

1 **Spatial patterns in glacier characteristics and area changes**
2 **from 1962 to 2006 in the Kanchenjunga-Sikkim area, eastern**
3 **Himalaya**

4
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15 **Abstract**

16 This study investigates spatial patterns in glacier characteristics and area changes at
17 decadal scales in the eastern Himalaya: Nepal (Arun and Tamor basins), India (Tista
18 basin in Sikkim), and parts of China and Bhutan based on various satellite imagery:
19 Corona KH4 imagery, Landsat 7 Enhanced Thematic Mapper Plus (ETM+) and
20 Advanced Spaceborne Thermal Emission Radiometer (ASTER), QuickBird (QB) and
21 WorldView-2 (WV2). We compare and contrast glacier surface area changes 1962 –
22 2000/2006 and their dependency on glacier topography (elevation, slope, aspect, percent
23 debris cover), climate (solar radiation and precipitation) on the eastern side (Sikkim)
24 versus the western side (Nepal).

25 Glacier mapping from 2000 Landsat ASTER yielded $1,463 \pm 88$ km² total glacierized
26 area, of which 569 ± 34 km² was located in Sikkim and 488 ± 29 km² in eastern Nepal.
27 Supraglacial debris covered 11% of the total glacierized area, and supraglacial lakes

1 covered about 5.8% of the debris-covered glacier area. Glacier area change (1962 to
2 2000) was $-0.50 \pm 0.2\% \text{ yr}^{-1}$, with little difference between Nepal ($0.53 \pm 0.2\% \text{ yr}^{-1}$) and
3 Sikkim ($0.44 \pm 0.2\% \text{ yr}^{-1}$). Glacier area change was controlled mostly by glacier area,
4 elevation, altitudinal range and to a smaller extent slope and aspect. In the Kanchenjunga-
5 Sikkim area, we estimated a glacier area change of $-0.23 \pm 0.08\% \text{ yr}^{-1}$ from 1962 to 2006
6 based on high-resolution imagery. On a glacier-by-glacier basis, clean glaciers exhibit
7 more area loss on average from 1962 to 2006 (34%) compared to debris-covered glaciers
8 (22%). Glaciers in this region of the Himalaya area are shrinking at similar rates to those
9 reported for the last decades in other parts of the Himalaya, but individual glacier rates of
10 change vary across the study area with respect to local topography, percent debris cover
11 or glacier elevations.

12 **1. Introduction**

13 Himalayan glaciers have generated a lot of concern in the last few years, particularly
14 with respect to potential consequences of glacier changes on the regional water cycle
15 (Kaser et al., 2010; Immerzeel et al., 2012; Immerzeel et al., 2010; Racoviteanu et al.,
16 2013a). In the last decades, the availability of low-cost data from optical remote sensing
17 platforms with global coverage provided opportunities for glacier mapping at regional
18 scales. Remote sensing techniques have helped improve estimates of glacier area changes
19 (Bhambri et al., 2010; Bolch, 2007; Bajracharya et al., 2007; Kamp et al., 2011; Bolch et
20 al., 2008a), glacier lake changes (Bajracharya et al., 2007; Bolch et al., 2008b; Gardelle
21 et al., 2011; Wessels et al., 2002) and region-wide glacier mass balance (Berthier et al.,
22 2007; Bolch et al., 2011; Gardelle et al., 2013; Kääb et al., 2012), but significant gaps do
23 remain. The new global Randolph Glacier Inventory (RGI) v.4 (Pfeffer et al., 2014)

1 provides a global dataset of glacier outlines intended for large-scale studies; however, in
2 some regions the quality varies, and the outlines may not be suitable for detailed regional
3 analysis of glacier parameters. A new Landsat-based inventory has been compiled using
4 imagery from 1999 to 2003, which along with the current study, may help improve the
5 accuracy in some areas of RGI (Nuimura et al., 2014). Some other regional glacier
6 inventories have been constructed in the past, for example for the western part of the
7 Himalaya (e.g. Kamp et al., 2011; Bhambri et al., 2011; Frey et al., 2012), but only a few
8 are available for the eastern extremity of the Himalaya (e.g. Krishna, 2005; Bahuguna,
9 2001; Basnett et al., 2013; Bajracharya and Shrestha, 2011). The use of remote sensing
10 for glacier mapping in this area is limited by frequent cloud cover and sensor saturation
11 due to unsuitable gain settings and the persistence of seasonal snow, which hampers
12 quality satellite image acquisition. Furthermore, this area has very limited reliable
13 baseline topographic data needed for glacier change detection, as discussed in detail in
14 Bhambri and Bolch (2009). The earliest Indian glacier maps date from topographic
15 surveys conducted by expeditions in the mid-nineteenth century (Mason, 1954), but these
16 are limited to a few glaciers. The Geologic Survey of India (GSI) inventory based on
17 1970s Survey of India maps (Sangewar and Shukla, 2009; Shanker, 2001) is not in the
18 public domain. For eastern Nepal, 1970's topographic maps from Survey of India
19 1:63,000 scale are available, but their accuracy is not known with certainty. Given these
20 limitations, declassified Corona imagery from the 1960's and 1970's has increasingly
21 been used to develop baseline glacier datasets, for example in the Tien Shan (Narama et
22 al., 2010), Nepal Himalaya (Bolch et al., 2008a) and parts of Sikkim Himalaya (Raj et al.,
23 2013).

1 The topographic and climatic controls on glacier surface area have received increasing
2 attention in recent studies, particularly with respect to debris-covered glaciers (Basnett et
3 al., 2013; Thakuri et al., 2014; Bolch et al., 2008a; Salerno et al., 2008). Some studies
4 have characterized the small-scale glacier surface topography of debris-covered glaciers
5 using field-based surveys (Iwata et al., 2000; Sakai and Fujita, 2010; Zhang et al., 2011),
6 while other studies focused on understanding patterns at the mountain-range scale
7 (Scherler et al., 2011; Bolch et al., 2012; Gardelle et al., 2013; Racoviteanu et al., 2014).
8 Glacier shrinkage and mass loss has been documented in the Himalaya concomitantly
9 with an increase in debris cover (Bolch et al., 2011; Nuimura et al., 2012). However, the
10 influence of debris cover on glacier mass balance remains debatable (Scherler et al.,
11 2011; Kääb et al., 2012), and modeling of melt under the debris cover is subject to
12 uncertainties due to limited field-based measurements of debris thickness needed for
13 model parameterization (Zhang et al., 2011; Foster et al., 2012; Mihalcea et al., 2008b;
14 Mihalcea et al., 2008a).

15 While significant progress has been made in the recent years on remote sensing glacier
16 mapping in the Himalaya, some of the sub-regions still need updated glacier area and
17 surface characteristics including debris cover. The objective of this study is two-fold: (1)
18 present the current glacier distribution and characteristics in a data-scare area of the
19 eastern Himalaya based on an updated 2000 Landsat ETM+ and ASTER inventory, along
20 with elevation data from the Shuttle Radar Topography Mission (SRTM); (2) investigate
21 spatial patterns in glacier surface area changes from 1962 (Corona KH4) to 2000
22 (Landsat/ASTER) and 2006 (QB) 2006 (WV2) and their dependence on topographic and
23 climatic factors, with a particular emphasis on debris-covered glacier tongues. These

1 updated glacier datasets help fill a gap in global glacier inventories such as the RGI
2 (Pfeffer et al., 2014), as well as for subsequent future mass balance applications at
3 regional scales.

4 **2. Study area**

5 The study area encompasses glaciers in the eastern Himalaya ($27^{\circ} 04' 52''$ N to 28°
6 $08' 26''$ N latitude and $88^{\circ} 00' 57''$ E to $88^{\circ} 55' 50''$ E longitude), located on either side
7 of the border between Nepal and India in the Kanchenjunga-Sikkim area (Fig. 1). Based
8 on SRTM data, relief in this area ranges from 300 m at the bottom of the valleys to 8,598
9 m (Mt. Kanchenjunga). Valley glaciers cover about 68% of the glacierized area,
10 mountain glaciers cover 28%, and the remaining are cirque glaciers and aprons (Mool et
11 al., 2002). The glacier ablation area is typically covered by heavy debris-cover
12 originating from rockfall on the steep slopes (Mool et al., 2002), reaching up to a
13 thickness of several meters at the glacier termini (Kayastha et al., 2000). The eastern part
14 of this area constitutes the Sikkim province of India, and the western part is located in
15 eastern Nepal, and encompasses the Tamor and parts of Arun basin. Climatically, this
16 area of the Himalaya is dominated by the South Asian summer monsoon circulation
17 system (Bhatt and Nakamura, 2005), caused by the inflow of moist air from the Bay of
18 Bengal to the Indian subcontinent during the summer (Benn and Owen, 1998; Yanai et
19 al., 1992). The Himalaya and Tibetan plateau (HTP) acts as a barrier to the monsoon
20 winds, bringing about 77% of precipitation on the south slopes of the Himalaya during
21 the summer months (May to September) (Fig. 2). This climatic particularity causes a
22 “summer-accumulation” glacier regime type, with accumulation and ablation occurring
23 simultaneously in the summer (Ageta and Higuchi, 1984). In Sikkim, rainfall amounts

1 range from 500 to 5000 mm per year, with annual averages of 3,580 mm recorded at
2 Gangtok station (1,812 m) (1951 to 1980) (IMD, 1980), and 164 rainy days per year
3 (Nandy et al., 2006). Mean minimum and maximum daily temperatures at Gangtok
4 station were reported as 11.3°C and 19.8°C, with an average of 15.5°C based on the same
5 observation record (IMD, 1980).

6 *[Fig. 1 – 2]*

7 **3. Methodology**

8 *3.1. Data sources*

9 Satellite imagery

10 Remote sensing datasets used in this study are summarized in Table 1, and included:

11 1) baseline remote sensing data from Corona declassified imagery (year 1962); 2)
12 “reference” datasets for 2000s from Landsat ETM+ and ASTER and 3) high-resolution
13 imagery from QB (2006) and WV (2009), all described below.

14 (1) Corona KH4 scenes (1962) were obtained from the US Geological Survey EROS
15 Data Center (USGS-EROS, 1996). The Corona KH4 system was equipped with two
16 panoramic cameras (forward-looking and rear-looking with 30 degrees separation angle),
17 and acquired imagery from February 1962 to December 1963 (Dashora et al., 2007). We
18 chose images from the end of the ablation season (October/November in this part of the
19 Himalaya), suitable for glaciologic purposes. Six Corona stripes were scanned at 7
20 microns by USGS from the original film strips, with a reported nominal ground resolution
21 of 7.62 m (Dashora et al., 2007). Corona images are known to contain significant
22 geometric distortions due to cross-path panoramic scanning. The Frame Ephemeris
23 Camera and Orbital Data (FECOD) camera/spacecraft parameters (roll, pitch, yaw, speed,
24

1 altitude, azimuth, sun angle and film scanning rate) for Corona missions, needed to
2 construct a camera model and to correct these distortions, are not easily available. To
3 orthorectify the scenes, we defined a non-metric camera model in ERDAS Leica
4 Photogrammetric Suite (LPS), with focal length, air photo scale and flight altitude
5 extracted from the declassified documentation of the KH4 mission (Dashora et al., 2007).
6 We used the bundle block adjustment procedure in LPS to simultaneously estimate the
7 orientation of all the CORONA stripes on the basis of 117 ground control points (GCPs).
8 Latitude and Longitude (x,y) information (of the GCPs) were extracted from the
9 panchromatic band of the 2000 Landsat ETM+ image (15 m spatial resolution) on non-
10 glacierized terrain including moraines, river crossings, and outwash areas, whereas
11 elevation information (z) were extracted from the SRTM DEM v.4 (CGIAR-CSI 2004).
12 Tie points (TPs) were automatically extracted in LPS on overlapping Corona strips, and
13 visually checked on the Landsat image. The Corona stripes were mosaicked in ERDAS
14 LPS to produce the final orthorectified image, with a horizontal accuracy (RMSE_{x,y}) of
15 the bundle block adjustment of 10.5 m. The orthorectification process of the 1962 Corona
16 yielded a RMSE_{x,y} error of ± 10 m, and the actual “ground” RMSE_{x,y} of the Corona
17 block of ~ 60 m. A trend analysis on the horizontal shifts between Corona and the
18 reference Landsat scene showed that the largest errors occurred towards the edges of the
19 images, mostly outside the glaciers, and did not impact the area change analysis.

20 (2) The orthorectified Landsat ETM+ scene from December 2000, obtained from the
21 USGS Eros Data Center was the main dataset for the updated glacier inventory. In
22 addition, six orthorectified ASTER products (2000 to 2002) were obtained at no cost
23 through the Global Land Ice Monitoring from Space (GLIMS) project (Raup et al., 2007).

1 Images were selected at the end of the ablation season for minimal snow, and had little or
2 no clouds. Five of these scenes were used for on-screen manual corrections of the
3 Landsat-based glacier outlines in challenging areas where shadows or clouds obstructed
4 the view of the glaciers. In addition, the surface kinetic temperature product (AST08)
5 product from the November 27th, 2001 ASTER scene was used for clean ice delineation
6 of debris cover along with topographic information using a decision-tree algorithm
7 (Racoviteanu and Williams, 2012). The October 29th, 2002 scene, covering the
8 Kanchenjunga-Sikkim area east and west of the topographic divide, was used to
9 investigate the spatial distribution of surface temperature over selected debris covered
10 tongues.

11 (3) Two QB scenes from January 2006 were obtained from Digital Globe as ortho-
12 ready standard imagery (radiometrically calibrated and corrected for sensor and platform
13 distortions) (Digital Globe, 2007). These scenes, covering an area of 1,107 km² were
14 well-contrasted and mostly snow-free outside the glaciers. We orthorectified these scenes
15 in ERDAS Imagine Leica Photogrammetry Suite (LPS) using Rational Polynomial
16 Coefficients (RPCs) provided by Digital Globe and the SRTM DEM, and mosaicked
17 them in ERDAS Imagine. The scenes were resampled to 3 m-pixel size during the
18 orthorectification process using the cubic convolution method suitable for continuous
19 raster data, in order to reduce disk space and processing time. One WorldView-2 (WV2)
20 panchromatic, ortho-ready scene at 50 cm spatial resolution from Dec 02, 2010 was also
21 obtained to cover the terminus of Zemu glacier, which was missing from the QB extent.
22 All datasets were registered to UTM projection zone 45N, with elevations referenced to
23 the WGS84 datum.

1 *[Table 1]*

2 Elevation datasets

3 Two elevation datasets were used in this study:

4 (1) The hydrologically-sound, void-filled CGIAR SRTM DEM (90 m spatial
5 resolution) (CGIAR-CSI, 2004) was used to extract glacier parameters for 2000. The
6 SRTM dataset is known to have biases on steep slopes and at higher elevations (Fujita et
7 al., 2008; Berthier et al., 2006; Nuth and Kääb, 2011), as well as due to radar penetration
8 on snow (Gardelle et al., 2012b). For this area, the vertical accuracy of the SRTM DEM,
9 calculated as root mean square (RMSE_z) with respect to 25 field-based GCPs, was 31 m
10 ± 10 m. The GCPs were obtained in the field on non-glacierized terrain including roads
11 and bare land outside the glaciers using a Trimble Geoexplorer XE series.

12 (2) The Swiss topographic map (1:150,000 scale), compiled from Survey of India
13 maps from the 1960s, published by the Swiss Foundation for Alpine Research was used
14 for manual corrections of the 1962 Corona glacier outlines to discard any seasonal snow,
15 to correct shadow areas or bright water bodies that could be mis-classified as ice. The
16 exact month or year of each quadrant, or of the original air photos is not known with
17 certainty because the original large-scale Indian topographic maps at this scale are
18 restricted within 100 km of the Indian border, and are therefore inaccessible (Srikantia,
19 2000; Survey of India, 2005), however this map was useful for manual corrections of the
20 Corona outlines.

21 *3.2 Analysis extents*

22
23 We defined three analysis extents for our study area (Fig. 1 and Table 2):

1 (1) The Landsat/ASTER domain includes the Sikkim province of India, parts of
2 eastern Nepal (Tamor and Arun basins), as well as parts of Bhutan and China (Table 2).
3 This domain was used to construct the updated 2000 glacier inventory.

4 **[Table 2]**

5 The Landsat/ASTER domain was split into four sub-regions on the basis of east-
6 west and north-south climate/topographic/political barriers, as shown in Fig. 3. Rainfall
7 averages from the Tropical Rainfall Measuring Mission (TRMM) data 2B31 product
8 (Bhatt and Nakamura, 2005; Bookhagen and Burbank, 2006) were used to characterize
9 the sub-regions climatically. The dataset contains rainfall estimates calibrated with
10 ground-control stations derived from local and global gauge stations (Bookhagen and
11 Burbank, 2006) with a spatial resolution of 0.4 degree, or ~5 km. Given the well-known
12 biases in the TRMM data (Bookhagen and Burbank, 2006; Palazzi et al., 2013;
13 Andermann et al., 2011), here we are not concerned with the absolute values of gridded
14 precipitation, but only with characterizing the sub-regions in our study area using relative
15 rainfall values. TRMM data integrated over 10 years (1998 to 2007) show differences in
16 precipitation patterns among the four regions, and justifies our choice of spatial domains
17 (Table 3). The eastern side of the study area (Sikkim) receives higher precipitation
18 amounts than the western side (Nepal) (977 mm/yr versus 805 mm/yr). There is a
19 pronounced north-south gradient in precipitation, with the lowest amount of precipitation
20 noticeable on the China side (146 mm/yr) (Table 3).

21 **[Fig. 3 and Table 3]**

22 (2) The Corona spatial domain is a subset of the Landsat/ASTER domain, which was
23 covered by the 1962 Corona image. Glacier surface area changes and their dependence on

1 climate and topography were computed for this extent between two time steps: the 1960s
2 decade (represented by Corona imagery), and 2000s decade (represented by
3 Landsat/ASTER). Glaciers from Bhutan in the east and China in the north were not
4 covered by the Corona image, so the area change analysis only focused on glaciers of
5 Sikkim and eastern Nepal, east and west of the topographic divide.

6 (3) The Kanchenjunga/Sikkim domain is a smaller subset covered by all three datasets
7 (Landsat/ASTER, Corona and Quickbird), allowing us to extend the glacier change
8 analysis to 2006. It comprises of 50 glaciers from the Tamor basin (Nepal) and Zemu
9 basin (Sikkim, India), located on the southern slopes of the Himalaya. The high-
10 resolution 1962 and 2006 imagery was used here for to illustrate glacier area changes at a
11 smaller scale, to show surface characteristics of debris-covered glaciers, and as means to
12 evaluate mapping of debris-covered glaciers.

13 *3.3 Glacier delineation and analysis*

14
15 For the 1960s, clean glacier outlines were extracted from the panchromatic
16 Corona imagery by thresholding the digital numbers ($DN > 200 = \text{snow/ice}$), chosen
17 based on visual interpretation. Debris-covered glacier tongues were delineated manually
18 on the basis of lateral moraines and other visual clues such as supra-glacial lakes. A 5x5
19 median filter was used to remove noise (isolated pixels from snowfields or internal
20 rocks), as recommended in other studies (Racoviteanu et al., 2009; Andreassen et al.,
21 2008). Ice polygons with area $< 0.02 \text{ km}^2$ were not considered valid glaciers and were
22 excluded from the analysis. Manual corrections were applied subsequently on the basis of
23 the topographic map using on-screen digitizing in areas of poor contrast or transient
24 snow/clouds, which obstructed the view of glaciers.

1 For the 2000s, glaciers were delineated from the Landsat ETM+ scene using the
2 Normalized Difference Snow Index (NDSI) (Hall et al., 1995), with a threshold of 0.7
3 (NDSI > 0.7 = snow/ice). The NDSI algorithm relies on the high reflectivity of snow and
4 ice in the visible to near infrared (VNIR) wavelengths (0.4 – 1.2 μm), compared to their
5 low reflectivity in the shortwave infrared (SWIR, 1.4 - 2.5 μm) (Dozier, 1989; Rees,
6 2003). Compared to other band ratios (Landsat $\frac{3}{4}$ and $\frac{3}{5}$), the NDSI glacier map was
7 cleaner and less noisy and was therefore preferred (Racoviteanu et al., 2008b). A 5x5
8 median filter was used here as well to remove remaining noise, and a few areas were
9 adjusted manually on the basis of the ASTER images, notably frozen lakes misclassified
10 as snow/ice, and some glaciers underneath low clouds in the southern part of the image.
11 Some transient snow persisting in the deep shadowed valleys was manually removed
12 from the glacier outlines on the basis of the topographic map. Debris-covered glacier
13 tongues were delineated using multispectral data (band ratios, surface kinetic temperature
14 and texture) from the Nov 27, 2001 ASTER scene combined with topographic variables
15 in a decision tree, as described in Racoviteanu and Williams (2012).

16 For the QB (2006) image, clean ice surfaces were delineated using band ratios $\frac{3}{4}$, then
17 isodata clustering with a threshold of 1.07 (snow/ice > 1.07), and a majority filter of 7x7
18 to remove noise. Debris-covered tongues for this dataset were delineated manually on the
19 basis of supraglacial features (lakes and ice walls), along with lateral and frontal
20 moraines visible on the high-resolution images. We also mapped supraglacial lakes from
21 this high-resolution data based on band ratios, along with texture analysis.

22 For all inventories in the Landsat/ASTER domain, ice masses were separated into
23 glaciers on the basis of the SRTM DEM, using hydrologic functions in an algorithm

1 developed by Manley (2008), described in Racoviteanu et al. (2009). Glacier area,
2 terminus elevation, maximum and median elevation, average slope angle and aspect were
3 extracted on a glacier-by-glacier basis using zonal functions on the SRTM DEM.
4 Average glacier thickness and were calculated from mass turnover principles and ice flow
5 mechanics by Huss and Farinotti (2012), based on the approach of Farinotti (2009). Their
6 method used our glacier outlines and the SRTM DEM to derive thickness and length
7 estimates iteratively based on Glenn's flow law and a shape factor (Paterson, 1994). For
8 simplicity and consistency for change analysis, we assumed no shift in the ice divides
9 over the period of analysis, and excluded all nunataks and snow-free steep rock walls
10 from the glacier area calculations. Bodies of ice above the bergschrund were considered
11 part of the glacier (Racoviteanu et al., 2009; Raup and Khalsa, 2007). Glacier area
12 changes (1962 to 2000) and their dependency on topographic and climatic variables were
13 calculated on a glacier-by-glacier basis for the 232 glaciers in spatial domain 2 using
14 linear regression.

15 *3.4 Uncertainty estimates*

16
17 Glacier outlines derived from remote sensing data at various spatial and temporal
18 resolution are subject to various degrees of uncertainty, as discussed in recent studies
19 (Paul et al., 2013; Racoviteanu et al., 2009). This becomes an important issue in glacier
20 change analysis, where errors from various data sources accumulate at each processing
21 step. The main sources of uncertainty considered here are: 1) image classification errors
22 (positional errors and/or errors due to the semi-automated glacier mapping method); 2)
23 conceptual errors associated with the definition of a glacier, including mapping of ice

1 divides, mixed pixels of snow and clouds, and internal rock differences, which propagate
2 to the glacier change analysis, all described in detail in Racoviteanu et al. (2009).

3 (1) The errors in remote sensing glacier surface areas (E_{classif}) were estimated using the
4 “Perkal epsilon band” around each glacier outline dataset (Racoviteanu et al., 2009;
5 Bolch et al., 2010), using a ~ 1 -pixel variability (Congalton, 1991). Using ± 30 m for
6 Landsat/ASTER, ± 6 m for Corona and ± 3 m for QB outlines, the area uncertainty was \pm
7 3%, $\pm 6\%$ and $\pm 2\%$ of the glacierized area for Corona, Landsat/ASTER and QuickBird
8 respectively. The Perkal method is known to slightly over-estimate the errors, as
9 described in Burrough and McDonnel (1998). Recent glacier analysis comparison
10 experiments reported a range of uncertainty of $< 5\%$ for remote sensing glacier outlines
11 compared to high-resolution imagery (Raup et al., 2007; Paul et al., 2013). For manually-
12 adjusted glacier outlines, particularly debris-covered tongues, we used screen digitizing
13 in streaming mode with a high density of vertices to minimize area errors (B. Raup,
14 National Snow and Ice Data Center, personal communication, 2014).

15 (2) Uncertainties due to different digitization of internal rocks (E_{rocks}) were derived by
16 comparing area changes computed with internal rocks specific to each dataset, versus
17 “merged” internal rocks from each dataset. The differences in glacier datasets due to rock
18 inconsistencies amounted to $\sim 2\%$ of the glacier area. To minimize uncertainties in the
19 glacier area change, we merged rock outcrops from each dataset and removed them from
20 all the area calculations. The “inactive” bodies of ice above the bergschrund were
21 included as part of the glacier (Racoviteanu et al., 2009). For simplicity, we neglected the
22 area change that might be due to exposure of new internal rock due to glacier ice
23 thinning.

1 Total errors in glacier area estimate for each dataset (E) were calculated as RMSE of
2 the classification (E_{classif}) and the internal rocks (E_{rock}):

$$E = \sqrt{E_{\text{classif}}^2 + E_{\text{rocks}}^2} \quad \text{Eq.1}$$

4 Errors in glacier surface area change (E_{change}) from 1962 to 2000 were computed as
5 RMSE of the total error for each time step calculated above (Eq.1):

$$E_{1962-2000} = \sqrt{E_{1962}^2 + E_{2000}^2} \quad \text{Eq.2}$$

7 **4. Results**

8 *4.1 The 2000 Landsat/ASTER glacier characteristics*

9
10 The 2000 glacier inventory based on Landsat and ASTER yielded 487 glaciers (of
11 which 162 were situated in Nepal, 186 in Sikkim, 30 in Bhutan and 109 in China),
12 covering a total surface area of $1463 \pm 88 \text{ km}^2$ (Table 4a). Of the 487 glaciers in this
13 spatial domain, 68 glaciers (13%) had debris cover on their ablation areas. Supraglacial
14 debris covered $160 \pm 10 \text{ km}^2$ (11% of the glacierized area in spatial domain 1), with some
15 differences between north and south slopes of the study area, discussed later (section 5.1).
16 In Sikkim, supraglacial debris covered an area of $78 \pm 5 \text{ km}^2$ in 2000 (14% of the
17 glacierized area).

18 *[Table 4 a-b]*

19 In 2000, glacier size ranged from $0.02 - 105 \text{ km}^2$, with an average size of 3 km^2 and a
20 median size of 0.9 km^2 (Table 4b). The histogram of glacier area (Fig. 4a) is skewed to
21 the right (skewness = 8.4), showing that glaciers with area $< 10 \text{ km}^2$ are predominant in
22 this region, and glacier size decreases non-linearly. The long right-tail extremes represent
23 only a few glaciers such as Zemu, with an area $> 100 \text{ km}^2$.

1 *[Fig. 4 a-d]*

2
3 The average slope of all glaciers in the inventory was 23 degrees, with a positive skew
4 (skewness = 0.38) (Fig. 4b) and no significant differences among the four regions (p
5 >0.05) (Table 4b). Glacier length ranged from 0.08 km to 23 km (Zemu glacier), with an
6 average of 2 km (Fig. 4c). Glacier thickness ranged from 3 m to 144 m, with the highest
7 frequency for thicknesses less than 30 m (Fig. 4d). The frequency distribution of both
8 glacier length and thickness were positively skewed, with long tails, indicating the
9 prevalence of short, shallow valley-type glaciers. Glacier aspect shows two predominant
10 orientations: west-northwest (W-NW) and east-northeast (E-NE), following the
11 topographic divide (Fig. 5). On average, glaciers on the Nepal side had an average aspect
12 of 237 degrees (SW), whereas glaciers on the Sikkim side had an average aspect of 131
13 degrees (SE), consistent with local topography.

14 *[Fig. 5]*

15 Glacier termini elevations in the Landsat/ASTER domain ranged from 3,990 to 5,777
16 m, with a mean of 4,908 m; median glacier elevation ranged from 4,515 to 6,388 m, with
17 a mean of 5,702 m (Table 4b). Considering glacier median elevation as a coarse
18 approximation of glacier equilibrium line altitude (ELA), our results are in agreement
19 with Benn and Owen (2005), who documented higher ELAs on the northern slopes of the
20 Himalaya (6,000 – 6,200 m) compared to ELAs on the southern slopes (4,600 – 5,600 m).

21 *4.2 Glacier area changes 1962 – 2000/2006*

22
23 Overall, glaciers in the Corona spatial domain 2 lost $182.5 \pm 40 \text{ km}^2$ of their area
24 ($19 \pm 7\%$, or $-0.5 \pm 0.2\% \text{ yr}^{-1}$) from 1962 to 2000 (Table 5). Overall, the average glacier

1 area changes were slightly smaller on the western side of the divide (Nepal, $16.9 \pm$
2 4% 1962 - 2000 or $0.44 \pm 0.2\%$ yr^{-1}) compared to the eastern side (Sikkim, $20.1 \pm 8\%$
3 1962 - 2000 or $0.52 \pm 0.2\%$ yr^{-1}). When focusing on a smaller glacier subset in the
4 Kanchenjunga-Sikkim subset area (50 glaciers), we obtained an area change of $-10\% \pm 3$
5 $\%$ ($-0.23 \pm 0.08\%$ yr^{-1}) based on high-resolution imagery (1962 to 2006) (Table 5). The
6 rates of glacier area change for this group are overall 50% lower than the rates of change
7 in the larger spatial domain 1 perhaps due to higher percentage of debris (21 %)
8 compared to the entire Landsat/ASTER spatial domain 2 (11%).

9 **[Table 5]**

10 On a glacier-by-glacier basis, glaciers in the Corona domain lost 2% to 95 % of
11 their area, with a mean of 32% from 1962 to 2000 (Fig. 6). The spatial distribution of
12 these area changes, illustrated in Fig. 6, shows that the largest area changes (> 70% area
13 loss) occurred for only a few isolated glaciers in the northern and southern extremities of
14 the study area (17 glaciers). A closer examination of these glaciers revealed that these
15 were small clean glaciers (< 0.1 km²), with steep slopes (mean of 26 degrees), posing a
16 need to investigate the topographic controls on area change, and clean vs. debris-covered
17 glaciers separately.

18 **[Fig. 6]**

19 Clean glaciers lost more of their area from 1962 to 2000 (34%) compared to debris-
20 covered glaciers (22 %) across the region, with little differences east-west (Nepal and
21 Sikkim)(Table 6). The difference in mean rates of area change between clean and debris
22 covered glaciers was statistically significant based on two-sample F-test (p-value < 0.05).

23 **[Table 6]**

1 Fig. 7a-b, shows a larger spread and a higher percentage of surface area loss of clean
2 glaciers compared to debris-covered glaciers. For both glacier types, however, there is a
3 high variability in percent area change, perhaps due to other factors such as local
4 topography.

5 **[Fig. 7 a-b]**

6 Linear regression analysis showed that percent area change per glacier was
7 negatively correlated to glacier area, altitudinal range, glacier elevation (median and
8 maximum elevation and aspect (significant correlations at 99% confidence interval,
9 $p < 0.01$) (Table 7). Glacier minimum elevation and slope were significant controls on
10 glacier area change at 95% confidence interval ($p < 0.05$). Solar radiation, precipitation
11 and percent debris were not statistically significant controls on glacier area change
12 ($p > 0.1$, confidence interval 90%) (Table 7). These are discussed in section 5.3.

13 **[Table 7]**

14 Clean and debris-covered glaciers showed significant differences in terms of glacier
15 area, area change, minimum elevation, altitudinal range and length based on a two sample
16 F-test for variances) ($p < 0.05$) (Table 8). Clean glaciers in this area are ~12 times
17 smaller (1 km^2 on average) than debris-covered glaciers (15 km^2), they have higher
18 termini elevations (+ 391 m), and an overall altitudinal range about 3 times smaller than
19 debris-covered glaciers (Table 7). On a glacier-by-glacier basis, clean glaciers lost more
20 area (34 %) than debris covered glaciers (22%) from 1962 to 2000. Clean glaciers with
21 smaller altitudinal range tend to display more area loss compared to debris-covered
22 glaciers.

23 **[Table 8]**

1 **5. Discussion**

2 *5.1 Spatial distribution of glacier characteristics across the study area*

3 One of the important steps in utilizing our glacier inventory data is to understand
4 spatial patterns in glacier characteristics across the region. Our study area displays
5 region-wide consistency in glacier characteristics notably glacier area, elevation and
6 topography across four sub-regions based on the 2000 glacier data (Table 4). For
7 example, the prevalence of small glaciers noted in this area is consistent with worldwide
8 patterns, also observed for the Cordillera Blanca of Peru in a previous study (Racoviteanu
9 et al, 2008a). There is variability within eastern Himalaya, for example the mean glacier
10 size reported in this study area (3 km²) is double compared to Khumbu region, west of
11 our study area (1.4 km²) (Bajracharya and Shrestha, 2011). The glacier slope across our
12 study area (23 degrees) is consistent with average glacier slopes reported for the Khumbu
13 region in Nepal (22 degrees) (Bajracharya and Shrestha, 2011; Salerno et al., 2008),
14 indicating a general tendency for steep glaciers across the region. There are only a few
15 large, long glaciers in the area such as Zemu glacier (103 km², 23 km in 2000). With
16 respect to glacier aspect, we also note similar predominant orientations of glaciers
17 southwards, in the direction of the prevailing monsoon circulation consistent with other
18 studies such as the Khumbu region (average aspect 181 degrees) (Mool et al., 2002;
19 Salerno et al., 2008).

20 The comparison of glacier characteristics across sub-regions points to a pronounced
21 gradient north to south (Bhutan/China sub-regions compared to Sikkim/Nepal),
22 particularly with respect to glacier elevations and debris cover. Glaciers on northern side
23 of the divide (China) have higher glacier termini and median elevations compared to the

1 southern side (Nepal and Sikkim) (+700 m and +400 m respectively) (Table 4). These
2 differences seem to be consistent with general air circulation patterns in the area. The
3 Asian summer monsoon brings large amounts of precipitation on the southern slopes of
4 the Himalaya, favoring glacier growth at lower elevations and a lower ELA. In contrast,
5 in the upper reaches of the valleys and on the Tibetan plateau, the monsoon is blocked by
6 the topographic barrier (Clift and Plumb, 2008), causing a drier climate and higher
7 glacier ELAs. There is a much less pronounced east-west gradient in glacier elevations,
8 with higher glacier minimum and median elevations on the western side (Nepal) (+50 m)
9 compared to the eastern side (Sikkim). This may be explained by the location of Nepalese
10 glaciers on the western side of the topographic divide, away from the prevailing
11 monsoon.

12 Debris coverage also shows a pronounced variability north to south of the
13 topographic divide. Himalayan glaciers are often referred to as “heavily” debris-covered,
14 but the percent glacierized area covered by supra-glacial debris cover varies across the
15 mountain range. In our study area, debris cover is more prevalent on the southern side of
16 the divide (Sikkim, 14% of glacierized area) compared to the northern one (China, 2% of
17 the glacierized area), perhaps due to different geologic and topographic patterns. The
18 northern side of the divide, which is part of the Tibetan plateau, is situated in a monsoon
19 shadow and is therefore dry; the gentler slopes induce lower rates of erosion. In contrast,
20 the southern slopes of the Himalaya tend to be heavily covered with debris cover due to
21 the abundance of rock material from the steep slopes. The steep slopes made of soft
22 sedimentary rocks and Precambrian crystalline rocks (Mool et al., 2002) and are prone to
23 high rates of erosion, particularly with large amounts of monsoon moisture. This north-

1 south difference in debris cover amount was also noted in other studies (Scherler et al.,
2 2011). In our study, we found a lower percent of debris coverage (21%) than the entire
3 central/eastern Himalaya reported in Scherler et al. (2011) (36% debris cover), or from
4 the Khumbu region, west of our study area, by Fujii and Higuchi (1977), Nuimura et al.
5 (2012) (34.8%), Racoviteanu et al. (2013a) (27 %) and Thakuri et al. (2014) (32%).

6 *5.2 Regional glacier area changes*

7 The overall rate of surface area loss of $0.5 \pm 0.2\% \text{ yr}^{-1}$ from 1962 to 2000 for Sikkim
8 and eastern Nepal obtained here is in agreement with other studies from the southern
9 slopes of the Himalaya. Similar rates of area loss (0.1 to $0.3\% \text{ yr}^{-1}$) were reported from
10 the Khumbu and Garwhal regions, west of our study area, for approximately the same
11 time period (Thakuri et al., 2014; Basnett et al., 2013; Nuimura et al., 2012; Bolch et al.,
12 2008a; Bhambri et al., 2011). Similarly, for glaciers of Bhutan, east of our study area,
13 Karma et al. (2003) found an average surface glacier area loss of $0.3\% \text{ yr}^{-1}$ from 1963 to
14 1993. It is worth mentioning that these rates of area change are lower than those
15 previously reported for the drier monsoon-transition zone in the western Himalaya (0.7%
16 yr^{-1}) by Kulkarni et al. (2007), which raised concerns about the future of Himalayan
17 glaciers. In a more recent study, Bahuguna et al. (2014) found lower rates of glacier area
18 loss ($0.4\% \text{ yr}^{-1}$) for the same area (Himachal Pradesh in the western Himalaya), which is
19 in agreement with rates of area loss we report here for eastern part. Updated glacier area
20 changes from recent studies (Bahuguna et al., 2014; Racoviteanu et al., 2014; Bolch et
21 al., 2012) also point at lower rates of area loss than previously reported, particularly for
22 the Indian Himalaya. The similar overall rate of glacier area change in the eastern part

1 compared to western one for both debris covered glaciers and clean glacier types suggest
2 consistent patterns across the region (Table 5).

3 The smaller glacier area loss for debris-covered glaciers noted in our study is in
4 agreement with studies from Khumbu (Nuimura et al., 2012; Thakuri et al., 2014) or
5 other studies in the central-eastern Himalaya (Bolch et al., 2008a; Thakuri et al., 2014;
6 Bhambri et al., 2011). These studies also reported lower rates of glacier surface area loss
7 and even stable or less retreating glacier termini for debris-covered tongues compared to
8 clean glaciers (Scherler et al., 2011). Area changes for debris-covered glaciers need to be
9 interpreted with caution, due to the wide variability in debris cover characteristics such as
10 thickness. Furthermore, these stagnating or less changing tongues may not reflect the true
11 state of the glaciers, for example patterns of glacier thinning, which may occur at similar
12 rates to clean glaciers (Gardelle et al., 2012a; Kääb et al., 2012).

13 *5.3 Topographic and climatic controls on area changes*

14 While the consistent area change patterns across the sub-regions (east to west) are
15 useful for comparison with larger areas, these patterns cannot be used to understand
16 glacier-by-glacier variability in area changes, which may be controlled by local
17 topography and climate. In this study, we found that topographic factors, notably glacier
18 size, glacier altitude (maximum, median, altitudinal range) and aspect were most
19 important in determining rates of glacier area loss in spatial domain 1. Glacier size plays
20 a significant role in determining area change, i.e. smaller glaciers experienced higher
21 rates of area loss (Table 7). The tendency of larger glaciers to lose less area ($>20 \text{ km}^2$)
22 was observed in various studies (Racoviteanu et al., 2008a; Salerno et al., 2008; Loibl et
23 al., 2014), though in the case of Salerno et al. (2008), for the Khumbu, the correlation

1 was not statistically significant. Higher glacier elevations and larger altitudinal ranges
2 significantly reduce the rates of area loss, as was also noted in the Khumbu region in
3 Nepal and elsewhere. The dependency of area change on glacier size and elevation is also
4 consistent with observations from the Cordillera Blanca of Peru (Racoviteanu et al.,
5 2008a) in the outer tropics, indicating consistent patterns in glacier area changes
6 worldwide.

7 *[Table 7]*

8 Glacier slope also plays a significant role in determining glacier area change, i.e. the
9 steeper the glacier, the larger the area loss observed in our study. The same tendency was
10 observed in the Khumbu area (Salerno et al., 2008), but the correlation is less significant
11 than the glacier altitude ($p < 0.05$). The presence of gentle slopes covered with supra-
12 glacial debris in the ablation areas of glaciers, fairly common in this area, may have
13 reduced the strength of the correlation. Glacier aspect was also found to be a significant
14 control on area change, with more area loss for glaciers oriented southwards and south-
15 west ($p < 0.01$). This is in agreement with findings from Salerno et al. (2008) for the
16 Khumbu region, but is in contrast with results from Loibl et al. (2014) for the
17 Nyainqêntanglha Range in southeastern Tibet, about 600 km east of our study area, who
18 found that south-facing glaciers experienced smaller rates of terminus retreat. Percent
19 debris cover was a negative control on area change, i.e. glaciers with more extensive
20 debris cover on their areas tend to lose less area overall, but this was not statistically
21 significant ($p > 0.05$). Debris covered glaciers may benefit from the insulating effect of
22 debris cover above a certain “critical” debris thickness (Mihalcea et al., 2008a; Zhang et
23 al., 2011), which needs to be further investigated.

1 Geographic location (latitude and longitude) were negative controls on glacier area
2 change suggesting that glaciers located north and eastwards of the study area tend to lose
3 more area, but only latitude was statistically significant ($p < 0.05$). Climate indices
4 (precipitation and solar radiation) were not significant factors controlling glacier area
5 loss. In contrast, Loibl et al. (2014) showed that glaciers located in a monsoon-influenced
6 area were more sensitive to climate change. This is in agreement with larger-scale studies
7 (Gardelle et al., 2013), which indicated a tendency for enhanced glacier wastage in the
8 eastern, monsoon-influenced parts of the Himalaya. With respect to climatic factors in
9 this area, Basnett et al. (2013) reported an increase in mean annual temperature, more
10 significantly in the winter ($+2^{\circ}\text{C yr}^{-1}$ in the last four decades). Increasing temperatures on
11 the south slopes of the Himalayas were also noted in other studies (Shrestha et al., 2000;
12 Thakuri et al., 2014) based on instrumental data, but were estimated to have less effect on
13 glacier area than changes in precipitation because of the orientation of these glaciers
14 towards the prevailing monsoon circulation. In our study, the climatic control on glacier
15 area is not conclusive, and finer-resolution, more accurate temperature and precipitation
16 datasets would be needed. Furthermore, similarly to areas further east (Loibl et al., 2014),
17 average annual solar radiation and latitude were not found to be significant controls on
18 glacier area change in our study.

19 *5.4 Surface temperature distribution on debris cover tongues*

20 Smaller rates of area change for the debris-covered glaciers may be further explained
21 by surface characteristics of debris cover (thickness, thermal conductivity and resistance).
22 However, these are not easily available in this area due to the lack of field-based
23 measurements, and the difficulty of conducting surveys on the debris-covered tongues.

1 Therefore, in this study, we are qualitatively showing the distribution of surface
2 temperature on selected debris-covered tongues in spatial domain 3 based on the 2002
3 ASTER scene. Fig. 8 shows a high variability in supra-glacial surface temperature at 90
4 m spatial resolution, but there is no clear general temperature trend for the eastern slopes
5 (Sikkim side) versus the western slopes (Nepal) side. The fluctuations in surface
6 temperatures along transects are clearly visible on Fig. 9, with some sharp spikes of high
7 and low temperatures, particularly for Kanchenjunga and Yalung glaciers (labeled “A”
8 and “B” on Fig. 8). This strong variability in supraglacier debris temperatures may be due
9 to the presence of surface features such as debris thickness, size of the debris particles,
10 and thermal resistance and conductivity of the debris. For the debris-covered tongues
11 investigated here, the supraglacier temperatures range from 0°C to 30°C, suggesting that
12 the supra-glacier debris heats up considerably during the day. At the glacier scale,
13 temperature drops over supraglacial features such as ice walls and supra-glacial lakes,
14 which tend to be colder than the surrounding debris, and this is visible even at the coarse
15 spatial resolution of the temperature data (90 m). On Fig. 9 we note the slight upward
16 trend for supra-glacier temperature towards the glacier termini, particularly for Zemu
17 glacier (“C” on Fig. 8). For this glacier, the middle-upper part of the debris surface is
18 colder (-3 to 5°C) than the last 10 km towards the glacier terminus (5 to 14°C) (Fig. 9). In
19 a different paper (Racoviteanu and Williams, 2012), we found similar patterns of surface
20 temperature increasing towards the glacier terminus for the same glacier, but based on a
21 different scene (November 2001), indicating consistent patterns for this glacier. The
22 higher surface temperatures towards the glacier terminus may indicate a thicker debris
23 cover, which insulates the ice underneath, noted on other studies (Mihalcea et al., 2008a).

1 The daytime debris temperature ranges and the strong spatial variability noted here are
2 similar to the ones we found for Khumbu, west of this study area (-3 to 17°C)
3 (Racoviteanu et al., 2013b). In Khumbu, we found that supra-glacier debris had a distinct
4 temperature signal compared to other surfaces such as non-ice moraine, clean ice, and
5 supra-glacier/pro-glacier lakes, with more pronounced differences among these three
6 during the daytime.

7 *[Fig. 8 and 9]*

8 The suitability of ASTER-based surface for inferring debris characteristics, most
9 notably thickness, has been demonstrated in other studies (Mihalcea et al., 2008a; Zhang
10 et al., 2011). For this study area, there were no field measurements available to test the
11 validity of ASTER temperatures for quantifying supra-glacier debris characteristics.
12 However, in a different study (Racoviteanu et al., 2013b), we validated ASTER-based
13 surface temperatures extracted from 9 night scenes from 2010 – 2011 for the Khumbu by
14 inverting field-based long-wave radiation (L_{out}) using the Stefan-Boltzmann law ($L_{out} =$
15 ϵT^4). The measurements were from the automatic weather station (AWS) installed on
16 Changri Nup glacier (Wagnon et al., 2013). We found a good agreement between ASTER
17 temperatures and field-based measurements ($R^2 = 0.92$) using a sensitivity analysis ($\epsilon =$
18 0.97 ± 0.1) to account for small-scale variability in emissivity. Given that the
19 Kanchenjunga-Sikkim area has similar characteristics to Khumbu in terms of debris
20 cover, geographic location, and that the images were acquired around the same time of
21 the year as the Khumbu (Nov.- Jan.), we consider that this validation may be applicable
22 to the present study area.

23

1 5.4 *The role of glacier lakes*

2 The role of supra-glacier/pro-glacier lakes for glacier area change in this area of the
3 Himalaya was addressed in detail in recent studies (Basnett et al., 2013; Bajracharya et
4 al., 2014). Gardelle et al. (2011) also pointed out the increased formation of supra-glacier
5 lakes particularly for the eastern part of the Himalaya. A quantitative assessment of lake
6 formation is beyond the scope of this paper; here we only illustrate qualitatively some of
7 the changes occurring on glaciers with supra-glacial or pro-glacial lakes using high-
8 resolution Corona and QuickBird imagery. For the Tista basin in Sikkim, Mool and
9 Bajracharya (2003) inventoried 266 glacier lakes covering a total area of 20 km² (3.5% of
10 the glacierized area) based on 2000 Landsat ETM+ imagery. For spatial domain 3, we
11 estimated that glacier lakes covered 1.3% of the total debris-covered glacier area, or 5.8%
12 of the area if we consider only the debris-cover (ablation) part, based on the QB/WV2
13 imagery. Salerno et al. (2012) reported similar percentage for the area of supraglacial
14 lakes, i.e. (0.3 – 2% of the overall glacierized area) for the Khumbu region. While supra-
15 glacier lakes do not cover extensive areas of the glacierized surface, they were shown to
16 increase surface ablation rates in this part of the Himalaya (Fujita and Sakai, 2014; Sakai
17 et al., 2002). It was also shown that supra-glacier lakes located at the glacier terminus
18 tend to merge to create large, fast growing pro-glacier lakes which accelerate glacier area
19 loss (Basnett et al., 2013; Bajracharya et al., 2014).

20 Some of the pro-glacier lakes in our study area are visible on Fig. 10 and 11 for the
21 northern part of spatial domain 3 (Changsang, East Langpo, Jongsang, Middle Lhonak,
22 South Lhonak). Most of these lakes are moraine-dammed lakes, considered dangerous for
23 potentially inducing glacier lake outburst flood events, and were shown to accelerate the

1 glacier area loss in the recent decades (Bajracharya et al., 2014). Fig.10 a-b shows the
2 evolution of the pro-glacier lake on N. and S. Lhonak glaciers in Sikkim, also noted in
3 Basnett et al. (2013). A closer look at a subset area (Fig. 11) shows the visible growth of
4 a pro-glacial lake for the adjacent N. Lhonak and S. Lhonak glaciers. We estimate that
5 these two glaciers retreated ~650 m and 1.3 km from 1962 to 2006, respectively. Another
6 branch of N. Lhonak glacier has wasted significantly by ~1.5 km from 1962 to 2006, and
7 a glacier outlet is now clearly visible. The northern branch of Jongsang glacier was
8 entirely covered by a supra-glacier lake in 2006, while another part shows less significant
9 rates of terminus retreat (~100 m in 44 years). A part of the Jongsang glacier shows a
10 slight “false” glacier tongue advance, most likely due to uncertainties in the mapping of
11 Corona imagery. While our purpose here is not to present glacier length changes, we note
12 that these estimates are in agreement with trends of glacier thinning and increased glacier
13 lake formation reported in this area of the Himalaya previously (Gardelle et al., 2011;
14 Basnett et al., 2013; Käab et al., 2012).

15 *[Fig. 10 and 11]*

16 *5.5 Uncertainty and limitations*

17 Inconsistencies in glacier area change estimates have been pointed out in other
18 studies, for the Himalaya and elsewhere (Racoviteanu et al., 2008b; Racoviteanu et al.,
19 2008a), and are also noted in the current study. Glacier area changes in the Himalaya are
20 heterogeneous, and depend on a variety of factors including local topography and
21 climate, so some caution should be applied when comparing rates of area changes from
22 one area to other areas, even in the same climatic zone. For example, for Sikkim, we
23 estimated a surface area change of $-88.9 \pm 5 \text{ km}^2$ (-13.5% from 1962 to 2006, or $-0.36 \pm$

1 0.17 % yr⁻¹). Other studies in this area point to contrasting results. For the same
2 geographic area, Basnett et al. (2013) reported an area change of -0.16 ± 0.10 % yr⁻¹ from
3 1989/1990 to 2009/2010), which about half of the area change in our findings. In
4 contrast, a recent study (Bahuguna et al., 2014) reported the highest rates of area change
5 (about -0.8% yr⁻¹) for the last decade, even higher than rates reported previously for the
6 western Himalaya by Kulkarni et al. (2007). We speculate that such large differences
7 might be due to errors inherent in the baseline datasets, coupled with misclassification
8 due to snow cover or debris-covered areas.

9 Glacier area changes reported for Sikkim in different studies, using a variety of
10 data, including topographic maps (Table 9), illustrates this point. For example, for
11 Sikkim, our study estimated $569 \text{ km}^2 \pm 70 \text{ km}^2$ of glacierized area in 2000 based on
12 Landsat/ASTER data. For the same time period, Mool et al. (2002) reported an area of
13 577 km^2 based on the same source imagery (Landsat ETM+) (Table 9). These two area
14 estimates differ only by 8.2 km^2 (1.4%) of our estimated area, only the number of glacier
15 differs substantially (186 glaciers in our study compared to 285 glaciers in ICIMOD
16 study), most likely due to the way in which ice masses were split and how glaciers were
17 counted. Methodology differences and inconsistencies in glacier estimates are quite
18 common in multi-temporal image analysis performed by different analysts, and were
19 previously noted in other areas of the world (Racoviteanu et al., 2009). Similarly, for the
20 1962 decade, our analysis of Corona 1962 imagery for Sikkim yielded 178 glaciers with
21 an area of $658 \pm 20 \text{ km}^2$. In a recent publication (Racoviteanu et al., 2014), we reported
22 158 glaciers with an area of 742 km^2 for the 1960s based on the Swiss topographic map.
23 The Geological Survey of India (GSI) (Sangewar and Shukla, 2009) reported 449 glaciers

1 with an area of 706 km² for the 1970s based on topographic maps. Our 1962 Corona
2 glacier inventory yields a smaller total glacier area than the one based on the topographic
3 map (84 km², or 11%) (Racoviteanu et al., 2014) or the GSI inventory based on
4 topographic maps (48.3 km², or 7 % area) (Sangewar and Shukla, 2009). We consider
5 that both of these mentioned studies overestimated the glacier area in the 1960s, perhaps
6 due to the presence of persistent snow in the source aerial imagery.

7 Subsequent glacier inventories in Sikkim also point to contradictory patterns. For
8 the 1980s, another study (Kulkarni, 1992b) reported a glacierized area of 431 km² for
9 1987/1989 based on Indian IRS-1A and Landsat data. Considering our 1962 Corona
10 inventory, this would imply an area loss of 42% since 1962 (2.1% yr⁻¹), followed by a
11 strong increase in glacier area (+33.5 %, or +3% yr⁻¹) from 1987/1988 to 2000 (based on
12 our Landsat analysis), which is undocumented in this area. We consider the 1987/89
13 estimates to be highly unreliable, given that there are no glacier surges that might induce
14 an apparent “glacier growth”. In some areas, we noted omissions of some debris-covered
15 tongues from the glacier maps, which might explain some of the differences. We consider
16 the Corona 1962 dataset to be more reliable than the inventories based on topographic
17 maps, and hence we used this dataset as baseline for comparison with the recent imagery.

18 *[Table 9]*

19 **6. Summary and outlook**

20
21 In this study we combined remote sensing data from various sensors to construct a
22 new glacier inventory for the Kanchenjunga-Sikkim region in the eastern Himalaya.
23 Based on 1962 Corona and 2006 QuickBird imagery, we found an overall negative

1 glacier surface area change of $0.5 \pm 0.2\% \text{ yr}^{-1}$ since 1962, in agreement with those noted in
2 other studies in the Himalaya. The area change rates reported here are lower than the
3 average rate of $-0.7\% \text{ yr}^{-1}$ reported in other glacierized areas of the world such as the Alps
4 (Kääb et al., 2002), the Tien Shan (Bolch, 2007) and the Peruvian Andes (Racoviteanu et
5 al., 2008a). Glaciers exhibit heterogeneous patterns of area change, depending on
6 topographic and climatic factors, more notably glacier altitude (maximum, median,
7 altitudinal range), glacier size, slope and aspect. Glacier area changes depend strongly on
8 glacier size and elevation, which is consistent with other areas in the central-eastern
9 Himalaya (Thakuri et al., 2014) or elsewhere, for example the outer tropics (Racoviteanu
10 et al., 2008a). The conclusions drawn with respect to spatial patterns in glacier
11 characteristics, glacier area loss, and their topographic and climatic dependency, include:

- 12 • We found a strong north-south gradient in terms of glacier elevations and
13 debris cover, with larger percent of area covered by debris, and higher glacier
14 elevations on the northern side for the divide, but less east to west gradient in
15 these characteristics;
- 16 • Glacier area change (loss) of $0.5\% \pm 0.2\% \text{ yr}^{-1}$ from 1962 to 2000, with some
17 differences on the eastern side of the divide (Sikkim, $-0.52\% \pm 0.2\% \text{ yr}^{-1}$)
18 versus the western part (Nepal, $-0.44\% \pm 0.2\% \text{ yr}^{-1}$);
- 19 • Higher rates of area loss for clean glaciers (-34% , or $-0.7\% \text{ yr}^{-1}$) compared to
20 debris-covered glaciers (-14.3% or -0.3 yr^{-1}) across the sub-regions on a
21 glacier-by-glacier basis;
- 22 • The amount of glacier area loss is partly controlled by a glacier's headwater
23 elevation, altitudinal range, glacier area, slope and aspect, with the largest area

- 1 loss observed for small, steep glaciers with a smaller altitudinal range and less
2 debris cover;
- 3 • Supraglacial debris cover is prevalent on the southern slopes of the Himalaya
4 (14% of the glacierized area) compared to northern slopes (2%);
 - 5 • Supraglacial lakes constitute about 6% of the debris covered area, and some of
6 these supra-glacial lakes have merged to form pro-glacial lakes;
 - 7 • While Himalayan glaciers are undoubtedly undergoing negative area change,
8 the rates of area loss noted in this study (0.5% yr⁻¹) as well as other recent
9 studies in the area (0.2 – 0.4% yr⁻¹ since the 1960s) are lower than other
10 glacierized areas worldwide (0.7% yr⁻¹).

11 The glacier area change estimates reported here are subject to uncertainties, most
12 notably with respect to early topographic maps and declassified Corona imagery,
13 therefore a considerable effort was given to minimizing errors by multiple re-iterations of
14 the glacier outlines. The understanding of the spatial patterns of glacier changes in the
15 current study is limited by: 1) a lack of a baseline elevation dataset for the 1960 to
16 compute glacier elevation changes from 1960s to 2000; 2) lack of field-based
17 measurements to validate debris-cover mapping and surface temperature distribution.
18 With respect to the latter, while surface temperature trends show a slight increase towards
19 the terminus, suggesting a thicker debris cover, the supra-glacial surface temperatures are
20 highly heterogenous and require additional investigation. A further improvement in the
21 current study will be to include the supra-glacial and pro-glacial lakes and surface
22 temperature as determinant factors for the glacier area change, perhaps in a more
23 sophisticated multivariate regression model. The glacier datasets constructed in this study

1 can be further utilized to understand the behavior of glaciers in this little-investigated
2 area of the Himalaya, particularly with respect to spatial patterns of glacier melt, and the
3 contribution of glaciers to water resources.

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17

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1 **Tables**

2

3 **Table 1.** Summary of satellite imagery used in this study

4

5

Sensor	Scene ID	Date	Spatial resolution	Image type
Corona KH4	DS009048070DA244	1962-10-25	7.5 m	Panchromatic
	DS009048070DA243			
	DS009048070DA242			
Landsat ETM+	L7CPF20001001_20001231_07	2000-12-26	15 m	Pancromatic
			28.5 m	Visible,shortwave
			90 m	Thermal infrared
ASTER	AST_L1A#003_12012000051205_072 92001131755	2000-12-01	15 m 30 m 90 m	Visible Shortwave Thermal infrared
	AST_L1A#003_12012000051214_072 92001131813	2000-12-01		
	AST_L1A_00311272001045729_0222 2004173619	2001-11-27		
	AST_L1A#00301052002050207_0130 2002193030	2002-01-05		
	AST_L1A#00301052002050216_0130 2002193046	2002-01-05		
	AST_08_00310292002045428_201012 12181710_16443	2002-10-29		
QuickBird	1010010004BD8700	2006-01-01	2.4 m	Visible, shortwave
	1010010004BB8F00	2006-01-06		
WorldView -2	102001000FBA1D00	2010-12-02	.50 m	Panchromatic
	102001000586E700	2009-12-01		

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1 **Table 2.** Spatial domains used for analysis and their characteristics
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Spatial domain	Number of glaciers	Area in 2000 (km ²)	Details
1. Landsat/ ASTER	487	1463 ± 88	The entire study area extending from Sikkim to China, as well as parts of western Bhutan and eastern Nepal
2. Corona KH4	232	777 ± 46	Glaciers of eastern Nepal (Tamor basin) and Sikkim (Zemu basin)
3. QB/WV2	50	551 ± 34	Selected glaciers from the Kanchenjunga-Sikkim area

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Table 3. Topographic zones in spatial domain 1

	N side (China)	W side (Nepal)	E side (Sikkim)	E side (Bhutan)
Mean basin elevation (m)	4931	4819	4658	4491
Mean rainfall TRMM (mm/yr)	146	805	977	383

Table 4. Topographic parameters for glaciers in spatial domain 1 and sub-regions based on 2000s Landsat/ASTER analysis. All parameters are presented on a) region-by-region and b) glacier-by-glacier basis from the SRTM DEM. Debris cover fraction is calculated as % glacier area of debris covered glaciers only.

Parameter	All	Nepal	Sikkim	Bhutan	China
<i>a) Region-wide averages</i>					
Number of glaciers	487	162	186	30	109
Glacierized area (km ²)	1463 ± 88	488 ± 29	569±34	106 ± 6	300±18
Number of debris-covered tongues	68	30	27	7	4
Debris cover area (km ²)	161±10	64±4	78±5	14±1	6±0.4
Debris cover (% total glacier area)	11	13	14	13	2
<i>b) Glacier averages</i>					
Minimum elevation (m)	4908	4760	4702	4926	5425
Median elevation (m)	5702	5715	5569	5652	5950
Maximum elevation (m)	6793	6928	6908	6685	6530
Slope (degree)	23	24	23	27	21
Aspect (degree)	177	236	131	134	180
Mean glacier size (km ²)	3	3	3	4	3
Length (km)	2	2	2	3	2
Thickness (m)	24	23	23	31	27
Debris cover fraction (%)	23	21	23	32	17

Table 5. Overall glacier area changes east vs. west for the 232 glaciers in spatial domain 2 from 1962 (Corona) to 2000 (Landsat/ASTER).

Sub-region	Area (km ²)		Area loss 1962 - 2000		
	1962	2000	km ²	%	% yr ⁻¹
Nepal	323.9±10	269.1±16	54.8±19	16.9±6	0.44±0.2
Sikkim	634.7±19	507.0±35	127.7±42	20.1±8	0.52±0.2
All spatial domain	958.7±31	776.1±47	182.5±40	19.0±4	0.50±0.1

Table 6. Glacier area change for debris-covered versus clean glaciers in spatial domain 2 from 1962 to 2000. Change is shown as percent of glacier area on a glacier-by-glacier basis.

Glacier type/ Sub-region	Sikkim		Nepal		All	
	Number of glaciers	Area loss (%)	Number of glaciers	Area loss (%)	Number of glaciers	Area loss (%)
Clean glaciers	144	34.7	53	31.6	197	33.9
Debris-covered glaciers	20	20.8	15	23.8	35	22.1
Both types	164	33.0	68	29.9	232	32.1

Table 7 Linear regression of area change on topographic and climatic variables for the 232 glaciers in the spatial domain 2

Regression	Coefficient	P-value
Glacier area	-0.47	0.0003**
Altitudinal range	-0.01	<0.001**
Minimum elevation	0.008	0.02*
Median elevation	-0.01	0.001**
Maximum elevation	-0.01	<0.001**
Percent debris	-0.004	0.83
Slope	0.47	0.01*
Aspect	0.03	0.007**
Solar radiation	0.01	0.74
Precipitation	-0.002	0.26

* Significant at the 0.05 level (2-tailed).

** Significant at the 0.01 level (2-tailed).

Table 8. Comparison of glacier parameters for clean glaciers versus debris-covered glaciers in spatial domain 2.

Parameter	Clean glaciers	Debris-covered glaciers
Area (km ²)	1.2	15.0
Area change (%)	33.9	22.1
Slope	25.8	24.5
Minimum elevation (m)	5105.6	4714.2
Median elevation (m)	5424.5	5538.9
Altitudinal range (m)	627.6	1928.6
Length (km)	1.3	6.7

Table 9 Glacier area change in Sikkim based on previous studies. The percent area change is given with respect to the 1962 Corona glacier inventory from this study.

Study	Year	Data source	Number of glaciers	Area (km ²)	Area change since 1960s	
					%	% yr ⁻¹
This study	1962	Corona KH4	178	658±20	-	-
Geological Survey of India (1999)	~1960-1970s	Indian 1:63,000 topographic maps	449	706	+7.3	+0.9
Kulkarni and Narain (1990)	1987/1989	IRS-1C satellite images	n/a	426	-35.0	-1.4
ICIMOD Mool et al, (2002)	2000	Landsat TM, IRS-1C, topographic maps	285	577	-11.4	-0.3
This study	2000	Landsat TM, ASTER	185	569±34	-13.5±6.4	-0.3±0.1

List of figures

Figure 1 Location map of the study area, with spatial domains 1, 2 and 3 corresponding to the coverage of each satellite data. The background is a Landsat ETM+ color composite (432) overlaid on shaded relief from the SRTM DEM.

Figure 2 Precipitation regime over domain 1 expressed as rain rate, from the TRMM 2B31 data averaged for the period 1998 – 2010. The graph shows the monsoon period from June to September, with a peak precipitation in July, and the influence of the northeastern monsoon during the winter/early spring (January-March).

Figure 3 Spatial patterns in TRMM annual precipitation rate derived from the 3B43 dataset for spatial domain 1. Also shown are the four main basins delineated based on topography and watershed functions. 2000 glacier outlines are shown in black. We note several cells of high precipitation at high altitudes over the Kanchenjunga summits and parts of Tibet, most likely errors in TRMM data.

Figure 4 Frequency distribution of glacier parameters for the 487 glaciers in spatial domain 1 based on Landsat/ASTER analysis: a) area; b) slope; c) length and d) thickness. Glaciers smaller than 10 km² in area, < 2 km in length and <30 m thickness are prevalent, with an average slope of 23°.

Figure 5 Aspect frequency distribution of the 487 glaciers in spatial domain 1 based on Landsat/ASTER analysis. On average, glaciers in this area are preferentially oriented towards NW (300°) and NE (60°).

Figure 6 Spatial patterns in glacier median elevation derived from 2000 Landsat/ASTER outlines and SRTM DEM.

Figure 7 Spatial patterns in glacier area change derived from 1962 Corona and 2000

Figure 8 Dependency of glacier area change 1962 – 2000 on a) glacier altitudinal range (maximum – minimum elevation) and b) glacier area. Debris-covered glaciers are shown as grey solid circles; clean glaciers are shown as black solid triangles.

Figure 9 Distribution of surface temperatures along longitudinal for selected debris-covered tongues in spatial domain 2. Temperatures are extracted from ASTER kinetic temperature data (AST08) from the Oct 29th, 2002 image.

Figure 10 Surface temperature distribution along longitudinal transects from selected glaciers. Distance is measured from the upper part of the debris-covered area down glacier to the terminus. Labels point to: A- Kanchenjunga glacier, B- Yalung glacier and C- Zemu glacier.

Figure 11 Area changes for some glaciers in the Zema Chhu basin Sikkim from 1962 to 2006: a) 1962 Corona-based glacier outlines (in blue) and b) 2006 QB glacier outlines (in orange).

Figure 12 Close-up view of glacier area changes around the N. and S. Lhonak glaciers 1962 to 2006, showing changes in the pro-glacial lakes.

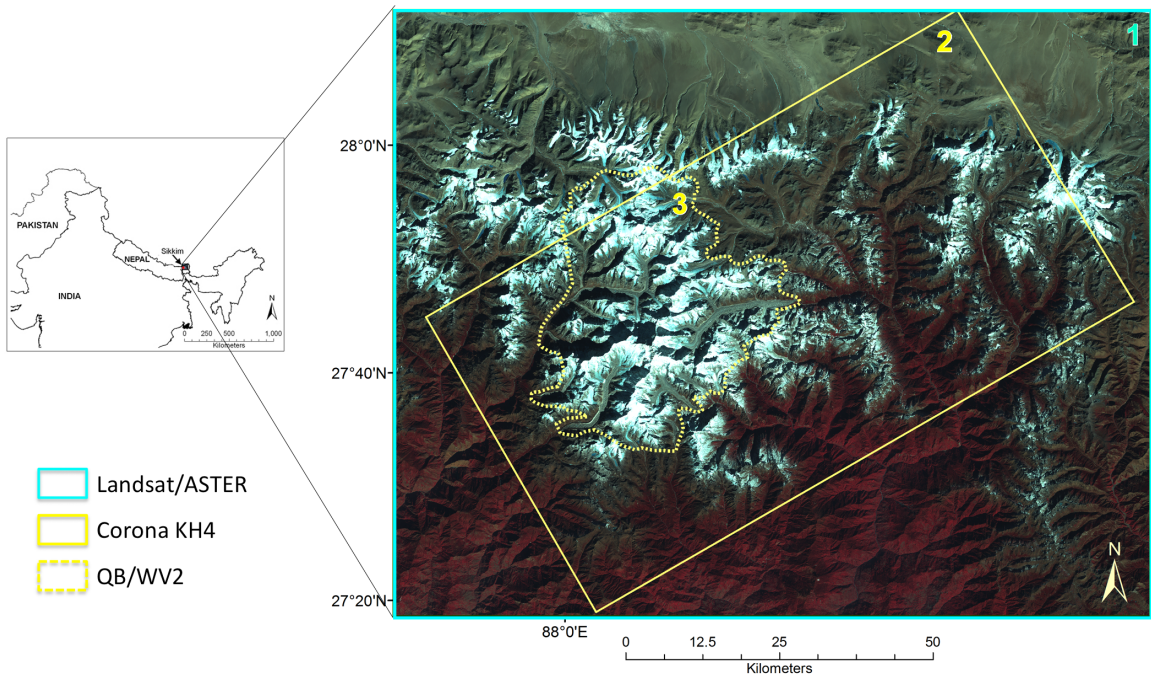


Figure 1

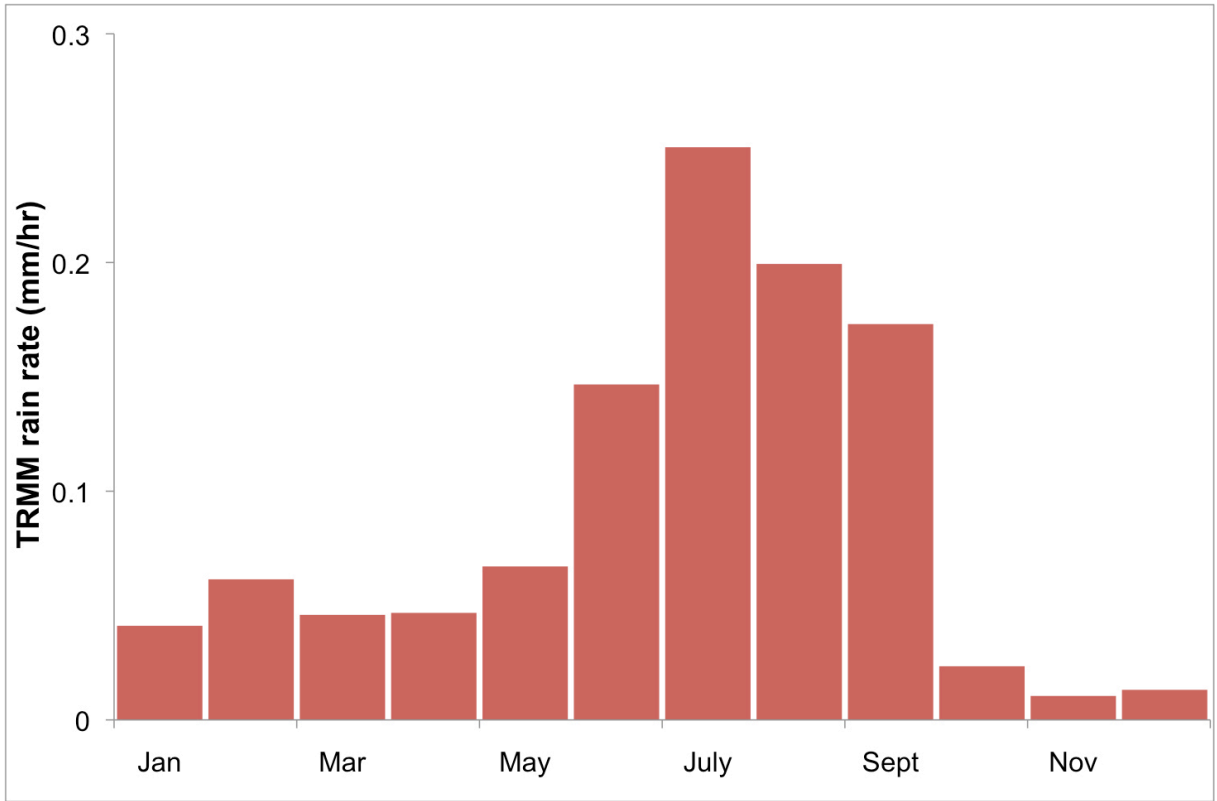


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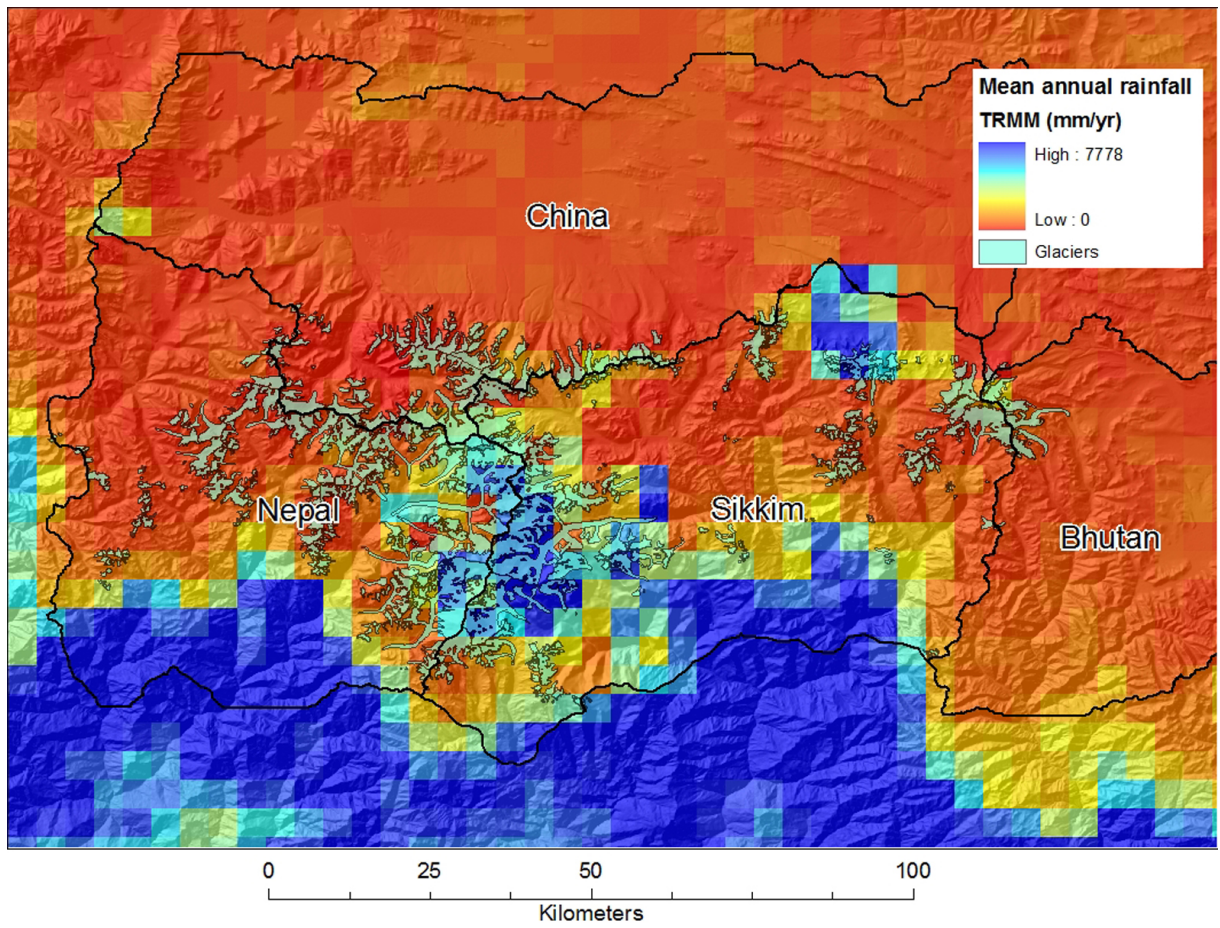


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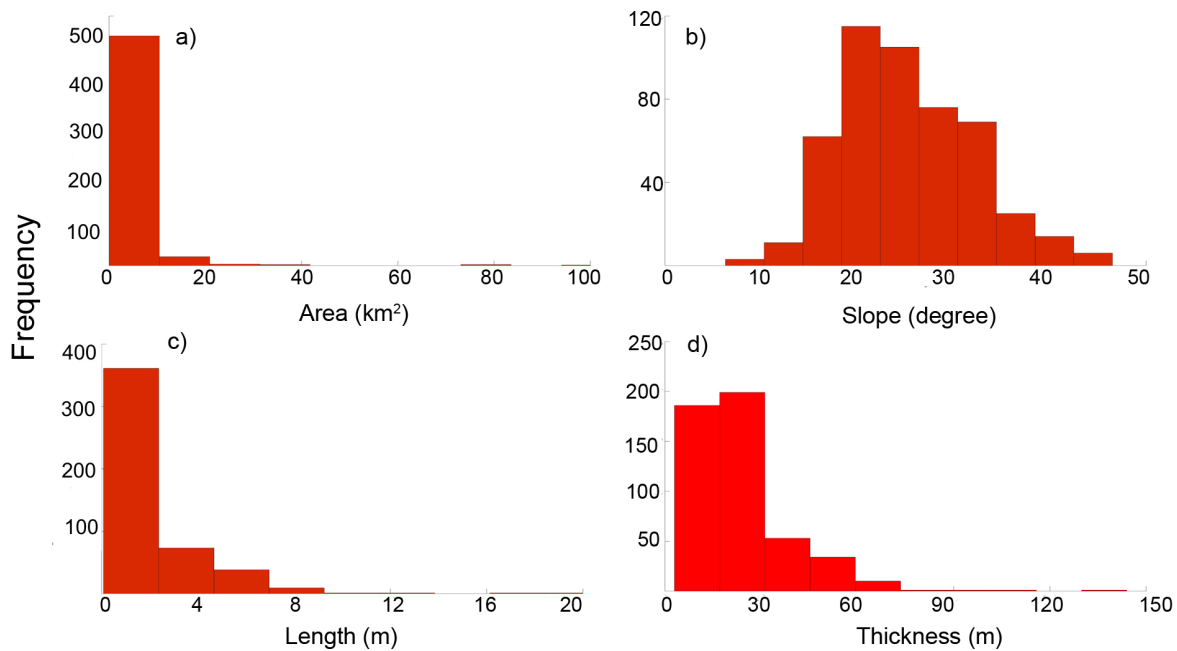


Figure 4

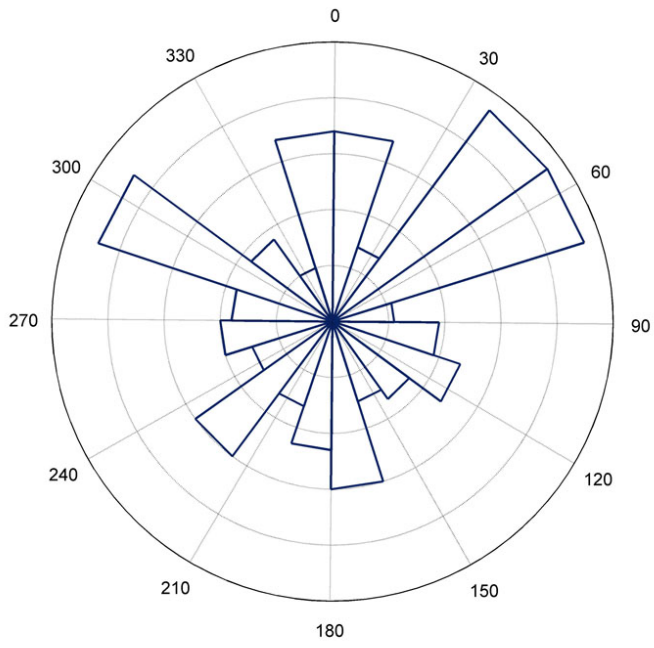


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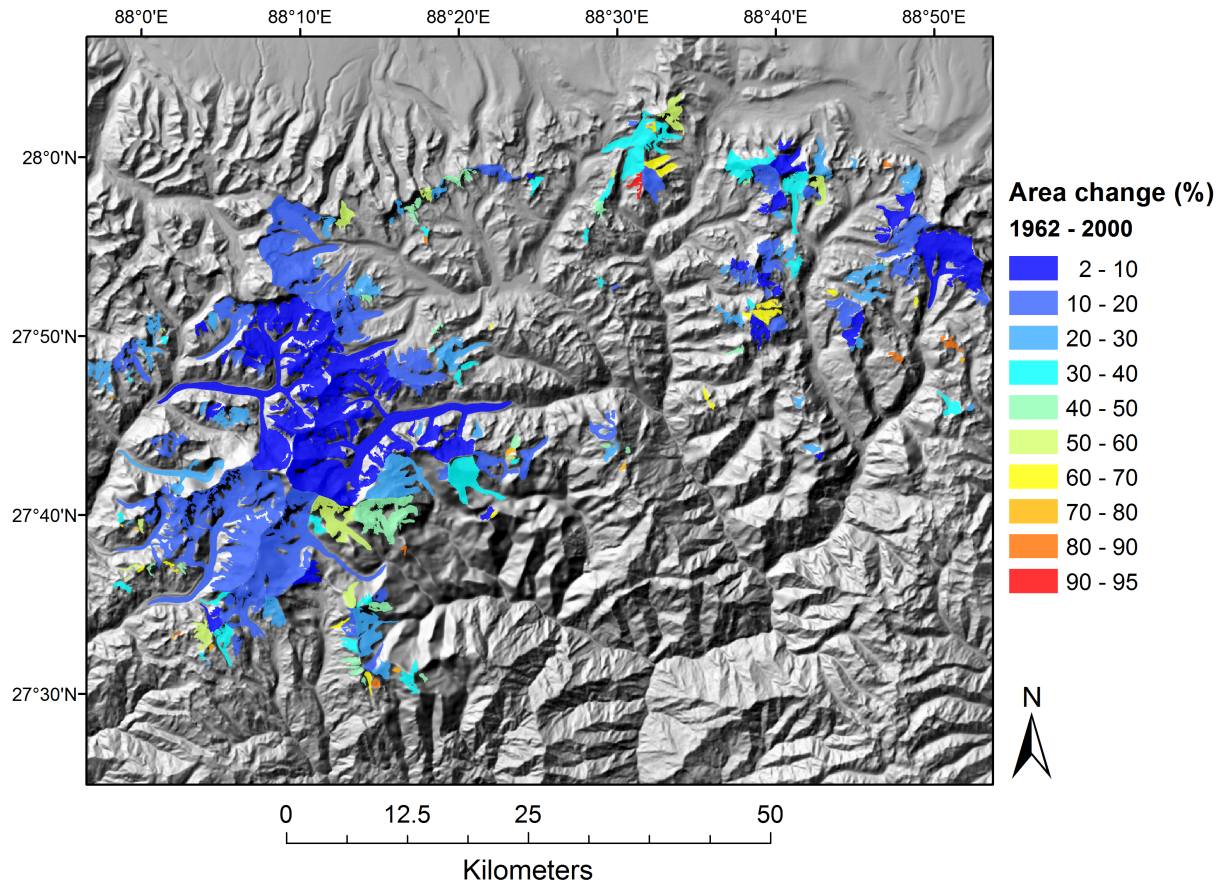


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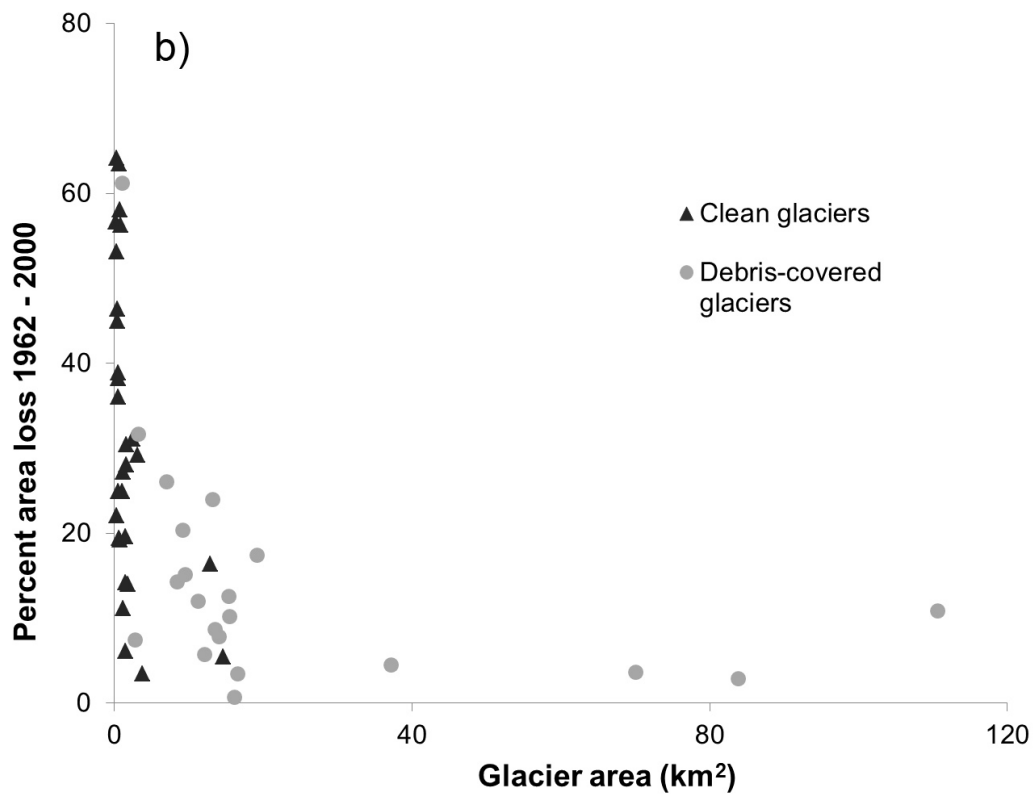
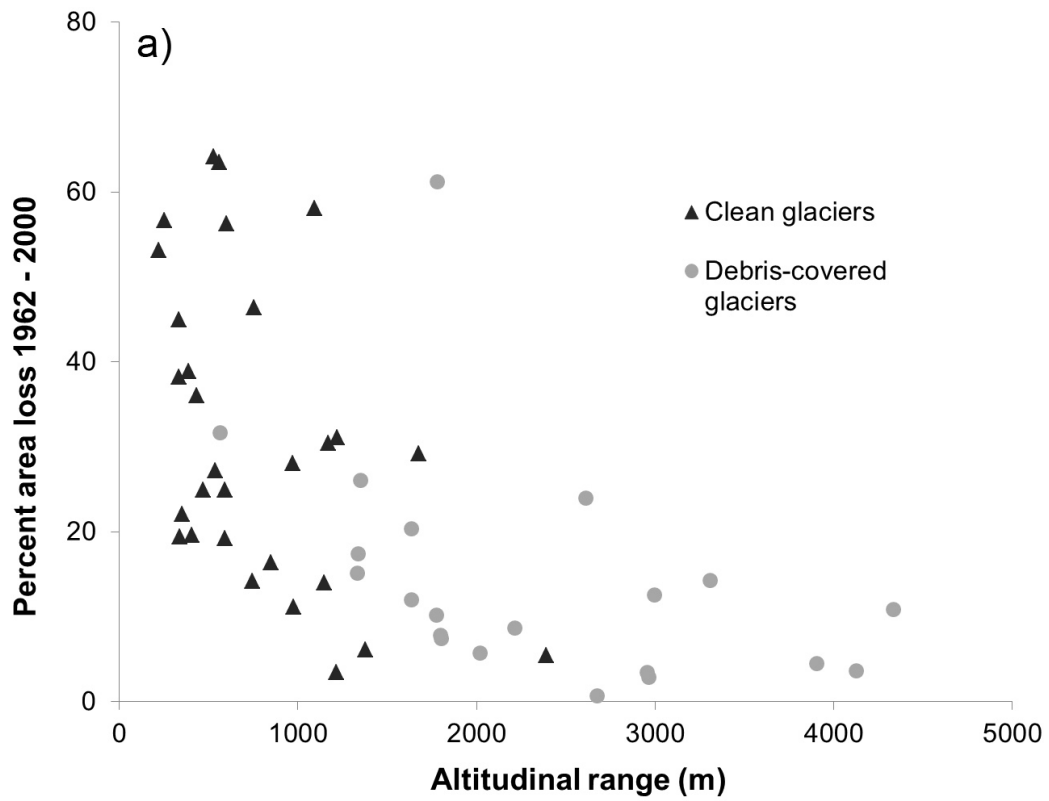


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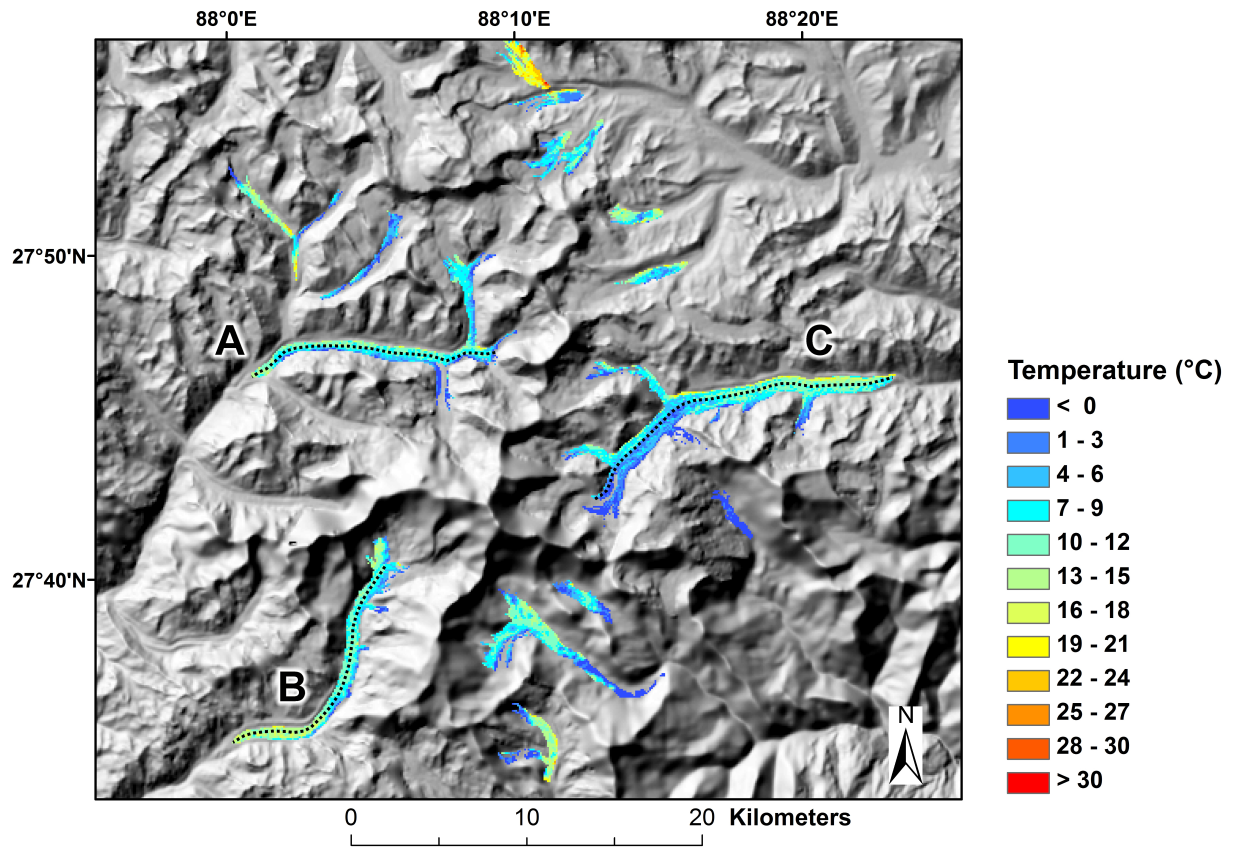


Fig. 8

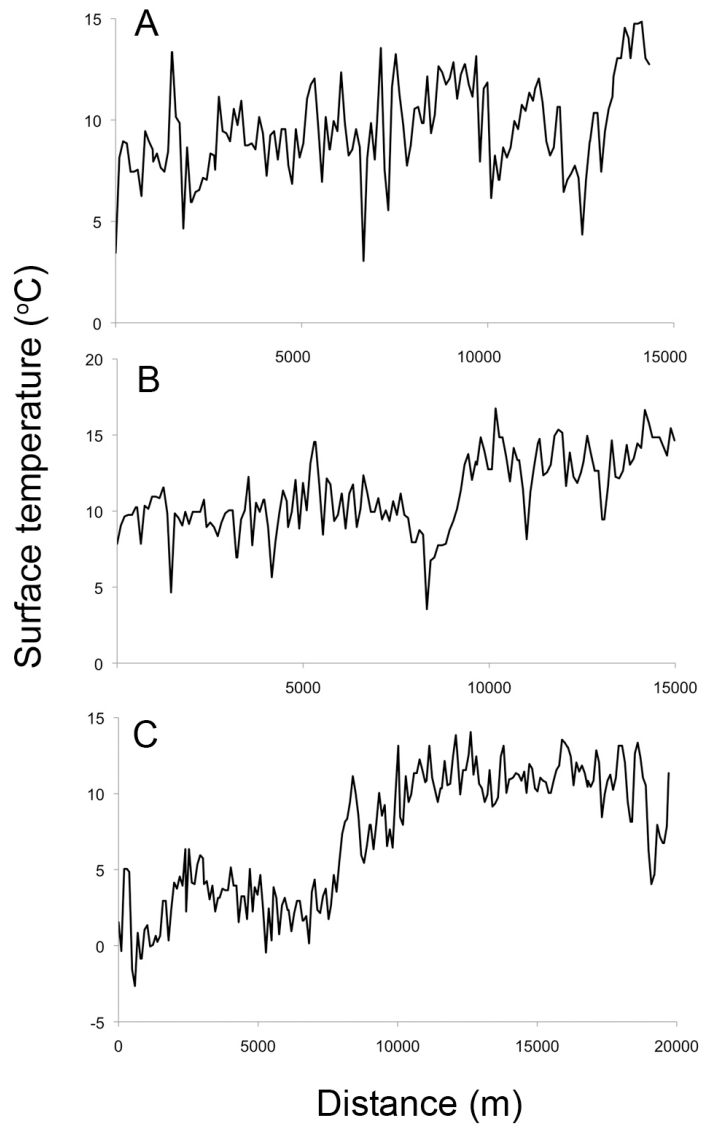


Figure 9

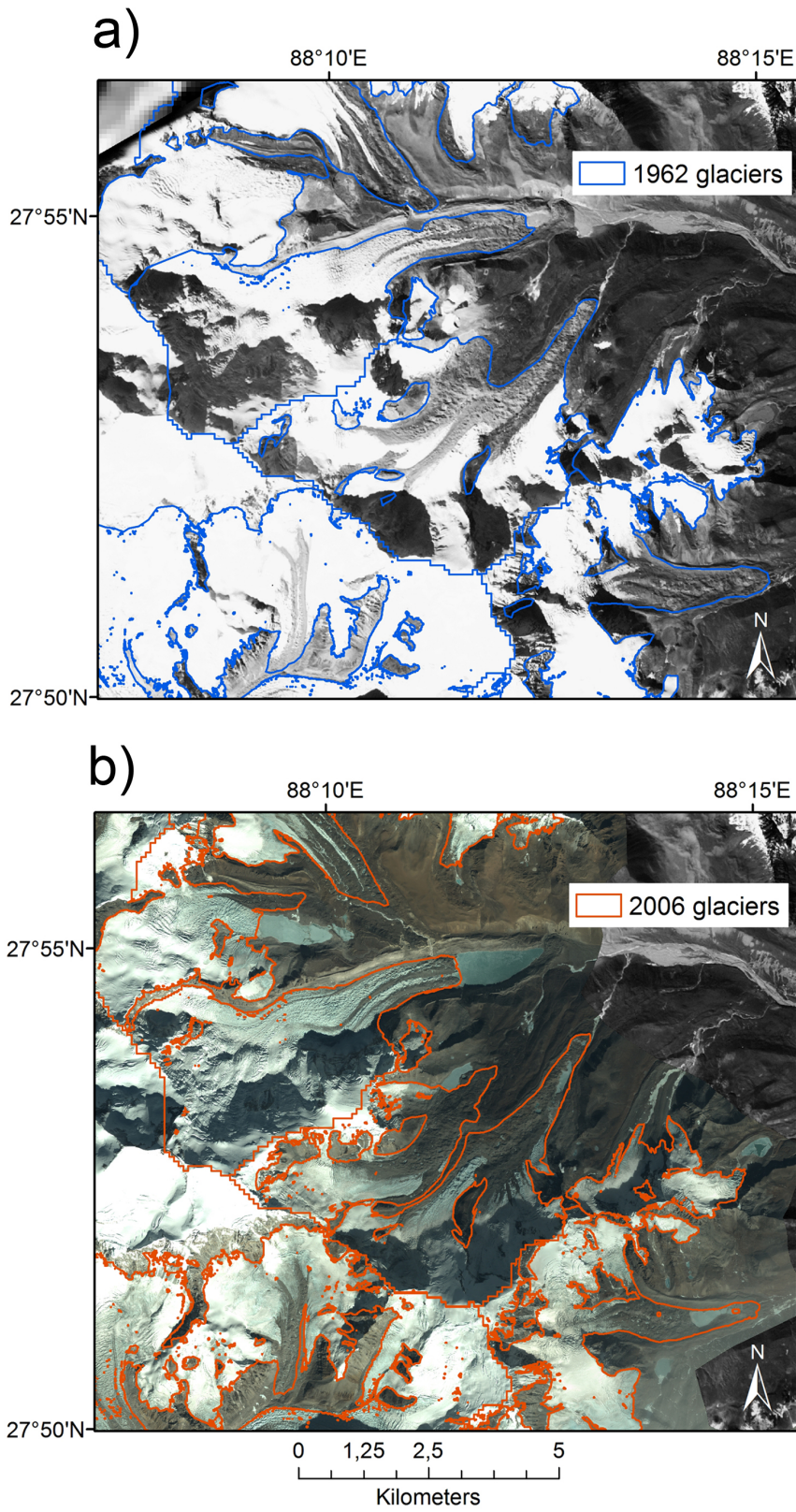


Figure 10

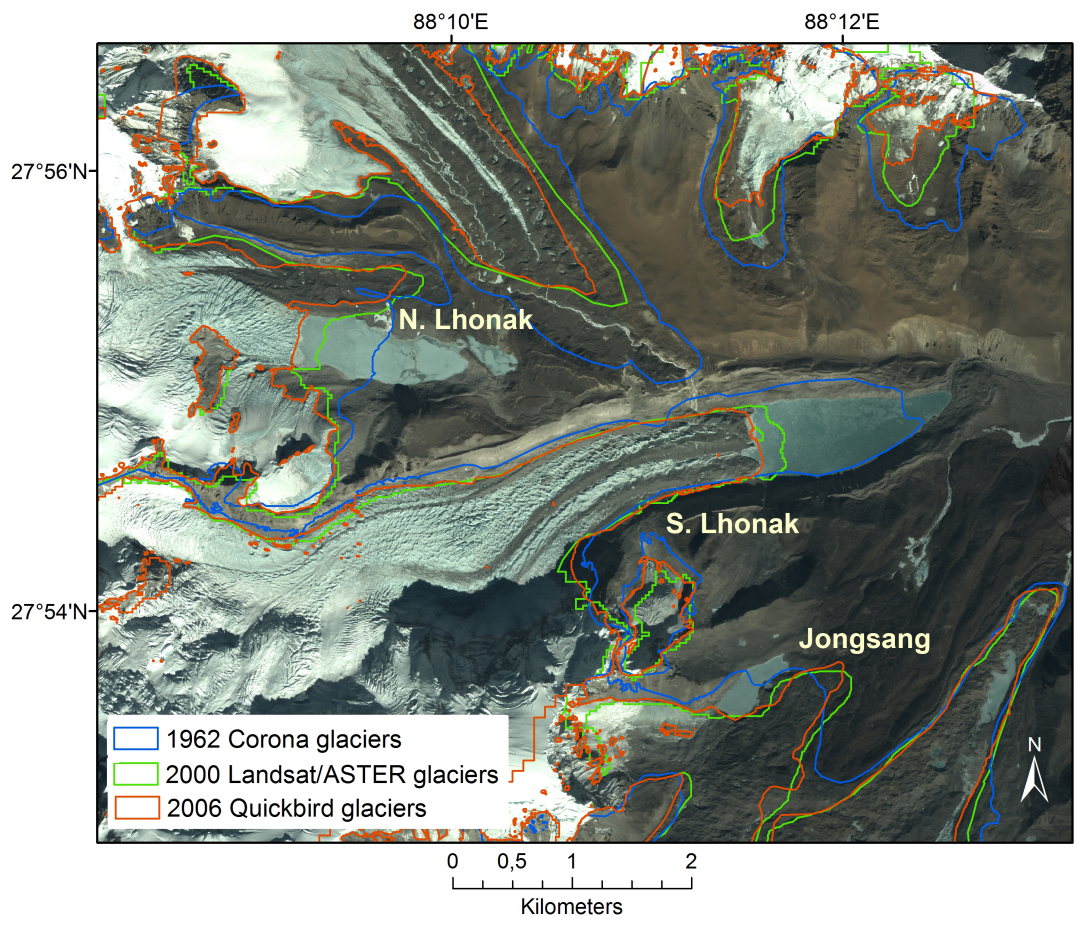


Figure 11