

1 **Black carbon in snow in the upper Himalayan Khumbu**
2 **Valley, Nepal: Observations and modeling of the impact on**
3 **snow albedo, melting, and radiative forcing**

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20
21 **Abstract**

22 Black carbon (BC) in snow in the Himalayas has recently attracted considerable interest due
23 to its impact on snow albedo, snow and glacier melting, regional climate and water resources.
24 A single particle soot photometer (SP2) instrument was used to measure refractory BC (rBC)
25 in a series of surface snow samples collected in the upper Khumbu Valley, Nepal between
26 November 2009 and February 2012. The obtained time series indicates annual cycles with
27 maximum rBC concentrations before the onset of the monsoon season and fast decreases

1 during the monsoon period. Detected concentrations ranged from a few up to 70 ppb with
2 rather large uncertainties due to the handling of the samples. Detailed modeling of the
3 snowpack including the detected range and an estimated upper limit of rBC concentrations
4 was performed to study the role of BC in the seasonal snowpack. Simulations were performed
5 for three winter seasons with the snowpack model Crocus including a detailed description of
6 the radiative transfer inside the snowpack. While the standard Crocus model strongly
7 overestimates the height and the duration of the seasonal snowpack, a better calculation of the
8 snow albedo with the new radiative transfer scheme enhanced the representation of the snow.
9 However, the period with snow on the ground without BC in the snow was still over-
10 estimated between 37 and 66 days, which was further diminished by 8 to 15 % and more than
11 40 % in the presence of 100 or 300 ppb of BC. Compared to snow without BC the albedo is
12 on average reduced by 0.027 and 0.060 in the presence of 100 and 300 ppb BC. While the
13 impact of increasing BC in the snow on the albedo was largest for clean snow, the impact on
14 the local radiative forcing is the opposite. Here, increasing BC caused an even larger impact at
15 higher BC concentrations. This effect is related to an accelerated melting of the snowpack
16 caused by a more efficient metamorphism of the snow due to an increasing size of the snow
17 grains with increasing BC concentrations. The melting of the winter snowpack was shifted by
18 3 to 10 and 17 to 27 days during the three winter seasons in the presence of 100 and 300 ppb
19 BC compared to clean snow, while the simulated annual local radiative forcing corresponds to
20 3 to 4.5 and 10.5 to 13.0 W m⁻². An increased sublimation or evaporation of the snow reduces
21 the simulated radiative forcing leading to a net forcing that is lower by 0.5 to 1.5 W m⁻², while
22 the addition of 10 ppm dust causes an increase of the radiative forcing between 2.5 and 3 W
23 m⁻². According to the simulations 7.5 ppm of dust has an effect equivalent to 100 ppb of BC
24 concerning the impact on the melting of the snowpack and the local radiative forcing.

25

26 **1 Introduction**

27 Black carbon (BC) constitutes the most important light-absorbing aerosol in the atmosphere,
28 where it contributes to the warming of the atmosphere (Bond et al., 2013). It further affects
29 cloud formation either acting as cloud nuclei or increasing the evaporation rates in cloudy
30 layers. It is formed during incomplete combustion processes and mainly emitted due to
31 natural and anthropogenic sources like biomass burning or fossil fuel and biofuel combustion
32 (Bond et al., 2013). If BC is incorporated in snow, it can lead to further warming due to its

1 impact on the albedo of snow and ice causing an accelerated melting (e.g. Hansen and
2 Nazarenko, 2004; Flanner et al., 2007; Ménégoz et al., 2014). BC is also a strong pollutant,
3 dangerous for human health and the environment, and is considered an important short-lived
4 climate forcer. Therefore, reductions in BC emissions can potentially lead to a fast climate
5 response, in particular in regions where elevated BC concentrations are observed. The global
6 annual climate forcing of BC in the atmosphere and in the snow remains uncertain with the
7 most recent estimates ranging from +0.64 (± 0.4) to +1.1 W m^{-2} (with a 90% uncertainty
8 range from +0.17 to +2.1 W m^{-2}) (Stocker et al., 2013; Bond et al., 2013).

9 Since regional warming due to BC can be much stronger than the global average, the
10 Himalayas have become of great interest. The extended cryosphere in the high altitude
11 regions of the Himalayas including numerous glaciers (Kääb et al., 2012) and large snow-
12 covered regions (Ménégoz et al., 2013a) is expected to be especially vulnerable because of the
13 vicinity of large anthropogenic BC sources on the Indian sub-continent or in South-East Asia
14 and high radiation intensities. Xu et al. (2009) proposed that BC in snow contributes to the
15 retreat of glaciers observed in parts of the Himalayas, while Ramanathan et al. (2007)
16 suggested that BC transported to the Himalayas contributed to the melting of the snow. If BC
17 has an impact on the glacier mass balance as well as on the timing of the run-off formation
18 due to the snow melt in springtime, this would have implications on the hydrological cycle,
19 water resources, hydropower generation, and agriculture in the downstream regions possibly
20 affecting the living conditions of a population of more than a billion people (e.g. Immerzeel et
21 al., 2010). Changes in the cryosphere may further modify sensible and latent heat fluxes
22 affecting also the Asian monsoon (Lau and Kim, 2006; Qian et al., 2011).

23 Measurements at the Nepal Climate Observatory at Pyramid (NCO-P) carried out since 2006
24 at 5079 m a.s.l. have confirmed that aerosols including BC can effectively be transported
25 from the sources to high altitude regions of the Himalayas (Bonasoni et al., 2010; Marinoni et
26 al., 2010). Ice cores and surface snow samples from different locations in the Himalayas
27 (Ming et al., 2008; Kaspari et al., 2011; Ginot et al., 2014) and on the Tibetan Plateau (Ming
28 et al., 2009; Xu et al., 2009) demonstrated that BC and other absorbing impurities like dust
29 are efficiently incorporated into the snow. Based on ice core data, reductions in the snow
30 albedo were estimated (Ginot et al., 2014) assuming that the profile of the ice core
31 concentration directly correspond to the evolution of the BC concentration in the surface
32 snow layer. Furthermore, the transport of BC from the source regions and its deposition to the

1 snow was calculated using different global transport and chemistry models (Flanner et al.,
2 2007; Kopacz et al., 2011; Ménégoz et al., 2014). These studies resulted in an estimated
3 annual radiative forcing due to BC in snow between 7 and 12 W m⁻² close to the Mt. Everest
4 (Kopacz et al., 2011) and between 1 and 4 W m⁻² in the snow-covered areas of the Himalayas
5 (Ménégoz et al., 2014), while peak values in the monthly radiative forcing exceeded 15 to 25
6 W m⁻² for some parts of the Tibetan plateau (Flanner et al., 2007; Kopacz et al., 2011; Qian et
7 al., 2011). However, large uncertainties in the calculated radiative forcing remain because of
8 the low spatial resolution of the used global models preventing a precise representation of the
9 high altitude regions and the pronounced topography of the mountain range. These model
10 limitations constrain a multitude of simulated processes including local-scale flow, transport
11 of water vapor and aerosols, precipitation, and snow cover formation and melting (Ménégoz
12 et al., 2013a), which are crucial in obtaining a correct radiative forcing for BC in snow. It has
13 been demonstrated that these deficits can cause an overestimation of the snow cover on the
14 Tibetan Plateau producing also a likely positive bias in the estimated radiative forcing for BC
15 in snow in this region (Ménégoz et al., 2013a, 2014). Moreover, some models generate
16 significantly higher BC in snow concentrations compared to the few available observations in
17 the Himalayas and on the Tibetan Plateau (Flanner et al., 2007; Ménégoz et al., 2014).
18 However, the limited BC in snow measurements make it difficult to get a reliable idea of the
19 spatial distribution, seasonal cycle, and inter-annual variability of BC in snow in this vast and
20 complex region greatly diminishing our capability to validate model results (Qian et al.,
21 2011).

22 It is well known that BC is not the only absorbing impurity in the snow in the high altitude
23 region of Tibet and the Himalayas. High concentrations of dust have been observed in the
24 atmosphere (e.g. Carrico et al., 2003; Duchi et al., 2014) and in ice cores (Thompson et al.,
25 2000; Kaspari et al., 2011; Ginot et al., 2014). In the atmosphere, Duchi et al. (2014) reported
26 the frequency of dust transport events at NCO-P with a maximum during the pre-monsoon
27 period causing on average a 10-fold increase of PM₁₀ compared to days without identified
28 dust events. While the absorption of solar radiation due to dust is much less efficient
29 compared to BC, this is at least partly compensated by much higher concentrations. Ginot et
30 al. (2014) found dust concentrations up to almost 70 ppm and an average concentration
31 throughout all seasons around 10 ppm in an ice core from the Mera glacier, which is
32 significantly higher than any observed concentration of BC or elemental carbon (EC) in snow

1 in this region (Ming et al., 2008, 2009; Xu et al., 2006; Kaspari et al., 2011; Ginot et al.,
2 2014).

3 The presence of absorbers in the snow has multiple impacts on the properties of the snow,
4 which finally contribute to the radiative forcing (Painter et al., 2007; Flanner et al., 2007). The
5 first order impact is related to the direct reduction of the snow albedo due to the incorporation
6 of the absorbers in the snow. A second order impact is linked to the fact that the reduction of
7 the albedo leads to a stronger warming of the snowpack compared to the clean snow causing a
8 faster metamorphism (or snow aging) and, thus, a more efficient growing of the snow
9 crystals. Since larger snow crystals cause a reduced albedo, this effect leads to a further
10 reduction of the albedo of the snowpack. Nevertheless, the forcing related to changes in the
11 albedo remains small compared to the positive radiative forcing induced by the earlier
12 exposition of the underlying soil caused by an accelerated melting of the warmer snow
13 containing BC. To study in detail these multiple impacts of the absorbing impurities on the
14 processes and properties of the snow a detailed physical snowpack model like Crocus with
15 sufficient complexity is needed (Brun et al., 1989, 1992; Vionnet et al., 2012). Crocus is
16 capable to calculate the internal energy budget of the snowpack, to resolve temperature
17 gradients inside the snowpack, and to simulate the metamorphism of the snow. However, the
18 standard model version does not allow considering absorbing impurities like BC or dust for
19 the calculation of the albedo.

20 Here, we report multi-annual measurements of BC in surface snow sampled on the southern
21 slopes of the Himalayas close to NCO-P. We compare the snow concentrations with
22 simultaneous atmospheric measurements to investigate the role of wet and dry deposition.
23 Moreover, we present the Crocus snowpack model with an upgraded radiative transfer
24 scheme to study the impact of BC and dust in snow. Forced with three years of
25 meteorological observations from the Pyramid International Laboratory (close to NCO-P) and
26 with observed BC and dust concentrations, the model was used to study the impact of the two
27 absorbing impurities on snow metamorphism, melting, and local radiative forcing. The model
28 results including sensitivities of the melting and radiative forcing due to the presence of BC
29 and/or dust in the snow are presented and compared to previous large-scale model studies.

1 **2 Methods**

2 **2.1 Snow sampling**

3 56 samples of surface snow were collected in Nepal during the period from 13 November
4 2009 to 29 February 2012 at three different locations in the Khumbu region south of the
5 Mount Everest: At NCO-P (27.96° N, 86.81° E; 5079 m a.s.l.) and on the glaciers Changri
6 Nup (27.98° N, 86.76° E; 5700 m a.s.l.) and Pokalde (27.93° N; 86.83° E; 5600 m a.s.l.) (Fig.
7 1). In most cases, snow from the top layer (≤ 10 cm) was collected and transported to France.
8 Using field notes, observed precipitation, and snow height at NCO-P, 51 of the available
9 snow samples were classified into fresh snow (i.e. snowfall within 24 h before sampling) and
10 old snow. Five samples remained unclassified.

11 **2.2 Snow sample analysis and handling**

12 The snow samples were analyzed using a Single Particle Soot Photometer (SP2, Droplet
13 Measurement Technologies, US) to determine refractory BC (rBC) particles. Details of the
14 analytical procedure are described in Lim et al. (2014). The SP2 applies a laser-induced
15 incandescence technique to measure the mass of individual rBC particles (Schwarz et al.,
16 2006) independent of particle morphology and light-scattering coating materials (Moteki and
17 Kondo, 2007, 2010). Each rBC particle passes through the laser beam intra-cavity, where it
18 absorbs light, reaches a vaporization temperature, at which it incandescences, and emits visible
19 thermal radiation proportional to the mass of the individual particles. The SP2 is highly
20 sensitive to rBC particles, but much less to other absorbing particles like dust. It was
21 calibrated with fullerene soot (Alfa Aesar Inc., USA), a standard BC material of known single
22 particle mass aggregating primary particles with graphitic structure. A new nebulizer (APEX-
23 Q, Elemental Scientific Inc., Omaha, USA) was used to increase the efficiency of the transfer
24 of the rBC particles in the snow into the gas phase. The rBC losses during aerosolization were
25 determined using eight liquid Aquadag® standards resulting in an average efficiency of 56 %,
26 which was applied to all here reported BC concentrations.

27 All snow samples melted during transport from the field sites to France. They were stored at
28 < 5 °C until analysis in April 2012. Before analysis, the samples were sonicated for 15 minutes
29 to minimize rBC losses on the container wall. Five selected samples were re-analyzed almost
30 two years later to evaluate the rBC particle loss during long-term storage of the samples in

1 liquid form. The samples showed decreases in detected rBC concentrations between 0 and 80
2 %, which is probably related to particles attachment on the container wall and the
3 agglomeration of particles. The loss during storage was not straightforward and seemed to be
4 highly variable depending on storage time and rBC concentration. Therefore, all measured
5 concentrations are presented here without any further correction for potential rBC losses
6 during transport or storage and should be considered as minimum values.

7 **2.3 Meteorological data and atmospheric BC**

8 Meteorological parameters have been recorded at Pyramid International Laboratory close to
9 NCO-P since 1994, radiation and snow depth since 2002. Moreover, continuous
10 measurements of atmospheric BC concentrations have been performed at NCO-P using a
11 Multi-Angle Absorption Photometer since 2006. Further details of the instrument set-up and
12 the calculation of equivalent BC concentrations are described by Marinoni et al. (2010).

13 **2.4 Modeling**

14 Simulations were performed with the 1-dimensional multi-layer physical snowpack model
15 Crocus (Brun et al., 1989, 1992; Vionnet et al., 2012), which explicitly solves the surface
16 mass and energy budgets taking into account heat diffusion, transfer of radiation,
17 densification, sublimation, condensation, melting, and liquid water percolation in the snow.
18 The model is forced using meteorological data like air temperature, wind speed, relative
19 humidity, precipitation quantity and phase, incoming direct and diffuse solar radiation,
20 incoming long-wave radiation, and cloud cover. The simulated snowpack consists of multiple
21 homogeneous horizontal layers, which are established according to snowfall events
22 undergoing transformation related to a metamorphism scheme. It calculates physical
23 properties of each modeled snow layer including thickness, temperature, density, liquid water
24 content, snow type, grain size, and age. The model further computes budgets of the snowpack
25 like total height, run-off, latent and sensible heat fluxes, and fluxes of infrared and short-wave
26 radiation.

27 In the Crocus standard version the albedo is not prescribed, but parameterized using the snow
28 grain size and age of only the uppermost layer of the snowpack (Vionnet et al., 2012). The
29 albedo is subsequently applied to calculate the absorbed amount of incoming radiation, while

1 the penetration of the absorbed radiation is simulated using absorption coefficients estimated
2 from the density and grain size of each snowpack layer.

3 The standard albedo parameterization does not offer the possibility to account for the presence
4 of absorbing impurities. In order to study the impact of BC and dust on the snowpack with
5 Crocus, we implemented a physically-based radiative transfer scheme without prescribed
6 albedo values. We employed the theory of Wiscombe and Warren (1980) and Warren and
7 Wiscombe (1980) based on a module previously used in the land surface scheme ORCHIDEE
8 for simulations with the global model LMDZ (Krinner et al., 2006; Ménégoz et al., 2013b,
9 2014). Starting with a fixed soil albedo, the albedo for diffuse radiation is calculated at the top
10 of the bottom snow layer using the snow water equivalent (SWE), grain size, and BC and dust
11 concentrations of this layer. The same procedure is applied for the overlying snow layers until
12 the surface layer is reached. For the surface layer, the albedo for direct radiation is calculated
13 taking into account the solar zenith angle. The albedo for diffuse and direct radiation was
14 separately combined with the incoming direct and diffuse radiation to calculate the overall
15 amount of absorbed radiation. Since the albedo calculation for the diffuse radiation delivers
16 also absorption coefficients, these were used to calculate the amount of radiation energy
17 absorbed in each snow layer assuming that within the top snow layer all direct radiation was
18 transformed into diffuse radiation. We used the same optical properties for ice like Krinner et
19 al. (2006). For BC we assumed a log-normal size distribution with a median number radius of
20 11.8 nm, a density of 1 g cm^{-3} , and a refractive index of $m = 1.75 - 0.45 i$ (Ménégoz et al.,
21 2013b); for dust a log-normal size distribution was used with a median mass diameter of $2 \mu\text{m}$
22 and a refractive index according to its haematite content (Krinner et al., 2006). Using these
23 typical, but fixed properties for BC and dust may lead to an underestimation of the impact of
24 the aerosols on the simulated albedo mainly because the model only considers externally
25 mixed aerosols (Flanner et al., 2012). Nevertheless, the derived BC mass absorption cross
26 section of $7.6 \text{ m}^2 \text{ g}^{-1}$ at 545 nm corresponds to previously published values (Bond and
27 Bergstrom, 2006; Flanner et al., 2007). Since the standard version of Crocus considers three
28 different wavelength ranges for the albedo and the absorption coefficient, the values derived
29 from the radiative transfer module were also averaged for the same bands from 300 to 800
30 nm, 800 nm to $1.5 \mu\text{m}$, and 1.5 to $2.8 \mu\text{m}$.

31 For our simulations we used observations covering the period August 2004 to July 2007
32 obtained at an altitude of 5050 m a.s.l. at Pyramid Laboratory to construct the needed forcing

1 data. Quality controlled 1-hour averages were used for temperature, wind speed, humidity,
2 and radiation without further correction. However, it is well known that the observed
3 precipitation significantly underestimates solid precipitation (Bonasoni et al., 2010; Shrestha
4 et al., 2012). Accordingly, the observed snow height shows for several instances strong
5 increases, while no simultaneous precipitation was recorded as already described by Shrestha
6 et al. (2012). As a result, preliminary simulations with the standard and upgraded Crocus
7 model with the recorded precipitation did not lead to the built-up of a significant snowpack.
8 Therefore, a corrected precipitation data set based on the observed snow height was
9 constructed and employed for all further snowpack simulations. If the snow height showed an
10 increase, while no precipitation was detected, the increase in snow height was transformed
11 into accumulation using a density of fresh snow of 0.08 g cm^{-3} . Using such a density led to a
12 good agreement of simulated and observed increases in the snowpack height during the
13 2004/05 winter season. Since the detector of the snowpack height showed regular fluctuations
14 around $\pm 1 \text{ cm}$, only increases in height larger than 1 cm were considered (Shrestha et al.,
15 2012). In addition, the snow height sensor recorded several peaks with strong increases in
16 height and subsequent large decreases of several tens of cm within hours or days. These peaks
17 were removed after visual inspection of the time series. Finally, the phase of the precipitation
18 was estimated using observed air temperatures with only solid precipitation at $T_{\text{air}} < 0^{\circ}\text{C}$, only
19 liquid precipitation at $T_{\text{air}} > +2^{\circ}\text{C}$, and mixed phase precipitation with 50 % solid precipitation
20 in the remaining temperature range. A comparison of recorded and corrected time series of
21 precipitation is shown in the supplementary material (Figs. S1a, b, and c). After applying the
22 corrections the estimated total annual precipitation corresponds to 491.7 mm (41 % solid
23 precipitation), 423.8 mm (55 % solid precipitation), and 454.8 mm (51 % solid precipitation)
24 for the years 2004/05, 2005/06, and 2006/07 compared to the recorded total precipitation of
25 only 360 mm (2004/05), 231 mm (2005/06), and 304 mm (2006/07). The corrected annual
26 values are in excellent agreement with an estimated multi-year average of the annual
27 precipitation of $\sim 450 \text{ mm}$ at NCO-P for the period 1994 to 2013 (Salerno et al., 2014). Due to
28 the uncertainty in the estimated solid precipitation the model sensitivity was tested using
29 differing meteorological forcing data for the year 2004/05. These data sets were generated
30 with different thresholds for the solid, mixed phase, and liquid precipitation varying from 0 to
31 4°C . They are described in the supplementary material and showed maximum and minimum
32 values of solid precipitation ranging from 30 to 56 % of the total precipitation (Table ST1).

1 In the Crocus simulations, the fraction of cloud cover is used to determine the contributions of
2 direct and diffuse radiation to the total incoming radiation. For the simulations, the cloud
3 cover fraction was set to 0 (= clear sky) if the ratio between observed and theoretical
4 incoming solar radiation was larger than 0.8, to 0.5 (= cloudy) if the ratio was between 0.2
5 and 0.8, and to 1 (= overcast) if the ratio was below 0.2. Based on these derived cloud
6 fractions, the observed incoming short-wave radiation was divided into direct and diffuse
7 radiation using the same parameterizations as in the Crocus model. As a result the total
8 incoming radiation for the forcing of the model corresponds exactly to the measured values.
9 The estimated cloud cover only affects the distribution between direct and diffuse radiation,
10 which has a slightly impact on the calculation of the albedo as described above.

11

12 **3 Results and discussion**

13 **3.1 BC concentrations in surface snow**

14 Observed rBC concentrations are highly variable ranging from less than 0.1 to more than 70
15 ppb (Fig. 2). Calculated average and median concentrations of all samples correspond to 10
16 and 1.5 ppb. As described in the Methods section, the reported concentrations are potentially
17 underestimating the real BC concentrations. Nevertheless, the increases in the concentrations
18 during the dry seasons 2009/2010 and 2010/2011 are well beyond the uncertainty of the
19 measurements, which can be as high as a factor of 5. Despite this uncertainty and the high
20 variability, we conclude that the concentrations follow a seasonal cycle with low values in the
21 post-monsoon and winter season and higher concentrations in the pre-monsoon culminating at
22 maximum concentrations before the onset of the monsoon.

23 Regarding snow types, we obtained somewhat higher concentrations in the old snow samples
24 (average 15 ppb, median 3 ppb) compared to the fresh snow samples with average and median
25 concentrations of 5 and 1.3 ppb. However, these differences as well as differences between
26 the sampling sites remain questionable because they are small compared to the uncertainty of
27 the rBC concentrations.

28 Lower rBC concentrations were measured by Kaspari et al. (2011) in an ice core from the
29 East Rongbuk Glacier at 6500 m a.s.l. close to the Mt. Everest using the same analytical
30 method. They found average concentrations of (0.7 ± 0.1) ppb for the period 1975 to 2000 and
31 a maximum of 32 ppb. However, the same uncertainty in the measured rBC concentrations as

1 for our samples due to the sample handling applies to the data reported by Kaspari et al.
2 (2011).

3 Finally, the concentrations reported here are lower compared to the results for EC obtained
4 with the thermo-optical method for snow and ice core samples from the high altitude region
5 of the Himalayas and the Tibetan Plateau. For example, Ming et al. (2008, 2009) reported EC
6 concentrations between 2 and 981 ppb in surface snow samples from West China for the
7 period 2004 to 2006 and average concentrations around 20 ppb in an ice core section covering
8 1995-2002 extracted from the East Rongbuk Glacier. Higher EC values compare well to a
9 comparison of EC and rBC measurements using the same snow samples from Nepal leading
10 to an average EC-to-rBC ratio of 3.4 (Lim et al., 2014). In contrast, comparable results were
11 obtained by Xu et al. (2006), who reported a range of EC concentration between 4 and 80 ppb
12 in surface and fresh snow samples collected between 2001 and 2004 on various glaciers on
13 the Tibetan Plateau.

14 The seasonal cycle in the surface snow corresponds well to the rBC concentration profile
15 measured in an ice core retrieved from the Mera glacier at 6376 m a.s.l. (Ginot et al., 2014).
16 While minimum rBC concentrations were similar, maximum concentrations in the ice core
17 remained smaller probably due to lower deposition at higher altitudes. For comparison, the
18 results of the overlapping period in the ice core and surface snow samples are shown in Fig. 2.
19 Low concentrations of 0.35 ppb were found in the surface snow corresponding to the
20 November layer, which is absent in the rest of the ice core due to efficient erosion during the
21 following winter season.

22 The surface snow samples as well as the Mera ice core reveal the impact of wet and dry
23 deposition responsible for the incorporation of BC into the snow and strong links with the
24 seasonal cycle of precipitation and atmospheric BC concentrations as recorded at NCO-P
25 (Figs. S1a, b, c, 2). It seems that wet deposition due to the accumulation of fresh snow leads
26 to relatively small concentrations of rBC around 1 ppb. However, in the case of snowfall
27 during the pre-monsoon season, when atmospheric BC concentrations are high, rBC
28 concentrations in fresh snow can increase to more than 10 ppb. Additional dry deposition of
29 BC seems to have a relatively small impact during the winter period and old snow exposed at
30 the surface contains relatively low BC amounts. Maximum BC concentrations are reached
31 again in the pre-monsoon season potentially combining large inputs due to wet and dry
32 deposition. Yasunari et al (2013) estimated BC concentrations in surface snow using

1 deposition velocities calculated with meteorological measurements at NCO-P and
2 atmospheric measurements of equivalent BC. Considering only dry deposition they obtained
3 concentrations between 90 and 130 ppb in old snow for a continuous snowpack until end of
4 May. The observed rBC maxima are somewhat lower than these values, possibly because the
5 seasonal snowpack at NCO-P melts earlier and some of the BC may be lost due to the
6 handling of the samples.

7 **3.2 Snowpack modeling: Standard vs. upgraded model**

8 Although the Crocus model has so far been used in different alpine and polar regions (e.g.
9 Jacobi et al., 2010; Brun et al., 2011; Vionnet et al., 2012), it has to our knowledge never been
10 applied to simulate the seasonal snowpack in the Himalayas. Recently, a modified version of
11 the model was employed to simulate the snow on top of a debris-covered glacier in the
12 Khumbu Valley (Lejeune et al., 2013). To examine the performance of the two model
13 versions, we first compared the results of the standard Crocus model and the upgraded version
14 including the radiative transfer for the seasons 2004/05, 2005/06, and 2006/07 applying the
15 forcing data based on the observations at the Pyramid site. The simulated snowpack heights
16 for the season 2004/05 are shown in Fig. 3 (for the seasons 2005/06 and 2006/07 in the
17 Supplementary material, Figs. S2a and b). In all three winter seasons, the standard Crocus
18 model largely overestimates the period with snow on the ground (Figs. 3, S2a and b). For
19 example, Crocus predicts the formation of a continuous snowpack starting on 14 October
20 2004 and lasting until 4 January 2005 due to several small snowfall events in October and
21 November. However, the snow height records and albedo measurements show that during this
22 period the fresh snow regularly melts within a day after precipitation. The onset of the
23 seasonal winter snowpack at the end of January 2005 corresponding to the longest period with
24 continuous snow on the ground is well represented by Crocus because the observed snowpack
25 heights are used to construct the precipitation time series. In contrast, observed snowpack
26 heights start to decrease mid-February 2005 and are interrupted only by additional
27 accumulation in mid-March, until the snow disappeared before the end of March 2005. In
28 contrast, the winter snowpack remains intact in the Crocus simulations until end of May 2005,
29 while it melts completely only on 10 June 2005. In summary, while the observed total period
30 with snow on the ground (defined as an observed snow height > 2 cm) corresponds to 78 d,
31 the standard Crocus model predicts a period of 238 d with snow on the ground. The period

1 with snow on the ground is similarly overestimated by Crocus for the years 2005/06 and
2 2006/07 with +91 and +157 d compared to the observations (Figs. S2a and b).

3 The positive bias is strongly reduced using the upgraded Crocus model including the radiative
4 transfer even without considering any absorbing impurity. During these simulations the
5 snowpack shows a much stronger dynamic with faster drops in the snow height compared to
6 the standard model. Moreover, fresh snow in the fall and early winter season is not conserved
7 for more than 24 h (Figs. 3 and S2a) and melts in agreement with the observed snow heights
8 (Fig. S2b). The simulated duration of the snow cover is reduced between 54 and 103 d
9 compared to the standard Crocus model. Nevertheless, the period with snow on the ground is
10 still overestimated by 57, 37, and 66 d for the years 2004/05, 2005/06, and 2006/07 relative to
11 the observations.

12 The different behavior of the standard and the upgraded model is related to the calculated
13 albedo and the corresponding energy absorbed by the snowpack. Figure 3 shows a
14 comparison of the simulated albedo for 2004/05 together with observed albedo derived from
15 the ratio of the up- and down-welling shortwave radiation. A large part of the differences
16 between observed and simulated albedo concerning all model results are related to the
17 overestimation of the simulated periods with snow. Apparently, the model delivers high
18 albedo values for the period when snow is still present, while the observations correspond to
19 the low values of the soil after the melting of the snow.

20 Figure 3 illustrates further differences in the simulated albedo of the two model versions and
21 the impact of increasing the BC concentrations. In the standard model, the albedo rises with
22 each precipitation event to values around 0.9 before it slowly decreases due to the albedo
23 parameterization related to the aging of the snow. Since only the properties of the top snow
24 layer are considered in the standard model, the simulated albedo is not affected by the
25 thickness of the snowpack and the parameterized albedo is similar regardless of the snow
26 height. In contrast, the effect of a thin snowpack is better reproduced by the upgraded model.
27 Here, the SWE of each snowpack layer is an important variable and leads in the case of a thin
28 snowpack to strongly reduced albedo values as can be seen in the cases of snowfall before
29 December 2004 or after June 2005 (Fig. 3). During these events the simulated albedo remains
30 between 0.2 and 0.7 causing a stronger absorption of the incoming solar radiation and, thus, a
31 complete melting of the snow.

1 In all model versions, the precipitation in late January leads to the formation of the seasonal
2 winter snowpack (Fig. 2) with an albedo between 0.6 and 0.9. These albedo values of the
3 fresh snow are relatively well reproduced by both model versions (Fig. 3). However, neither
4 model captures the relatively strong decrease of the albedo to 0.3 until 10 March before a new
5 snowfall event increases the observed albedo to more than 0.8. In both model versions the
6 overestimation of the period with snow on the ground is directly linked to the positive bias in
7 the simulated albedo. Similar results are obtained for the years 2005/06 and 2006/07 (Figs.
8 S3a and b). A statistical analysis of the comparison between observed and simulated albedo
9 including bias, root mean squared errors (RMSE), and correlation coefficients for all three
10 winter seasons is compiled in the Supplementary material (Table ST2). The results show an
11 improvement of the simulated albedo using the upgraded Crocus model, which improves
12 further after increasing the BC concentrations from 0 to 100 or 300 ppb. On average for all
13 three winter seasons, the bias and RMSE are below 0.11 and 0.18 in the best model runs,
14 while the correlation coefficient is as high as 0.5. Considering only the first month of each
15 winter season, the bias and RMSE decrease to below 0.01 and 0.09, while the correlation
16 coefficient increases only slightly. Similarly, Shrestha et al. (2012) simulated a delayed
17 melting of the snow and overestimated the springtime snow-covered area in the Dudhkoshi
18 region with a 3-layer snow model. They also attributed a large part of the model bias to the
19 used simplified albedo parameterization. This corresponds well to results from previous snow
20 model comparisons indicating that the albedo parameterization is a crucial component for
21 snow models (Etchevers et al., 2004).

22 Although an important part of the positive bias in the albedo is reduced in the presence of
23 absorbing impurities, strong differences between observed and simulated albedo remain
24 especially in the later part of the winter season including the melting period. Sensitivity runs
25 with varying amounts of solid precipitations show only small differences in the snow-covered
26 periods (Fig. S5 and S6) and in the simulated albedo. Moreover, the impact on the bias,
27 RMSE, and correlation coefficients of the simulated albedo remained negligible (Tab. ST3).
28 Therefore, the uncertainty in the estimated precipitation cannot explain the overestimation of
29 the snow-covered period and the albedo.

30 The statistical analysis of the simulated albedo indicates that the albedo values earlier in the
31 season are better represented by the radiative transfer theory, while the fast decrease of the
32 albedo during the melting period is still not captured by the model. These differences are

1 further illustrated in Fig. 4, which shows daily averaged albedo values for two periods after
2 fresh snowfall events. While the absolute observed albedo as well as its trend is reasonably
3 well reproduced by the upgraded model for the winter season after 16 February 2007, the
4 comparison for the melting period after 26 April 2006 shows still large deviations. These two
5 periods are characterized by large differences in the average (-11.8 vs. -2.5 °C) and maximum
6 air temperatures (-2.6 to 3.5 °C). These results demonstrate that the performance of the
7 upgraded model is still limited during the melting of the snowpack. This may concern internal
8 processes like the metamorphism of wet snow as well as meltwater formation and percolation,
9 which are challenging to reproduce in snowpack models (e.g. Brun et al., 1992). Another
10 important process currently not implemented in the model is the vertical redistribution of the
11 absorbing impurities. Due to a range of processes like dry deposition, flushing out, or
12 enrichment during melting, the absorbers may be concentrated in certain layers leading to
13 potentially higher concentrations as encountered in the snow samples and further reducing the
14 snow albedo. Nevertheless, a successful representation of such processes impacting impurities
15 in the snow requires first an improved description of liquid water formation and movement
16 inside the snowpack and can probably only be addressed in the next generation of snowpack
17 models.

18 Differences between observations and simulations may also be related to the available
19 observations. For example, the spatial variability of the meteorological as well as the snow
20 conditions in the rugged terrain of the Himalayas may not be captured by the point
21 measurements used here to drive and validate the snow model. The atmospheric and snow
22 observations at the field site may only represent localized and non-ideal conditions
23 introducing additional variability that cannot be represented by the simulations. This
24 variability as well as further uncertainties in the observations directly translate into errors in
25 the snowpack simulations contributing also to the differences between simulations and
26 observations.

27 **3.3 Impact of BC on snow albedo**

28 Despite the uncertainty in the simulations during the melting period, we studied the simulated
29 impact of BC present in the snow based on the runs with different BC concentrations. We
30 selected concentrations of 100 and 300 ppb covering the range of the here reported maximum
31 BC concentration including their uncertainty. Figure 5 shows the simulated albedo for the
32 three different BC concentrations (0, 100, and 300 ppb) during the period 21 to 31 January

1 2005. Between 21 and 23 January, several snowfall events led to the initial formation of the
2 winter snowpack. As a result, the observed albedo increased from values below 0.2 on 21
3 January to more than 0.8 the following day and to even higher values on 23 January. It
4 followed a period of seven days without further precipitation and slightly decreasing albedo
5 values. Similar results are obtained in the simulations, during which a maximum albedo was
6 reached early on 24 January with a subsequent decrease in the calculated albedo. Additional
7 fresh snow during the night from 30 to 31 January increased the albedo values in the
8 observations and simulations. Figure 5 shows that several short-term features in the
9 observations are well reproduced in the simulations: the diurnal cycle of the albedo with
10 morning and evening maxima (26 and 27 January), the continuous decrease on 24 January, or
11 the unusual behavior on 28 January. The model is also capable of simulating a positive
12 feedback loop between albedo, snow temperature, and grain size in the presence of BC
13 referred to as the first indirect effect (Painter et al., 2007). Initial conditions in the snowpack
14 with different BC concentrations are very similar leading to almost indistinguishable albedo
15 values on 22 January in all model runs. However, first small differences in the snowpack
16 properties become apparent on 23 January (Tab. 1). While snow height, SWE, and average
17 temperature are similar in all model runs, simulated snow temperatures in the top layer are
18 slightly higher with BC present in the snow. For example, the presence of 100 and 300 ppb
19 BC in the snow increase the temperature in the top 10 cm by 0.2 and 0.4 K. The simulated
20 averaged diameter of the snow grains is still very similar in all model runs with average
21 diameters around 300 μm and differences smaller than 5 μm . Nevertheless, they show already
22 an increasing trend with increasing BC. On 30 January, this situation has changed with snow
23 temperatures (average for the entire snowpack or for top 10 cm) that are at least 0.5 K higher
24 in the presence of BC. During the same period, the simulated average grain diameter
25 increased to 369, 386, and 400 μm , respectively, in the presence of 0, 100, and 300 ppb BC in
26 the snow. These grain sizes still remain smaller than the average grain size of 418 to 475 μm
27 retrieved by Negi and Kokhanovsky (2011) using satellite data for a 7-day old snowpack in
28 the upper Himalaya, which evolved at snowpack temperatures below $-20\text{ }^{\circ}\text{C}$.

29 Due to the faster growing snow grains, the simulated albedo values decrease faster in the
30 presence of BC. The growing gap between the simulated albedo values with 100 and 300 ppb
31 compared to snow without BC is shown in Fig. 5. On average, due to the snow metamorphism
32 the albedo of the pure snow decreases on average by ~ 0.004 per day between 24 and 31
33 January. In the presence of 100 and 300 ppb BC the simulated albedo decreases by additional

1 ~0.003 and ~0.005 per day during the same period. The albedo differences in the presence of
2 BC are partly compensated after the addition of fresh snow. The snowfall event on 30 January
3 increases not only the absolute albedo values of the snowpack in all simulations, but the new
4 snow layer simultaneously reduces the gap in the albedo caused by the different properties of
5 the older, underlying snow. On longer time scales of weeks or month, the presence of BC in
6 the snow causes a general reduction of the simulated albedo and an earlier melting of the
7 snowpack.

8 Although the differences in the simulated albedo with different BC concentrations increase
9 with the age of the snow as predicted by Warren and Wiscombe (1980), we attempt to
10 quantify the average impact of BC on the snow albedo for typical conditions at Pyramid. We
11 calculated averaged albedo values for several periods between 22 January 2005 and 30 March
12 2007 from simulations with different BC concentrations in the snow between 0 and 300 ppb.
13 Figure 6 shows the normalized albedo as differences of the averaged albedo at a certain BC
14 concentrations minus the averaged albedo of pure snow. All selected periods are characterized
15 by a continuous snowpack with a height of more than 10 cm in all simulations to exclude the
16 impact of melting snow on the albedo. We tested if the length of the selected period is
17 important and found that while the averaged albedo values are significantly higher during the
18 period 12 to 17 March 2006 compared to the period 12 March to 5 May 2006 the sensitivity
19 of the averaged albedo as a function of BC is essentially the same during both periods at least
20 for BC concentrations below 100 ppb (Fig. 6). A further comparison for the periods from 22
21 January to either 11 March 2005 or 8 April 2005 gave essentially the same values for the
22 absolute as well as normalized albedo (not shown). For all periods the relationship between
23 normalized albedo and BC is best described using quadratic polynomials with regression
24 coefficients R^2 between 0.989 and 0.998. The fit demonstrates the non-linear behavior of the
25 albedo with respect to the BC concentration in the snow, because adding BC to the snow
26 exerts a decreasing effect on the snow albedo with increasing BC concentrations. This
27 behavior corresponds to the applied radiative transfer theory of Warren and Wiscombe (1980)
28 because the BC already present captures some of the solar radiation that the additional BC
29 otherwise would receive. Overall the albedo reductions remain small ranging from 0.012 to
30 0.034 (average 0.027) and 0.031 to 0.078 (average 0.060) for BC concentrations of 100 ppb
31 and 300 ppb. The changes are similar to the values of Yasunari et al. (2013) who estimated
32 reductions in snow albedo between 0.012 and 0.022 after the addition of 120 ppb BC.

1 The sensitivity of the albedo towards BC depends further on the season with the smallest
2 impact on the snowpack in December 2006 and the strongest in the March to May 2006
3 period. The seasonal dependence of the sensitivity is linked to the radiation intensity, which is
4 lowest in December and increases until June, and the positive feedback between BC, snow
5 temperature, grain size, and albedo as described above.

6 **3.4 Impact of BC and dust on snow melting**

7 Although the overall impact of BC in the snow on the albedo remains limited, the impact on
8 the melting of the snow can be rather large in the Himalayas as demonstrated in several model
9 studies (e.g. Flanner et al., 2007; Ménégoz et al., 2014). In the presence of BC, the melting of
10 the winter snowpack (corresponding to the longest period with a simulated continuous
11 snowpack of a height of > 2 cm) is shifted to early dates compared to the simulations without
12 BC. This shift corresponds to 3 to 10 days in the presence of 100 ppb BC and increases to 17
13 to 27 days with 300 ppb BC for the three simulated years (Fig. 7). The relationship between
14 the melting date and the BC concentrations is not always linear and depends for example on
15 the timing of the precipitation events during springtime. If the winter snowpack does not
16 persist until these events, a fast shift in the melting date is observed. One example is the shift
17 of 5 days of the melting in the season 2006/07 if the BC is increased from 80 to 100 ppb.
18 Besides the impact of the meteorological conditions, the number of days with snow on the
19 ground steadily decreases with increasing BC concentrations. While this decrease shows a
20 relatively large inter-annual variability, the overall trend is similar in all three years with a
21 stronger impact of an incremental increase of BC at higher concentrations compared to lower
22 concentrations in the snow. This behavior is, thus, in contrast to the direct effect of BC on the
23 snow albedo, which is strongest at low concentrations and becomes weaker at higher
24 concentrations (Fig. 6).

25 Although we did not measure the dust concentration in the surface snow samples, we can
26 assume that dust was also present like previously observed in ice cores from the Himalayas
27 (Thompson et al., 2000; Kaspari et al., 2011; Ginot et al., 2014). To study the impact of dust,
28 we performed calculations with a constant dust concentration of 10 ppm corresponding to the
29 average observed in the Mera ice core (Ginot et al., 2014) and BC concentrations varying
30 between 0 and 150 ppb and additional calculations without BC, but with dust concentrations
31 up to 15 ppm. In all simulations, the addition of absorbing impurities like BC and dust leads
32 to a reduction of the snow-covered periods. On average the snow-covered period is reduced

1 by $5.6 \cdot 10^{-2}$ days (ppb BC)⁻¹ and $7.6 \cdot 10^{-4}$ days (ppb dust)⁻¹. The impact of the addition of
2 BC increases strongly in the presence of 10 ppm dust compared to pure snow because in these
3 simulations the reduction is enhanced to $8.6 \cdot 10^{-2}$ days (ppb BC)⁻¹. The reduction in the
4 snowpack duration is on average 50 % stronger compared to the simulations with only BC.
5 This behavior is similar to the acceleration of the melting of the snow at higher BC
6 concentrations. A linear regression using only the results for the simulations without dust and
7 $80 \text{ ppb} \leq \text{BC} \leq 250 \text{ ppb}$ in Fig. 7 leads to a reduction of $7.9 \cdot 10^{-2}$ days (ppb BC)⁻¹ and is, thus,
8 similar to the impact obtained with a constant dust concentration of 10 ppm. Obviously, the
9 influence of the two different absorbing impurities in the model is comparable and exerts the
10 same processes and modifications of the snowpack. As a result, 100 ppb BC and 7.5 ppm dust
11 can be regarded as equivalent in the model with respect to the melting of the snowpack. This
12 relationship depends of course on the optical properties of the BC and dust used in the
13 simulations and can vary since the optical properties of dust depend on the chemical
14 composition.

15 **3.5 Radiative and net forcing**

16 The reduction of the snow albedo and the earlier melting of the snowpack leads to a radiative
17 forcing because a larger proportion of the incoming radiation is absorbed at the Earth surface.
18 We calculated the radiative forcing using the observed incoming short-wave radiation and the
19 simulated albedo of the snowpack. In the absence of snow, we used a soil albedo of 0.15
20 corresponding to the observed wintertime albedo without snow on the ground (Fig. 3). All
21 values for the radiative forcing are calculated as the difference in absorbed shortwave
22 radiation with and without absorbing impurities in the snow. Since the radiative forcing can
23 partly be compensated by latent and sensible heat fluxes due to an increased sublimation or
24 evaporation of the snow, a net forcing is calculated after considering these fluxes between the
25 snow and the atmosphere. However, no further feedback mechanisms between the snow and
26 the atmosphere are included because all simulations were driven by the same meteorological
27 data sets.

28 The calculated radiative (Fig. 7) and net forcing (Supplement Fig. S4) show similar, but
29 opposite trends compared to the reduction in snow-covered periods. A reduction of the snow-
30 covered period leads to increased radiative forcing due to the longer exposition of the
31 underlying soil. This effect becomes obvious in the seasonal cycle of the simulated forcing
32 with 100 ppb BC in the snow shown as example in Fig. 8. The maximum monthly radiative

1 and net forcing are simulated for the end of the snow-covered period (i.e. May in 2004/05 and
2 2005/06 and April in 2006/07). A large inter-annual variability in the forcing is apparent for
3 the annual mean as well as in the seasonal cycle. For example, with 100 ppb BC the net
4 forcing varied for the three simulated years by $\pm 1 \text{ W m}^{-2}$, while the average forcing remains
5 around 3 W m^{-2} . An even larger variability becomes apparent in the seasonal cycle (Fig. 8). In
6 April and May, the minimum and maximum forcing can vary between less than 3 and more
7 than 25 W m^{-2} . In contrast, at the beginning of the snow-covered period the forcing due to the
8 presence of absorbers remains below 5 W m^{-2} and is relatively constant during the three
9 simulated years. Several factors contribute to the inter-annual variability like differences in
10 the incoming radiation and the length and timing of the snow-covered period (Fig. 8).
11 However, Fig. 8 also demonstrates that the incoming short-wave radiation is not the major
12 driver for the inter-annual variability because in April the largest forcing is observed in the
13 year 2006/07 while the incoming short-wave radiation was smaller compared to the two
14 preceding years. Therefore, the seasonality of the forcing is mainly driven by the timing of the
15 snowfall and the melting of the snow. If solid precipitation occurs early in the winter season
16 like in the simulations for 2006/07, a forcing can also occur in the period from October to
17 December, which may be comparable or even larger than the forcing calculated for March or
18 April.

19 The simulated annual mean of the forcing due to the presence of 100 ppb BC for the three
20 years of simulation corresponds to 3 to 4.5 W m^{-2} for the radiative forcing (Fig. 7) and 2 to 4
21 W m^{-2} for the net forcing (Fig. S4). In the presence of 10 ppm dust the values increase to 6 to
22 7 W m^{-2} and 5.5 to 7 W m^{-2} for the radiative and net forcing. This range corresponds to the
23 detected BC in snow concentrations. Due to the uncertainties in the measurements (see
24 Methods) the correct BC concentrations could be a factor of three higher. With 300 ppb BC
25 the radiative and net forcing increases to 10.5 to 13 and 9 to 12.5 W m^{-2} .

26 Using the range of detected BC in snow concentrations (≤ 100 ppb) the radiative forcing
27 obtained with the local model is similar to results from previous global model runs. For
28 example, Flanner et al. (2007) and Ménégoz et al. (2014) have reported annual means of the
29 radiative forcing due to BC for the Himalayas and the Tibetan Plateau around 3.5 to 4 and 1
30 to 4 W m^{-2} . These calculations included either no feedbacks (Flanner et al., 2007) or only
31 short adjustments neglecting long-term feedbacks like changes of atmospheric circulation or
32 sea surface temperature (Ménégoz et al., 2014). Ménégoz et al. (2014) also included dust in

1 the snow, while Flanner et al. (2009) calculated that the addition of dust increases the total
2 radiative forcing during springtime by 1 to 2 W m⁻² in the considered region. However, in
3 both studies the simulated annual averages of the BC in snow concentrations ranged from 100
4 to 200 ppb. Since a comparable radiative forcing was obtained with higher BC in snow
5 concentrations, it can be concluded that the sensitivities are lower in the global models
6 compared to our local model. Because the approach to represent the radiative transfer in the
7 snowpack is similar or even the same in all three models, a major reason for the lower
8 sensitivity could be the simplified representation of the snow in the global models, which
9 describe the entire snowpack with a limited number of layers (Flanner et al., 2007; Ménégoz
10 et al., 2014). As a result, the energy budget and the snow temperature profiles may not be well
11 described leading to a bias in the simulation of the snow aging in the presence of the
12 absorbers and of the melting of the snow. Moreover, the coarse spatial resolution of the
13 models does not allow representing the specific local conditions at NCO-P.

14 Using a further global model, Kopacz et al. (2011) found BC in snow concentrations around
15 46 ppb in October in the Mt. Everest region. Using simple estimates of the relationship
16 between BC in snow and albedo, they derived an average radiative forcing of 9 W m⁻² for
17 October with monthly means ranging from 7.5 to 12 W m⁻². While the simulated BC in snow
18 concentrations are more reasonable (although still too high), the applied sensitivities are high
19 compared to our simulations for NCO-P and do not reflect the complex processes occurring in
20 the snowpack.

21

22 **4 Conclusions**

23 The here reported time series of rBC concentrations in the surface snow in the upper Khumbu
24 valley indicates a seasonal cycle with maximum concentrations in the pre-monsoon period
25 and low concentrations during the monsoon period. This cycle directly reflects the behavior of
26 atmospheric BC in the same high altitude region (Marinoni et al., 2010) and is also conserved
27 in ice cores from near-by glaciers (Ginot et al., 2014). Therefore, ice cores can be used to re-
28 construct historic BC concentrations in these regions. Unfortunately, a large uncertainty
29 remains regarding the absolute BC in snow concentrations. While the SP2 technique delivers
30 precise concentrations of rBC, the melting of the snow samples during transport and storage
31 potentially modified the detected concentrations. This effect probably contributed to
32 discrepancies between the here reported snow concentrations compared to previously reported

1 results for EC, while the two different techniques also added to the mismatch (Lim et al.,
2 2014). Further measurements are needed to resolve these discrepancies and to determine
3 actual concentrations. Such accurate data are urgently needed for the validation of global and
4 regional models used to calculate the impact of BC in snow on the regional climate (Qian et
5 al., 2011; Ménégoz et al., 2014). While models simulating the impact of BC in snow on
6 albedo and radiative forcing for a given snowpack exist, the quantification is currently mostly
7 hampered by the uncertainty in BC in snow concentrations.

8 In its first application to the snowpack in the Himalayas, the standard Crocus model reveals
9 significant discrepancies compared to observations with a large positive bias in the simulation
10 of the snow-covered period and the albedo. While the Crocus model is well adapted to
11 conditions in the Alps and gives satisfying results in polar regions (Jacobi et al., 2010; Brun et
12 al., 2011; Vionnet et al., 2012), the high radiation intensities in the Himalayas make the model
13 obviously more vulnerable due to the simplified parameterization of the albedo. While
14 simulations were performed for typical conditions at Pyramid, it is likely that this simulated
15 bias can be expected for wider regions of the high altitude area of the Himalayas. This
16 concerns the simulation of the thin seasonal snowpack with a large contrast in albedo between
17 the snow-free and -covered ground. The bias in the standard Crocus model is probably
18 diminished in regions with the formation of a thicker snowpack like in the Western Himalayas
19 (Ménégoz et al., 2013a) and in calculations over glaciers, where the underlying firn and ice
20 exhibit a higher albedo than the soil. Furthermore, the parameterization of the ground heat
21 fluxes in the Crocus model may not be well adapted to the conditions of the Himalayas
22 possibly contributing to the over-estimation of the snowpack especially late and early in the
23 winter season.

24 The positive bias in the albedo and the snowpack simulations are reduced with the upgraded
25 Crocus model taking into account the radiative transfer in the snow. This improvement
26 becomes obvious even in simulations without absorbing impurities. The results are further
27 enhanced if BC in the snow is considered and varied in a range constraint by surface snow
28 and previous ice cores measurements (Ginot et al., 2014). Nevertheless, even in these
29 simulations the albedo of and the length of the period with the seasonal snowpack remain well
30 overestimated. Since the simulations were all performed with constant BC concentrations, any
31 enrichment of BC at the snow surface or in specific layers due to sublimation, dry deposition,
32 melting, and refreezing (Doherty et al., 2013) is not included. Nevertheless, even in the model

1 runs with BC concentrations of 300 ppb, which is more than threefold the maximum detected
2 BC concentration in the surface snow as well as the ice core from this region (Ginot et al.,
3 2014), the snowpack is more persistent than observed. Thus, even if any or all of the post-
4 depositional processes leads to an enrichment of more than a factor of three throughout the
5 snowpack, differences in the simulations and the observations would remain. Stronger
6 enrichments of BC in certain layers, like reported previously for the Mera glacier (Kaspari et
7 al., 2013) or in the Arctic (Doherty et al., 2013), appear unlikely because they should have
8 been detected in our surface snow samples or in the Mera ice core (Ginot et al., 2014).
9 Moreover, the observed maxima of BC concentrations in the snow can easily be explained by
10 dry deposition alone without invoking any further enrichment processes (Yasunari et al.,
11 2013). These results do not rule out that higher BC in snow concentrations are encountered
12 under different conditions like on glaciers or even higher altitudes, where the snowpack may
13 not melt before April, when the air masses with the highest concentrations in BC finally arrive
14 at the high-altitude region (Fig. 2).

15 A heterogeneous distribution of absorbing compounds within the snowpack may induce
16 stronger temperature gradients inside the snowpack further accelerating the snow
17 metamorphism compared to a snowpack with homogeneous concentrations as assumed in our
18 simulations. Variable concentrations can be caused by processes during the melting of the
19 snowpack as described above. Since the absorbing compounds are introduced by dry and wet
20 deposition, concentration gradients inside the snowpack can be expected even in the not-
21 melting snowpack. Moreover, these gradients can be different for different absorbing
22 compounds. Vertical profiles of the absorbing compounds need to be determined to address
23 this point. If it can also have a profound impact on the snowpack simulations remains to be
24 seen and will be the subject of further studies.

25 The simulations with the Crocus model reveal further that dust and probably also other
26 absorbers play a strong role not only for the snow albedo itself, but also for the impact of BC.
27 While the impact of a given amount of an absorber on the snow albedo diminishes in the
28 presence of further impurities, this is the opposite case for the increase of snow-free days and
29 the radiative forcing. Here, the simulations demonstrate that the presence of other absorbers
30 like dust even enhances the effect of BC. Therefore, the role of dust in the snow needs to be
31 studied in the future together with the role of BC and a correct determination of dust and its
32 properties parallel to the determination of BC in the snow is needed. Organic absorbers in the

1 snow (Wang et al., 2013) may also play a role similar to dust and should also be considered in
2 further studies. In summary, a full characterization of all absorbing compounds and their
3 different contributions seems necessary to study the full impact of these compounds on the
4 snow albedo and further related snow properties and processes.

5 Finally, this study concentrated only on the effect of the albedo for the snowpack simulations.
6 Other processes parameterized in the snow model (e.g. turbulent fluxes, ground heat flux,
7 snow metamorphism, liquid water formation, percolation) and uncertainties in the forcing
8 data may also contribute to differences between simulations and observations. Further
9 detailed observations are needed to improve future snowpack simulations in this sensitive
10 region. This mainly concerns direct observations of the total and solid precipitation, which are
11 crucial parameters in snowpack and hydrological modeling for this sensitive region.

12

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21

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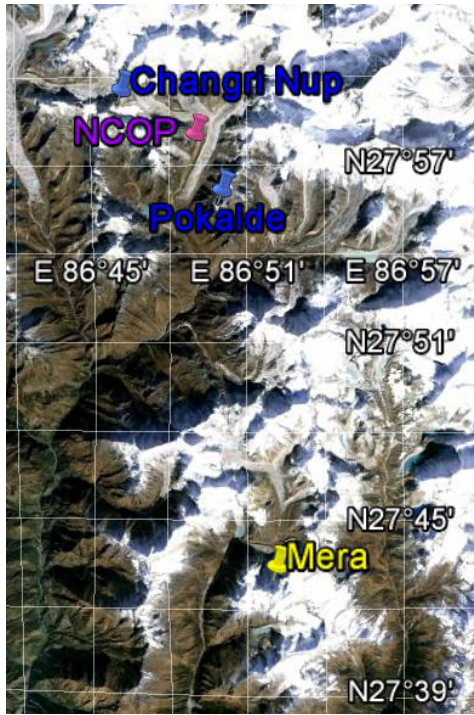
1 Table 1. Snowpack properties for 23 and 31 January 2005 simulated with the upgraded model
 2 including radiative transfer and with different BC concentrations.

		BC = 0	BC = 100 ppb	BC = 300 ppb
23/01/2005	Snow height [cm]	52.8	52.6	52.4
12:00	SWE [cm]	5.66	5.64	5.64
	T _{snowpack} [°C] ^a	-13.3	-13.2	-13.0
	T _{10 cm} [°C] ^b	-24.1	-23.9	-23.7
	Grain diameter [μm] ^a	301	303	305
31/01/2005	Snow height [cm]	33.6	32.4	31.1
12:00	SWE [cm]	5.51	5.45	5.41
	T _{snowpack} [°C] ^a	-10.3	-9.7	-9.8
	T _{10 cm} [°C] ^b	-12.3	-11.7	-11.7
	Grain diameter [μm] ^a	369	386	400

3 ^a SWE-weighted average for the entire snowpack.

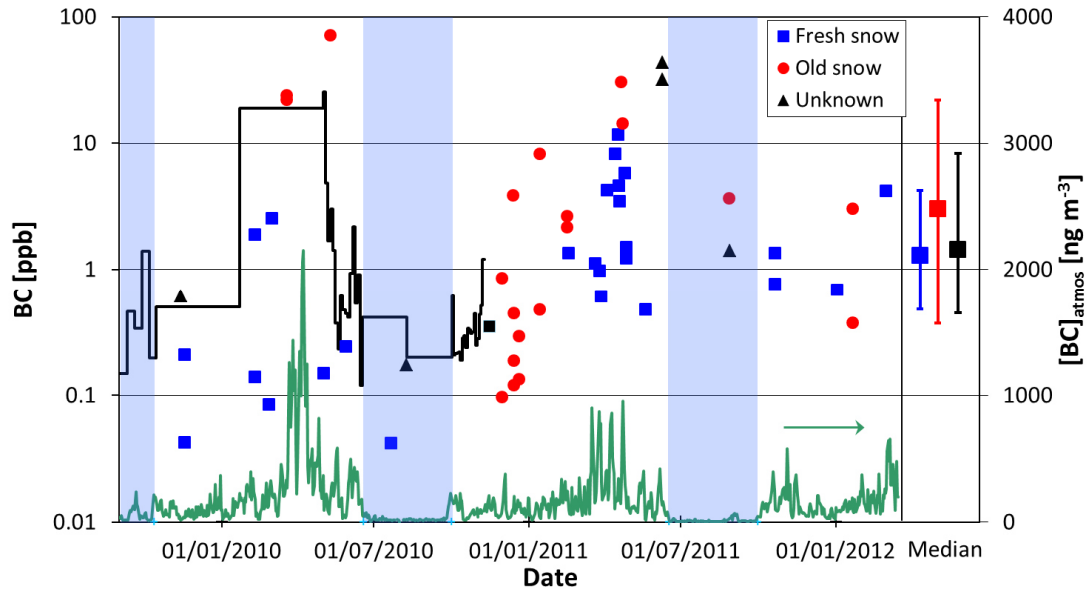
4 ^b SWE-weighted average for the top 10 cm of the snowpack.

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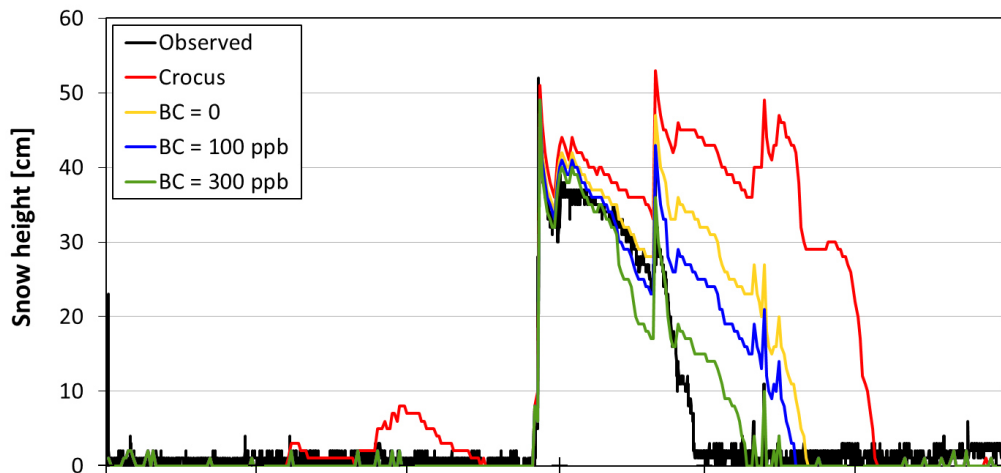
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Figure 1. Google earth map indicating the field sites NCO-P, Pyramid, Changri Nup, and Pokalde. Also shown is the drilling site of the Mera ice core (Ginot et al., 2013).

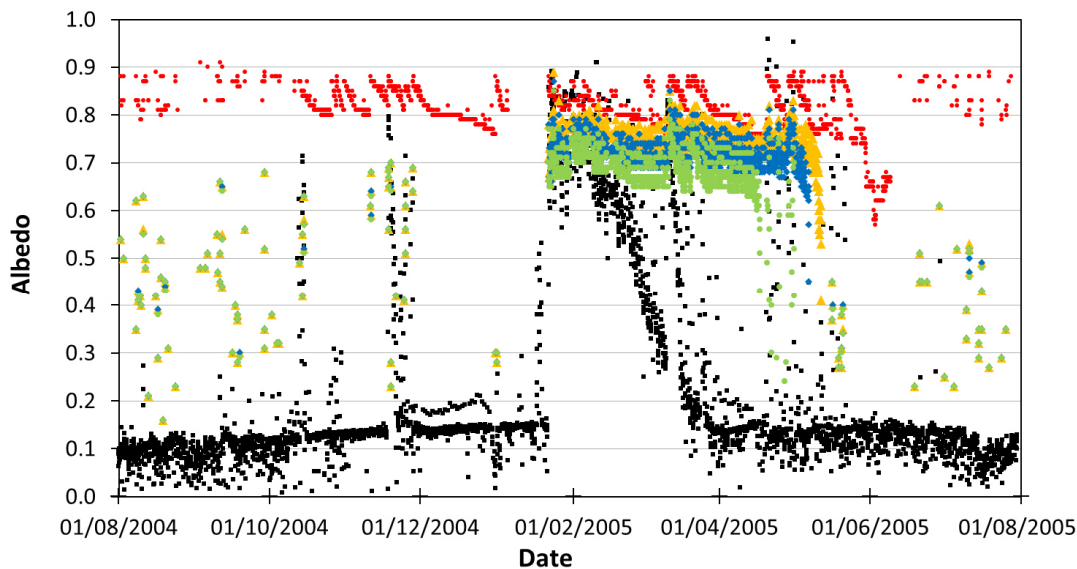


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Figure 2. Time series of measured BC concentration in surface snow samples from the Khumbu Valley. The samples were classified into fresh (blue), old (red), and unknown snow (black). The symbols on the right show median concentrations for fresh (blue), old (red), and all snow samples (black). The error bars correspond to the 25th and 75th percentile. Shaded blue areas indicate the monsoon periods 2009, 2010, and 2011 over Nepal according to Tyagi et al. (2010, 2011) and Tyagi and Pai (2012). The black line corresponds to the BC concentration for the period between 1 September 2009 and 8 November 2010 season determined in the Mera ice core (Ginot et al., 2013) with the surface snow concentration from 11 November 2010 shown as black squares. The green line shows the atmospheric BC concentrations measured at NCO-P.



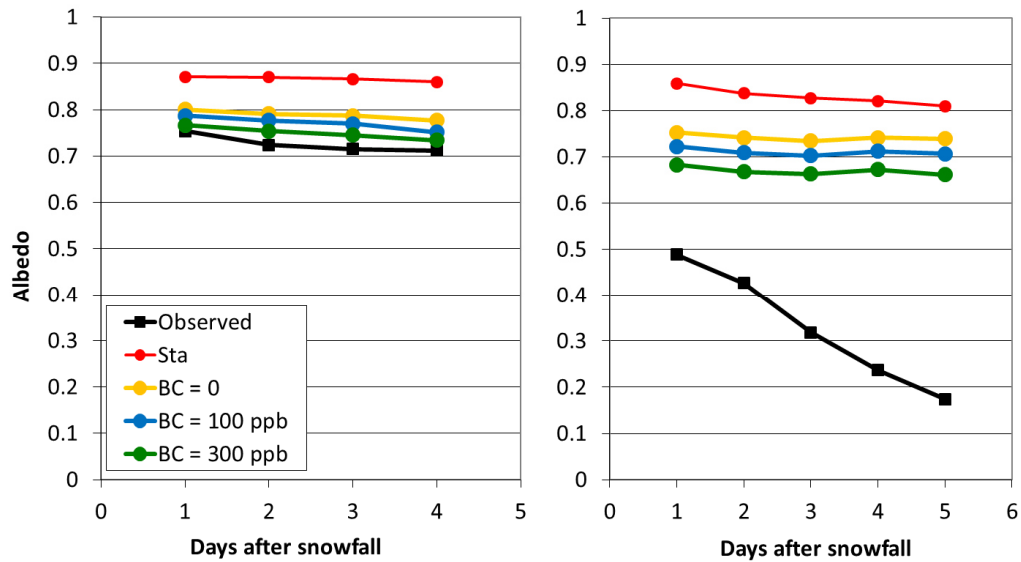
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3 Figure 3. Comparison of observed (black) and simulated snowpack heights (top) and albedo
 4 (bottom) at NCO-P for the winter season 2004/05. Simulations were performed with the
 5 standard crocus model (red) and with the upgraded model including radiative transfer with
 6 constant BC concentrations of 0 (yellow), 100 (blue), and 300 ppb (green). Snowpack heights
 7 simulated with the upgraded model are indistinguishable for the different BC concentrations
 8 until late January and after mid-May.

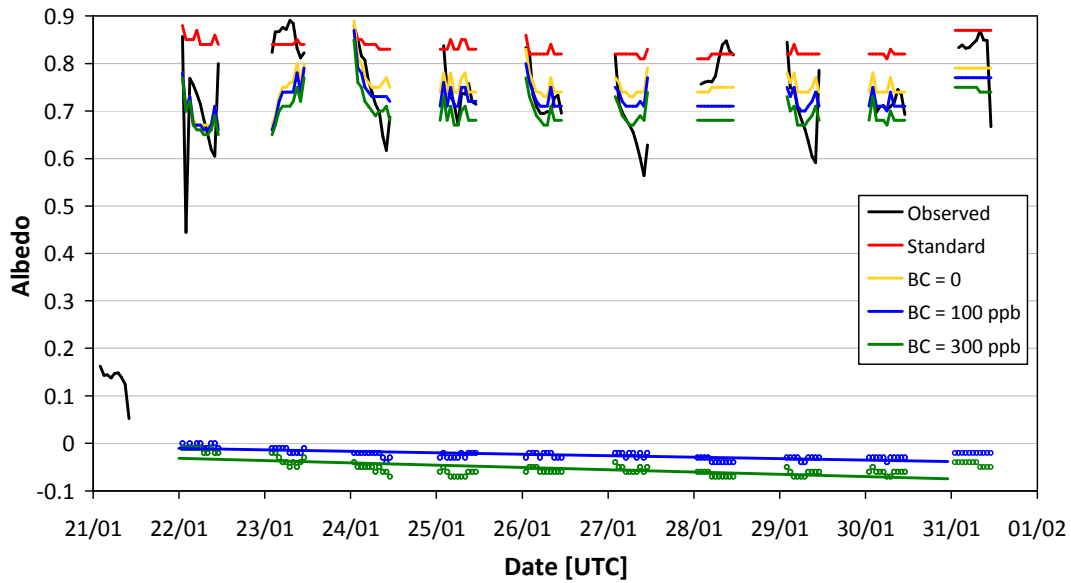
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2 Figure 4. Comparison of observed (black) and simulated daily averages of the snow albedo at
 3 NCO-P for the period after 16 February 2007 (left) and 26 April 2006 (right). Simulations
 4 were performed with the standard crocus model (red) and with the upgraded model including
 5 radiative transfer with constant BC concentrations of 0 (yellow), 100 (blue), and 300 ppb
 6 (green).

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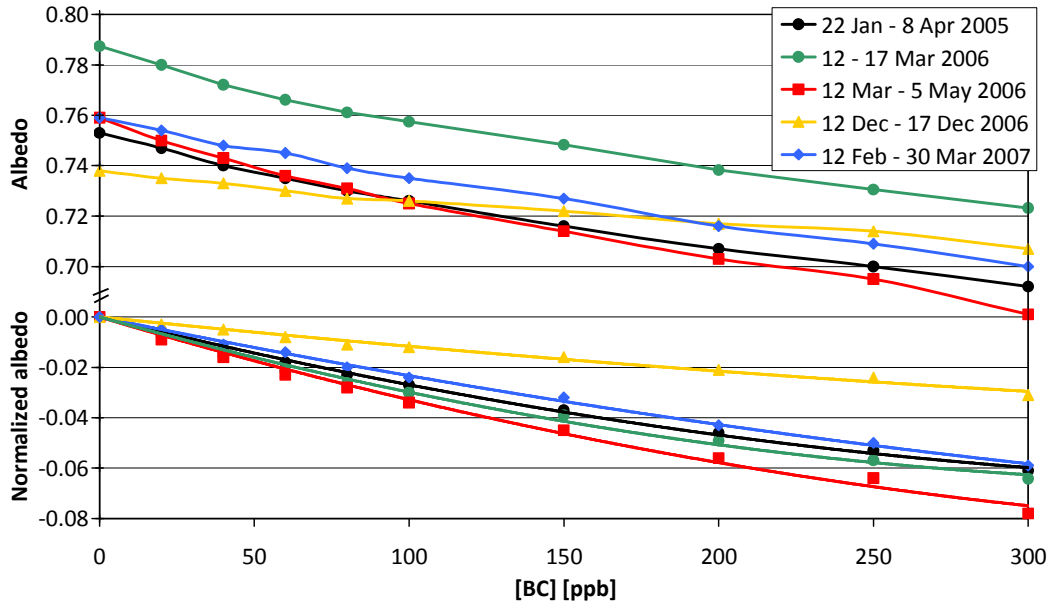


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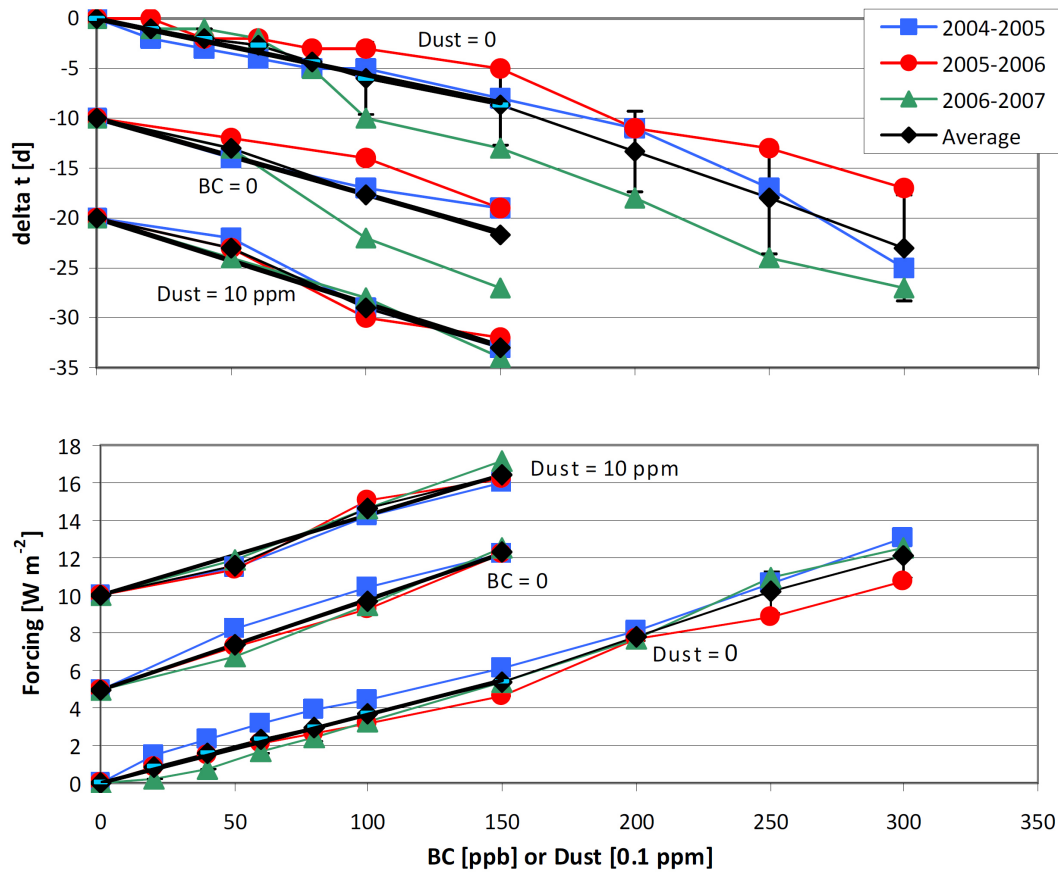
3 Figure 5. Comparison of observed (black) and simulated albedo at NCO-P for the period 22 to
 4 31 January 2005. Model results are obtained with the upgraded model including radiative
 5 transfer with different BC concentrations: 0 (yellow), 100 ppb (blue), and 300 ppb (green).
 6 Circles at the bottom indicate the differences of the simulated albedo between BC = 100 ppb
 7 and 0 (blue) and BC = 300 ppb and 0 (green). Straight blue and green lines show the results
 8 obtained by linear regressions for the albedo differences during the period 22 to 30 January
 9 2005.

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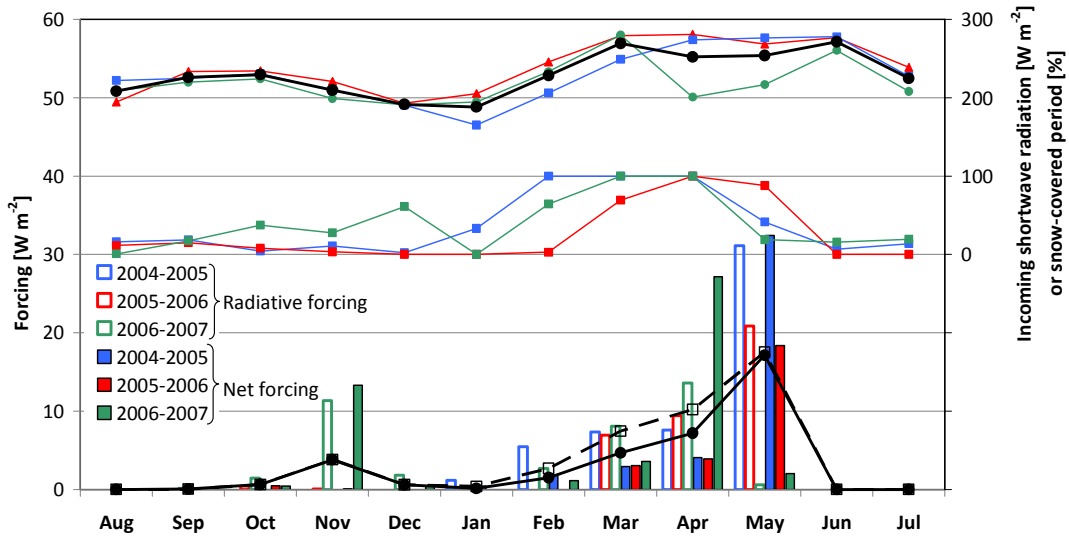
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Figure 6. (Top) Simulated average albedo for several periods with continuous snow higher than 10 cm as a function of BC concentration. (Bottom) Normalized albedo as differences of the averaged albedo minus the averaged albedo at BC = 0. The lines correspond to the best fit of a quadratic polynomial forced through zero for each set of the normalized albedo.



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Figure 7. (Top) Reduction in the snow-covered period in days simulated for different BC and dust concentrations in the snow. (Bottom) Simulated annual radiative forcing related to shortwave radiation due to the presence of BC and dust in the snow. Simulations are performed without dust, without BC (shifted by -10 days or +5 W m⁻²), and with dust = 10 ppm (shifted by -20 days or +10 W m⁻²). In the last case, the reductions are calculated relative to the case with BC = 0 and dust = 10 ppm. Black symbols indicate the 3-year averages of the radiative forcing with the error bars representing the standard deviation. Black lines correspond to linear regressions forced through the origin for the average values for BC ≤ 150 ppb.



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3 Figure 8. Simulated monthly mean radiative (open bars) and net forcing (filled bars) for the
 4 seasons 2004/05 (blue), 2005/06 (red), and 2006/07 (green) due to the presence of 100 ppb of
 5 BC in the snow. Black open squares and filled circles indicate 3-year averages of the monthly
 6 means of the radiative and net forcing. Also shown are snow-covered periods in percent based
 7 on the simulations without BC in the snow (middle) and the monthly means of the observed
 8 incoming short-wave radiation (top) for the three years. The black circles in the top panel
 9 indicate the 3-year average of the monthly means of the incoming radiation.