6

The benefit of using sea ice concentration satellite data products with uncertainty estimates in summer sea ice data assimilation

Q. Yang^{1,2}, M. Losch², S. Losa², T. Jung^{2,3}, L. Nerger², T. Lavergne⁴

7 1. National Marine Environmental Forecasting Center, Beijing, China

8 2. Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany

9 3. University of Bremen, Bremen, Germany

4. Norwegian Meteorological Institute, Oslo, Norway

12 Correspondence to: Q. Yang (Qinghua.Yang@awi.de)13

14 Abstract

15 Recently, the European Space Agency Sea Ice Climate Change Initiative (ESA SICCI) released ice concentration 16 data complete with error estimates that depend on space and time. These data are used to in data assimilation 17 experiments that aim at improving summer ice concentration and thickness forecasts in Arctic. The data 18 assimilation system uses the MIT general circulation model (MITgcm) and a local Singular Evolutive 19 Interpolated Kalman (LSEIK) filter. The effect of using sea ice concentration satellite data products with 20 appropriate uncertainty estimates is assessed by three different experiments: in one experiment the SICCI 21 concentration data is used with constant uncertainties; in two further experiments the same SICCI data are 22 included along with their provided uncertainties; they differ only in imposing different minimum uncertainties. 23 Using the observation uncertainties that are provided with the data improves the ensemble mean state of ice 24 concentration compared to using constant data errors, but ice thickness is not affected in a systematic way. 25 Further investigating this lack of impact on the sea ice thicknesses leads us to a fundamental mismatch between 26 the satellite-based radiometric concentration and the modelled physical ice concentration in summer: the passive 27 microwave sensors used for deriving the vast majority of the sea ice concentration satellite-based observations, 28 cannot distinguish ocean water (in leads) from melt water (in ponds). New data assimilation methodologies that 29 fully account or mitigate this mismatch must be designed for successful assimilation of sea ice concentration 30 satellite data in summer melt conditions. In our study, thickness forecasts can be slightly improved by adopting 31 the pragmatic solution of raising the minimum observation uncertainty, to inflate the data error and ensemble spread.

32 33

34 **1. Introduction**

35 For the past 30 years, the Arctic sea ice extent and volume consistently decreased in all seasons with a maximum 36 decline in summer (Vaughan et al., 2013). This retreat has large effects on the climate system. For example, the 37 strong contrast between the albedo of sea ice and open water has a profound effect on the Arctic surface heat 38 budget. This retreat also influences the lower-latitude weather and climate, and can be linked to extreme events 39 at mid-latitudes, for example, unusually cold and snowy winters in Europe, the US and Eastern Asia (Liu et al., 40 2012; Cohen et al., 2012), heat waves and droughts in the US and in Europe (Tang et al., 2014) and anomalous 41 anticyclone circulation over eastern European and Russia (e.g., Semmler et al., 2012; Yang and Christensen, 42 2012). Apart from its relevance to local and global climate, Arctic sea ice decline opens new economic 43 opportunities. Accurate summer sea ice forecasts are therefore urgently required to thoroughly manage the 44 opportunities (e.g., shipping, tourism) and risks (e.g., oil spill, marine emergencies) associated with Arctic 45 opening (Eicken, 2013).

46

47 Sea ice data assimilation (DA) plays a pivotal role in sea ice forecasting, as it can provide realistic initial model

48 states, and continuously constrain the model state closer to reality. Data assimilation requires both reliable 49 observed quantities and realistic uncertainty estimates. These requirements, especially regarding data

50 uncertainties, are now also increasingly recognized by the sea ice remote sensing community. Previous studies

50 uncertainties, are now also increasingly recognized by the sea ice remote sensing community. Previous studies 51 have shown that the assimilation of sea ice concentration data can improve sea ice concentration estimates (e.g.,

- Lisæter et al., 2003; Lindsay and Zhang, 2006; Stark et al., 2008; Tietsche et al., 2013; Buehner et al., 2014) and
- also constrain the ice thickness and volume (Schweiger et al., 2011; Yang et al., 2015a). Given that error

54 estimates in the studies mentioned above were assumed to be constant, there is scope for further improvement 55 through the use of more realistic uncertainty estimates.

56

57 In 2010, the European Meteorological Satellite Agency (EUMETSAT) Ocean and Sea Ice Satellite Application 58 Facility (OSISAF, www.osi-saf.org) released a climate data record of sea ice concentration based on SMMR and 59 SSM/I data (Eastwood et al., 2011; Product OSI-409). This dataset features an explicit correction of the satellite 60 signal due to weather contamination, dynamic adaptation of algorithm tie-points, and spatio-temporally varying 61 maps of uncertainties. In fact, this OSI-409 dataset and its uncertainties were already successfully used for data 62 assimilation purposes (e.g., Massonnet et al. 2013).

63

64 In May 2014, the European Space Agency (ESA)-Sea Ice Climate Change Initiative (SICCI) released a sea ice 65 concentration data set with associated uncertainty estimates (Version 1.11) to the public. In many respects, the 66 SICCI sea ice concentration dataset features an update of the algorithms and processing methodologies used for 67 the OSISAF OSI-409 dataset and, importantly, revised uncertainty estimates (Lavergne and Rinne, 2014). At 68 the time of writing these two datasets, SICCI and OSISAF OSI-409, are the only algorithms or products that 69 come with a physically based sea ice retrieval uncertainty information - as opposed to an estimate of the spatio-70 temporal variation of the ice concentration within a certain grid area and time window. Besides the SSM/I time-71 series covering from 1992 to 2008, SICCI (v1.11) also includes sea ice concentration maps from AMSR-E (2002-72 2011). This new data set provides an opportunity to study the effect of the revised local (i.e., spatially varying)

- 73 uncertainties on the assimilation of sea ice concentration data, and hence sea ice prediction skill.
- 74

75 In this study, we follow the approach of Yang et al. (2015a) and Yang et al. (2015b) by focusing on the summer 76 of 2010 and using the same ensemble-based Singular Evolutive Interpolated Kalman (SEIK) filter (Pham et al., 77 1998; Pham, 2001) in its local form (LSEIK, Nerger et al., 2006). The SEIK filter algorithm is selected to 78 assimilate the sea ice concentration because it is computationally efficient when applied to nonlinear models 79 (Nerger et al., 2005), and the LSEIK filter has already been successfully used for the sea ice concentration data 80 assimilation (Yang et al., 2015a). The purpose of the study is to quantify the impact of different uncertainty 81 approximations on sea ice data assimilation through a comparison with independent ice concentration and ice 82 thickness observations. 83

84 2. Forecasting experiment design

85 We use the MITgcm sea ice-ocean model (Marshall et al., 1997; Losch et al., 2010; Losch et al., 2014). Following 86 Yang et al. (2015a) and Yang et al. (2015b), this study employs an Arctic regional configuration with a horizontal 87 resolution of about 18 km and open boundaries in the North Atlantic and North Pacific (Losch et al., 2010; 88 Nguyen et al., 2011). To explicitly include flow dependent uncertainty in atmospheric forcing, the approach by 89 Yang et al. (2015a) was used in which UK Met Office (UKMO) ensemble forecasts from the TIGGE archive 90 (THORPEX Interactive Grand Global Ensemble; http://tigge.ecmwf.int/) drive the ensemble of sea ice-ocean 91 models. Each of the selected UKMO ensemble forecasts consists of one unperturbed 'control' forecast and an 92 ensemble of 23 forecasts with perturbed initial conditions. For further details the reader is referred to Bowler et 93 al. (2008) and Yang et al. (2015a).

94

95 Following Yang et al. (2015a) and Yang et al. (2015b), the system's forecasting skills are evaluated with a series 96 of 24h forecasts over the period of 1 June to 30 August 2010 during which the LSEIK filter is applied every day. 97 This particular period is chosen as the open water was first found in the interior pack ice near the North Pole as 98 early as 12 July 2010 (NSIDC, http://nsidc.org/arcticseaicenews/2010/07/). During this summer melting period 99 the Arctic sea ice extent (area with at least 15% sea ice concentration) shrank from 11.8 million km² on 1 June 100 to 5.3 million km² on 30 August 2010 (data from NSIDC), which shows a clear picture of sea ice melting in 101 Arctic summer: on 1 June, most of the Arctic Ocean was covered with closed ice pack, while on 30 August, the 102 sea ice area was shrunk to the central Arctic and the concentration was also much reduced (Fig. 1).

103

The simulated and satellite observed sea ice concentration are combined using a sequential SEIK filter with
 second order exact sampling (Pham et al., 1998; Pham, 2001) coded within the Parallel Data Assimilation
 Framework (PDAF, Nerger and Hiller, 2013; http://pdaf.awi.de). The filter algorithm includes the following

107 phases: initialization, forecast, analysis and ensemble transformation. The sequence of forecast, analysis and 108 ensemble transformation is repeated.

109

110 The required initial ensemble approximates the uncertainty in the initial state of the physical phenomena. 111 Following Losa et al. (2012) and Yang et al. (2015a), we used a model integration driven by the 24-h UKMO 112 control forecasts over the period of 1 June to 31 August 2010 to estimate the initial state error covariance matrix 113 of sea ice concentration and thickness. The leading Empirical Orthogonal Functions (EOFs) of this covariance 114 matrix representing the model variability are transformed by the second-order exact sampling to generate the 115 initial ensemble of ice concentration and thickness. An ensemble size of 23 states is chosen to match with the 116 ensemble size of UKMO perturbed forcing. In the forecast phase, all ensemble states are dynamically evolved 117 in time with the fully nonlinear sea ice model driven by the UKMO ensemble atmospheric forcing. The analysis 118 step combines the predicted model state with the observational information and computes a corrected state every 119 24 hours. The error covariance matrix and ensemble of model state are also updated. With the SEIK filter as a 120 reduced-rank square-root approach, the updated ensemble samples the analyzed model uncertainties according 121 to the leading EOFs.

122

The SEIK analysis is performed locally for each water column of the model surface grid by assimilating the observational information only within a radius of 126 km (~7 model grid points). Within the radius, we weighted the observations assuming quasi-Gaussian (Gaspari and Cohn, 1999) dependence of the weights on the distance from the analyzed grid point (see Janjić et al., 2012, Losa et al., 2012). As the atmospheric errors are already explicitly accounted for by the ensemble forcing, an ensemble inflation simulating model errors is not needed in this LSEIK configuration (Yang et al., 2015a).

129

130 Two daily sea ice concentration data sets are used in this study. The SICCI fields from AMSR-E (Lavergne and 131 Rinne, 2014; http://icdc.zmaw.de/projekte/esa-cci-sea-ice-ecv0.html) are used in the data assimilation. This 132 product consists of daily fields provided on a 25 km polar-centered EASE2 grid (Brodzick et al. 2012). In the 133 SICCI data set, the North Pole data gap is filled by interpolation, and daily maps of total standard error (the sum 134 of algorithm uncertainties and smear uncertainties which refers to the representative error on a different grid 135 resolution) are provided. The ice concentration data used for comparison are from the National Snow and Ice 136 Data Center (NSIDC: Cavalieri 2012: and others. 137 http://nsidc.org/data/docs/daac/nsidc0051_gsfc_seaice.gd.html). This product also consists of daily fields with 138 25 km grid spacing on a polar stereographic projection. For summer 2010, the NSIDC ice concentration fields 139 are derived from a different passive microwave instrument (SSMIS onboard DMSP F-17) and with a different 140 algorithm (NASA-Team). We note that both the SICCI and NSIDC products are computed from channel 141 combinations of relatively similar passive microwave instruments and that they cannot be regarded as strictly 142 independent. Using a different instrument and a different algorithms is nevertheless often the best we can use 143 for passive microwave sea ice concentration data.

143

145 Currently, satellite-based observations of ice thickness are a challenge (Kwok and Sulsky, 2010; Kern et al.

146 2015), and there are very few reliable summer sea-ice thickness products available. Instead of remote-sensing

147 data we compare our simulation results to measurements of sea ice draft from the Beaufort Gyre Experiment

148 Project (BGEP) Upward Looking Sonar (ULS) moorings located in the Beaufort Sea (BGEP_2009A,

149 BGEP_2009D; http://www.whoi.edu/beaufortgyre; see Fig. 1 for the locations). The error in ULS

150 measurements of ice draft is estimated as 0.1 m (Krishfield and Proshutinsky, 2006). Following Rothrock et al.

151 (2008), drafts are converted to thickness by multiplying by a factor of 1.1. It should be noted that different ice

types have different effects on the draft-thickness conversion, as we have not any information of ice types so

- 153 these effects are ignored in this study.
- 154

155 Three experiments, which mainly differ in the way uncertainties are represented, form the backbone of this study:

- 156 1. LSEIK-1: SICCI sea ice concentration data are assimilated with a constant uncertainty value of 0.25, e.i., the
- 157 observation errors are assumed to be Gaussian distributed with standard deviations (STD) of 0.25.
- 158 This constant uncertainty value is larger than the measurement error to account for a representation error which
- 159 due to the used projection of the observation to the model space.

- 161 2. LSEIK-2: Same as LSEIK-1 but using the uncertainty fields provided with the SICCI product (see Figure 2).
- 162 A minimum uncertainty of 0.01 is imposed to avoid complications due to divisions by very small numbers.
- 163 3. LSEIK-3: Same as LSEIK-2, but with a minimum uncertainty of 0.10.
- 164

165 To reflect the uncertainties in the interpolated or possibly less accurate sea ice concentration data from SICCI 166 (e.g., over the data-void North Pole), a constant uncertainty of 0.30 is assigned in these regions for all 167 experiments.

168

169 The original observational data uncertainties of ice concentrations that are provided with the SICCI data set and 170 used in LSEIK-2 and LSEIK-3 are displayed in Fig. 2. In Fig 2, we show the provided observation uncertainties 171 on 1 June, 16 June, 1 July, 16 July, 1 August and 16 August 2010. The uncertainties are about 0.05 over packed 172 ice and open water, but larger uncertainties up to and beyond 0.3 are present at the ice edge, and region of 173 intermediate ice concentration values. The SICCI total uncertainties are indeed the sum of two components, one 174 characterizing the algorithm uncertainties, and the other measuring the uncertainties due to representativity of 175 25 km daily averages, geo-location and instrument foot-print mismatch (Lavergne and Rinne, 2014). The second 176 component to the total uncertainties is only pronounced in areas of gradients in the sea ice concentration 177 observations – typically at the ice edge –, and amount for the inability of such coarse resolution satellite 178 observations to accurately locate sea ice edge. Should the SICCI sea ice concentrations be assimilated in models 179 with significantly better spatial resolution, the enlarged uncertainties allow the model to freely locate its ice edge 180 within the 25×25 km grid cells showing intermediate ice concentration values in the data.

181

182 **3. Results**

183 Figure 3 compares the root mean square error (RMSE) for ensemble mean ice concentration forecasts with and 184 without data assimilation with respect to the assimilated SICCI (Fig. 3a) and the non-assimilated NSIDC (Fig. 185 3b) ice concentration for the period 1 June to 30 August 2010. Note that Fig. 3 reports only the RMSE for grid 186 location where the satellite products reports and ice concentration lower than 0.35. These are thus mostly location 187 along the ice edge. Fig. 3 thus mostly assesses how the data assimilation experiments constrain the envelope of 188 Arctic sea ice, not the interior (cyan color on Fig. 1). The reason for choosing this range is that all sea ice 189 concentration products from passive microwave instruments have challenges with high concentration values in 190 the summer (Ivanova et al., 2015). In such a case, documenting that the assimilated state is closer to the NSIDC 191 product is not very conclusive, since NSIDC and SICCI products are probably likewise challenged at high 192 concentration values. Looking away from the ice concentration values and focusing on the outskirt of the sea ice 193 cover make the conclusions somewhat more robust as the influence of melt-ponds is reduced, and the approaches 194 over open water are different in both products (weather filters in NSIDC and explicit correction for atmosphere 195 perturbations for SICCI). It should be also noted that for this comparison, the observations are linearly 196 interpolated to the model grids. Such interpolation could lead to small local changes in sea ice concentration, 197 and the related biases are not discussed in this study.

198

199 All the data assimilation experiments reduce deviations of the forecasted ice concentration from the satellite-200 based data sets. The RMSE temporal evolutions are associated with the number of available data points that can 201 be used for comparison or with surface forcing. Compared to the free run without data assimilation, mean RMSE 202 of LSEIK-1, LSEIK-2 and LSEIK-3 ensemble mean forecasts with respect to the SICCI data are reduced from 203 on average, 0.56 to 0.18, and 0.07, 0.16, respectively. Similarly, the RMSE with respect to the NSIDC data are 204 reduced from 0.55 to 0.20, 0.13 and 0.19. At all times, LSEIK-2 and LSEIK-3, using the SICCI-provided 205 uncertainty estimates and adjusted minimum uncertainties, agree better with both the assimilated SICCI and non-206 assimilated NSIDC observations than LSEIK-1, which employs a constant uncertainty. Furthermore, it is worth 207 pointing out that LSEIK-2, with the SICCI-provided uncertainties, agrees best with both SICCI and NSIDC 208 observations. This shows that the forecasting system produces a better ensemble mean state for sea ice 209 concentration when the full range of uncertainties provided with the satellite observations are used.

210

The time series of daily 24-hr forecast of sea ice thickness are compared to in-situ ULS-observations BGEP_2009A (Fig. 4a) and BGEP_2009D (Fig. 4b). Note, that the numerical model carries mean thickness 213 (volume over area) as a variable. The observed thickness is multiplied by SICCI or NSIDC local ice 214 concentration to arrive at the observed ULS-SICCI or ULS-NSIDC mean thicknesses shown in Fig. 4. Although 215 there are some small differences between ULS-SICCI or ULS-NSIDC, both reveal a very similar variation: At 216 BGEP 2009A, the mean thickness on 1 June was about 2.5m. With ice melting, the thickness was rapidly 217 reduced in July, and reached about 0.2m on 30 August (Fig. 4a). Similarly, the mean thickness at BGEP 2009D 218 was about 3.5m on 1 June and was reduced to less than 0.1m on 30 August (Fig. 4b). All forecasts with data 219 assimilation show improvements over the free-running MITgcm after late July. The ice thickness RMSE with 220 respect to ULS-SICCI at BGEP_2009A has been reduced from 0.86m in the free model run to 0.43m in LSEIK-221 1, 0.61m in LSEIK-2, and 0.43 m in LSEIK-3 (Table 1). Similarly, the RMSE with respect to ULS-SICCI at 222 BGEP_2009D has been reduced from 0.93m in the free model run to 0.55m in LSEIK-1, 0.51m in LSEIK-2, 223 and 0.59m in LSEIK-3 (Table 1). By using the original SICCI uncertainty, LSEIK-2 gives a good agreement 224 with the in-situ observations at BGEP 2009D (Fig. 4b), but over-estimates the mean sea ice thickness at 225 BGEP 2009A (Fig. 4a), especially from mid-July to mid-August. By imposing a minimum uncertainty of 0.10 226 in the original uncertainties, the LSEIK-3 thickness agrees better with the BGEP 2009A data, and is basically 227 equivalent to LSEIK-1. The reason is discussed in the following section. 228

229 **4.** Discussion

230 Based on the recently released SICCI sea ice concentration data that provides uncertainty estimates, a series of 231 sensitivity experiments with different data error statistics have been carried out to test the impact of sea ice 232 concentration uncertainties in data assimilation. Compared to a data assimilation configuration with constant 233 uncertainty of 0.25, the data assimilation of SICCI data with provided uncertainties can give a better short-range 234 ensemble mean forecasts for sea ice concentration in summer. For ice thickness forecasts the influence of 235 observational uncertainties is ambiguous (beneficial in one case while seemingly detrimental in another). As 236 there is still no available satellite based sea ice thickness data in summer, the ice thickness validation in this 237 study are only based on two local ULS based observations. Also because we calculate the mean ice thickness 238 using the local SICCI or NSIDC sea ice concentration data which is not real and certainly has potential bias, this 239 introduces further uncertainties to the thickness calculations.

240

241 The main message from Fig. 3 is in fact the high sensitivity of the data assimilation to the observation 242 uncertainties can be explained by the employed (atmospheric) model and data error statistics in the LSEIK 243 assimilation system. Although we have not directly included the model errors due to the possible suboptimal sea 244 ice internal parameters, the ensemble forcing approach used here was shown to be very effective at representing 245 model uncertainty associated with atmospheric forcing fields (Yang et al. 2015a). Given this high sensitivity, and given that observation uncertainties that are prescribed by data assimilation teams (LSEIK-1 and LSEIK-3) 246 247 perform worse than observation uncertainties derived by the data producers, Fig. 3 clearly supports that data 248 providers do compute and deliver data uncertainties along with their products. 249

250 The ensemble-represented standard deviations (STDs) of sea ice concentration for LSEIK-2 turn out to be 251 relatively small. For example, on 30 August 2010, most of the STDs in the Arctic central area and the sea ice 252 edge area are less than 0.01 and 0.03, respectively (Fig. 5c). This means that all members are very close to the 253 ensemble mean and the data assimilation will have only little effect. LSEIK-3 has a similar spread distribution 254 pattern of higher STDs in the sea ice edge area and lower STDs in the concentrated central ice area but overall 255 higher STDs than LSEIK-2. Together with the fact that LSEIK-2 does not fit the thickness observations as well 256 as LSEIK-3, this suggests that the ensemble forecast spread for sea ice concentration is too low and cannot reflect 257 the uncertainty. As only observations of sea ice concentration are assimilated, sea ice thickness is influenced 258 indirectly during the data assimilation through the point-wise covariance between the ice concentration and 259 thickness, thus through a linear update. Here, the very small sea ice concentration variance leads to a very small 260 sea ice thickness spread (Fig. 6b). This probably explains why the LSEIK-2 system is not very effective at 261 improving the sea ice thickness estimates while LSEIK-3 does somewhat better. The increased spread in the sea 262 ice concentration allows the system to better represent the uncertainties and leads to a larger ice thickness spread 263 (Fig. 6c). The sea ice thickness forecasts are improved accordingly.

The relative enhanced skill of sea ice thickness forecasts by LSEIK-3 with respect to LSEIK-2, does thus point to a possible issue with assimilating the summer SICCI ice concentration with the provided uncertainties. At first sight, the data uncertainties in summer sea ice pack seem to be too low (Fig. 2). For example, on 16 July 2010 when surface ice melting prevails and the microwave radiometry based ice concentration estimates are known to underestimate the physical sea ice cover (Ivanova et al. 2015), the provided uncertainties at the sea ice pack area are still lower than 0.06 with few regions exhibiting values around 0.1 (Fig. 2d).

271

272 In fact, Ivanova et al., (2015, section 5.3 "Melt ponds") report that AMSR-E and SSM/I, like all other passive 273 microwave sensors, cannot distinguish ocean water (in leads) from melt water (in ponds) because of the very 274 shallow penetration depths of the microwave signal in water. Therefore, these radiometric sea ice concentrations 275 are closer to one minus the open water fraction (ponds and leads), than to the physical sea ice concentration in 276 our models. This mismatch between the observed and modelled ice concentration (radiometric vs. physical) does 277 not exist in winter when there is no surface melting. But in summer melt conditions, the observed ice 278 concentration includes an unknown area of pond water. The provided uncertainties are not larger since the 279 radiometric concentration is not more uncertain. This mismatch results in a systematic difference between the 280 two quantities (the physical concentration is larger than the radiometric concentration) that cannot be fully 281 mitigated by enlarged standard deviations of a Gaussian uncertainty model in Ivanova et al. (2015). The influence 282 of melt-ponds on the accuracy of the SICCI dataset is documented in Lavergne and Rinne (2014, section 2.2.1.1 283 "summer melt-ponding").

284

This mismatch between the measured and modelled quantities calls for adopting more advanced data assimilation methodologies, e.g. embedding a matching relation in form of an observation operator, that would necessarily include modelled melt pond fraction, for successful assimilation of sea ice concentration satellite observations (from passive microwave instruments). Given the scope of this study and the comparisons with the in-situ BGEP ice thickness, the solution implemented in LSEIK-3, that is to enlarge the observation uncertainties using a minimum value of 0.10, is a pragmatic but effective approach.

292 **5.** Conclusion

In this study, we assimilate the summer SICCI sea ice concentration data taking into account the data uncertainties provided by the distributors. Even with a constant data uncertainty for the SICCI data, comparing the assimilated SICCI and non-assimilated NSIDC ice concentration and BGEP in-situ thickness data, its assimilation results in better estimates of the sea ice concentration and thickness. The estimates are further improved when the SICCI-provided uncertainty estimates are taken into account.

299 However, it was found that our data assimilation system cannot give a reasonable ensemble spread of sea ice 300 concentration and thickness if we use the provided uncertainty directly. This is because 1) there is a mismatch 301 between the summer sea ice concentration as observed by the passive microwave sensors (radiometric 302 concentration) and that simulated by our model (physical concentration), and 2) the provided observation 303 uncertainties are not enlarged to accommodate this mismatch. A simple and pragmatic approach appears to 304 bypass this by imposing a minimum threshold value on the provided uncertainties in summer. Fully resolving 305 the mismatch calls for more research, for example by considering melt-pond cover and evolution in the models, 306 and using observation operators in the data assimilation schemes.

307

308 Acknowledgements

We thank ESA's Sea Ice Climate Change Initiative (SICCI) and the OSISAF High Latitude Processing Centre for providing the ice concentration data, as well as the Woods Hole Oceanographic Institution for the provision of sea ice draft data. The UKMO ensemble forecasting data were accessed through the TIGGE data server in European Centre for Medium-Range Weather Forecasts (ECMWF). This study is supported by the BMBF (Federal Ministry of Education and Research, Germany) - SOA (State Oceanic Administration, China) Joint

- 314 Project (01DO14002) and the National Natural Science Foundation of China (41376005, 41376188). We thank 315 the editor and two anonymous reviewers for constructive comments that helped improve the manuscript.
- 315 the editor and two anonymous reviewers for constructive comments that helped improve the manuscript. 316
- 317

318 References

- Bowler, N., Arribas, A., Mylne, K., Robertson, K., Beare, S.: The MOGREPS short-range ensemble prediction
 system. Q. J. R. Meteorol. Soc., 134: 703–722, doi: 10.1002/qj.234, 2008.
- Brodzik, M. J., Billingsley, B.,, Haran, T., Raup, B., Savoie, M. H.: EASE-Grid 2.0: Incremental but Significant Improvements for Earth-Gridded Data Sets. ISPRS International Journal of Geo-Information, 1(1):32-45, doi:10.3390/ijgi1010032, 2012.
- Buehner, M., Caya, A., Carrieres, T., Pogson, L.: Assimilation of SSMIS and ASCAT data and the replacement
 of highly uncertain estimates in the Environment Canada Regional Ice Prediction System, Q. J. R.
 Meteorol. Soc., doi:10.1002/qj.2408, 2014.
- Cavalieri, D. J., Parkinson C. L., DiGirolamo, N. and Ivanoff, A.: Intersensor calibration between F13 SSMI and
 F17 SSMIS for global sea ice data records, IEEE Trans. Geosci. Remote Sens., 9(2), 233-236, 2012.
- Cohen, J. L., Furtado, J. C., Barlow, M. A., Alexeev, V. A., and Cherry, J. E.: Arctic warming, increasing
 snow cover and widespread boreal winter cooling, Environ. Res. Lett., 7 (1), 014007, 2012.
- Comiso, J. C.: Characteristics of Arctic winter sea ice from satellite multispectral microwave observations, J.
 Geophys. Res., 91(C1): 975-994, doi: 10.1029/JC091iC01p00975, 1986.
- Eastwood, S., Larsen, K. R., Lavergne, T., Neilsen, E. and Tonboe, R.: OSI SAF global sea ice concentration
 reprocessing: product user manual, version 1.3. EUMETSAT OSI SAF (Product 0SI-409), 2011.
- Eicken, H.: Ocean science: Arctic sea ice needs better forecasts, Nature, 497(7450), 431-433, 2013.
- Gaspari, G., and Cohn, S. E.: Construction of correlation functions in two and three dimensions, Quart. J. Roy.
 Meteor. Soc., 125(554), 723-757, 1999.
- Ivanova, N. and co-authors.: Satellite passive microwave measurements of sea ice concentration: an optimal
 algorithm and challenges, The Cryosphere Discuss., 9, 1269-1313, 2015 (accepted for publication in
 TC).
- Janjić, T., Nerger, L., Albertella, A., Schröter, J., Skachko, S.: On domain localization in ensemble based Kalman
 filter algorithms, Mon. Weather Rev., 139, 2046–2060, 2011.
- Kern, S., Khvorostovsky, K., Skourup, H., Rinne, E., Parsakhoo, Z. S., Djepa, V., Wadhams, P., and Sandven,
 S.: The impact of snow depth, snow density and ice density on sea ice thickness retrieval from satellite
 radar altimetry: results from the ESA-CCI Sea Ice ECV Project Round Robin Exercise, The Cryosphere,
 9, 37-52, doi:10.5194/tc-9-37-2015, 2015.
- Krishfield, R. and Proshutinsky, A.: BGOS ULS Data Processing Procedure Report, http://www.whoi.edu/fileserver.do?id=85684pt=2p=100409, Woods Hole Oceanographic Institute, 2006.
- Kwok, R and Sulsky, D. : Arctic Ocean sea ice thickness and kinematics: satellite retrievals and modeling.
 Oceanography, 23(4), 134–143, 2010.
- Lavergne, T. and Rinne, E.: Sea Ice Climate Change Initiative Phase 1: D3.4 Product User Guide (PUG), version
 2.0. Ref: SICCI-PUG-13-07, 2014.
- Lindsay, R. W. and Zhang, J.: Assimilation of ice concentration in an ice-ocean model, J. Atmos. Oceanic
 Technol., 23(5), 742-749, 2006.
- Lisæter, K. A., Rosanova, J. and Evensen, G.: Assimilation of ice concentration in a coupled ice–ocean model,
 using the Ensemble Kalman filter, Ocean Dyn., 53(4), 368-388, 2003.
- Liu, J., Curry, J. A., Wang, H., Song, M., and Horton, R. M.: Impact of declining arctic sea ice on winter snowfall, Proc. Natl. Acad. Sci. U.S.A., 109(11):4074-9., doi: 10.1073/pnas.1114910109, 2012.
- Losa, S., Danilov, S., Schröter, J., Nerger, L., Maßmann, S., and Janssen, F.: Assimilating NOAA SST data into
 the BSH operational circulation model for the North and Baltic Seas: Inference about the data, J Marine.
 Syst., 105-08, 152-162, 2012.
- Losch, M., Menemenlis, D., Campin, J. M., Heimbach, P. and Hill, C.: On the formulation of sea-ice models.
 Part 1: Effects of different solver implementations and parameterizations, Ocean Modell., 33(1), 129-144, 2010.
- Losch, M., Fuchs, A., Lemieux, J., and A. Vanselow: A parallel Jacobian-free Newton-Krylov solver for a coupled sea ice-ocean model, J. Comp. Phys., 257(A), 901-911, doi:10.1016/j.jcp.2013.09.026, 2014.
- Marshall, J., Adcroft, A., Hill, C., Perelman, L. and Heisey, C.: A finite-volume, incompressible Navier Stokes
 model for studies of the ocean on parallel computers, J. Geophys. Res., 102(C3), 5753-5766, 1997.

- Massonnet, F., Mathiot, P., Fichefet, T., Goosse, H., König Beatty, C., Vancoppenolle, M., and Lavergne, T.: A
 model reconstruction of the Antarctic sea ice thickness and volume changes over 1980–2008 using data
 assimilation, Ocean Modell., 64, 67-75, 2013.
- Nerger, L., Hiller, W. and Schröter, J.: A comparison of error subspace Kalman filters, Tellus A, 57(5), 715-735,
 2005.
- Nerger, L., Danilov, S., Hiller, W. and Schröter, J.: Using sea-level data to constrain a finite-element primitiveequation ocean model with a local SEIK filter, Ocean Dyn., 56(5-6), 634-649, 2006.
- Nerger, L. and Hiller, W.: Software for ensemble-based data assimilation systems—Implementation strategies
 and scalability, Comp. & Geosci., 55, 110-118, 2013.
- Nguyen, A. T., Menemenlis, D. and Kwok, R.: Arctic ice-ocean simulation with optimized model parameters:
 Approach and assessment, J. Geophys. Res., 116(C4), C04025, doi:10.1029/2010JC006573, 2011.
- Pham, D. T., Verron, J., and Gourdeau, L.: Singular evolutive Kalman filters for data assimilation in oceanography, C. R. Acad. Sci. Paris, Earth Planet. Sci., 326, 255-260, 1998.
- Pham, D.: Stochastic methods for sequential data assimilation in strongly nonlinear systems, Mon. Weather Rev.
 129: 1194–1207, 2001.
- Rothrock, D. A., Percival, D. B., and Wensnahan, M. : The decline in arctic sea-ice thickness: Separating the
 spatial, annual, and interannual variability in a quarter century of submarine data, J. Geophys. Res.,
 113, C05003, doi:10.1029/2007JC004252, 2008.
- Semmler, T., McGrath, R., and Wang, S.: The impact of Arctic sea ice on the Arctic energy budget and on the
 climate of the Northern mid-latitudes, Clim. Dyn., 39, 2675–2694, doi:10.1007/s00382-012-1353-9,
 2012.
- Smith, D. M. Extraction of winter total sea-ice concentration in the Greenland and Barents Sea from SSM/I data,
 Int. J. Remote Sens., 17(3): 2625–2646, doi: 10.1080/01431169608949096, 1996.
- Stark, J. D., Ridley, J., Martin, M. and Hines, A.: Sea ice concentration and motion assimilation in a sea ice-ocean model, J. Geophys. Res., 113(C5), C05S91, doi:10.1029/2007JC004224, 2008.
- Tang, Q., Zhang, X., and Francis, J. A.: Extreme summer weather in northern midlatitudes linked to a
 vanishing cryosphere, Nature Clim. Change, 4 (1): 45–50, 2014.
- Tietsche, S., Notz, D., Jungclaus, J., and Marotzke, J.: Assimilation of sea-ice concentration in a global climate
 model- physical and statistical aspects, Ocean Sci. 9(1): 19-36, 2013.
- Vaughan, D.G., Comiso, J.C., Allison, I., Carrasco, J., Kaser, G., Kwok, R., Mote, P., Murray, T., Paul, F, Ren,
 J., Rignot, E., Solomina, O., Steffen, K. and Zhang, T. : Observations: Cryosphere. In: Climate Change
 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of
 the Intergovernmental Panel on Climate Change [Stocker, T.F., Qin, D., Plattner, G.-K., Tignor, M.,
 Allen, S.K., Boschung, J., Nauels, A., Xia, Y., Bex, V. and Midgley, P.M. (eds.)]. Cambridge University
 Press, Cambridge, United Kingdom and New York, NY, USA, 2013.
- Yang, S., and Christensen, J. H. : Arctic sea ice reduction and European cold winters in CMIP5 climate
 change experiments, Geophys. Res. Lett., 39, L20707, doi:10.1029/2012GL053338, 2012.
- Yang, Q., Losa, S. N., Losch, M., Jung, T., Nerger, L.: The role of atmospheric uncertainty in Arctic sea ice data assimilation and prediction, Q. J. R. Meteorol. Soc., doi:10.1002/qj.2523, 2015a.
- Yang, Q., Losa, S. N., Losch, M., Liu, J., Zhang, Z., Nerger, L., and Yang, H.: Assimilating summer sea ice concentration into a coupled ice-ocean model using a localized SEIK filter, Ann. Glaciol., 56(69), doi: 10.3189/2015AoG69A740, 2015b.
- 412
- 413 414

416 417 418 419 Table 1. RMSE of the four forecasting experiments from mean ice thickness calculated by the ULS moorings BGEP_2010A, BGEP_2010D and the satellite ice concentration observations. The two values refer to the calculation using two different data sets SICCI-NSIDC.

		BGEP_2010A	BGEP_2010D
1	MITgcm	0.86-0.89 m	0.93-0.97 m
2	LSEIK-1	0.43-0.46 m	0.55-0.59 m
3	LSEIK-2	0.61-0.64 m	0.51-0.55 m
4	LSEIK-3	0.43-0.46 m	0.59-0.62 m



424

Figure 1. The NSIDC (a, b) and SICCI (c, d) sea ice concentration on 1 June (a, c) and 30 August 2010 (b, d). The locations of BGEP_2009A and BGEP_2009D are shown as a square with white line and a

triangle with white line, respectively.

- 428 429





434 Figure 2. The SICCI sea ice concentration uncertainty on (a) 1 June, (b) 16 June, (c) 1 July, (d) 16 July,

- (e) 1 August and (f) 16 August, 2010.



441 Figure 3.Temporal evolution of RMSE differences between sea ice concentration forecasts and the

442 SICCI (a) and NSIDC (b) ice concentration data. The RMSE of the MITgcm free-run, LSEIK-1,
443 LSEIK-2 and LSEIK-3 24-h forecasts are shown as gray, blue, magenta and red solid lines,

444 respectively.



449 Figure 4. Evolution of mean sea ice thickness (m) at (a) BGEP_2009A and (b) BGEP_2009D Beaufort Sea from 1 June to 30 August 2010. The black solid and dashed lines show the obtained mean ice thickness using SICCI and NSIDC sea ice concentrations, respectively. The MITgcm free-run, LSEIK-1, LSEIK-2 and LSEIK-3 24 h ice thickness forecasts are shown as gray, blue, magenta and red solid lines, respectively.





- 456 457 Figure 5. Sea ice-concentration standard deviation for the individual grid cells as calculated from the
- 458 459 24-h ensemble forecasts on 30 August 2010. (a) LSEIK-1, (b) LSEIK-2, and (c) LSEIK-3.





463 Figure 6. Sea ice thickness standard deviation for the individual grid cells as calculated from the 24-h ensemble forecasts on 30 August 2010. (a) LSEIK-1, (b) LSEIK-2, and (c) LSEIK-3.