# Response to referee comments and short comments

- 2 Brief Communication:
- 3 Contending estimates of early 21<sup>st</sup> century glacier mass balance over the Pamir-
- 4 Karakoram-Himalaya
- 5 Andreas Kääb, Christopher Nuth, Désirée Treichler, Etienne Berthier
- 6 The Cryosphere Discuss., 8, 5857-5874, 2014

8 We would like to thank the authors of all reviews and comments for their valuable and helpful

- 9 feedback that certainly helped to improve our contribution. Detail responses are provided below
- together with a mark-up manuscript version showing the changes made in response to the
- 11 referees.

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#### M. Pelto:

- 14 1) There should be a mention of the difference between the dominantly summer accumulation
- type glaciers and the glaciers that receive considerable winter accumulation.
- 16 2) There are nine regions listed in Table 1, most do not get mentioned, but should be to provide a balanced
- 17 comprehensive assessment at the start of section 2. Seven of the nine regions have substantial negative
- balances, one a slight negative balance and one a positive balance. From the eastern end of the study area
- the ENS range through the main Himalaya of Bhutan, Nepal, India and Tibet, China to the Split-Lahaul
- 20 Region the mass balance losses are consistently significantly negative. This area is dominated by summer
- accumulation type glaciers with the majority of the snowfall occurring during the summer monsoon. There is
- then a sector of less negative mass balance in the Western Kunlun Shun and Karakoram and Eastern Pamir.
- 23 The mass balance is again negative in the Hindu Kush and Western Pamir range. This western end of the
- 24 study area features glaciers less influenced by the summer monsoon. This paper does not need to fully
- 25 explore the issue just comment on it in the review of data in Section 2. Might be worth referencing the recent
- 26 paper by Li (2014), which discusses some of these regional variations in Tibet.
- 27 LI Z.. Glacier and Lake Changes across the Tibetan Plateau during the Past 50 Years
- of Climate Change. Journal of Resources and Ecology, 5(2): 123-131, 2014.
- We agree that summer- and winter-accumulation types of glaciers along the Himalaya arc should
- be mentioned. We added a paragraph at the end of section 2.2 with references to several key
- recent papers that suggest a possible relationship between diverse climate influences (monsoon,
- westerlies) and the contrasted rates of glacier mass change.

## Anonymous Referee #1:

- 35 *SUMMARY* — -
- In this brief communication, Kääb and coauthors present a well written, extremely condensed
- *series of results concerning the glacier mass changes in the Pamir-Karakoram- Himalaya region.*
- The results are based upon analyses of ICESat data, and thus refer to the period 2003-2008.
- Important insights are gained in the spatial pattern of the glacier mass changes, differences with
- 40 respect to earlier estimates are discussed, and some simple quantification of the glacier

contribution to regional runoff is performed. Whilst there is no question about the scientific merit of the publication, I wonder whether the format of the contribution complies with "The Cryosphere":

43 The main text contains minimal methodological explanation, and the reader has basically to

"discover" himself that some additional, still not exhaustive information can be found in an online supplement.

In my opinion, deciding whether this kind of format wants to be promoted by "The Cryosphere" is a task of the editorial board.

To our best knowledge, the format of our contribution fits to the character of a 'Brief Communication' (http://www.the-cryosphere.net/submission/manuscript\_types.html) and has been approved as such by an editor before appearing in The Cryosphere Discussion. In the revised manuscript, we now make sure the online supplement is well referenced (see our response below). Also, the choice of this short format is justified by the fact that the methodology and part of the results are published in extensive detail elsewhere (Kääb et al., 2012 and the supplement) and thus there is no need to repeat this already peer-reviewed and published information.

## — GENERAL COMMENTS——

The only general comment I have concerns the way methodological information is given. Basically, the main article contains only brief "hints" on the methodology, mostly concentrated in the current "introduction" section and the first lines of section 3. The problem is somehow "aggravated" by the fact that the information about some additional information being available in an online supplementary is not well communicated either: The reader has to "discover" it either through the standard sentence appended to the manuscript ("The Supplement related to this article is available online at: ::") or through the caption of Figure 2 ("For details on the gauging stations used and the uncertainty of the contributions see Supplement."). Having this information mentioned earlier would already help. I'm not sure whether the decision of not including more detailed methodological information was guided by space restrictions due to the "Brief communication"format or because the manuscript was originally intended for another journal. As a reader, however, I would appreciate if the most important information about the methodology could be included in the main manuscript. By "most important" I mean, for example, the information necessary to understand why the authors conclude that the magnitude and variability of the SRTM *C-band penetration is "of larger magnitude than previously assumed" (Abstract and conclusions).* This is an important statement, but from the main text it is unclear what analyses have led to this conclusion. As said in the "summary" of this review: The question is not about the scientific merit of the publication, but rather about the format with which it is presented.

Our contribution is an original submission to TC, and was in no form submitted before to any other journal.

We included in the text several more clear references to the Supplement related to river discharges.

More information on the method of estimating SRTM radar penetration was added in section 2.3.

We prefer not to repeat the ICESat-related methods, as they are described in detail within Kääb et al. (2012), and we note that also other authors (Gardner et al. 2013) refer to Kääb et al. (2012) for method details. See also referee's #2 vote to not repeat method details from another paper. The decision not to include ICESat method details in the main text is guided by the aim that the reader is not distracted by it from the results (the main aim of this short contribution) and the tight length constraints for a TC 'Brief Communication'. We also prefer not to include these method details in a Supplement as this would result largely in a duplication of an already existing Supplement to another paper (Kääb et al. 2012).

— SPECIFIC COMMENTS ——-

- P. 5859 L.14-16: The comparison as such still does not ensure the absence of a sampling
- bias, does it? Some information about the histogram-matching step that (I believe) was performed would be useful.
- We found that no adjustments were necessary as ICESat sampled **glacier** hypsometry in a
- representative way. In the text we replaced 'ensure' with 'confirm'. Adjustments were indeed done

99 for **stable ground**, as described in Kääb et al. (2012).

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- 101 P. 5861, L. 22-25: I have difficulties in understanding the sentence. From what I understood
- 102 from P.5862 L 5-6, the basic statement is that by repeating the analysis using
- the Randolph Glacier Inventory instead of the outlines actually used in the analyses,
- one would get more negative elevation changes, correct? I suggest rewording the
- 105 sentence.

106 Done

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- 108 P. 5862, L 5-6: Do you actually mean "too negative"? According to the numbers given
- in Table 1, the results by Gardner et al. are less negative than the one presented here.
- Why would they be "too negative" then?
- The referee is right. As explained above, the Gardner et al. rates of elevation changes on glacier
- tends to be too high (i.e., not as negative as they should) due to the inclusion in their glacier
- sample of mis-classified land footprints for which rate of elevation difference are close to 0. Thanks
- for spotting this mistake, this is now fixed.

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- 116 P. 5862, L. 19-20: The references are all right, but here is definitively a point where
- some more information on how the penetration depth was estimated would be of great
- 118 benefit.
- More information on the penetration estimate was included in section 2.3.

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- 121 P. 5863, L. 24-26: Again, I don't understand the sentence. Can it be splitted in two
- separate statements? I would think that for clarity, even an equation would be helpful.
- The sentence was split and the scaling was explained in more detail (in addition to the description
- in Kääb et al. 2012). We believe that a relation of the form
  - Area<sub>glaciers</sub>/Number<sub>glacier\_footprints</sub>= Area<sub>entire\_zone</sub>/Number<sub>all\_footprints</sub>
  - is too trivial to include as a formula in a compressed 'Brief Communication.

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- 128 P. 5864, L. 5-9: The description is "minimalistic" again. Taking the text literally, one
- could even arise the doubt whether the units have been treated adequately...
- The text was modified to reflect that the list of uncertainties included should not strictly be
- read as formula.

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- 133 P. 5865, L. 22-23: In the text, the description of what data led to Fig. 2 is insufficient.
- Either include more information or (at least) point directly at the supplementary
- 135 *material*.
- 136 A reference to the Supplement was included.

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- 138 P. 5866, L. 15-19: Where is this result coming from exactly? There were no sampling
- problems mentioned in the text, were there? Has it to do with the procedure mentioned
- 140 at P. 5863, L. 24-26?
- End of section 2.3. the insufficient ICESat sampling with respect to glacier surges was explained in
- a more detail in the revised manuscript.

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144 P. 5866, L. 20-22: Again: It is not clear what exactly led to this conclusion. In particular:

- 145 Why is the penetration depth now estimated to be higher than what estimated in Kääb
- 146 et al. (2012)?
- We modified slightly to clarify that this is not in contrast to Kääb et al. 2012. In fact maximum
- penetration (average per zone) found by Kääb et al. 2012 is around 2.5 m, but much higher values
- were found for the new areas in the west and east that were not part of Kääb et al. 2012.
- 150
- 151 P. 5867, L. 11: Mentioning the actual stream gauges the given numbers refer to would
- facilitate comparability to other studies. The generic information "where they [the mentioned
- rivers] leave the mountains" is too vague for being useful.
- More details are now provided and all necessary information included in Table S1.

- 156 *P.* 5867, L.18-19: Maybe repeat what could explain the discrepancy found for the Himalaya and the East Nyaingentanglha Shan.
- We provide some potential explanations in section 3.1, but prefer to not highlight these in the
- conclusions beyond stating the disagreement, as we think it would be more up to gravimetry
- experts to discuss the potential influence of ground water depletion and endorheic basins on
- satellite gravity.

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- 164 MINOR COMMENTS, AND SUGGESTIONS FOR TEXT RE-ARRANGEMENTS
- 165 AND FORMULATIONS —-
- 166 Title: The study addresses the period 2003-2008 only. I would therefore replace the
- rather vague "early 21st century" with the more specific information "2003-2008". DONE
- 168 P. 5858 L.10-12: Since these sentences are more a methodological aspect than a
- proper result, I would suggest moving them directly at L.2 (i.e. after the first sentence). DONE
- 170 P. 5858 L.13: As now, the section should probably be called "introduction and methods". DONE
- 171 P. 5858 L.14-18: It would be good having some references backing up this claims. DONE
- 172 P. 5858 L.19: A detail, but since ICESat was operative until 2009, you may want to
- include a hint already here for why your period of analysis spans to 2008 only. DONE
- 174 *P.* 5858 L.14: "by" should be moved before "(i)" DONE
- 175 P. 5859 L.5-6: Since geographic names are mentioned, it would be good being pointed
- 176 at Fig. 1 here already. DONE

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- 178 P. 5859 L.18-20: More a question than an analysis I would
- suggest to actually include in the manuscript: Wouldn't the High Asia Reanalysis (HAR)
- dataset be suitable for gaining some additional insights in these processes?
- We are not sure what the referee means as L18-20 are section titles. In general we prefer to not
- investigate trends based on HAR. According to the HAR user guide (http://www.klima-ds.tu-
- berlin.de/har/har user guide V1.4.pdf): "Due to the use of final analysis data as input and the
- short period of time where HAR data is available, trend calculations are not robust and should not
- be conducted with HAR. The focus of HAR based analyses should be hold on decadal averages,
- inter-annual to intra-seasonal variability, and physical process understanding". But we added the
- 187 HAR key publication as further reference related to the different climatic regimes along the
- Himalayas. HAR is certainly very helpful (and meant) for that purpose. A detailed meteorological
- interpretation of potential processes behind the observed spatio-temporal patterns of glacier
- volume change is beyond the focus of the present contribution.

- 192 P. 5860 L.2: I suggest replacing "is" with "seems" since there is no proper evidence for
- *the claim (is there?).* DONE
- 194 P. 5860 L. 5-7: I do not understand this sentence. My guess is that you mean something
- like "Combined, the results by Gardner et al. (2013), Neckel et al. (2014), and the glacier elevation
- change pattern of Fig. 1 suggest...". Please reformulate the sentence. DONE

- 197 P. 5864, L. 27: I suggest reformulating the sentence into something like "Note that
- 198 Gardner et al. (2013) offer a second, more negative..." (the many commas in the
- 199 current formulation are distracting). DONE
- 200 P. 5865, L. 10: Is the number " $0.06 \pm 0.01$  mm/yr" a results of this study or from the
- study by Gardner et al.? The sentence is not clear in this respect. DONE
- 202 P. 5865, L. 15:I suggest adding "(positive discharge equivalent, DE)" after "2003-2008" (as far as
- *I understand, you don't want to mention the value given in Tab. 2 here, correct?).* DONE
- 204 P. 5865, L. 18: What does "at the glaciers" actually mean? DONE

# P. Reggiani and T.H.M. Rientjes

- A general response to Reggiani and Rientjes is given in a separate Author Comment:
- 208 http://www.the-cryosphere-discuss.net/8/C2809/2015/tcd-8-C2809-2015.pdf
- Here we list the changes made to section 3.2 of the revised manuscript in response to the
- 210 comment:

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- Added: "Note that computation of our discharge equivalents is a pure unit conversion from Gt yr<sup>-1</sup>
- 212 to m<sup>3</sup> s<sup>-1</sup>, neglecting any hydrological processes and with the sole aim to roughly evaluate the
- relative importance of glacier mass changes in the catchments for river flow."
- Added: "For instance for the Upper Indus basin, the hydrological balance is under ongoing
- discussion (Reggiani and Rientjes, 2014) and we hope that our glacier mass change estimates can
- contribute towards balance closure and better understanding of spatial-temporal patterns of run-off
- or high-elevation precipitation amounts in the region (e.g. Immerzeel et al., 2012)."

#### D.J. Quincey (Referee # 2)

- 221 General comments:
- 222 This contribution is a valuable extension to the study of Kaab et al., 2012 to include
- several poorly studied parts of the Himalaya and neighbouring ranges. Given that
- 224 the methods are largely detailed in this previous study, it makes sense that they are
- 225 not repeated here. The results are clearly presented and discussed and will make
- a further excellent contribution to the literature. The additional discussion relating to
- 227 SRTM penetration is interesting and of value to many other related studies.
- 229 Specific comments:
- 230 I have two specific comments. The first relates to the conclusions of the study. Several of them were
- a bit surprising, in that they weren't an obvious outcome of the study (until I read them). I therefore
- suggest the authors revisit the stated conclusions and ensure they properly reflect the preceding text.
- 233 My last four comments in the 'technical' section below reflect this. The second relates to the
- 234 consideration of climate in the study. Of course it is difficult to give full consideration to everything

- 235 in such a short manuscript, but since you devote the second half of a long paragraph to specifically
- discussing the Karakoram climate, it should be mentioned somewhere that the climate in the west is
- very different to that in the east. It is after all the primary control on the spatial variability in mass
- change that you present. A few lines should sort it.
- We added a paragraph on glacier-climate variability at the end of section 2.2.
- 240
- 241 Technical comments:
- 242 *P5858*
- Lines 18-23: these aims neglect a major point of the study to evaluate the contribution
- of glacier mass loss to river discharge. ADDED
- Line 24: 'by' should be before the (i). DONE
- 246 *P5860*
- Lines 2-5: as far as I'm aware there hasn't really been much support for the topographic
- or glaciological characteristics being the cause of the anomaly in the literature. On the
- other hand there have been rather more studies showing/citing climate as being the
- driving force, most of which you then go on to discuss. I'm thus not sure this statement
- 251 reflects the debate very well. AGREED, AND MODIFIED ACCORDINGLY
- *Lines 5-7: This doesn't read very well can you rephrase?* REPHRASED
- Line 7: You could (should?) split the paragraph starting at 'Direct', since this is now
- 254 discussion related to your data, rather than your own results. DONE
- Line 8: missing 'are' between 'trends' and 'uncertain'. DONE
- 256 Line 9: suggest, not suggests. DONE
- 257 *P5861*
- Lines 5-6: Not sure I follow. How have the accumulation areas been lost? And how is
- 259 this evidenced in the Landsat data? MODIFIED
- 260 *P5862*
- Lines 10-11: should read 'As a consequence, SRTM glacier elevations do not, in general,
- 262 reflect real mid-February 2000 glacier surface elevations... 'DONE
- 263 P5863
- Line 5: 'is able to reconcile' can simply be 'reconciles' Line 15: 'is again able to completely
- 265 reconcile' can just be 'again reconciles' DONE
- 266 P5866
- Lines 6-7: did you show this here (that firn lines have risen towards or above max
- 268 glacier elevations?). You're probably right, but I'm not sure it's a conclusion of this
- 269 study? REMOVED
- Lines 15-19: again, I'm sure you're right but did you show this here?
- We modified, but prefer to leave this important disclaimer with respect to our Pamir and Hindu
- 272 Kush glacier volume changes. In fact, when superimposing the ICESat tracks over Landsat data
- 273 and the elevation change maps from Gardelle et al. (2013), as we did, the problem becomes
- obvious.
- 275
- 276 *P5867*
- 277 Lines 2-4: It's good that you're open about this, but are you suggesting your inference
- is not believable? In which case it rather undermines your previous discussion about it
- (in which there is no hint that you think it could be wrong). REMOVED
- Lines 9-16: Given this is a key conclusion, I see no obvious mention/discussion of it in
- the preceding text? I think you should at least mention it in Section 3.2. In fact, these
- lines read like a part of the discussion, rather than a conclusion.
- 283 Explained now in more detail in section 3.2.

# **Brief Communication:**

# Contending estimates of early 21<sup>st</sup> century 2003-2008 glacier mass balance over the Pamir-Karakoram-Himalaya

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#### **Abstract**

We present glacier thickness changes over the entire Pamir-Karakoram-Himalaya arc based on ICESat satellite altimetry data for 2003-2008. We highlight the importance of C-band penetration for studies based on the SRTM elevation model. To the very east and west of our study area, this penetration seems to be of larger magnitude and variability than previously assumed. The most negative rate of region-wide glacier elevation change (< -1 m yr $^{-1}$ ) is observed for the East Nyainqêntanglha Shan. Conversely, glaciers of the West Kunlun Shan are slightly gaining volume, and Pamir and Karakoram seem to be on the western edge of this mass gain anomaly rather than its centre. For the Ganges, Indus and Brahmaputra basins, the glacier mass change reaches -22  $\pm$  3 Gt yr $^{-1}$ , about 10% of the current glacier contribution to sea-level rise. For selected catchments, we estimate glacier imbalance contributions to river runoff from a few percent to greater than 10%. We highlight the importance of C band penetration for studies based on the SRTM elevation model. To the very east and west of our study area, this penetration seems to be of larger magnitude and variability than previously assumed.

## 1 Introduction and Methods

Region-wide measurements of glacier volume or mass change are limited for the Pamir-Karakoram-Himalaya region, leaving room for speculation about the glacier response to climate change and its hydrological significance. Between a handful of studies that narrow down the range of uncertainties for core parts of this remote mountain region, significant inconsistencies exist. Glacier mass change in high mountain Asia (or some part of it) have been obtained by (i) extrapolating the few existing in-situ mass balance series (Cogley, 2011; Bolch et al., 2012; Yao et al., 2012), (ii) using space gravimetry (Jacob et al., 2012; Gardner et al., 2013), (iii) laser altimetry (Kääb et al., 2012; Gardner et al., 2013). Between this handful of studies that narrow down the range of uncertainties for core parts of this remote mountain region, significant inconsistencies remain.

The aims of this study are to provide (i) a new consistent regional-scale data set from the ICESat autumn laser campaigns (2003-2008) by extending Kääb et al. (2012) to completely cover the study region by Gardelle et al. (2013) and several major river basins, (ii) to compare the results to other previous estimates of the Pamir-Karakoram-Himalaya glacier volume change, and (iii) to evaluate the contribution of glacier mass change to river runoff.

We follow the methods explained in Kääb et al. (2012) with a considerable extension towards the East Nyainqêntanglha Shan, the Pamir and part of the Tibetan Plateau (Fig. 1). In short, ICESat footprints are intersected with the February 2000 SRTM DEM and overlaid on the most snow-free multispectral Landsat images over ~2000-2013 to manually classify footprints into three classes; glaciers, non-glaciers and water. Glacier elevation difference trends are then estimated regionally and at a 1°×1° geographic grid by fitting a robust linear temporal trend to the time series of elevation differences between the SRTM DEM and individual ICESat footprint elevations. Trends are derived from autumn ICESat campaigns only (2009 ICESat winter campaigns excluded), because combined autumn and winter trends are sensitive to temporal variations in accumulation amount and timing, potentially introducing bias (see Supplement of Kääb et al., 2012). We ensure-confirm that our trends are not due to sampling bias of ICESat elevations by comparing ICESat elevation histograms with glacier hypsometry. The resulting elevation difference trends for all our zones are given in Tab. 1.

## 2 Glacier thickness changes

## 2.1 Thickening in the Karakoram and West Kunlun Shan

A first striking feature in the regional map of elevation difference trends (Fig. 1) is glacier thickness gain in the West Kunlun Shan (~ +0.1 m yr<sup>-1</sup>), agreeing with in-situ mass balance and length change measurements (Yao et al., 2012). There is a southwest to northeast gradient from considerably negative glacier mass balances in Hindu Kush and Spiti Lahaul to positive values in the Pamir-Karakoram-West Kunlun Shan region (Fig. 1). This suggests the so-called Karakoram glacier mass-balance anomaly (Hewitt, 2011; Gardelle et al., 2012), or Pamir-Karakoram anomaly (Gardelle et al., 2013), is rather the edge or southwest limit of an anomaly centred more to the northeast over the West Kunlun Shan, or Tarim Basin. The anomaly seemsis thus indeed the result of a larger-scale meteorological or climatic feature, not necessarily due toand peculiarities of the Karakoram topography or glaciers (e.g., surge type, hypsometry, avalanche contribution; Hewitt, 2011) do not necessarily play a decisive role, but a result of a larger scale meteorological or climatic feature. Combined, the Using results from by Gardner et al. (2013), and Neckel et al. (2014), and combined with the glacier elevation change pattern of Fig. 1 suggest the centre of the anomaly could be located over the Tibetan Plateau.

Direct precipitation measurements in this region are scarce thus trends are uncertain. Satelliteretrieved precipitation and gauge data (Global Precipitation Climatology Project) suggest an increase of precipitation over the study region north of Karakoram and east of Pamir (Yao et al., 2012). Chinese measurements show increased precipitation over the Tibetan Plateau (personal communication Chong-Yu Xu), and Tao et al. (2014) suggest wetter conditions over the Tarim Basin since the mid 1980s. A number of abnormally wet years occurred during the early 21<sup>st</sup> century over the Tarim Basin and the Tibetan Plateau (Becker et al., 2013), in particular for the hydrological years 2003/4 and 2005/6. A recent climate modelling study proposes that stable or increasing snowfalls characterise the Karakoram anomaly on a background of increasing air temperatures (Kapnick et al., 2014). Despite the available studies and data, further research seems necessary to consolidate the precipitation and temperature trends and the reason behind the slight glacier volume gains.

#### 2.2 Massive thinning in East Nyaingentanglha Shan and Jammu-Kashmir

The other striking feature in Fig. 1 is the massive glacier thickness loss in the East Nyaingêntanglha Shan to the very east (between -1 m yr<sup>-1</sup> and -1.7 m yr<sup>-1</sup>), also consistent

with the large negative mass balances and frontal retreats in this zone (Yao et al., 2012). The glaciers of East Nyainqêntanglha Shan have the smallest total elevational range in our study region, indicating a large sensitivity to fluctuations in the equilibrium line altitude (Pelto, 2010; Loibl et al., 2014). The few available in-situ mass balance measurements in the area suggest that the equilibrium line was over the vertical limits of the monitored glaciers in the late 2000s, and precipitation in this zone shows the strongest long-term decrease over the entire Pamir-Karakoram-Himalaya region (Yao et al., 2012; Becker et al. 2013). A similar pattern of glacier shrinkage, though less distinct, is found in Jammu Kashmir within our Spiti Lahaul zone and forms the cluster of second-largest thickness loss rates in this study (-0.5 to -0.7 m yr<sup>-1</sup>). Also here, Landsat data indicate that firn lines have risen towards high glacier elevations widespread loss of large parts of resulting in very small accumulation areas or even their complete loss.

The 2003-2008 glacier thickness changes in the other study zones are all similar, on the order of  $\sim$  -0.4 to -0.5 m yr<sup>-1</sup> (Tab.1), with more negative values in the Bhutan zone at the transition between the East Nyaiqêntanglha and Everest zones. We note that glaciers dominated by the summer monsoon (i.e. east of the Spiti Lahaul) all show thickness losses (summer-accumulation type glaciers; Fujita, 2008; Kapnick et al., 2014; Maussion et al., 2014). East Nyaiqêntanglha Shan, the zone with strongest glacier thickness loss, receives most accumulation during March-May (spring-accumulation type; Maussion et al., 2014). The glaciers with considerable winter accumulation under influence of the Westerlies show a more mixed picture with stable or growing thicknesses in the Karakoram and West Kunlun Shan, but thickness losses for instance in the Hindu Kush.

## 2.3 Comparison to previous thickness change studies

The following comparison to other studies uses average glacier thickness changes rather than total mass changes in order to minimize effects from different delineations of study zones, glacier cover areas, and density assumptions. From Hindu Kush and Karakoram in the west to Nepal in the east, results of all studies agree within their errors (Tab.1). Results are most sensitive to zone delineation in the Hindu Kush, reflecting the strong spatial variability of glacier thickness change rates in this area (Fig. 1) and presumably also locally heterogeneous glacier behaviour (Sarikaya et al., 2012; see also below for Pamir).

Significant differences between the results of all studies are found over East Nyainqêntanglha Shan. Our results and those from Neckel et al. (2014) agree within the errors, but not with Gardner et al. (2013) although all three studies are based on ICESat. While our study and

Neckel et al. use ICESat footprint classifications from contemporary satellite images, Gardner et al. use Randolph Glacier Inventory outlines (RGI version 2.0; Pfeffer et al., 2014), which contain considerable errors of commission and omission in this zone (see Table 1 in Gardelle et al., 2013). Repeating our analysis with footprint classifications based on the Randolph Glacier Inventory results in roughly 20%-less negative elevation difference trends on glaciers (~ 20% less negative) due to inclusion of non-glacier footprints. Vice versa, the elevation difference trends on land, very close to 0 when using our own footprint classification, become negative if ICESat footprints are classified using RGI, due to inclusion of glacier footprints. The remaining discrepancy is presumably due to the fact that the ICESat-based results of Gardner et al. are averaged from three different methods. Their results based on autumn footprints only (method B, Gardner et. al., 2013) suggest a thickness change rate of -0.86 m yr<sup>-1</sup>, which is in closer agreement with our results.

At a first glance, East Nyainqêntanglha Shan results from Gardelle et al. (2013; zone called there Hengduan Shan) and Gardner et al. (2013) seem to agree, but we believe this might be a coincidence. First, above we argue why the Gardner et al. results might be less negative. Second, the results in Gardelle et al. (2013) rely crucially on an estimate of SRTM C-band penetration. Over any glacier globally, the SRTM radar waves will typically have penetrated into the snow and ice, with potential largest penetration depths through snow and firn, and smallest through ice (Kääb et al, 2012; Dall et al., 2001; Rignot et al. 2001). As a consequence, SRTM glacier elevations do not, in general, reflect real mid-February 2000 glacier surface elevations but some lower horizon, the elevation of which depends among others on the dielectric properties and structure of the penetrated glacier volume during the SRTM campaign. For elevation difference studies where one of the elevation data sets is the SRTM, its penetration depth needs to be estimated for correction, and biases in this estimate translate directly into offsets in thickness change. While Gardelle et al. (2013) used an average C-band penetration of 1.7 m for East Nyaingêntanglha Shan estimated from the difference of SRTM C-band and X-band DEMs (Gardelle et al., 2012). Here, we extrapolate our ICESat elevation trends over 2003-2008 and their uncertainty back in time to the SRTM acquisition period in February 2000. Under the coarse assumption that the 2000-2003 trends equal the 2003-2008 ones, the extrapolation should at February 2000 result in a zero elevation difference to ICESat since the SRTM DEM was used as elevation reference. Offsets in this elevation difference for February 2000 are mainly attributed to SRTM radar penetration into ice and snow (for method and discussion see Kääb et al., 2012). For East Nyainqêntanglha Shan this analysis indicates an much higher average penetration of 8-10 m (7-9 m if based on

the winter trends that might alternatively be assumed to reflect February conditions), much more than the 1.7 m assumed in Gardelle et al. (2013), while the corresponding off-glacier penetration is not discernible from zero. Clearly, our penetration depth lies at the high end, but remains within the range of possible C-band phase-centre penetrations (Kääb et al, 2012, Dall et al., 2001, Rignot et al. 2001). Sakai et al. (2014) suggest the highest accumulation rates of the entire study region occur in East Nyainqêntanglha Shan, together with Hindu Kush. Correction of the Gardelle et al. (2013) results by our present C-band penetration estimate completely reconciles their results with ours. Note, however, that extrapolation of our 2003-2008 elevation difference trend back to 2000 is based on the strong-risky assumption that the 2000-2003 trend equals the 2003-2008 trend.

For the Bhutan zone, Gardelle et al. (2013) estimated a C-band penetration for February 2000 of 2.4 m whereas our extrapolation of ICESat trends suggests around 6 m, which again is able to-reconciles the results of both studies for this zone.

In the Pamir, our results are more negative than Gardner et al. (2013) and in particular Gardelle et al. (2013). As above, we suggest that our manual classification of ICESat footprints versus the Randolph Glacier Inventory contributed to the difference with Gardner et al. (Gardelle et al. used their own inventory). Also, the difference between our study and Gardner et al. is reduced if only the results from their Method B (similar to ours) is considered. Gardelle et al. (2013) find glacier thickness changes of  $+0.16 \pm 0.15$  m yr<sup>-1</sup> over the Pamir whereas the present study suggests  $-0.48 \pm 0.08$  m yr<sup>-1</sup>. Again, we find larger SRTM C-band penetration of 5-6 m compared to 1.8 m (Gardelle et al., 2013). Applying the average C-band penetration from the present study is again able to completely reconciles the results of both studies. However, comparison of both studies in Pamir is complicated by a number of glacier surges (Gardelle et al., 2013) in connection with particularly sparse ICESat glacier coverage. Visual inspection shows some Superimposing ICES at tracks over Landsat images and the elevation change map of Gardelle et al. (2013) reveals that they cross areas of either strongly positive or negative elevation change zones from surge waves. The ICESat trends thus become biased depending on where they sample surges, and the total ICESat sample size over Pamir is not large enough to compensate for these effects. The different observation periods for both studies (2000-2011 versus 2003-2008) may also have considerable impact due to surge activities and climate inter-annual variability (Yi and Sun, 2014).

## 3 Glacier mass changes and water resources

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We assume an average density of 850 kg m<sup>-3</sup> for all 2003-2008 volume changes to convert the thickness changes to water equivalent quantities (Huss, 2013; see Kääb et al. for different density scenarios). The total glacier area is estimated using a simple cross-product: we multiply the number of ICESat glacier footprints in each zone with the ratio between the total zone area and total number of ICESat footprints. Toe to the complete glacier cover to the complete glacier cover and used area estimates for the complete glacier cover based on scaling the density of ICESat footprints on glaciers with the areas and footprint densities of entire zones (Kääb et al., 2012), to convert the thickness changes to water equivalent quantities (Tab. 2). Our method to estimate the total glacier areas is certainly open to discussion, but we prefer the above procedure over using areas from the Randolph Glacier Inventory because of the large deviations to our estimates, mainly for East Nyainqêntanglha and Pamir, from the obviously outdated glacier outlines and voids in the Randolph inventory (Nuimura et al., 2014). The uncertainty of water equivalent quantities is estimated as root sum square of includes the standard error of the elevation difference trend fit, the off-glacier trends, an error due to temporal offset of the ICESat autumn campaigns from maximum cumulative ablation conditions, an uncertainty of  $\pm 20\%$  for the glacier cover areas, and an uncertainty of ±60 kg m<sup>-3</sup> for density (Kääb et al. 2012; Huss, 2013). The effects of these individual sources of uncertainty, all converted to error in mass change, are combined through the root sum of squares to arrive at the total uncertainty. Note that water equivalent results from this study are not identical to Kääb et al. (2012), even if elevation difference trends agree, due to the simplified density assumption and the different glacier area estimates used.

#### 3.1 Comparison to gravimetric mass loss

For the Pamir, Kunlun Shan and Karakoram (zone 8b of Jacob et al., 2012; note that the Karakoram is part of their zone 8b, not 8c as suggested by their zone names) we estimate a glacier mass change of -6±2 Gt yr<sup>-1</sup> for 2003-2008 that agrees well within the error with Jacob et al. results from satellite gravimetry of -5±10 Gt yr<sup>-1</sup> (Jan 03-Dec 07) and -8±9 Gt yr<sup>-1</sup> (Jan 04-Dec 08). For the Himalayas and East Nyainqêntanglha Shan (zone 8c of Jacob et al. 2012) we estimate a 2003-2008 glacier mass change of -19±3 Gt yr<sup>-1</sup> that compares to -3±12 Gt yr<sup>-1</sup> (Jan 03-Dec 07) and -2±10 Gt yr<sup>-1</sup> (Jan 04-Dec 08) from satellite gravimetry. Given their fundamentally different approaches, it is challenging to discuss potential sources of disagreement between the two studies in the Himalayas and East Nyainqêntanglha.

Groundwater depletion (Rodell et al., 2009), glacier imbalance runoff into endorheic basins (Zhang et al., 2013), and errors and biases in the ICESat-derived trends as discussed above and in Kääb et al. (2012) are all likely explanations. Note that, Gardner et al., 2013, offer a second, more negative gravimetric estimate for the entire combined High Mountain Asia that is, though, not spatially resolved enough to compare to our results. The uncertainties of our results in this entire paragraph are given at 2σ confidence level to better agree with the uncertainty level in Jacob et al. (2012), whereas elsewhere in this contribution uncertainty is provided at 1σ confidence level.

#### 3.2 River runoff

The glaciers of the Tarim Basin (only 40% of total glacier area is covered here, with notably Tien Shan missing) and the Amu Darya basin (all glacier areas covered) drain into endorheic basins and thus their mass changes do not contribute to sea-level (Tab. 2). The glacier mass changes in the Indus, Ganges and Brahmaputra basins from the present study contributed together  $\sim 0.06 \pm 0.01$  mm yr<sup>-1</sup> to eustatic sea-level rise, that is  $\sim 10\%$  of the current sea level contribution of  $0.71 \pm 0.08$  mm yr<sup>-1</sup> from glaciers outside the ice sheets (Gardner et al., 2013).

The discharge equivalent of these mass changes, that is the annual average glacier imbalance contribution to river runoff, is given in Tab. 2 for the major river basins covered. Note that computation of our discharge equivalents is a pure unit conversion from Gt yr<sup>-1</sup> to m<sup>3</sup> s<sup>-1</sup>, neglecting any hydrological processes and with the sole aim to roughly evaluate the relative importance of glacier mass changes for river flow in the catchments.

The Tarim Basin glaciers most likely stored water over 2003-2008 (+24 ± 33 m³ s⁻¹ discharge equivalent, DE). The glacier imbalance contribution to runoff is largest for Brahmaputra (-400 ± 60 m³ s⁻¹ DE), followed by the Indus (-220 m³ s⁻¹ DE), and Ganges and Amu Darya (-130 m³ s⁻¹ DE). The imbalance DEs calculated here relate to runoff at the glaciers. Comparison of the discharge equivalent of glacier imbalance to measured river runoff is biased the further downstream the gauging stations are situated from the glaciers due to upstream cumulative natural and man-made losses. It is important to note that the available runoff data from literature and databases refer to various time periods, in parts considerably older than the ICESat period. Figure 2 illustrates thus only roughly the hydrological significance of the 2003-2008 glacier mass change in selected gauged catchments. (For details on the gauging stations used and the uncertainty of the contributions see Supplement). As an example, the 2003-2008 glacier imbalance within the Upper Indus basin at Besham Qila contributes ~6% to

annual average river discharge (Fig. 2; Supplement), and we roughly estimate a very similar number for the Amu Darya (Supplement). For the Upper Indus basin, the hydrological balance is under ongoing discussion (cf. Reggiani and Rientjes, 2014) and we hope that our glacier mass change estimates can contribute towards balance closure and better understanding of spatial-temporal patterns of run-off or high-elevation precipitation amounts in the region (e.g. Immerzeel et al., 2012).

The modelling results for "non-renewable glacier runoff" of Savoskul and Smakhtin (2013) agree well with ours for Amu Darya, less for Indus (they obtain -0.55 m w.e. yr<sup>-1</sup> specific mass loss rate over 2001-2010, we -0.28 m w.e. yr<sup>-1</sup>) and Ganges (they obtain -0.77 m w.e. yr<sup>-1</sup>, we -0.37 m w.e. yr<sup>-1</sup>), and not very well for Brahmaputra (they obtain -0.36 m w.e. yr<sup>-1</sup>, we -0.90 m w.e. yr<sup>-1</sup>).

## 4 Conclusions

From 2003-2008 ICESat-derived elevation difference trends over Pamir-Karakoram-Himalaya and from comparison to geographically overlapping studies we draw the following conclusions:

- Glacier thickness loss over the study region is most pronounced for the East Nyainqêntanglha Shan, followed by Jammu-Kashmir. In these regions, the firn lines seem to have risen towards or above the maximum glacier elevations.
- Glaciers in and around the West Kunlun Shan are in balance or even gaining volume, and Pamir and Karakoram seem to be on the western limit of this mass balance anomaly rather than its centre. This suggests it is a meteorological or climatic anomaly (rise in precipitation) rather than caused directly by glaciological and topographic peculiarities in the Karakoram, even if these certainly influence the glaciological expression of the anomaly... But the cause and duration of this regional glacier anomaly is not fully understood yet.
- Our glacier volume changes are seem especially sensitive to spatial and temporal distribution of samplinguncertain in Pamir and, to a lesser extent Hindu Kush. The heterogeneous glacier behaviour of individual glaciers in these two zones, for instance from glacier surges, enhances may lead touncertainty biases when extrapolating elevation difference trends from particularly sparse ICESat tracks, or areas covered by differential DEMs, to the entire zones.

• Extrapolation of ICESat trends back in time to the SRTM acquisition date suggests a much larger potential magnitude and variability of SRTM C-band phase-centre penetration than previously—often assumed. Given the crucial importance of radar penetration for glacier thickness change studies based on radar DEMs, such as the SRTM or the upcoming TanDEM-X, we recommend to be critical against penetration assumptions used in previous studies and to investigate the issue more extensively and systematically (Langley et al., 2007; chapter 7 in Müller, 2011). The problem is complicated by the fact that radar penetration has to be known specifically for certain dates from the past.—In fact, we are still puzzled about the seemingly large SRTM penetration we inferred in the East Nyaingêntanglha Shan (8-10 m) and Pamir (5-6 m).

- The glacier mass changes in the Tarim and Amu Darya Basins of  $+0.7 \pm 1.0$  Gt yr<sup>-1</sup> and  $-4.0 \pm 0.8$  Gt yr<sup>-1</sup> do not contribute to sea level rise. The combined Ganges, Indus and Brahmaputra basin glacier mass change is  $-23.7 \pm 2.1$  Gt yr<sup>-1</sup>, almost 10% of the glacier contribution to sea-level rise during 2003-2009.
- Neglecting water losses between downstream of the glaciers and gauging stations, the 2003-2008 glacier imbalances amount to ~6% of the annual discharge of Amu Darya and Upper Indus where they leave the mountains. This is a considerable amount given the significance of the rivers for the Aral Sea (Amu Darya), and massive irrigation schemes and household use in these dry climate regions. Maximum glacier imbalance contributions to annual average river runoff are of up to ~17% are found for the Shyok (Indus) and ~10% Vaksh (Amu Darya), minimum contributions are only ~1-3% for the monsoon-type catchments in Nepal.
- Our results on glacier mass loss agree with those from satellite gravimetry (Jacob et al. 2012) over Pamir, West Kunlun Shan and Karakoram, but significantly diverge over the Himalaya and East Nyainqêntanglha Shan.

It is important to note that our results only cover 5 yr, 2003-2008, and it remains open to what extent those years are representative for longer periods, such as the 10 yr covered by Gardelle et al. (2013). For short mass balance series, single anomalous years may have large impacts on trends. Our water equivalent results are also sensitive to density and glacier area assumptions. We find that glacier outlines and areas in the study region are still quite uncertain and invite the reader to use improved glacier area estimates for upscaling our results, and their own assumptions for the conversion of volume changes to mass changes.

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#### **Author contributions**

A.K. designed the study, performed the data analysis and wrote the paper. C.N., D.T. and E.B. contributed to data analysis, performed supporting analyses and edited the paper.

# **Figures and Tables**

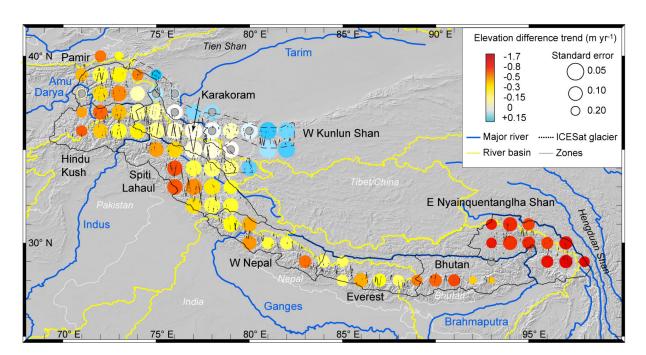


Fig. 1 Study region and trends of elevation differences during 2003–08. Data are shown on a 1° grid with overlapping rectangular geographic averaging cells of 2° × 2°. Trends are based on autumn ICESat acquisitions. Only ICESat footprints over glaciers are indicated. The zones indicated by black outlines are equivalent to the ones of Gardelle et al. (2013) with the W Kunlun Shan-Tarim zone (dashed outline) being the only additional one. Trends for all cells (coloured data circles) are statistically significant except for the cells that are marked with grey centres. The uncertainty of the temporal trends per cell is indicated through circle sizes indirectly proportional to the standard error of trends at 68% level.

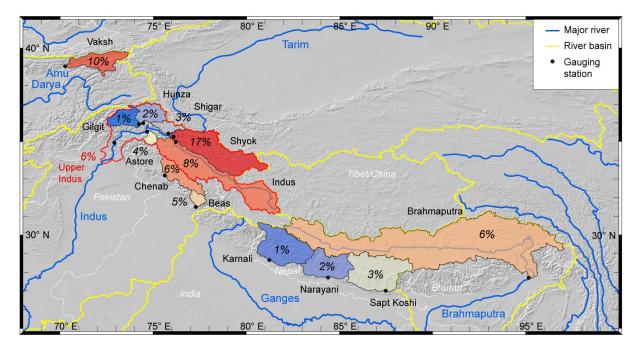


Fig. 2 The percentage of discharge equivalent from annual glacier imbalance to measured average river runoff for selected catchments. Note that the actual numbers will be somewhat lower due to unaccounted water losses such as from evaporation or to groundwater. For details on the gauging stations used and the uncertainty of the contributions see Supplement.

Table 1: Glacier elevation difference trends over the Pamir-Karakoram-Himalaya from this and other studies. Note that Gardelle et al. (2013) cover the period 2000 to ~2010, while the other studies cover 2003 to 2008/9. Note also that the zones of this study and Gardelle et al. coincide, whereas the zones of the other do so only roughly, which can potentially explain parts of the disagreements. See text in sections 3 and 4 for an explanation of how the glacier areas were estimated. \* named Hengduan Shan in Gardelle et al.; \*\* two zones of Gardner et al. overlap with our zone and both their values are given.

Zone	Glacier area (km²)	This study (m yr <sup>-1</sup> , ± at 1σ-level)	Gardner et al. (m yr <sup>-1</sup> , ± at 2σ-level)	Neckel et al. (m yr <sup>-1</sup> , ± at 1σ-level)	Gardelle et al. (m yr <sup>-1</sup> , ± at 1σ-level)
East Nyainqêntanglha *	6000	-1.34 ±0.29	-0.30 ±0.13 -0.40 ±0.41 **	-0.81±0.32	-0.39 ± 0.16
Bhutan	3500	-0.89 ±0.16	-0.89 ±0.18	0.70 +0.07	-0.26 ± 0.15
Everest	8500	-0.37 ±0.10	-0.44 +0.20	-0.78 ±0.27	-0.30 ± 0.16
West Nepal	7500	-0.43 ±0.09	-0.44 ±0.20	-0.44 ±0.26	-0.38 ± 0.16
Spiti Lahaul	9500	-0.49 ±0.12	-0.53 ±0.13		-0.53 ± 0.16
Karakoram	21000	-0.10 ±0.06	0.40 +0.45		+0.12 ± 0.19
Hindu Kush	5500	-0.49 ±0.10	-0.12 ±0.15		-0.14 ± 0.19
Pamir	6500	-0.48 ±0.14	-0.13 ±0.22		+0.16 ± 0.15
West Kunlun Shan - Tarim	12500	+0.05 ±0.07	+0.17 ±0.15	+0.04 ±0.29	

**Table 2:** Glacier thickness and mass changes over the major river basins of the study area. The discharge equivalent is a unit conversion from mass change and neglects any losses such as by evaporation or to groundwater. (i) The Tarim Basin is endorheic. Only parts of the glacier area (~40%) within the Tarim Basin are covered in this study. (ii) Endorheic basin.

Major river basin	Glacier area (km²)	Elevation difference trend (m yr <sup>-1</sup> )	Mass change (Gt yr <sup>-1</sup> )	Discharge equivalent DE (m <sup>3</sup> s <sup>-1</sup> )
Tarim (I)	15000	+0.06 ± 0.08	+0.7 ± 1.0	+24 ± 33
Amu Darya (II)	11000	-0.43 ± 0.08	-4.0 ± 0.8	-128 ± 25
Indus	25000	-0.33 ± 0.04	-7.0 ± 0.8	-220 ± 26
Ganges	11000	-0.44 ± 0.07	-4.1 ± 0.6	-130 ± 20
Brahmaputra	14000	-1.06 ± 0.15	-12.6 ± 1.9	-400 ± 60

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# **Supplement**

The gauging stations used for the results shown in Fig. 2 are listed in Tab. S1. Reliable river runoff data are notoriously difficult to obtain over and around the Himalayas. Even if available, their use and distribution are sometimes restricted. As example catchments we select therefore only the ones where discharge data stem from peer-reviewed studies, or where the data were used in peer-reviewed studies, and where the data cover sufficiently long time periods. It is outside the focus of the present brief communication to compile a geographically complete set of catchment discharge data. The uncertainty of the glacier imbalance contribution to river runoff (Fig. 2) is estimated in the same way as the uncertainty of glacier mass changes, but uncertainties in the river runoff data used are neglected.

**Table S1.** Gauging stations indicated in Fig. 2 and uncertainty of our percentage discharge contributions of glacier imbalance to river runoff at  $1\sigma$ -level.

River	Gauging station	Annual discharge (m³ s⁻¹)	Period of measurements	Source	Uncertainty of percentage discharge contributions
Vaksh	Garm	320	1933-1990	Global Runoff Data Centre (GRDC)	±5%
Gilgit	Gilgit	287	1980-2010	Mukhopadhyay and Khan (2014)	±2%
Hunza	Dainyor Bridge	332	1966-2010	u	±2%
Shigar	Shigar	203	1985-1998	II .	±2%
Astore	Doyian	136	1974-2009	"	±2%
Upper Indus	Kharmong	452	1982-2010	"	±3%
Shyok	Yogo	362	1973-2010	"	±6%
Upper Indus	Besham Qila	2431	1969-2010	II	±2%
Chenab	Prem Nagar	626	1968-1986	Hofer (1993)	±3%
Beas	Thalout	190	1997-2001	Liu et al. (2013)	±2%
Karnali	Chisapani	1350	1962-1993	GRDC	±1%
Narayani	Narayangh	1590	1963-2006	Collins et al. (2013)	±1%
Sapt Koshi	Chatara	1537	1977-	GRDC	±1%
Brahmaputra	Pasighat	5870	1949-1962, 1976-1978	Sarma (2005)	±2%
Amu Darya	ungauged	~2300	"long-term mean"	http://www.cawater- info.net; Agal'tseva et al. 2011	±1%

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