

mates of carbon emissions (Koven et al., 2013). Narrowing the uncertainty in estimated carbon emissions requires improvements in how Land Surface Models (LSMs) represent permafrost thermal and carbon dynamics.

LSMs used to estimate emissions from thawing permafrost typically assume that the frozen carbon is located in the upper permafrost above 3 m depth and below the maximum active layer thickness (ALT) (Koven et al., 2011; Schaefer et al., 2011; MacDougall et al., 2012; Burke et al., 2013). Lacking spatially explicit maps of frozen carbon, these studies typically assumed a spatially uniform permafrost carbon density. The simulated ALT determines the volume of permafrost in the top 3 m of soil, and thus the initial amount of frozen carbon. Consequently, any biases in the simulated ALT strongly influence the initial amount of frozen carbon, even if different models assume the same permafrost carbon density. Also, positive biases in simulated ALT result in warmer soil temperatures, making the simulated permafrost more vulnerable to thaw and resulting in higher emissions estimates (Koven et al., 2013).

The surface organic layer (SOL) is the surface soil layer of nearly pure organic matter that exerts a huge influence on the thermodynamics of the active layer. The organic layer thickness (OLT) usually varies between 5–30 cm, depending on a balance between the litter accumulation rate relative to the organic matter decomposition rate (Yi et al., 2009; Johnstone et al., 2010). Recent model intercomparison study shows that LSMs need more realistic surface processes such as upper organic layer and better representations of subsoil thermal dynamics (Ekici et al., 2014a). The low thermal conductivity of the SOL makes it an effective insulator decreasing the heat exchange between permafrost and the atmosphere (Rinke et al., 2008). The effect of the SOL has been well presented in several modeling studies. For example, Lawrence and Slater (2008) showed that soil organic matter affects the permafrost thermal state in the Community Land Model (CLM), and Jafarov et al. (2012) discussed the effect of the SOL in the regional modeling study for Alaska, US. Recently, Chadburn et al. (2015a, b) incorporated the SOL in the Joint UK Land Environment Simulator (JULES) model to illustrate its influence on ALT and ground temperatures both at a site specific study

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in Siberia, Russia, and globally. In essence, the soil temperatures and ALT decrease as the OLT increases. Consequently, how (or if) LSMs represent the SOL in the simulated soil thermodynamics will simultaneously determine the initial amount of frozen permafrost carbon and the vulnerability of the simulated permafrost to thaw.

Here we describe a fully dynamic SOL to demonstrate the importance of coupling soil biogeochemistry and thermodynamics to improve the simulated permafrost temperature and ALT. We improved the Simple Biosphere/Carnegie–Ames–Stanford Approach (SiBCASA) model (Schaefer et al., 2011) by adding a dynamic SOL and limiting plant growth in frozen soils and demonstrate that these changes improve permafrost thermal and carbon dynamics in comparison. Then we use the modified model to evaluate current permafrost carbon stock (Hugeluis et al., 2014) under the steady state climate in the early 20th century.

2 Methods

We used the SiBCASA model (Schaefer et al., 2008) to evaluate current soil carbon stocks in permafrost affected soils. SiBCASA has fully integrated water, energy, and carbon cycles and computes surface energy and carbon fluxes at 10 min time steps. SiBCASA predicts the moisture content, temperature, and carbon content of the canopy, canopy air space, and soil (Sellers et al., 1996a; Vidale and Stockli, 2005). To calculate plant photosynthesis, the model uses a modified Ball–Berry stomatal conductance model (Ball, 1998; Collatz et al., 1991) coupled to a C3 enzyme kinetic model (Farquhar et al., 1980) and a C4 photosynthesis model (Collatz et al., 1992). It predicts soil organic matter, surface litter, and live biomass (leaves, roots, and wood) in a system of 13 prognostic carbon pools as a function of soil depth (Schaefer et al., 2008). The model biogeochemistry does not account for disturbances, such as fire, and does not include a nitrogen cycle. SiBCASA separately calculates respiration losses due to microbial decay (heterotrophic respiration) and plant growth (autotrophic respiration).

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SiBCASA uses a fully coupled soil temperature and hydrology model with explicit treatment of frozen soil water originally from the Community Climate System Model, Version 2.0 (Bonan, 1996; Oleson et al., 2004). To improve simulated soil temperatures and permafrost dynamics, Schaefer et al. (2009) increased the total soil depth to 15 m and added the effects of soil organic matter on soil physical properties. Simulated snow density and depth, and thus thermal conductivity, significantly influence simulated permafrost dynamics, so Schaefer et al. (2009) added the effects of depth hoar and wind compaction on simulated snow density and depth.

We spun SiBCASA up to steady-state initial conditions using an input weather dataset from the Climatic Research Unit National Center for Environmental Predictions (CRUNCEP) (Wei et al., 2014) for the entire permafrost domain in the Northern Hemisphere (Brown et al., 1997). CRUNCEP is modeled weather data at $0.5^\circ \times 0.5^\circ$ latitude and longitude resolution optimally consistent with a broad array of observations. The CRUNCEP dataset used in this study spans 110 years, from 1901 to 2010. We selected the first 30 years from the CRUNCEP dataset (1901 to 1931) and randomly distributed them over 900 years. To run our simulations we used JANUS High Performance Computing (HPC) Center at University of Colorado at Boulder. The 900 yr time span was chosen in order to make optimal use of the computational time, which allowed us to finish one spinup simulation within 24 h, the maximum allocated time at the JANUS HPC node to run the model without interruptions.

2.1 Frozen carbon initialization

The Permafrost Research Coordination Network (Hugeluis et al., 2013) published a revised Northern Circumpolar Soil Carbon Dataset version 2 (NCSCDv2). The NCSCDv2 includes soil carbon density maps in permafrost-affected soils available at several spatial resolutions ranging from 0.012 to 1° . The dataset comprise spatially extrapolated soil carbon data from more than 1700 soil core samples. This dataset has three main layers each 1 m in depth, distributed between ground surface and 3 m depth.

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We placed the frozen carbon within the top three meters of simulated permafrost, ignoring deltaic and loess deposits that are known to extend well beyond 3 m of depth (Hugelius et al., 2014). The bottom of the permafrost carbon layer is fixed at 3 m, while the top varies spatially with changes in ALT during the spinup run. Defining the permafrost table as the maximum thaw depth, we essentially assume that the soil above the permafrost table has thawed frequently enough over thousands of years to decay away all the old carbon.

We initialized frozen carbon between the permafrost table and 3 m depth using two scenarios: (1) spatially uniform distribution of the frozen carbon throughout the permafrost domain (Schaefer et al., 2011), and (2) observed distribution of the frozen carbon according to the NCSCDv2. The total initial frozen carbon in each soil layer between the permafrost table and 3 m is

$$C_{\text{fr}}^i = \rho_c \Delta z_i, \quad (1)$$

where C_{fr}^i is the total permafrost carbon within the i th soil layer, ρ_c is the permafrost carbon density, and Δz_i is the thickness of the i th soil layer in the model. For the uniform permafrost carbon distribution, $\rho_c = 21 \text{ kg C m}^{-3}$ assumed to be spatially and vertically uniform (Schaefer et al., 2011). For the observed distribution from the NCSCDv2, ρ_c varies both with location and depth (Hugelius et al., 2013).

$$\begin{aligned} C_{\text{slow}}^i &= 0.8 C_{\text{fr}}^i \\ C_{\text{met}}^i &= 0.2 f_{\text{root2met}} C_{\text{fr}}^i \\ C_{\text{str}}^i &= 0.2 f_{\text{root2str}} C_{\text{fr}}^i, \end{aligned} \quad (2)$$

where f_{root2met} and f_{root2str} are the simulated fractions of root pool losses to the soil metabolic and structural pools respectively (Schaefer et al., 2008). Note that Schaefer et al. (2011) has a 5 % loss to the metabolic pool and a 15 % loss to the structural pool based on observed values in Dutta et al. (2006). The simulated fractions are actually 5.6 % to the metabolic pool and 14.4 % to the structural pool. We found it encouraging

that the numbers calculated with the SiBCASA metabolic fractions resulted in numbers that are close to the observed values in Dutta et al. (2006).

2.2 Dynamic SOL

We modified SiBCASA to include a dynamic SOL by incorporating the vertical redistribution of organic material associated with soil accumulation. SiBCASA already accounted for the effects of organic matter on soil properties like porosity, hydraulic conductivity, and thermal conductivity. To allow vertical movement and build up a SOL, we placed a maximum limit on the amount of organic material that each soil layer can hold. When the simulated carbon content exceeds this threshold, the excess carbon is transferred to the layer below. This is a highly simplified version of the Koven et al. (2011) carbon diffusion model, which accounts for all sedimentation and cryoturbation processes, while we wanted to limit our model only to the buildup of a SOL. We calculate the maximum allowed carbon content per soil layer, C_{\max} , as

$$C_{\max} = \rho_{\max} f_C \Delta z \frac{1000}{MW_C}, \quad (3)$$

where ρ_{\max} is the maximum density of pure organic matter or peat, f_C is the fraction of organic matter that is carbon, Δz is the soil layer thickness (m), MW_C is the molecular weight of carbon (12 g mol^{-1}), and the factor of 10^3 converts from grams to kilograms. The MW_C term converts the expression into mol C m^{-2} , the SiBCASA internal units for carbon. The simulated organic soil fraction per soil layer, f_{org} , is defined as

$$f_{\text{org}} = \frac{C}{f_C C_{\max}}, \quad (4)$$

where C is the carbon content per soil layer (mol m^{-2}). Based on observations of bulk densities of peat, we assume C_{\max} is 140 kg m^{-3} (Price et al., 2005). C_{\max} is bulk density, so to convert to carbon we assume f_C is 0.5, which assumes that half of the

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organic matter by mass is carbon. The original formulation allowed f_{org} to exceed 1.0 such that the excess organic material was essentially “compressed” into the top soil layer, resulting in a 2 cm simulated SOL. We place an upper limit of 0.95 on f_{org} and transfer the excess carbon to the layer below. The OLT is defined as the bottom of the lowest soil layer where f_{org} is 0.95. OLT_{max} is the theoretical maximum thickness of the SOL, assuming all organic matter for the entire soil column is concentrated at the surface

$$OLT_{\text{max}} = \frac{C_{\text{tot}}}{\rho_{\text{max}} f_{\text{C}}} \frac{MW_{\text{C}}}{1000}. \quad (5)$$

2.3 Root growth and soil thermal factor

In the original formulation (without dynamic SOL), plant photosynthesis, leaf growth, and fine root growth were controlled primarily by canopy air space temperature: when the canopy air temperature exceeded 0 °C, leaves and roots started to grow. SiBCASA assumes fine root growth decreases exponentially with depth based on observed vertical root distributions (Schaefer et al., 2008) with 90 % of fine root growth occurring in the top 1 m of soil. Since soil thaw starts after the canopy warms and the snow melts, this results in unrealistic simulated fine root growth in frozen soil. Photosynthesis is limited by water availability as well as canopy temperature and starts later in spring after the surface soil layers thaw out. The result is an unrealistic delay between the start of photosynthesis in spring and the timing of simulated leafout and root growth.

We synchronized leafout, root growth, and photosynthesis by restricting root growth to occur only in thawed soil layers. In SiBCASA, leaf growth is linked to fine root growth (Schaefer et al., 2008), so this also delays spring leafout until the soil begins to thaw. We first calculated the fraction of thawed roots:

$$R_{\text{th}} = \sum_{k=1}^{\text{nroot}} R_{\text{f}}(1 - F_{\text{ice}}), \quad (6)$$

parts of the discontinuous zone with relatively warm climate. Adding the dynamic SOL essentially decreased the thermal conductivity of the surface soil to allow SiBCASA to simulate permafrost where the mean annual air temperatures (MAAT) are close to 0 °C.

To illustrate the improvement of the simulated ALT with respect to the observed data, we compared simulated ALT with measured values from Circumpolar Active Layer Monitoring (CALM) stations. The CALM network is a part of the Global Terrestrial Network for Permafrost (GTN-P) (Burgess et al., 2000). The monitoring network measures ALT either using a mechanical probe or a vertical array of temperature sensors (Brown et al., 2000; Shiklomanov et al., 2010). After matching up the CALM coordinates with the coordinates of previously simulated ALT (Schaefer et al., 2011), we excluded sites with no measurements or ALT greater than 3 m depth, ending up with 76 CALM stations. Figure 2 shows simulated vs. observed ALT for the 76 CALM sites. The current simulations have a higher resolution than Schaefer et al. (2011) simulations, so the effect is not as pronounced. The Pearson's correlation coefficient, R , is negative and not significant for the Schaefer et al. (2011) simulations (Fig. 2a), but is positive and statistically significant for the current simulations assuming $p < 0.05$ (Fig. 2b). The dynamic SOL greatly improves the simulated ALT, but SiBCASA still tends to overestimate ALT.

Figure 3 illustrates the effect of the frozen soil restrictions on phenology and GPP at a single point in central Siberia. Before applying a frozen soil restriction, SiBCASA maintained fine roots even in winter, resulting in root growth all year with a strong peak in spring corresponding to simulated leafout (Fig. 3a). Simulated GPP was restricted by liquid water availability and was closely tied to thawing of the active layer, resulting in a lag as high as 60 days between leafout and start of GPP in spring. Restricting growth and GPP to when the soil is thawed essentially synchronizes all phenological events to occur at the same time (Fig. 3b).

The dynamic SOL introduced a strong coupling between ALT and GPP via plant root growth in the areas with deciduous and mixed forest biomes (southern margins of the permafrost domain Fig. 1a). The relatively high GPP of the forest and associated litterfall resulted in a thick SOL. The high porosity of organic matter resulted in higher

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soil water content, which decreased the ALT and allowed root growth to occur directly into the permafrost. This coupling was entirely a numerical feedback that does not reflect reality, but took several thousand years of spinup to become obvious. The frozen soil restrictions on GPP and growth eliminated this GPP-ALT feedback. After another 4500 years of spinup, the only sign of this feedback that remained was a shallow ALT in South-Central Siberia.

Restricting growth and GPP to when the soil is thawed delayed the onset of plant photosynthesis in spring in permafrost-affected regions. Introduction of the thawed root fraction in the model reduced GPP primarily in early spring. To illustrate the difference between unconstrained and restricted root growth (Fig. 3), we ran the model for ten years for both cases. The difference between unconstrained and restricted root growth cases (Fig. 4) indicates an overall $\sim 9\%$ reduction in GPP for the entire permafrost domain, nearly all of which occurred in spring.

The decrease in ALT resulting from a dynamic SOL increases the volume of permafrost in the top 3 m of soil, greatly increasing the initial amount of frozen permafrost carbon in the simulations. Schaefer et al. (2011) without the dynamic SOL assumed a uniform permafrost carbon density of 21 kg C m^{-3} , resulting in a total of 313 Gt of permafrost carbon at the start of their transient run (Fig. 5a). To compare the overall permafrost carbon storage, we equilibrated the current version of the model assuming similar uniform distribution (Fig. 5b). Assuming the same uniform carbon density, the current version with the dynamic SOL results in a total of $\sim 680 \text{ Gt C}$ compared to 313 Gt C in Schaefer et al. (2011). The dynamic SOL effectively doubled the volume of permafrost in the top three meters of soil and the amount of simulated frozen carbon.

Initializing SiBCASA with the observed spatial distribution of permafrost carbon from the NCSCDv2 resulted in $\sim 560 \text{ Gt C}$ of carbon stored in permafrost after spinup (Fig. 6a). SiBCASA underestimated the SOC in the Eastern Canada and Western Siberia, and overestimated SOC in Central Siberia. Failure to simulate soil carbon in South-East Canada and South-West Siberia (Fig. 6b) could be attributed to deep active layer thickness, where overestimation of the SOC in Central Siberia occurs due to

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factor contributing to the warm ground temperatures is snow depth. Figure 7c shows maximum simulated snow depth calculated over the last 10 years of the steady state run. It is clear that in West Siberia, snow provides significant insulation during winter period and contributes to warmer ground temperatures. However, maximum snow depth in South-East Canada is almost half that of West Siberia, which suggest that snow in South-East Canada, most likely, is not a major contributor to warm ground temperatures. Figure 7d shows an averaged soil wetness map, which indicates high wetness factor in both regions where model simulates deep ALT. This suggests that SOL does not provide enough protection for permafrost in regions with wet soils and mild air temperatures. This complements similar funding by Lawrence and Slater (2008).

Before implementing the dynamic SOL, the maximum rooting depth only occasionally fell below the permafrost table. However, after implementing the dynamic SOL, the simulated ALT decreased and new root growth was placed directly into the permafrost with no chance to decay. This phenomenon occurred primarily in the mixed deciduous evergreen forest in South-Central Siberia and resulted in a long-term carbon sink into the permafrost carbon pool. It resulted from the fact that the maximum rooting depth determined by the fixed, exponential root distribution incorrectly extended into the permafrost.

In permafrost-affected soils, seasonal root growth is largely regulated by the soil thermal conditions (Tryon and Chapin, 1983; Van Cleve et al., 1983). Therefore in the LSMs it is important to restrict root growth to thawed soil layers only. Moreover, previous studies showed that the date of snowmelt usually determines the start date of the growing season and the start of active layer thawing (Grøndahl et al., 2007; Wipf and Rixen, 2010). Restricting GPP and all growth using the scaling factors described above synchronizes the simulated start of the growing season.

The ability of the ecosystem and climate models to reproduce current frozen soil carbon distribution is important and could reduce uncertainty associated with modeling of the permafrost carbon feedback. Simulated permafrost vulnerability is tightly coupled with the accurate modeling of the present permafrost distribution. SiBCASA can barely

simulate permafrost in regions with wet soils and mild climate, such as Southeastern Canada and Southwestern Siberia (Fig. 1a). We surmise that the CRUNCEP data may not place the 0°C MAAT isotherm at the correct latitudes to match with the southern edge of discontinuous permafrost. The carbon rich soils in these regions may have already begun to thaw, which means that these regions may be currently respiring to the atmosphere and will continue to respire during this century.

5 Conclusions

Including a dynamic SOL is crucial to properly simulate permafrost dynamics and associated biogeochemistry. Strong coupling between ALT and plant root growth improved simulation of the ALT and soil carbon. Constraining root growth to thawed soil layers reduced the overall GPP by 9% and synchronized the simulated phenology.

The NSDSCv2 indicates high carbon density in Southeastern Canada and Southwestern Siberia. Our modeling results show low soil carbon distribution in those areas, which is a result of deep ALT. The initialized soil carbon respired during spinup due to abundance of permafrost within the top 3 m. This could suggest that soil carbon in these locations is not steady state and currently actively respiring to the atmosphere.

Acknowledgements. This research was funded by NOAA grant NA09OAR4310063 and NASA grant NNX10AR63G. This work utilized the Janus supercomputer, which is supported by the National Science Foundation (award number CNS-0821794) and the University of Colorado Boulder. We thank K. Gregory at NSIDC for reviewing the manuscript.

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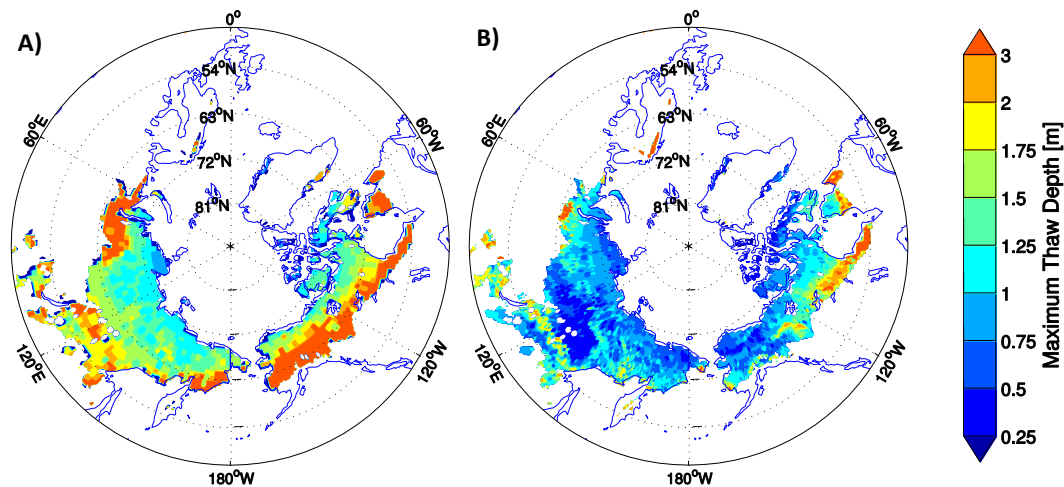
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Figure 1. Maximum thaw depth averaged over last five years after spinup from (a) Schaefer et al. (2011) and (b) this study, in m.

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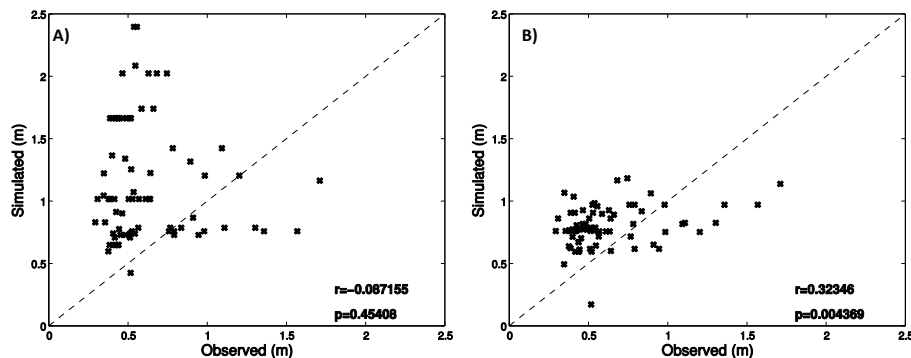
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Figure 2. Comparison of the mean active layer thickness (ALT) from 76 Circumpolar Active Layer Monitoring stations with the averaged ALT from last five years after spinup from **(a)** Schaefer et al. (2011) and **(b)** this study. r is a Pearson's correlation coefficient and p is a significance value, $p < 0.05$ stands for the 95 % of confidence.

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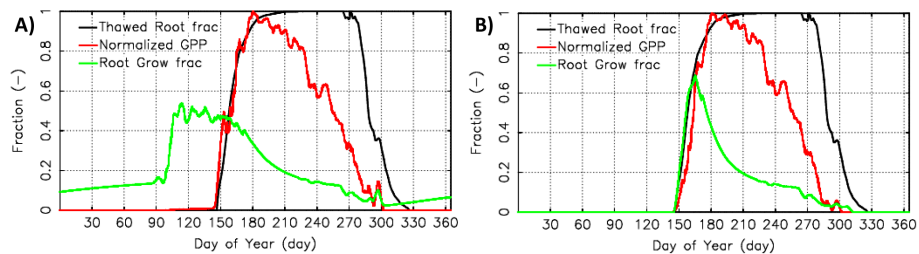


Figure 3. (a) and (b) root growth without and with the frozen soil constraint on growth.

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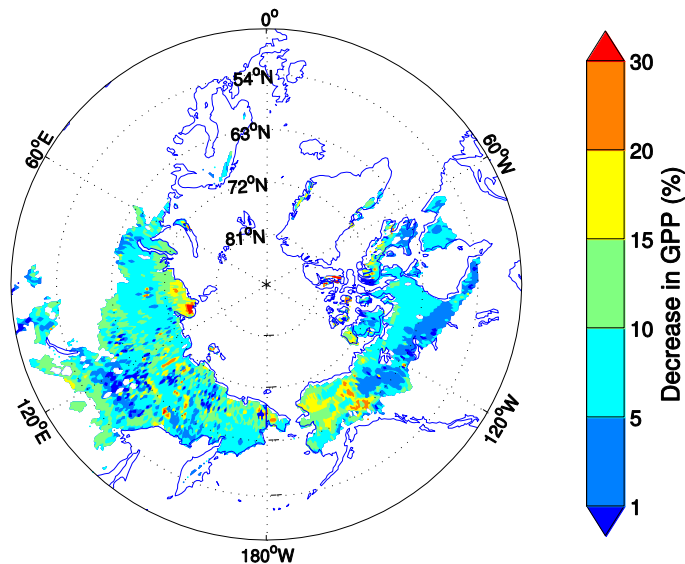


Figure 4. The difference between GPP without and with freezing constraint averaged over ten years.

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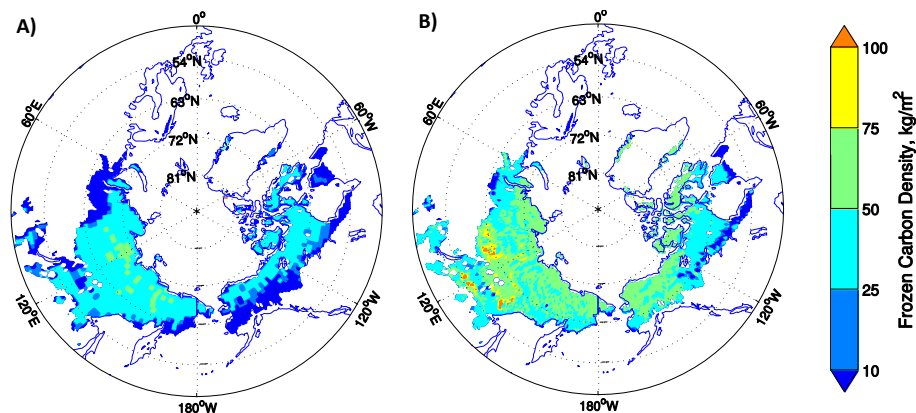
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Figure 5. The frozen carbon maps obtained assuming uniform frozen carbon distribution at the initial time step, and averaged over five years at the end of the steady state run: **(a)** from Schaefer et al. (2011), and **(b)** from the current run, correspondingly.

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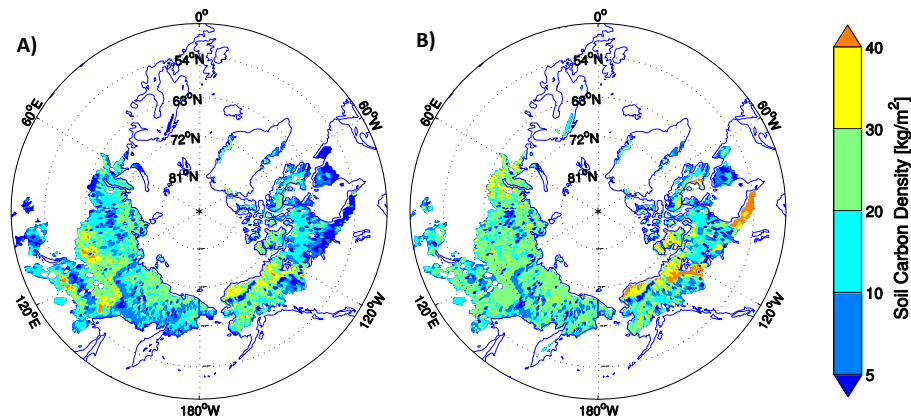


Figure 6. The soil carbon maps averaged over top 3 m: **(a)** from SiBCASA at the end of the steady state run, and **(b)** from the NCSCDv2, correspondingly.

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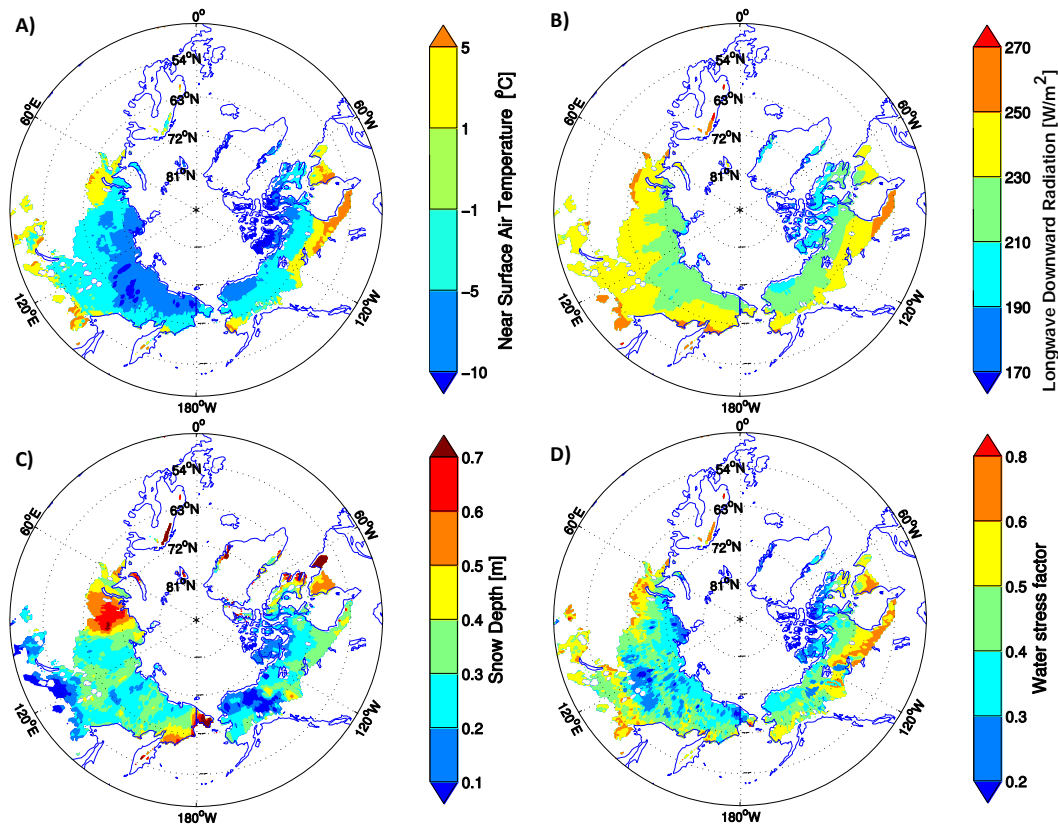


Figure 7. (a) The canopy air space temperature for averaged over September and November, and (b) the down-welling long-wave radiation, averaged yearly over 10 years. (c) The maximum snow depth over 10 years for the steady state run, and (d) the water stress factor (non-dimensionless fraction of 1), averaged yearly over 10 years.