

1 **Brief Communication: Future avenues for permafrost**  
2 **science from the perspective of early career researchers**

3

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## 1 **Abstract**

2 Accelerating climate change and increased economic and environmental interest in  
3 permafrost-affected regions have resulted in an acute need for more directed permafrost  
4 research. In June 2014, 88 early career researchers convened to identify future priorities for  
5 permafrost research. This multidisciplinary forum concluded that five research topics deserve  
6 greatest attention: permafrost landscape dynamics; permafrost thermal modelling; integration  
7 of traditional knowledge; spatial distribution of ground ice; and engineering issues. These  
8 topics underline the need for integrated research across a spectrum of permafrost-related  
9 domains and constitute a contribution to the Third International Conference on Arctic  
10 Research Planning (ICARP III).

11

## 12 **1 Introduction**

13 Permafrost is a major component of the cryosphere, underlying 24% of the Northern  
14 Hemisphere' land surface (Zhang et al., 1999), as well as parts of Antarctica, alpine areas and  
15 high plateaus around the world. Due to rapid warming in the Arctic, permafrost areas are now  
16 changing, with global implications for the carbon cycle and climate feedback mechanisms  
17 (Schaefer et al., 2012). The World Meteorological Organization and the United Nations  
18 consider permafrost to be an essential climate variable. Despite the knowledge that permafrost  
19 areas contain twice as much carbon (~ 1100–1500 Pg) than is currently in the atmosphere  
20 (Hugelius et al., 2014) and that permafrost temperatures have increased significantly during  
21 the last 20–30 years (Romanovsky et al., 2010), climate projections in the IPCC Fifth  
22 Assessment Report (AR5) did not account for emissions from thawing permafrost, nor for the  
23 effects of permafrost carbon feedback on global climate (IPCC, 2013). Circumpolar  
24 permafrost areas in the Arctic have been used for settlements and hunting grounds for  
25 indigenous peoples, resulting in a legacy of knowledge. Conservation of cultural heritage sites  
26 and the construction of industrial and municipal infrastructure on permafrost are costly and  
27 challenging.

28 Over the past two decades, the International Arctic Science Committee (IASC) and the  
29 Scientific Committee on Antarctic Research (SCAR) have organized activities focused on  
30 international and interdisciplinary perspectives for advancing Arctic and Antarctic research  
31 cooperation and knowledge dissemination in many subject areas. For permafrost science,  
32 however, no consensus document exists at the international level to identify future research

1 priorities, although the International Permafrost Association (IPA) highlighted the need for  
2 such a document during the 24th IPA Council meeting in June 2012 (IPA, 2012), and has  
3 been working toward building such a document in time for the Third International Conference  
4 on Arctic Research Planning (ICARP III).

5 This manuscript presents the outcome of an international and interdisciplinary effort  
6 conducted by early career researchers (ECRs) in 2014. Online community input and a  
7 conference workshop highlighted five priority research questions on the future avenues of  
8 permafrost science. This consensus statement has been formulated in collaboration with the  
9 IPA as a contribution to ICARP III from ECRs in order to raise permafrost issues to the  
10 prominent position that they urgently deserve.

11

## 12 **2 Community consultation process**

13 Community input exercises are increasingly viewed as a valuable step towards  
14 elaborating future research priorities or questions in a well-defined scientific community (e.g.  
15 Kennicutt et al., 2014; Seddon et al., 2014). We aimed to meet our goals of hosting an  
16 effective large group dialogue by means of online question development followed by a World  
17 Café conversational process (Brown and Isaacs, 2001). This process has been continually  
18 evaluated following the research question guidelines presented by Sutherland et al. (2011). An  
19 overview of the process is provided in Figure 1.

20 This activity took place as part of an ECR Workshop held prior to the 4th European  
21 Conference on Permafrost (EUCOP) in Évora, Portugal (Schollaen et al., 2014). Participants  
22 were provided with live instructions (Supplement S3) and worked with more than 20 different  
23 members of the ECR permafrost research community while viewing a variety of research  
24 topics.

25

## 26 **3 Breadth of questions**

27 The submitted questions covered a broad range of topics that focused on physical  
28 processes (32), biogeochemistry (14), social interactions and impacts (9), engineering (9),  
29 ecology (4), and modelling (3) (Supplement Table S1). Of the 20 questions that received  
30 votes at the end of the World Café, 11 were associated with permafrost degradation or  
31 changes in permafrost properties (Supplement Table S4). This highlights the current changing

1 nature of the terrestrial cryosphere environment and is directly linked to research interests in  
2 thermokarst, active-layer monitoring and drivers of change. Tied for second were the  
3 keywords “ground ice” and “carbon”, which are linked to two distinct fields in permafrost  
4 research. Ground-ice research hints at a more classical, geocryological approach to permafrost  
5 science and is concerned mostly with permafrost distribution, formation processes and  
6 sensitivity to thaw, while carbon research follows a more recent research focus linking  
7 permafrost dynamics to carbon cycling by investigating its abundance, distribution and  
8 vulnerability. Inter-related research topics such as “permafrost distribution”, “process-related”  
9 questions, “hydrology” and “subsea permafrost” followed these three, and expressed less  
10 frequent but nonetheless important research avenues.

11

## 12 **4 Highlighted research questions for permafrost science**

### 13 *4.1 How does permafrost degradation affect landscape dynamics at different spatial and* 14 *temporal scales? (Q1)*

15 Warming permafrost in the polar regions and in mountain and high-plateau landscapes  
16 results in degradation and, with it, various interactions and feedback processes (e.g. Haeberli  
17 et al., 2010; Romanovsky et al., 2010; Oliva and Ruiz-Fernández, in press). These changes are  
18 complex and operate at different spatio-temporal scales, sometimes involving remarkable  
19 changes to landscape dynamics. While some of these regions react slowly to long-term  
20 changes, others may respond rapidly or even abruptly to threshold crossing (Rowland et al.,  
21 2010). Thermoerosion and mass movements can affect sediment, nutrient and soil organic  
22 carbon fluxes (Bowden et al., 2008; Grosse et al., 2011). Melting of ground ice and the  
23 evolution of thaw lakes will affect the water composition, hydrological transport and water  
24 storage capacity of the land (Grosse et al., 2007). These changes also interact with vegetation  
25 and snow cover, in a series of complex positive and negative feedbacks at the ground surface  
26 as well as in the active layer of the permafrost.

27 More accurate knowledge on the causes and consequences of permafrost degradation will  
28 help to better assess community planning and landscape evolution models. Future research  
29 should focus on the identification and quantitative description of processes affecting different  
30 types of landscapes and integrating or applying the results at multiple spatial scales. The  
31 identification and quantification of tipping points and long-term monitoring of currently  
32 degrading sites will provide useful information on the development and recovery of the

1 landscape. This will further enable the development of conceptual models that can help to  
2 understand the timeframe, scale and frequency at which these processes operate. This  
3 information is crucial to form a more solid foundation for predicting and modelling the long-  
4 term evolution of the landscape morphology along with aquatic and atmospheric fluxes.

5

6 *4.2 How can ground temperature models be improved to better reflect permafrost dynamics at*  
7 *high spatial resolution? (Q2)*

8 In the rapidly warming Arctic, better monitoring and prediction of permafrost  
9 degradation at a variety of spatial scales is critical for providing a range of stakeholders - from  
10 scientist to local government and industry - with the tools they need to observe and plan for  
11 future effects on the environment and human activities. While models capable of representing  
12 many of the important processes at relevant scales have been recently developed, they remain  
13 too complex to be used by others than modelling experts and for more than generic scenarios.  
14 From global to regional scales, a number of approaches have facilitated mapping of the  
15 ground-thermal regime and its evolution over time in the past years (e.g. Gruber, 2012;  
16 Westermann et al., 2013). However, on the local scale, existing tools are either too simplistic  
17 or too complex to provide answers to many of the problems that Arctic communities will be  
18 facing in the near future. Hereby, a main problem is the availability of forcing data sets at  
19 such scales, which requires permafrost modeling in conjunction with downscaling approaches  
20 (e.g. Zhang et al., 2012; Gruber, 2012). Future research should be focused on identifying  
21 which processes are most important for a variety of scales and problems, so that usable  
22 models with varying levels of complexity can be developed for all arctic stakeholders. In  
23 particular, the thermal evolution of permafrost soils with high ground-ice content poses a  
24 challenge for modeling, with thermokarst, ground subsidence and, in general, a modification  
25 of the hydrological regime over time. These processes are controlled by factors with high  
26 spatial variability, such as the type and density of vegetation, snow cover, soil moisture,  
27 human activity, which are in many cases interdependent (e.g. Painter et al., 2013). Developing  
28 model representations for these processes is amongst the most urgent challenges, both on  
29 local scale to better inform stakeholders (e.g. on ground stability), as well as on large scales to  
30 improve the projections on the fate of permafrost ecosystems and their carbon cycle.

31

32

1 *4.3 How can traditional environmental knowledge be integrated in permafrost research? (Q3)*

2 The circumpolar Arctic is inhabited by indigenous peoples, such as Inupiat, Aletus and  
3 Alutiiq in Alaska; Inuit, Dene and Athabaskans in northern Canada; Kalaallit in Greenland;  
4 Sami in Fennoscandinavia and Chukchi, Yupiaq and Sakha in Russian Siberia. Having lived  
5 in close contact to the nature in the Arctic for a long time, indigenous peoples have observed  
6 the consequences of the variations in permafrost conditions that could provide valuable  
7 information to scientists. Traditional Environmental Knowledge (TEK) incorporates practice  
8 and belief and evolves by adaptive processes which are handed down through generations by  
9 cultural transmission. The highly specialized knowledge about the Arctic- environment is thus  
10 preserved in the collective memory (Henry et al., 2013 and references therein).

11 The description of environmental processes by the non-scientific community, including  
12 indigenous peoples, often differs from that of the scientific community. It is challenging for  
13 the scientific community to incorporate TEK into existing scientific methods and to find ways  
14 to build up trust for communication. Indigenous observations and concerns have been taken  
15 into account increasingly in the literature and recent initiatives exist where the northern  
16 communities actively participate in research projects (Bennett and Lantz, 2014; Bull and  
17 Juutilainen, 2014; Tondu et al., 2014).

18 Although there are examples of successful applications and integration of TEK in the Arctic  
19 for the purpose of co-management of natural resources (Bennett and Lantz, 2014; Tondu et  
20 al., 2014), increased effort is still needed to evaluate the resilience of Arctic communities  
21 (Henry et al., 2013). Successful adaptation to environmental changes demands a holistic  
22 system perspective, to which permafrost science in the case of the Arctic clearly can and  
23 should contribute. For the scientific community to document and assess traditional  
24 knowledge, as well as for adaptation in the socio-ecological and socio-economical systems in  
25 the Arctic, finding ways to work together in mutually beneficial and respectful ways seems to  
26 be the key to succeed with communication.

27

28 *4.4 What is the spatial distribution of different ground-ice types and how susceptible is ice-*  
29 *rich permafrost to future environmental change? (Q4)*

30 Ground ice is a fundamental component of permafrost soils. In the Arctic lowlands of  
31 Eurasia and North America ground ice can occupy up to 80% of the soil volume in the upper

1 20-30 meters of permafrost (Brown et al., 1998). The amount of ice and its vertical and lateral  
2 distribution are central parameters controlling the thermal, physical and geochemical  
3 properties of permafrost deposits as well as their behavior to thaw. The presence of excess  
4 ice, including massive ice, is a key factor affecting the thaw sensitivity of permafrost to  
5 warmer temperatures and mechanical disturbance as ice melt can result in thermokarst  
6 topography (subsidence and collapse) (Czudek and Demek, 1970). Although many field  
7 studies characterize cryostructures, measure ground-ice content and map ground-ice  
8 distribution, a concerted and organized mapping initiative that feeds into international  
9 databases is still lacking. Differentiating between epigenetic and syngenetic ground-ice  
10 development could become a key for classifying and mapping the susceptibility of ice-bearing  
11 permafrost landscapes to warming, thaw, ground-ice melt and finally for landscape  
12 reorganisation. The localisation of massive ice bodies such as ice wedges and buried glacier  
13 would be essential to create sensitivity maps to upcoming environmental changes. Until now,  
14 the National Snow and Ice Data Center has been the principal database on ground-ice  
15 conditions, but it does not support the direct input of field-based information by international  
16 researchers. Similarly, the Global Terrestrial Network for Permafrost (GTN-P) is the primary  
17 international program concerned with monitoring permafrost parameters (Biskaborn et al.,  
18 2015), but it does not include or provide information on ground ice.

19 Efforts to address this issue should focus on remote sensing applications for landform  
20 classification and on geophysical tools and drilling for the detection of subsurface ice.  
21 Ground-ice-related information should be integrated in a dedicated database, such as GTN-P,  
22 opening the door to regional extrapolation by integrating these data into climate models.

23

24 *4.5 What is the influence of infrastructures on the thermal regime and stability of permafrost*  
25 *in different environmental settings? (Q5)*

26 The economic development of the Arctic, subarctic, and permafrost regions at lower  
27 latitudes is facing numerous engineering challenges since the performance of engineering  
28 structures and transportation systems are reliant on the strength of permanently frozen soil  
29 and bedrock. Numerous examples exist where the combined effects of climate change and  
30 inappropriate technical solutions due to lack of knowledge led to irreversible damages or have  
31 required intensive maintenance, adaptation and premature reconstruction (Bommer et al.,  
32 2010 and references therein).

1 National guidelines and recommendations have recently been developed to adapt  
2 infrastructures in permafrost areas (e.g. Bommer et al., 2010; Canadian Standards  
3 Association, 2010; Transportation Association of Canada, 2010). Still, long-term evaluations  
4 of these practices (e.g. Burgess et al., 2010) are needed to establish reliable tools and  
5 standardized guidelines. In order to facilitate the evaluation of the construction and  
6 performance of the infrastructure in their specific environmental context, future research  
7 needs to systematically integrate permafrost engineering with earth sciences. This could be  
8 done through a geosystem approach to assess the potential for natural hazards caused by  
9 human activity (USARC, 2003). A main challenge is to improve predictions of the behavior  
10 and performance of structures and to act prior to unstable permafrost conditions. test  
11 infrastructures in problematic permafrost sites are one way to work on this challenge  
12 (Malenfant-Lepage et al., 2012). Furthermore, it helps bridging the gap between  
13 meteorological and permafrost monitoring data which are useful for risk assessments and  
14 recurrence interval projections of extreme events (Callaghan et al., 2011). Overall, integrating  
15 engineering knowledge with other fields of science would benefit from and contribute to the  
16 impact assessments, socio-economic scenarios and adaptation strategies (USARC, 2003;  
17 Vincent et al., 2013).

18

## 19 **5 Synthesis**

20 This collaborative, discussion-based consultation process allowed the community of  
21 permafrost ECRs to share ideas, generate new research questions and better understand a  
22 myriad of complex topics relating to the future of permafrost science. The five questions  
23 presented in this article cover a wide range of topics in permafrost research and are highly  
24 interrelated. Additionally, we would like to highlight research questions related to carbon as  
25 permafrost carbon and its feedback dynamics are some of the most popular topics in our  
26 research field today based on the number of publications and citations (Hubberten et al.,  
27 2011). Questions Q1, Q2, and Q4 are all indirectly related to carbon dynamics and Q9, Q13,  
28 Q14, and Q16 (Supplement Table S4) directly deal with this topic. This demonstrates a  
29 specialization and fragmentation of our field as it grows rather than lack of interest, and also a  
30 need for integration across disciplines (Vincent et al., 2013).

31 A framework to answer the raised questions was outlined by Kennicutt et al. (2014) as a result  
32 of the first SCAR Antarctic and Southern Ocean Science Horizon Scan. It can directly be



1 adapted to permafrost research priorities in the polar areas, alpine and high-plateau regions.  
2 We require predictable and stable long-term funding; year-round and multinational access to  
3 research stations in permafrost areas; improved and continuous satellite observation,  
4 transparent national licensing procedures, application of emerging technologies;  
5 transdisciplinary international cooperation; and improved communication among all  
6 interested parties (cf. Kennicutt et al., 2014). As the next generation of permafrost  
7 researchers, we see the need and the opportunity to participate in framing this process. Across  
8 the polar sciences ECRs have built powerful networks, such as the Association of Polar Early  
9 Career Scientists (APECS) and the Permafrost Young Researchers Network (PYRN), which  
10 have enabled us to efficiently consult with the community. Many participants of this  
11 community -input exercise will be involved and also affected by the Arctic science priorities  
12 for the next decade within permafrost research. Therefore, we need to i) actively frame this  
13 process; ii) contribute our insights into larger efforts of the community such as the Permafrost  
14 Research Priorities initiative by the Climate and Cryosphere (CliC) Project together with the  
15 IPA (<http://www.climate-cryosphere.org/activities/targeted/permafrost-research-priorities>);  
16 and iii) help identify relevant gaps and a suitable roadmap for the future of Arctic research. To  
17 critically evaluate the progress made since ICARP II and to revisit the science plans and  
18 recommendations will be crucial.

19 IASC and the IPA, together with SCAR on bipolar activities, should coordinate the research  
20 agendas in a proactive manner engaging all partners, including funding agencies and policy  
21 makers. Disseminating the knowledge, i.e. communicating our main findings to society for a  
22 dialogue between research and the public is a priority, along with active and ongoing  
23 scientific research. Special emphasis must be given to indigenous peoples living on  
24 permafrost, where knowledge exchange creates a mutual benefit for science and local  
25 communities. The ICARP III process is an opportunity to better communicate the global  
26 importance of permafrost to policy makers and the public.

27

28 **The Supplement related to this article is available online at:**

29

30

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17

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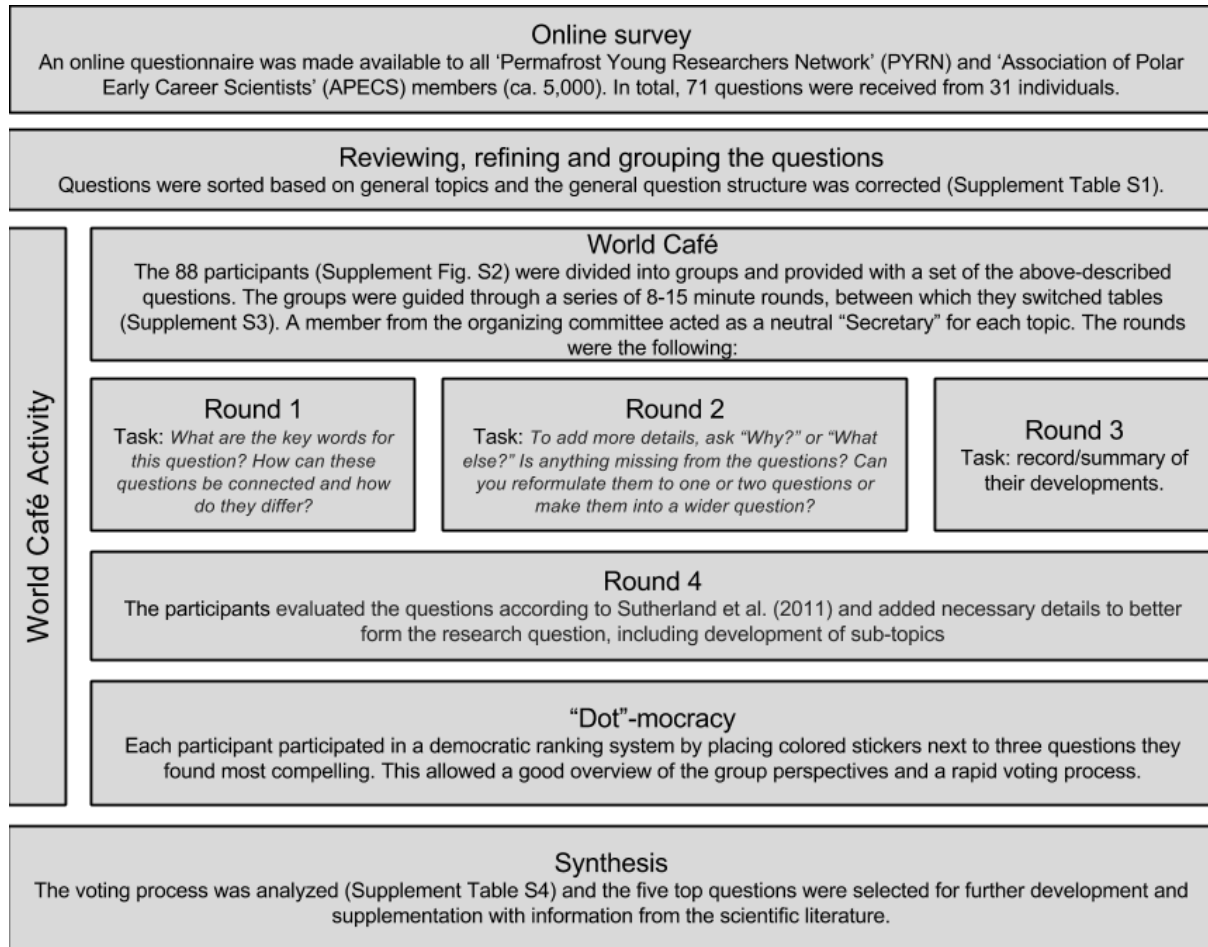
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# 1 Figures



2

3 **Figure 1.** Flowchart of the process used to develop and refine future research questions.

4 Questions were initially developed via an online survey. After some refinement, the process

5 continued with an on-site World Café (Brown and Isaacs, 2001) workshop. Questions asked

6 throughout the World Café enabled participants via group discussion to consider structure,

7 breadth and depth of the questions (Sutherland et al., 2011). Workshop participants

8 (Supplement Fig. S2) voted to identify the questions they believed to be the most compelling

9 as a final step in the on-site activities. Based on votes, five questions were selected for further

10 development and dissemination. The collaborative nature of the activities, coupled with

11 substantial interest from all participating ECRs, enabled high levels of participation and

12 thoughtful discussions about the future of permafrost research. Detailed workshop guidelines

13 are given in Supplement S3.