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The Cryosphere

Revised manuscript: tc-2015-39

Dear Editor,

On behalf of my co-authors, I submit the revised version of the manuscript with the title "*Brief Communication: Future avenues for permafrost science from the perspective of early career researchers*".

Attached you will find:

- response to the Editor
- marked-up manuscript version

Thank you very much for your time and efforts in this process and we look forward to seeing this manuscript accepted soon.

Best regards,



Michael Fritz

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Reply to the Editor

We are grateful for the review and acknowledge your comments and suggestions. You will find all replies and changes that have been made below. Your comments are cited in red font.

Best regards,
Michael Fritz

(on behalf of the co-authors)

Dear authors

Thank you for the shortened and revised version of your manuscript, and for your reply to the issues raised by the reviewers and by myself. From your reply, three topics arise that need to be addressed before publication. The first two relate to the quality of the argument you make.

(A) Concerning the definition of a “priority research question” or “highlighted research question”, you have referred to Sutherland et al. (2011). The questions you formulate as headings 4.1 to 4.5, however, appear to not fulfill your own criteria. Especially “Answerable through a realistic research design”, “Not answerable with ‘it all depends’”, “Of a spatial and temporal scope that reasonably could be addressed by a research team”, and “Not formulated as a general topic area”. Please find a way to resolve this issue, e.g., by referring to them using a differing term (“topic”?). Furthermore, if the criteria put forward by Sutherland et al. (2011) were used in defining questions and the results do not conform to them, this sheds a strange light on the process. This should be resolved in great clarity.

Sutherland et al. (2009, 2011) established the criteria for exercises in which questions were identified for global conservation issues in science and policy. Sutherland et al. (2011) also acknowledges that “*with appropriate modification*”, their methods can be “*applied widely, across different communities and for different subjects*”. Our study and its design extend into the field of social sciences including its methods, where it is sometimes difficult to answer a question with right/wrong, yes/no, or with a single numerical character. After multifold feedback with workshop participants, reviewers and peers we are confident that the presented priority research questions adequately correspond to the criteria. Of course, there is room for discussion what makes a good research question. Also one of the mentors in this process, Warwick Vincent, again looked at the questions and the according criteria. He came to the conclusion that our research questions fall into the category of ‘questions’ and are formulated as ‘broad questions’.

We hope that we could resolve the process in greatest possible detail. Every step of the process is documented by supplementary information and underlaid by basic statistics (see supplement S1-S4).

(B) You write “Finally, a ‘priority research question’ or a ‘highlighted research question’ is a research question that was formulated and democratically selected/prioritized by the community.” This does not fully address my concern (“this could mean a number of things such as scientifically most intriguing, or having the greatest societal relevance. In my view, it is important to explain this as the value of presenting the five selected questions hinges upon what they were selected for.”). It would be good if you could include a short sentence (like the one above) explaining what a priority/most urgent/highlighted research question (topic?) is, and that in their selection, community members were using subjective criteria as to what values to use in prioritizing.

We added the following sentence at the end of section 2:

“Priority is a combination of individual criteria. However, by involving a reasonably large number of participants the subjective reasons will move into the background and the democratically voted set of questions will remain.”

It is correct that there will be many reasons why people have chosen the one or the other question and we can only guess them. Sutherland et al. (2011) also mentioned that “*any priority-setting exercise is the product of the people who participate. The results can be influenced by the interests present. Furthermore, individuals may have agendas. However, a diverse and moderately large group, clear criteria, and a democratic process all help reduce the impact of any individual*”. In our case, the respondents to our questionnaire and the workshop participants were informed at every step that they were asked to raise research questions for the next decade of permafrost research with certain criteria. A democratic process by voting was carried out, too.

(C) While I see the appeal of inserting a reference here, I still disagree with your argument on keeping the reference to Biskarbon et al 2015. This manuscript describes a database; its conclusions relate to data handling, not to GTN-P or its primacy as a program. While it is a (maybe the only one) reference to GTN-P it does not provide backup for the statement you make. Please remove.

Removed.

I look forward to one more round of revisions.

Kind regards, Stephan Gruber

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Brief Communication: Future avenues for permafrost science from the perspective of early career researchers

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Abstract

Accelerating climate change and increased economic and environmental interests in permafrost-affected regions have resulted in an acute need for more directed permafrost research. In June 2014, 88 early career researchers convened to identify future priorities for permafrost research. This multidisciplinary forum concluded that five research topics deserve greatest attention: permafrost landscape dynamics; permafrost thermal modelling; integration of traditional knowledge; spatial distribution of ground ice; and engineering issues. These topics underline the need for integrated research across a spectrum of permafrost-related domains and constitute a contribution to the Third International Conference on Arctic Research Planning (ICARP III).

1 Introduction

Permafrost is a major component of the cryosphere, underlying 24% of the Northern Hemisphere's land surface ([Zhang et al., 1999](#)). Due to rapid warming in the Arctic, permafrost areas are now changing, with global implications for the carbon cycle and climate feedback mechanisms ([Schaefer et al., 2012](#)). Despite the knowledge that permafrost areas contain twice as much carbon (~ 1100–1500 Pg) as currently in the atmosphere ([Hugelius et al., 2014](#)) and that permafrost temperatures have increased significantly during the last 20–30 years ([Romanovsky et al., 2010](#)), climate projections in the IPCC Fifth Assessment Report (AR5) did not account for emissions from thawing permafrost, nor for the effects of permafrost carbon feedback on global climate. Circumpolar permafrost areas in the Arctic have been used for settlements and hunting grounds for indigenous peoples, resulting in a legacy of knowledge. Conservation of cultural heritage sites and the construction of industrial and municipal infrastructures on permafrost are costly and challenging.

Over the past two decades, the International Arctic Science Committee (IASC) and the Scientific Committee on Antarctic Research (SCAR) have organized activities focused on international and interdisciplinary perspectives for advancing Arctic and Antarctic research cooperation and knowledge dissemination in many subject areas. For permafrost science, however, no consensus document exists at the international level to identify future research priorities, although the International Permafrost Association (IPA) highlighted the need for such a document during the 24th IPA Council meeting in June 2012 ([IPA, 2012](#)).

This manuscript presents the outcome of an international and interdisciplinary effort conducted by early career researchers (ECRs) in 2014. Online community input and a conference workshop highlighted five priority research questions on the future avenues of permafrost science. This consensus statement has been formulated in collaboration with the IPA as a contribution to ICARP III from ECRs in order to raise permafrost issues to the prominent position that they urgently deserve.

2 Community consultation process

Community input exercises are increasingly viewed as a valuable step towards elaborating future research priorities or questions in a well-defined scientific community (e.g. Kennicutt et al., 2014; Seddon et al., 2014). We aimed to meet our goals of hosting an effective large group dialogue by means of online question development followed by a World Café conversational process (Brown and Isaacs, 2001). This process has been continually evaluated following Sutherland et al. (2011). An overview of the process is provided in Figure 1. This activity took place as part of an ECR Workshop held prior to the 4th European Conference on Permafrost (EUCOP) in Évora, Portugal (Schollaen et al., 2014). Participants were provided with live instructions (Supplement S3) including criteria what makes a research question (cf. Sutherland et al., 2011). Priority is a combination of individual criteria. However, by involving a reasonably large number of participants the subjective reasons will move into the background and the democratically voted set of questions will remain.

3 Breadth of questions

Submitted questions covered a broad range of topics that focused on physical processes (32), biogeochemistry (14), social interactions and impacts (9), engineering (9), ecology (4), and modelling (3) (Supplement Table S1). Of the 20 questions that received votes at the end of the World Café, 11 were associated with permafrost degradation or changes in permafrost properties (Supplement Table S4). Tied for second were the keywords “ground ice” and “carbon”, which are linked to two distinct fields in permafrost research. Inter-related research topics such as “permafrost distribution”, “process-related” questions,

“hydrology” and “subsea permafrost” followed these three, and expressed less frequent but nonetheless important research avenues.

4 Highlighted research questions for permafrost science

4.1 How does permafrost degradation affect landscape dynamics at different spatial and temporal scales? (Q1)

Warming permafrost results in its degradation and in various interactions and feedback processes (Romanovsky et al., 2010) operating at multiple spatio-temporal scales, sometimes involving remarkable changes to landscape dynamics. While some of these regions react slowly to long-term changes, others may respond abruptly to threshold crossing (Rowland et al., 2010). Thermoerosion and mass movement can affect sediment and nutrient fluxes. Melting of ground ice and the evolution of thaw lakes will affect hydrology and water chemistry. These changes also interact with vegetation and snow cover, in a series of complex feedbacks at the ground surface and in the active layer.

More accurate knowledge on the causes and consequences of permafrost degradation will help to better assess community planning and landscape evolution models. Long-term monitoring of currently degrading sites will facilitate identification and quantification of tipping points and provide useful information on the development and recovery of the landscape.. This will further enable the development of conceptual models that can help to understand the timeframe, scale and frequency at which these processes operate.

4.2 How can ground thermal models be improved to better reflect permafrost dynamics at high spatial resolution? (Q2)

In the rapidly warming Arctic, prediction of permafrost degradation is critical for providing stakeholders with the tools they need to observe and plan for future effects on the environment and human activities. From global to regional scales, a number of approaches have facilitated mapping of the ground-thermal regime and its evolution over time in the past years (e.g. Westermann et al., 2013). However, on the local scale, modelling tools are either too simplistic or too complex to be used by others than modeling experts to provide answers to many of the problems that Arctic communities will face in the near future. A main problem

1 is the availability of forcing data sets at such scales, which requires permafrost modeling in
2 conjunction with downscaling approaches (e.g. [Zhang et al., 2012](#)).

3 Future research should focus on identifying which processes are most important, so that
4 models with varying levels of complexity can be developed for Arctic stakeholders. Such
5 processes are for example controlled by the type and density of vegetation, snow cover, soil
6 moisture and human activity, which are in many cases interdependent (e.g. [Painter et al.,](#)
7 [2013](#)). Developing model representations for these processes is amongst the most urgent
8 challenges to improve projections on the fate of permafrost ecosystems and the carbon cycle.

10 *4.3 How can traditional environmental knowledge be integrated in permafrost research? (Q3)*

11 The circumpolar Arctic is inhabited by a variety of indigenous peoples. Having lived
12 in close contact with nature in the Arctic for a long time, they have observed changing
13 permafrost conditions that could provide valuable information to scientists. Traditional
14 Environmental Knowledge (TEK) incorporates practice and belief and evolves by adaptive
15 processes which are handed down through generations by cultural transmission. The highly
16 specialized knowledge about the Arctic environment is thus preserved in the collective
17 memory ([Henry et al., 2013](#) and references therein).

18 The description of environmental processes by the non-scientific community, including
19 indigenous peoples, often differs from that of the scientific community. This makes it
20 challenging to incorporate TEK into existing scientific methods. Although there are examples
21 of successful applications and integration of TEK for the purpose of co-management of
22 natural resources ([Tondur et al., 2014](#)), increased effort is still needed to evaluate the resilience
23 of Arctic communities ([Henry et al., 2013](#)). Successful adaptation to environmental changes
24 demands a holistic system perspective, to which permafrost science in the case of the Arctic
25 clearly can and should contribute.

27 *4.4 What is the spatial distribution of different ground-ice types and how susceptible is ice- 28 rich permafrost to future environmental change? (Q4)*

29 Ground ice is a fundamental component of permafrost soils. In the Arctic lowlands it
30 can occupy up to 80% of the soil volume in the upper 20-30 meters of permafrost. The
31 amount of ice and its vertical and lateral distribution are central parameters controlling the

1 thermal, physical and geochemical properties of permafrost deposits as well as their behavior
2 to thaw. Although many field studies characterize cryostructures, measure ground-ice content
3 and map ground-ice distribution, a concerted and organized mapping initiative that feeds into
4 international databases is still lacking. Until now, the National Snow and Ice Data Center has
5 been the principal database on ground-ice conditions, but it does not support the direct input
6 of field-based information by international researchers. Similarly, the Global Terrestrial
7 Network for Permafrost (GTN-P) is the primary international program concerned with
8 monitoring permafrost parameters (~~Biskaborn et al., 2015~~), but it does not include or provide
9 information on ground ice.

10 Efforts to address this issue should focus on remote sensing applications for landform
11 classification and on geophysical tools and drilling for the detection of subsurface ice.
12 Ground-ice-related information should be integrated in a dedicated database, such as GTN-P,
13 opening the door to regional extrapolation by integrating these data into climate models.

15 *4.5 What is the influence of infrastructures on the thermal regime and stability of permafrost* 16 *in different environmental settings? (Q5)*

17 Economic development in permafrost regions is facing numerous challenges since the
18 performance of engineering structures and transportation systems are reliant on the strength of
19 permanently frozen ground. Numerous examples exist where the combined effects of climate
20 change and inappropriate technical solutions have led to irreversible damages or have
21 required intensive maintenance and premature reconstruction (Bommer et al., 2010 and
22 references therein).

23 National guidelines and recommendations have recently been developed to adapt
24 infrastructures in permafrost areas (e.g. Bommer et al., 2010). Still, long-term evaluations of
25 these practices are needed to establish reliable tools and standardized guidelines. In order to
26 facilitate the evaluation of the construction and performance of the infrastructure in their
27 specific environmental context, future research needs to systematically integrate permafrost
28 engineering with earth sciences. A main challenge is to improve predictions of the behavior
29 and performance of structures and to act prior to unstable permafrost conditions develop. Test
30 sites in problematic permafrost areas are one way to address this challenge (Malenfant-
31 Lepage et al., 2012). Overall, integrating engineering knowledge with other fields of science

would benefit from and contribute to the impact assessments, socio-economic scenarios and adaptation strategies (Vincent et al., 2013).

5 Synthesis

This collaborative, discussion-based consultation process allowed the community of permafrost ECRs to work out the most urgent research questions to the future of permafrost science. As such we would like to highlight research questions related to permafrost carbon and its feedback dynamics as these are among the most popular topics in permafrost research today (Hubberten et al., 2011). Questions Q1, Q2, and Q4 are all indirectly related to carbon dynamics and Q9, Q13, Q14, and Q16 (Supplement Table S4) directly deal with this topic. This demonstrates a specialization and fragmentation of our field as it grows rather than lack of interest, and also a need for integration across disciplines (Vincent et al., 2013).

As the next generation of permafrost researchers, we see the need and the opportunity to participate in framing the future research priorities. Across the polar sciences ECRs have built powerful networks, such as the Association of Polar Early Career Scientists (APECS) and the Permafrost Young Researchers Network (PYRN), which have enabled us to efficiently consult with the community. Many participants of this community-input exercise will be involved and also affected by the Arctic science priorities for the next decade within permafrost research. Therefore, we need to i) contribute our insights into larger efforts of the community such as the Permafrost Research Priorities initiative by the Climate and Cryosphere (CliC) Project together with the IPA (<http://www.climate-cryosphere.org/activities/targeted/permafrost-research-priorities>) and ii) help identify relevant gaps and a suitable roadmap for the future of Arctic research. To critically evaluate the progress made since ICARP II and to revisit the science plans and recommendations will be crucial.

IASC and the IPA, together with SCAR on bipolar activities, should coordinate the research agendas in a proactive manner engaging all partners, including funding agencies, policy makers, and local populations. Communicating our main findings to society in a dialogue between researchers and the public is a priority. Special attention must be given to indigenous peoples living on permafrost, where knowledge exchange creates a mutual benefit for science and local communities. The ICARP III process is an opportunity to better communicate the global importance of permafrost to policy makers and the public.

The Supplement related to this article is available online at:

Acknowledgements

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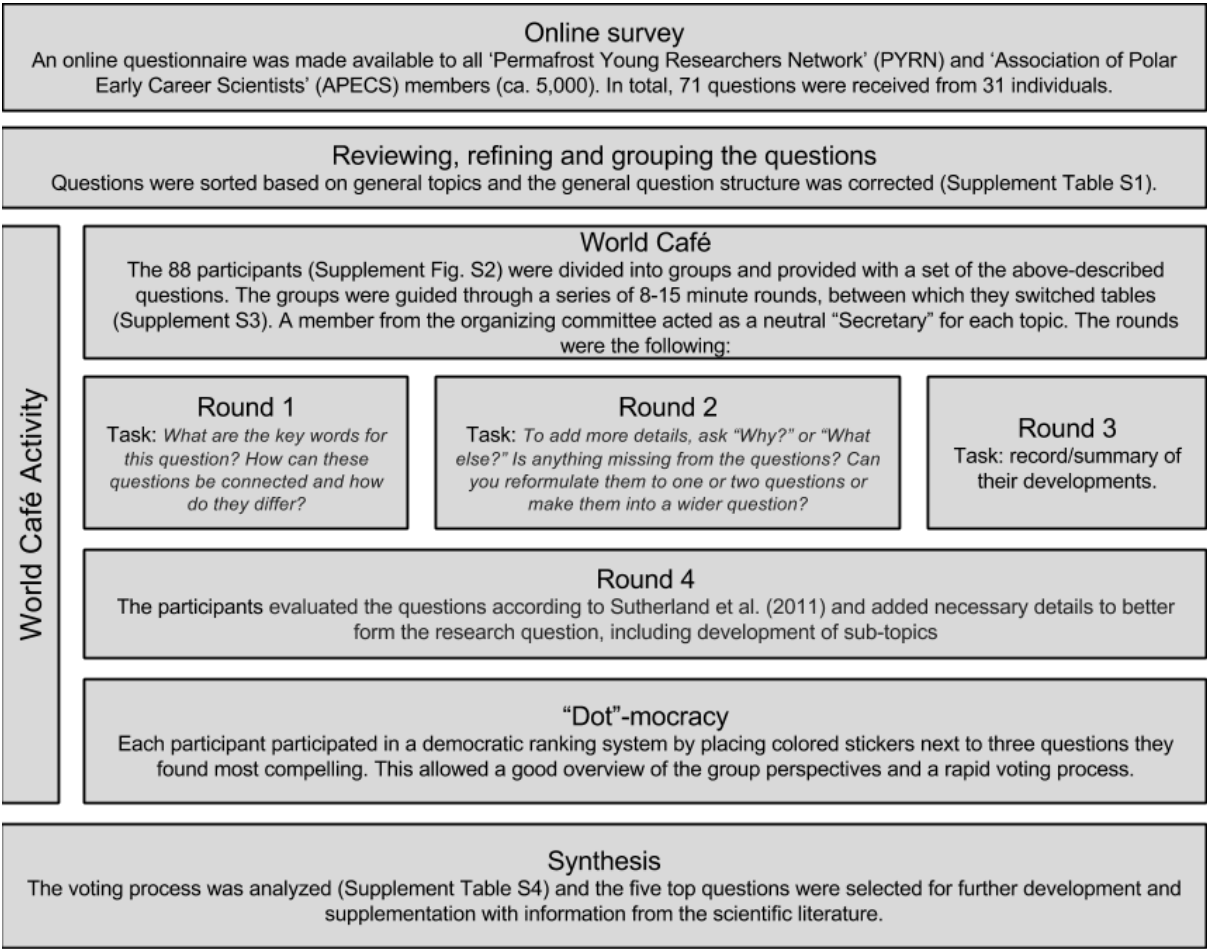
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1 **Figures**



2
3 **Figure 1.** Flowchart of the process used to develop and refine future research questions.
4 Questions were initially developed via an online survey. After some refinement, the process
5 continued with an on-site World Café (Brown and Isaacs, 2001) workshop. Questions asked
6 throughout the World Café enabled participants via group discussion to consider structure,
7 breadth and depth of the questions (Sutherland et al., 2011). Workshop participants
8 (Supplement Fig. S2) voted to identify the questions they believed to be the most compelling
9 as a final step in the on-site activities. Based on votes, five questions were selected for further
10 development and dissemination. The collaborative nature of the activities, coupled with
11 substantial interest from all participating ECRs, enabled high levels of participation and
12 thoughtful discussions about the future of permafrost research. Detailed workshop guidelines
13 are given in Supplement S3.