1 2 3 4		Mapping Snow Depth from Manned Aircraft on Landscape-scales at Centimeter-Resolution using Structure-from-Motion Photogrammetry
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12 Abstract

13 Airborne photogrammetry is undergoing a renaissance: lower-cost equipment, more powerful 14 software, and simplified methods have significantly lowered the barriers-to-entry and now allow 15 repeat-mapping of cryospheric dynamics at spatial resolutions and temporal frequencies that 16 were previously too expensive to consider. Here we apply these advancements to the 17 measurement of snow depth from manned aircraft. Our main airborne hardware consists of a 18 consumer-grade digital camera directly-coupled to a dual-frequency GPS -- no Intertial Motion 19 Unit (IMU) or on-board computer is required, such that system hardware and software costs less 20 than \$30,000, exclusive of aircraft,. The photogrammetric processing is done using a commercially-available implementation of the Structure from Motion (SfM) algorithm. The 21 22 system is simple enough that it can be operated by the pilot without additional assistance and the 23 technique creates directly-georeferenced maps without ground control, further reducing overall 24 costs. To map snow depth, we made digital elevation models (DEMs) during snow-free and 25 snow-covered conditions, then subtracted these to create difference DEMs (dDEMs). We 26 assessed the accuracy (real-world geolocation) and precision (repeatability) of our DEMs 27 through comparisons to ground control points and to time-series of our own DEMs. We validated 28 these assessments through comparisons to DEMs made by airborne lidar and by a similar 29 photogrammetric system. We empirically determined that our DEMs have a geolocation 30 accuracy of \pm 30 cm and a repeatability of \pm 8 cm (both 95% confidence). We then validated our dDEMs against more than 6000 hand-probed snow depth measurements at 3 separate test areas 31 32 in Alaska covering a wide-variety of terrain and snow types. These areas ranged from 5 to 40 33 km^2 and had ground sample distances of 6 to 20 cm. We found that depths produced from the 34 dDEMs matched probe depths with a 10 cm standard deviation, and were statistically identical at 35 95% confidence. Due to the precision of this technique, other real changes on the ground such as 36 frost heave, vegetative compaction by snow, and even footprints become sources of error in the 37 measurement of thin snow packs (<20 cm). The ability to directly measure such small changes 38 over entire landscapes eliminates the need to extrapolate limited field measurements. The fact 39 that this mapping can be done at substantially lower costs than current methods may transform 40 the way we approach studying change in the cryosphere. 41

43 **1. Introduction**

44

45 There are many reasons why being able to map snow depth over a landscape is desirable. In the

Northern Hemisphere alone over 40 million km², almost half the land surface, becomes covered 46

47 by snow each winter, making seasonal snow the largest annual topographic change on the planet

48 (Déry and Brown, 2007; Lemke et al., 2007; Robinson et al., 1993). Billions of people rely on 49 snow in some capacity, whether for drinking water, crop irrigation, or electricity (Barnett et al.,

50

2005). Snow can also be a hazard, producing avalanches or floods (Castebrunet et al., 2014; 51 Jamieson and Stethem, 2002). Snow plays a key role in the surface energy balance of the planet,

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thermally insulating the soil while efficiently reflecting sunlight because of its high albedo (Goodrich, 1982; Warren, 1982). The depth of the snow affects how much work grazing animals 53

54 such as caribou will need to do in order to feed and it controls the quality of the habitat for sub-

- 55 nivean animals like voles and weasels (Pauli et al., 2013; Pruitt, 1959; Russell et al., 1993).
- 56

57 Despite its importance, our current abilities to measure snow depth are limited. The simplest and 58 oldest technique is to probe or core the snow by hand, but this technique has severe limitations 59 with respect to areal coverage, and can be risky in avalanche country (Conway and Abrahamson, 60 1984; McKay, 1968; Sturm, 2009; Sturm and Benson, 2004). Automated point measurements 61 such as snow pillows and sonic rangers have also been employed successfully for many years, but like hand probe measurements, require modeling to move from discrete point data to the 62 63 landscape-scale (Liston et al., 2007; Liston and Sturm, 2002; Serreze et al., 1999; Slater and 64 Clark, 2006). Remote sensing of snow coverage using optical sensors is fairly routine, but remote sensing of snow depth or snow water equivalent based on the microwave emissivity or 65 66 radar scattering properties of the snow requires complex and problematic inversions in order to 67 infer the depth and has kilometer-scale resolution (Clifford, 2010; Rittger et al., 2013; Rott et al., 68 2008). Similarly, it is possible to measure the SWE using an airborne gamma detector, but again 69 the accuracy and spatial resolution of the method is low (Offenbacher and Colbeck, 1991). A 70 technique that has received considerable attention in recent years is to measure the elevation of 71 the snow surface by airborne or ground-based lidar and subtract from this the snow-free surface 72 elevation, with the difference interpreted as snow depth (Deems et al., 2013; Fassnacht and 73 Deems, 2006; Hopkinson et al., 2004; Prokop, 2008). Operating on the similar principles of 74 repeat or overlapping coverage, but pre-dating lidar studies by 30 years, photogrammetry has 75 also been used to produce snow depth maps (Cline, 1994; König and Sturm, 1998; Lee et al., 76 2008; McKay, 1968; Najibi and Arabsheibani, 2013; Otake, 1980; Rawls et al., 1980; Yan and 77 Cheng, 2008), including using stereo-imagery from opto-electronic linescanners incorporating 78 near-IR wavelengths in addition to RGB (Bühler et al., 2014; Buhler et al., 2015). 79

80 Airborne and terrestrial photogrammetry for determining snow depth were seriously investigated 81 starting in the 1960s, though little published information is available (McKay, 1968). At that 82 time, lacking any other method of mapping snow depth at the landscape scale, it was an obvious 83 technique to consider as it was already being used for the study of glaciers (Brandenberger, 84 1959; Hamilton, 1965; Hitchcock and Miller, 1960; Post, 1995, 1969). However several issues 85 hampered applying classical photogrammetry to snow cover. The low dynamic range of film 86 combined with the difficulties of changing exposures mid-flight often produced over-exposed images of the snowfields, making it impossible for the photogrammetrist to determine elevation. 87 88 Even when the snow images had suitable contrast, it took an extraordinary amount of time and

89 skill to produce a map of sufficient vertical accuracy to measure snow depth (McCurdy et al.,

90 1944), as the errors incurred produced uncertainty beyond the thickness of typical snowpacks.

- 91 These maps required identifying control points on the ground and establishing their elevation and
- 92 position, and the process of subtracting one elevation field from another using paper or mylar
- maps was challenging. The overall complication and expense of this method in the pre-digital
- 94 era was enough to cause the technique to largely be abandoned in the study of seasonal snow,
- 95 though it has continued to be used for glacier volume change detection and for other large-scale
- 96 deformation processes such as landslides (Bauder et al., 2007; Bitelli et al., 2004; Cox and
- 97 March, 2003; Krimmel, 1989; Miller et al., 2009).
- 98

As we report here, recent advances in digital photogrammetric technology have now made it

- 100 possible to not only produce accurate snow depth maps through airborne photogrammetry, but to
- 101 do so at larger spatial-scales, at lower cost, and without loss of accuracy compared to most other 102 techniques. These advances include improvements in consumer camera sensors, GPS processing
- 103 techniques, desktop computational power, and especially, photogrammetric software. This
- 104 software largely eliminates the need for purpose-built photogrammetric cameras and inertial
- 105 motion units (IMUs), saving hundreds of thousands of dollars. These techniques are gaining
- 106 popularity across all of earth sciences, being primarily deployed on low-cost unmanned aerial
- 107 vehicles (UAVs). These systems are being used to map glaciers, river beds, coastlines,
- 108 archeological sites, forest canopies, urban development, and more (d'Oleire-Oltmanns et al.,
- 109 2012; Eisenbeiß, 2009; Fonstad et al., 2013; Gauthier et al., 2014; Hugenholtz et al., 2013;
- 110 Irschara et al., 2010; Lucieer et al., 2013; Nex and Remondino, 2014; Rinaudo et al., 2012; Ryan
- et al., 2014; Vanderjagt et al., 2013; Westoby et al., 2012; Whitehead et al., 2013; Woodget et al.,
- 2014). Our techniques were designed for manned aircraft, which can measure larger spatial
 scales with better accuracy and without the regulatory restrictions currently imposed on UAVs.
- Using an airborne equipment package costing less than \$30,000 (excluding the aircraft), we
- demonstrate here that we can produce maps of snow depth accurate to ± 10 cm with ground
- sampling distances (GSD) as low as 6 cm. We present results from 3 field sites in Alaska to
- 117 show that the results produced using this technique (Figure 1) reveal details of snow depth
- 118 distribution heretofore rarely available for study. The technique takes advantage of many of the
- technological developments of the past ten years, but in principle builds on the pioneering efforts
- 120 of photogrammetrists and snow scientists beginning in the 1940s.
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123 **2. Recent Enhancements to Airborne Photogrammetric Methods**

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In this section we address the question "Why wasn't this method possible until now?" Our 125 126 approach relies on three components that have undergone much improvement in recent years. 127 These are the photogrammetric software used to create the maps, the digital cameras used to take 128 the aerial photographs, and the airborne GPS techniques that geolocate the maps within the real 129 world. We were not involved with these developments, our chief contribution here has been to 130 integrate these components into a simplified and low-cost system. Below we describe the improvements to these components, as well as our choices for specific hardware/software. 131 132 Evaluating whether our choices were optimal, and how other components might improve or 133 degrade the results is beyond the scope of this paper, but it is likely to be an active topic of future 134 research. 135

- 136
- 137 <u>2.1. Photogrammetric Software.</u> We used Agisoft's Photoscan software for processing, which
- 138 uses a Structure from Motion (SfM) algorithm at its core (Koenderink and Van Doorn, 1991;

139 Westoby et al., 2012); at least 7 other software packages are currently available utilizing this 140 algorithm. Both SfM and traditional photogrammetric-processing software triangulate the positions of points on the ground that have been imaged multiple times in overlapping 141 142 photographs to create a 'point cloud' – a collection of X,Y,Z values defining the measured 143 surface. This point cloud can then be gridded into a digital elevation model (DEM) or an 144 orthometrically-corrected image mosaic (Maune, 2001); here we use the term *map* 145 interchangeably with DEM. As part of this process, two types of unknowns must be determined before the maps can be made. Exterior orientations refer to the position and tilt of the photos and 146 147 include 6 unknowns: X, Y, Z, yaw, pitch, and roll (that is, position and tilt of the camera). 148 Interior orientations refer to the specifics of the camera and lens: focal length, sensor dimensions, 149 pixel pitch of the sensor, lens distortions, and principle point. These result in about 10 unknowns, 150 depending on the lens distortion model. Where the modern software has an advantage is that it requires no ground control points, no tilt information, and no a priori lens calibrations, as these 151 152 can be calculated if the remaining variables are provided with adequate accuracy. Because tilts 153 are not required as input, there is no need for an inertial measurement unit (IMU) on the aircraft. 154 Because the software performs a camera/lens calibration on the fly, the need for a purpose-built 155 aerial photography camera with strong camera-lens stability is also removed, allowing use of 156 consumer-grade cameras. To create the point cloud, the software is able to access the full 157 computational resources available, including the GPU of the graphics card.

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159 2.2. Camera and Image Processing. For this work we used a digital single lens reflex camera 160 (DSLR), the Nikon D800E, which was the highest ranking DSLR (www.dxomark.com) when it was released. It costs about \$3300 USD; in contrast, a modern, high-end photogrammetric-161 162 camera such as the Vexcel Ultracam might cost between \$300,000 and \$1,000,000. A primary 163 attribute of photogrammetric cameras is their stable lens mount, but as we show, the SfM 164 software adequately accounts for the less stable mounts on DSLRs. Photogrammetric cameras 165 also have a greater number of pixels in the cross-track direction in comparison with a DSLR. 166 For example, the D800E sensor has 7,360 x 4,912 pixels (36Mpix), compared to the Vexcel 167 Ultracam with 11,704 x 7,920 (92 Mpix), resulting in flight lines that need to be about 60% 168 closer for the same amount of overlap. In our applications the increased cost of extra flight time 169 due to using a DSLR is more than offset by the reduced purchase price, high image quality, and 170 ease of use of the consumer camera, all driven by relatively enormous consumer demand and 171 competition. Similar advantages exist in consumer lens selection. The wide dynamic range and 172 low noise of the D800E are largely responsible for our ability to capture texture in both bright snow and shadowed rock in the same image, problems that plagued film-based photogrammetry 173 174 of snow in the past. Similar improvements in image processing now allow us to easily maximize 175 local contrast (eg., sastrugi or suncups) while constraining global contrast to ensure the entire 176 dynamic range is persevered. We used Adobe Camera Raw for this, though there are literally dozens of software packages with similar features. While the specifics for each data set varied, 177 178 in general our approach consists of shooting in raw mode (with separate R, G, B channels), 179 pushing the exposure as far as possible to the bright side of the histogram during acquisition 180 where more bits are available for recording, then pulling the exposure down in post-processing (essentially turning the snow greyer) to enhance its visible contrast, while keeping the shadows 181 from clipping. Despite these improvements in hardware and software, the quality of the 182 183 photogrammetric results still depends on the skill of the photographer, especially in challenging 184 lighting conditions, thus there is no simple prescription for camera settings or post-processing 185 that can ensure success. However, as our results demonstrate it is possible to achieve accurate results, even in flat light. 186

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188 2.3. GPS. While the GPS techniques we used have been available for some time, advances in 189 processing software and hardware integration have streamlined the user-experience substantially. 190 When maps are directly georeferenced (that is, without using ground control), the accuracy of the 191 georeferencing is dependent on the accuracy of photo positions. To achieve our results, a 192 modern multi-frequency GPS system must be used that can track aircraft position to within 193 centimeters. We used a Trimble 5700 receiver, a discontinued model which measures only 12 194 GPS satellites at a time; modern receivers are capable of recording hundreds of channels from a 195 variety of international constellations, which would likely improve position accuracy. The three 196 dimensional offsets of the GPS antenna relative to the camera image plane, often referred to as 197 "lever arms", must also be determined for each aircraft installation. In processing the GPS data, 198 the lever arms are used in a coordinate transformation from the antenna position to the camera 199 position. Without an IMU, this transformation relies upon the assumption that the aircraft frame 200 of reference is aligned with the tangent of its trajectory. This assumption is often violated in the 201 presence of crosswinds, but such errors associated with aircraft yaw can be mitigated by placing 202 the GPS antenna directly above the camera. Finally, the exact time that the photo was taken must 203 be used to determine its position within the post-processed GPS record. An aircraft traveling at 204 50 m s⁻¹ (about 100 knots) will travel 5 cm in a millisecond. Thus to achieve a 5 cm accuracy in 205 camera position requires a timing connection between camera and GPS with signal latencies reduced to below the millisecond level. There are a variety of ways this can be done. Our 206 207 method converts the flash output from the camera into a TTL pulse for the event marker in the 208 GPS; the camera and GPS receiver are thus directly coupled through this device without use of a 209 computer.

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212 **3. Methods**

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214 3.1. Photo Acquisition and Processing. We pre-planned flight lines and shutter intervals to 215 provide 60% sidelap and 80% endlap, such that most of the ground coverage within the map was 216 imaged more than 9 times. Flight lines were uploaded into a Garmin aircraft-GPS for pilot 217 display and navigation. The survey-GPS was set to record at 5 Hz. The Nikon D800E with 218 Nikkor 24 mm lens was mounted vertically in the aircraft's camera port. The shooting interval 219 rate (typically 2 to 5 s) was controlled by an intervalometer (contact www.fairbanksfodar.com 220 for details), which also provided precise shutter-timing to the survey GPS as described in Section 2.3. Photos were acquired as raw NEF files, post-processed to maximize available contrast, and 221 222 saved as JPGs for photogrammetric processing. A Cessna 170 flown by the first author was used 223 to acquire the photos.

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225 3.2. Airborne GPS Processing. GPS data were processed with GrafNav GNSS Post-Processing 226 Software using their Differential GNSS method for projects near a CORS base station and using the PPP (Precise Point Positioning) method in remote areas (Gao and Shen, 2002; Snay and Soler, 227 228 2008). Positions were automatically interpolated within GrafNav from the 5 Hz GPS solution 229 using the event markers created by the camera flash port to TTL pulse converter. Each photo 230 position was exported and manually associated with image filenames to create an exterior 231 orientation file that was imported into Photoscan Pro along with the photos themselves. The true 232 accuracy of photo positions is difficult to assess, but most of the software's metrics (such as 233 comparison of a forward and reverse solution) indicate that 95% of the points are within ± 10 cm 234 on most projects.

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 236 <u>3.3. Photogrammetric Processing.</u> We used Photoscan running on a dual Xeon eight-core
 237 computer with 192 GB RAM and a high end GPU for map construction. To make individual
 238 maps, a batch file was typically initiated within Photoscan to align the photos, optimize the
- maps, a batch file was typically initiated within Photoscan to align the photos, optimize the bundle adjustment, construct the geometry, build a mesh, and export a DEM and orthophoto
- 240 product. Total processing times ranged from 2-24 hours, depending on size of the project and
- processing resolution. As described in Section 2.1, processing time is dependent strongly on
- 242 processing power, as well as having adequate RAM to prevent disk caching. Thus nearly any
- computer would work in this application, but processing times are dependent on computerresources.
- 244 245

246 3.4. DEM Differencing. To measure snow depth, we created a difference DEM (dDEM) by 247 subtracting a snow-free DEM from a snow-covered DEM to determine the vertical change 248 between them for each pixel (James et al., 2012; Maune, 2001; Nuth and Kääb, 2011; Wheaton 249 et al., 2010). To optimize the differencing, the two maps were first co-registered horizontally to 250 minimize errors in geolocation using simple 2D offsets determined with standard sub-pixel 251 image correlation techniques using Matlab. Vertical alignment was done at snow-free locations 252 in both maps (e.g., a wind-blown outcrop or a plowed runway). As described later, we found 253 that we did not need to employ sophisticated techniques to determine misfits or non-affine co-254 registrations (Nuth and Kääb, 2011).

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256 3.5. Snow probing. We tested the resulting snow depth maps by collecting about 6000 hand-257 probed depth measurements. We used several GPS-enabled depth probes to do this (Sturm and 258 Holmgren, 1999). In most cases these depth data were collected along traverse lines that cut 259 through obvious snow features (drifts, shallow areas, etc.), but in some cases we probed on a grid 260 or on a spiral in a way that would allow the production of a snow depth map. Probe spacing 261 varied depending on the length of the traverse line and the time available for the work, but was 262 typically about 1 m. The GPS used on the probes is not a differential GPS and has a nominal 263 accuracy of about 5 m. The probes have an inherent error due to penetration of the probe tip into 264 the snow substrate of about ± 2 cm. In our remote field areas the substrate of tussocks and ice 265 wedges usually had a surface roughness on a wavelength shorter than the probe spacing, which 266 can introduce spatial aliasing when compared to airborne maps that have 6-20 cm resolution. 267

268 3.6. Validation DEMs. On the same day we acquired a photogrammetric DEM at the Minto 269 Flats study area (3 April 14, described below), we also acquired a lidar DEM and a 270 photogrammetric DEM from a system of slightly different design to validate our accuracy and 271 precision assessments. This lidar and second photogrammetric system were operated 272 simultaneously, carried in a Cessna 180 flown by the second author. This lidar system is based upon a Riegl Q240i and is the principal system used for NASA's Operation IceBridge flights in 273 274 Alaska. The system has been in extensive use since 2009 and is particularly well characterized 275 with dozens of calibration flights and a careful program of boresight angle determination and 276 monitoring (Johnson et al., 2013). At 95% confidence it has an accuracy of ±30 cm and precision 277 of ± 16 cm. The photogrammetric system differs from the one described above in that it used a 28 278 mm lens and routed its photo event markers through the IMU associated with the lidar system. 279 With the GPS/IMU data, the software is able to directly calculate the full lever arm solution 280 between the GPS antenna and camera. Thus image positions from this aircraft were derived 281 from the fully coupled GPS/IMU processing, and there were other minor differences in

processing workflow as well. This photogrammetric DEM was processed to a 12 cm groundsample distance (GSD).

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3.7. Ground Control Points. We acquired ground control points for this project using the same
Trimble 5700 receiver and Grafnav software used in airborne processing. Here we placed the
antenna on a rod over photo-identifiable targets, as described later. We processed these
measurements using the same Differential GNSS methods, which indicated a resulting accuracy
of better than 3 cm in vertical and horizontal direction.

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4. Study Areas and Measurements

We collected data from three study areas in Alaska: the Fairbanks International Airport, Minto Flats, and the Hulahula River watershed (location map in Supplemental Materials). As this was a technique-development project, these sites were chosen opportunistically to minimize our development costs, as described below.

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299 The Fairbanks International Airport was selected due to its convenience and snow characteristics. 300 It is located only a few miles from the University of Alaska Fairbanks and the plane we used for this work is located there. During the winter of 2013-14, about 43 cm of snow fell and remained 301 302 undisturbed in the infields between runways. Near the runways and taxiways the snow gets 303 extensively reworked to accommodate aircraft operations. The runways are kept clear of snow, 304 which requires snow blowing, grading, and removal, all of which create berms adjacent to the 305 runways of different thickness, and which change shape and depth frequently. Due to security 306 and other issues, snow probing at the airport was limited to collection of a few hundred points 307 and we do not statistically analyze these data. We made six airborne acquisitions over the airport 308 (Table 1) mostly for assessments of accuracy and precision, using the snow-free runway as 309 control. The maps made were roughly 5 km x 1 km and processed to 6 or 12 cm GSD. We used 310 a GPS to measure 29 taxiway markings as photo-identifiable ground control points (GCPs); all 311 GCPs used in this paper have an accuracy of about ± 3 cm. The airborne imagery was acquired 312 in a variety of lighting conditions, including low-angle mid-winter sun and beneath a thick overcast.

313 c 314

315 The Minto Flats site was selected because of its undisturbed snow cover and heterogeneous 316 terrain. It is located about 50 km from Fairbanks and can be accessed using a ski-plane to land on 317 its many frozen lakes. The area is characterized by tundra, swamps, areas of shrubs, spruce and 318 birch forests, and taiga snow cover (Sturm et al., 1995). The airborne study area was about 2 km 319 x 5 km and encompasses the full range of these terrain elements. Our snow-probe measurements 320 were made at the edge of the largest lake in the area and cover about 9 hectares (about 1% of the 321 area mapped by air). Using three separate GPS-enabled probes, 2,432 snow depth measurements 322 were made on 2 April 2014, largely in a grid pattern with along-track separation of about 1 m 323 and cross-track separation of about 6 m. Measured snow depths largely ranged from 0.1 - 0.6 m. 324 We made six airborne maps of this area processed to about 15 cm GSD (Table 2); we also made two other maps on April 3^{rd} using lidar and a 2^{nd} photogrammetric system for validation, as 325 described above. We also measured 21 GCPs on April 2nd using spray paint to create markers; 326 these remained visible in the April 3rd orthoimagery as there was no intervening snow fall or melt. 327

329 The Hulahula River valley was selected for our snow research due to its history of hydrological 330 studies, its relationship to the nearby, long-term McCall Glacier research project, its relevance to 331 ecological research in the Arctic National Wildlife Refuge (Nolan et al., 2005; Nolan et al., 332 2011; Weller et al., 2007), and the availability of snow-probing conducted to support related 333 snow research there (Sturm et al., in prep; Sturm et al., 1995). Located 330 miles north-east of 334 Fairbanks, the valley extends from the continental divide of the Brooks Range to the Arctic Ocean, with a watershed of about 1800 km², about 6% of which is covered by glaciers (Nolan et 335 336 al., 2011). Unlike most watersheds in the Alaskan Arctic, the snowmelt pulse is not the major 337 hydrological event of the year due to the influence of glaciers and to a lesser extent aufeis. As 338 the climate warms, however, these ice reservoirs are likely to disappear and allow snowmelt to 339 dominate the run-off. A longer term project seeks to understand current rates and volumes of 340 snowmelt, glacier melt, and aufeis melt through the photogrammetric techniques we describe 341 here; these environmental questions will be addressed in subsequent papers. The probe data in 342 the Hulahula River valley were collected in three terrain types on 18 March 2014: 1) a flat river 343 terrace with a thin (15 - 20 cm), uniform snow cover, 2) a set of islands in the river with snow 344 depths varying from 0.2 - 0.6 m, and 3) a series of drifted-in gullies cutting into a 40 m bluff 345 with snow depth from 0 - 3 m. Airborne mapping was done on 20 April 14 (snow-covered) and 346 15 June 14 (mostly snow-free except in drifts). Though the snow-covered map was made 31 days 347 after the probing, our results indicate that little change had occurred in snow depths over this 348 period. The DEMs were processed to about 20 cm GSD and covered an area 14 km x 2.5 km. 349 No GCPs were acquired.

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352 5. Assessment and Validation of Map Accuracy and Precision

354 Our goal in this section is to answer two questions "How well do our airborne maps align with 355 the real-world without using ground control?" and "After correcting for geolocation errors, how 356 identical are our maps assuming no changes to the surface have occurred?" These questions 357 address map *accuracy* and *precision*, respectively. Because both the photogrammetric and GPS 358 software we used to make our maps is proprietary and essentially black-box, we could not 359 conduct a first-principle error analysis so we empirically assessed map errors, largely following Maune (2001). In all of our assessments we use the \pm range to indicate the level of accuracy or 360 precision at the 95% confidence interval for normal distributions (following Maune, 2001) and 361 362 we simply cite the values of points $\pm 47.5\%$ about the mean for non-normal distributions; with 5 363 or less data points, we use +/-50% of the full range.

364

365 We used two methods to assess accuracy. In the first, we assessed the difference between the maps and GCPs, calling the results geolocation offsets. The GCPs are accurate to about 3 cm, 366 367 but the most we have for any one site is 29 and they are not well-distributed throughout the study 368 area, making this a weak test spatially. In the second method, we applied these geolocation 369 offsets to one of our maps, which we defined as a *reference map*, and then compared this map to 370 the other maps (Maune, 2001); we term these map differences *co-registration offsets*. Using this 371 method, the millions of pixels of the entire reference map become pseudo-GCPs, with their 372 accuracy largely controlled by the precision of reference map itself (about ± 8 cm, as we described below) rather than the GPS-GCPs (± 3 cm). We determined horizontal co-registration 373 374 offsets using standard image correlation. We calculated vertical co-registration offsets at snow-

375 free areas. The plowed runway in the airport data was the only location where we could do this

statistically; at other sites we used the orthoimages to locate snow-free pixels for spotmeasurements only.

377 378

We report our precision as ±95% of the RMSE elevation difference between two DEMs after they have been optimally co-registered. Using this method, the magnitude of spatially correlated and uncorrelated errors are captured in the same precision metric. Given that our precision is on the centimeter-level and that we later show that this was sufficient to produce maps with

- excellent agreement to our snow probing data, we did not distinguish the amount of spatial-
- 384 correlation within this $\pm 95\%$ RMSE further. Technically this RMSE measures the precision of a dDEM, not an individual DEM, but when computed from two maps where no changes in the
- surface have occurred and no gridding artifacts are present (both described later), the metric
- defines how identical the maps are and therefore the level of change-detection possible in the dDEMs.
- 389

Our overall assessment is that our maps (at 6 to 15 cm GSD) have accuracy better than \pm 30 cm and precision better than \pm 8 cm, as described in sections 5.1-5.3. In this paper we do not address

whether accuracy or precision vary with larger GSDs, but note that this remains to be explored.

393 To validate these accuracy and precision assessments, in section 5.4 we compared one of our

reference DEMs to two DEMs made on the same day using different systems and found that they

- 395 confirmed our results.
- 396 397

398 <u>5.1 Accuracy based on geolocation offsets from GCPs</u>

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We measured 29 GCPs at the airport. These were made at taxiway markings, all located within 300 m of each other. We compared these to the October snow-free acquisition and found a mean horizontal geolocation offset of 30 cm and a vertical offset of 13 cm (Table 1). Applying the offsets in Table 1, we define this October map as the reference map to determine co-registration offsets of the other maps made at the airport.

405406 We measured 21 GCPs at th

We measured 21 GCPs at the Minto Flats site. These targets were circles on the snow surface
made with orange spray paint. They were too small for sub-pixel alignment within the
orthomosaic, but they were suitable for determining that the horizontal geolocation offset was
less than 15 cm (one pixel). The mean vertical offset was 23 cm (Table 2). This vertical offset
was applied to our April 3rd photogrammetric DEM to create the reference map; no horizontal
offset was applied given that a subpixel offset could not be reliably determined.

- 413 The results of these two GCP tests indicate a geolocation accuracy of ± 30 cm.
- 414 415

416 <u>5.2 Accuracy from co-registration offsets</u>

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418 We assessed the co-registration offsets of the other 5 maps from the airport time-series relative to

419 October reference map. We calculated the horizontal offsets through image correlation of the 420 snow-free runway markings, rounding to the nearest centimeter (Table 1, Columns 1-2). We

420 show-nee fullway markings, founding to the hearest centimeter (Table 1, Columns 1-2). We 421 calculated mean vertical offsets (Table 1, Column 3) using a block of pixels (roughly 20 m x

- 422 2000 m) surrounding the centerline of the runway, which was largely snow-free throughout the
- 423 winter (Figure 2). The range of offset (highest minus lowest, last row Table 1) about the mean

- 424 $(2^{nd} \text{ to last row, Table 1})$ is a better indicator of accuracy than the mean itself, as the mean could
- be due to a systematic issue with the reference DEM. As discussed in more depth in Section 5.3,
- 426 this "snow-free" area was not completely snow-free, so the range of vertical error has been
- 427 impacted by real changes to the surface. Nonetheless, both the mean and the range indicate ± 30 428 cm as a reasonable co-registration accuracy.
- 429
- 430 We repeated this same analysis for the Minto Flats time-series (Table 2). As shown in Table 2,
- 431 the full range of horizontal co-registration offset is about ± 0.05 m. Because there was no large
- 432 snow-free surface like the runway, we determined vertical offsets by making spot measurements
- 433 of the dDEMs in snow-free areas located using the orthoimage. These show a scatter of only
- ± 0.07 m, with 5 of the 7 maps clustered within half that.
- 435
- 436 Overall the Minto Flats data showed better co-registration accuracy than the airport data, about
- ± 15 cm compared to ± 30 cm. The difference may relate to differences in relief of the terrain –
- the airport is nearly flat and thus perhaps making the solution geometry weaker due to fewer
- 439 differences in scale. In any case, overall we conclude that our accuracy was ± 30 cm, noting that
- 440 is likely conservative. The underlying causes for why map geolocation accuracy is ± 30 cm when
- 441 photo position accuracy is ± 10 cm remains unclear.
- 442
- 443 <u>5.3 Precision</u>
- 444

The primary challenge in determining map precision is that many real changes occur on the
ground at the centimeter level that confound the precision assessment. For example, surface
change at this level or higher can be caused by frost heave and thaw consolidation of the ground,
or by compression of vegetation under the weight of snow (Esch, 1995; Ménard et al., 2014;

- 449 Sturm et al., 2005; Taber, 1929). Thus the designs of our tests are largely about controlling for
- 450 such confounding influences and we assessed the precision at the airport differently than we did
- 451 at Minto Flats. At the airport, we used the same time-series of the snow-free runway sections
- that we used for accuracy assessments. At Minto Flats, we compared the November 6^{th} and 8^{th} maps as intervening changes were negligible.
- 454
- 455 <u>5.3.1 Airport precision assessment</u>
- 456

We tried to assess vertical precision in several ways using the runway time-series. Real changes
in the surface elevation were present in these tests (but of unknown magnitude), yet the precision
was still excellent.

460

461 First, we examined the data graphically as is shown in Figure 2A-C. This demonstrated that in

- 462 the absence of confounding changes, our DEMs had a precision of about \pm 3 cm. Figure 2A
- shows an example of a difference DEM, with Figure 2B showing the corresponding snow-
- 464 covered scene for reference. Figure 2C shows transects from all 6 maps that extend across the 465 snow-free runway. Over the crest of the centerline where plowing is best, we found that the
- 465 snow-free runway. Over the crest of the centerline where plue deviations compared to within $\pm 3 \text{ cm}$ (95% confidence).
- 467

468 Next we examined the scatter about the mean co-registration offsets described in Section 5.2. We

- did this over a block of the runway that was kept largely snow-free through winter. Column 4 of
- Table 1 indicates that once co-registered using the offsets in Table 1 (Columns 1-3), 95% of the
- 471 vertical difference between the runway blocks were less than ± 10 cm (about twice the standard

12

- 472 deviation shown in Column 4). Visual inspection of the orthophotos (e.g., Figure 2B) shows that
- this block of pixels was not completely clear of snow and changed between maps. Further, our
- 474 inspection of the difference maps indicates that spatially-correlated variations of 5-10 cm in
- 475 elevation occur over segments separated by expansion joints across all of the tarmac, suggesting
 476 differential frost heave and settling. Despite these confounding influences (real changes in
- 477 surface elevation), we still found only a range of ± 10 cm, which is excellent.
- 478

479 Finally, we extracted elevation profiles down the centerline of that block where plowing is best 480 to further eliminate the influence of snow (green line in Figure 2A). Figure 2D shows that each 481 of these transects captured the same decimeter variations in runway topography, though each 482 differs slightly. We measured the scatter of these centerline transects as function of distance 483 along the runway. Here the maximum range between transect points was 21 cm, the mean range 484 was 9 cm, and over 95% of the transect length these differences had a range less than 12 cm (± 6 485 cm). Whether these differences are due to frost heave or spatially-coherent noise (perhaps 486 caused by photo misalignments) is not known, but the fact that 95% of the variation is within ± 6

- 487 cm is an outstanding result and, as we describe in Section 6, more than sufficient to measure
- 488 snow depth variations at centimeter resolution.
- 489

490 To assess the horizontal precision, we used custom feature tracking software (Mark Fahnestock,

- 491 pers. comm.., 2014) using a python version of the feature-tracking software Imcorr (Scambos et
 492 al., 1992). Such software is commonly used to measure velocity fields of glaciers from optical
- 493 and radar satellite imagery (Berthier et al., 2005; Huang and Li, 2011). In our case, because we
- know that the position of runway markings and many other surface features are not moving, any
- 495 relative motion between them detected by this software indicates a lack of horizontal precision
- 496 within the maps. Using the two snow-free orthoimages (6 Oct 13 and 30 Sept 13) and search
- 497 chips of 100 x 100 pixels (6 m x 6 m), we found that 95% of the RMSE pixel displacement about
- the mean was within ± 6 cm (all subpixel). The mean value of displacement was also within a
- 499 few centimeters of the co-registration offset we found through whole-image correlation (Table 1),500 as expected.
- 501

502 Thus our overall assessment of the airport time-series is that is that both vertical and horizontal 503 map precision is ± 6 cm or better when the confounding influence of real surface changes is 504 removed.

505

506 <u>5.3.2 Minto Flats precision assessment</u>

507

508 Here we compare two DEMs of the Minto Flats area made two days apart with no intervening snow fall or snow melt (November 6^{th} and 8^{th}). Once co-registered we created the dDEM of the 509 entire area at 15 cm GSD (\sim 15 km², n>6x10⁸) and found 95% of the vertical variation to be 510 511 within ± 44 cm. This distribution was non-gaussian, with tails extending to ± 15 m. We cropped the dDEM to include only a large lake $(n>10^6)$ and found the variation dropped to ± 8 cm. 512 These distributions are shown graphically in Figure 3A. The difference in scatter between the 513 514 lake and entire area is largely caused by spatial aliasing of trees. Minto Flat trees are skinny 515 black spruce and leaf-free birch, up to 20 m tall, typically separated from each other by a tree length or more like a forest of widely scattered flag poles. Even at 15 cm GSD, our DEMs are 516 517 not able to resolve these spike-shape targets adequately and thus most trees are represented by 518 several pixels that each average some fraction of tree height with surrounding ground height.

519 The result is that trees appear as cones in the DEM, with cone height dependent on how the

- 520 DEM mesh happened to lie over that tree. Because these cones are so narrow, slight errors in
- borizontal co-registration or origin coordinates can cause dDEM errors approaching the heights
- 522 of the trees; one of these maps was made when winds at ground level were over 15 m s-1, which
- 523 could also cause similar aliasing at this resolution. Visual inspection of the dDEM confirms that
- 524 within clearings between the trees that precision is the same as on the lakes. Thus any mapping
- 525 system creating a DEM at this GSD would have these same spatial aliasing issues, and our 526 precision is therefore represented better where gridding artifacts such as the spatial aliasing of
- 520 precision is therefore 527 trees are not present.
- 528

Based on our results at the airport and Minto Flats, we believe ± 8 cm is a reasonable value for the precision of our method. If any warps, tilts, or other spatially-correlated errors exist in our data, they are largely confined to within this level. Thus our DEMs should be repeatable to ± 8 cm, exclusive of any spatial aliasing or other gridding artifacts.

- 533
- 534 <u>5.4 Comparison to Validation DEMs</u>
- 535 Here we seek to validate our accuracy and precision numbers by answering the question "How
- well do our DEMs compare to those made by other systems?" We do this by comparing our
- 537 reference DEM for Minto Flats (April 3^{rd}) to DEMs on the same day using lidar and a 2^{rd}
- 538 photogrammetric system (Section 3.5).
- 539

540 We co-registered the validation photogrammetry with our reference DEM using the same 541 methods previously described and found a vertical co-registration offset of 21 cm, with variation 542 of ± 8 cm (95%) over the largest lake in the area. While we don't have any formal accuracy or

- 543 precision specifications for the validation system, given its similarity to the system that created
- 544 the reference DEM it seems reasonable that they should have similar specs.
- 545

546 Comparisons with the lidar DEM similarly validated our results. We created a 100 cm GSD DEM from the lidar point cloud, which had a point density of 2 points m⁻² and a footprint of 547 about 100 cm. We then resampled the reference DEM to this GSD. Because we have no 548 549 orthoimage for the lidar, we created shaded relief images of the DEMs and then used these for 550 sub-pixel image correlation to calculate horizontal offsets. Once co-registered, over the entire 551 domain the vertical offset from our reference DEM was only 2 cm. Visual inspection of the 552 dDEM showed no spatially-correlated errors, such as warps or tilts, greater than the lidar's 553 precision level of 16 cm. Nearly all differences observed above that precision level were due to 554 trees, likely caused by the different imaging physics between lidar and photogrammetry and by 555 aliasing artifacts caused by the 100 cm GSD, as described in Section 5.3.2. Over the entire 556 domain we found a variation of ± 51 cm (95%), but over just the largest lake in the area the 557 variation was only ± 10 cm, with the latter being a better test in terms of validation; these 558 distributions look nearly identical to those in Figure 3A. Statistically the lidar DEM is 559 essentially identical to our reference DEM. We performed a Kolmogorov-Smirnov test and determined that statistically the two samples are from the same continuous distribution at the 560 561 95% confidence level. That is, our photogrammetric maps are essentially identical to the 562 validation data. This is shown graphically in Figure 3B, which shows the similarity between the hypsometries of the lidar and the reference DEM. 563

- 564
- 565

566 6. Snow Depth Mapping Accuracy

568 Here we address the question "How well do our photogrammetric techniques measure snow 569 depths?" To do this we compared our maps to over 6000 snow probe measurements. The mean 570 of these differences is directly related to how well we can co-register the two DEMs used to 571 produce the dDEM. This co-registration error, in turn, is related to finding snow-free areas that 572 are not confounded by real changes to the surface such as vegetative compression, frost heave, 573 aufeis melt, or erosion. Without suitable snow-free ground control points, the accuracy of our 574 snow depth maps is limited to our geolocation accuracy, or about \pm 30 cm. But when suitable 575 ground control points can be found, this accuracy is effectively improved to the level of the 576 precision of our maps, or about ± 8 cm. Here we describe the accuracy our photogrammetric snow depth measurements by the standard deviation of the difference between probe and map 577 578 values, as the mean is a function of ground control and co-registration, which have accuracies 579 independent of system precision. As before, our assessment is confounded by real changes 580 occurring on the ground, as we describe below. We conducted this map-probe analysis at three 581 sites: the Fairbanks International Airport, Minto Flats near Fairbanks, and the Hulahula River 582 valley, as described in Section 4.

583

584 <u>6.1 Airport Snow Depth Analysis</u>

585 Due to security and other issues we were only able to collect a few spot measurements of snow 586 depth. We found the undisturbed snow depth to be about 43 cm, the packed and groomed ramp 587 area snow depth to be 10-15 cm, and the plowed drifts to be greater than 1 m. Comparison of 588 these values to Figure 2A shows close agreement, as described in the caption of Figure 2.

589

590 <u>6.2 Minto Flats Snow Depth Analysis</u>

591 Before statistically comparing our probe measurements to the dDEM (03 April 14 minus 28 Sept 592 13), we assessed whether the probe measurements were optimally co-registered to the maps 593 using our footprints in the snow. These were clearly resolved in the DEM and orthophoto (Figure 594 4A-B). We each wore different footwear (ski, snowshoe, or boots), and the resolution of the map 595 was such that we could differentiate these individual tracks based on their indentations (Figure 596 4C), which ranged from 6 cm to 10 cm deep and about 10 times as wide. The GPS units 597 embedded into the probes each have an independent nominal accuracy of about 5 meters, thus 598 the ground data has better vertical precision than the maps but a coarser horizontal precision. 599 Analysis of all of the probe measurements together suggested there was no single shift that 600 aligned them properly relative to the footprints, likely because each probe's GPS accuracy was 601 independently varying. Short of manually shifting each of the 2432 measurements independently 602 to the corresponding footprints, there was no simple spatial alignment possible. This meant that 603 footprints' disturbance to the snow depth was included in the aerial mapping of snow depth, but 604 not in the ground probe data. Nevertheless, even without exact co-registration the depth 605 comparisons were 10-26 cm (on the order of footprints) and thus our results conservative, as we 606 show next.

607

608 Figure 4D presents a comparison of about 500 probe measurements typical of the data set. The

standard deviation of offset for those measurements was 10 cm. For the full 2432 measurements,

610 including those made within the forests (with aliasing errors), the standard deviation was 26 cm,

but careful visual examination of imagery reveals that nearly all of the offsets greater than 15 cm

612 were located in areas where the vegetation was compressible, such as in the tall grasses near the

613 edge of the lake or shrubs at the edge of the forest. The mapped summer surface in these areas is

- 614 the top of the vegetative canopy. In winter, this canopy becomes compressed to the point where
- 615 it can even produce 'negative' snow depths in the difference maps. Here we found such snow-

- 616 vegetation dynamics were causing up to 30 cm of error. That is, the maps we produced here 617 were no less precise than described in Section 5 (\pm 8 cm), but the fundamental assumption that
- 618 the differences between maps were caused only by snow accumulation has been violated where
- 619 there is compressible vegetation.
- 620

621 <u>6.3 Hulahula River Snow Depths</u>

622

623 Similar to the other sites, we began this analysis by co-registering the DEMs. Using the same 624 image correlation technique we used in Minto Flats, we found no horizontal offset. Using several snow free areas identified using the orthoimages, we determined there was a vertical 625 626 offset of 55 cm. Subsequent analysis of the probe data indicated that 20 cm of that vertical offset 627 needed to be removed to reduce the map-probe mean offset to zero over the snow-covered points 628 that had the least likelihood of there being vegetation compression. Considering the surface 629 amplitude of the tussock tundra here is about 15 cm, these shifts are small and within the noise of 630 other confounding factors. Nevertheless, this process highlights that the primary errors in snow depth accuracy are co-registration in the absence of ground control points. Once the maps were 631 co-registered, we created a dDEM and compared it to the probe values in the gullies, on the 632

- 633 islands, and on a large river terrace (Figure 5).
- 634

635 Figure 6 highlights some results from the gullies. Here a series of ice wedges have thermally 636 eroded to form a connected drainage system. In winter, this drainage network is completely 637 drifted over by snow, as can be seen by comparison of Figures 6A-C with 6D, with snow depths 638 of 100 to 200 cm. To the right of the gully a polygonal network can be seen in both the summer 639 image and difference map with snow depths of only 10 to 20 cm. Figure 6D reveals a snow 640 depth of near zero to the right of the gully and about 20 cm to the left of it. These values can be qualitatively confirmed by the winter image in Figure 6B, where exposed tussocks can be seen to 641 642 the right but not to the left. Comparison of about 200 probe points in Figure 6E reveals that the 643 maps match the probe depths and the features delineated by probing, including those parts of the 644 gully that exceed the 120 cm range of the probes. The standard deviation of offset here was 20 645 cm, not including points where the probes did not reach the bottom. The bulk of this offset 646 beyond 10 cm is likely attributed to 1) uncorrected probe positions resulting in misalignment 647 between probes and maps, which matters more in steeper terrain where spatial depth 648 heterogeneity is larger, 2) a spatial sample bias caused by the tussock terrain's surface roughness 649 of 15 cm on spatial wavelengths below GSD and below probe spacing, and 3) real surface 650 changes such as vegetative compressibility or frost heave. Considering these potential sources of 651 error, the agreement makes clear that we are measuring snow depth at the centimeter to 652 decimeter level.

653

654 The island transects (Figure 7) revealed a similarly strong correspondence between map and 655 probe data as well as new sources of confounding error in interpreting the difference map as a change in snow depth. In winter, the river bed surrounding the island was completely snow 656 657 covered and the transects extended over the edge of the island's summer boundaries (Figure 7A). In most of these edge locations, the map indicates changes up to a meter larger than revealed by 658 659 the probe (Figure 7B). Interpretation of our difference maps in the active river bed is complicated by the fact that our photogrammetric technique does not work as accurately over 660 661 water, for a variety of reasons outside the scope of this paper. Further, our stream gaging 662 measurements (Nolan, unpub. data) show that the water height in spring can be over a meter higher than in fall here. Thus extra care in interpretation needs to be taken of differences over 663

664 liquid water bodies. Given our map precision, it is therefore likely that remaining edge-offsets 665 were caused by either the probe being stopped by river ice obscured by the snow or that the 666 edges of the island were eroded, or both. On the island itself, numerous shrubs also influenced 667 the correspondence, yet the agreement remains in the 10 - 20 cm range.

668

669 Map values along the terrace (orthogonal transects in Figure 5) showed even better

670 correspondence with probe values than they did at gully and island sites. Here, the offset of *all*

671 1111 sample points spanning a transect of 1.6 km had a standard deviation of only 10 cm. This

672 low variance could be explained by the relatively homogenous terrain of wide, shallow slopes

673 characterized by a low shrub cover where sprigs and branches poked through the consistently 18

674 cm deep snow. However, despite the better standard deviation, the mean offset was 10 cm, as 675 opposed to zero at the other sites. This mean offset could be eliminated using a different co-

registration offset for the terrace points than used at the islands or gullies, but compression of the

677 relatively uniform vegetative canopy, differential ablation or drifting of the prober's snow

678 machine track over the intervening month, or the imprecise geolocation of the snow probe data

679 could easily explain the offset as being real.

680

681 The offset between map and probe for all 3382 points measure at the Hulahula site had a 682 standard deviation of 16 cm, without filtering for any of the sources of error noted above. We briefly explored the influence of different GSDs on results by using a 40 cm GSD compared to a 683 684 20 cm GSD; this did not appreciably change the standard deviation of offset, but it did change 685 the individual pointwise comparisons. That is, comparing map data to map data (20 cm to 40 cm 686 GSD) at the probe locations led to a 7 cm standard deviation, which is on the order of the 687 precision we found in Section 4. Thus perhaps half of the 16 cm variation we found between 688 map and probe may be attributable to real change on the ground. The similarity between map and probe data sets is further confirmed by a Kolmogorov-Smirnov test, which gives a value of 689 690 0.06; this is well below the critical D-value of 0.35, indicating that the two sample distributions 691 are the same at the 95% confidence level. That is, to the best of our ability to determine, the 692 photogrammetric maps are just as accurate as the probe data for characterizing snow depth, 693 despite the many confounding influences besides depth that are incorporated into the maps.

694

695 **7. Discussion**

696

697 The photogrammetric method described here is sufficiently accurate to measure snow packs of 698 nearly any thickness, and future software and hardware improvements are likely. The primary 699 technological challenge for the future is improving geolocation accuracy, which relates to GPS 700 data and how it is used within the photogrammetric bundle adjustment. Given the wealth of 701 airborne-GPS research from lidar studies, it is likely that a map accuracy of 10 cm is currently a 702 hard limit and one that will be difficult to overcome in the future. However, as we have 703 demonstrated, geolocation (accuracy) is not as important as repeatability (precision). As long as 704 stable, snow-free points within the mapped domain can be found such that the map differences 705 there can be reduced to zero, a single affine translation appears to be enough to co-register an 706 entire map and create excellent difference maps. A key lesson learned here is that it is not 707 enough that these points are snow-free, but also that they be free of confounding real changes 708 such as frost heave (as at the airport) or vegetative compression (as at Minto Flats). Similarly, 709 the primary non-photogrammetric challenge for mapping of thin snow packs relates to the 710 interpretation that changes in the difference map are being caused by snow depth. Because our 711 technique can measure change at the centimeter to decimeter level, any real change at that level

- becomes noise when interpreting the results as purely changes in snow depth. These
- confounding changes in surface elevation are all site dependent and often a function of snow
- cover itself, such as the amount of vegetative compression or the rate of thermally-driven frost
- heave. However, given that our map-probe comparisons were still in the 10 20 cm range
- without accounting for these errors, it seems our technique is sufficient for many types of studies
- 717 without further modification.
- 718

719 The issues of contrast and lighting that plagued the early pioneers of film photogrammetry to 720 map snow depth can largely be overcome using modern technology applied with skill. With the 721 advent of digital cameras and in-flight exposure evaluation, flat lighting conditions are still 722 challenging but they do not prevent measurement. Such flat lighting conditions are typically 723 caused by a thick overcast over fresh snow. Two types of map errors are produced by lack of 724 contrast in deep shadows or flat lighting. In the worst of these cases, the spatial density of 725 contrast features are reduced, resulting in the point cloud density also being reduced. In this case, 726 either the resolution of the DEM must be reduced or a void of no data will result. This does 727 occur, but rarely. Depending on camera settings (and camera) in such areas, the sensor noise 728 itself can be misinterpreted by the photogrammetric software as real contrast features. Because 729 the location of this sensor noise changes from image to image, topographic noise results. This 730 noise is typically on the 1-2 m level, but in steep mountainous terrain can reach 10-20 m. We did not formally address such errors in this paper because none of the study areas used in this 731 732 paper suffered from them due to suitable photographic technique. The most challenging contrast 733 issues can also be avoided completely by waiting for better lighting. In any case, when these 734 noise errors do occur they are easily identifiable in the DEM and confirmed by the orthoimage.

735

736 While there is currently a lot interest in using low-cost UAVs as platforms for SfM

737 photogrammetry (also known as small Umanned Aerial Systems, or sUASs), our research 738 requires manned aircraft for several reasons. Though it may be possible in the future to adapt 739 our methods onto a UAV platform, we could not achieve the precision our needs required 740 without use of multi-frequency GPS and high-quality optics, which both increase cost and 741 payload outside the limits a low-cost sUAS. Our goal is also to measure snow depth of entire 742 watersheds, covering hundreds to thousands of square kilometers, and this simply is not feasible 743 with sUASs. Fundamentally, an sUAS is a field tool requiring the same logistics as ground-744 based measurements. For example, we flew our Hulahula missions as day trips from Fairbanks, 745 over 500 kilometers away – to do similar work with an sUAS would require a multi-day field 746 expedition with attendant logistical support and costs; even our work at Minto Flats, 30 miles 747 from Fairbanks, would require overcoming similar challenges. Thus for use off the road system, 748 an expeditionary field effort cannot be avoided without using a UAV that can truly replace a 749 manned-aircraft, such as a Predator, Global Hawk, or Sierra (Fladeland et al., 2011; Schreiber et 750 al., 2002; Whitlock, 2014). Such UAVs are considerably more expensive than the manned 751 aircraft we used, are considerably more complicated to fly than small UAVs, and have a regulatory component that is currently undefined in the US. Thus manned-aircraft are the only 752 753 choice throughout most of Alaska, where our research is based, when other ground-based field 754 work is not required.

755

756 While lidar is also typically flown from manned-aircraft, photogrammetry offers several

advantages for mapping snow depth. Both offer the advantage of mapping large spatial-scales,

but the photogrammetric method allows creation of a color orthoimage that is perfectly co-

registered with the DEM. For snow studies, this image allows us to unambiguously identify

760 what is snow and what is not, especially useful in thin snow-packs or those covering aufeis, as

- 761 well as useful for recognizing structures in the snow like barchans and sastrugi. When
- interpreting the difference maps, these summer and winter images allow us to investigate
- changes that seem suspect, such as those we described related to vegetation or sediment erosion.
- We found that our photogrammetric system had about twice the precision as the lidar system we compared to (8 vs. 16 cm respectively) and about the same accuracy, and thus the
- 765 photogrammetric system can measure thinner snowpacks more accurately. The photogrammetric
- system is also substantially less expensive than most lidar units, reducing the cost of ownership
- 768 for research groups wanting to operate their own systems.
- 769

770 Photogrammetry from manned-aircraft thus fills an important gap between ground-based and 771 satellite methods, not just for snow depth but for measuring nearly any change in topography. 772 No satellite methods can produce DEMs of our resolution and quality, though they operate on 773 larger spatial-scales where such resolution and quality may not be required, such as ice sheets 774 dynamics. Those satellite techniques that can detect change at the centimeter level, such as 775 InSAR and its Persistent Scatter techniques, require substantial expertise to implement, have a 776 variety of limitations (look-angles, shadowing/layover, phase decorrelation, scatterer 777 permanence, etc), and have high data costs (Delacourt et al., 2007; Ferretti et al., 2001; Nolan 778 and Fatland, 2003). Given the cost of repeat lidar from manned aircraft, most cryospheric 779 scientists studying landscape change resort to extrapolation of ground-based measurements using 780 GPS and increasingly sUASs, with the essentially unverifiable assumption that their 781 measurements are representative of the broader area. Our study of snow-depths has 782 demonstrated that using photogrammetry from manned-aircraft fills a niche that approaches the 783 spatial-scales of satellites with the accuracy of ground-based measurements, for about the price 784 of either. Glacier melt, coastal erosion, thermokarst, aufeis dynamics, and landslides are all 785 examples of topographic changes in the cryosphere that we have also measured without resorting 786 to extrapolation, and done so at lower cost than field measurements that generate only point 787 measurements. Given that nearly all experimental field designs are attempts to minimize errors 788 due to extrapolation of point measurements, this method has the potential to transform our study 789 designs and thereby remove many of the impediments to our understanding of the cryosphere 790 and the changes occurring within it. 791

791 792

793 8. Conclusions

794

795 This paper presents a method for measuring topographic change from manned aircraft that is 796 accurate enough to measure the snow depth of most of the snow packs found worldwide. It can 797 be used to map snow-depth of entire watersheds, with system costs that are much lower than 798 lidar and operational costs on par with ground measurements that only yield transect 799 measurements within those watersheds. This airborne method allowed us to measure 800 topography with a geolocation accuracy of \pm 30 cm and a precision of \pm 8 cm at a spatial 801 resolution of centimeters to decimeters. We used these maps to measure snow depth by 802 subtracting a snow-free map from a snow-covered map, and found these difference maps have a 803 snow depth accuracy of ± 10 cm when confounding influences of other real changes could be 804 minimized. The mapping technique is based on digital photogrammetry that uses consumer-805 grade cameras, multi-frequency GPS, and Structure from Motion algorithms, but requires no 806 IMU, on-board computer, or ground control. The airborne methods are straightforward and the

807 processing is done by off-the-shelf software that is reasonably user-friendly. All of the

- 808 components of our system are under intense consumer pressure to improve, thus future
- improvements to our results are likely. The main conclusion of this paper is that centimeter-
- scale change-detection is now within reach of many earth scientists who previously could not
- afford it, and that this technology is already being used to measure snow depth as well as other
- 812 cryospheric changes at unprecedented accuracy and cost.
- 813
- 814
- 815

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- 826
- 827

828 Author Contributions

- All authors contributed substantially to the writing of the manuscript, data analysis, and the
- 830 overall project. Nolan was primarily responsible for photogrammetric technique development
- and for acquiring and processing the mapping data used for snow depth measurements. Larsen
- 832 was primarily responsible for acquiring and processing the lidar and SfM validation data used at
- 833 Minto Flats. Sturm was primarily responsible for snow probe data collection and processing at
- all locations.
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1036 Tables

1037

1038 **Table 1. Fairbanks International Airport accuracy and precision assessment**. Values for the

1039 reference DEM (6 Oct 13) are geolocation offsets to 29 GCPs. All other offset are co-

1040 registration offsets of that DEM minus the reference DEM for the snow-free area of the runway.

1041 The group statistics at bottom do not include the reference DEM. The first 3 columns of

1042 numbers represent accuracy while the 4th represents precision.

Date	Easting	Northing	Elevation	Elev. St.	GSD	Notes
	offset (m)	offset (m)	offset (m)	Dev (cm)	(cm)	
06 Oct 13	0	0.30	0.13	1.7	6	Reference, snow free
30 Sept 13	-0.15	-0.51	0.45	5.3	6	Snow free
21 Jan 14	-0.11	-0.48	0.24	5.8	6	Snow covered
18 Feb 14	0.02	-0.18	-0.29	5.2	6	Peak snow
03 April 14	-0.18	-0.09	-0.04	4.2	12	Snow covered
20 April 14	-0.25	-0.46	0.31	5.0	14	Mostly melted
Means:	-0.13	-0.34	0.13	5.1		
±(Range/2):	±0.13	±0.21	±0.37	±0.08		

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Table 2. Minto Flats accuracy assessment. Values for the reference DEM are geolocation
 offsets to 21 GCPs. All other values are co-registration offsets of that DEM minus the reference

1047 DEM. Statistics at bottom do not include the reference DEM.

Date	Easting	Northing offset (m)	Elevation	GSD (m)	Notes
03 April 14	0	0	0.23	0.15	Reference Map
28 Sept 13	-0.01	0.25	0.03	0.15	snow free
27 Jan 14	0.02	0.26	0.03	0.15	snow covered
19 April 14	-0.07	0.23	-0.02	0.14	snow melting
06 Nov 14	0.01	0.15	0.02	0.15	Frozen, snow dusting
08 Nov 14	-0.06	0.22	0.30	0.15	Frozen, snow dusting
Means:	-0.02	0.22	0.07		
±(Range/2):	±0.05	±0.05	±0.16		