrost characteristics, ~~threetwo~~ datasets are used. The first dataset is the Circum-Artic Map of Permafrost and Ground Ice Conditions (<http://nsidc.org/data/ggd318>) edited by Brown et al. [2002]. This dataset is available at a 0.5° by 0.5° resolution and shows the continuous, discontinuous, isolated and sporadic permafrost boundaries. The second dataset gives access to in-situ observations on active layer thickness collected by the Circumpolar Active Layer Monitoring (CALM; <http://www.gwu.edu/~calm/>) since the 1990s to 2015 [Brown et al. 2000]. Over the studied domain, 233 monitoring sites are available. To compare with simulations performed at a 0.5° by 0.5° resolution, 89 virtual stations have been computed from the 233 original sites by averaging all

[stations in each 0.5° by 0.5° grid-cells.](#) The ~~second-last~~ dataset is an estimate of the active layer thickness over North-West-Siberia [before the 1990s](#). This data set is based on the map of landscapes and permafrost conditions in Yakutia (<http://doi.pangaea.de/10.1594/PANGAEA.808240>). It gives access to the mean and standard deviation of the most probable active layer thickness in each grid box at 0.5° by 0.5° resolution. All details can be found [in](#) Beer et al. [2013].

## 5.2. Model configuration

Three experiments using the ISBA land surface model forced by the ERA-I scaled GPCC atmospheric dataset are performed using the same configuration. In addition to the *CTL* (old snow scheme) and *NEW* (new snow scheme) experiments already described in section 4, we performed one simulation using the parameterization of the impact of the soil organic carbon on the hydrologic and thermal soil properties. This last experiment, called *NEW-SOC*, uses the new snow and soil-property schemes described in section 3.1 and 3.2, respectively. As previously, the model determines the temperature, liquid water and ice content evolution in each of the 14 soil layers corresponding to a total soil depth of 12m. The model is run with a 15-minute time step from January 1<sup>st</sup>, 1979 to Decembre 31, ~~1993~~[2013](#). The model's spin-up uses twenty iterations of the first five years (1979 to 1983) of the atmospheric forcing, representing a total of one hundred years.

In ISBA, we use a series of twelve sub-grid independent patches per grid cell in order to account for land cover heterogeneity. Land cover parameters such as Leaf Area Index (LAI), vegetation height, vegetation/soil albedos, and rooting depth are prescribed for each sub-grid patch. The dominant patches present in the model over the Northern-Eurasian region are bare soil, grassland/tundra, deciduous forest, coniferous boreal forest, and C3 crops in the South. The fraction of each surface type within each grid box is used to compute the grid box average of the water and energy budgets. Some other processes, such as surface runoff, dripping from the canopy

reservoir, and soil infiltration account for sub-grid parameterizations. More details can be found in Decharme and Douville [2006b] and Decharme et al. [2013].

For all of the simulations, the grid-cell fraction covered by snow evolves according to the simulated snow depth and is different for bare soil and vegetated areas (Appendix C) in each land cover patch. As was the case for the Col de Porte experiment, the effective roughness length of snow retains its usual value of 0.001m. The land surface parameters used by ISBA are specified according to the 1-km resolution ECOCLIMAP-II database [Faroux et al., 2013]. LAI, vegetation height, and vegetation/soil albedos are prescribed for the twelve vegetation sub-grid patches based on a mean annual cycle at a 10-day time step. The rooting depth is specified for each vegetation type according to Canadell et al. [1996]. It ranges from 0.5m to 1.5m for tundra and temperate grassland, and from 2m to 3m for forest. The soil textural properties are given by the ~~HSWD~~ [HWSD](#) database at 1 km resolution ~~while. The~~ topographic information is specified according to the 30-arcsecond resolution GTOPO30 data set, ~~and the topographic indexes used in the TOPMODEL runoff parameterization are given by the 1 km resolution HYDRO1K product~~ ([http://eros.usgs.gov/#/Find\\_Data/Products\\_and\\_Data\\_Available/gtopo30/hydro](http://eros.usgs.gov/#/Find_Data/Products_and_Data_Available/gtopo30/hydro)).

### 5.3. Results

Figure 7 presents a ~~first~~ quantitative comparison between the observed and simulated snow depth and soil temperature over Northern-Eurasia. Because in-situ observations were collected in bare ground open areas and/or clearings with regular grass cutting following the WMO standards as mentioned previously, they are compared to snow depths and soil temperature profiles simulated by the ISBA bare soil sub-grid patch alone. This patch exhibits conditions which are closest to those at the corresponding field sites, as is generally the case for ISBA in this kind of comparison [Decharme et al. 2013]. The simulation represented here is the *NEW-SOC* experiment that seems to capture well the snow depth and soil temperature spatial distributions. For snow depth, the latitudinal gradient is well respected. The lower soil temperature along a southwest-northeast transect is also well simulated.

579           The seasonal cycles of daily snow depths and monthly soil temperatures (Figure 8) clearly  
580 show the biases of the *CTL* simulation and the improvements due to the new snow and soil  
581 representations. The seasonal cycles and the [globaltotal](#) skill scores are computed using the  
582 measurements and simulations for all stations over the entire observed periods. ISBA globally  
583 underestimates the snow depth from December through February with no clear difference between  
584 *CTL* and *NEW* (or *NEW-SOC*). However, the springtime snow [ablationmelting](#) is drastically  
585 improved by the new snow scheme inducing a better simulated seasonality. This fact is confirmed  
586 by some other quantitative comparisons. The average number of days per year with observed snow  
587 on the ground for all in-situ stations is 150.7 days. *CTL* simulates 158.7 days against 151.5 days  
588 for *NEW*. On average, the last day of the snow season is day number 281.6 when starting on July  
589 first. *CTL* goes beyond this date by more than 9 days while for *NEW* it is only 2 days (day number  
590 283). These results are consistent with the model evaluation at the Col de Porte field site (section  
591 4). As could be expected also, the new physical soil properties (*NEW-SOC*) play a minimal role in  
592 the snow depth simulation. The seasonal cycle of the soil temperature profile confirms that the  
593 new snow scheme induces a warmer soil in winter compared to *CTL*, and it strongly reduces the  
594 cold bias of *CTL*. The effect of soil organic carbon is especially observable during spring and  
595 summer. *NEW* exhibits a warm bias for each soil horizon while *NEW-SOC*, with more insulating  
596 soils, reduces this weakness.

597           These improvement in snow depth and soil temperature are confirmed by the spatial  
598 distributions of their seasonal skill scores (bias and c-rmse). Figure 9 shows the spatial  
599 distributions of snow depth seasonal skill scores (bias and c-rmse) during winter and spring. No  
600 clear [discrepancies differences](#) among these simulations appear in winter while the bias and c-rmse  
601 of many stations are improved in spring by the new snow scheme. The springtime snow depth is  
602 simulated in an acceptable manner by *NEW*, while *CTL* exhibits a significant overestimation. This  
603 fact is confirmed by [globaltotal](#) scores given in each of the panels. In winter, regardless of the

experiments, ISBA underestimates snow depth measurements at many stations, especially in the Northern and Western parts of the domains (Figure 9).

The spatial distribution of soil temperature seasonal skill scores simulated at 20 cm and 160 cm depth during winter is given in Figure 10. Regardless of the region, the generalized cold bias found over all stations with *CTL* is drastically reduced with the new snow scheme and the interannual variability (c-rmse) is largely improved. In summer (Figure 11), as was already shown in Figure 8, *NEW-SOC* is in better agreement with observations compared to *NEW* regardless of the soil horizon (lower c-rmse) even if a slight cold bias appears at the subsurface. ~~(as shown by the negative total bias found Figure 11 at 320cm depth).~~ The *NEW* experiment overestimates the temperature profile measurements at many stations near the surface, but less-so at a 320 cm depth. So, it seems that the subsurface cooling in the *NEW-SOC* experiment is too intensive. But in fact at 320 cm depth, the simulated soil temperature in the western part of the domain remains quasi unchanged between *NEW-SOC* and *NEW*. The best global total scores ~~(or seasonal cycles in Figure 9)~~ found on Figures 8 and 11 without soil organic carbon by the *NEW* experiment are in fact due to error compensation between the cold and warm biases simulated in the western and eastern part of the domain, respectively.

The effect of soil organic carbon content on soil temperature profile is also especially observable in terms of the simulated permafrost characteristics. The observed and simulated locations of permafrost boundaries are compared in Figure 12. Regardless of the experiment, ISBA generally simulates acceptable boundaries even if the permafrost limit extends slightly too far south in the western part of the domain. This figure also shows the spatial distribution of active layer thicknesses simulated by the *NEW* and the *NEW-SOC* experiments. The active layer thickness in the model is computed as the maximum depth reached each year by the 0°C isotherm in the soil approximated via a linear interpolation between the last positive temperature node going down from the surface and the first negative temperature node ~~extrapolation between the last node from the surface where the soil temperature is positive and the first where it is negative.~~ As

expected from the lower summer soil temperatures with *NEW-SOC* (Figure 9 and 11), the active layer is shallower. However, this comparison with the limits of different permafrost types does not allow ~~the determination of~~ to determine which simulation leads to the most accurate active layer thicknesses. ~~\_, unless it is considered that the continuous and discontinuous permafrost exhibit generally active layers shallower than 1.5m or 2m. With this very subjective criterion~~ The comparison with the CALM data given in Figure 12 seems to show that *NEW-SOC* ~~seems to~~ simulates a more accurate spatial distribution of the active layer thickness. ~~To partially verify this hypothesis,~~ This result is confirmed by Figure 13 that shows the estimated and simulated active layer thicknesses over the Yakutia region. Estimations from Beer et al. [2013] present a strong latitudinal gradient with an increase in active layer thickness from the north to the south. Both experiments exhibit such profiles. However, the active layer thickness simulated by *NEW-SOC* is in better agreement with these estimations than those by *NEW*. The latitudinal zonal average confirms this result.

## 6. Discussion and Conclusion

In this study, the impact of improved representation of snowpack and soil properties in the ISBA LSM to simulate snow characteristics and soil temperature profiles over cold regions was analysed. ISBA's representations of snow layering, albedo, and compaction were updated by incorporating some parameterizations of the detailed Crocus snowpack model. In addition, a simple parameterization of the soil organic carbon effect on hydraulic and thermal soil properties was introduced based on previous work [Boelter 1969; Letts et al. 2000; Lawrence and Slater 2008]. The model is evaluated first over the Col de Porte field site in the French Alps [Morin et al. 2012] in order to isolate the changes in the snowpack parameterization, and second over the North-Eurasian region to analyze the model's ability to simulate snow depth, soil temperature profile and permafrost characteristics.

Changes in the snowpack parameterizations induce noticeable improvements in the simulated snow depth, SWE, surface albedo and soil temperature at the Col de Porte (field) site.

The new snow layering algorithm with 12 layers permits a refinement of the vertical distribution of density and temperature in the snowpack leading to slight improvements in simulated snow depth, SWE, and soil temperature during winter. The densification of the snowpack with the new compaction scheme, which increases the density contrast between the top and the bottom snow layers, has a significant positive impact on snow depth and winter soil temperature. Finally, the new spectral albedo scheme clearly improves the simulation of the springtime surface albedo that allows a better simulation of the snowpack characteristics and soil temperature during [ablationmelting](#) at the end of the snow season.

It must be noted that the large improvement in snow albedo in spring is mainly due to the use of snow age in the diagnostics of the optical diameter of snow (Equation 186). Without this parameterization, the surface albedo is strongly overestimated in winter and, to a lesser extent in spring at the Col de Porte field site, with a larger bias and c-rmse for all variables compared to the new version of ISBA (not shown). The optical diameter of snow strongly controls the near-infrared albedo, while impurities mostly affect the albedo in the visible spectrum [Wiscombe and Warren 1981]. This increase of snow optical diameter with time is necessary to represent well the decrease in spectrally integrated albedo with age. However, the increase of snow optical diameter is not only a function of snow density as parameterized by Anderson [1976] in Equation (810), but it is also due to snow metamorphism, which is macroscopically driven by snow temperature and snow thermal gradients. Several complex parameterizations exist to explicitly represent the evolution of snow optical diameter according to these processes [e.g. Carmagnola et al. 2014]. Nevertheless, for the sake of simplicity, we just use a snow age dependency in the diagnostic of snow optical diameter with a limitation at fifteen days (Equation 186). This simple diagnostic allows the model to reasonably match the explicit computation of the optical diameter of snow simulated in the Crocus model (not shown). The good results of the ISBA model at the Col de Porte field site reinforce this choice.

The positive impacts of the new ISBA snow scheme are confirmed when tested over the North-Eurasian region with an important number of open field in-situ snow depth and soil temperature stations. Winter snow depths are slightly better simulated with the new version and the winter soil temperature cold bias obtained with the old version of ISBA is clearly reduced. This fact confirms that the physics used in snow schemes is of primary importance for adequately simulating the snow insulating effect that prevents soil from getting too cold in winter [Slater et al. 2001; Luo et al. 2003; Gouttevin et al. 2012; Paquin and Sushama 2015]. Another important impact of changes in the ISBA snow scheme over the North-Eurasian region is seen in spring when the snowmelt is well reproduced. As shown over the Col de Porte (field) site, this is mainly due to the new parameterization of spectral snow albedo.

Nevertheless, regardless of the model version used, simulated winter snow depths are generally underestimated compared to in situ observations. The cause of this underestimation is not trivial. The first source of uncertainty can be attributable to the GPCC precipitation measurements that do not account for wind undercatch leading to a possible underestimation of solid precipitation during winter [Adam and Lettenmaier 2003, Brun et al., 2013]. Besides uncertainties related to the atmospheric forcing, the snow depth underestimation can be due to the non-explicit representation of snow metamorphism. Indeed, in similar experimental conditions over the Northern Eurasian region, the winter snow depth simulated by the detailed Crocus snowpack model did not exhibit the same problem [Brun et al. 2013] and the main remaining difference between Crocus and ISBA is now restricted almost entirely to the explicit simulation of snow metamorphism. In Crocus, the viscosity of layers composed of faceted crystals and depth hoar snow types is increased [Vionnet et al., 2012], which leads to reducing the overall compaction rate of snowpack undergoing temperature conditions conducive to such snow types, and this is consistent with the situation described above.

Taking into account soil organic carbon in soil physical properties logically plays a minimal role in the simulated snowpack behaviour. However, this process has drastic impacts on

the summer soil temperature profile because it allows the soil to remain cool during spring and summer as shown in previous studies [Bonan and Shugart 1989; Lawrence and Slater 2008; Dankers et al. 2011]. Consequently, the spatial distribution of the permafrost active layer thickness simulated by the new version of ISBA is in better agreement with estimations from Beer et al. [2013] over the Yakutia region. This result is in agreement with Paquin and Sushama [2015] who showed that the hydraulic and thermal properties of soil organic carbon partly control the thickness of the active layer during summer. However, spatial observations of permafrost characteristics on the global scale are still very scarce, and if available, they are static and don't allow the study of long term trends and inter-annual variability.

This model validation should ideally be extended over all cold regions (e.g. North America, Greenland, etc...) but considering that North-Eurasia is representative of such regions, some important conclusions are confirmed by this study:

- An adequate simulation of snow layering and snow compaction/densification is important in order to represent well winter snowpack characteristics and the soil temperature profile.
- Snow albedo strongly controls the simulation of the springtime snow characteristics and the ~~ablation~~melting timing.
- To account for soil organic carbon in terms of the soil physical properties drastically impacts the simulation of summer soil temperature profile and hence the permafrost active layer thickness and its spatial distribution.

Finally, these conclusions underscore the fact that the representation of snowpack characteristics and soil thermal processes are of primary importance for studying permafrost vulnerability under climate change conditions, especially if the continental carbon cycle is considered due to the strong interaction between soil thermal processes and soil organic carbon degradation decomposition with release of greenhouse gases. ~~and soil thermal processes.~~

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## APPENDIX A

### *Snow thermal conductivity*

The snow thermal conductivity is computed as a function of snow density following Yen [1981]. It also accounts for vapor transfer in the snow using a simple parameterization from Sun et al. [1999]. This process is especially important at low snow densities and at high altitude. So the snow thermal conductivity,  $\lambda_{sn}$  (W.m<sup>-1</sup>.K<sup>-1</sup>), in each layer is given by:

$$\lambda_{sn}(i) = \lambda_{ice} \left( \frac{\rho_{sn}(i)}{\rho_w} \right)^{1.88} + \frac{P_0}{P_a} \times \max \left( 0, k_1 - \frac{k_2}{T_{sn}(i) - k_3} \right) \quad (A1)$$

where  $\lambda_{ice}$  (W.m<sup>-1</sup>.K<sup>-1</sup>) is the thermal conductivity of ice equal to 2.2 W.m<sup>-1</sup>.K<sup>-1</sup>,  $\rho_w$  (kg.m<sup>-3</sup>) the water density,  $P_a$  (Pa) the air pressure,  $P_0$  (Pa) a reference pressure equal to 1000hPa, and the coefficients  $k_1 = -0.06023$  W.m<sup>-1</sup>.K<sup>-1</sup>,  $k_2 = 2.5425$  W.m<sup>-1</sup> and  $k_3 = 289.99$  K.

## APPENDIX B

### Wind-induced densification of near surface snow layers~~Compaction rate during snowdrift events~~

Following Brun et al. [1997], the compaction rate,  $\tau_{drift} \Gamma_w$ , of wind-induced densification of near surface snow layers~~the snow during snowdrift events~~ is computed using several steps. First, a mobility index,  $\Gamma_{mob}$ , that describes the potential for snow erosion for each snow layer is computed as a function of snow density:

$$\Gamma_{mob}(i) = a_{mob} \left[ 1.0 - \max \left( 0, \frac{\rho_s(i) - \rho_{snmin}}{\rho_{mob}} \right) \right] \quad (B1)$$

where  $\rho_{snmin} = 50$ kg.m<sup>-3</sup> is the minimum density of snow,  $\rho_{mob}$  a reference density of 295kg.m<sup>-3</sup>, and the dimensionless constant  $a_{mob} = 1.25$ . Secondly, a wind-driven compaction~~driftability~~ index,  $F_{drift} \Gamma_w$ , combining the mobility index and the near surface atmospheric wind speed:

$$\Gamma_w(i) = 1 - a_\Gamma \exp(-b_\Gamma \kappa_v V_a) + \Gamma_{mob}(i) \quad (B2)$$

where  $\kappa_v = 1.25$  is a dimensionless coefficient for gust diagnosis from average wind speed, and the constants  $a_\Gamma = 2.868$  and  $b_\Gamma = 0.085$  s.m<sup>-1</sup>. A positive value of  $F_{drift} \Gamma_w$  indicates that wind-driven

763 ~~compactionsnowdrifting~~ can occur. Compaction rate from the surface is then propagated to the  
 764 layers beneath, following an exponential decrease, until it meets a snow layer having a negative  
 765 ~~wind-driven compactiondriftability~~ index. For each layer, this compaction rate is computed as  
 766 follows:

$$767 \quad \tau_w(i) = \frac{2\kappa_v \pi_\tau}{f_\tau(i)} \quad \text{with} \quad f_\tau(i) = \max(0, \Gamma_w(i)) \times \exp\left(-a_\tau \sum_{j=1}^i (\Delta z(j)(b_\tau - \Gamma_w(j)))\right) \quad (\text{B3})$$

768 where  $\pi_\tau$  (s) is a time constant of one day, and the constants  $a_\tau = 10$  and  $b_\tau = 3.25$ .

## 769 APPENDIX C

### 770 Grid-cell snow fraction

771 At regional and/or global scale the snow fraction,  $p_{sn}$ , for each patch of the ISBA land  
 772 surface model is computed as the sum between the bare ground snow covered fraction,  $p_{sng}$ , and  
 773 the fraction of vegetation covered by snow,  $p_{snv}$ , weighted by the vegetation fraction of the patches  
 774 covered by vegetation,  $f_{veg}$ . The snow fraction is thus computed as follows:

$$775 \quad p_{sn} = (1 - f_{veg}) p_{sng} + f_{veg} p_{snv} \quad \text{with} \quad \begin{cases} p_{sng} = \min(1, h_{sn}/h_{sng}) \\ p_{snv} = h_{sn}/(h_{sn} + w_{snv} z_{0veg}) \end{cases} \quad (\text{C1})$$

776 where  $h_{sn}$  (m) is the total snow depth,  $h_{sng}$  (m) a ground snow depth threshold sets to 0.01m,  $z_{0veg}$   
 777 (m) the vegetation roughness length, and  $w_{snv}$  a coefficient set to 2.  $f_{veg}$  is specified for each  
 778 vegetation patch. It is equal to 0.0 for bare soil, 0.95 for grassland/tundra as well as for temperate  
 779 and boreal forest, and varies exponentially according to the leaf area index (LAI) for crop types.  
 780  $z_{0veg}$  varies for each vegetation type and is computed from typical vegetation height,  $h_{veg}$ , as  
 781 follows:

$$782 \quad z_{0veg} = \max(0.001, 0.13 \times h_{veg}) \quad (\text{C2})$$

783 For woody vegetation,  $h_{veg}$  is assumed constant over time. It ranges from 30m for tropical forests  
 784 and 20m for coniferous boreal forests to 15m, 10m or 5m for temperate forests and 2m for bushes.  
 785 For herbaceous plants,  $h_{veg} = LAI / 6$ , with LAI the leaf area index given by the ECOCLIMAP

786 database. It ranges approximately from 0.01m to 0.8m for grassland/tundra. Finally, the height of  
787 crop types is related to an exponential function of LAI and has a height of 1m before maturity  
788 defined as a LAI of  $3.5 \text{ m}^2.\text{m}^{-2}$ . More details on these physiographic parameters can be found in  
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## TABLE CAPTIONS

**Table 1** – The peat soil hydraulic and thermal parameter values used in ISBA for fibric and sapric soil.  $w_{sat}$  ( $\text{m}^3 \cdot \text{m}^{-3}$ ) is the porosity,  $w_{fc}$  ( $\text{m}^3 \cdot \text{m}^{-3}$ ) the water content at field capacity specified as matric potential at -0.1 bar for peat soil,  $w_{wilt}$  ( $\text{m}^3 \cdot \text{m}^{-3}$ ) the water content at wilting point (matric potential of -15 bar),  $b$  the dimensionless shape parameter of the soil-water retention curve,  $\psi_{sat}$  (m) the soil matric potential,  $k_{sat}$  ( $\text{m} \cdot \text{s}^{-1}$ ) the soil hydraulic conductivity at saturation,  $c$  ( $\text{J} \cdot \text{m}^{-3} \cdot \text{K}^{-1}$ ) the soil heat capacity of organic matter,  $\lambda_s$  ( $\text{W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$ ) the thermal conductivity of soil matrix, and  $\lambda_{dry}$  ( $\text{W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$ ) the dry soil thermal conductivity. For pedotransfer functions of Boelter [1969], the fiber content in fibric soil is assumed to be equal to 76.8 % against 21.8 % in sapric soil in order to reach soil porosity values close to Letts et al. [2000]. The method for averaging mineral soil properties with peat soil values using the fraction of soil that is organic is also given for each parameter.

$\alpha_{peat}$	<i>Fibric soil</i>	<i>Sapric soil</i>	<i>Sources</i>	<i>Mineral/Peat average</i>
$w_{sat}$	0.930	0.845	Letts et al. [2000] and Boelter [1969]	Arithmetic
$w_{fc}$	0.369	0.719	PTF from Boelter [1969]	Arithmetic
$w_{wilt}$	0.073	0.222	PTF from Boelter [1969]	Arithmetic
$b$	2.7	12	Letts et al. [2000]	Arithmetic
$\psi_{sat}$	-0.0103	-0.0101	Letts et al. [2000]	Arithmetic
$k_{sat}$	$2.8 \cdot 10^{-4}$	$1.0 \cdot 10^{-7}$	Letts et al. [2000]	Geometric
$c$	$2.5 \cdot 10^{-6}$	$2.5 \cdot 10^{-6}$	Farouki [1986]	Arithmetic
$\lambda_s$	0.25	0.25	Farouki [1986]	Geometric
$\lambda_{dry}$	0.05	0.05	Farouki [1986]	Geometric

1043 **Table 2** – Daily skill scores simulated by each experiment at Col de Porte for snow depth, SWE,  
1044 albedo and soil temperature at 10cm over the number of point measurement,  $n$ . The bias, centred  
1045 root mean square errors (c-rmse) and square correlation ( $r^2$ ) described in section 4.1 are shown.  
1046 The best scores are given in bold.

	<i>Period</i>	<i>Criterion</i>	<i>Experiments</i>			
			<i>CTL</i>	<i>SNL</i>	<i>CPT</i>	<i>NEW</i>
Snow depth (m)	<i>DJF</i> ( $n=1624$ )	<i>bias</i>	0.126	0.108	<b>0.074</b>	0.089
		<i>c-rmse</i>	0.159	0.157	<b>0.126</b>	0.130
		$r^2$	0.863	0.870	<b>0.907</b>	0.900
	<i>MAM</i> ( $n=1656$ )	<i>bias</i>	0.165	0.127	0.077	<b>0.027</b>
		<i>c-rmse</i>	0.223	0.192	0.169	<b>0.155</b>
		$r^2$	0.845	0.878	0.884	<b>0.900</b>
	<i>All</i> ( $n=4737$ )	<i>bias</i>	0.102	0.082	0.053	<b>0.041</b>
		<i>c-rmse</i>	0.176	0.157	0.130	<b>0.126</b>
		$r^2$	0.889	0.908	0.923	<b>0.927</b>
SWE (kg.m <sup>-2</sup> )	<i>DJF</i> ( $n=835$ )	<i>bias</i>	12.329	6.196	<b>4.934</b>	8.887
		<i>c-rmse</i>	38.331	35.004	<b>34.476</b>	36.079
		$r^2$	0.901	0.913	<b>0.915</b>	0.911
	<i>MAM</i> ( $n=887$ )	<i>bias</i>	25.022	19.064	16.352	<b>0.334</b>
		<i>c-rmse</i>	61.138	57.204	55.699	<b>49.583</b>
		$r^2$	0.861	0.872	0.876	<b>0.900</b>
	<i>All</i> ( $n=2310$ )	<i>bias</i>	13.851	9.169	7.648	<b>2.981</b>
		<i>c-rmse</i>	45.641	42.267	41.134	<b>38.100</b>
		$r^2$	0.902	0.910	0.913	<b>0.924</b>
Albedo (-)	<i>DJF</i> ( $n=1456$ )	<i>bias</i>	0.047	0.047	0.047	<b>0.045</b>
		<i>c-rmse</i>	0.076	0.076	0.076	<b>0.074</b>
		$r^2$	0.528	<b>0.535</b>	0.533	0.506
	<i>MAM</i> ( $n=1516$ )	<i>bias</i>	0.077	0.077	0.076	<b>0.023</b>
		<i>c-rmse</i>	0.119	0.117	0.115	<b>0.080</b>
		$r^2$	0.768	0.785	0.792	<b>0.889</b>
	<i>All</i> ( $n=4101$ )	<i>bias</i>	0.048	0.046	0.045	<b>0.026</b>
		<i>c-rmse</i>	0.101	0.098	0.098	<b>0.082</b>
		$r^2$	0.858	0.869	0.871	<b>0.905</b>
Soil temperature 10cm (K)	<i>DJF</i> ( $n=1323$ )	<i>bias</i>	-1.082	-1.009	<b>-0.962</b>	-1.032
		<i>c-rmse</i>	0.892	0.837	<b>0.797</b>	0.811
		$r^2$	0.234	0.234	0.272	<b>0.279</b>
	<i>MAM</i> ( $n=838$ )	<i>bias</i>	-0.646	-0.624	-0.606	<b>-0.199</b>
		<i>c-rmse</i>	2.109	1.995	1.967	<b>1.701</b>
		$r^2$	0.827	0.848	0.852	<b>0.896</b>
	<i>All</i> ( $n=2237$ )	<i>bias</i>	-1.121	-1.079	-1.049	<b>-0.936</b>
		<i>c-rmse</i>	1.650	1.591	1.569	<b>1.519</b>
		$r^2$	0.871	0.880	0.883	<b>0.894</b>

1047

## FIGURE CAPTIONS

**Figure 1** – Spatial distribution of the observed soil organic carbon content over two soil horizon (0-30cm and 30-70cm) at  $0.5^\circ$  by  $0.5^\circ$  resolution. Observations come from the Harmonized World Soil Database at 1 km resolution of the Food and Agricultural Organization.

**Figure 2** – Parameterization of the effect of soil organic carbon (SOC) on soil hydraulic and thermal properties. The soil organic carbon density profile,  $\rho_{soc}$ , is given by Equation 21 using a top soil organic carbon content of  $10 \text{ kg.m}^{-2}$ , a sub soil content of  $15 \text{ kg.m}^{-2}$ , and via a simple linear interpolation at each soil grid nodes that conserves the total soil carbon mass. The fraction of the soil that is organic,  $f_{soc}$ , in each layer is determined assuming a simple relationship between this last soil organic carbon density profile and an idealized peat soil density profile (Equation 22). Examples for the soil porosity,  $w_{sat}$ , the soil saturated hydraulic conductivity,  $k_{sat}$ , and the soil heat capacity,  $c$ , are given. Dotted lines represent vertical homogeneous mineral soil properties, dashed lines the idealized peat soil properties, and plain lines the resulting combined soil properties using averaging method sums-up in Table 1.

**Figure 3** – Overview of the four experiments performed at the Col de Porte field site. Daily simulated and observed data for snow depth (top) and SWE (bottom) are provided for 18 and 10 years respectively. In-situ observations are in black, the *CTL* simulation in blue, *SNL* in green, *CPT* in orange, and *NEW* in red. The corresponding statistics are given in terms of annual bias and c-rmse for each year by measurements periods.

**Figure 4** – As Figure 3 but for surface albedo (top) and soil temperature at 10 cm depth (bottom).

**Figure 5** – Daily mean annual cycles of snow depth, SWE, surface albedo, and soil temperature at 10 cm depth simulated (colours) and observed (black) at the Col de Porte field site. The corresponding skill scores are given in Table 2. Over all panels, the grey shadow corresponds to the uncertainty in in-situ measurements as discussed in section 4.1. The observed snow depth exhibits an accuracy of  $\pm 1\text{cm}$ , the soil temperature is measured with a precision of  $\pm 1\text{K}$ , while uncertainties in SWE and surface albedo is near  $\pm 10\%$ .

1073 **Figure 6** – Daily mean annual cycles of snow density ( $\text{kg.m}^{-3}$ ) and snowpack internal temperature  
1074 ( $^{\circ}\text{C}$ ) simulated by the four experiments over 18 years at the Col de Porte field site.

1075 **Figure 7** – Quantitative comparison between observed (plain circles) and simulated (plain fields)  
1076 daily snow depth and monthly soil temperature at 20cm and 160cm depths over the Northern-  
1077 Eurasia. Results from the bare soil sub-grid patch alone of the *NEW-SOC* simulation are presented  
1078 because in-situ measurements have been collected in open areas following the WMO standards as  
1079 mentioned in section 5.1.

1080 **Figure 8** – Mean annual cycles of observed and simulated daily snow depth and monthly soil  
1081 temperature profiles. The mean cycles are computed by averaging all simulated or observed mean  
1082 annual cycles at each station. However, [globaltotal](#) skill scores (bias and c-rmse) found in each  
1083 panel are computed merging together all simulated or observed time series of all stations over the  
1084 entire observed periods.

1085 **Figure 9** – Daily snow depth skill scores (bias and c-rmse) simulated by the *CTL* and the *NEW*  
1086 experiments during winter (DJF) and spring (MAM) over the Northern-Eurasia and expressed in  
1087 meters. [Global-Total](#) scores given between parentheses are computed by merging together all  
1088 simulated or observed daily time series of all stations for each season.

1089 **Figure 10** – Monthly soil temperature skill scores at 20cm and 160cm depths simulated by the  
1090 *CTL* and the *NEW* experiments during winter and expressed in degrees Celsius. [Global-Total](#)  
1091 scores (bias and c-rmse) are given for each panel.

1092 **Figure 11** – Monthly soil temperature profile bias simulated by the *NEW* (left) and the *NEW-SOC*  
1093 (right) experiments during summer and expressed in degrees Celsius. [Global-Total](#) skill scores  
1094 (bias; c-rmse) are given in the top-panel for each soil horizon.

1095 **Figure 12** – Distribution of permafrost characteristics. The NSIDC estimated limits of continuous,  
1096 discontinuous, sporadic and isolated permafrost regions are shown in the top panel. In each panel  
1097 the red lines correspond to the observed boundary of the entire permafrost region. [In the middle](#)  
1098 [and the bottom panels, the mean active layer thicknesses simulated over the 1990-2013 period by](#)

1099 the *NEW* and the *NEW-SOC* experiments are shown and compared to observations from the  
1100 CALM network (circles). Total skill scores are given for each experiment.~~The all-year mean~~  
1101 ~~maximum active layer thicknesses simulated by the *NEW* and the *NEW-SOC* experiments are~~  
1102 ~~shown in the middle and the bottom panels respectively.~~

1103 **Figure 13** – Estimated and simulated active layer thicknesses over the Yakutia region. Estimations  
1104 before the 1990s are given by Beer et al. [2013] ~~and while~~ the *NEW* and the *NEW-SOC*  
1105 experiments are ~~represented~~averaged over the 1979-1990 period. The estimated and simulated  
1106 latitudinal zonal averages are shown over the last panel where Beer et al. [2013] estimations are in  
1107 black, *NEW* in blue and *NEW-SOC* in red. Dashed lines correspond to uncertainties in active layer  
1108 thicknesses estimations computed using standard deviations provided with the dataset.