

**Authors response to the referee comments on “The melt pond fraction and spectral sea ice albedo retrieval from MERIS data: validation and trends of sea ice albedo and melt pond fraction in the Arctic for years 2002–2011” by L. Istomina et al.**

Referee comments in italic, author’s response in roman font.

Anonymous Referee 1

*There is a lot going on here. Nice to see a dataset amalgamation effort. There appears to be some interesting trends, results, etc ... but the paper seems a bit long (I’m starting to lose the message at 30 figures). I might suggest the paper be split in two (one paper focusing first on validation of the MERIS algorithm itself) and then the second one using the validated algorithm to make the comparison between MERIS and the in situ MPF data). However, if I’m an outlier reviewer here with this opinion then I am fine to see it published the way its laid out now. Publish with minor revisions.*

Indeed, the paper presents two datasets and both their validation and trends, which in total makes it way too much for the reader to embrace. It was decided to split the paper into two parts, first dedicated to detailed validation of melt pond and albedo products and cloud screening, and second - their comparison to reanalysis data, comparison to Rösel et al., 2012 dataset, and trends of both products and time sequences analysis.

As the requested changes have been done in two new papers, the page and line numbering is complemented with M1 or M2 – manuscript1 or 2.

*Pg 5234. Lines 3-8. I somewhat disagree that this situation is rare ... when snowfalls [during the melt pond season do not occur] very often. I’ve witnessed snowfalls following a cold front during the melt pond season on numerous occasions that completely cover the ‘icescape’ for days before the appearance of sufficient shortwave (ie. sunshine) to melt the snow cover (which is close to the melting point) and re-establish the pre-existing melt pond fraction.*

The authors are grateful to the reviewer for pointing out this mishap. The cold fronts and ponds covered with ice lids may occur also in the high summer. The performance of the algorithm in this case is a separate important topic, currently the algorithm is only used to retrieve the fraction of open ponds. What was meant here, is that snowfalls do not affect the surface around open (exposed) ponds for a long time due to melting air temperature. The corresponding lines have been rewritten. M1 P 6, Lines 7-11. Corrected text:

However, this situation is rare, because in the case of an open (exposed) mature pond snowfall only affects the surrounding ice surface for a short time due to melting temperature. The case of lid covered melt pond is a separate topic, which is discussed in detail in Sect. 3.3.3.

*Pg 5247. Line 13. Type. Should be FYI .. not MYI (for 0.8)*

Thank you for pointing out this typo. It has been corrected.

M2 P5, Line 3. Corrected text: (maximum melt 0.2 on MYI as opposed to up to 0.8 on FYI, Figure 1 in (Istomina et al., 2015))

***I suggest a few additional references be added to this paper to demonstrate the salient work to this paper by others.***

*Yackel, J. J., D. G. Barber, and J. M. Hanesiak (2000), Melt ponds on sea ice in the Canadian Archipelago: 1. Variability in morphological and radiative properties, J. Geophys. Res., 105(C9), 22049–22060, doi:10.1029/2000JC900075.*

*Hanesiak, J. M., Barber, D. G., De Abreu, R. A., and Yackel, J. J. (2001). Local and regional albedo observations of Arctic first-year sea ice during melt ponding. Journal of Geophysical Research (Oceans), 106(C1), 1005-1016.*

*Barber, D. G., and Yackel, J. J. (1999). The physical, radiative and microwave scattering characteristics of melt ponds on Arctic landfast sea ice. International Journal of Remote Sensing, 20(10), 2069-2090.*

The references indeed are a necessary background on melt pond observations which cannot be overlooked. They have been included in the text. The authors thank the referee for the effort and the helpful hints!

M1 P2, Lines 21-24. Corrected text: Findings from numerous in situ campaigns (Barber & Yackel, 1999; Hanesiak, Barber, De Abreu, & Yackel, 2001; Yackel, Barber, & Hanesiak, 2000) provide data of excellent quality and detail, but unfortunately lack in coverage. To fill in this gap, a remote sensing approach needs to be employed.

Anonymous Referee 2

*The above mentioned paper presents a melt pond and albedo data set derived from MERIS satellite data, shows its validation and trends.*

*As the title already shows, this paper contains information for minimum two papers, better three.*

*My suggestion: to make the paper interesting and worth reading it, I address the authors to make major revisions and publish at least two papers out of the material that is presented here.*

*The subject itself and the outcome have definitely a high value for the science community, therefore I highly recommend to put some more work into this paper and proceed with publishing the results.*

The paper indeed would gain in quality if split into parts; the authors have decided to proceed with publishing two papers, one dedicated to the detailed validation effort including cloud screening, and the second paper dedicated to the daily and weekly products, comparison to Rösel et al., 2012 dataset, and to the analysis of time sequences and trends of albedo and melt ponds. As the changes have been done in two new papers, the page and line numbering is complemented with M1 or M2 – manuscript1 or 2.

**Major issues:**

*The first one should focus on the algorithm and its validation. The methodology of the melt pond/albedo data set is shortly summarized at pp 5231 ff., but there are many open questions, like:*

- Why are you using MERIS channels 1,2,3,4,8,10,12,13,14? How do you resolve these information?*
- How is the atmospheric correction processed? How do you calculate  $r_i$  and  $t_i$ ?*
- What are the criteria for separating cloud, land and open water pixel? Please specify numbers.*
- Mention the "borders values". Please specify numbers.*
- Why you choose the Newton-Raphson method?*
- How do you calculate the albedo from  $S$ ?*

*To understand the paper properly, the algorithm should be comprehensible and reproducible for the reader. In this paper it is not the case due to missing information (see list above).*

*In the introduction and the validation chapter I found a hint to Zege et al, 2014 (in review) that seems to be a proper algorithm description. If this is the case, please refer also in the data chapter to this paper and provide a manuscript of the paper to the reviewers. But the validation of a product should be part of the algorithm paper.... This is a little bit confusing for me.*

As the reviewer correctly noticed, this part was intended to be just a very brief summary on the algorithm, and the full algorithm description is a separate manuscript by Zege et al. 2015 which is now accepted to RSE and received a doi number. The requested reference to the original algorithm paper is added into the chapter 2: Data used.

The reason that the algorithm and its validation are two separate manuscripts is: the retrieval algorithm is a comprehensive procedure based on a forward model of sea ice and ponds. The algorithm paper contains the description of the model and its verification, and the detailed

description of the retrieval and its verification using the modeled test data. Thus, the algorithm paper is dedicated to the theoretical part and that manuscript is already very long as well. It was decided to publish the validation based on field data separately, because we have got so much various field data and need to validate two products at once (albedo and pond fraction). Putting these two manuscripts together was impossible for volume reasons.

M1 P3, Lines 21-22. Inserted text: The present chapter presents a short summary of the MPD retrieval. The full description of the algorithm can be found in (Zege et al., 2015).

*Another major point is that there is no detailed product description. Chapter 5 says "...analysis over the whole MERIS dataset" and further on you mention weekly resolution. How are these products created? What are methods to receive a weekly resolution, who do you handle data gaps (i.e. cloud contamination) in this case?*

The weekly resolution is explained on p. 5246, Lines 17-18. As with the daily resolution (p.5246, Line 2-6), the method is simple averaging over available pixels over all available overflights, with the condition that there must be at least 50% non-empty pixels to produce a valid grid cell. The corresponding text has been rewritten for the sake of clarity.

M2 P 4, Lines 3-8. Current text:

The weekly resolution has been obtained by averaging the gridded daily product. As in the case of daily resolution, a weekly averaged grid cell is obtained from no less than 50% of valid (cloud free) pixels. Should a given grid cell contain more than 50% of invalid pixels, it is assigned a not a number value. No weight or threshold on STDs is applied. The resulting STD is then written into the resulting NetCDF file together with the averaged value for the broadband albedo and MPF.

*Isn't Chapter 4.1 ("Gridding") part of the product description? Why is it in the chapter "Case studies"?*  
This was done consciously to highlight that all the validation effort before was done on swath data with the best temporal overlap possible, and starting from Chapter 4, the used data is gridded and no longer highest spatial and temporal resolution. However, as the Chapter 4 is the point of split of the manuscript, the subsection 4.1 is now the beginning of the Case Studies section and comes right after the introduction.

Please see M2 P3, Section 2.

*Chapter 5.1 gives a comparison of the ice situation of the summers 2007 and 2011. Roesel and Kaleschke (2012, JGR) did already a similar study on this topic. Are your results different? At least mention their publication in this context.*

As it was decided to split the paper, we have got the space for one more subsection and figure, so we have included the comparison and discussion into the Section 3.2.

M2 P8: new Section 3.2.

M2 P21: New Figure 6 and caption.

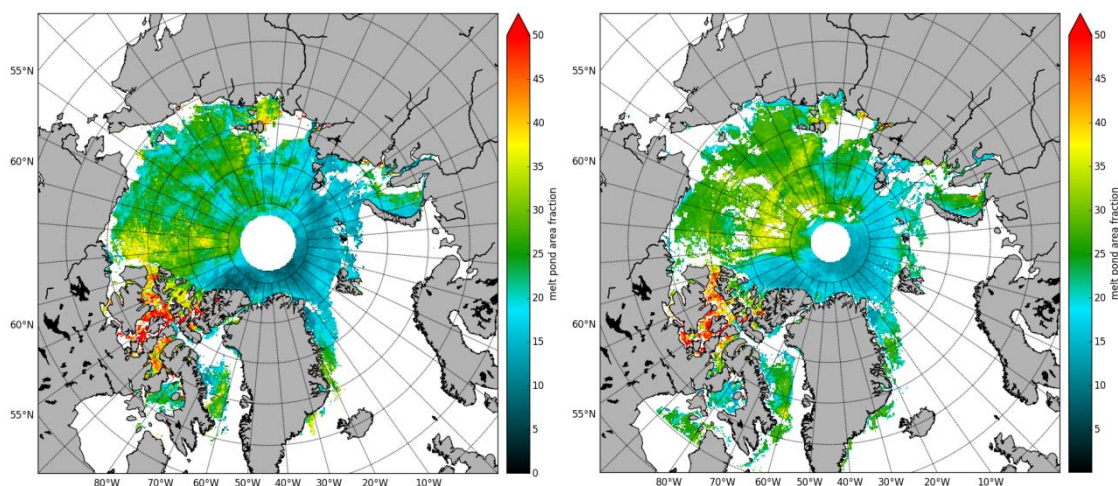
### **3.2 Comparison to MPF by Rösel et al., (2012)**

An unusual temporal and spatial dynamics of melt ponds in the Arctic Ocean in 2007 and 2011 has been initially discussed by (Rösel and Kaleschke, 2012) based on MODIS data and the melt pond retrieval algorithm described in (Rösel et al., 2012). It is interesting to compare these independent data obtained from a different sensor and retrieval method to the MPD MPF, and in case of agreement confirm the unusual melt pond dynamics for the 2007 and 2011 summers.

For this comparison, two examples presented in (Rösel and Kaleschke, 2012) are taken: 8 day composites starting on June 18, 2007 and June 18, 2011. These are the cases of prominent difference in melt pond patterns in 2007 and 2011. In order to compare the two datasets, the 8 day composites from MODIS (pond fraction relative to ice area) available at the web page of University of Hamburg: [http://icdc.zmaw.de/arctic\\_meltponds.html?&L=1](http://icdc.zmaw.de/arctic_meltponds.html?&L=1) have been converted into pond fraction relative to pixel area using the provided ice concentration. Corresponding 8 day averages have been created from the MPD daily gridded data. The selection of valid grid cells in the dataset by (Rösel et al., 2012) is the following:

not less than 50% valid pixels for a valid grid cell, ice concentration greater than 25%, STD of MPF less than 15%. The comparison plot is shown in Figure 6. It is visible that for the June 18, 2007 both datasets show similar spatial patterns with higher MPF between the Queen Elisabeth Islands and Beaufort Sea, and lower MPF in the MYI region north to Greenland and eastern part of the Arctic Ocean. This pond fraction distribution seems plausible when considering the date of observation, before melt onset in the MYI region, and such a spatial distribution is confirmed by both algorithms. The MPF values slightly differ in amplitude (note the distribution of higher and lower MPF in both datasets e.g. in the Beaufort Sea). The reason for this difference maybe, firstly, the difference in cloud screening methods with MODIS being much better suited for the task of cloud screening over snow results in different fraction of unscreened clouds present in the datasets. The second reason is the different averaging method, with data by (Rösel et al., 2012) being produced as a composite (best or most characteristic observation within the period), whereas MPD data is obtained by unweighted averaging. And finally the third reason for the difference is the positive 8% offset of the dataset by (Rösel et al., 2012) as provided in the “Data quality” section at the data source [http://icdc.zmaw.de/arctic\\_meltponds.html?&L=1](http://icdc.zmaw.de/arctic_meltponds.html?&L=1). It is unclear whether this bias is constant over the whole range of MPFs and if it is possible to correct for it. (Makynen et al., 2014) suggest that that the bias stems from the possible inaccurate assumption on the sea ice optical properties, which would mean that the bias varies not only with MPF, but also with weather conditions and location in the Arctic ocean (in some locations the assumption on the surface was correct and in some not).

The second row of Figure 6 shows the same comparison, but for 18.06.2011. Here again, both algorithms agree on the spatial distribution of the melt ponds, with slight difference in the amplitude, and thus confirm the plausibility of results presented both in Sect. 3.1 and in (Rösel and Kaleschke, 2012).



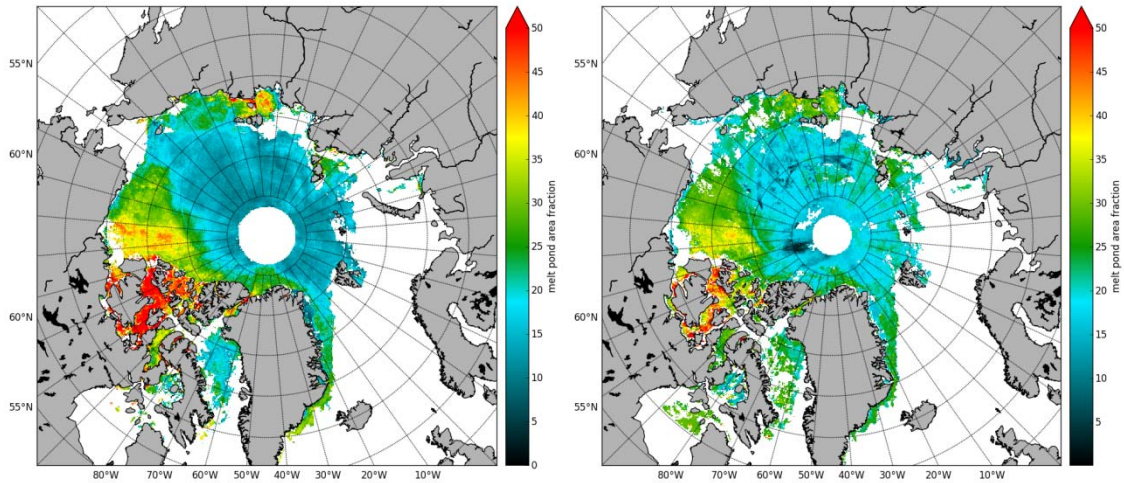


Figure 1. Comparison of the MPD MPF (8 day average, left column) to the MPF from (Rösel and Kaleschke, 2012) (8 day composite, right column) for June 18, 2007 (top row) and June 18, 2011 (bottom row).

**Minor Issues:**

*Avoid citation in the abstract.*

M1 P 1, Line 23 – Citation has been removed.

*I cannot find any of the correlation values given in the abstract in the validation chapter. Please provide the numbers there and not in brackets in the abstract. Why don't you give  $R_2$ ?*

The correlation values are given in the corresponding figure captions.

In the current version the  $R^2$  is given instead and the values appear also in the text, see below:

M1 P11, Line 22 – 27. Inserted text:

To conclude, the best correlation for albedo retrieval is observed for the landfast and multiyear ice of high ice concentrations, which are the conditions of the best algorithm performance with  $R^2=0.85$ ,  $RMS=0.068$ . Correlation for lower ice concentrations, subpixel ice floes, blue ice and wet ice is lower due to complicated surface conditions and ice drift. Combining all aerial observations gives a mean albedo RMS of 0.089.

M1 P1, Lines 26-27. Corrected text:

For broadband albedo  $R^2$  is equal to 0.85 with the RMS being equal to 0.068, for melt pond fraction:  $R^2$  is equal to 0.36 with the RMS being equal to 0.065.

M1 P.14, 10-12. Inserted text:

Overall, the best correlation can be seen for the cases of landfast and multiyear ice of high ice concentrations  $R^2=0.36$ ,  $RMS=0.065$ . Combining all aerial observations gives mean melt pond fraction RMS equal to 0.22.

M1 P. 17, Line 24:  $R^2$  is given instead of R.

P. 16, Line 24-26. Inserted text:

The correlation between the satellite value and observed value: mean  $R^2=0.044$ , mean  $RMS=0.16$ . The reason for this low correlation is most probably the documentation of varying accuracy within the ASPECT protocol.

*You mention a cloud filter in the abstract – I cannot find a proper description of a newly developed “dynamic spatial cloud filter for MERIS over snow and ice” – This is a topic for a separate publication! (See comment above)*

Chapter 3.3.2 in Manuscript 1 is dedicated to this cloud screening method – please see the next comment for details.

*Is the cloud screening only used for validation data? Why not for the entire dataset?*

For the sake of consistency with the original publication Zege et al 2015, the resulting dataset is processed with the methods presented there, and the results are discussed. The insufficient cloud screening is mentioned as one of the main issues of the dataset. Due to more space available after splitting the manuscript, we have performed a new quality assurance of the cloud screening presented in Zege et al. 2015 against AATSR cloud mask (see new Chapter 3.1). To make an unbiased validation of the melt pond retrieval itself, an additional cloud screening has been developed. Chapter 3.1 is dedicated to this additional cloud screening which is based on separate usage of thresholds sensitive to different cloud types and evaluating the result. The current paper presents only a concept of this new cloud screening method, without its validation and with manual selection of thresholds and visual control of quality. This was sufficient to aid with validation, but it cannot be used on the whole dataset before a thorough check. An elaborated version of the method is to be published separately. The included Figure 3 (M1) gives an impression on the cloud screening quality.

M1 P29, Line 1-3. Inserted figure and caption:

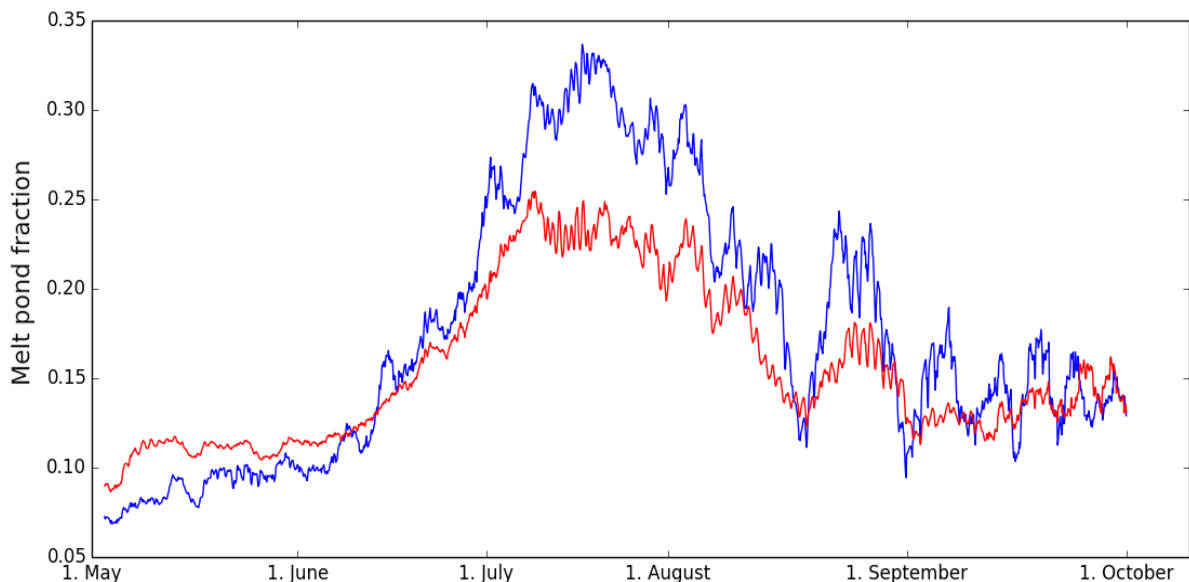


Figure 2. Swathwise comparison of the MERIS cloud mask from used in the MPD retrieval to the AATSR cloud mask presented in (Istomina et al., 2010). Blue curve: MPF retrieved with MPD averaged in cloud free areas as seen by AATSR (reference or “perfect” cloud mask). Red curve: MPF retrieved with MPD averaged in cloud free areas as seen by MERIS (potentially cloud contaminated mask). The smoothing out effect of unscreened clouds is visible in the behavior of the red curve.

M1 P7, Line 16 – P8, Line 8. Inserted text:

### **3.1 Validation of the cloud screening**

In order to test the performance of the cloud screening presented in (Zege et al., 2015), we have employed data from the AATSR sensor aboard the same satellite platform. The advantage of this sensor is that it has suitable IR channels for cloud screening over snow and ready procedures to perform this task. For this study, a cloud screening method for AATSR developed by (Istomina et al., 2010) is used. For that, the swath data of both MERIS and AATSR was collocated and cut down to only AATSR swath. Then, the two cloud masks (the reference mask by AATSR and test mask by MERIS) have been compared as follows: for each swath, an average pond fraction in cloud free areas as seen by AATSR (Figure 3, blue curve) and by MERIS (Figure 3, red line) has been derived. This has been done for the period from May 1, 2009 to September 30, 2009. The resulting Figure 3 shows the

effect of clouds on the MERIS MPD swath data: before the melt season, the clouds are have the lower albedo than the bright surface and are seen as melt ponds by the MPD retrieval. In the case of developed melt, the situation is the opposite: the melting surface is darker than clouds, and unscreened clouds are taken as lower pond fraction by the retrieval. Overall, the unscreened clouds in the MPD product result in smoothing out of the pond fraction toward the mean value of about 0.15. However, the temporal dynamics is preserved even in swath data. Partly the problem of unscreened clouds can be solved at the stage of gridding swath data into daily or weekly averages, by constraining the amount of valid pixels that form a valid grid cell so that cloudy areas which are only partly unscreened in the swath data are still not included in the gridded data (see Sect. 2 in the companion paper Istomina et al., 2015).

*Table 3: could you plot the data? It would be maybe easier to read as in a table.*

The dataset presented in the table is being published for the first time and the objective is to allow the reader to obtain accurate values from the table. The authors therefore would like to keep the table.

*Is it possible to plot the validation data together in one or two figures instead of more than 10 (fig 4-18)?*

Unfortunately, no. As these validation cases present different ice situation and therefore different retrieval performance, they are discussed separately in the text and shown separately in the figures.

*Plot figure 19 and 20 into one figure. That makes comparison easier. Plot also MPF into it.*

You probably mean Figure 20 and 21? The MPF is already included there.

The figures have been plotted together as requested

M2 P17 new Figure 2 and caption:

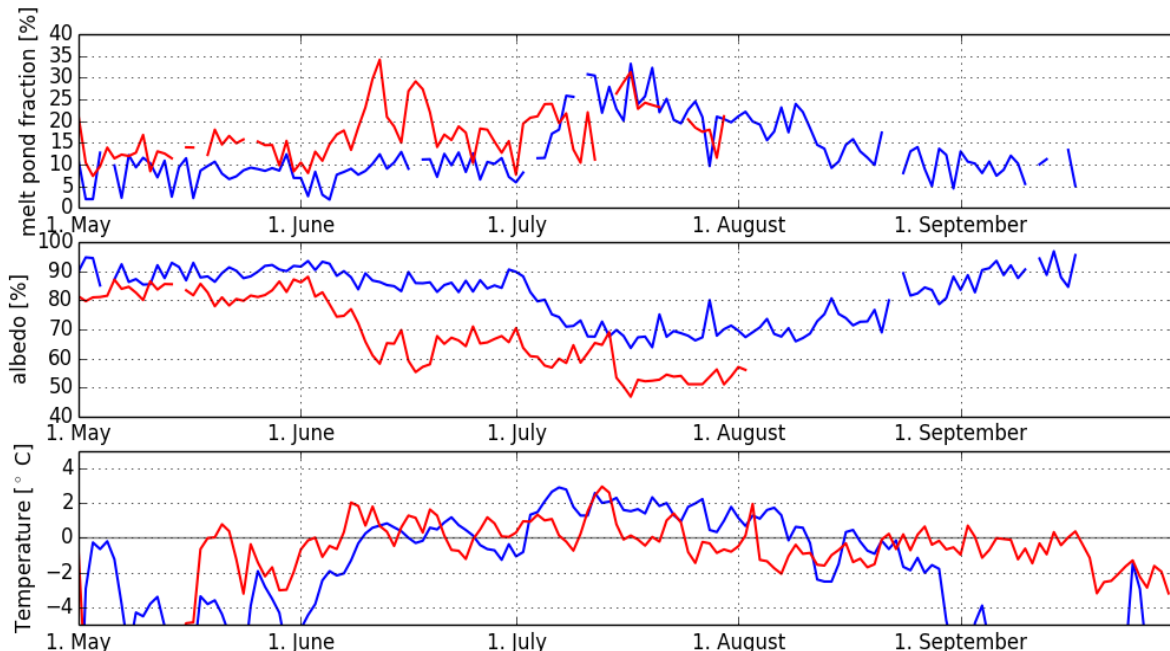


Figure 3. Time sequence of MPF, broadband albedo and NCEP air temperature at the surface for two regions: FYI area in Beaufort Sea near Barrow (75°N, 155°W) and MYI area around North Greenland (84.5°N, 35°W) May to September 2009. Locations are shown in Figure 1 with white circles tagged “FYI” and “MYI”, respectively. The albedo and MPF curves in the FYI area are interrupted as the area becomes completely ice free on the 1<sup>st</sup> of August 2009.

*The conclusion is hard to read and to understand. Please avoid the bullet points and form proper sentences without brackets.*

The conclusion has been split and rewritten with proper sentences. New abstract and introduction have been inserted into M2. The authors thank the referee for the comprehensive and helpful review!

M1 P 17 Section 4 and M2 P 12 Section 4: 2 new conclusions split from the old conclusion.

#### Conclusion M1

Melt ponds on sea ice affect the radiative properties of the ice cover and its heat and mass balance. In order to assess the change of the energy budget in the region (e.g. with GCM), among other sea ice and melt pond properties, the sea ice reflective properties and the amount of melt ponds on sea ice have to be known. This work has validated a retrieval of MPF and broadband sea ice albedo from MERIS data (Zege et al., 2015) against aerial, in situ and ship-based observations.

The cloud screening presented in (Zege et al., 2015) has been compared to the AATSR cloud screening presented in (Istomina et al., 2010) for swath data of both sensors collocated to AATSR swath, for the whole summer 2009. The comparison (Figure 3) shows that unscreened clouds are seen as melt ponds before melt onset and as less melt ponds during melt evolution; the effect of unscreened clouds is not constant and depends on the true surface pond fraction. Unscreened clouds tend to smooth out the melt pond fraction values towards a mean value of about 0.15. As can be seen from the figure, this smoothing effect is most prominent in the beginning of the season and during the melt maximum, and is the smallest in June.

The albedo data from from spaceborne and airborne observations have been compared and showed high correlation when there is no ice drift (Figure 5, Figure 7). Same comparison for MPF highly depends on the ice conditions and melt stage: for FI and MYI in the beginning of melt the correlation is high (Figure 11, Figure 12, Figure 19), for separate FYI floes the correlation is worse maybe due to ice drift (Figure 13, Figure 14). The comparison of ship cruise data to satellite retrieved MPF for FYI and MYI at the end of the melt season shows strong underestimation of satellite retrieval. This might be connected to frozen over ponds undocumented in the ASPECT observations (Figure 17, Figure 18). At the same time, comparison to ship observations show that the MPD retrieval shows ambiguity of the retrieved MPF: low retrieved MPF could indicate low MPF of open ponds or high MPF of frozen ponds. It is planned to resolve this ambiguity in the future versions of the algorithm by introducing a decision tree based on the air temperature as a measure of surface energy balance to determine whether ponds are frozen over or not.

The presented melt pond fraction and sea ice albedo retrieval can be applied to other radiometers with sufficient amount of channels in the VIS and NIR regions of spectrum, e.g. VIIRS onboard Suomi NPP and OLCI onboard the Sentinel-3 ESA mission (planned launch 2015). Thus the continuity of the MPF and sea ice albedo dataset can be achieved, which is important for the dataset use as input to GCM and for studies of MPF and albedo dynamics in the context of global change and Arctic amplification.

The case studies, time sequence analysis and trends of MPF and sea ice albedo are presented in the companion paper (Istomina et al., 2015)

#### Conclusion M2



Current work presents a detailed analysis of the MPD product (Istomina et al., 2015; Zege et al., 2015) consisting of a comparison to reanalysis air surface temperatures, detailed analysis of weekly averages for 2007 and 2011 which showed different dynamics of MPF, but resulted in similar minimum sea ice extent, comparison to the data by (Rösel et al., 2012), and analysis of albedo and MPF trends. The gridded products compare well to independent reanalysis temperature data and show melt onset when the temperature gets above zero degrees Celsius (Figure 2), however MPD results show an offset at low MPF of about 10% most probably due to unscreened high clouds. This makes application of the MPD algorithm to a sensor with a more precise cloud mask desirable (VIIRS onboard Suomi NPP or OLCI onboard Sentinel3). Though absolute daily values of MPF and albedo may be affected by unscreened clouds, relative MPF and albedo differences through the temporal axis are significant and the temporal MPF dynamics correspond to that observed in the field for FYI and MYI (Figure 2). This is also applicable to weekly averages based on analysis of MPF behavior in 2007 and 2011 (Figure 3, Figure 4) and on the comparison of the MPD product to data by (Rösel et al., 2012) (Figure 6). Thus, the MPD products are suitable for analyzing temporal and spatial dynamics of MPF and sea ice albedo.

Weekly averaged trends show pronounced dynamics of both MPF and albedo: negative MPF trend in the East Siberian Sea connected to change of absolute MPF value in its peak but no temporal shift, positive MPF trend around Queen Elizabeth Islands connected to the earlier melt onset but peak MPF values staying the same (Figure 7, Figure 9, Figure 10). The MPF dynamics in the East Siberian Sea could indicate a change of ice type prevailing in the region, as opposed to Queen Elizabeth Island, where the MPF dynamics reacts to melting temperatures occurring earlier in the season. This will be analyzed further in a follow-up publication.

1 **The melt pond fraction and spectral sea ice albedo retrieval**  
2 **from MERIS data I: validation against in situ, aerial and**  
3 **ship cruise data**

4  
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18

19 **Abstract**

20 The presence of melt ponds on the Arctic sea ice strongly affects the energy balance of the  
21 Arctic Ocean in summer. It affects albedo as well as transmittance through the sea ice, which  
22 has consequences on the heat balance and mass balance of sea ice. An algorithm to retrieve  
23 melt pond fraction and sea ice albedo (~~Zege et al., 2014~~) from the MEdium Resolution  
24 Imaging Spectrometer (MERIS) data is validated against aerial, ship borne and in situ  
25 campaign data. The results show the best correlation for landfast and multiyear ice of high ice  
26 concentrations. For broadband albedo (albedo:  $RR^2$  is equal to= 0.8592, with the RMS being

1 ~~equal to~~ =0.068, ~~for the~~ melt pond fraction: ~~R<sup>2</sup> is equal to~~ =0.36 ~~with the~~ ~~RMS being equal to~~  
2 ~~=0.065~~). The correlation for lower ice concentrations, subpixel ice floes, blue ice and wet ice  
3 is lower due to ice drift and challenging for the retrieval surface conditions. Combining all  
4 aerial observations gives a mean albedo RMS ~~equal to~~ 0.089 and a mean melt pond fraction  
5 RMS ~~equal to~~ 0.22. The in situ melt pond fraction correlation is ~~R<sup>2</sup>=0.72-52~~ with an  
6 RMS=0.14. Ship cruise data might be affected by documentation of varying accuracy within  
7 the ASPECT protocol, which ~~is the reason for~~ ~~may contribute to~~ the discrepancy between the  
8 satellite value and the observed value: mean ~~R<sup>2</sup>=0.04424~~, mean RMS=0.16. An additional  
9 dynamic spatial cloud filter for MERIS over snow and ice has been developed to assist with  
10 the validation on swath data.

## 12 **1 Introduction**

13 Melt ponds on the Arctic sea ice affect the albedo, mass balance and heat balance of the ice  
14 (e.g. Perovich et al., 2009) by translating the increase of air temperature into drastic and rapid  
15 surface type changes. They introduce a positive feedback within the sea ice albedo feedback  
16 loop (Curry et al., 1995) thus facilitating further ice melt. In the context of changing Arctic  
17 climate (Shindell and Faluvegi, 2009), knowledge of melt pond fraction (MPF), its spatial  
18 distribution and the length of the melting season is required to reflect and predict the role of  
19 the sea ice cover in the radiative balance of the region. Schröder et al. (2014) show the  
20 potential of predicting the minimum sea ice extent in autumn by ~~the~~ spring MPF. In addition  
21 to applications in climate studies, e.g. global circulation modeling, knowledge of ~~the~~ MPF can  
22 be helpful for navigation purposes. ~~Findings from numerous in situ campaigns~~ (Barber and  
23 Yackel, 1999; Hanesiak et al., 2001; Yackel et al., 2000) ~~provide data of excellent quality and~~  
24 ~~detail, but unfortunately lack in coverage. To fill in this gap, a remote sensing approach needs~~  
25 ~~to be employed.~~

26 The present work is dedicated to validation ~~and application~~ of a MPF and sea ice albedo  
27 retrieval algorithm, the Melt Pond Detector (MPD), described by (Zege et al., 2015). The  
28 algorithm differs from existing satellite remote sensing algorithms, e.g. (Rösel et al., 2012) or  
29 (Tschudi et al., 2008), by 1) utilizing a physical model of sea ice and melt ponds with no a  
30 priori surface spectral relectances, and 2) providing daily averaged MPF instead of weekly

1 averaged MPF, which is beneficial in case of rapid melt evolution. Field observations (Figure  
2 1) show faster melt evolution on first year ice (FYI) as compared to multiyear ice (MYI). Due  
3 to the fact that MPF depends not only on air temperature and available melt water volume but  
4 also on the ice topography (Eicken et al., 2004; Polashenski et al., 2012), the melt evolution is  
5 different for FYI and MYI. Melt onset proceeds rapidly to the MPF maximum on FYI with  
6 rapid pond drainage and moderate MPFs afterwards. On multiyear ice, the evolution of melt  
7 up to the melt maximum takes longer. The peak MPF value is lower and the MPF decrease is  
8 slower than that on FYI (Figure 1). A detailed description of melt stages and melt water  
9 distribution mechanisms can be found in (Polashenski et al., 2012). These details of melt  
10 evolution create spatial variability of MPF and sea ice albedo, and air mass transport and  
11 changing air temperature are theis main drivers of the temporal variability of the MPF. This  
12 introduces complications in the MPF modeling and creates the need for a satellite retrieval of  
13 MPF and sea ice albedo of possibly high temporal and spatial resolution.

14 The manuscript is structured as follows: in Section 2 the MPD algorithm, its input and output  
15 data are described. Section 3 is dedicated to validation of the albedo-cloud screening (Sect.  
16 3.1), albedo (Sect. 3.2) and MPF (Sect. 3.3) products. The additional cloud screening  
17 developed for the purpose of quality validation is presented in Section 3.3.2. The conclusions  
18 are given in Section 4.

19

## 20 **2 Data used**

21 The data used for the present study are the pond fraction and broadband sea ice albedo swath  
22 data products retrieved from MERIS swath Level 1b data over the ice covered Arctic Ocean  
23 using the MPD retrieval. The present chapter presents a short summary of the MPD retrieval.  
24 The full description of the algorithm can be found in (Zege et al., 2015).

25 The MPD is a new algorithm for retrieving characteristics (albedo and melt ponds fraction) of  
26 summer melting ice in the Arctic from data of satellite spectral instruments. In contrast to  
27 other algorithms (Rösel et. al, 2012; Tschudi et al., 2008) MPD does not use a priori values of  
28 the spectral albedo of constituents of the melting ice (melt ponds, drained surface, etc.).

1 The retrieval algorithm is based on the observations of optical properties of constituents of  
2 sea-ice (Perovich, 1996). A sea ice pixel is considered as consisting of two  
3 ~~constituents~~components: white ice and melt ponds. The reflection properties of surface ~~is~~are  
4 described by the spectral bi-directional reflectance distribution function (BRDF)  
5  $R(\theta, \theta_0, \varphi, \lambda)$ , where  $\theta$  and  $\theta_0$  are the zenith angles of the observation and illumination  
6 directions, respectively, and  $\varphi$  is the azimuth angle between them,  $\lambda$  is the wavelength.

7 The white ice is considered as an optically thick weakly absorbing layer. The BRDF of this  
8 sub-pixel  $R_{ice}(\theta, \theta_0, \varphi, \lambda)$  is determined by its optical depth  $\tau_{wi}$ , the mean effective grain size  
9  $a_{eff}$ , and the absorption coefficient  $\alpha_{yp}$  of yellow pigments, which could arise due to  
10 ~~sediments~~ from-suspended in the seawater. The spectral dependencies of optical  
11 characteristics of a layer are determined by the spectrum of the complex refractive index of  
12 ice by (Warren and Brandt, 2008) and spectral absorption of yellow pigments by (Bricaud et  
13 al., 1981). The used analytical approximation for  $R_{ice}(\theta, \theta_0, \varphi, \lambda)$  has been developed on the  
14 base of the asymptotic solution of the radiative transfer theory (Zege et al., 1991).

15 The BRDF of a melt pond  $R_{pond}(\theta, \theta_0, \varphi, \lambda)$  is determined by the melt water optical depth  $\tau_p$   
16 and by the spectral albedo of its bottom. The pond bottom is an ice layer, which in turn is  
17 characterized by the transport scattering coefficient  $\sigma_{ice}$  and the optical depth  $\tau_{ice}$ . Thus, the  
18 BRDF of the melt pond is calculated as reflection of the water layer with a semi-translucent  
19 bottom.

20 It is supposed that the pixel surface consists of white ice (highly reflective) and melt ponds  
21 with area fraction  $S$ . The BRDF of the whole pixel is a linear combination:

$$22 \quad R(\theta, \theta_0, \varphi, \lambda) = (1 - S)R_{ice}(\theta, \theta_0, \varphi, \lambda) + SR_{pond}(\theta, \theta_0, \varphi, \lambda) \quad (1)$$

23 The body of the retrieval algorithm comprises of the following steps.

24 1. The input to the algorithm is the MERIS level 1B data, including the radiance  
25 coefficients  $R_i$  at channels  $i=1, 2, 3, 4, 8, 10, 12, 13, 14$ ; (correspond to the central  
26 wavelengths of 412.5, 442.5, 490, 510, 681.25, 753.75, 778.75, 865 and 885nm), and  
27 the solar and observation angles (zenith and azimuth). Also the relevant information  
28 on atmosphere and surface state can be entered from an input file.

29 2. The data is sent to the three independent blocks:

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- 1 a. The atmospheric correction preprocessing block. The atmosphere reflectance  
2  $r_i$  and transmittance  $t_i$  are calculated for the used set of wavelengths ( $i$  is the  
3 channel number). Atmospheric correction is performed with regard to the  
4 surface BRDF.
- 5 b. Separation of the sea-ice pixels. In this procedure the ice pixels are separated  
6 from the cloud, land and open water pixels, using ~~the a~~ brightness criterion on  
7 the channels  $R_2, R_3$ , and  $R_4$ , spectral neutrality criterion on the ratio of the  
8 channels  $R_1$  and  $R_2$ , MERIS differential snow index (Schludt et al., 2011) and  
9 the threshold on the ratio of the MERIS oxygen-A band ( $R_{11}$  and  $R_{10}$ ). The  
10 first two criteria separate white surfaces, which can be snow, ice, or cloud. The  
11 MERIS differential snow index and oxygen-A band threshold discard cloudy  
12 pixels over snow.
- 13 c. Setting the bounds for ice and pond parameters. These border values serve to  
14 stabilize the algorithm and are set to correspond to values observed in nature  
15 (obtained by analyzing the field data from the Polarstern cruise (Istomina et al.,  
16 2013) and from the CRREL field observations (Polashenski et al., 2012)).
- 17 3. The main part of the algorithm is an iterative procedure to retrieve ice and pond  
18 parameters and the pond fraction  $S$ . The procedure is based on the Newton-Raphson  
19 method (Press et al., 1987) that provides the search of the minimum of ~~the~~ functional  
20  $\sum_i (R_i^{meas} - R_i^{calc})^2$  in the space of ice and ponds characteristics and fraction  $S$ .
- 21 4. The resulting characteristics and ~~the~~ value of  $S$  are used to calculate the spectral  
22 albedo of the pixel.
- 23 5. Output is the melt pond ~~area~~ fraction, the spectral albedo, and the estimation of the  
24 retrieval error in the pixel. The spectral albedo is retrieved at six wavelengths  
25 specified by the user. For the validation studies presented in this paper, the broadband  
26 sea ice albedo has been calculated as an average of the six spectral albedo values at  
27 400-900nm in steps of 100nm.
- 28 A satellite ~~snapshot-scene~~ is processed pixel by pixel, producing an hdf5-formatted map of  
29 output values.

1 The MPD algorithm has been preliminarily verified numerically, using a synthetic dataset of  
2 top of atmosphere radiances from melting Arctic ice as the input of a satellite spectral  
3 instrument. This dataset was computed with software developed based on the radiative  
4 transfer code RAY (Tynes et al., 2001; Kokhanovsky et al., 2010) for calculating signals  
5 reflected by the melting sea ice-atmosphere system. Thus the radiances in the MERIS spectral  
6 channels were simulated for a set of ice pixels for a few typical situations, including  
7 ‘standard’ white ice, bright ice (snow covered), dark and light blue melt ponds. The numerical  
8 experiment showed that the melt pond fraction can be retrieved with high accuracy (error less  
9 than 1%) for the most common case of ‘standard’ white ice and light blue (young) melt pond.  
10 The retrieval error increases with deviation from the ‘standard’ case, e.g. the retrieved pond  
11 fraction can be underestimated more than twice for the case of bright (snow covered) ice and  
12 dark (mature) melt pond. However, this situation is rare, because in the case of an open  
13 (exposed) a mature pond is formed in the process of the developed melt, when snowfalls are  
14 not very often only affects the surrounding ice surface for a short time due to melting  
15 temperature. The case of lid covered melt pond is a separate topic, which is discussed in detail  
16 in Sect. 3.3.3. At the same time the MPD algorithm provides accurate retrievals of the spectral  
17 albedo in all considered cases, even in the situations when the error of the pond fraction  
18 retrieval is maximalhigh. The spectral albedo is retrieved much better with the MPD  
19 algorithm than within the conventional algorithms using the Lambert approximation for  
20 surface reflection, which underestimates the albedo at about 0.05 all over the spectral range,  
21 whereas the error of the MPD retrieval in the worst case (‘bright ice – dark pond’) is 0.01 and  
22 lower in all other considered cases.

23

### 24 **3 Validation**

25 The datasets used for the validation of the MPD algorithm are shown in Table 1.

26 These validation datasets contain a wide range of pond fractions and were obtained over  
27 landfast ice, FYI and MYI of various ice concentrations. Therefore the performance of the  
28 satellite retrieval can be thoroughly tested for a variety of conditions and conclusions on the  
29 more or less suitable conditions for the application of the MPD retrieval can be drawn. Such  
30 conclusions are especially important as the MPD retrieval was initially designed for a limited

1 set of ice and pond parameters, namely for the conditions of the melt evolution with open  
2 melt ponds surrounded by dry white ice within the pack ice. A sensitivity study based on  
3 modeled input data shows the algorithm's better performance for bright melt ponds as  
4 opposed to dark melt ponds (Zege et al., 2015). Therefore, it is expected that the MPD  
5 algorithm shows the best performance over MYI of high ice concentrations. The performance  
6 over lower ice concentrations, in case of subpixel ice floes, saturated wet dark ice or thin  
7 ponded ice is compromised due to the limitations of the retrieval (Zege et al., 2015). We,  
8 however, perform the comparison to the in situ data for all available conditions anyway in  
9 order to evaluate the performance of the algorithm at the global scale.

10 Unfortunately, MERIS only features VIS and NIR channels, whereas for effective cloud  
11 screening over snow, IR and TIR channels ~~are~~ would be more suitable. Therefore MERIS is  
12 not the best instrument for cloud screening over snow and ice, and there ~~is~~ remains a risk of  
13 cloud contamination in the swath data and final gridded product. To avoid this, an additional  
14 cloud screening (Sect. 3.3.2) was implemented which proved to give a much better result on  
15 swath data. For the gridded product, a restriction on the amount of valid data pixels to form  
16 one grid cell was applied to screen out cloud edges. These issues will be addressed below.

17 The summary of dataset locations is shown in Figure 2. Among the above mentioned datasets,  
18 the airborne measurements and transect estimates are more accurate than visual estimations;  
19 in case of ship cruise bridge observations or visual estimations of melt ponds fraction in the  
20 field, the measurement accuracy is hard to evaluate.

### 21 **3.1 Validation of the cloud screening**

22 In order to test the performance of the cloud screening presented in (Zege et al., 2015), we  
23 have employed data from the AATSR sensor aboard the same satellite platform. The  
24 advantage of this sensor is that it has suitable IR channels for cloud screening over snow and  
25 ready procedures to perform this task. For this study, a cloud screening method for AATSR  
26 developed by (Istomina et al., 2010) is used. For that, the swath data of both MERIS and  
27 AATSR was collocated and cut down to only AATSR swath. Then, the two cloud masks (the  
28 reference mask by AATSR and test mask by MERIS) have been compared as follows: for  
29 each swath, an average pond fraction in cloud free areas as seen by AATSR (Figure 3, blue



1 curve) and by MERIS (Figure 3, red line) has been derived. This has been done for the period  
2 from May 1, 2009 to September 30, 2009. The resulting Figure 3 shows the effect of clouds  
3 on the MERIS MPD swath data: before the melt season, the clouds are have the lower albedo  
4 than the bright surface and are seen as melt ponds by the MPD retrieval. In the case of  
5 developed melt, the situation is the opposite: the melting surface is darker than clouds, and  
6 unscreened clouds are taken as lower pond fraction by the retrieval. Overall, the unscreened  
7 clouds in the MPD product result in smoothing out of the pond fraction toward the mean  
8 value of about 0.15. However, the temporal dynamics is preserved even in swath data. Partly  
9 the problem of unscreened clouds can be solved at the stage of gridding swath data into daily  
10 or weekly averages, by constraining the amount of valid pixels that form a valid grid cell so  
11 that cloudy areas which are only partly unscreened in the swath data are still not included in  
12 the gridded data (see Sect. 2 in the companion paper Istomina et al., 2015).

### 13 **3.4.2 Validation of the albedo product**

#### 14 **3.4.1 3.2.1 In situ validation**

15 Validation of the sea ice albedo satellite retrieval is a non-trivial task due to high spatial  
16 variability. In summer this variability is even more pronounced as each given duration and  
17 intensity of melt or refreeze creates an optically unique surface type (various grain sizes of sea  
18 ice and snow, drained, forming, overfrozen melt ponds, deep or shallow ponds on MYI or  
19 FYI, intermediate slushy areas, etc). For a satellite pixel size of 1.2 km x 1.2 km the surface  
20 types and their fractions from field observations are in the best case only known for a 100-200  
21 m long transect. In order to obtain the in situ sea ice albedo, a linear mix of all surface  
22 fractions is constructed. The availability of such comprehensive field measurements is very  
23 limited, and for those available, the question of how representative the chosen transect is for  
24 the whole area is anyway present. In this study, we use a transect data taken in the Canadian  
25 Arctic in June and July 2006 as part of the joint Finnish Institute of Marine Research and  
26 University of Calgary Cryosphere Climate Research Group polar ice POL-ICE research  
27 project (Geldsetzer et al., 2006), where the uniform pond distribution was confirmed using  
28 helicopter images (not shown here).

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1 During POL-ICE 2006 the spatio-temporal evolution of surface features and their spectral  
2 reflectance properties were monitored by collecting a series of transect measurements on  
3 landfast FYI (FI) also in the vicinity of Resolute Bay, Nunavut between June 26, 2006 and  
4 July 11, 2006. For each transect, a 200m transect line was established perpendicular to the  
5 predominant major-axis pond direction to maximize the frequency of changes between ponds  
6 and snow/bare ice patches. For the relatively uniformly distributed network of ponds and  
7 snow/bare ice patches characteristics of smooth FYI, this orientation yields a representative  
8 areal fraction of cover types (Grenfell and Perovich, 2004). A total of 12 transects were  
9 collected with surface cover types classified as: melt pond, snow/bare ice, or mixed at 0.5 m  
10 intervals. The mixed cover type was introduced to classify the slushy mixture of [water](#)  
11 saturated ice that could be neither classed as discrete pond or snow/bare ice. The data is  
12 shown in Table 2.

13 For 8 of POL-ICE 2006 transects when lighting conditions were suitable, cosine-corrected  
14 downwelling and upwelling radiance (0.35 m height) measurements were made at 2m  
15 intervals using a TriOS RAMSES spectrometer (320-950nm). Spectral data were processed  
16 using the calibration files and software bundled with the RAMSES spectrometer, with  
17 radiation measurements integrated across the bandwidth of the instrument to create integrated  
18 albedo measurements from each sample. Each albedo measurement was matched to a surface  
19 class, and average broadband albedo statistics by class and for each transect were derived. For  
20 these locations, the MPD retrieval has been performed and the broadband albedo average  
21 within 5km around the location has been produced. Satellite overflights closest in time to the  
22 field measurements were taken. The result is shown in Table 3, the comparison itself in the  
23 last column „Results“. The NaNs in the retrieved data are gaps due to cloud cover. Only four  
24 cases were cloud free. Overall, slight overestimation of the satellite albedo is visible. The  
25 discrepancies between the field and satellite albedo can be explained by difference in the  
26 spatial resolution of the two datasets and varying melt pond distribution within the studied  
27 area.

28

### 3.1.23.2.2 Aerial validation

The validation has been performed for selected cloud free satellite swaths at the reduced resolution of the retrieval (MERIS data, reduced resolution, 1.2 km x 1.2 km).

The aircraft campaign MELTEX („Impact of melt ponds on energy and momentum fluxes between atmosphere and sea ice“) was conducted by the Alfred Wegener Institute for Polar and Marine Research (AWI) in May and June 2008 over the southern Beaufort Sea (Birnbaum et al., 2009).

The campaign aimed at improving the quantitative understanding of the impact of melt ponds on radiation, heat, and momentum fluxes over Arctic sea ice. For determining broadband surface albedo, the BASLER BT-67 type aircraft POLAR 5 was equipped with two Eppley pyranometers of type PSP measuring the broadband hemispheric down- and upwelling shortwave radiation. The radiation sensors were mounted on the aircraft in a fixed position. For clear-sky conditions, data of the upward facing pyranometer, which receives direct solar radiation, were corrected for the misalignment of the instrument (based on a method described by Bannehr & Schwiesow, 1993) and the roll and pitch angles of the aircraft to derive downwelling hemispheric radiation flux densities for horizontal exposition of the sensor (see Lampert et al., 2012).

Weather conditions in May 2008 were characterized by warming events interrupted by cold-air advection from the inner parts of the Arctic towards the coast of the southern Beaufort Sea. A warming event on May 23 and May 24, 2008, caused the onset of melt pond formation on ice in a large band along the coast from the Amundsen Gulf to Alaska. On May 26, 2008, numerous melt ponds in a very early stage of development were overflowed. However, from May 27 to June 1, 2008, a new period with prevailing cold-air flow caused a refreezing of most melt ponds, which were still very shallow at that time. During the last week of the measurements, a tongue of very warm air was shifted from Alaska to the Beaufort Sea. It reached its largest extension over the ocean on June 4 and June 5, 2008, which again strongly forced the development of melt ponds.

The available validation data consist of 5 flight tracks for 5 days on May 26, and June 3, June 4, June 6 and June 7, 2008. Only the cloud free data is selected. The measurements were performed at different altitudes, as low as 50m and reaching 400m, with correspondingly

1 different numbers of measurement points for each satellite pixel. The collocation of such an  
2 uneven dataset with the satellite data has been performed by calculating an orthodromic  
3 distance of every pixel within a satellite swath to a given aerial measurement point, and  
4 collecting those aerial points lying at the minimum distance to the centre of a given satellite  
5 pixel. This ensures that aerial measurements performed at any height are collocated to the  
6 corresponding satellite pixel correctly. The number of data points per flight is in the order of  
7 tens to hundreds of thousands with up to 500 points per satellite pixel.

8 The validation effort has been done on swath satellite data. The quality of retrieval conditions  
9 for the MPD algorithm differs for each overflight depending on weather conditions, ice  
10 concentration and ice type. In addition, time difference between the satellite overflight and  
11 aerial measurements affect the comparison (Table 4) due to ice drift.

12 An example of such different conditions is shown in Figure 4, where the flight tracks over FI  
13 and over separate ice floes are shown.

14 The time difference between the aerial measurement and satellite overflight varies for the  
15 presented cases, which adds to the validation data uncertainty for cases with lower ice  
16 concentrations due to drifting separate floes. Where possible in case of drift, the time  
17 difference was limited to 1.5 hours around the satellite overflight. Two exceptions with time  
18 difference 2<sup>h</sup>-3<sup>h</sup> are marked in Table 4. Figure 5 shows the altitude and the correlation of the  
19 measured and retrieved broadband albedo for the only flight over FI on June 06, 2008. The  
20 rest of the flights were flown over separate floes. As no screening of albedo data was possible,  
21 it was decided to limit the time difference to 1.5 hour around the satellite overflight for the  
22 asymmetrically distributed flights. Some points of low measured albedo but high retrieved  
23 albedo feature time difference up to 2h and are most probably connected to the drift of  
24 separate ice floes. These are flights on June 04, 2008, May 26, 2008, June 03, 2008 and June  
25 07, 2008. They are shown in Figure 6, Figure 7 and Figure 8. Due to ice drift, the aerial  
26 measurements are displaced relative to the satellite snapshot which causes different areas to  
27 be compared to each other. The resolution differences of the two sensors may increase this  
28 difference even more. Therefore, slight over or underestimation due to the ice concentration  
29 difference of aerial and satellite measurements is visible. As the numerical experiment shows  
30 that accuracy of the albedo retrieval in all cases is high (Zege et al., 2015), and the case of no

1 drift shows high correlation of retrieved and measured albedo (FI case shown in Figure 5), we  
2 conclude that the discrepancy is due to the specifics of data used for validation and not a weak  
3 point of the MPD retrieval. To conclude, the best correlation for albedo retrieval is observed  
4 for the landfast and multiyear ice of high ice concentrations, which are the conditions of the  
5 best algorithm performance with  $R^2=0.85$ ,  $RMS=0.068$ . Correlation for lower ice  
6 concentrations, subpixel ice floes, blue ice and wet ice is lower due to complicated surface  
7 conditions and ice drift. Combining all aerial observations gives a mean albedo RMS of  
8 0.089.

### 9 **3.23.3 Validation of the melt pond product**

#### 10 **3.2.1 3.3.1 Aerial validation**

11 For the validation of the melt pond product, the aerial photos from the same airborne  
12 campaign MELTEX 2008 have been used. Although the flight tracks are the same, the criteria  
13 for data selection are different for albedo and melt pond measurements. This is why the  
14 validation data for melt pond and albedo data not to overlap entirely for the same flight. The  
15 number of points per flight is in the order of hundreds with about 5 images per satellite pixel  
16 (example photograph is shown in Figure 9). Additionally, one more flight over MYI near the  
17 coast of North Greenland ~~performed~~ during the aerial campaign NOGRAM-2 2011 has been  
18 used.

19 For the evaluation of the aerial photographs a supervised classification method (maximum  
20 likelihood) was applied. For every pixel  $x$ , the probability  $D$  of belonging to every class  $c$  is  
21 calculated. The pixels get assigned to the class with the highest probability (Jensen, 2008). If  
22 the training data is normally distributed, the maximum likelihood is expressed as follows  
23 (Gonzalez and Woods, 2002):

$$24 D = \ln(a_c) - [0.5 \ln(|Cov_c|)] - [0.5(X - M_c)^T (Cov_c^{-1})(X - M_c)]. \quad (2)$$

25 where  $D$  is the quantities weighted distance (likelihood),  $c$  is a particular class,  $X$  is the  
26 measurement vector of the candidate pixel,  $M_c$  is the mean vector of the sample of class  $c$ ,  $a_c$   
27 is the a priori probability of class  $c$  (set to equal values for all classes),  $Cov_c$  is the covariance  
28 matrix of the pixels in the sample of class  $c$ ,  $T$  is the transposition function.

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1 More than 10,000 aerial photographs were recorded during the MELTEX campaign during  
2 the different flight tracks. As the quality of the data was not uniform, only images which meet  
3 the following requirements were chosen: images taken during horizontal flight tracks (to  
4 minimize the geometric distortions) and clear sky flight tracks (to prevent a wrong  
5 classification because of fog, clouds and shadows of the clouds). The camera was operated  
6 with a non-constant exposure, so that the sea ice in images with a large fraction of open water  
7 was overexposed and useless for further evaluation. To simplify the automated classification,  
8 images of each day were separated into different flight tracks with similar exposure, ice  
9 conditions and same flight level. Nevertheless almost 3000 images were classified and  
10 evaluated for the MELTEX campaign. Two suitable flight tracks of the NOGRAM-2  
11 campaign that contain about 1000 images were chosen to complement the quantification of  
12 the melt stages. Depending on the flight level, each image covered an area between 0.2 km<sup>2</sup>  
13 and 3 km<sup>2</sup>.

14 Overall the validation data used features four types of sea ice: thin and thick FYI as well as  
15 fast ice (FI) for the MELTEX images, and MYI for NOGRAM-2. Most of the investigation  
16 area of the MELTEX campaign was covered by thin FYI or FI. Only on June 07, 2008, the  
17 most northerly part of the flight track contained a notable amount of thick FYI. This part  
18 showed a different behavior during the melting process and contained different surface classes  
19 than the thin FYI or FI.

20 Most flight tracks of the campaign were subdivided in several subflight tracks. For every  
21 subflight track a representative image was chosen, which contained all classes. ~~Mostly, In~~  
22 ~~cases where~~ there were no representative images with all classes for a given subflight track, ~~7~~  
23 ~~Therefore,~~ two or more images were merged for the determination of the training data. The  
24 threshold for the maximum likelihood method was set to 0.95. This means that the probability  
25 of belonging to a defined class must be 0.95 or higher. Otherwise the pixels were not  
26 classified. Within the presented study, the amount of unclassified pixels per image is ~~uniform~~  
27 ~~and is uniformly~~ about 1-2%.

28 The sea ice conditions varied greatly for each of the studied flights, with the cases ~~range~~  
29 ~~ranging~~ from land fast ice of 100% ice concentration, separate drifting ice floes to thrashed  
30 ice with subpixel ice floes (example in Figure 10). The cases with no separate ice floes and no

1 ice drift are shown in Figure 11 (FI) and Figure 12 (left panel, MYI) with quite good  
2 correspondence of the retrieved and measured pond fractions. Right panel in Figure 12, on the  
3 other hand, shows higher retrieved MPF than measured from the aircraft. The reason for this  
4 discrepancy is twofold: relatively large time difference and the challenging surface  
5 conditions. The surface state at the time was as follows: the reported cold air intrusion in the  
6 area on June 01, 2008 prevented the forming melt ponds from evolving further (an overview  
7 on surface conditions in the area can be found in (Scharien et al., 2012)), and the large floes  
8 were covered with frozen ponds at the beginning of their evolution. Frozen shallow ponds at  
9 the beginning of their evolution were classified as sea ice from the aerial images, but retrieved as  
10 melt ponds from the satellite. For the applications connected to the radiation budget studies (e.g.,  
11 GCM), a generalization where darker types of sea ice and melt ponds are put into one class is  
12 appropriate due to similar radiative characteristics of the two.

13 Figure 13 shows the flight on June 07, 2008, which features larger ice floes than the flights  
14 shown in Figure 14. The MPF output of the MPD algorithm is not affected by the subpixel  
15 fraction of open water because the almost constant spectrum of open water only affects the  
16 amplitude and not the spectral shape of the mixture of surfaces (sea ice, ponds and open  
17 water) within the pixel; however, the spectral signature of melt ponds is harder to resolve in  
18 case of lower ice concentrations. Subpixel ice floes, thrashed ice with inclusions of blue ice  
19 are not appropriate conditions for the MPD algorithm application, hence the overestimated  
20 pond fraction for both flights in Figure 14. Overall, the best correlation can be seen for the  
21 cases of landfast and multiyear ice of high ice concentrations  $R^2=0.36$ ,  $RMS=0.065$ .  
22 Combining all aerial observations gives mean melt pond fraction RMS equal to 0.22.

### 23 ~~3.2.2~~3.2.2 **Cloud screening for in situ and ship cruise validation**

24 As the aerial validation has been performed on cloud free data, the problem of cloud clearing  
25 did not arise. For in situ and ship cruise data, cloud contamination may increase the  
26 uncertainty of the satellite retrieved values and in these cases this problem has to be addressed  
27 additionally. With the gridded product, the unscreened cloud edges and partly screened out  
28 clouds are cut out with the criterion for minimum valid data pixels allowed ~~into~~within one  
29 grid cell. For the swath data, such criterion is not applied and the existing cloud filtering

1 proved to be not sufficient for a quality validation. Therefore, an additional spatial dynamic  
2 filter was introduced for ship cruise and in situ data. An example is shown in Figure 15.

3 The dynamic spatial filter consists of dividing the swath into boxes of 10x10 pixels with all  
4 the surface and cloud screening criteria applied except the oxygen A filter (Eq. 5 in (Zege et  
5 al., 2015)); due to MERIS bands specifics, all these filters are imperfect and are subject to  
6 misclassifying certain types of clouds (e.g. thin clouds and ice clouds) as ice and snow. Then,  
7 within a given box, the oxygen A filter is applied. If this additional oxygen A filter screened  
8 out some additional pixels, then the box is potentially cloudy and the imperfect cloud filters  
9 surely left some unscreened clouds. Such a box is discarded completely. If the additional  
10 oxygen A filter (which is more sensitive to high and thick low clouds than the other applied  
11 cloud filters, so in the case of clouds it would screen out more pixels than the other filters) did  
12 not screen out any additional pixels, the scene is either uniformly filled with just clouds to  
13 which none of the filter are sensitive (improbable) or it is a cloud free scene. The boxes where  
14 this happens are kept and used for validation.

15 This method proved to be successful for the case studies on single swaths which do not  
16 undergo gridding with ~~limitation-a threshold~~ on the minimum allowed amount of cloud free  
17 pixels which helps to screen out cloud edges or partly screened clouds. For our MERIS  
18 gridded products, the gridding procedure tends to introduce a similar cloud screening effect as  
19 the above mentioned filter. High thin clouds, however, may ~~be~~-still be present within both  
20 swath data and gridded products. The consequences are discussed in the Section 3.1.

### 21 ~~3.2.3.3.3~~ **3.2.3.3 Ship cruise validation**

22 The visual estimations of various sea ice parameters, including MPF during the ship cruises  
23 (most often performed according to ASPECT protocol) differ in accuracy from aerial  
24 measurements, transect measurements, or visual estimations during in situ campaigns which  
25 are dedicated to such measurements. As opposed to the in situ campaign, hourly bridge  
26 observations are performed by many observers with different estimation experience and skill,  
27 which introduces additional noise to the observed value. The two studied cruises – The Healy-  
28 Oden Transarctic Expedition (HOTRAX), 19 August – 27 September 2005 (Perovich et al.,  
29 2009), and RV Polarstern cruise ARK-XXVI-3 (TransArc2011), 04 August 2011 – 6 October  
30 2011 (Nicolaus et al., 2012), - both travelled across the Arctic Ocean at the end of melting



1 season, August-September. The occurrence of frozen over, snow covered or entirely melted  
2 through melt ponds was therefore high. The limited scope of the ASPECT observations for  
3 the TransArc2011 did not allocate a specific field for details of this kind and they were  
4 sometimes (but not always) mentioned in the comments, and for HOTRAX cruise such  
5 information was not available at all. ~~At the same time, Where available~~ these details are helpful  
6 for the validation of the MPD algorithm. Spectral reflectance of frozen and snow covered  
7 ponds are close to that of sea ice within the MERIS spectral range, and melted through ponds  
8 have the spectral behaviour of open water. Both surface types are no longer melt ponds in the  
9 original sense of the word and have to be excluded from the retrieved MPF for energy budget  
10 or climate modelling applications. As the MPD algorithm utilizes the difference in spectral  
11 behaviour of melt ponds, open water and sea ice, it will retrieve the fraction of open melt  
12 ponds with sea ice underneath the meltwater. In case of melted through or frozen over ponds  
13 documented as melt ponds in the ship based observations, a discrepancy between the ship  
14 cruise data and the MPF retrieval will occur. This is illustrated for the case of the frozen snow  
15 covered melt ponds in Figure 16.

16 Within this work, we apply the MPD algorithm without limitations other than cloud screening  
17 (original as described by (Zege et al., 2015), and dynamic spatial filter described in Sect.  
18 3.3.2) to illustrate the effect of the above mentioned underestimation. In cases not dedicated  
19 to the study of the algorithm accuracy, it is recommended to use the MPD MPF product in  
20 combination with the reanalysis air surface temperature to apply the algorithm only when the  
21 melt ponds are not frozen over. Otherwise the (supposedly low) MPF value is ambiguous and  
22 could indicate both low MPF of open ponds or high MPF of frozen ponds. ~~It is planned to  
23 resolve this ambiguity in the future versions of the algorithm by introducing a decision tree  
24 based on the air temperature as a measure of surface energy balance to determine whether  
25 ponds are frozen over or not.~~

26 Both cruises TransArc2011 (Figure 17) and HOTRAX 2005 (Figure 18) had only several  
27 days of cloud free collocations. The available swath data and the hourly ship observations  
28 have been compared point by point without temporal averaging. The only averaging was the  
29 15km ~~spatial averaging~~ spatially of the satellite data around the ship location. For both cruises,  
30 information on ice concentration was available from bridge observations and the ship MP  
31 values have been corrected for ice concentration to give the pond fraction relative to the

1 visible area and not to the area of sea ice. For the TransArc2011 cruise, information on MYI  
2 and FYI ice concentration was available with corresponding MPFs. The total MPF was  
3 calculated using the linear mix of these values. However, the resulting cloud free collocations  
4 feature mostly FYI cases. For the HOTRAX 2005, such information was not available and  
5 only total ice concentrations were used. The correlation between the satellite value and  
6 observed value: mean  $R^2=0.044$ , mean RMS=0.16. The low correlation might be caused by  
7 the documentation of varying accuracy within the ASPECT protocol.

#### 9 ~~3.2.4~~3.3.4 In situ validation

10 The in situ validation has been performed on the swath data using the three available datasets:  
11 transect measurements on the FI just north of Barrow, AK, approximately 1km offshore from  
12 Niksiuraq in the Chukchi sea, near 71°22' N, 156°33' W throughout June 2009 (Polashenski et  
13 al., 2012), 100m transect and visual estimations on the 3x3 km area of landfast FYI  
14 approximately 80 km northwest of Resolute Bay, Nunavut, 75°14' N, 97°09' W, between  
15 June 18 and July 10, 2002 as part of the Collaborative Interdisciplinary Cryosphere  
16 Experiment (C-ICE) 2002 project (Scharien and Yackel, 2005), and 200m transect fractions  
17 on landfast FYI also in the vicinity of Resolute Bay, Nunavut, 74°44' N, 95°06' W, between  
18 June 26 and July 11, 2006 (Sect. 3.2.1).

19 During C-ICE 2002 visual estimates of MPF fraction were made on a homogeneous and  
20 relatively smooth zone of FI in the Canadian Arctic Archipelago approximately 80 km  
21 northwest of Resolute Bay, Nunavut between June 18, 2002 and July 08, 2002 (Scharien and  
22 Yackel, 2005). Visual estimates were supported by occasional 100 m transect measurements  
23 taken at 0.5 m intervals to characterize surface feature types (melt pond or ice) and pond  
24 depths, as well as timelapse photos taken from a tower based camera mounted at 6 m height.  
25 From these data a nominal 0.1 MPF estimation error was ascribed to the visual estimates. For  
26 days where transect measurements were available, the daily average of W-E and N-S transects  
27 was used instead of visual estimates.

28 For the ~~rest-remaining~~ two datasets, the transect measurements of MPFs were used as  
29 provided.

Field Code Changed

1 The datasets feature uniform FI and at times of extremely high pond fractions and the  
2 following drainage events. As the campaigns were performed on the FI, no correction for the  
3 ice concentration was needed. As in case of ship cruises, the average MPF 15km around each  
4 in situ point was taken. The same cloud filtering has been applied (original as described by  
5 (Zege et al., 2015), and dynamic spatial filter described in Sect. 3.3.2). The total amount of  
6 cloud free collocated points is N=47, total RMS = 14%, total  $R^2=0.572$ . The correlation plot  
7 for the two datasets is shown in Figure 18.

#### 9 4 Conclusions

10 Melt ponds on sea ice affect the radiative properties of the ice cover and its heat and mass  
11 balance. In order to assess the change of the energy budget in the region (e.g. with GCM),  
12 among other sea ice and melt pond properties, the sea ice reflective properties and the amount  
13 of melt ponds on sea ice have to be known. This work has validated a retrieval of MPF and  
14 broadband sea ice albedo from MERIS data (Zege et al., 2015) against aerial, in situ and ship-  
15 based observations.

16 The cloud screening presented in (Zege et al., 2015) has been compared to the AATSR cloud  
17 screening presented in (Istomina et al., 2010) for swath data of both sensors collocated to  
18 AATSR swath, for the whole summer 2009. The comparison (Figure 3) shows that  
19 unscreened clouds are seen as melt ponds before melt onset and as less melt ponds during  
20 melt evolution; the effect of unscreened clouds is not constant and depends on the true surface  
21 pond fraction. Unscreened clouds tend to smooth out the melt pond fraction values towards a  
22 mean value of about 0.15. As can be seen from the figure, this smoothing effect is most  
23 prominent in the beginning of the season and during the melt maximum, and is the smallest in  
24 June.

25 The albedo data from from spaceborne and airborne observations have been compared and  
26 showed high correlation when there is no ice drift (Figure 5, Figure 7). Same comparison for  
27 MPF highly depends on the ice conditions and melt stage: for FI and MYI in the beginning of  
28 melt the correlation is high (Figure 11, Figure 12, Figure 19), for separate FYI floes the  
29 correlation is worse maybe due to ice drift (Figure 13, Figure 14). The comparison of ship  
30 cruise data to satellite retrieved MPF for FYI and MYI at the end of the melt season shows

1 strong underestimation of satellite retrieval. This might be connected to frozen over ponds  
2 undocumented in the ASPECT observations (Figure 17, Figure 18). At the same time,  
3 comparison to ship observations show that the MPD retrieval shows ambiguity of the  
4 retrieved MPF: low retrieved MPF could indicate low MPF of open ponds or high MPF of  
5 frozen ponds. It is planned to resolve this ambiguity in the future versions of the algorithm by  
6 introducing a decision tree based on the air temperature as a measure of surface energy  
7 balance to determine whether ponds are frozen over or not.

8 The presented melt pond fraction and sea ice albedo retrieval can be applied to other  
9 radiometers with sufficient amount of channels in the VIS and NIR regions of spectrum, e.g.  
10 VIIRS onboard Suomi NPP and OLCI onboard the Sentinel-3 ESA mission (planned launch  
11 2015). Thus the continuity of the MPF and sea ice albedo dataset can be achieved, which is  
12 important for the dataset use as input to GCM and for studies of MPF and albedo dynamics in  
13 the context of global change and Arctic amplification.

14 The case studies, time sequence analysis and trends of MPF and sea ice albedo are presented  
15 in the companion paper (Istomina et al., 2015).

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- 22



1 Table 1. Datasets used for validation of the MPD algorithm

Campaign and year	Method	Ref.
Barrow 2009	In situ field campaign, fractions along a 200m transect	(Polashenski, 2011)
MELTEX 2008	Airborne measurements, supervised classification algorithm applied to geolocated quality assured aerial images	(Birnbaum et al., 2009; Schwarz, 2013)
NOGRAM-2 2011	Airborne measurements, supervised classification algorithm applied to geolocated quality assured aerial images	(Lehmann, 2012; Schwarz, 2013)
C-ICE 2002	In situ field campaign, visual estimation and fractions along 100m transects	(Scharien and Yackel, 2005)
HOTRAX 2005	Ship cruise, hourly bridge observations, visual estimation	(Perovich et al., 2009)
TransArc 2011	Ship cruise, hourly bridge observations, visual estimation	(Nicolaus et al., 2012)
POL-ICE 2006	In situ field campaign, fractions along a 200m transect	(R. Scharien, Sect. <u>3.2.13.1.1</u> )

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1 Table 2. Transect measurements of surface type fractions in the Canadian Arctic, POL-ICE  
 2 2006, where the relative surface type fractions are as follows:  $f_1$  is the snow/bare ice,  $f_2$  – melt  
 3 pond,  $f_3$  – mixed cover,  $f_4$  – overfrozen melt pond.

id	date_ut	time_ut	loc_y	loc_x	n	$f_1$	$f_2$	$f_3$	$f_4$
1	26-Jun-2006	15:00	74.73324	-95.10583	383	0.37	0.31	0.32	0.00
2	27-Jun-2006	0:00	74.732	-95.10324	400	0.23	0.41	0.36	0.00
3	28-Jun-2006	0:00	74.73164	-95.14458	395	0.21	0.57	0.22	0.00
4	28-Jun-2006	18:30	74.73079	-95.14778	401	0.24	0.54	0.22	0.00
5	2-Jul-2006	15:00	74.73015	-95.16151	398	0.35	0.26	0.39	0.00
6	4-Jul-2006	17:30	74.73102	-95.15971	400	0.37	0.31	0.32	0.00
7	5-Jul-2006	14:45	74.7304	-95.17052	400	0.24	0.41	0.35	0.00
8	6-Jul-2006	3:00	74.73097	-95.1729	400	0.22	0.41	0.38	0.00
9	6-Jul-2006	17:00	74.7309	-95.17329	400	0.31	0.30	0.40	0.00
10	9-Jul-2006	15:00	74.72987	-95.17271	400	0.38	0.06	0.38	0.19
11	10-Jul-2006	0:30	74.7301	-95.17448	400	0.30	0.09	0.61	0.00
12	11-Jul-2006	16:45	74.72998	-95.16605	400	0.33	0.22	0.46	0.00

4

1 Table 3. Integrated (320-950nm) albedo for various surface types and total obtained from  
 2 transect radiance measurements in Canadian Arctic, POL-ICE 2006, versus corresponding  
 3 retrieved broadband (400-900nm) albedo averaged within 5 km around the location. n is the  
 4 amount of measurements, f is the surface type fraction,  $\alpha$  is the integrated albedo.

id	SNOW/BARE ICE				MIXED				POND				RESULT
	n	f	avg $\alpha$	std $\alpha$	n	f	avg $\alpha$	std $\alpha$	n	f	avg $\alpha$	std $\alpha$	Total $\alpha$ /retrieved
2	83	0.21	0.51	0.07	86	0.22	0.31	0.05	226	0.57	0.24	0.03	<b>0.31</b> /NaN
3	94	0.24	0.62	0.06	89	0.22	0.40	0.13	217	0.54	0.23	0.02	<b>0.36</b> /0.47
6	149	0.37	0.57	0.05	126	0.32	0.33	0.10	125	0.31	0.22	0.03	<b>0.38</b> /NaN
7	97	0.24	0.54	0.05	140	0.35	0.29	0.10	163	0.41	0.21	0.02	<b>0.32</b> /0.40
9	122	0.31	0.58	0.04	158	0.40	0.32	0.11	120	0.30	0.20	0.01	<b>0.36</b> /0.58
10	150	0.38	0.68	0.04	152	0.38	0.38	0.12	23	0.06	0.20	0.01	<b>0.46</b> /0.48
11	119	0.30	0.56	0.04	244	0.61	0.30	0.11	37	0.09	0.18	0.01	<b>0.37</b> /NaN
12	132	0.33	0.71	0.07	182	0.46	0.33	0.16	86	0.22	0.20	0.02	<b>0.43</b> /NaN
Combined			<b>0.60</b>	<b>0.08</b>			<b>0.33</b>	<b>0.12</b>			<b>0.21</b>	<b>0.03</b>	

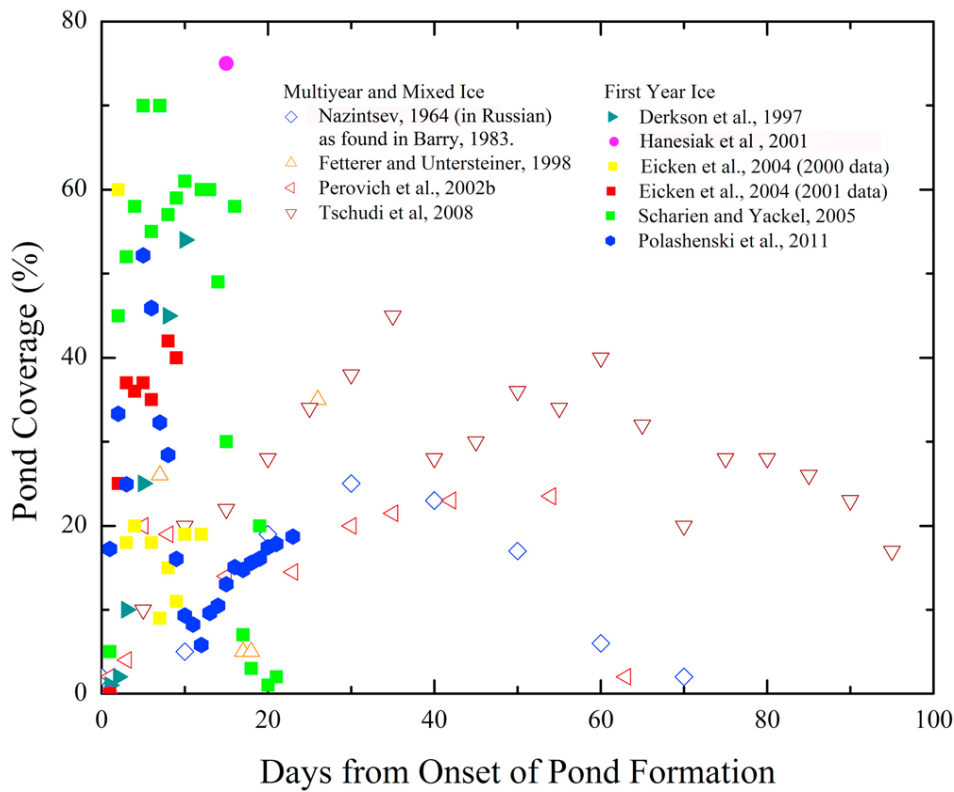
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1 Table 4. UTC time of aerial measurements (mpf and alb) and satellite overflights (sat) for  
 2 each day of available aerial measurements of MELTEX 2008 and NOGRAM 2011. Cases  
 3 with large time difference (greater than 1.5<sup>h</sup>) between satellite and field measurements are  
 4 marked with the red color.

Date	26.05.2008	03.06.2008	04.06.2008	06.06.2008	07.06.2008	21.07.2011
alb	20:45-21:48	17:00-19:46	19:14-23:24	no drift,	17:08-20:17	no drift,
mpf	20:55-22:55	5 <del>16:59-</del>	19:14-22:03	FI	6 <del>17:56-</del>	MYI
sat	20:46	17:53	21:02		19:22	
		19:54			21:08	

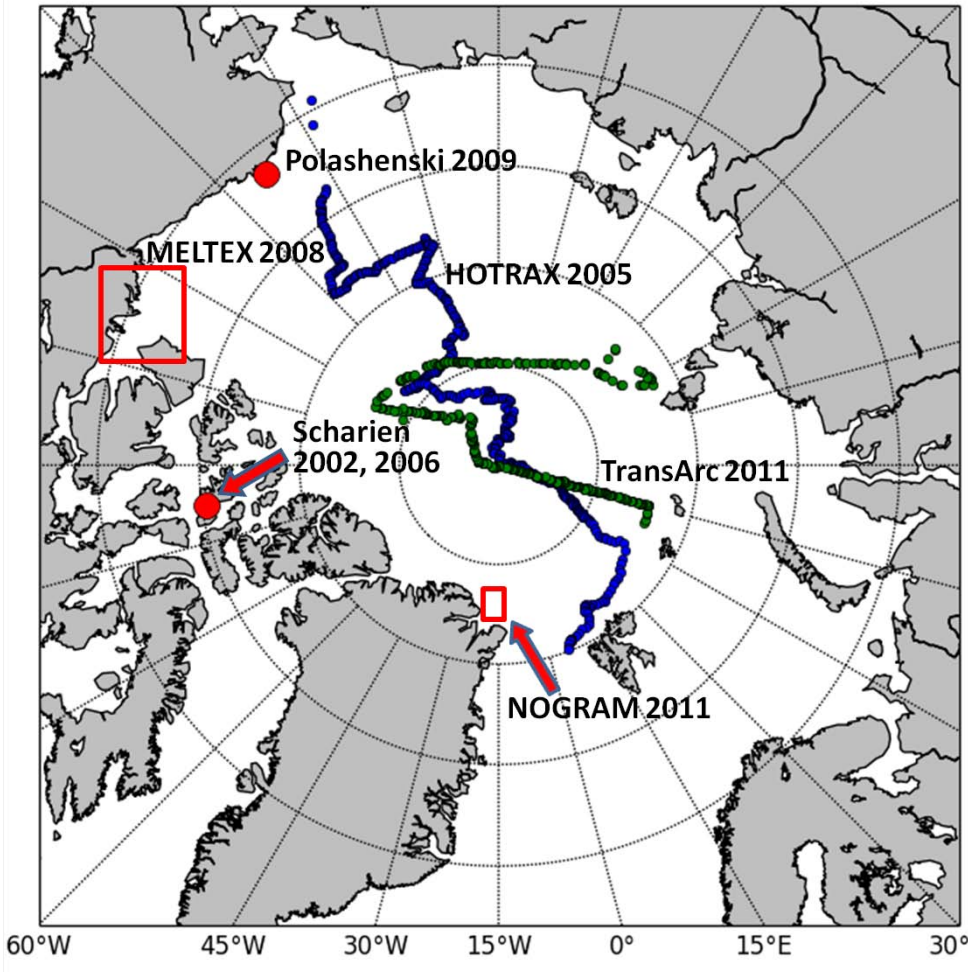
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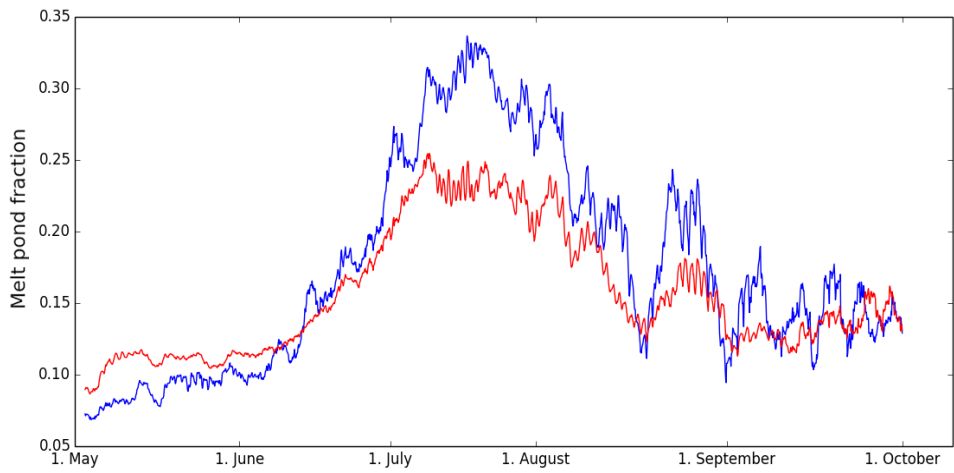


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 3 Figure 1. Pond coverage taken from various field campaigns (see legend) versus days from  
 4 onset of ponding on first year ice (filled dots) and multiyear ice (empty dots). Melt onset  
 5 proceeds rapidly to the MPF maximum on FYI with following pond drainage and moderate  
 6 MPFs afterwards; on multiyear ice, the evolution of melt up to the melt maximum takes  
 7 longer, the peak MPF value is lower and the MPF decrease is slower than that on FYI. Figure  
 8 courtesy C. Polashenski.

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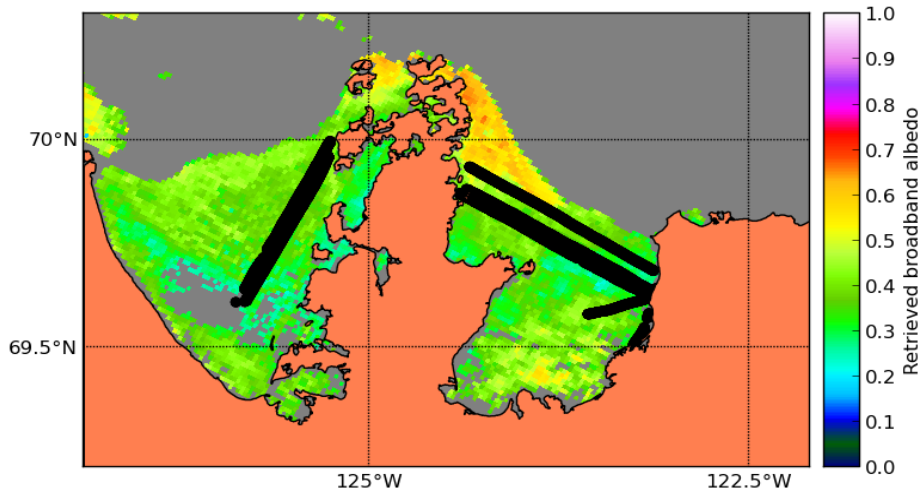
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 2 Figure 2. Schematic representation of the spatial distribution of the validation data. Red dots  
 3 show the location of in situ field measurements; tracks – ship cruises, rectangles –  
 4 approximate area of airborne measurements. The data includes FYI and MYI.  
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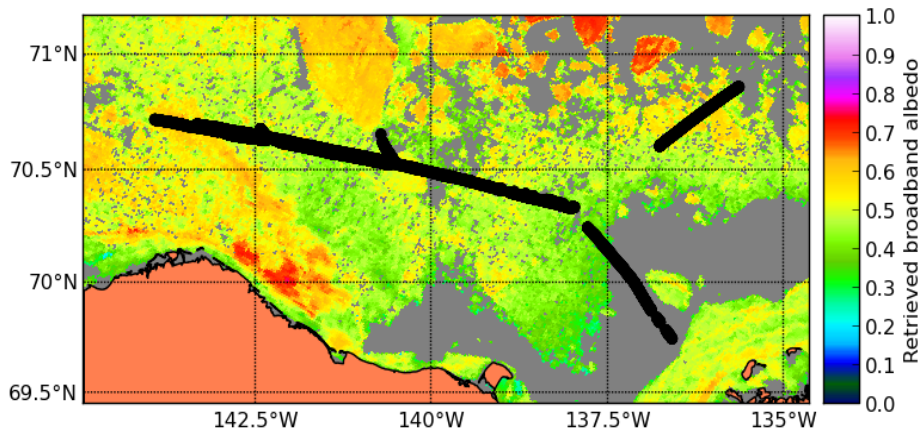
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 2 Figure 3. Swathwise comparison of the MERIS cloud mask from used in the MPD retrieval to  
 3 the AATSR cloud mask presented in (Istomina et al., 2010). Blue curve: MPF retrieved with  
 4 MPD averaged in cloud free areas as seen by AATSR (reference or “perfect” cloud mask).  
 5 Red curve: MPF retrieved with MPD averaged in cloud free areas as seen by MERIS  
 6 (potentially cloud contaminated mask). The smoothing out effect of unscreened clouds is  
 7 visible in the behavior of the red curve.  
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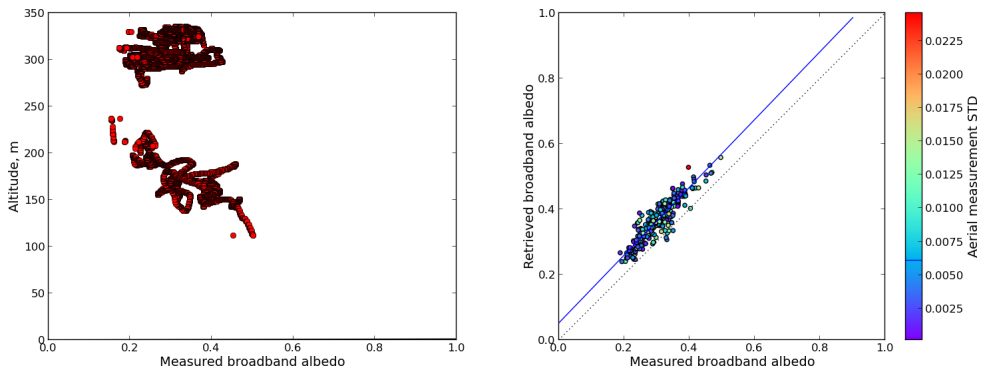
4 Figure 4. Examples of ice conditions present during MELTEX 2008 flights over landfast ice  
5 on June 06, 2008 (top panel) and over separate ice floes of various sizes on June 04, 2008  
6 (bottom panel). The black tracks depict the flight tracks with albedo measurements. The color  
7 code illustrates the satellite retrieved broadband albedo. The background consists of the coral  
8 filled landmask and grey filled data gaps due to cloud contamination or surface type other  
9 than sea ice.

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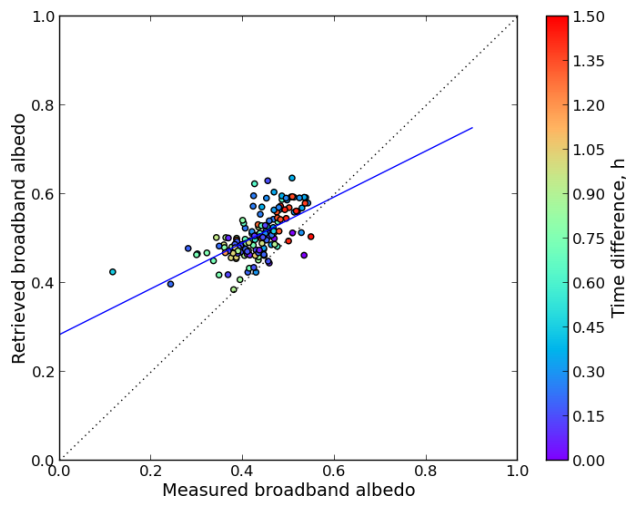
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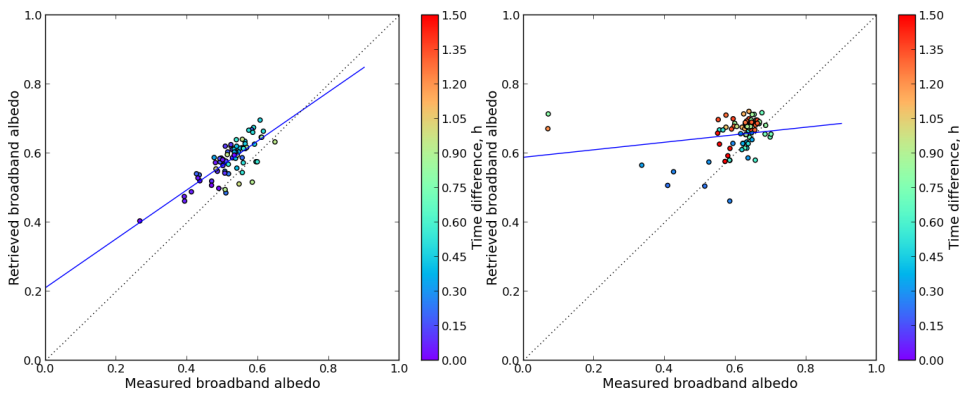
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4 | Figure 5. Altitude of the airborne broadband albedo measurements on June 06, 2008,  
5 | [MELTEX campaign](#) (left). Correlation between retrieved broadband albedo from satellite data  
6 | and measured broadband albedo over landfast ice (no drift) (flight track shown on the top  
7 | panel [Figure 3](#)). N = 169, R = 0.91784, RMS=0.068.  
8 |



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 2 Figure 6. Correlation between broadband albedo retrieved from airborne measurements and  
 3 from a satellite overflight, respectively, for the June 04, 2008, [MELTEX campaign](#) (bottom  
 4 panel of [Figure 3](#)) with respect to time difference. N=147,  $R^2=0.62239$ , RMS=0.089.  
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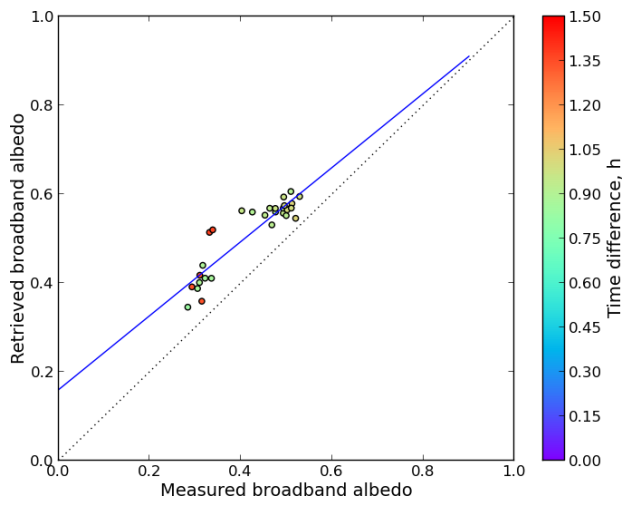


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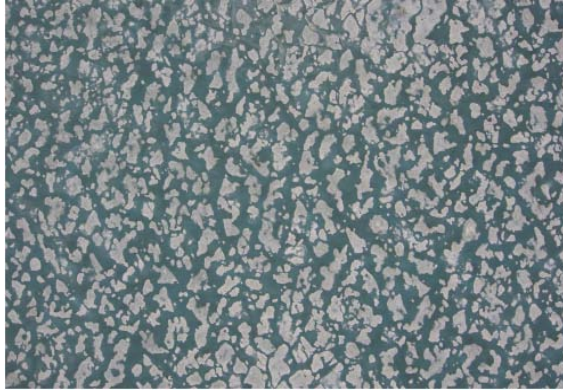
3 Figure 7. Correlation between broadband albedo retrieved from airborne measurements  
 4 (MELTEX campaign) and from a satellite overflight, respectively, for the May 26, 2008 (left  
 5 panel),  $N=73$ ,  $R^2=0.61778$ ,  $RMS=0.07$  and June 03, 2008, (right panel),  $N=78$ ,  $R^2=0.2205$ ,  
 6  $RMS=0.121$ , with respect to time difference. The flight on June 03, 2008 features the greatest  
 7 time difference to the satellite overflight, therefore most of the points have been discarded due  
 8 to possible drift contamination.

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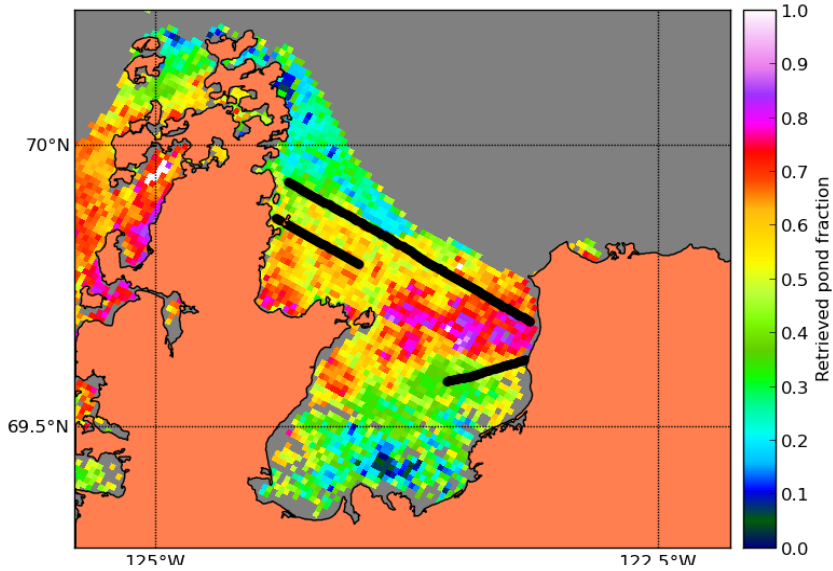
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Figure 8. Correlation between broadband albedo retrieved from airborne measurements ([MELTEX campaign](#)) and from a satellite overflight, respectively, for the June 07, 2008, with respect to the time difference.  $N=30$ ,  $R^2=0.90782$ ,  $RMS=0.096$ .

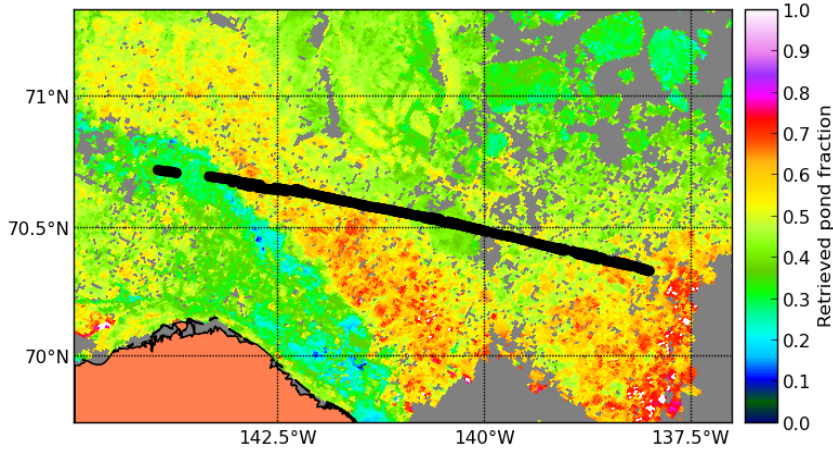


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Figure 9. Example of aerial photo from MELTEX ~~2008~~-campaign in 2008, flight over landfast ice on June 04, 2008. Only quality assessed images were taken (see text for details).



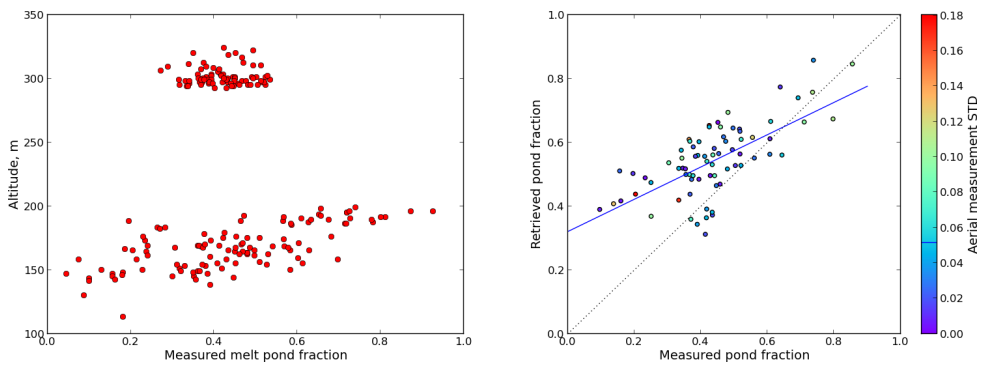
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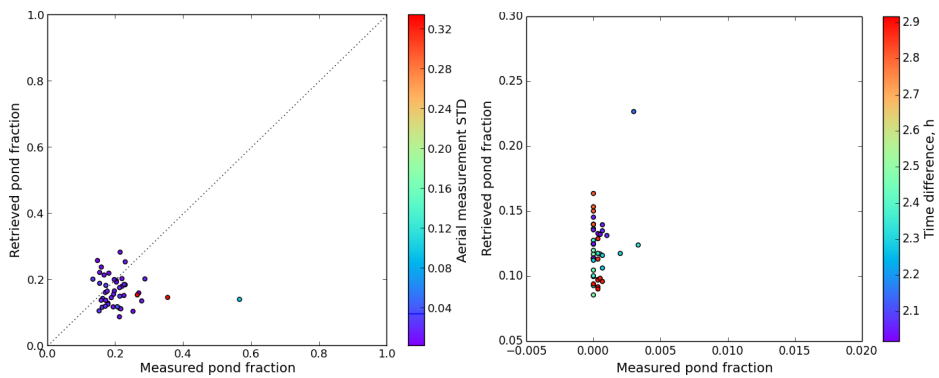
3 Figure 10. Examples of ice conditions present during MELTEX 2008 flights over landfast ice  
 4 on June 06, 2008 (top panel) and over separate ice floes of various sizes on June 04, 2008  
 5 (bottom panel). Black dots: the flight track. The colored filled background: the satellite  
 6 retrieved melt pond fraction. The background is the coral filled landmask and grey filled data  
 7 gaps due to cloud contamination or surface type other than sea ice.

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 3 Figure 11. Altitude of the airborne melt pond measurements on June 06, 2008 (left).  
 4 Correlation between retrieved melt pond fractions from satellite and airborne classified MPE  
 5 over landfast ice with no drift (right), June 06, 2008 during MELTEX campaign. The flight  
 6 track shown on the top panel Figure 9.  $N=48$ ,  $R^2=0.59836$ ,  $RMS=0.154$ .

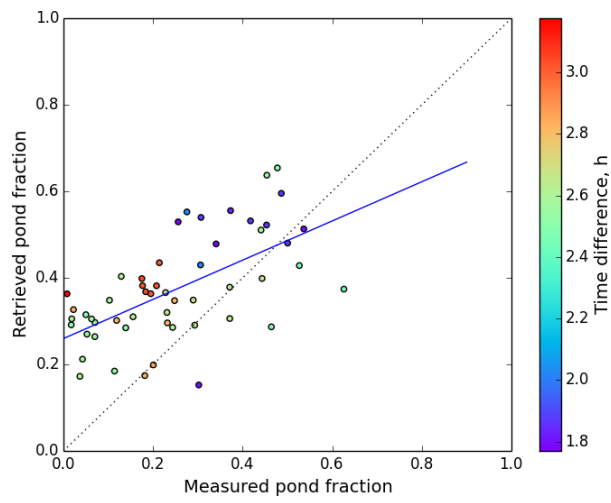
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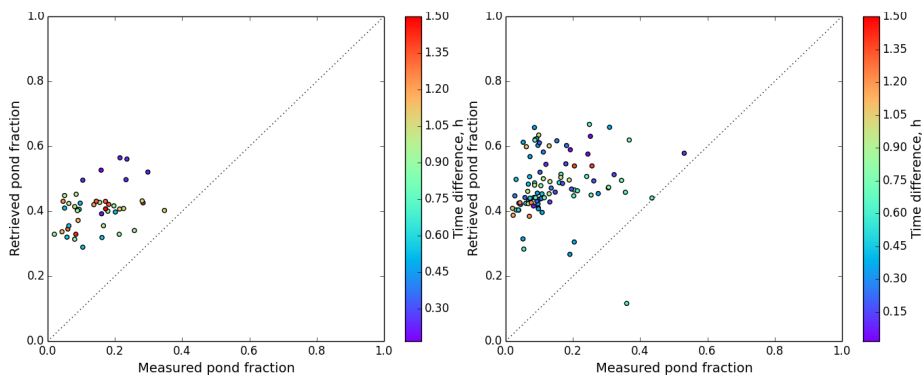
Figure 12. Correlation between retrieved melt pond fractions from satellite and airborne classified MP over MYI (no drift, ice pack), July 21, 2011, NOGRAM-2, 2011, campaign north of Greenland (left).  $N=40$ ,  $R^2=-0.00461$ .  $RMS = 0.065$  and over FYI, June 03, 2008, MELTEX 2008 (large floes but drift + large time difference) (right),  $N=44$ ,  $R^2=0.3613$ ,  $RMS = 0.123$ . [See Figure 2 for locations of the NOGRAM-2 and MELTEX campaigns.](#)





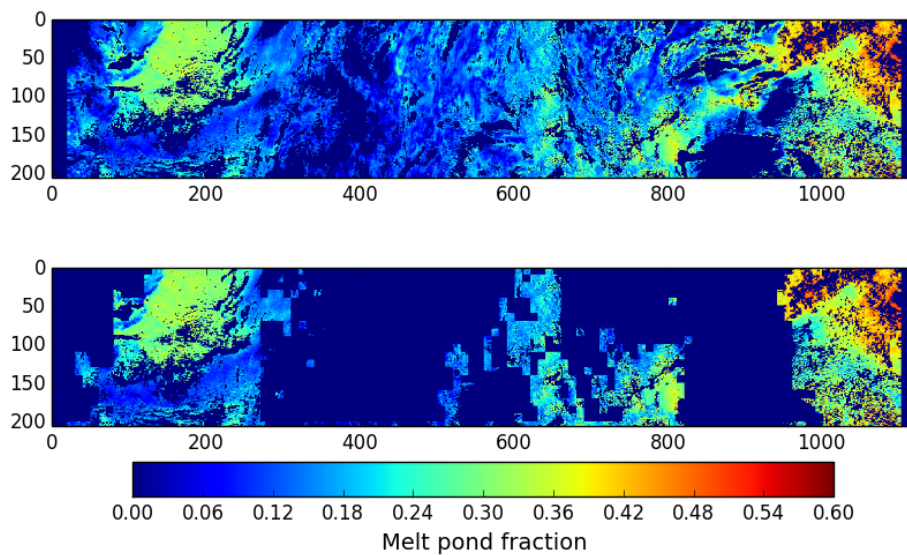
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Figure 13. Correlation between retrieved melt pond fractions from satellite and airborne classified MP over FYI, possible drift, June 07, 2008, MELTEX2008, Beaufort Sea. This case features larger ice floes than flights on June 04 or May 26, 2008.  $N=53$ ,  $R^2=0.37699$ ,  $RMS = 0.179$ .



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Figure 14. Retrieved melt pond fractions from satellite versus airborne classified MP over FYI, possible drift, May 26, 2008 (left panel),  $N=44$ ,  $R^2=0.13357$ ,  $RMS=0.274$ , and June 04, 2008 (right panel, the flight track is shown in Figure 9, bottom panel), Beaufort Sea,  $N=93$ ,  $R^2=0.02143$ ,  $RMS=0.361$ . Both cases feature extremely thrashed ice with subpixel ice floes which are covered not with white ice, but with blue ice (sea ice without the scattering layer), which has spectral response similar to MP within the VIS and IR spectral range.



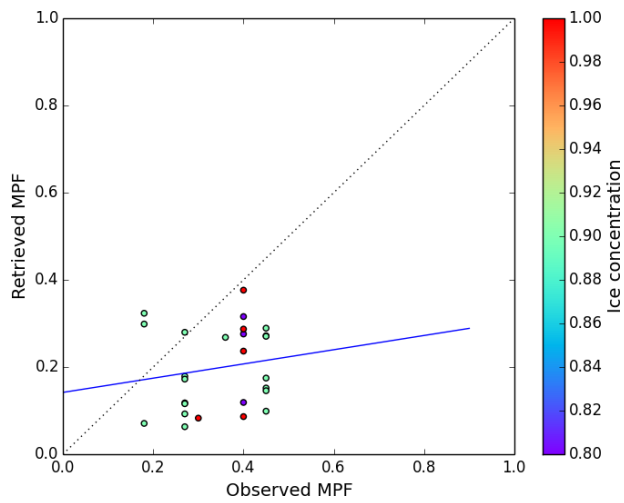
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Figure 15. Example of a spatial dynamic cloud filtering for MERIS swath data: original swath subset with the cloud filters from (Zege et al., 2015) applied (top panel), same swath subset after applying the dynamic spatial filter (see text).



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Figure 16. An example image made from the bridge of RV “Polarstern” during the TransArc 2011 (ARK XXVI3) on the 4<sup>th</sup> of September 2011 within the course of ASPECT observations. The estimated pond fraction is 0.5. The retrieved pond fraction for such cases will be significantly smaller because of high albedo of frozen over snow covered ponds. Image source (Nicolaus et al., 2012).



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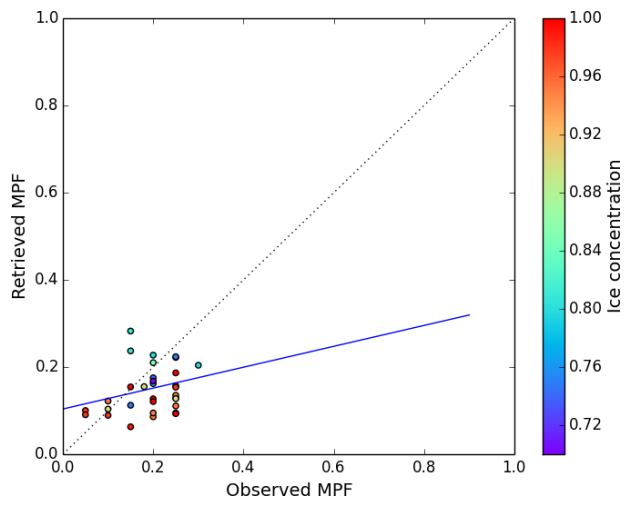
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3 Figure 17. Retrieved MPF versus observed MPF from the hourly bridge observations during  
 4 TransArc2011, 04 August 2011 – 6 October 2011. Swath data, no temporal averaging, 15km  
 5 satellite average around the in situ point. All but one point is FYI. Corrected for ice  
 6 concentration. Underestimation may be connected to undocumented presence of melted  
 7 through or overfrozen ponds at the end of the melt season (see

8 [Figure 15](#)

9 [Figure 15](#)).  $R^2=0.162026$ , RMS=0.19, N=26.

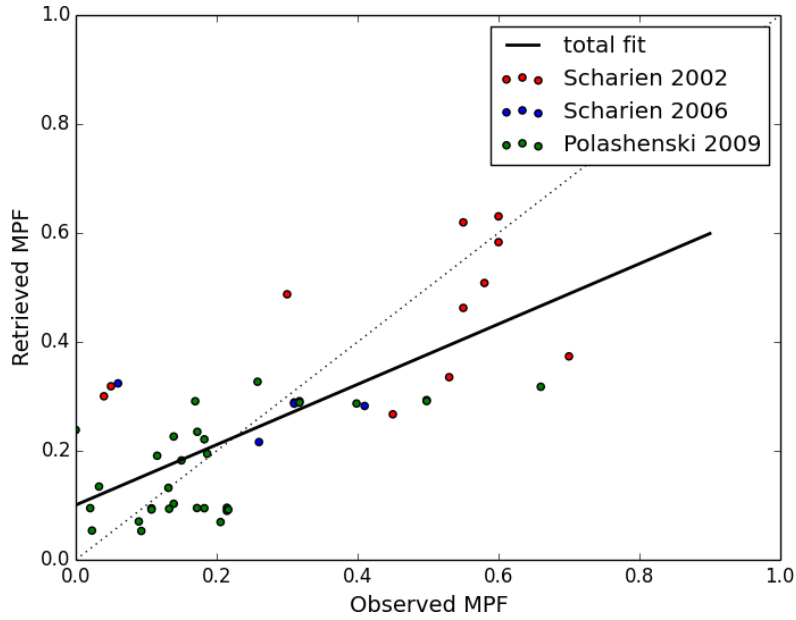
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Figure 18. Retrieved MPF versus observed MPF from the hourly bridge observations during HOTRAX2005, 19 August – 27 September 2005. Swath data, no temporal averaging, 15km satellite average around the in situ point. No information on ice type. Corrected for ice concentration. Underestimation may be connected to undocumented presence of melted through or frozen over ponds at the end of the melt season.  $R^2=0.0670-259$ , RMS=0.084, N=32.

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4 Figure 19. Three in situ campaigns on landfast ice: Scharien 2002 (red dots), Scharien 2006  
5 (blue dots) and Polashenski 2009 (green dots). Total point number  $N = 47$ ,  $RMS = 0.14$ ,  $R^2 =$   
6  $0.572$ . The overestimation of the low MPF may be connected to unscreened thin clouds which  
7 depending on the illumination-observation geometry may appear darker than the ice and  
8 therefore cause higher retrieved MPF.

9

1 **The melt pond fraction and spectral sea ice albedo retrieval**  
2 **from MERIS data II: case studies and validation and trends**  
3 **of sea ice albedo and melt pond fraction in the Arctic for**  
4 **years 2002-2011**

5  
6 **L. Istomina<sup>1</sup>, G. Heygster<sup>1</sup>, M. Huntemann<sup>1</sup>, H. Marks<sup>1</sup>, C. Melsheimer<sup>1</sup>, P.**  
7 **Schwarz<sup>2</sup>, G. Birnbaum<sup>3</sup>, R. Scharien<sup>4</sup>, C. Polashenski<sup>5</sup>, D. Perovich<sup>5</sup>, E.**  
8 **Zege<sup>6</sup>Zege<sup>2</sup>, A. Malinka<sup>6</sup>Malinka<sup>2</sup>, A. Prikhach<sup>6</sup>Prikhach<sup>2</sup> and I. Katsev<sup>6</sup>Katsev<sup>2</sup>**

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10 ~~[2]{Department of Environmental Meteorology, University of Trier, Trier, Germany}~~

11 ~~[3]{Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research,~~  
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14 ~~[5]{Cold Regions Research and Engineering Laboratory, Engineer Research and~~  
15 ~~Development Center, Hanover, New Hampshire, USA}~~

16 ~~[6]{B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk,~~  
17 ~~Belarus}~~

18 Correspondence to: L. Istomina (lora@iup.physik.uni-bremen.de)

19  
20 **Abstract**

21 The spatial and temporal dynamics of melt ponds and sea ice albedo contain information on  
22 the current state and the tendency of the climate of the Arctic region. Current work presents a  
23 study of melt pond fraction (MPF) and sea ice albedo spatial and temporal dynamics obtained  
24 with the MPD retrieval. The study is dedicated to the of comparison of sea ice albedo and  
25 MPF to reanalysis air surface temperatures, detailed analysis of weekly averages for 2007 and  
26 2011 which showed different dynamics of MPF, but resulted in similar minimum sea ice



1 extent, comparison to the MPF retrieved from MODIS, and analysis of albedo and MPF  
2 trends. The gridded MPF and albedo products compare well to independent reanalysis  
3 temperature data and show melt onset when the temperature gets above zero, however MPD  
4 shows an offset at low MPF of about 10% most probably due to unscreened high clouds.  
5 Weekly averaged trends show pronounced dynamics of both MPF and albedo: negative MPF  
6 trend in the East Siberian Sea connected to change of absolute MPF value in its peak but no  
7 temporal shift, positive MPF trend around Queen Elizabeth Islands connected to the earlier  
8 melt onset but peak MPF values staying the same. The MPF dynamics in the East Siberian  
9 Sea could indicate a temporal change of ice type prevailing in the region, as opposed to  
10 Queen Elizabeth Island, where MPF dynamics reacts to melting temperatures occurring  
11 earlier in the season.

## 13 **1 Introduction**

14 In the last few decades, the sea ice extent (defined as the total area with at least 15% of ice  
15 cover)- and area of multiyear ice (MYI) has been declining at even faster rate than that of the  
16 first year ice (FYI) (Comiso, 2012). This tendency of the sea ice towards thinner and younger  
17 ice has a strong impact on the energy balance of the system atmosphere-sea ice-ocean  
18 (Perovich et al., 2011; Wang et al., 2014). 96% of the total annual solar heat input through sea  
19 ice occurs during the melting season from May to August (Arndt and Nicolaus, 2014). The  
20 above mentioned effects are of importance within the context of changing Arctic due to  
21 sensitivity of the regional climate balance and its importance for global climate (Shindell and  
22 Faluvegi, 2009).

23 The extent of the Arctic sea ice cover has a pronounced seasonal cycle with the maximum in  
24 March and the minimum in September. The main feature of the sea ice in summer is the  
25 presence of melt ponds. Knowing their spatial and temporal dynamics during the melting  
26 season and over several years can help understand the current state and tendency of energy  
27 balance in the region. One of the evidence of the energy balance change in the Arctic is the  
28 change of the sea ice extent in the last decades. The sea ice extent has been showing a general  
29 declining trend ever since the beginning of continuous satellite observations in the late 1970s.  
30 This decline has been stronger for the September minimum than for the March maximum

1 (Cavalieri and Parkinson, 2012; Stroeve et al., 2011). -The decline of the minimum sea ice  
2 extent has become very significant since 2007: in September 2007, the minimum sea ice  
3 extent of 4.15million km<sup>2</sup> was about 39% below the average minimum of 1981-2000, and in  
4 2012 the minimum (3.41 million km<sup>2</sup>) was 49% below the 1981-2000 average (Perovich et  
5 al., 2012). While the minima of Arctic sea ice extent were less dramatic in the other years  
6 since 2007, it has to be noted that all minima after 2007 were below all yearly minima  
7 observed before 2007 (since beginning of satellite observations). What is the role of melt  
8 ponds in the above mentioned yearly dynamics of the ice cover? The clear connection  
9 between the area of melt ponds in spring and sea ice extent during the sea ice minimum in  
10 autumn is highlighted in (Schröder et al., 2014).

11 Current work is dedicated to the application of the algorithm to retrieve MPF and sea ice  
12 albedo described and validated in companion publications (Istomina et al., 2015; Zege et al.,  
13 2015). All available MERIS reduced resolution data for the Arctic summers from 2002 to  
14 2011 are processed and compiled in lower resolution in daily and weekly averages to increase  
15 the coverage and quality of the data. As the temporal evolution of the melt pond fraction and  
16 the surface albedo is naturally correlated with the evolution in air temperature at the surface, a  
17 comparison to NCEP reanalysis temperature data for various ice types was performed to  
18 check the performance of the algorithm. This has been done for extended periods of time  
19 (over the whole summer); also, in the context of the above mentioned connection of the MPF  
20 and sea ice extent, the MPF dynamics has been studied for the two years 2007 and 2011 and  
21 also compared to the data by (Rösel and Kaleschke, 2012) for selected cases. The performed  
22 comparisons showed clear agreement both to reanalysis data and to the MPF by (Rösel and  
23 Kaleschke, 2012).

24 The manuscript is structured as follows: Section 2 is dedicated to case studies, namely the  
25 comparison of the MPF and albedo data to the reanalysis air temperature for various locations  
26 (Sect. 2.1). Global applications of the MPD algorithms over the whole MERIS dataset (2002-  
27 2011) are given in Section 3. There the weekly averages for 2007 and 2011 are analyzed  
28 (Sect. 3.1), for these cases a comparison to another MPF retrieval is performed (Sect. 3.2),  
29 trends of MPF (Sect. 3.3) and sea ice albedo (Sect. 3.4) are presented. The conclusions are  
30 given in Section 4.

1

## 2 2 Case studies

3 The processed swath MERIS Level 1b data obtained with the MPD algorithm as described in  
4 the companion publications (Istomina et al., 2015; Zege et al., 2015)- have been gridded daily  
5 into the 12.5 km polar stereographic grid (the so-called NSIDC grid) with the criterion of  
6 more than 50% valid pixels (both spatially and temporally) within a grid cell to produce a  
7 valid grid cell. The standard deviation of such a mixed spatial and temporal average is also  
8 provided. Thus, the resulting NetCDF file contains four datasets: MPF, broadband albedo and  
9 their STDs. On average, there were around 13 overflights per day, with the density of  
10 overlapping swaths highest at about 80°N latitude. Even from a single overflight, there are at  
11 least 100 up to about 1000 data points for averaging into a single grid cell. Assuming a stable  
12 retrieval and low variations within a single day, the STD gives information about the spatial  
13 variation of MPF and broadband albedo within the grid cell. ~~However, since the Arctic is~~  
14 ~~one of the most cloud covered regions (80% cloud covered throughout the year (Serreze and~~  
15 ~~Barry, 2005)) and such a method reliably screens out only cloud edges.~~ This kind of  
16 averaging does not provide a guarantee of a valid data point for every single day and grid  
17 point. The gridding method with a threshold on the amount of cloud free pixels allowed to  
18 form a valid grid cell assists with cloud screening because it does not allow partly screened  
19 out clouds or potentially unscreened cloud edges to appear in the end product. ~~However, since~~  
20 ~~the Arctic is one of the most cloud covered regions (80% cloud covered throughout the year~~  
21 ~~(Serreze and Barry, 2005)) and such a method reliably screens out only cloud edges, some of~~  
22 ~~unscreened clouds will still affect also the gridded product.~~  
23 The weekly resolution has been obtained by averaging the Ggridded daily products are used  
24 ~~to produce averages of higher order, e.g. weekly averages. As in the case of daily resolution, a~~  
25 ~~weekly averaged grid cell is obtained from no less than 50% of valid (cloud free) pixels. Here~~  
26 ~~again, more than half of available pixels have to be valid numbers to obtain a valid averaged~~  
27 ~~value for a given grid cell. Should a given grid cell contain more than 50% of invalid pixels, it~~  
28 ~~is assigned a not a number value.~~ No weight or threshold on STDs is applied. The resulting  
29 STD is then written into the resulting NetCDF file together with the averaged value for the  
30 broadband albedo and MPF.

4

1 These weekly averages have a much higher data density since there is a higher probability for  
2 the satellite to observe cloud free areas within one week than it is the case for one day.

3 The essential difference in daily and e.g. weekly averages is the data coverage due to  
4 cloudiness and smoothness of the resulting product. This is why the gridded product has been  
5 used for case studies and data analysis on the Arctic scale. The results presented in the  
6 following sections: comparison to reanalysis air temperature for various locations on FYI and  
7 MYI (Sect. 2.1), weekly averages analysis for 2007 and 2011 (Sect. 3.1), comparison to MPF  
8 data from (Rösel et al., 2012) (Sect. 3.2), spatial trends of MPF (Sect. 3.3) and broadband  
9 albedo (Sect. 3.4).

## 10 **2.1 Comparison to reanalysis air temperature at the surface: time sequences** 11 **of the daily gridded MPF and albedo for FYI and MYI**

12 In order to illustrate the feasibility of the algorithm on FYI and MYI, time sequences over the  
13 summer 2009 have been produced for Beaufort Sea with mainly FY ice and North Greenland  
14 with mainly MY ice (Figure 1).

15 For this study, the daily averaged product was taken in the area 75N, 155W (Beaufort Sea)  
16 and 84.5N, 35W (North Greenland) and it was compared to the time sequence of daily  
17 averaged air temperature at the surface (0.995 sigma level) from NCEP reanalysis data  
18 (Kalnay et al., 1996). The difference between melt evolution in the selected location is mainly  
19 that melt onset happens about a month earlier in lower latitudes: beginning of June on FYI as  
20 opposed to beginning of July for MYI. Then, due to FYI roughness being much less than that  
21 of MYI, the maximum MPF on FYI can be about 4 times higher than that on MYI (maximum  
22 melt 0.2 on MYI as opposed to up to 0.8 on ~~FM~~MYI, Figure 1 in (Istomina et al., 2015)). While  
23 the melt onset occurs rapidly on both ice types, the later stage of melt - drainage of melt  
24 ponds - happens much sooner on FYI than on MYI. On MYI, this stage is generally  
25 substituted with MPF decrease due to freezing and snowfalls unless the MY ice is very rotten.

26 One more difference between the two chosen locations is the sea ice concentration: for the  
27 MYI the ice concentration stays very high throughout the whole summer, whereas for the FYI  
28 region the effect of ice concentration and also ice drift (in the swath data for consecutive days

1 separate floes and their drift is clearly visible) can affect the time sequence analysis, affecting  
2 the noisiness of the retrieved values.

3 Overall, the comparison of the retrieved MPFs and albedos to the surface air temperature  
4 (Figure 2 and Figure 3) shows a clear connection between these during the melt onset: as soon  
5 as air temperature assumes constantly positive values, sea ice albedo drops down and MPF  
6 increases abruptly. For both FYI and MYI the maximum MPF is around 0.35, with melt onset  
7 happening in the beginning of June for FYI and beginning of July for MYI. This corresponds  
8 to the knowledge about melt onset and dynamics from field measurements (Figure 1 in  
9 Istomina et al., 2015)). The evolution of melt on MYI follows the air temperature dynamics  
10 and is ongoing till first snowfalls and freezing temperatures around mid August. The FYI  
11 region, however, is closer to the ice edge and therefore features greater range of ice  
12 concentrations within the study area. As a result, the corresponding curve appears noisier and  
13 interrupts with the area becoming ice free starting 1<sup>st</sup> of August. For periods before melt  
14 onset, the retrieved MPFs range from 0% to 10-15% with relatively high albedos; both might  
15 be the effect of unscreened clouds which tend to increase retrieved pond fraction in case of  
16 small true pond fraction and decrease it in case of high true pond fractions. The difference of  
17 the sea ice type is also visible in the albedo values before the melt onset: higher albedo (90%,  
18 blue curve in middle panel of Figure 2, Figure 3) for MYI region and lower (80%, red curve in  
19 middle panel of Figure 2) for the coastal region of Beaufort Sea. For MPF before melt onset,  
20 the effect of subpixel ice floes and greater open water fraction as compared to the MYI region  
21 may have caused the difference in MPF offset with respect to expected value near zero before  
22 melt (Figure 2, Figure 3).

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### 23 **3 Temporal and spatial analysis over the whole MERIS dataset**

24 This chapter presents the main highlights of the processed MERIS data for 2002-2011.  
25 Weekly averages have been used for this study due to better data coverage; therefore the  
26 shown trends are produced with the weekly resolution.

27 The most striking and characteristic stage of the melting season is the melt onset and the first  
28 stage of melt evolution. Such dynamics are ice type specific, e.g. on FYI this is the rapid melt  
29 pond formation with the rapid drainage, during which the MPF changes drastically up and  
30 down within a scale of days to weeks. MYI features later (starts in July) and slower melt

1 onset, less extreme pond fractions with the absence of rapid melt evolution stages. The pond  
2 fractions in the melt maximum on MYI are close to pond fractions of the melt evolution (after  
3 melt onset and drainage) on FYI. Thus, the difference of MPF on FYI and MYI is most  
4 prominent in June. Therefore in this work we study the temporal variation of MPF and albedo  
5 for June of each year of the available MERIS dataset. A more detailed analysis of MPF and  
6 albedo for the whole summer including their parameterization will be presented in a follow-  
7 up publication.

### 8 **3.1 Weekly averages of June 2007 and 2011: how the record ice minimum in** 9 **2007 started**

10 It is interesting to compare the evolution of melt right after the onset of melt for [the](#) record sea  
11 ice minimum in 2007 and a similar [one](#) by the ice minimum extent year 2011, to see how the  
12 patterns of melt changed within these 5 years to reach the same resulting ice extent during the  
13 sea ice minimum. In Figure 3 the evolution of the MPFs from the last two weeks of May up to  
14 first two weeks of September 2007 and 2011 is shown. The onset of melt happens in the  
15 fourth week of May at the shore of Beaufort Sea (2007) and East-Siberian Sea (2011).

16 The melt onset during the first week of June has much more local character in 2011 than in  
17 2007, being centered near point Barrow and the shore of Beaufort Sea, as opposed to 2007  
18 when the melt onset began already at a more global scale. The second week of June 2007  
19 featured drastic melt [with MPF values up to about 50%](#) in the Beaufort Sea and the western  
20 part of the FYI covered Arctic Ocean (top row in Figure 4), whereas in 2011 the situation was  
21 more or less stable relative to the first week of June.

22 In the third and the fourth weeks of June 2011 large scale melt started (third week of June  
23 2011, one week delay as compared to June 2007), but it is centered around the Queen  
24 Elizabeth Islands. The fourth week of June 2011 shows that melting spreads from there over  
25 the Eurasian Arctic Ocean, whereas in 2007 at this time these areas have already experienced  
26 the maximum of melt and are now draining. MYI areas to the north of Greenland and Queen  
27 Elizabeth Islands for both years display start of melting, which continues in July. The  
28 relatively high MPFs throughout the whole Arctic Ocean are interrupted with lower air  
29 temperatures in the Eurasian Arctic in the second week of July 2007 (bottom row in Figure 4).

1 The lower air temperatures are evident in the time sequence of daily averaged NCEP air  
2 temperatures (0.995 sigma level) and MPFs (Figure 5) for both years for the characteristic  
3 location in the Barents Sea, where lower MPFs are observed in the second week of July 2007  
4 as compared to 2011 (100 km average around 85°N, 65°E). A reference location north to the  
5 Queen Elizabeth Islands is also shown in Figure 5 (100 km average around 83°N, 110°W).  
6 The locations of the two sites are shown with yellow square ~~with tagstags tagged~~ “E” and  
7 “W” ~~correspondingly-respectively~~ in Figure 1. The differences in the MPFs for the second  
8 week of June and second week of July 2007 and 2011 are summarized in Figure 4.

9 The rest of July and first two weeks of August feature similar melt evolution with occasional  
10 freezeup and melt (Figure 3). The frequent freezeups and snowfalls caused the MPF decrease at  
11 the northernmost latitudes in the last two weeks of August of both 2007 and 2011, while the  
12 melt ponds were still present closer to the ice edge of the Arctic ocean (also can be seen in  
13 Figure 5). The MPF decreases overall throughout the Arctic Ocean (according to the air  
14 temperature, the ponds are frozen over and most probably snow covered) in the first two  
15 weeks of September of both 2007 and 2011.

16 To conclude: the years 2007 and 2011 feature different times of melt onset and also different  
17 spatial patterns of MPFs, however the ice extent near the ice minimum is similar. The most  
18 prominent feature is the rapid and large scale melt in the second week of June 2007 which  
19 was not reproduced in 2011. This feature seems to be compensated by smaller MPFs in the  
20 first two weeks of July 2007, whereas the MPFs during the same time period in 2011 again do  
21 not reproduce this feature (Figure 4). This kind of opposite temporal MPF dynamics (2007)  
22 produces the same effect as an evolution of melt uniform in time (2011). The aA analysis of  
23 MPF time sequences (Figure 5) shows only a moderate (about 15%) difference of MPF  
24 amplitude during the first and second week of July 2007 and 2011, but such a moderate MPF  
25 difference over a large area (Figure 4) has a significant effect on the minimum ice extent: for  
26 both 2007 and 2011, the areas of higher MPFs during any phase of the melt evolution are ice  
27 free at the time of ice minimum (1<sup>st</sup> and 2<sup>nd</sup> weeks of September, Figure 3). This conclusion  
28 on connection between MPF and sea ice extent during the ice minimum agrees well with the  
29 findings of Schröder et al., (2014).

### 3.2 Comparison to MPF by Rösel et al., (2012)

An unusual temporal and spatial dynamics of melt ponds in the Arctic Ocean in 2007 and 2011 has been initially discussed by (Rösel and Kaleschke, 2012) based on MODIS data and the melt pond retrieval algorithm described in (Rösel et al., 2012). It is interesting to compare these independent data obtained from a different sensor and retrieval method to the MPD MPF, and in case of agreement confirm the unusual melt pond dynamics for the 2007 and 2011 summers.

For this comparison, two examples presented in (Rösel and Kaleschke, 2012) are taken: 8 day composites starting on June 18, 2007 and June 18, 2011. These are the cases of prominent difference in melt pond patterns in 2007 and 2011. In order to compare the two datasets, the 8 day composites from MODIS (pond fraction relative to ice area) available at the web page of University of Hamburg: [http://icdc.zmaw.de/arctic\\_meltponds.html?&L=1](http://icdc.zmaw.de/arctic_meltponds.html?&L=1)

have been converted into pond fraction relative to pixel area using the provided ice concentration. Corresponding 8 day averages have been created from the MPD daily gridded data. The selection of valid grid cells in the dataset by (Rösel et al., 2012) is the following: not less than 50% valid pixels for a valid grid cell, ice concentration greater than 25%, STD of MPF less than 15%. The comparison plot is shown in Figure 6. It is visible that for the June 18, 2007 both datasets show similar spatial patterns with higher MPF between the Queen Elisabeth Islands and Beaufort Sea, and lower MPF in the MYI region north to Greenland and eastern part of the Arctic Ocean. This pond fraction distribution seems plausible when considering the date of observation, before melt onset in the MYI region, and such a spatial distribution is confirmed by both algorithms. The MPF values slightly differ in amplitude (note the distribution of higher and lower MPF in both datasets e.g. in the Beaufort Sea). The reason for this difference maybe, firstly, the difference in cloud screening methods with MODIS being much better suited for the task of cloud screening over snow results in different fraction of unscreened clouds present in the datasets. The second reason is the different averaging method, with data by (Rösel et al., 2012) being produced as a composite (best or most characteristic observation within the period), whereas MPD data is obtained by unweighted averaging. And finally the third reason for the difference is the positive 8% offset of the dataset by (Rösel et al., 2012) as provided in the “Data quality” section at the data



1 [source http://icdc.zmaw.de/arctic\\_meltponds.html?&L=1](http://icdc.zmaw.de/arctic_meltponds.html?&L=1). It is unclear whether this bias is  
2 constant over the whole range of MPFs and if it is possible to correct for it. (Makynen et al.,  
3 2014) suggest that that the bias stems from the possible inaccurate assumption on the sea ice  
4 optical properties, which would mean that the bias varies not only with MPF, but also with  
5 weather conditions and location in the Arctic ocean (in some locations the assumption on the  
6 surface was correct and in some not).

7 The second row of Figure 6 shows the same comparison, but for 18.06.2011. Here again, both  
8 algorithms agree on the spatial distribution of the melt ponds, with slight difference in the  
9 amplitude, and thus confirm the plausibility of results presented both in Sect. 3.1 and in  
10 (Rösel and Kaleschke, 2012).

### 11 **3.23.3 Spatial trends of melt-pond-fraction MPFs for the Arctic Ocean over the** 12 **whole MERIS dataset (2002-2011)**

13 As seen from the comparison to in situ data and reanalysis temperature data, (1) the MPD  
14 retrieval is affected by unscreened high thin clouds, to which none of the available cloud  
15 filters is sensitive but which affect the retrieved MPF and albedo because clouds tend to  
16 increase the albedo/decrease pond fraction for areas of high true MPF and increase  
17 MPF/decrease albedo for areas of no or little melt. This produces an offset at the low MPFs  
18 and is mainly visible at the beginning of the melt season. It affects also the maximum  
19 reachable MPFs at the peak of the melt and the minimum MPFs before and after the season;  
20 (2) the performance of the algorithm is compromised during the end of the melt season due to  
21 the presence of overfrozen, snow covered or melted through melt ponds.

22 ~~Therefore, for the future versions of the algorithm an improvement of the MERIS cloud mask~~  
23 ~~over snow, potentially in synergy with another sensors like AATSR for the historic MERIS~~  
24 ~~dataset, would be the first priority. Moreover, the usage of additional data such as the~~  
25 ~~reanalysis temperature will help to determine cases where MPD should not be applied. For~~  
26 ~~sensors with available TIR and NIR channels, both disadvantages might be improved due to~~  
27 ~~more reliable cloud screening and availability of surface temperature information from the~~  
28 ~~same sensor.~~

1 Nevertheless, for the MERIS data the temporal dynamics of the retrieved quantities compares  
2 well with the NCEP air at the surface temperature data and the weekly averages show  
3 pronounced spatial variability of the retrieved pond fractions for different years which cannot  
4 be explained by thin cloud cover. This gives us the possibility to study the trends of the  
5 retrieved quantities as a potential systematic offset due to clouds would be cancelled out and  
6 only the variability of the true value shows up in the trend (Figure 7). The trend significance  
7 given by the map of the MPF trend p value (Figure 8) confirms this finding: the strongest  
8 positive or negative trends are most significant ones.

9 There is no trend for the first week of June throughout the years (Figure 7), except for a slight  
10 positive trend of MPF near Point Barrow. This feature disappears for the second week, and a  
11 starting positive trend of 1-2 % per investigation period is located near Queen Elizabeth  
12 Islands. This trend ~~remains until the end of~~ ~~exists for the whole month of~~ June. A ~~p~~Possible  
13 explanation for it is not the increased absolute value MPF, but earlier melt onset for these  
14 areas as related to the beginning of the dataset (Figure 10). A negative trend in the East-  
15 Siberian Sea has yet to be explained: either it is the opposite temporal shift of melt evolution  
16 towards summer, or a shift of melt evolution towards spring with e.g. drainage phase of melt  
17 observed instead of maximum melt, or a fluctuation in the weather conditions or in the ice  
18 type in the area within the studied years. It is important to understand that the trend of the  
19 MPF can as likely occur due to temporal shift of the melt process towards spring or autumn,  
20 as well as change of maximum possible pond fraction due to ice type change. The maximum  
21 and average MPFs depend not only on the air temperature, but also on the sea ice roughness  
22 ~~of~~ various scales and other ice properties (Polashenski et al., 2012), so an increasing air  
23 temperature trend in the area would not necessarily produce an increasing MPF trend. The  
24 time sequence of MPF for the studied years for the location of interest in the East Siberian  
25 Sea (74°N, 160°E averaged 50 km around the point) is shown in Figure 9.

26 The MPF curves for different years hardly show any temporal shift, but display a change of  
27 absolute MPF and of temporal behavior of the melt evolution, which is an indication of an ice  
28 type change from FYI to MYI. E.g. the curve corresponding to 2011 in Figure 9 (red curve)  
29 shows a clear MYI MPF dynamics. The presence of ~~the~~MYI in the area is confirmed by  
30 analyzing the maps of ice concentration for the autumn before in the region of interest (not  
31 shown here, for details see AMSR-E ice concentration maps provided by Uni Bremen,

1 <http://www.iup.uni-bremen.de:8084/databrowser.html>). At the same time, a similar plot  
2 (Figure 10) for the Queen Elizabeth Islands (50 km around 78°N, 108°W) displays the exact  
3 opposite: the peak of MPF for the melt onset shifts towards spring in the later years of the  
4 MERIS dataset, whereas the absolute value of the MPF peak stays the same. This is the sign  
5 of warm atmospheric masses appearing earlier in the summer than before, producing the  
6 positive MPF trend for the area.

7 The MPF trend for the 4<sup>th</sup> week of June features earlier melt onset in the MYI regions and  
8 further melt overall in the Arctic ocean with occasional hints of longitudinal oscillations, e.g.  
9 in week 4 of June, Figure 7.

10

### 11 **3.3.3.4 Spatial trends of broadband sea ice albedo for the Arctic Ocean over the** 12 **whole MERIS dataset (2002-2011)**

13 The MPF and the broadband albedo of the pixel are joint products, i.e. increasing trend of  
14 MPF gives a decreasing trend of the albedo. Decreasing albedo trend around Queen Elizabeth  
15 Islands and increasing trend in the East-Siberian Sea (see Figure 11) correspond well to  
16 already seen dynamics of the MPF weekly trends (Figure 7) and are confirmed by low p-  
17 values (Figure 12), with The slight differences in spatial patterns of albedo and MPF trend  
18 which can be explained by different sensitivity of both retrievals to open water and melt  
19 ponds: MPFs are not sensitive to open water (OW), whereas the albedo of the pixel includes  
20 also OW, ice type and its optical properties: the albedo retrieval translates the change of ice  
21 reflectance into the albedo of the pixel, whereas the MPF retrieval only retrieves MPF. The  
22 albedo trend significance which displays similar spatial pattern as the significance# of the  
23 MPF trend (Figure 8) is shown in Figure 12. As can be seen from the albedo trend  
24 significance, the remaining areas did not show clear albedo trend within the studied period of  
25 time.

## 26 **4 Conclusions**

27 Current work presents a detailed analysis of the MPD product (Istomina et al., 2015; Zege et  
28 al., 2015) consisting of a comparison to reanalysis air surface temperatures, detailed analysis  
29 of weekly averages for 2007 and 2011 which showed different dynamics of MPF, but resulted

12

1 in similar minimum sea ice extent, comparison to the data by (Rösel et al., 2012), and analysis  
2 of albedo and MPF trends. The gridded products compare well to independent reanalysis  
3 temperature data and show melt onset when the temperature gets above zero degrees Celsius  
4 (Figure 2), however MPD results show an offset at low MPF of about 10% most probably due  
5 to unscreened high clouds. This makes application of the MPD algorithm to a sensor with a  
6 more precise cloud mask desirable (VIIRS onboard Suomi NPP or OLCI onboard Sentinel3).  
7 Though absolute daily values of MPF and albedo may be affected by unscreened clouds,  
8 relative MPF and albedo differences through the temporal axis are significant and the  
9 temporal MPF dynamics correspond to that observed in the field for FYI and MYI (Figure 2).  
10 This is also applicable to weekly averages based on analysis of MPF behavior in 2007 and  
11 2011 (Figure 3, Figure 4) and on the comparison of the MPD product to data by (Rösel et al.,  
12 2012) (Figure 6). Thus, the MPD products are suitable for analyzing temporal and spatial  
13 dynamics of MPF and sea ice albedo.

14 Weekly averaged trends show pronounced dynamics of both MPF and albedo: negative MPF  
15 trend in the East Siberian Sea connected to change of absolute MPF value in its peak but no  
16 temporal shift, positive MPF trend around Queen Elizabeth Islands connected to the earlier  
17 melt onset but peak MPF values staying the same (Figure 7, Figure 9, Figure 10). The MPF  
18 dynamics in the East Siberian Sea could indicate a change of ice type prevailing in the region,  
19 as opposed to Queen Elizabeth Island, where the MPF dynamics reacts to melting  
20 temperatures occurring earlier in the season. This will be analyzed further in a follow-up  
21 publication.

## 22 **Acknowledgements**

23 NCEP Reanalysis data provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA,  
24 from their web site at <http://www.esrl.noaa.gov/psd/>.

25 Arctic melt pond cover fractions were obtained for June 2007 and 2011 from the Integrated  
26 Climate Data Center (ICDC, <http://icdc.zmaw.de/>), University of Hamburg, Hamburg,  
27 Germany, March, 2015.

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29 valuable comments on the manuscript.

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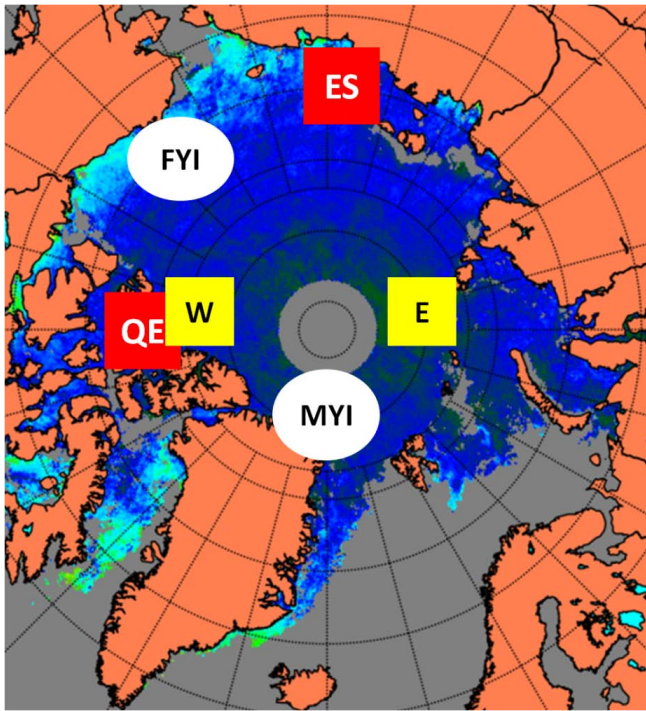
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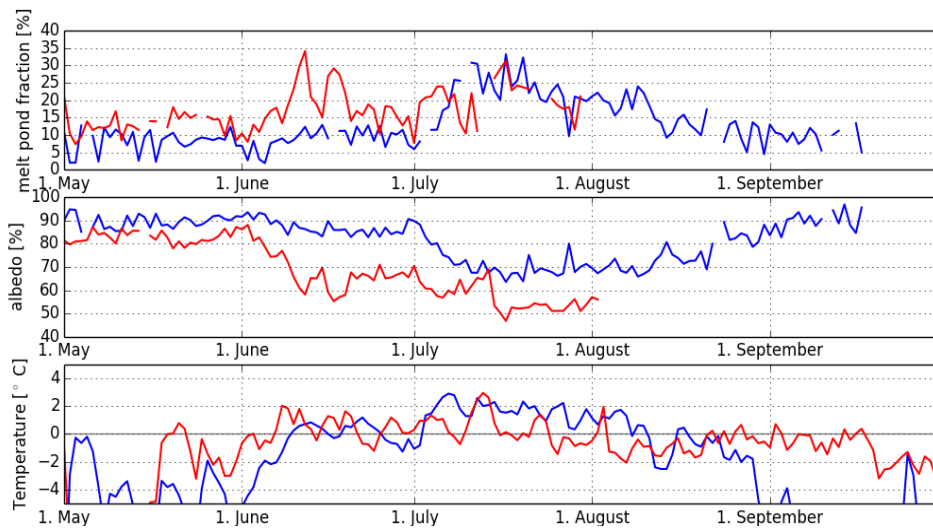


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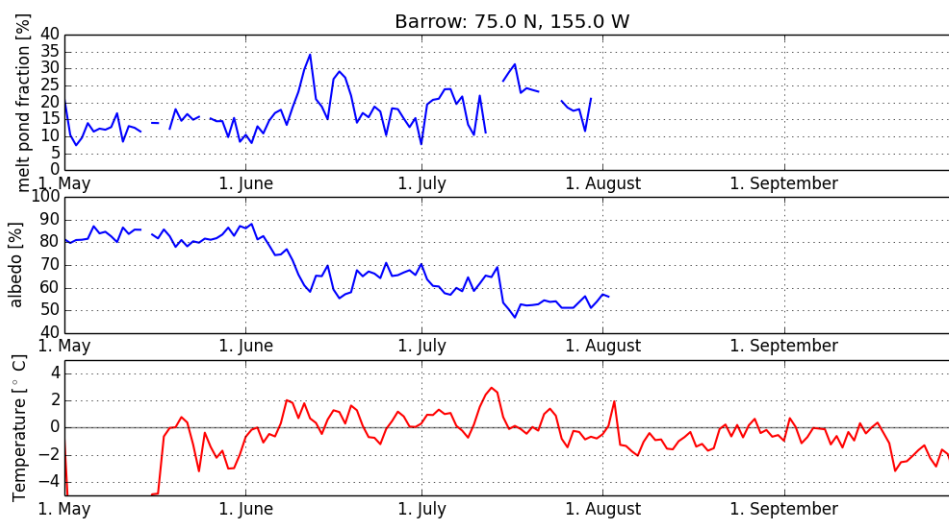
Figure 1. White circles depict locations for the time sequence analysis in summer 2009, in the Beaufort Sea, FYI (red curves in Figure 2) and North Greenland, MYI (blue curves in Figure 2-Figure 3). Yellow squares show locations for two sites in both 2007 and 2011, namely in Barents Sea (E) and north to the Queen Elizabeth Islands (W) (Figure 5). Red squares depict the locations of two sites between Queen Elizabeth Islands (Figure 10) and in the East Siberian Sea (Figure 9), where the MPF temporal behavior was compared for years 2003-2011.

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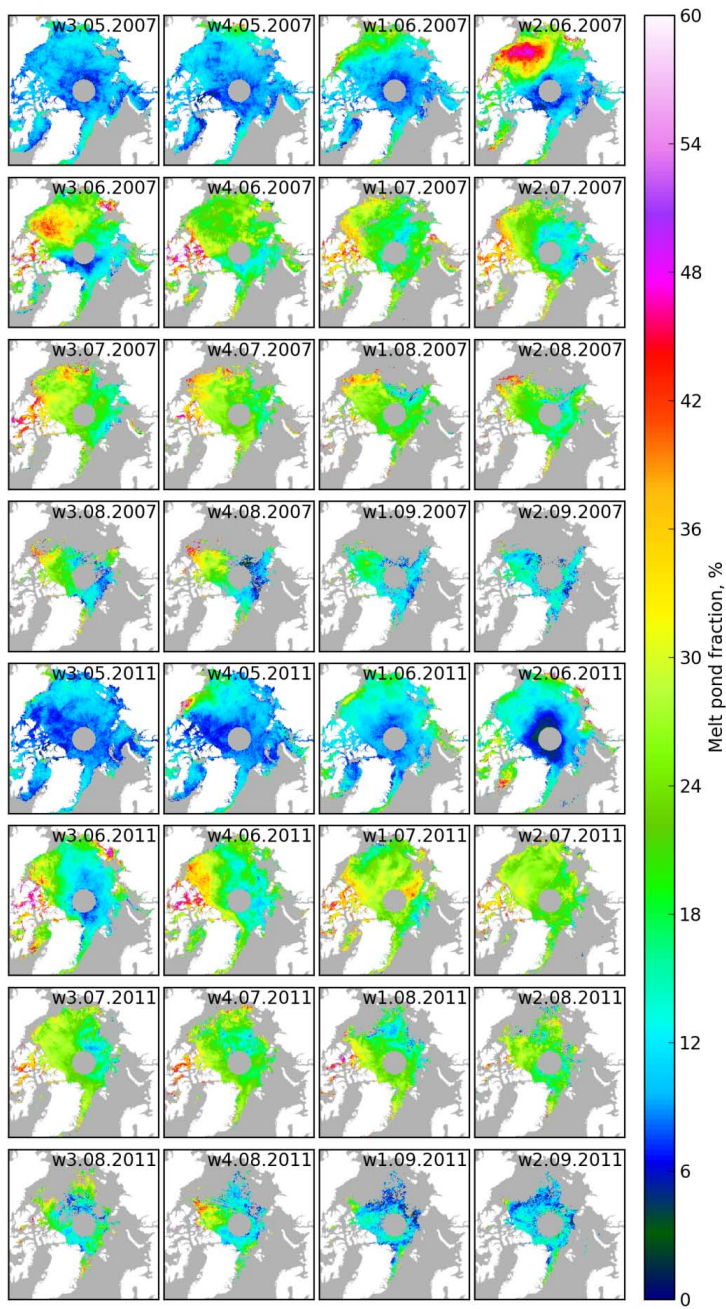
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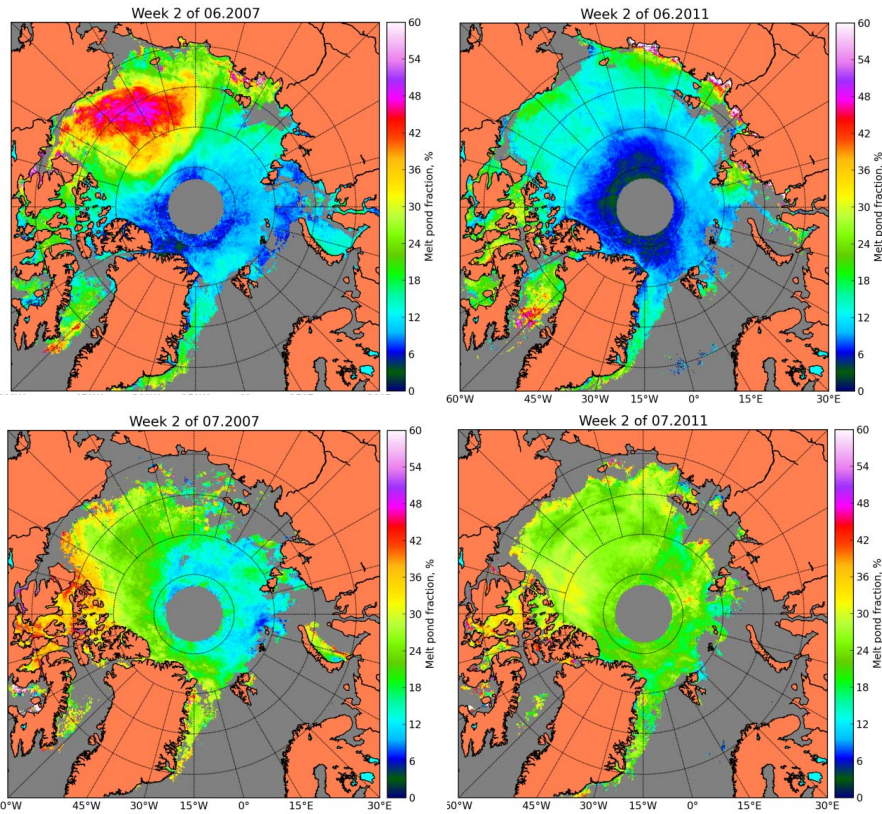
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3 Figure 2. Time sequence of MPF, broadband albedo and NCEP air temperature at the surface  
 4 for two regions: FYI area in Beaufort Sea near Barrow (75°N, 155°W) and MYI area around  
 5 North Greenland (84.5°N, 35°W); May to September 2009. Locations are shown in Figure 1  
 6 with white circles tagged “FYI” and “MYI”, respectively. ~~May to September 2009.~~ The

- 1 | albedo and MPF curves [in the FYI area](#) are interrupted as the area becomes completely ice
- 2 | free on the 1<sup>st</sup> of August 2009.



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 2 Figure 3. Retrieved weekly averaged MPF for summer 2007 and 2011.

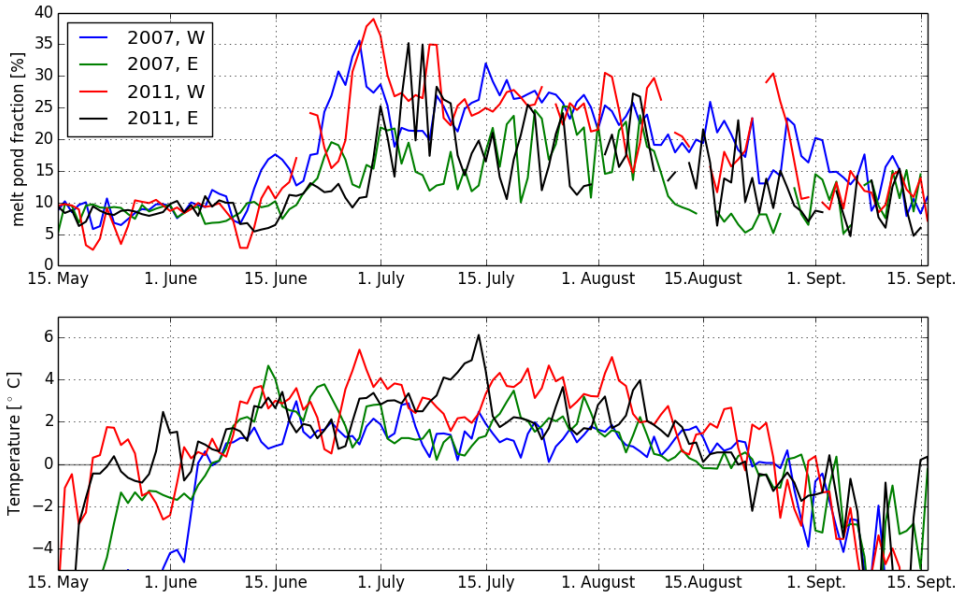


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3 Figure 4. Main differences of weekly averages 2007 and 2011 (Figure 3). Comparison of the  
 4 weekly average pond fraction for the second week of June 2007 and 2011 (top row, left and  
 5 right correspondingly) and for the second week of July 2007 and 2011 (bottom row, left and  
 6 right correspondingly). Note the drastic melt onset in the 2<sup>nd</sup> week of June 2007, but lower  
 7 MPFs in the 2<sup>nd</sup> week of July 2007 compared to 2011.

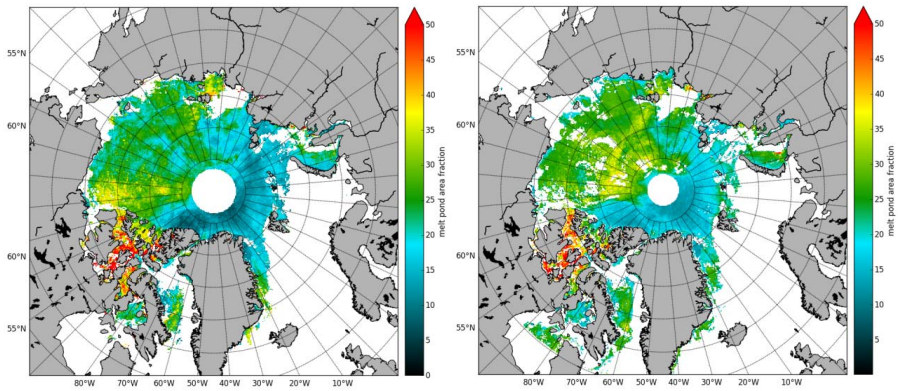
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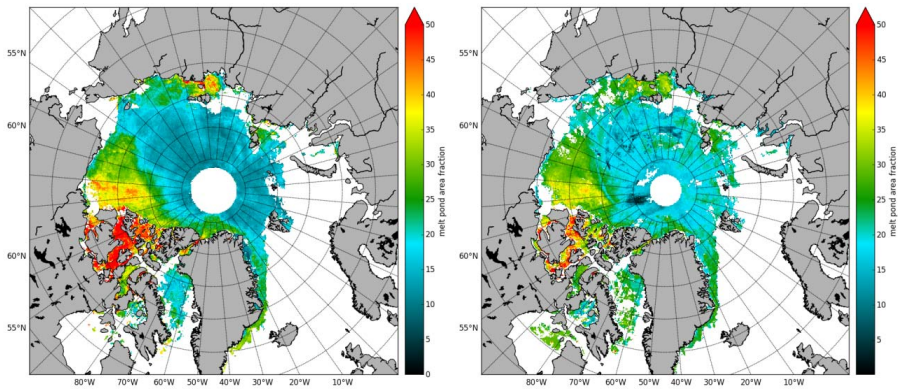
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 3 Figure 5. Daily averages of MPF (top panel) and NCEP air temperature at the surface (bottom  
 4 panel) for two locations: north to the Queen Elizabeth Islands, 83°N, 110°W (tag “W” in the  
 5 legend), 2007 (blue curves) and 2011 (red curves) and in the Barents Sea, 85°N, 65°E (tag  
 6 “E” in the legend), 2007 (green curves) and 2011 (black curves). Locations are shown in  
 7 Figure 1.

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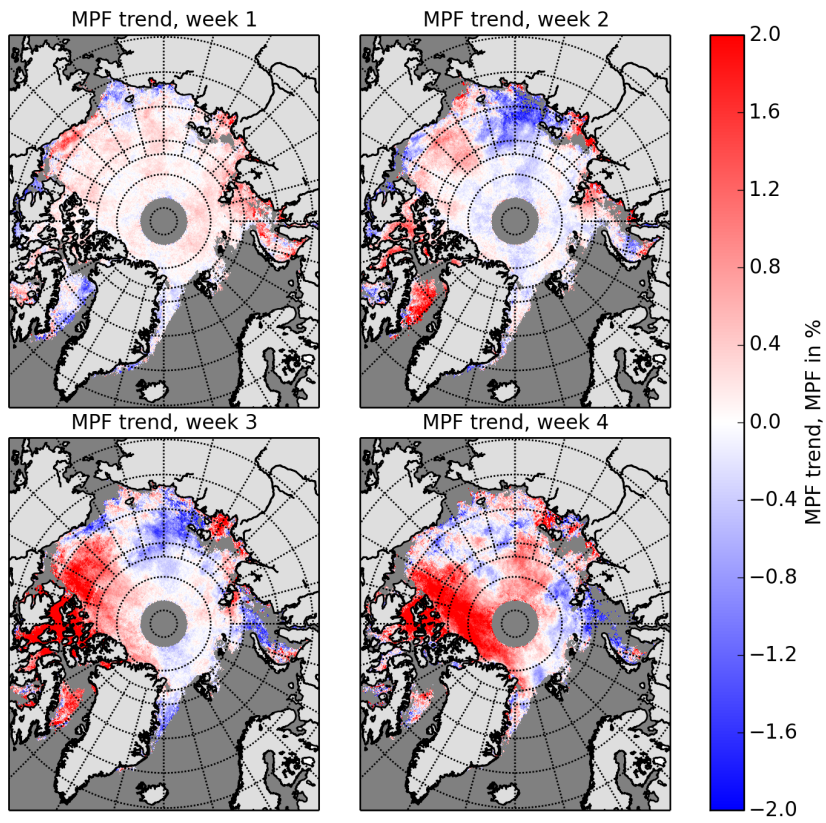
Figure 6. Comparison of the MPD MPF (8 day average, left column) to the MPF from (Rösel and Kaleschke, 2012) (8 day composite, right column) for June 18, 2007 (top row) and June 18, 2011 (bottom row).

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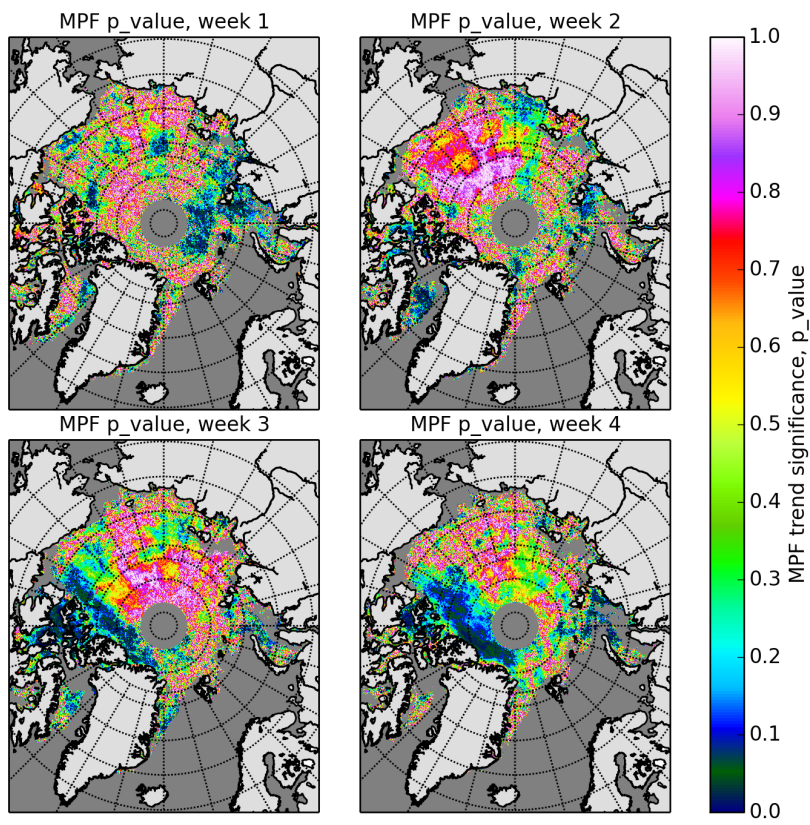
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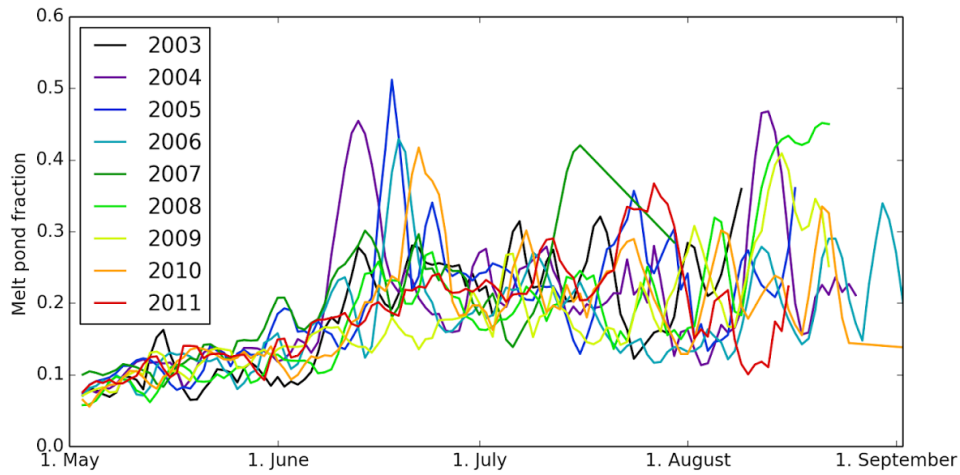


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 2 Figure 7. Melt pond fraction trends (trend in MPF %) for the four weeks of June for the whole  
 3 investigation period 2002-2011.  
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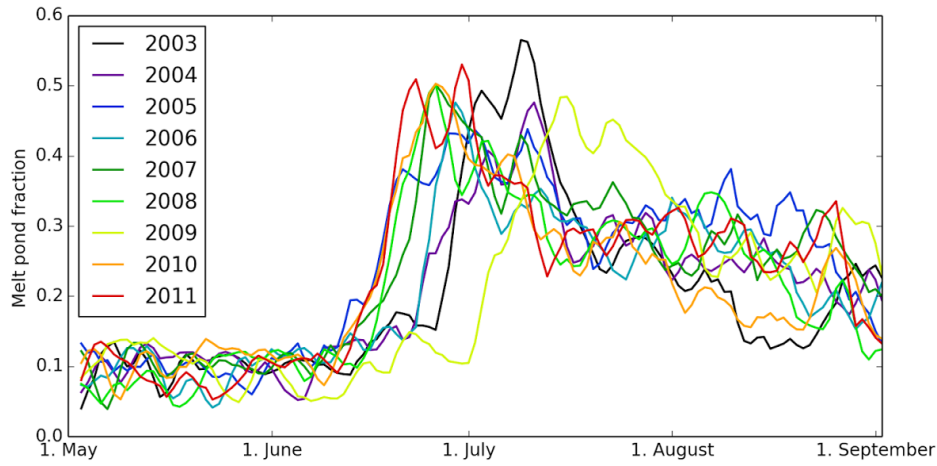
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 2 Figure 8. P values for the weekly MPF trends (see Figure 7).  
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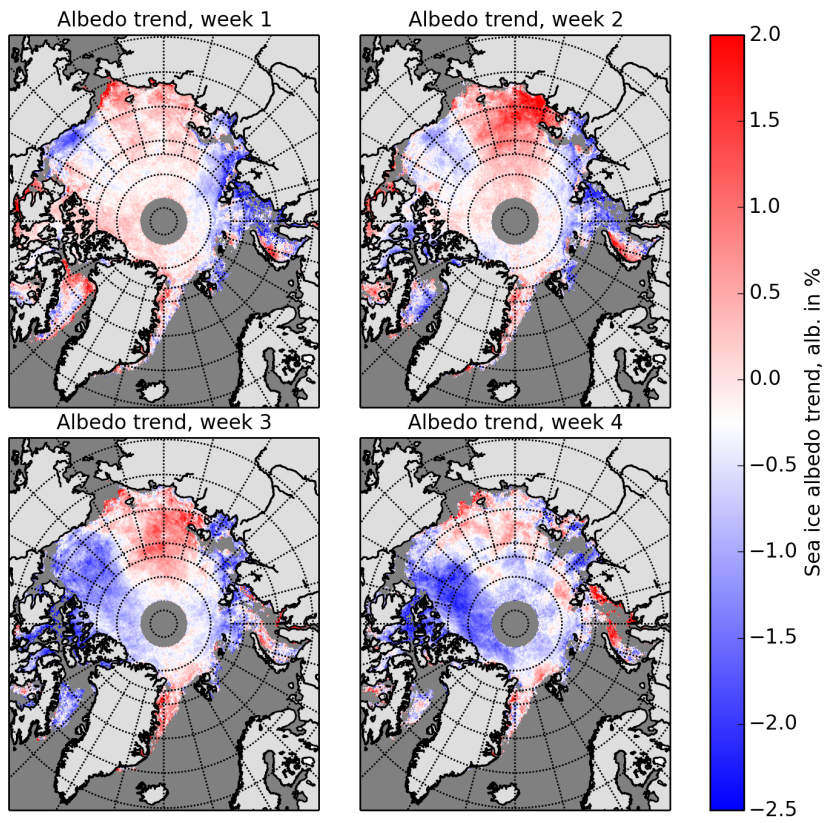


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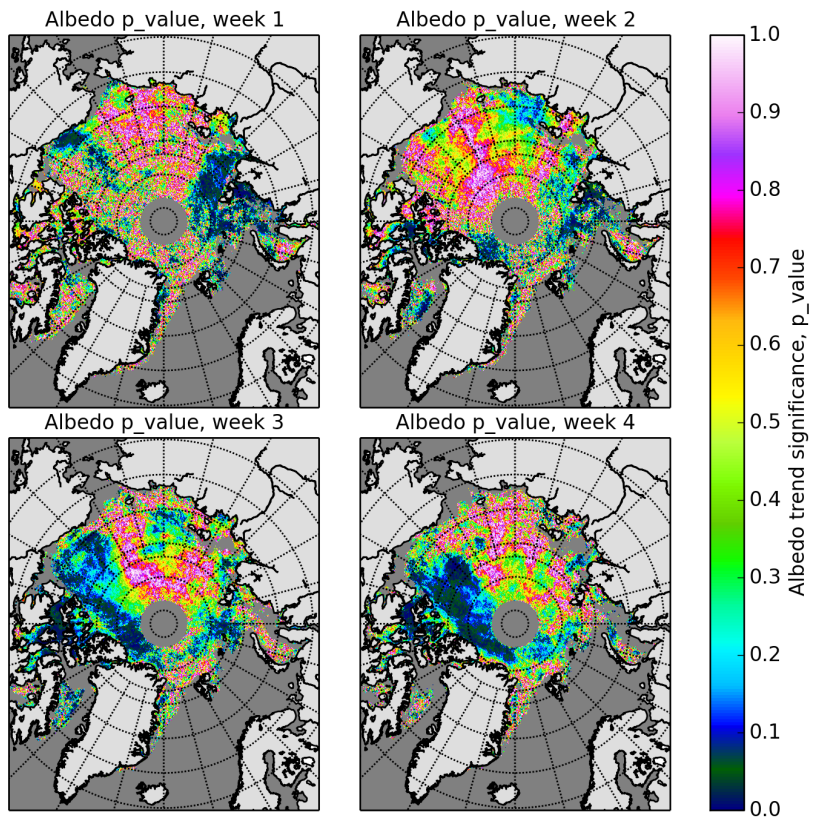
Figure 9. Time sequence of MPF for the studied years for the area of negative MPF trend in the East Siberian Sea ( $74^{\circ}\text{N}$ ,  $160^{\circ}\text{E}$ , marked with the red square “ES” in Figure 1). In the 2<sup>nd</sup> – 3<sup>rd</sup> week of June the MPF in the earlier years of the MERIS dataset reached high (up to 0.5) peak values as the melt onset started, which is typical for the first year ice. In the later years, however, the behavior of the MPF more resembles that on the MYI: no rapid melt onset, lower peak values of MPF. Running mean with window size 3 has been applied to the data.



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 3 Figure 10. Time sequence of MPF for the studied years for the area of positive MPF trend in  
 4 the Queen Elizabeth Islands (78°N, 108°E, marked with the red square “QE” in Figure 1). In  
 5 the 3<sup>rd</sup> – 4<sup>th</sup> week of June the MPF in the earlier years of the MERIS dataset reached peak  
 6 values later in the summer as compared to later years, and melt onset in the later year happens  
 7 earlier than before. Running mean with window size 3 has been applied to the data.  
 8



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 3 Figure 11. Broadband sea ice albedo trends (trend in albedo %) for the four weeks of June for  
 4 the whole investigation period 2002-2011.  
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 2 | Figure 12. P values for the weekly broadband albedo trends (see Figure 11).  
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