We deeply thank the reviewer for writing an extended review to our manuscript. We agree that changing the emphasis of the paper on carbon would make it more valuable for the modeling community. We implemented suggested changes in the manuscript.

We consider all model improvements equally important and suggest the land surface modeler to be aware of them while modeling permafrost carbon dynamics. As it was mentioned in the discussion some of the LSMs include organic soil layer, which significantly improves soil thermal dynamic as well as thaw depth. However, the effect of the above ground vegetation on soil carbon buildup and its interaction with thaw depth is not well discussed in the modeling papers. Our study emphasizes the importance of these interactions in the models, which utilize the soil organic layer buildup schemes.

We agreed that conclusion sounded like and extension of the discussion. We rewrote the conclusion according to your comments.

Introduction: We rewrote the last paragraph in this section.

Methods: We rewrote and reorganized the method sections. We included suggested text in the section 2.1. We change the flow in section 2.3. The Method section now includes the following subsections: 2.1. Frozen carbon initialization; 2.2. Dynamic SOL; 2.3 Coupling growth to thaw depth.
Yes, the GPP is also scaled with soil moisture. More details on that could be found in Schaefer et al., (2008). The effect of the unfrozen water on soil carbon is discussed in great detail in Schaefer and Jafarov (2015).

We agree with the lack of clarity in the writing, related to whether things happen in real life or in the model. We made the corresponding rewrote and improved the clarity.

Thank you for pointing out the equation numbering and indexing we addressed them all.

Results, Discussion, Conclusion: We removed the Figure 4 and 9 and made the corresponding changes in the text. We did not compare the soil carbon distribution with depth with vertical distribution of the carbon from previous version of the model. As mentioned in the text the previous distribution had soil carbon concentrated in the 2 cm of the soil. That said the comparison plots from previous model run would look like high carbon density with in 2 cm then small carbon density within the active layer, and similar carbon density within the frozen part. Since for the previous run we assumed uniform carbon density.

We reorganized the some parts of the results as suggested, moving corresponding parts to the discussion. We rewrote completely discussion and conclusion sections in the manuscript improve clarity and removing tautologies and making sure that conclusion does not repeat the discussion.

The importance of a surface organic layer in simulating permafrost thermal and carbon dynamics

Elchin Jafarov[1]* and Kevin Schaefer[1]

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Abstract

Permafrost-affected soils contain twice as much carbon as currently exists in the atmosphere. Studies show that warming of the perennially frozen ground could initiate significant release of the frozen soil carbon into the atmosphere. To reduce the uncertainty associated with the modeling of the permafrost carbon feedback it is important to start with the observed soil carbon distribution. We initialized frozen carbon using the recent Northern Circumpolar Soil Carbon Dataset. To better address permafrost thermal and carbon dynamics we implemented a dynamic surface organic layer with vertical carbon redistribution, and introduced dynamic root growth controlled by active layer thickness, which improved soil carbon exchange between frozen and thawed pools. These changes increased the amount of simulated frozen carbon for present conditions from 313 to 560 GtC, which is more consistent with the observed frozen carbon stock.

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1. Introduction

Warming of the global climate will lead to widespread permafrost thaw and degradation with impacts on ecosystems, infrastructure, and emissions to amplify climate warming (Oberman, 2008; Callaghan et al., 2011, Shuur et al., 2015). Permafrost-affected soils in the high northern latitudes contain 1300±200 Gt of carbon, where about 800 Gt C is preserved frozen in permafrost with ~550 GtC in the top three meters of soil (Hugeluis et al., 2014). As permafrost thaws, organic matter frozen within permafrost will thaw and decay, which will initiate the permafrost carbon feedback (PCF), releasing an estimated 120±85 Gt of carbon emissions by 2100 (Schaefer et al., 2014). The wide range of estimates of carbon emissions from thawing permafrost depend in large part on the ability of models to simulate present permafrost area extent (Brown et al., 1997). For example, the simulated permafrost in some models is significantly more sensitive to thaw, with corresponding larger estimates of carbon emissions (Koven et al., 2013). Narrowing the uncertainty in estimated carbon emissions requires improvements in how Land Surface Models (LSMs) represent permafrost thermal and carbon dynamics.

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The active layer in permafrost regions is the surficial soil layer overlying the permafrost, which undergoes seasonal freeze-thaw cycles. Active layer thickness (ALT) is the maximum depth of thaw at the end of summer. LSMs used to estimate emissions from thawing permafrost typically assume that the frozen carbon is located in the upper permafrost above 3 meters depth and below the maximum ALT (Koven et al., 2011; Schaefer et al., 2011; MacDougall et al., 2012). Thus, the simulated ALT determines the volume of permafrost in the top 3 meters of soil, and thus the initial amount of frozen carbon. Consequently, any biases in the simulated ALT will influence the initial amount of frozen carbon, even if different models initialize the frozen carbon in the same way. Also, the same thermal biases that lead to deeper simulated active layers also lead to warmer soil temperatures, making the simulated permafrost more vulnerable to thaw and resulting in higher emissions estimates (Koven et al., 2013).

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The surface organic layer (SOL) is the surface soil layer of nearly pure organic matter that exerts a huge influence on the thermodynamics of the active layer. The organic layer thickness (OLT) usually varies between 5-30 cm, depending on a balance between the litter accumulation rate relative to the organic matter decomposition rate (Yi et al., 2009; Johnstone et al., 2010). A recent model intercomparision study shows that LSMs need more realistic surface processes such as an SOL and better representations of subsoil thermal dynamics (Ekici et al., 2014a). The low thermal conductivity of the SOL makes it an effective insulator decreasing the heat exchange between permafrost and the atmosphere (Rinke et al., 2008). The effect of the SOL has been well presented in several modeling studies. For example, Lawrence and Slater (2008) showed that soil organic matter affects the permafrost thermal state in the Community Land Model (CLM), and Jafarov et al., (2012) discussed the effect of the SOL in the regional modeling study for Alaska, United States. Recently, Chadburn et al., (2015a,b) incorporated the SOL in the Joint UK Land Environment Simulator (JULES) model to illustrate its influence on ALT and ground temperatures both at a site specific study in Siberia, Russia, and globally. In essence, the soil temperatures and ALT decrease as the OLT increases. Consequently, how (or if) LSMs represent the SOL in the simulated soil thermodynamics will simultaneously determine the initial amount of frozen permafrost carbon and the vulnerability of the simulated permafrost to thaw.

In this study we improved present day frozen carbon stocks in the Simple Biosphere/Carnegie-Ames-Stanford Approach (SiBCASA) model to reduce the bias of initial permafrost carbon stocks in simulations of future permafrost carbon release. To achieve this we introduced three improvements into the SiBCASA model: 1) improve the soil thermal dynamics and ALT, 2) improve soil carbon dynamics and build-up of carbon stocks in soil, and 3) initialize the older carbon using observed circumpolar soil carbon (Hugeluis et al., 2014).

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2. Methods

We used the SiBCASA model (Schaefer et al., 2008) to evaluate current soil carbon stocks in permafrost affected soils. SiBCASA has fully integrated water, energy, and carbon cycles and computes surface energy and carbon fluxes at 10 minute time steps. SiBCASA predicts the moisture content, temperature, and carbon content of the canopy, canopy air space, and soil (Sellers et al., 1996a; Vidale and Stockli, 2005). To calculate plant photosynthesis, the model uses a modified Ball-Berry stomatal conductance model (Ball, 1998; Collatz et al., 1991) coupled to a C3 enzyme kinetic model (Farquhar et al., 1980) and a C4 photosynthesis model (Collatz et al., 1992). It predicts soil organic matter, surface litter, and live biomass (leaves, roots, and wood) in a system of 13 prognostic carbon pools as a function of soil depth (Schaefer et al., 2008). The model biogeochemistry does not account for disturbances, such as fire, and does not include a nitrogen cycle. SiBCASA separately calculates respiration losses due to microbial decay (heterotrophic respiration) and plant growth (autotrophic respiration).

SiBCASA uses a fully coupled soil temperature and hydrology model with explicit treatment of frozen soil water originally from the Community Climate System Model, Version 2.0 (Bonan, 1996; Oleson et al., 2004). To improve simulated soil temperatures and permafrost dynamics, Schaefer et al. (2009) increased the total soil depth to 15 m and added the effects of soil organic matter on soil physical properties. Simulated snow density and depth, and thus thermal conductivity, significantly influence simulated permafrost dynamics, so Schaefer et al. (2009) added the effects of depth hoar and wind compaction on simulated snow density and depth. Recent model developments include accounting for substrate availability in frozen soil biogeochemistry (Schaefer and Jafarov, 2015).

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We spun SiBCASA up to steady-state initial conditions using an input weather dataset from the Climatic Research Unit National Center for Environmental Predictions (CRUNCEP)[1] (Wei et al, 2014) for the entire permafrost domain in the northern hemisphere (Brown et al., 1997). CRUNCEP is modeled weather data at 0.5x0.5 degree latitude and longitude resolution optimally consistent with a broad array of observations. The CRUNCEP dataset used in this study spans 110 years, from 1901 to 2010. We selected the first 30 years from the CRUNCEP dataset (1901 to 1931) and randomly distributed them over 900 years. To run our simulations we used JANUS High Performance Computing (HPC) Center at University of Colorado at Boulder. The 900-yr time span was chosen in order to make optimal use of the computational time, which allowed us to finish one spinup simulation on JANUS HPC without interruptions.

2.1.Frozen carbon initialization

We initialized the frozen carbon stocks using the Northern Circumpolar Soil Carbon Dataset version 2 (NCSCDv2) (Hugeluis et al., 2013). The NCSCDv2 includes soil carbon density maps in permafrost-affected soils available at several spatial resolutions ranging from 0.012° to 1°. The dataset consists of spatially extrapolated soil carbon data from more than 1700 soil core samples. This dataset has three main layers, each 1 meter in depth, distributed between ground surface and 3 meter depth.

We placed the frozen carbon within the top three meters of simulated permafrost, ignoring deltaic and loess deposits that are known to extend well beyond 3 meters of depth (Hugelius et al., 2014). The bottom of the permafrost carbon layer is fixed at 3 meters, while the top varies spatially depending on the simulated ALT during the spinup run. We initialized the permafrost carbon by assigning carbon from the NCSCDv2to the frozen soil carbon pools below the

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maximum thaw depth. These frozen pools remained inactive until the layer thaws.

We initialized frozen carbon between the permafrost table and 3 meters depth using two scenarios: 1) spatially uniform distribution of the frozen carbon throughout the permafrost domain (Schaefer et al., 2011), and 2) observed distribution of the frozen carbon according to the NCSCDv2. It is important to know the "stable" depth of the active layer before initializing frozen carbon. We run the model for several years in order to calculate ALT, and then initialized frozen carbon below the maximum calculated ALT. The frozen carbon was initialized only once during the first equilibrium run cycle. For the next equilibrium run we used the previously calculated permafrost carbon. We defined an equilibrium point when changes in overall permafrost carbon were negligible or almost zero.

The total initial frozen carbon in each soil layer between the permafrost table and 3 meters is

$$C_{fr}^{i} = \rho_c \Delta z_i, \qquad (1)$$

where C_{fr}^{i} is the total permafrost carbon within the i^{th} soil layer, ρ_c is the permafrost carbon density, and Δz_i is the thickness of the i^{th} soil layer in the model. For the uniform permafrost carbon distribution, ρ_c =21 kg C m^{-3} and assumed to be spatially and vertically uniform (Schaefer et al., 2011). For the observed distribution from the NCSCDv2, ρ_c varies both with location and depth (Hugeluis et al., 2013).

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The permafrost carbon in each layer is divided into three soil carbon pools as follows:

$$\begin{aligned} C_{slow}^{i} &= 0.8 C_{fr}^{i} \\ C_{met}^{i} &= 0.2 f_{root2met} C_{fr}^{i} \\ C_{str}^{i} &= 0.2 f_{root2strt} C_{fr}^{i}, \end{aligned} \qquad (2)$$

where $f_{root2met}$ and $f_{root2strt}$ are the simulated fractions of root pool losses to the soil metabolic and structural pools respectively (Schaefer et al., 2008). The nominal turnover time is 5 years for the slow pool, 76 days for the structural pool, and 20 days for the metabolic pool. Schaefer et al. (2011) has a 5% loss to the metabolic pool and a 15% loss to the structural pool based on observed values in Dutta et al. (2006). The simulated fractions are actually 5.6% to the metabolic pool and 14.4% to the structural pool. We found it encouraging that the numbers calculated with the SiBCASA metabolic fractions resulted in numbers that are close to the observed values in Dutta et al. (2006).

2.2. Dynamic SOL

We modified SiBCASA to include a dynamic SOL by incorporating the vertical redistribution of organic material associated with soil accumulation. SiBCASA calculates the soil physical properties as a weighted average of those for organic matter, mineral soil, ice and water (Schaefer et al., 2009). The physical properties include soil porosity, hydraulic conductivity, heat capacity, thermal conductivity, and matric potential. The model calculates the organic fraction used in the weighted mean as the ratio of simulated carbon density to the density of pure organic matter. SiBCASA does not account for the compression of organic matter. Since the prognostic soil carbon pools vary with depth and time, the organic fraction and the physical properties all vary with time and depth. We only summarized these calculations here since the calculations are covered in detail in Schaefer et al. (2009).

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The previous version of the model distributed fine and coarse root growth vertically within the soil column based on observed root distributions. As the roots die, carbon is transferred to the soil carbon pools for that layer. Thus, the maximum rooting depth determined the maximum depth of ‘current’ or ‘active’ carbon in the model. Of course, if the maximum rooting depth fell

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below the permafrost table, the model would accumulate permafrost carbon, which remains inactive until the layer thaws. As live, above-ground biomass in the model dies, carbon is transferred into the first layer as litter. Without the vertical redistribution we describe here to create a surface organic layer, the top layer of the model tended to accumulate carbon in excess of that expected for pure organic matter.

To allow vertical movement and build up a SOL, we placed a maximum limit on the amount of organic material that each soil layer can hold. When the simulated carbon content exceeds this threshold, the excess carbon is transferred to the layer below. This is a simplified version of the Koven et al., (2009) carbon diffusion model, which accounts for all sedimentation and cryoturbation processes, because we wanted to limit our model only to the buildup of a SOL.

We calculate the maximum allowed carbon content per soil layer, C_{max}, as

$$C_{max} = \rho_{max} \Delta z \frac{1000}{MW_C}, \tag{3}$$

where ρ_{max} is the density of pure organic matter or peat, Δz is the soil layer thickness (m), MW_C is the molecular weight of carbon (12 g mol^{-1}), and the factor of 10^3 converts from grams to kilograms. Based on observations of bulk densities of peat, we assume ρ_{max}, is 140 kg m^{-3} (Price et al., 2005). The MW_C term converts the expression into mol C m^{-2}, the SiBCASA internal units for carbon. The simulated organic soil fraction per soil layer, f_{org}, is defined as

$$f_{org} = \frac{C}{C_{max}}, \tag{4}$$

where C is the carbon content per soil layer (mol m^{-2}). To convert to carbon we assume that the fraction of organic matter is 0.5, which means that half of the organic matter by mass is carbon. The original formulation allowed f_{org} to exceed 1.0 such that the excess organic material was

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essentially ‘compressed’ into the top soil layer, resulting in a 2-cm simulated SOL. We place an upper limit of 0.95 on f_{org} and transfer the excess carbon to the layer below. The OLT is defined as the bottom of the lowest soil layer where f_{org} is 0.95.

2.3. Coupling growth to thaw depth

We coupled simulated gross primary productivity (GPP), plant phenology, and root growth to simulated thaw depth as a function of time. The model assumes root growth decreases exponentially with depth based on observed vertical root distributions (Jackson et al., 1996; Schaefer et al., 2008). The maximum rooting depth for completely thawed soil is defined as the soil depth corresponding to 99% of the observed vertical root distribution or 1.1 m for the tundra and boreal forest biomes. In real life, growing roots cannot penetrate frozen soil (Tryon and Chapin 1983, Van Cleve et al., 1983), so we restricted simulated root growth to occur only within the thawed portion of the active layer. The date of snowmelt determines the start date of the growing season and the start of active layer thawing (Grøndahl et al. 2007; Wipf and Rixen 2010). Since fine root and leaf growth are coupled (Schaefer et al., 2008), constraining root growth to thawed soil also constrains spring leafout to occur after the active layer starts thawing. In real life plants cannot photosynthesize without liquid water in the soil, so we scaled simulated GPP based on the fraction of thawed roots in the root zone.

We restricted simulated root growth to occur only in thawed soil layers. In SiBCASA, leaf growth is linked to fine root growth (Schaefer et al., 2008), so this also delays spring leafout until the soil begins to thaw. We first calculated the fraction of thawed roots within the root zone defined by:

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$$R_{th} = \sum_{i=1}^{n_{root}} R_{f_i}\left(1 - F_{ice_i}\right), \qquad (6)$$

where R_{th} is the fraction of total roots that are thawed, n_{root} is the soil layer corresponding to root depth, R_{f_i} is the reference root fraction for the i^{th} soil layer based on observed root distributions, and F_{ice_i} is the ice fraction calculated from the simulated ice content for the i^{th} soil layer. When R_{th} equals one, the entire root zone is thawed and when R_{th} is zero, the entire root zone is frozen. We assume evenly distributed liquid water in each layer such that F_{ice} equals the frozen soil fraction. We then calculated R_{eff_i}, the effective root fraction for the i^{th} soil layer,

$$R_{eff_i} = R_{f_i}\left(1 - F_{ice_i}\right)/R_{th}. \qquad (7)$$

We then use R_{eff_i} to distribute new fine and coarse root growth within the soil column. When R_{eff_i} equals zero, the soil layer is frozen with no root growth. Dividing by R_{th} ensures R_{eff_i} sums to one within the soil column to conserve mass. This formulation makes the effective maximum rooting depth equal to the thaw depth.

To couple GPP to thaw depth, we treated the reference root zone distribution for completely thawed soil as the maximum root growth capacity defining the maximum potential GPP. When $R_{th} < 1$, the root zone is partially frozen and GPP is less than its full potential. We defined a GPP scaling factor, $S_{soilfrz}$, as

$$S_{soilfrz} = \begin{cases} R_{th} & \text{for } R_{th} \geq 0.01 \\ 0 & \text{for } R_{th} < 0.01 \end{cases} \qquad (8)$$

This assumes that at least 1% of the roots must be thawed for GPP to occur, corresponding to about ~1 cm of thawed soil. $S_{soilfrz}$ is applied along with the drought stress and temperature

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scaling factors to constrain photosynthesis (Schaefer et al., 2008). SiBCASA assumes that the factors that control GPP also control wood and leaf growth, so we also included $S_{soilfrz}$ as a new scaling factor in addition to the drought stress and temperature scaling factors that control wood and leaf growth.

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3. Results

The dynamic SOL decreased the simulated ALT on average 50% across the domain and allowed the model to simulate permafrost in discontinuous zones where it could not before (Figure 1). The area of near surface permafrost simulated with the current version of the model equals to 13.5 mil km^2 which is almost 38% greater than without the dynamic SOL (Schaefer et al., 2011). This area is closer to the observed area from the International Permafrost Association: 16.2 mil km^2 (Brown et al.,1997). Simulated ALT less than 2 m covers about 92% of the area in the new simulations (Figure 1B) in comparison to 66% of the area in the Schaefer et al. (2011) simulations (Figure 1A). The previous version of SiBCASA could not simulate permafrost in many parts of the discontinuous zone with relatively warm climate. Adding the dynamic SOL essentially decreased the thermal conductivity of the surface soil allowing SiBCASA to simulate permafrost where the mean annual air temperatures (MAAT) are close to 0 °C.

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To illustrate the improvement of the simulated ALT with respect to the observed data, we compared simulated ALT with measured values from Circumpolar Active Layer Monitoring (CALM) stations. The CALM network is a part of the Global Terrestrial Network for Permafrost (GTN-P) (Burgess et al., 2000). The monitoring network measures ALT either using a mechanical probe or a vertical array of temperature sensors (Brown et al., 2000; Shiklomanov et al., 2010). After matching up the CALM coordinates with the coordinates of previously simulated ALT (Schaefer et al., 2011), we excluded sites with no measurements or ALT greater than 3m depth, ending up with 76 CALM stations. Figure 2 shows simulated vs. observed ALT for the 76 CALM sites. The current simulations have a higher resolution than Schaefer et al. (2011) simulations, which allowed us to reach a higher order of heterogeneity between measured and simulated ALTs. The Pearson's correlation coefficient, *R*, is negative and not significant for the Schaefer et al. (2011) simulations (Figure 2A), but is positive and statistically significant for the current simulations assuming $p< 0.05$ (Figure 2B). The dynamic SOL greatly improves the simulated ALT, but SiBCASA still tends to overestimate ALT.

Figure 3 illustrates the effect of the frozen soil restrictions on phenology and GPP at a single point in central Siberia. Before applying a frozen soil restriction, SiBCASA maintained fine roots even in winter, resulting in root growth all year with a peak in spring corresponding to simulated leafout (Figure 3A). Simulated GPP was restricted by liquid water availability and was closely tied to thawing of the active layer, resulting in a lag as high as 60 days between leafout and start of GPP in spring. Restricting growth and GPP to when the soil is thawed essentially synchronizes all phenological events to occur at the same time (Figure 3B).

Restricting growth and GPP to when the soil is thawed delayed the onset of plant photosynthesis in spring in permafrost-affected regions. Introduction of the thawed root fraction in the model reduced GPP primarily in early spring. To illustrate the difference between unconstrained and restricted root growth (Figure 3), we ran the model for ten years for both cases. The difference between unconstrained and restricted root growth resulted in an overall ~9% reduction in GPP for the entire permafrost domain, nearly all of which occurred in spring.

The simplified scheme of the soil carbon dynamics improves permafrost resilience, but does not fully reproduce observed carbon distribution with depth (Harden et al., 2012). To

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illustrate soil carbon distribution with depth we selected three representative areas: a continuous permafrost area corresponding to tundra type biome above the Arctic circle, an area in the boundary of continuous and discontinuous permafrost corresponding to the boreal forest biome, and an area near the south border of the discontinuous permafrost corresponding to poorly vegetated-rocky areas. We calculated mean and standard deviation of the carbon density distribution with depth for 200 grid points around each of the three selected locations. Simulated typical carbon densities from the selected locations are shown on Figure 4. All profiles shown on Figure 4 show a similar pattern: a 20-30 cm SOL with reduced carbon content at the bottom of the active layer.

The decrease in ALT resulting from a dynamic SOL increases the volume of permafrost in the top 3 meters of soil, greatly increasing the initial amount of frozen permafrost carbon in the simulations. Schaefer et al. (2011) without the dynamic SOL assumed a uniform permafrost carbon density of 21 $kg \cdot C \cdot m^{-3}$, resulting in a total of 313 Gt of permafrost carbon at the start of their transient run (Figure 5A). To compare with the Schaefer et al. [2011] results, we initialized the permafrost carbon using the same assumed uniform carbon density and ran SiBCASA to steady state initial conditions (Figure 5B). Assuming the same uniform carbon density, the current version with the dynamic SOL results in a total of ~680Gt C compared to 313 GtC in Schaefer et al. (2011). The dynamic SOL effectively doubled the volume of permafrost in the top three meters of soil and the amount of simulated frozen carbon.

Initializing SiBCASA with the observed spatial distribution of permafrost carbon from the NCSCDv2 resulted in ~560 GtC of carbon stored in permafrost after spinup. This does not mean that after the spinup simulated permafrost carbon stocks exactly matched the NCSDC data. During spinup, ALT varies with time, introducing carbon movement from frozen to thawed

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pools. In discontinuous zones, if the model simulated permafrost, it tended to produce a deeper ALT and thus less permafrost carbon than the NCSCD. The major difference between uniform frozen carbon initialization (Fig 6A) and initialization using the NCSCD (Fig 6B) is that SiBCASA simulated permafrost in more places. However, the NCSCD map (Fig 6B) shows that not all permafrost regions contain a uniform amount of frozen carbon. Therefore simulating 'correct' ALT is important and should improve the overall permafrost carbon storage.

4. Discussion

In the original SiBCASA formulation without a dynamic SOL, near surface air temperature (NSAT) controlled leaf and fine root growth: when the air temperature exceeded 0°C, leaves and roots started to grow. However, both air temperature and the availability of liquid water in the active layer controlled simulated GPP such that photosynthesis would not start until the active layer began to thaw. As a result, the simulated leafout and new root growth occurred as many as 60 days before the start of photosynthesis in spring. In addition, root depth often exceeded the simulated thaw depth, resulting in root growth in frozen soil. This was a minor problem in the original SiBCASA formulation, which simulated relatively deep active layers. However, once we implemented a dynamic SOL and reduced the ALT, the simulated roots grew directly into the permafrost and froze. The frozen roots died, but never decayed, resulting in an unrealistic buildup of simulated frozen carbon in the upper layers of permafrost. Essentially, implementing a dynamic SOL also requires coupling of GPP, plant phenology, and root growth to simulated thaw depth.

SiBCASA underestimated the SOC in the Eastern Canada and Western Siberia, and

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overestimated SOC in Central Siberia (Figure 6A and B). Failure to simulate soil carbon in southeast Canada and southwest Siberia (Figure 6C) is attributed to deep active layer thickness. The overestimation of SOC in Central Siberia is a result of coupling between GPP and ALT. The overall amount of permafrost carbon is less than that calculated assuming uniform frozen carbon distribution. It is important to note that the SOL, ALT, and the permafrost thickness are the same for both cases (Figure 6A and B). This is due to the fact that in both cases soil carbon is added in the permafrost layer below the active layer. Consequently, the amount of soil carbon in the active layer stays does not change between simulations and has the same thermal and carbon dynamics, and thus ALT. The smaller permafrost carbon stock simulated for the non-uniform case is mainly due to the fact that we did not initialize frozen carbon in regions where according to the NCSCDv2 it is not present, such as the Brooks Range in Alaska.

The dynamic SOL insulates ALT from air temperature, allowing SiBCASA to simulate permafrost in many discontinuous permafrost regions where it could not before consistent with previous results (Lawrence and Slater, 2008; Yi et al., 2009; Ekici et al., 2014b; Chadburn et al., 2015a,b), when changes in thermal properties associated with the presence of soil organic matter cooled the ground. In addition, our work confirms findings by Koven et al., (2009) showing that including SOL dynamics into the model improves agreement with the observed permafrost carbon stocks. However, to better simulate known permafrost distribution in the discontinuous permafrost zone it is important to know the exact OLT. Unfortunately, in situ measurements of OLT are scarce and essentially lacking in most areas of continuous and discontinuous permafrost.

The simulated ALT is most influenced by NSAT and soil wetness fraction (SWF), with a slightly smaller influence by down-welling long-wave radiation (DLWR), and nonlinearly influenced by

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Deleted: southeastern Canada and southwestern Siberia, SiBCASA simulates ALT up to 3 meter, and therefore almost no frozen carbon. For example, observed mean annual ground temperatures within southeast Canada region ranges from below to above 0 °C (Smith, and Burgess, 2000), which suggests that the actual permafrost distribution and associated ALT in these regions would be highly heterogeneous. Models like SiBCASA cann ... [3]

snow depth (SD) (Figure 8). To show the influence of the NSAT we averaged two early fall months over 10 years. The areas with deep simulated ALT correspond to annual NSAT > 1° C in southwest Siberia and NSAT > 5° C in the southeast Canada with a statistically significant correlation of 0.62. DLWR showed a similar, but slightly weaker relationship with ALT, with deeper ALT in southeast Canada and southwest Siberia and statistically significant correlation of 0.45. Our results show no correlation between SD and ALT, but the effects of snow on ALT are less obvious and depend on different physical processes, such as wind, snow metamorphism, and depth hoar formation (Ekici et al., 2014; Jafarov et al., 2014). Zhang (2005) indicates that SND less than 50cm have the greatest impact on soil temperatures. Figure 8C shows maximum simulated snow depth calculated over the last 10 years of the steady state run. We also observe high SWF in southwest Siberia and southeast Canada where SiBCASA simulates deep ALT with a statistically significant correlation of 0.68, suggesting wet soils modulate the insulating effects of the SOL (Lawrence and Slater, 2008).

The dynamic SOL and rooting depth strengthens the feedback between GPP and ALT (Koven et al., 2009). Higher GPP produces greater litter fall, which increases the input soil carbon at the surface and results in a thicker SOL. The dynamic SOL changes the properties of the near surface soil, resulting in a shallower ALT and cooler soil temperatures. The dynamic rooting depth accounts for a shallower ALT and modulates GPP accordingly. The cooler soil temperatures slow microbial decay and increase the carbon accumulation rate, which in turn increases the SOL and reduces ALT further. Eventually, this feedback results in the development of a peat bog. The changes we describe here indicate that SiBCASA can simulate the dynamics of peat bog development, but the model does not yet include a dynamic vegetation model to account for conversions between biome types, such as boreal forest to peat bog.

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Including dynamic SOL in the model allows us to allows us to study the interaction of plant dynamics and soil thermodynamics. In addition it allows us to study other processes in the future, such as

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This work does not address the fire impacts on soil thermodynamics and recovery from fire, both of which are strongly influenced by the changes in the SOL (Jafarov et al., 2013). Studies show that wildfires and climate change could substantially alter soil carbon storage (Yuan et al., 2012; Yi et al., 2010). In the current version of the model the topsoil carbon stays in the system and provides resilience to permafrost. However, in reality, upper SOL could be removed by fire, which would alter soil thermal properties and perturb permafrost carbon stability.

5. Conclusion

This work shows the dynamic organic layer directly improves the distribution of carbon in soil, as well as indirectly through the improved ALT. Initialization of the carbon according to the NCSCD map allowed us to better match with the observed carbon distribution. Restriction of the root growth within the thawed layer prevented soil from artificial accumulation of permafrost carbon. As a result of the model developments we improved the distribution of permafrost carbon storage by 259 GtC.

Most of the LSMs calculate soil properties based on prognostic soil carbon and soil texture from Harmonized World Soil Carbon Database (HWSD). We found that HWSD does not include thermal properties of peat lands, which resulted in inaccurate modeling of the ALT at the south boundaries of the permafrost domain in Canada and Russia. We suggest to modified the HWSD to address low thermal conductivities of the peat lands.

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6. Acknowledgements

This research was funded by NOAA grant NA09OAR4310063 and NASA grant NNX10AR63G. This work utilized the Janus supercomputer, which is supported by the National Science Foundation (award number CNS-0821794) and the University of Colorado Boulder. We thank K. Gregory at NSIDC for reviewing the manuscript. Software tools used in this study include m_map MATLAB package and shadedErrorBar.m MATLAB script.

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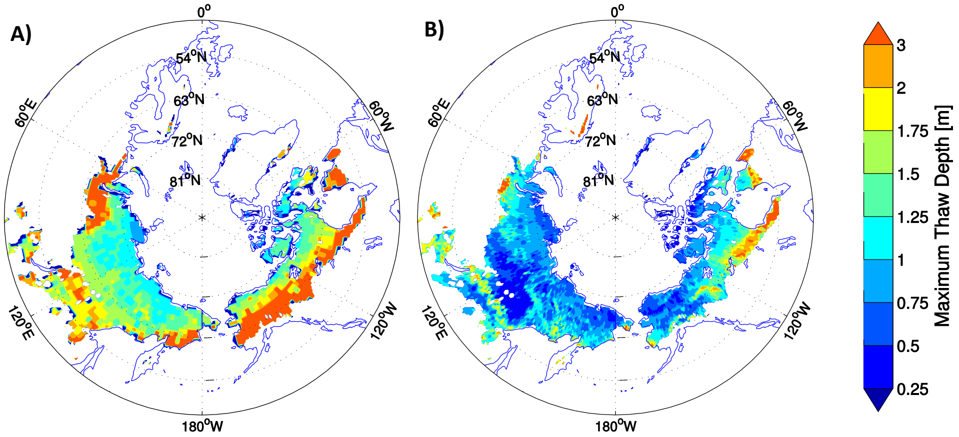

Figure 1. Maximum thaw depth averaged over last five years after spinup from A) Schaefer et al., (2011) and B) this study, in meters.

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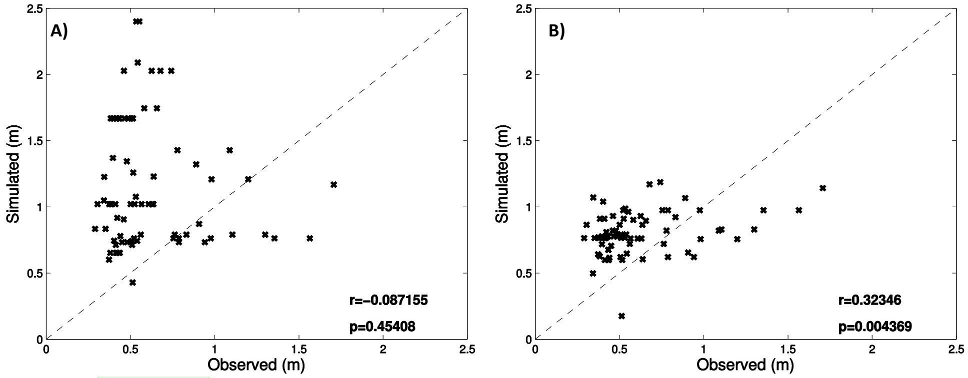

Figure 2. Comparison of the mean active layer thickness (ALT) from 76 Circumpolar Active Layer Monitoring stations with the averaged ALT from last five years after spinup from A) Schaefer et al., (2011) and B) this study. r is a Pearson's correlation coefficient and p is a significance value, $p<0.05$ stands for the 95% of confidence.

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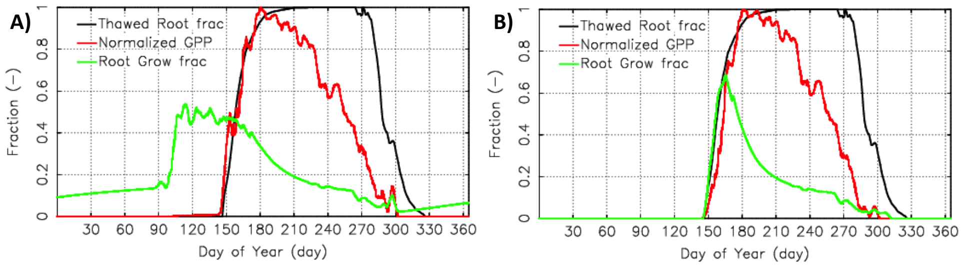

Figure 3: A) and B) root growth without and with the frozen soil constraint on growth.

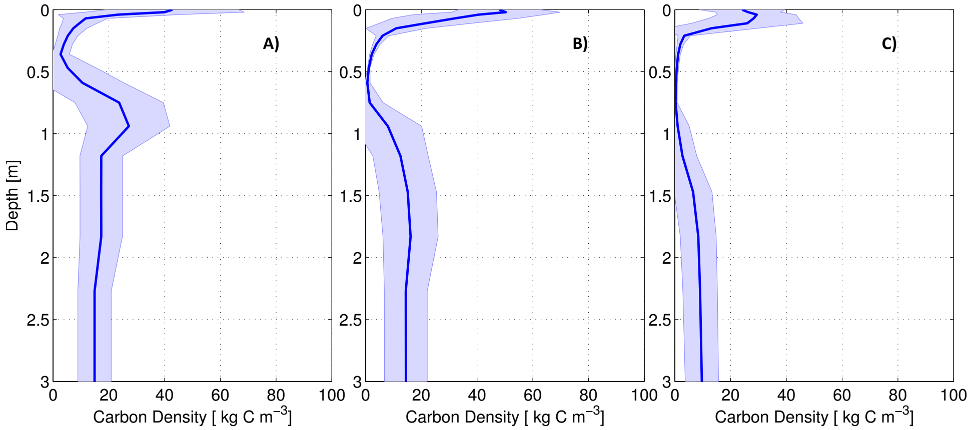

Figure 4. An averaged soil carbon distribution from 200 grid cells A) for the tundra region in continuous permafrost zone, B) for the boreal forest on the boundary between continuous and discontinuous zones, and C) for the low carbon soil at the south border of the discontinuous permafrost zone. The solid blue curve indicates the mean the white blue shading indicate the spread in the soil carbon density.

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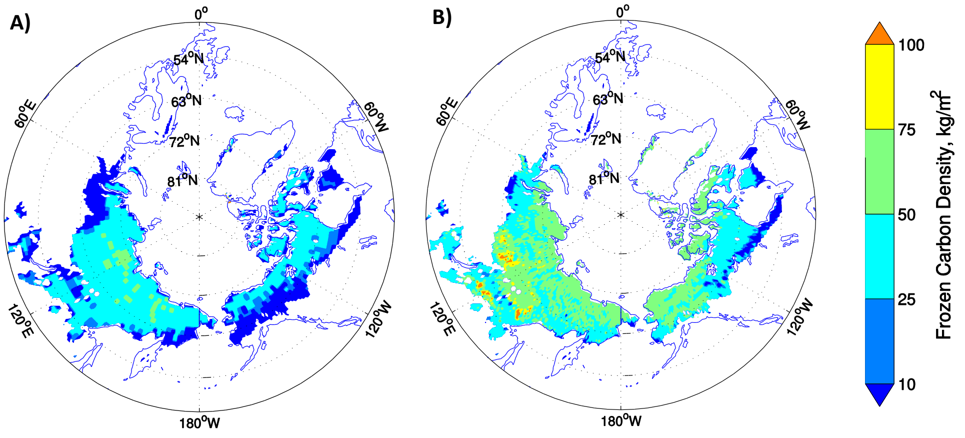

Figure 5, The frozen carbon maps obtained assuming uniform frozen carbon distribution at the initial time step, and averaged over five years at the end of the steady state run: A) from Schaefer et al., (2011), and B) from the current run, correspondingly.

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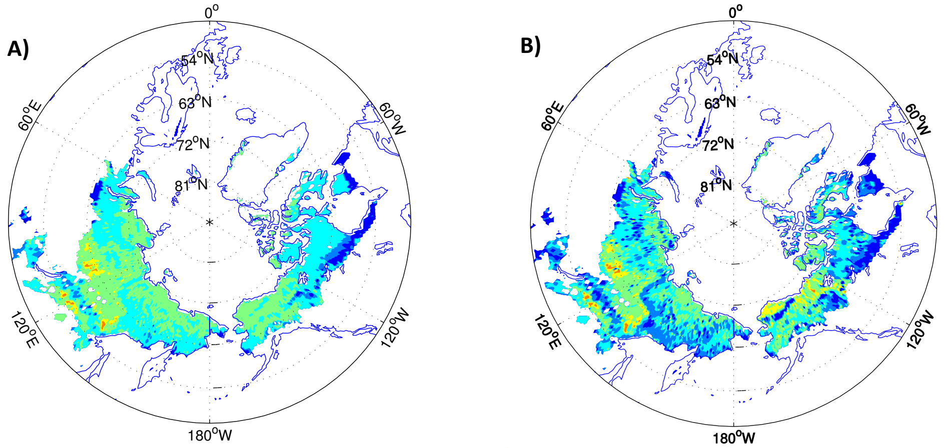

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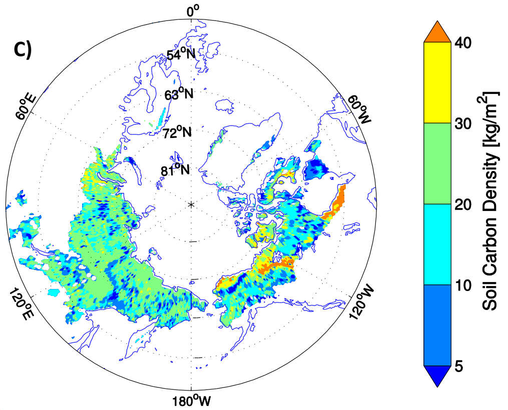

Figure 6. The soil carbon maps averaged over top 3 meters: A) from SiBCASA at the end of the steady state run, with constant permafrost density, B) from SiBCASA at the end of the steady state run, with non-constant permafrost density, and C) from the NCSCDv2, correspondingly.

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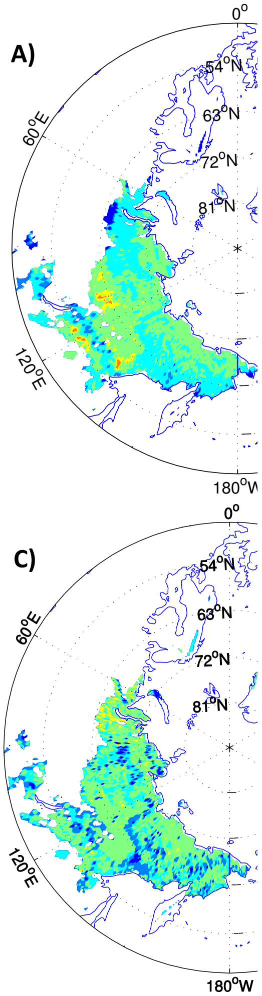

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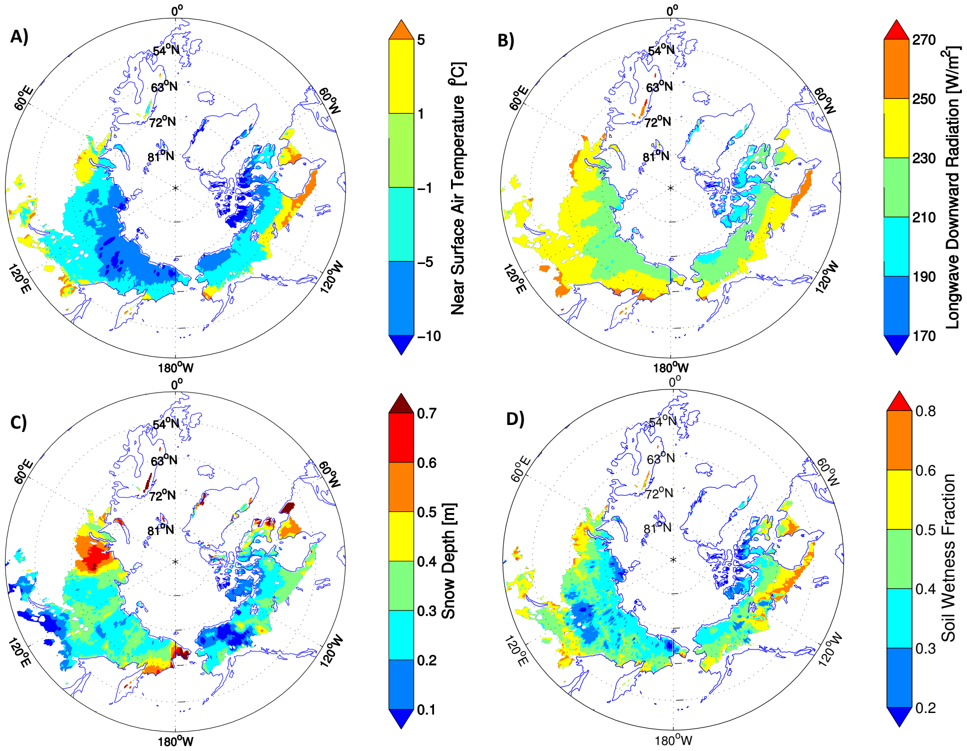

Figure 7. A) The near air temperature for averaged over first two month of the fall season. B) The down-welling long-wave radiation, averaged yearly over 10 years. C) The maximum snow depth obtained over 10 years for the steady state run, and D) the soil wetness fraction (dimensionless fraction of 1), representing overall near-surface soil wetness, averaged yearly over 10 years.

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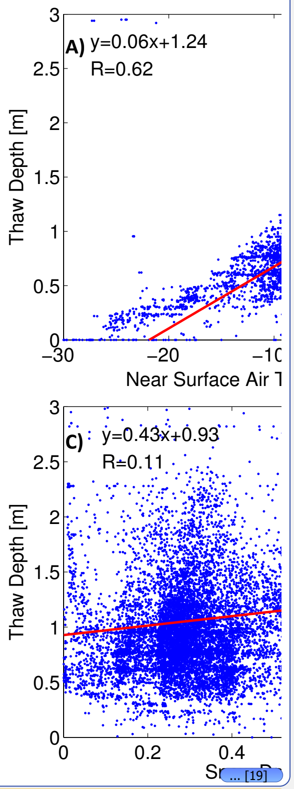

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