

Spatial patterns in glacier area changes from 1962 to 2006 in the Kangchenjunga-Sikkim area, eastern Himalaya

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Abstract

This study presents spatial patterns in glacier surface area changes in the Kangchenjunga-Sikkim area of the eastern Himalaya: Nepal (Tamor basin), India (Zemu basin in Sikkim), and parts of China and Bhutan at multiple spatial scales. We combined Corona KH4 and topographic data with more recent remote-sensing data from Landsat 7 Enhanced Thematic Mapper Plus (ETM+), the Advanced Spaceborne Thermal Emission Radiometer (ASTER), QuickBird (QB) and WorldView-2 (WV2) sensors to construct new glacier datasets for this data-scarce area of the Himalaya. We evaluated spatial patterns in glacier surface area changes over almost five decades based on high-resolution datasets (1962 Corona KH4 and 2006 QB data). We investigated the dependency of glacier surface area changes on glacier topography (elevation, slope, aspect, percent debris cover) and climate (solar radiation and precipitation). We also investigated the spatial distribution of supra-glacier temperatures using for several debris-covered glacier tongues based on ASTER data.

Glacier mapping from 2000 Landsat ASTER yielded $1,463 \pm 88 \text{ km}^2$ total glacierized area, of which $569 \pm 34 \text{ km}^2$ was located in Sikkim. Overall, supraglacial debris covered 11% of the total glacierized area, with regional differences north and south of the topographic divide, and supraglacial lakes covered about 5.8% of the debris-covered glacier area. Based on high-resolution imagery, we estimated an area change of $-0.24 \pm 0.08\% \text{ yr}^{-1}$ from 1962 to 2006,

1 with higher rates of area loss for clean glaciers (-34.6%, or -0.7% yr⁻¹) compared to debris-
2 covered glaciers (-14.3% or -0.3 yr⁻¹). Some debris covered glacier tongues experienced less
3 area changes, and appear to have stable termini. Glaciers in the Kangchenjunga-Sikkim area
4 are losing area at rates similar to those reported for the last decades in the Himalaya, but
5 individual glacier rates of change are heterogenous, and vary within the study area with
6 respect to local topography, percent debris cover or glacier elevations.

7

8 **1. Introduction**

9

10 Himalayan glaciers have generated a lot of concern in the last few years, particularly with
11 respect to glacier area and elevation changes and their consequences for the regional water
12 cycle (Immerzeel et al. 2010; Kaser et al. 2010; Immerzeel et al. 2012; Racoviteanu et al.
13 2013a). Remote sensing techniques have helped improve estimates of glacier area changes
14 (Bajracharya et al. 2007; Bolch 2007; Bolch et al. 2008a; Bhambri et al. 2010; Kamp et al.
15 2011), glacier lake changes (Wessels et al. 2002; Bajracharya et al. 2007; Bolch et al. 2008b;
16 Gardelle et al. 2011) and region-wide glacier mass balance (Berthier et al. 2007; Bolch et al.
17 2011; Kääb et al. 2012; Gardelle et al. 2013), but significant gaps do remain. The new global
18 Randolph inventory v.4 (Pfeffer et al. 2014) provides a global dataset of glacier outlines
19 intended for large-scale studies; however, in some regions the quality varies, and the outlines
20 may not be suitable for detailed regional analysis of glacier parameters. Regional glacier
21 inventories have been constructed recently, for example for the western part of the Himalaya
22 (e.g. Bhambri et al. 2011; Kamp et al. 2011; Frey et al. 2012), but only a few are available for
23 the eastern extremity of the Himalaya (e.g. Bahuguna 2001; Krishna 2005; Bajracharya and
24 Shrestha 2011; Basnett et al. 2013). The use of remote sensing for glacier mapping in this
25 area is limited by frequent cloud cover and sensor saturation due to unsuitable gain settings

1 and the persistence of seasonal snow, which hampers quality satellite image acquisition.
2 Furthermore, this area has very limited baseline topographic data needed for comparison with
3 recent satellite-based data, as discussed in detail in Bhambri and Bolch (2009a). The earliest
4 Indian glacier maps date from topographic surveys conducted by expeditions in the mid-
5 nineteenth century (Mason 1954), but these are limited to a few glaciers. The Geologic
6 Survey of India (GSI) inventory based on 1970s Survey of India maps (Shanker 2001;
7 Sangewar and Shukla 2009) is not in the public domain. For eastern Nepal, 1970's
8 topographic maps from Survey of India 1:63,000 scale are available, but their accuracy is not
9 known with certainty. Given these limitations, declassified Corona imagery from the 1960s
10 and 1970's has increasingly been used to develop baseline glacier datasets, such as in the
11 Tien Shan (Narama et al. 2007), Nepal Himalaya (Bolch et al. 2008a) and parts of Sikkim
12 Himalaya (Raj et al. 2013).

13 The topographic and climatic controls on glacier surface area and elevation changes across
14 the Himalaya has received increasing attention in recent studies, particularly with respect to
15 the role of debris cover (Bolch et al. 2008a; Salerno et al. 2008; Basnett et al. 2013; Thakuri
16 et al. 2014). Some studies have characterized the small-scale glacier surface topography
17 using field-based surveys (Iwata et al. 2000; Sakai and Fujita 2010; Zhang et al. 2011). Some
18 understanding of these patterns at mountain-range scale, however, is starting to emerge
19 (Scherler et al. 2011; Bolch et al. 2012; Gardelle et al. 2013; Racoviteanu et al. 2014).
20 Overall glacier shrinkage and mass loss has been documented throughout the Himalaya,
21 concomitantly with an increase in debris cover (Bolch et al. 2011; Nuimura et al. 2012).
22 However, the influence of debris cover on glacier mass balance remains debatable (Scherler
23 et al. 2011; Kääb et al. 2012), and modeling of melt under the debris cover is subject to
24 uncertainties (Mihalcea et al. 2008a; Mihalcea et al. 2008b; Zhang et al. 2011; Foster et al.
25 2012). Updated, accurate glacier inventories are continuously needed to understand the

1 climatic, topographic and glacier parameters that govern glacier fluctuations across the
2 Himalaya.

3 In this study, we constructed updated glacier inventories for this poorly investigated area
4 of the eastern Himalaya from multi-temporal satellite data: Corona KH4, Landsat 7 Enhanced
5 Thematic Mapper Plus (ETM+), the Advanced Spaceborne Thermal Emission Radiometer
6 (ASTER), QuickBird (QB) and WorldView-2 (WV2) sensors along with elevation data from
7 the Shuttle Radar Topography Mission (SRTM). While the main goal is to provide estimates
8 of the current glacier distribution and parameters in this area, we also aim at understanding
9 spatial patterns in glacier surface area changes in the last decades and their dependence on
10 topographic and climatic factors. Particular emphasis is placed on surface characteristics of
11 debris-covered glacier tongues, using ASTER-derived surface temperature as a proxy. These
12 updated glacier datasets help fill a gap in regional glacier inventories and may provide a
13 baseline for future mass balance investigations where accurate glacier outlines are needed.

14 **2. Study area**

15 The study area encompasses glaciers in the eastern Himalaya ($27^{\circ} 04' 52''$ N to $28^{\circ} 08'$
16 $26''$ N latitude and $88^{\circ} 00' 57''$ E to $88^{\circ} 55' 50''$ E longitude), located on either side of the
17 border between Nepal and India in the Kangchenjunga-Sikkim area (Fig. 1). Based on SRTM
18 data, relief in this area ranges from 300 m at the bottom of the valleys to 8,598 m (Mt.
19 Kanchenjunga), Valley glaciers cover about 68% of the glacierized area, mountain glaciers
20 cover 28%, and the remaining are cirque glaciers and aprons (Mool et al. 2002). The glacier
21 ablation area is typically covered by heavy debris-cover originating from rockfall on the steep
22 slopes (Mool et al. 2002), reaching up to a thickness of several meters at the glacier termini
23 (Kayastha et al. 2000). The eastern part of this area constitutes the Sikkim province of India,
24 and the western part is located in eastern Nepal, and encompasses the Tamor and parts of
25 Arun basin. Climatically, this area of the Himalaya is dominated by the South Asian summer

1 monsoon circulation system (Bhatt and Nakamura 2005) caused by the inflow of moist air
2 from the Bay of Bengal to the Indian subcontinent during the summer (Yanai et al. 1992;
3 Benn and Owen 1998). The Himalaya and Tibetan plateau (HTP) acts as a barrier to the
4 monsoon winds, bringing about 77% of precipitation on the south slopes of the Himalaya
5 during the summer months (May to September) (Fig. 2). This climatic particularity causes a
6 “summer-accumulation” glacier regime type, with accumulation and ablation occurring
7 simultaneously in the summer (Ageta and Higuchi 1984). In Sikkim, rainfall amounts range
8 from 500 to 5000 mm per year, with annual averages of 3,580 mm recorded at Gangtok
9 station (1,812 m) (1951 to 1980) (IMD 1980), and 164 rainy days per year (Nandy et al.
10 2006). Mean minimum and maximum daily temperatures at Gangtok station were reported as
11 11.3°C and 19.8°C, with an average of 15.5°C based on the same observation record (IMD
12 1980).

13 *[Fig. 1 – 2]*
14

15 **3. Methodology**

16 *3.1. Data sources*

17 Satellite imagery 18

19 Remote sensing datasets used in this study are summarized in Table 1, and included: 1)
20 baseline remote sensing data for the 1960s decade from Corona declassified imagery (year
21 1962); 2) “reference” datasets for 2000s from Landsat ETM+ and ASTER and 3) high-
22 resolution imagery from QB (2006) and WV (2009), all described below.

23 (1) Corona KH4 scenes (1962) were obtained from the US Geological Survey EROS Data
24 Center (USGS-EROS 1996). The Corona KH4 system was equipped with two panoramic
25 cameras (forward-looking and rear-looking with 30 degrees separation angle), and acquired
26 imagery from February 1962 to December 1963 (Dashora et al. 2007). We chose images

1 from the end of the ablation season (October/November in this part of the Himalaya), suitable
2 for glaciologic purposes. Six Corona stripes were scanned at 7 microns by USGS from the
3 original film strips, with a reported nominal ground resolution of 7.62 m (Dashora et al.
4 2007). Corona images are known to contain significant geometric distortions due cross-path
5 panoramic scanning. The Frame Ephemeris Camera and Orbital Data (FECOD)
6 camera/spacecraft parameters (roll, pitch, yaw, speed, altitude, azimuth, sun angle and film
7 scanning rate) for Corona missions, needed to construct a camera model and to correct these
8 distortions, are not easily available. To orthorectify the scenes, we defined a non-metric
9 camera model in ERDAS Leica Photogrammetric Suite (LPS), with focal length, air photo
10 scale and flight altitude extracted from the declassified documentation of the KH4 mission
11 (Dashora et al. 2007). We used the bundle block adjustment procedure in LPS to
12 simultaneously estimate the orientation of all the CORONA stripes on the basis of 117
13 ground control points (GCPs) extracted from the panchromatic band of the 2000 Landsat
14 ETM+ image (15 m spatial resolution). GCPs were identified on the Landsat image on non-
15 glacierized terrain including moraines, river crossings, and outwash areas. Tie points (TPs)
16 were manually digitized on overlapping Corona strips and on the Landsat image. Elevations
17 were extracted from the SRTM DEM v.4 (CGIAR-CSI 2004) and were used to correct effects
18 of topographic terrain displacements. The Corona stripes were mosaicked in ERDAS LPS to
19 produce the final orthorectified image, with a horizontal accuracy (RMSE_{x,y}) of the bundle
20 block adjustment of 10.5 m.

21 (2) The orthorectified Landsat ETM+ scene from December 2000, obtained from the
22 USGS Eros Data Center was the main dataset for the updated glacier inventory. The Landsat
23 ETM+ scene has seven spectral channels at 30 m spatial resolution, a thermal channel at 60
24 m and a panchromatic channel at 15 m, a revisit time of 16 days and a large swath width (185
25 km). In addition, six orthorectified ASTER products (2000 to 2002) were obtained at no cost

1 through the Global Land Ice Monitoring from Space (GLIMS) project (Raup et al. 2007). The
2 ASTER scenes have 3 channels in the visible wavelengths (15 m spatial resolution), 3
3 channels in the short-wave infrared at 30 m, and four thermal channels at 90 m, a swath width
4 of 60 km and a revisit time of 16 days. Images were selected at the end of the ablation season
5 for minimal snow, and had little or no clouds. Five of these scenes were used for on-screen
6 manual corrections of the Landsat-based glacier outlines in challenging areas where shadows
7 or clouds obstructed the view of the glaciers. In addition, the surface kinetic temperature
8 product (AST08) product from the November 27th, 2001 ASTER scene was used for clean ice
9 delineation of debris cover along with topographic information using a decision-tree
10 algorithm (Racoviteanu and Williams 2012). The October 29th, 2002 scene, covering the
11 Kanchenjunga-Sikkim area east and west of the topographic divide, was used to investigate
12 the spatial distribution of surface temperature over selected debris covered tongues.

13 (3) Two QB scenes from January 2006 were obtained from Digital Globe as ortho-ready
14 standard imagery (radiometrically calibrated and corrected for sensor and platform
15 distortions) (Digital_Globe 2007). These scenes, covering an area of 1,107 km² were well-
16 contrasted and mostly snow-free outside the glaciers. We orthorectified these scenes in
17 ERDAS Imagine Leica Photogrammetry Suite (LPS) using Rational Polynomial Coefficients
18 (RPCs) provided by Digital Globe and the SRTM DEM, and mosaicked them in ERDAS
19 Imagine. The scenes were resampled to 3 m-pixel size during the orthorectification process
20 using the cubic convolution method suitable for continuous raster data, in order to reduce
21 disk space and processing time. One WorldView-2 (WV2) panchromatic, ortho-ready scene
22 at 50 cm spatial resolution from Dec 02, 2010 was also obtained to cover the terminus of
23 Zemu glacier, which was missing from the QB extent. All datasets were registered to UTM
24 projection zone 45N, with elevations referenced to the WGS84 datum.

25 *[Table 1]*

Elevation datasets

Two elevation datasets were used in this study:

(1) The hydrologically-sound, void-filled CGIAR SRTM DEM (90 m spatial resolution) (CGIAR-CSI 2004) was used to extract glacier parameters for 2000. The SRTM dataset is known to have biases on steep slopes and at higher elevations (Berthier et al. 2006; Fujita et al. 2008; Nuth and Kääb 2011), as well as due to radar penetration on snow (Gardelle et al. 2012). For this area, the vertical accuracy of the SRTM DEM, calculated as root mean square (RMSE_z) with respect to 25 field-based GCPs, was $31 \text{ m} \pm 10 \text{ m}$. The GCPs were obtained in the field on non-glacierized terrain including roads and bare land outside the glaciers using a Trimble Geoexplorer XE series.

(2) The Swiss topographic map (1:150,000 scale), compiled from Survey of India maps from the 1960s, published by the Swiss Foundation for Alpine Research was used for manual corrections of the 1962 Corona glacier outlines. The exact month or year of each quadrant, or of the original air photos is not known with certainty because the original large-scale Indian topographic maps at this scale are restricted within 100 km of the Indian border, and are therefore inaccessible (Srikantia 2000; Survey_of_India 2005).

3.2 Analysis extents

We defined two spatial domains for our study area (Fig. 1). *Spatial domain 1* includes the Sikkim province of India, eastern Nepal (Tamor and Arun basins), as well as parts of Bhutan and China (Table 2).

[Table 2]

This spatial domain was split into four sub-regions on the basis of east-west and north-south climate/topographic/political barriers, as shown in Fig. 3. Rainfall averages from the Tropical Rainfall Measuring Mission (TRMM) data 2B31 product (Bhatt and Nakamura

1 2005; Bookhagen and Burbank 2006) were used to characterize the sub-regions climatically.
2 The dataset contains rainfall estimates calibrated with ground-control stations derived from
3 local and global gauge stations (Bookhagen and Burbank 2006) with a spatial resolution of
4 0.4 degree, or ~5 km. Given the well-known biases in the TRMM data (Bookhagen and
5 Burbank 2006; Andermann et al. 2011; Palazzi et al. 2013), here we are not concerned with
6 the absolute values of gridded precipitation, but only with characterizing the sub-regions in
7 our study area using relative rainfall values. TRMM data integrated over 10 years (1998 to
8 2007) show differences in precipitation patterns among the four regions, and justifies our
9 choice of spatial domains (Table 3). The eastern side of the study area (Sikkim) receives
10 higher precipitation amounts than the western side (Nepal) (977 mm/yr versus 805 mm/yr).
11 There is a pronounced north-south gradient in precipitation, with the lowest amount of
12 precipitation noticeable on the China side (146 mm/yr) (Table 3).

13 ***[Fig. 3 and Table 3]***

14 *Spatial domain 2* is a subset of spatial domain 1, and represents 50 glaciers from the
15 Tamor basin (Nepal) and Zemu basin (Sikkim, India), located on the southern slopes of the
16 Himalaya and comprising of clean as well as debris-covered glaciers. Recent studies
17 (Thakuri et al. 2014) pointed out the variability in glacier terminus and elevation changes
18 between the northern and southern slopes of the Himalaya. To facilitate comparison with this
19 study and others from the same climatic area, we excluded glaciers from China and Bhutan
20 from the glacier area change analysis. Glacier surface area changes were computed between
21 two time steps: the 1960s decade (represented by Corona imagery), and 2000s decade
22 (represented by QB and WV2). The dependence of glacier area changes on climatic and
23 topographic variables extracted from 2000 elevation data was investigated using correlation
24 analysis.

3.3 Glacier delineation and analysis

For the 1960s, clean glacier outlines were extracted from the panchromatic Corona imagery by thresholding the digital numbers ($DN > 200 = \text{snow/ice}$), chosen based on visual interpretation. Debris-covered glacier tongues were delineated manually on the basis of lateral moraines and other visual clues such as supra-glacial lakes. A 5x5 median filter was used to remove noise (isolated pixels from snowfields or internal rocks), as recommended in other studies (Andreassen et al. 2008; Racoviteanu et al. 2009). Ice polygons with area $< 0.02 \text{ km}^2$ were not considered valid glaciers and were excluded from the analysis. Manual corrections were applied subsequently on the basis of the topographic map using on-screen digitizing in areas of poor contrast or transient snow/clouds, which obstructed the view of glaciers.

For the 2000s, glaciers were delineated from the Landsat ETM+ scene using the Normalized Difference Snow Index (NDSI) (Hall et al. 1995), with a threshold of 0.7 ($NDSI > 0.7 = \text{snow/ice}$). The NDSI algorithm relies on the high reflectivity of snow and ice in the visible to near infrared (VNIR) wavelengths ($0.4 - 1.2 \mu\text{m}$), compared to their low reflectivity in the shortwave infrared (SWIR, $1.4 - 2.5 \mu\text{m}$) (Dozier 1989; Rees 2003). Compared to other band ratios (Landsat $\frac{3}{4}$ and $\frac{3}{5}$), the NDSI glacier map was cleaner and less noisy and was therefore preferred (Racoviteanu et al. 2008b). A 5x5 median filter was used here as well to remove remaining noise, and a few areas were adjusted manually on the basis of the ASTER images, notably frozen lakes misclassified as snow/ice, and some glaciers underneath low clouds in the southern part of the image. Some transient snow persisting in the deep shadowed valleys was manually removed from the glacier outlines on the basis of the topographic map. Debris-covered glacier tongues were delineated using multispectral data (band ratios, surface kinetic temperature and texture) from the Nov 27,

1 2001 ASTER scene combined with topographic variables in a decision tree, as described in
2 Racoviteanu and Williams (2012).

3 For the QB (2006) image, clean ice surfaces were delineated using band ratios $\frac{3}{4}$, then
4 isodata clustering with a threshold of 1.07 (snow/ice > 1.07), and a majority filter of 7x7 to
5 remove noise. Debris-covered tongues for this dataset were delineated manually on the basis
6 of supraglacial features (lakes and ice walls), along with lateral and frontal moraines visible
7 on the high resolution images. We also mapped supraglacial lakes from this high-resolution
8 data based on band ratios, along with texture analysis.

9 For all inventories in spatial domain 1, ice masses were separated into glaciers on the
10 basis of the SRTM DEM, using hydrologic functions in an algorithm developed by Manley
11 (2008), described in Racoviteanu et al. (2009). Glacier area, terminus elevation, maximum
12 and median elevation, average slope angle and aspect were extracted on a glacier-by-glacier
13 basis using zonal functions. Average glacier thickness were calculated from mass turnover
14 principles and ice flow mechanics Huss and Farinotti (2011), based on the approach of
15 Farinotti (2009). Their method uses glacier outlines and the SRTM DEM to derive thickness
16 estimates iteratively based on Glenn's flow law and a shape factor (Paterson 1994). For
17 simplicity and consistency for change analysis, we assumed no shift in the ice divides over
18 the period of analysis, and excluded all nunataks and snow-free steep rock walls from the
19 glacier area calculations. Bodies of ice above the bergschrund were considered part of the
20 glacier (Raup and Khalsa 2007; Racoviteanu et al. 2009). Glacier area changes (1962 to
21 2000) and their dependency on topographic and climatic variables were calculated on a
22 glacier-by-glacier basis for the 50 glaciers in spatial domain 2.

23 *3.4 Uncertainty estimates*

24
25 Glacier outlines derived from remote sensing data at various spatial and temporal
26 resolution are known to be subject to various degrees of uncertainty, as discussed in recent

1 studies (Racoviteanu et al. 2009; Paul et al. 2013). This becomes an important issue in glacier
2 change analysis, where errors from various data sources accumulate at each processing step.
3 The main sources of uncertainty here are: 1) errors inherent in the source data (geolocation
4 errors, sensor limitations and ambiguity in the satellite signal), 2) image classification errors
5 (positional errors and/or errors due to the semi-automated glacier mapping method); 3)
6 conceptual errors associated with the definition of a glacier, including mapping of ice divides,
7 mixed pixels of snow and clouds, and internal rock differences, all described in detail in
8 Racoviteanu et al. (2009). Here we ignored errors due to atmospheric and topographic
9 effects, resampling techniques, image co-registration, spectral mixing or raster to vector
10 conversion. The error in glacier surface area change (E) was computed from the errors due to
11 rock inconsistencies (E_{rock}) and classification errors ($E_{classif}$) embedded in each dataset as the
12 RMSE, explained below:

$$13 \quad E = \sqrt{E_{rock}^2 + E_{classif}^2}$$

14 (1) *Geolocation errors*: The orthorectification process of the 1962 Corona yielded a
15 RMSE_{x,y} error of ± 10 m. We performed an independent analysis of location accuracy using
16 30 check points chosen independently of the initial GCPs, which yielded an actual “ground”
17 RMSE_{x,y} of the Corona block of ~ 60 m. This is consistent with accuracies obtained on
18 Corona images in Mexico, using a fitting software and the FECOD parameters (Henry
19 Snyder, NASA Goddard, personal communication, 2011). A trend analysis on the horizontal
20 shifts between Corona and the reference Landsat scene showed that the largest errors were
21 concentrated towards the edges of the images, mostly outside the glaciers, and did not impact
22 the differences in glacier area, therefore were not included in the error estimates for change
23 analysis. For the QB scenes, the geolocation accuracy is reported as RMSE_{x,y} of 14 m
24 globally (Digital_Globe 2007).

1 (2) *Image classification errors*: The accuracy of remote sensing glacier outlines was
2 estimated using the “Perkal epsilon band” around glacier outlines (Racoviteanu et al. 2009;
3 Bolch et al. 2010), using a \sim 1-pixel variability (Congalton 1991). Using the 1-pixel
4 variability (\pm 30 m for Landsat/ASTER, \pm 6 m for Corona and \pm 3 m for QB outlines), we
5 obtained a total estimate of image classification errors of 3 – 6% for our datasets, with the
6 highest uncertainties associated with the larger pixel size (Landsat). The method is known to
7 slightly over-estimate the errors described in Burrough and McDonnel (1998), so we consider
8 our overall area accuracy estimates to be rather conservative, and to accommodate other
9 errors not considered here (higher uncertainty of debris-covered tongues, GIS operations
10 etc.). Recent glacier analysis comparison experiments reported a range of uncertainty of $<$ 5%
11 for remote sensing glacier outlines compared to high-resolution imagery (Raup et al. 2007;
12 Paul et al. 2013). For manually-adjusted glacier outlines, particularly debris-covered tongues,
13 we used screen digitizing in streaming mode, with a high density of vertices, recently shown
14 to minimize area errors (B. Raup, National Snow and Ice Data Center, personal
15 communication, 2014).

16 (3) *Conceptual errors*: To ensure consistency among the various datasets, we removed
17 internal rocks from all the area calculations; we manually removed perennial snowfields from
18 the glaciers and we included "inactive" bodies of ice above the bergschrund as part of a
19 glacier (Racoviteanu et al. 2009). Uncertainties due to different digitization of internal rocks
20 were derived by comparing area changes computed with internal rocks specific to each
21 dataset, versus “merged” internal rocks from each datasets. The differences in glacier datasets
22 due to rock inconsistencies amounted to \sim 2 % glacier area, inducing differences in the rates
23 of change in area of \pm 0.05% yr⁻¹. For the change analysis, to minimize uncertainties due to
24 differences in rock outcrops specific to each dataset, we merged rock outcrops from each

1 dataset and applied to each time step. For simplicity, we neglected the area change that might
2 be due to exposure of new internal rock due to glacier ice thinning.

3 The total uncertainties for the three datasets, calculated as $RMSE_{x,y}$, amounted to $\pm 3\%$, $\pm 6\%$
4 and $\pm 3\%$ of the glacierized area for Corona, Landsat/ASTER and QuickBird respectively.

5 **4. Results**

6 *4.1 The Landsat/ASTER 2000 glacier patterns (Spatial domain 1)*

7
8 The 2000 glacier inventory based on Landsat and ASTER yielded 487 glaciers (of
9 which 162 were situated in Nepal, 186 in Sikkim, 30 in Bhutan and 109 in China), covering a
10 total surface area of $1463 \pm 88 \text{ km}^2$ (Table 4a). Of the 487 glaciers in this spatial domain, 68
11 glaciers (13%) had debris cover on the ablation area of their tongues. Supraglacial debris
12 covered $160 \pm 10 \text{ km}^2$ (11% of the glacierized area in spatial domain 1), with some
13 differences between north and south slopes of the study area. In Sikkim, supraglacial debris
14 covered an area of $78 \pm 5 \text{ km}^2$ in 2000 (14% of the glacierized area). The percent debris cover
15 estimated here is lower than those reported for other areas of the southern slopes of the
16 central Himalaya by Scherler et al. (2011) (36% debris cover), or from the Khumbu region,
17 west of our study area, by Fujii and Higuchi (1977), Nuimura et al. (2012) (34.8%),
18 Racoviteanu et al. (2013a) (27 %) and Thakuri et al. (2014) (32%).

19 Debris cover is more prevalent on the southern side of the divide (Sikkim, 14% of
20 glacierized area) compared to the northern one (China, 2% of the glacierized area), perhaps
21 due to different geologic and topographic patterns. The northern side of the divide, which is
22 part of the Tibetan plateau, is situated in a monsoon shadow and is therefore dry; the gentler
23 slopes induce lower rates of erosion. In contrast, the southern slopes of the Himalaya receive
24 large amounts of monsoon moisture; the steep slopes are made of soft sedimentary rocks and
25 Precambrian crystalline rocks (Mool et al. 2002) and are prone to high rates of erosion. This

1 north-south difference in debris cover amounts was also noted in other studies (Scherler et al.
2 2011).

3 *[Table 4 a-b]*

4 In 2000, glacier size ranged from 0.02 – 105 km², with an average size of 3 km² and a
5 median size of 0.9 km² (Table 4b). In the Khumbu region, west of our study area, glaciers
6 tend to be smaller on average (1.4 km²) (Bajracharya and Shrestha 2011). The histogram of
7 glacier area (Fig. 4a) is skewed to the right (skewness = 8.4), showing that glaciers with area
8 < 10 km² are predominant in this region, and glacier size decreases non-linearly. The long
9 right-tail extremes represent only a few glaciers such as Zemu, with an area > 100 km². The
10 prevalence of small glaciers is a fairly common pattern worldwide, also observed for the
11 Cordillera Blanca of Peru in a previous study (Racoviteanu et al, 2008a).

12 *[Fig. 4 a-d]*
13

14 Glacier termini elevations in spatial domain 1 ranged from 3,990 to 5,777 m, with a mean
15 of 4,908 m; median glacier elevation ranged from 4,515 to 6,388 m, with a mean of 5,702 m
16 (Table 4b). Considering glacier median elevation as a coarse approximation of glacier
17 equilibrium line altitude (ELA), our results are in agreement with Benn and Owen (2005),
18 who documented higher ELAs on the northern slopes of the Himalaya (6,000 – 6,200 m)
19 compared to ELAs on the southern slopes (4,600 – 5,600 m). In our study area, we note a
20 strong north - south gradient in glacier elevations, with glacier termini and median elevations
21 much higher on northern side of the divide (China) compared to the southern side (Nepal and
22 Sikkim) (+700 m and +400 m respectively). These differences seem to be consistent with
23 general air circulation patterns in the area. The Asian summer monsoon brings large amounts
24 of precipitation on the southern slopes of the Himalaya, favoring glacier growth at lower
25 elevations and a lower ELA. In contrast, in the upper reaches of the valleys and on the
26 Tibetan plateau, the monsoon is blocked by the topographic barrier (Clift and Plumb 2008),

1 causing a drier climate and higher glacier ELAs. There is also a slight east-west gradient in
2 glacier elevations, with higher glacier minimum and median elevations on the western side
3 (Nepal) (+50 m) compared to the eastern side (Sikkim). This can be explained by the location
4 of Nepalese glaciers on the western side of the topographic divide, away from the prevailing
5 monsoon, but this gradient is less pronounced.

6 The average slope of all glaciers in spatial domain 1 was 23 degrees, with a positive skew
7 (skewness = 0.38) (Fig. 4b) and no significant differences among the four regions ($p > 0.05$)
8 (Table 4b). Similar values for average glacier slopes (22 degrees) were reported for the
9 Khumbu region in Nepal (Salerno et al. 2008; Bajracharya and Shrestha 2011), indicating a
10 general tendency for steep glaciers in this area. Glacier length ranged from 0.08 km to 23 km
11 (Zemu glacier), with an average of 2 km (Fig. 4c). For Zemu, Mool (2002) reported a length
12 of 26 km in 1970's, and the Geologic Survey of India (Sangewar and Shukla 2009) reported
13 28 km for the same glacier based on 1970 topographic maps, suggesting a decrease in length
14 in the last 30 years. Glacier thickness ranged from 3 m to 144 m, with the highest frequency
15 for thicknesses less than 30 m (Fig. 4d). The frequency distribution of both glacier length and
16 thickness were positively skewed, with long tails, indicating the prevalence of short, shallow
17 valley-type glaciers. Glacier aspect shows two predominant orientations: west-northwest (W-
18 NW) and east-northeast (E-NE), following the topographic divide (Fig. 5). On average,
19 glaciers on the Nepal side had an average aspect of 237 degrees (SW), whereas glaciers on
20 the Sikkim side had an average aspect of 131 degrees (SE), consistent with local topography.
21 The predominant orientation of glaciers southwards, in the direction of the prevailing
22 monsoon circulation was noted in other studies in the area, notably the Khumbu region
23 (average aspect 181 degrees) (Mool et al. 2002; Salerno et al. 2008).

24 *[Fig. 5]*

1 A comparison between clean and debris-covered glaciers (two sample F-test for variances)
2 showed significant differences in terms of glacier area, area change, minimum elevation,
3 altitudinal range and length ($p < 0.05$) (Table 5). Clean glaciers in this area are ~10 times
4 smaller (2 km^2 on average) than debris-covered glaciers (23 km^2), they have higher termini
5 elevations (+ 535 m), and an overall altitudinal range about 3 times smaller than debris-
6 covered glaciers (Table 5). These topographic differences might play an important role in
7 determining a glacier's response to climate forcing, and will be discussed in the context of
8 area changes (section 5).

9 ***[Table 5]***

10 *4.2 Glacier area changes 1962 - 2006 (spatial domain 2)*
11

12 For the 50 glaciers in eastern Nepal (Tamor basin) and Sikkim (Zemu basin), the
13 mean glacier elevation was 5634 m, mean maximum elevation was 6447 m, and 21 % of the
14 glacierized area was covered by debris. We note that in this subset area, there is a higher
15 percentage of debris than the entire spatial domain (11%), due to the exclusion of small, clean
16 glaciers in China and Bhutan. The overall glacier surface area change from 1962 (Corona) to
17 2006 (QuickBird) was -10.3% ($-0.24 \pm 0.08\% \text{ yr}^{-1}$) (Table 6). On a glacier-by-glacier basis,
18 glaciers lost 0.7% to 64 % of their area, with a mean of 25% ($0.56\% \text{ yr}^{-1}$) from 1962 to 2006
19 (Fig. 6). The spatial distribution of these area changes, illustrated in Fig. 6, shows that the
20 largest area changes ($> 50\%$ area loss) occurred only for a few isolated glaciers in the
21 northern and southern extremities of the study area. A closer examination of these glaciers
22 revealed that these were small glaciers ($< 1 \text{ km}^2$), with steep slopes (mean of 25 degrees),
23 little debris cover ($< 6\%$ of glacier area on average), and lower termini elevations than the
24 entire study area (4,423 m for spatial domain 1).

25 ***[Table 6 and Fig. 6]***

1 We note some differences between clean and debris-covered glaciers in terms of glacier-
2 by-glacier area changes. Clean glaciers lost 3.4 to 64% of their area, with a mean of 32.6%,
3 while debris-covered glaciers lost only 0.7% to 61% of their area, with a mean of 14.3 %.
4 The difference in mean rates of area change between clean and debris covered glaciers was
5 statistically significant based on two-sample F-test (p-value < 0.05). On Fig. 7a-b, we show a
6 larger spread and a higher percentage of surface area loss of clean glaciers compared to
7 debris-covered glaciers. For both glacier types, however, there is a high variability in percent
8 area change, perhaps due to other factors such as local topography.

9 *[Fig. 7 a-b]*

10 The percent area change per glacier was negatively correlated to glacier maximum
11 elevation, altitudinal range, median elevation, glacier area, percent debris cover, aspect and
12 precipitation (correlation significant at 90% confidence interval, $p < 0.1$) (Table 7). Of these,
13 the strongest correlation was found for maximum elevation and altitudinal range, indicating
14 that glaciers located on lower summits, with a small altitudinal range experienced larger area
15 loss. The geographic location of glaciers (latitude and longitude), slope, solar radiation and
16 minimum elevations were not statistically significant (Table 7).

17 *[Table 7]*

18 The percent of debris cover was negatively correlated to the percent area change, with a
19 weak but significant correlation ($r = -0.25$; $p < 0.05$, confidence interval 95%), indicating that
20 glaciers with larger extents of debris cover tend to lose less area than clean glaciers.

21 **5. Discussion**

22 *5.1 Glacier area changes and accuracy*

23 The rates of surface area loss of $-0.24 \pm 0.08\% \text{ yr}^{-1}$ from 1962 to 2006, presented
24 above, are in agreement with other studies from the southern slopes of the Himalaya. Similar
25 rates of area change (-0.1 to $-0.3\% \text{ yr}^{-1}$) were reported from Khumbu and Garwhal regions,

1 west of our study area, for approximately the same time period (Bolch et al. 2008a; Bhambri
2 et al. 2011; Nuimura et al. 2012; Basnett et al. 2013; Thakuri et al. 2014). Similarly, for
3 glaciers of Bhutan, east of our study area, Karma et al. (2003) found an average surface
4 glacier area change of $-0.3\% \text{ yr}^{-1}$ from 1963 to 1993. It is worth mentioning that these rates of
5 area loss are lower than those previously reported for the drier monsoon-transition zone in the
6 western Himalaya ($0.7\% \text{ yr}^{-1}$) by Kulkarni et al. (2007), which raised concerns about the
7 future of Himalayan glaciers. In a more recent study, Bahuguna et al. (2014) found lower
8 rates of glacier area loss of about $0.4\% \text{ yr}^{-1}$ for the same area (Himachal Pradesh in the
9 western Himalaya), which is in agreement with rates of area loss we report here for eastern
10 part. Glacier area changes have been updated in more recent studies (Bolch et al. 2012;
11 Bahuguna et al. 2014; Racoviteanu et al. 2014), and overall these findings are pointing at
12 lower rates of area loss than previously reported, particularly in the Indian Himalaya.

13 Inconsistencies in glacier area change estimates have been pointed out in other
14 studies, for the Himalaya and elsewhere (Racoviteanu et al. 2008a; Racoviteanu et al. 2008b),
15 and are also noted in the current study. Glacier area changes in the Himalaya are
16 heterogenous, and depend on a variety of factors including local topography and climate, so
17 some caution should be applied when comparing rates of area changes from one area to
18 another areas, even in the same climatic zone. For example, for Sikkim, we estimated a
19 surface area change of $-88.9 \pm 5 \text{ km}^2$ (-13.5% from 1962 to 2006, or $-0.36 \pm 0.17 \%$ yr^{-1}). This
20 area change is higher than the area change for the larger Kanchenjunga-Sikkim area (-0.24%
21 yr^{-1}). Other studies in this area point to contrasting results. For the same geographic area,
22 Basnett et al. (2013) reported an area change of $-0.16 \pm 0.10 \%$ yr^{-1} from 1989/1990 to
23 2009/2010), which is much lower than our findings. In contrast, a recent study (Bahuguna et
24 al. 2014) reported the highest rates of area change (about $-0.8\% \text{ yr}^{-1}$) for the last decade, even
25 higher than rates reported previously for the western Himalaya by Kulkarni et al. (2007). We

1 speculate that such large differences might be due to errors inherent in the baseline datasets,
2 coupled with misclassification due to snow cover or debris-covered areas.

3 The glacier area changes reported for Sikkim by various teams, using a variety of data
4 including topographic maps (Table 8), illustrates this point. For example, for Sikkim, our
5 study estimated $569 \text{ km}^2 \pm 70 \text{ km}^2$ of glacierized area in 2000 based on Landsat/ASTER data
6 (section 4.1). For the same time period, Mool et al. (2002) reported an area of 577 km^2 based
7 on the same source imagery (Landsat ETM+) (Table 8). These two area estimates differ only
8 by 8.2 km^2 (1.4%) of our estimated area, only the number of glacier differs substantially (186
9 glaciers in our study compared to 285 glaciers in ICIMOD study), most likely due to the way
10 in which ice masses were split and how glaciers were counted. Methodology differences and
11 inconsistencies in glacier estimates are quite common in multi-temporal image analysis
12 performed by different analysts, and were previously noted in other areas of the world
13 (Racoviteanu et al. 2009). Similarly, for the 1962 decade, our analysis of Corona 1962
14 imagery for Sikkim yielded 178 glaciers with an area of $658 \pm 20 \text{ km}^2$. In a recent publication
15 (Racoviteanu et al. 2014), we reported 158 glaciers with an area of 742 km^2 for the 1960s
16 based on the Swiss topographic map. The Geological Survey of India (GSI) (Sangewar and
17 Shukla 2009) reported 449 glaciers with an area of 706 km^2 for the 1970s based on
18 topographic maps. Our 1962 Corona glacier inventory yields a smaller total glacier area than
19 the one based on the topographic map (84 km^2 , or 11%) (Racoviteanu et al. 2014) or the GSI
20 inventory based on topographic maps (48.3 km^2 , or 7 % area) (Sangewar and Shukla 2009).
21 We consider that both of these mentioned studies overestimated the glacier area in the 1960s,
22 perhaps due to the presence of persistent snow in the source aerial imagery.

23 Subsequent glacier inventories in Sikkim also point to contradictory patterns. For the
24 1980s, another study (Kulkarni 1992b) reported a glacierized area of 431 km^2 for 1987/1989
25 based on Indian IRS-1A and Landsat data. Considering our 1962 Corona inventory, this

1 would imply an area loss of 42% since 1962 (2.1% yr⁻¹), followed by a strong increase in
2 glacier area (+33.5 %, or +3% yr⁻¹) from 1987/1988 to 2000 (based on our Landsat analysis),
3 which is undocumented in this area. We consider the 1987/89 estimates to be highly
4 unreliable, given that there are no glacier surges that might induce an apparent “glacier
5 growth”. In some areas, we noted omissions of some debris-covered tongues from the glacier
6 maps, which might explain some of the differences. We consider the Corona 1962 dataset to
7 be more reliable than the inventories based on topographic maps, and hence we used this
8 dataset as baseline for comparison with the recent imagery.

9 *[Table 8 here]*

10 *5.2 Topographic and climatic controls on area changes*

11 In this study, we found that topographic factors, more notably glacier altitude (maximum,
12 median, altitudinal range), glacier size and percent of debris cover were most important in
13 determining rates of glacier area loss. Higher glacier elevations and larger altitudinal ranges
14 reduced the rates of area loss, as was also noted in the Khumbu region in Nepal and
15 elsewhere (Bolch et al. 2008a; Scherler et al. 2011; Loibl et al. 2014; Thakuri et al. 2014).
16 The dependency of area change on glacier size and elevation is also consistent with
17 observations from the Cordillera Blanca of Peru (Racoviteanu et al. 2008a) in the outer
18 tropics, indicating consistent patterns in glacier area changes worldwide.

19 Glacier size plays a significant but less important role in determining area change, i.e.
20 smaller glaciers experienced higher rates of area loss. In this study, the negative correlation
21 between glacier size and area loss was statistically significant ($p < 0.01$) (Table 7). The
22 tendency of larger glaciers to lose less area ($> 20 \text{ km}^2$) was observed in various studies
23 (Racoviteanu et al. 2008a; Salerno et al. 2008; Loibl et al. 2014), though in the case of
24 Salerno et al. (2008), for the Khumbu, the correlation was not statistically significant. Glacier
25 slope also plays a significant role in determining rates of area change ($p < 0.01$), i.e. the

1 steeper the glacier, the larger the area loss observed in our study. The same tendency was
2 observed in the Khumbu area (Salerno et al. 2008), but in our study the correlation was not
3 significant, most likely because we included the ablation areas of glaciers. The presence of
4 gentle slopes covered with supra-glacial debris in the ablation areas of glaciers, fairly
5 common in this area, reduced the strength of the correlation.

6 Glacier aspect was also found to be a control on area change, with more area loss
7 for glaciers oriented southwards and south-west, but the correlation was not statistically
8 significant ($p > 0.01$). This is in agreement with findings from Salerno et al. (2008) for the
9 Khumbu region, but is in contrast with results from Loibl et al. (2014) for the
10 Nyainqêntanglha Range in southeastern Tibet, about 600 km east of our study area, who
11 found that south-facing glaciers experienced smaller rates of terminus retreat.

12 Precipitation was also found significant in controlling glacier area loss, but the
13 correlation was less strong than the glacier elevation factors mentioned above (Pearson's $r = -$
14 0.25). In contrast, Loibl et al. (2014) showed that glaciers located in a monsoon-influenced
15 area were more sensitive to climate change. This is in agreement with larger-scale studies
16 (Gardelle et al. 2013), which indicated a tendency for enhanced glacier wastage in the
17 eastern, monsoon-influenced parts of the Himalaya. With respect to climatic factors in this
18 area, Basnett et al. (2013) reported an increase in mean annual temperature, more
19 significantly in the winter ($+2^{\circ}\text{C yr}^{-1}$ in the last four decades). Increasing temperatures on the
20 south slopes of the Himalayas were also noted in other studies (Shrestha et al. 2000; Thakuri
21 et al. 2014) based on instrumental data, but were estimated to have less effect on glacier area
22 than changes in precipitation because of the orientation of these glaciers towards the
23 prevailing monsoon circulation. In our study, the climatic control on glacier area is not
24 conclusive, and finer-resolution, more accurate temperature and precipitation datasets would
25 be needed. Furthermore, similarly to areas further east (Loibl et al. 2014), average annual

1 solar radiation and latitude were not found to be significant controls on glacier area change in
2 our study. Other factors such as supra-glacial debris cover might have a more important role
3 than climate controls in preserving glacierized areas.

4 The impact of debris cover on reducing glacier area loss, noted in our study (Table 7) is in
5 agreement with studies from Khumbu (Nuimura et al. 2012; Thakuri et al. 2014). Similarly to
6 these studies, glaciers in our spatial domain 2 are located on the southern slopes of the
7 Himalaya, which are heavily covered with debris cover due to the abundance of rock material
8 from the steep slopes. In our study, glaciers have a slightly lower percent of debris cover
9 (21%) compared to Khumbu area to the west (27 to 36%) (Bolch et al. 2008a; Scherler et al.
10 2011; Nuimura et al. 2012; Thakuri et al. 2014), but the rates of area change are similar (-0.2
11 to -0.3% yr⁻¹), indicating consistent glacier change patterns in this area of the Himalaya.

12 Similarly to the above-mentioned studies, we found that debris covered glaciers
13 experienced smaller rates of surface area change from 1962 to 2006 (-14.3 % on average)
14 compared to clean glaciers (-32.6% on average). The lower rates of glacier area loss of
15 debris-covered tongues compared to clean glaciers may be partly due to their topographic
16 characteristics (bigger size and larger altitudinal range compared to clean glaciers)(Table 5).
17 Since these results are based on entire surface of the glaciers, however, the question remains
18 whether debris-covered tongues have a distinct behavior from glaciers as a whole. Using only
19 14 selected debris-covered tongues, we found an area change of -3.8 km² (-3.4% or -0.07 %
20 yr⁻¹) from 1962 to 2006. This is four times lower than the overall glacier area loss of 0.24%
21 yr⁻¹ in spatial domain 2. This result is consistent with previous studies in the central-eastern
22 Himalaya (Bolch et al. 2008a; Bhambri et al. 2011; Thakuri et al. 2014), which reported
23 lower rates of glacier surface area loss and stable or less retreating glacier termini for debris-
24 covered tongues compared to clean glaciers (Scherler et al. 2011). Furthermore, debris
25 covered glaciers may benefit from the insulating effect of debris cover above a certain

1 “critical” debris thickness (Mihalcea et al. 2008a; Zhang et al. 2011), which needs to be
2 further investigated.

3 *5.3 Surface temperature distribution on debris cover tongues*

4 Surface characteristics of debris cover (thickness, thermal conductivity and resistance)
5 may help explain the smaller rates of area change for the debris-covered ablation areas of
6 glaciers. However, these are not easily available in this area due to the lack of field-based
7 measurements, and the difficulty of conducting surveys on the debris-covered tongues.
8 Therefore, in this study, we are qualitatively showing the distribution of surface temperature
9 on selected debris-covered tongues in spatial domain 2 based on the 2002 ASTER scene. Fig.
10 8 shows a high variability in supra-glacial surface temperature at 90 m spatial resolution, but
11 there is no clear general temperature trend for the eastern slopes (Sikkim side) versus the
12 western slopes (Nepal) side. The fluctuations in surface temperatures along transects are
13 clearly visible on Fig. 9, with some sharp spikes of high and low temperatures, particularly
14 for Kanchenjunga and Yalung glaciers (labeled “A” and “B” on Fig. 8). This strong
15 variability in supraglacier debris temperatures may be due to the presence of surface features
16 such as debris thickness, size of the debris particles, and thermal resistance and conductivity
17 of the debris. For the debris-covered tongues investigated here, the supraglacier temperatures
18 range from 0°C to 30°C, suggesting that the supra-glacier debris heats up considerably during
19 the day. At the glacier scale, temperature drops over supra-glacial features such as ice walls
20 and supra-glacial lakes, which tend to be colder than the surrounding debris, and this is
21 visible even at the coarse spatial resolution of the temperature data (90 m). On Fig. 9 we note
22 the slight upward trend for supra-glacier temperature towards the glacier termini, particularly
23 for Zemu glacier (“C” on Fig. 8). For this glacier, the middle-upper part of the debris surface
24 is colder (-3 to 5°C) than the last 10 km towards the glacier terminus (5 to 14°C) (Fig. 9). In a
25 different paper (Racoviteanu and Williams, 2012), we found similar patterns of surface

1 temperature increasing towards the glacier terminus for the same glacier, but based on a
2 different scene (November 2001), indicating consistent patterns for this glacier. The higher
3 surface temperatures towards the glacier terminus may indicate a thicker debris cover, which
4 insulates the ice underneath, noted on other studies (Mihalcea et al. 2008a). The day-time
5 debris temperature ranges and the strong spatial variability noted here are similar to the ones
6 we found for Khumbu, west of this study area (-3 to 17°C) (Racoviteanu et al. 2013b). In
7 Khumbu, we found that supra-glacier debris had a distinct temperature signal compared to
8 other surfaces such as non-ice moraine, clean ice, and supra-glacier/pro-glacier lakes, with
9 more pronounced differences among these three during the daytime.

10 *[Fig. 8 and 9]*

11 The suitability of ASTER-based surface for inferring debris characteristics, most notably
12 thickness, has been demonstrated in other studies (Suzuki et al. 2007; Mihalcea et al. 2008a;
13 Zhang et al. 2011). For this study area, there were no field measurements available to test the
14 validity of ASTER temperatures for quantifying supra-glacier debris characteristics.
15 However, in a different study (Racoviteanu et al. 2013b), we validated ASTER-based surface
16 temperatures extracted from 9 night scenes from 2010 – 2011 for the Khumbu by inverting
17 field-based long-wave radiation (L_{out}) using the Stefan-Boltzmann law ($L_{out} = \epsilon T^4$). The
18 measurements were from the automatic weather station (AWS) installed on Changri Nup
19 glacier (Wagnon et al. 2013). We found a good agreement between ASTER temperatures and
20 field-based measurements ($R^2 = 0.92$) using a sensitivity analysis ($\epsilon = 0.97 \pm 0.1$) to account
21 for small-scale variability in emissivity. Given that the Kanchenjunga-Sikkim area has
22 similar characteristics to Khumbu in terms of debris cover, geographic location, and that the
23 images were acquired around the same time of the year as the Khumbu (Nov.- Jan.), we
24 consider that this validation may be applicable to the present study area.

25

1 5.4 *The role of glacier lakes*

2 The role of supra-glacier/pro-glacier lakes for glacier area change in this area of the
3 Himalaya was addressed in detail in recent studies (Basnett et al. 2013; Bajracharya et al.
4 2014). Gardelle et al. (2011) also pointed out the increased formation of supra-glacier lakes
5 particularly for the eastern part of the Himalaya. A quantitative assessment of lake formation
6 is beyond the scope of this paper; here we only illustrate qualitatively some of the changes
7 occurring on glaciers with supra-glacial or pro-glacial lakes using high-resolution Corona and
8 QuickBird imagery. For the Tista basin in Sikkim, Mool and Bajracharya (2003) inventoried
9 266 glacier lakes covering a total area of 20 km² (3.5% of the glacierized area) based on 2000
10 Landsat ETM+ imagery. For spatial domain 2, we estimated that glacier lakes covered 1.3%
11 of the total debris-covered glacier area, or 5.8% of the area if we consider only the debris-
12 cover (ablation) part, based on the QB/WV2 imagery. Salerno et al. (2012) reported similar
13 percentage for the area of supraglacial lakes, i.e. (0.3 – 2% of the overall glacierized area) for
14 the Khumbu region. While supra-glacier lakes do not cover extensive areas of the glacierized
15 surface, they were shown to increase surface ablation rates in this part of the Himalaya (Sakai
16 et al. 2002; Fujita and Sakai 2014). It was also shown that supra-glacier lakes located at the
17 glacier terminus tend to merge to create large, fast growing pro-glacier lakes which accelerate
18 glacier area loss (Basnett et al. 2013; Bajracharya et al. 2014).

19 Some of the pro-glacier lakes in our study area are visible on Fig. 10 and 11 for the
20 northern part of spatial domain 2 (Changsang, East Langpo, Jongsang, Middle Lhonak, South
21 Lhonak). Most of these lakes are moraine-dammed lakes, considered dangerous for
22 potentially inducing glacier lake outburst flood events, and were shown to accelerate the
23 glacier area loss in the recent decades (Bajracharya et al. 2014). Fig.10 a-b shows the rapid
24 growth of the pro-glacier lake on N. and S. Lhonak glaciers in Sikkim, also noted in Basnett
25 et al. (2013). A closer look at a subset area (Fig. 11) shows the visible growth of a pro-glacial

1 lake for the adjacent N. Lhonak and S. Lhonak glaciers. We estimate that these two glaciers
2 retreated ~650 m and 1.3 km from 1962 to 2006, respectively. Another branch of N. Lhonak
3 glacier has wasted significantly by ~1.5 km from 1962 to 2006, and a glacier outlet is now
4 clearly visible. The northern branch of Jongsang glacier was entirely covered by a supra-
5 glacier lake in 2006, while another part shows less significant rates of terminus retreat (~100
6 m in 44 years). While our purpose here is not to present glacier length changes, we note that
7 these estimates are in agreement with trends of glacier thinning and increased glacier lake
8 formation reported in this area of the Himalaya previously (Gardelle et al. 2011; Kääb et al.
9 2012; Basnett et al. 2013).

10 *[Fig. 10 and 11]*

11 **6. Summary and outlook**

12
13 In this study we combined remote sensing data from various sensors to construct a new
14 glacier inventory for the Kanchenjunga-Sikkim region in the eastern Himalaya. Based on
15 1962 Corona and 2006 QuickBird imagery, we found an overall negative glacier surface area
16 change of $0.24 \pm 0.08\% \text{ yr}^{-1}$ since 1962, in agreement with those noted in other studies in the
17 eastern Himalaya, most notably the Khumbu. The area change rates reported here are lower
18 than the average rate of $-0.7\% \text{ yr}^{-1}$ reported in other glacierized areas of the world such as the
19 Alps (Kääb et al. 2002), the Tien Shan (Bolch 2007) and the Peruvian Andes (Racoviteanu et
20 al. 2008a). Glaciers exhibit heterogeneous patterns of area change, depending on topographic
21 and climatic factors, more notably glacier altitude (maximum, median, altitudinal range),
22 glacier size and percent debris cover. Glacier area changes depend strongly on glacier size
23 and elevation, which is consistent with other areas in the central-eastern Himalaya (Thakuri
24 et al. 2014), or elsewhere, for example the outer tropics (Racoviteanu et al. 2008a). The

1 conclusions drawn with respect to spatial patterns in glacier characteristics, glacier area loss,
2 and their topographic and climatic dependency, include:

- 3 • Glacier area change (loss) of $-0.24\% \pm 0.08\% \text{ yr}^{-1}$ from 1962 to 2006, with higher
4 rates of area loss for clean glaciers (-34.6% , or $-0.7\% \text{ yr}^{-1}$) compared to debris-
5 covered glaciers (-14.3% or -0.3 yr^{-1});
- 6 • The amount of glacier area loss is partly influenced by a glacier's headwater
7 elevation, altitudinal range, glacier area, debris cover, aspect and precipitation;
- 8 • The largest area loss was found for small, steep glaciers with a smaller altitudinal
9 range and less debris cover;
- 10 • Some of the debris-covered glacier tongues were found to be relatively stable, with
11 significantly smaller rates of area change ($-0.07\% \text{ yr}^{-1}$) compared to the entire
12 debris-covered glacier surface (-0.3 yr^{-1});
- 13 • Supra-glacial debris cover is prevalent on the southern slopes of the Himalaya
14 (14% of the glacierized area) compared to northern slopes (2%);
- 15 • Supraglacial lakes constitute about 6% of the debris covered area, and some of
16 these supra-glacial lakes have merged to form pro-glacial lakes;
- 17 • Overall, these findings support the conclusion that while Himalayan glaciers are
18 undoubtedly undergoing negative area change, the rates of area loss noted in recent
19 studies, including the present one ($0.2 - 0.4\% \text{ yr}^{-1}$ since the 1960s) are lower than
20 other glacierized areas worldwide ($0.7\% \text{ yr}^{-1}$).

21 The glacier area change estimates reported here are subject to uncertainties, most
22 notably with respect to early topographic maps and declassified Corona imagery, therefore a
23 considerable effort was given to minimizing errors by multiple re-iterations of the glacier
24 outlines. The understanding of the spatial patterns of glacier changes in the current study is
25 limited by: 1) a lack of a baseline elevation dataset for the 1960 to compute glacier elevation

1 changes from 1960s to 2000; 2) lack of field-based measurements to validate debris-cover
2 mapping and surface temperature distribution. With respect to the latter, while surface
3 temperature trends show a slight increase towards the terminus, suggesting a thicker debris
4 cover, the supra-glacial surface temperatures are highly heterogonous and require additional
5 investigation. A further improvement in the current study will be to include the supra-glacial
6 and pro-glacial lakes as a determinant factor for the glacier area change, perhaps in a more
7 sophisticated multi-variate regression model. The glacier datasets constructed in this study
8 can be further utilized to understand the behavior of glaciers in this little-investigated area of
9 the Himalaya, particularly with respect to spatial patterns of glacier melt, and the contribution
10 of glaciers to water resources.

11

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13

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2 **References:**

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Tables

Table 1. Summary of satellite imagery used in this study

Sensor	Scene ID	Date	Spatial resolution	Image type
Corona KH4	DS009048070DA244 DS009048070DA243 DS009048070DA242	1962-10-25	7.5 m	Panchromatic
Landsat ETM+	L7CPF20001001_20001231_07	2000-12-26	15 m 28.5 m 90 m	Panromatic Visible,shortwave Thermal infrared
ASTER	AST_L1A#003_12012000051205_072 92001131755	2000-12-01	15 m 30 m 90 m	Visible Shortwave Thermal infrared
	AST_L1A#003_12012000051214_072 92001131813	2000-12-01		
	AST_L1A_00311272001045729_0222 2004173619	2001-11-27		
	AST_L1A#00301052002050207_0130 2002193030	2002-01-05		
	AST_L1A#00301052002050216_0130 2002193046	2002-01-05		
	AST_08_00310292002045428_201012 12181710_16443	2002-10-29	90 m	Surface kinetic temperature
QuickBird	1010010004BD8700 1010010004BB8F00	2006-01-01 2006-01-06	2.4 m	Visible, shortwave
WorldView -2	102001000FBA1D00 102001000586E700	2010-12-02 2009-12-01	.50 m	Panchromatic

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Table 2. Spatial domains used for analysis and their characteristics

Spatial domain	Number of glaciers	Details
1	487	The entire area of the Eastern Himalaya, in this study extending from Sikkim to China, as well as parts of W Bhutan and E Nepal.
2	50	Parts of Sikkim (Tista basin) and Nepal (Tamor basin)

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Table 3. Topographic zones in spatial domain 1

	N side (China)	W side (Nepal)	E side (Sikkim)	E side (Bhutan)
Mean basin elevation (m)	4931	4819	4658	4491
Mean rainfall TRMM (mm/yr)	146	805	977	383

Table 4. Topographic parameters for glaciers in spatial domain 1 and sub-regions based on 2000s Landsat/ASTER analysis. All parameters are presented on a) region-by-region and b) glacier-by-glacier basis from the SRTM DEM. Debris cover fraction is calculated as % glacier area of debris covered glaciers only.

Parameter	All	Nepal	Sikkim	Bhutan	China
<i>a) Region-wide averages</i>					
Number of glaciers	487	162	186	30	109
Glacierized area (km ²)	1463 ± 88	488 ± 29	569±34	106 ± 6	300±18
Number of debris-covered tongues	68	30	27	7	4
Debris cover area (km ²)	161±10	64±4	78±5	14±1	6±0.4
Debris cover (% total glacier area)	11	13	14	13	2
<i>b) Glacier averages</i>					
Minimum elevation (m)	4908	4760	4702	4926	5425
Median elevation (m)	5702	5715	5569	5652	5950
Maximum elevation (m)	6793	6928	6908	6685	6530
Slope (degree)	23	24	23	27	21
Aspect (degree)	177	236	131	134	180
Mean glacier size (km ²)	3	3	3	4	3
Length (km)	2	2	2	3	2
Thickness (m)	24	23	23	31	27
Debris cover fraction (%)	23	21	23	32	17

Table 5. Comparison of glacier parameters for clean glaciers versus debris-covered glaciers.

Parameter	Clean glaciers	Debris-covered glaciers
Area (km ²)	2	23
Area change (%)	31	14
Slope	24	25
Minimum elevation (m)	5240	4704
Median elevation (m)	5625	5645
Altitudinal range (m)	777	2339
Length (km)	2	8

Table 6. Glacier area changes for the 50 glaciers in spatial domain 2, from 1962 to 2006.

Data source	Area (km²)	Area change since 1962 (% yr⁻¹)
1962 Corona	599±18	
2000 Landsat/ASTER	551±34	-0.20 +/-0.16
2006 QuickBird	537±8	-0.24 +/-0.08

Table 7 Correlations between area change and topographic and climatic variables, arranged in order of strength of correlation.

Regression	Pearson's r	P-value
Maximum elevation	-0.63	<0.01**
Altitudinal range	-0.58	<0.01**
Median elevation	-0.47	<0.01**
Glacier size	-0.41	<0.01**
Precipitation	-0.39	<0.01**
Minimum elevation	0.26	>0.1
Percent debris	-0.25	<0.01
Solar radiation	0.17	>0.1
Slope	0.14	>0.1
Latitude	-0.06	>0.1
Longitude	0.06	>0.1
Aspect	0.04	<0.01**

** Correlation is significant at the 0.01 level (2-tailed).

Table 8 Glacier area change in Sikkim based on previous studies. The percent area change is given with respect to the 1962 Corona glacier inventory from this study.

Study	Year	Data source	Number of glaciers	Area (km ²)	Area change since 1960s	
					%	% yr ⁻¹
This study	1962	Corona KH4	178	658±20	-	-
Geological Survey of India (1999)	~1960-1970s	Indian 1:63,000 topographic maps	449	706	+7.3	+0.9
Kulkarni and Narain (1990)	1987/1989	IRS-1C satellite images	n/a	426	-35.0	-1.4
ICIMOD Mool et al, (2002)	2000	Landsat TM, IRS-1C, topographic maps	285	577	-11.4	-0.3
This study	2000	Landsat TM, ASTER	185	569±34	13.5±6.4	0.3±0.1

List of figures

Fig. 1 Location map of the study area. The images used in this study are shown as false color composites (Landsat 432, ASTER 321), overlaid on a hillshade of the SRTM DEM. Also shown are the spatial domain 1 (solid turquoise rectangle) and spatial domain 2 (dotted yellow rectangle).

Fig. 2 Precipitation regime over domain 1 expressed as rain rate, from the TRMM 2B31 data averaged for the period 1998 – 2010. The graph shows the monsoon period from June to September, with a peak precipitation in July, and the influence of the northeastern monsoon during the winter/early spring (January-March).

Fig. 3 Spatial patterns in TRMM annual precipitation rate derived from the 3B43 dataset for spatial domain 1. Also shown are the four main basins delineated based on topography and watershed functions. 2000 glacier outlines are shown in black. We note several cells of high precipitation at high altitudes over the Kanchenjunga summits and parts of Tibet, most likely errors in TRMM data.

Fig. 4 Frequency distribution of glacier parameters for the 487 glaciers in spatial domain 1 based on Landsat/ASTER analysis: a) area; b) slope; c) length and d) thickness. Glaciers smaller than 10 km² in area, < 2 km in length and <30 m thickness are prevalent, with an average slope of 23°.

Fig. 5 Aspect frequency distribution of the 487 glaciers in spatial domain 1 based on Landsat/ASTER analysis. On average, glaciers in this area are preferentially oriented towards NW (300°) and NE (60°).

Fig. 6 Spatial patterns in glacier area change derived from 1962 Corona and 2006 QB data.

Fig. 7 Dependency of glacier area change 1962 – 2000 on a) glacier altitudinal range (maximum – minimum elevation) and b) glacier area. Debris-covered glaciers are shown as grey solid circles; clean glaciers are shown as black solid triangles.

Fig. 8 Distribution of surface temperatures along longitudinal for selected debris-covered tongues in spatial domain 2. Temperatures are extracted from ASTER kinetic temperature data (AST08) from the Oct 29th, 2002 image.

Fig. 9 Surface temperature distribution along longitudinal transects from selected glaciers. Distance is measured from the upper part of the debris-covered area down glacier to the terminus. Labels point to: A- Kanchenjunga glacier, B- Yalung glacier and C- Zemu glacier.

Fig. 10 Area changes for some glaciers in the Zema Chhu basin Sikkim from 1962 to 2006: a) 1962 Corona-based glacier outlines (in blue) and b) 2006 QB glacier outlines (in orange).

Fig. 11 Close-up view of glacier area changes around the N. and S. Lhonak glaciers 1962 to 2006, showing changes in the pro-glacial lakes.

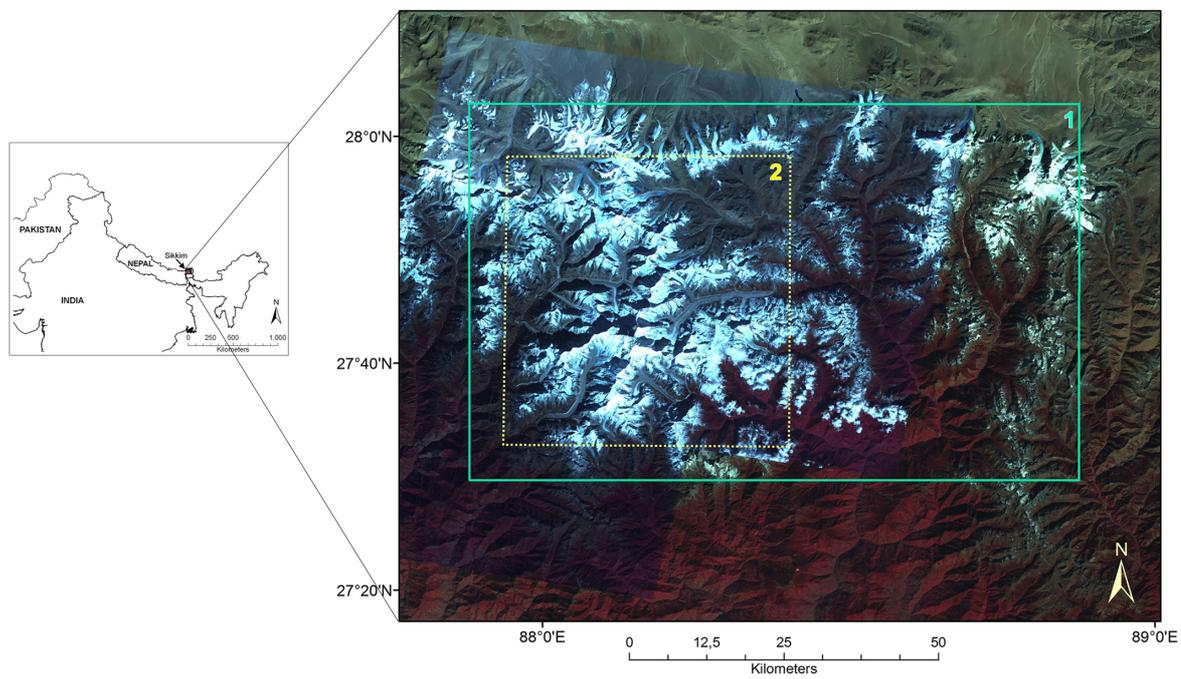


Fig.1

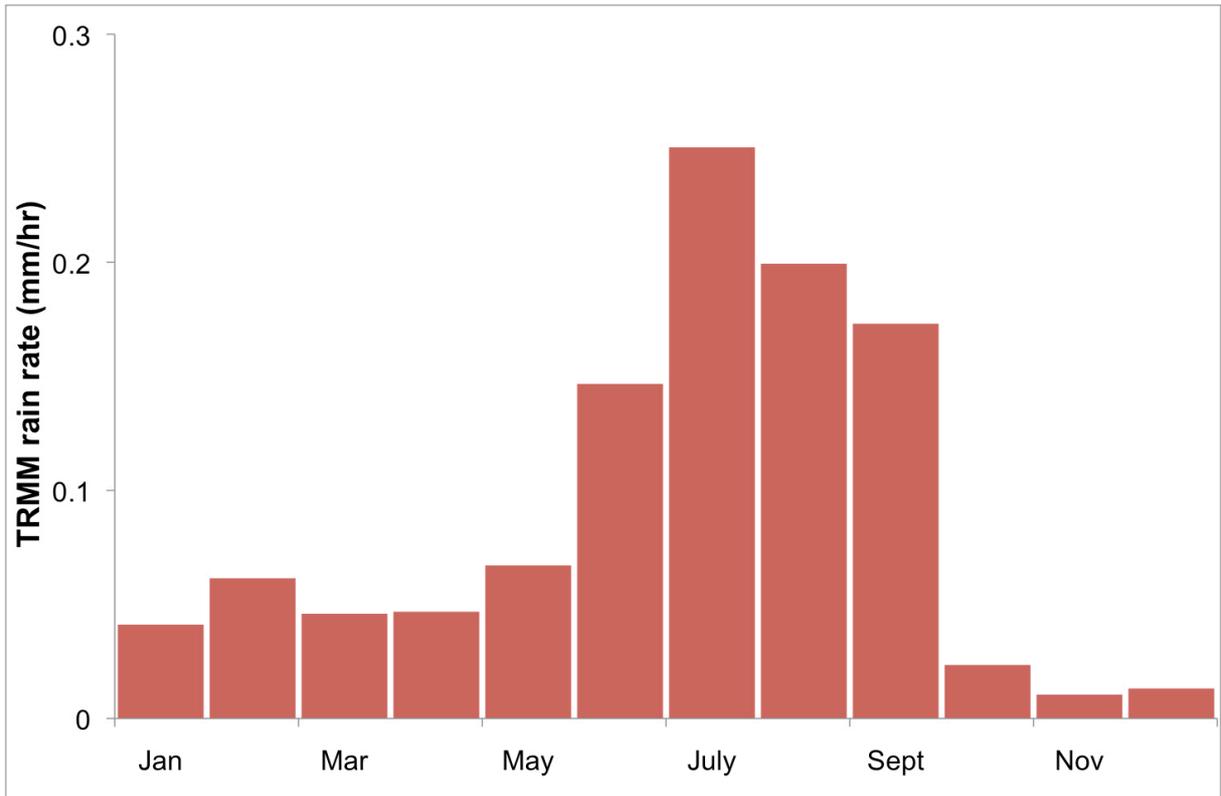


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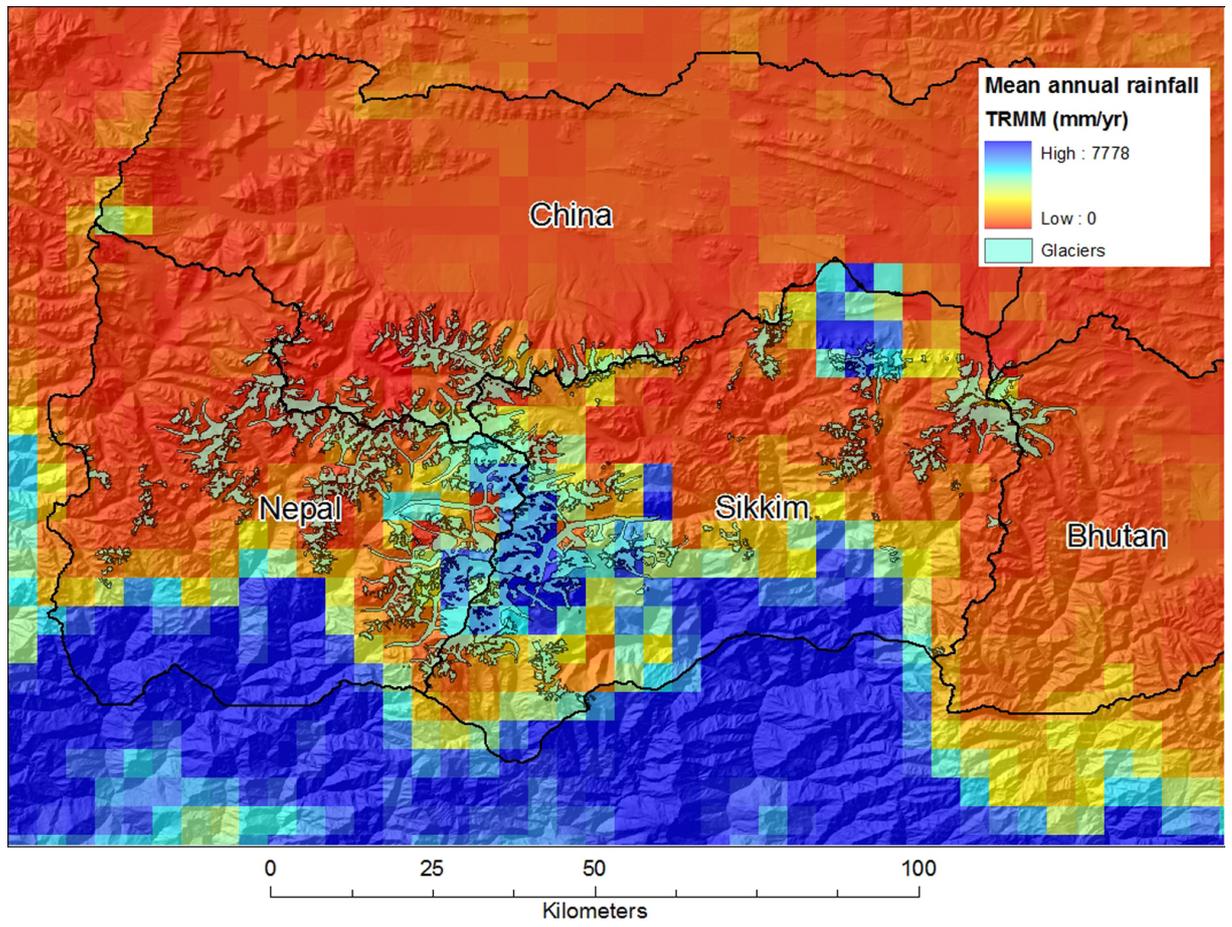


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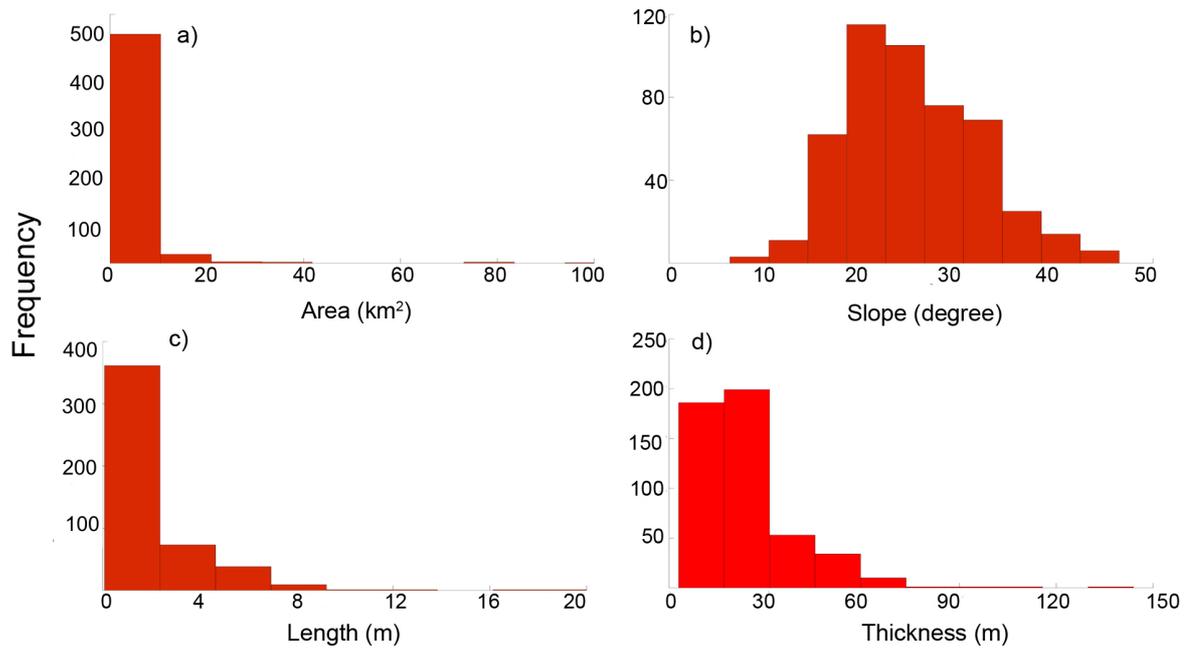


Fig. 4

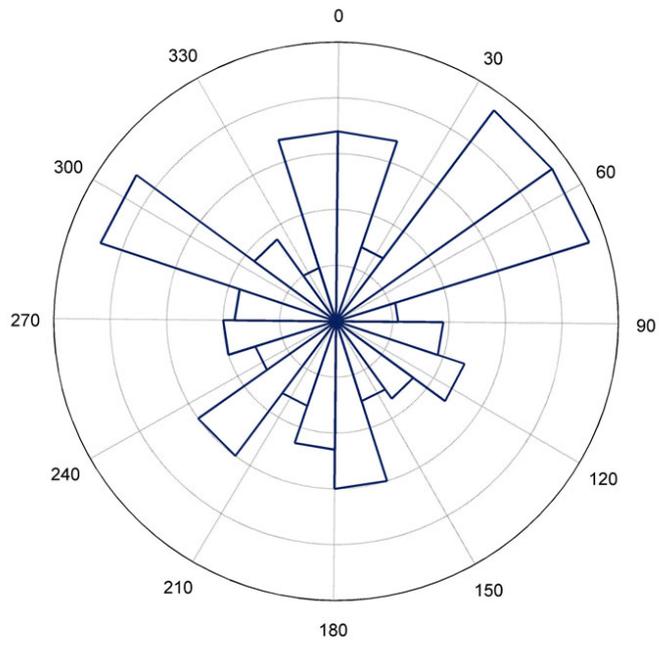


Fig. 5

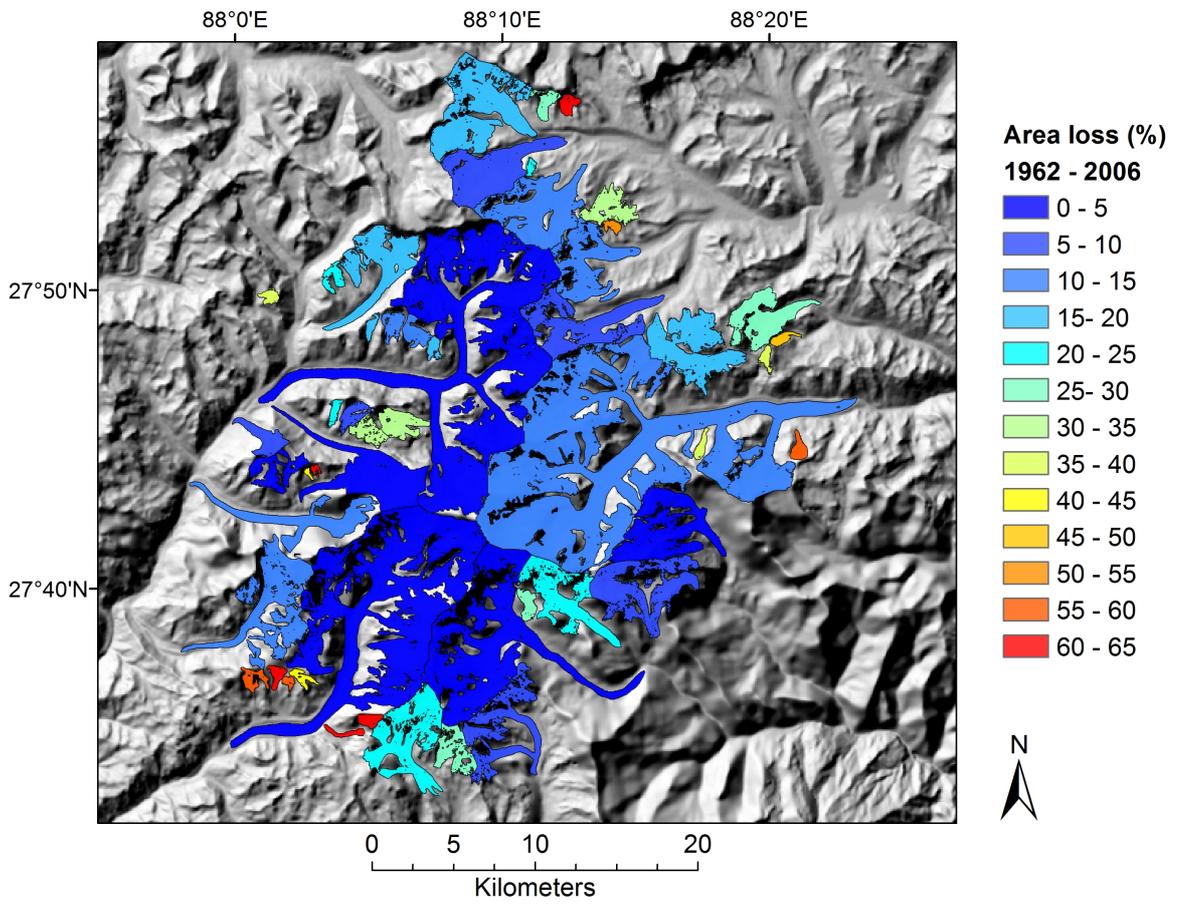


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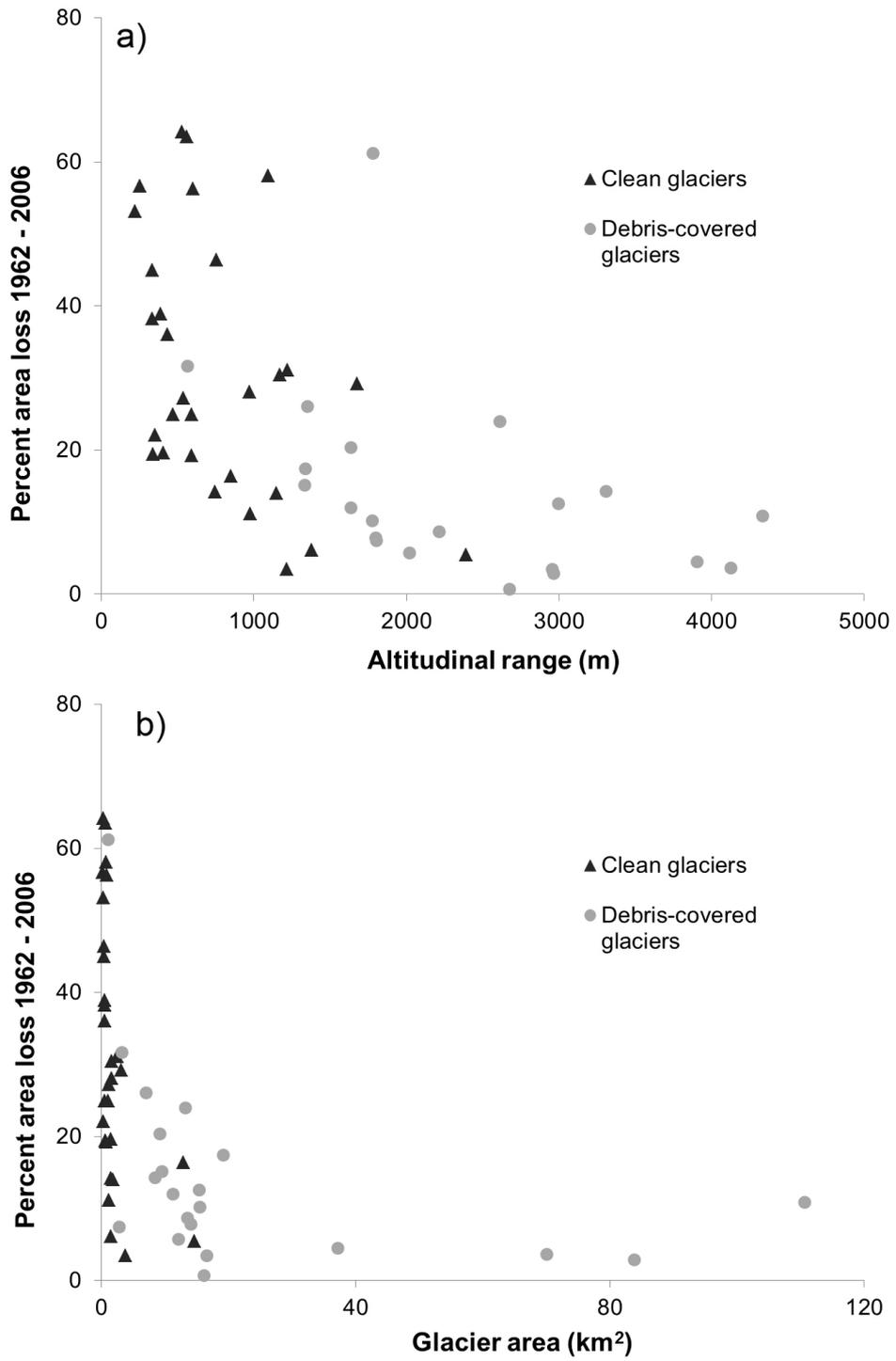


Fig. 7

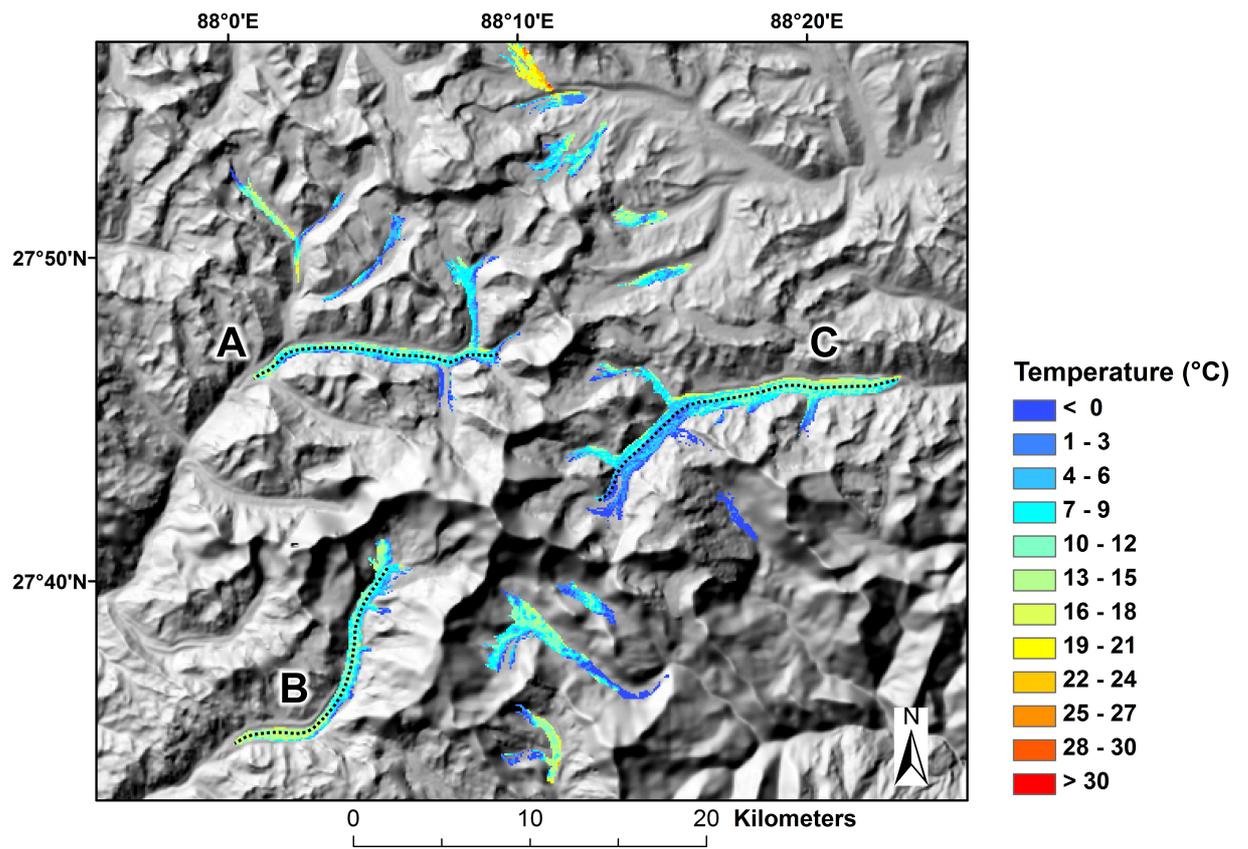


Fig. 8

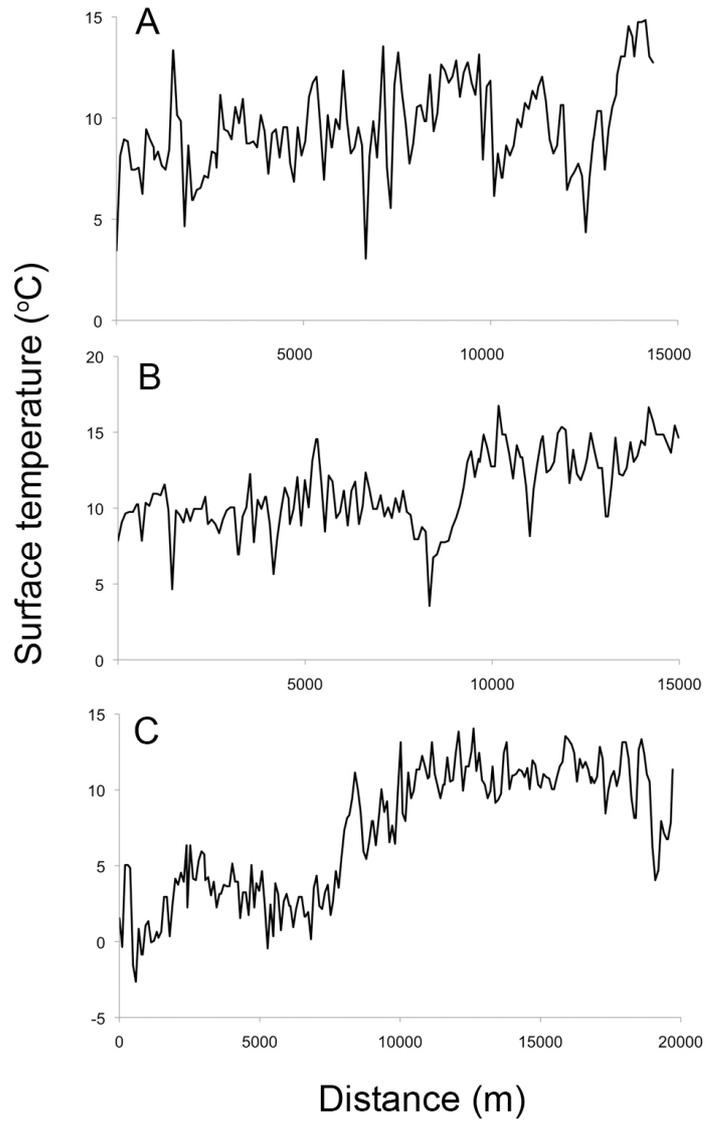


Fig. 9

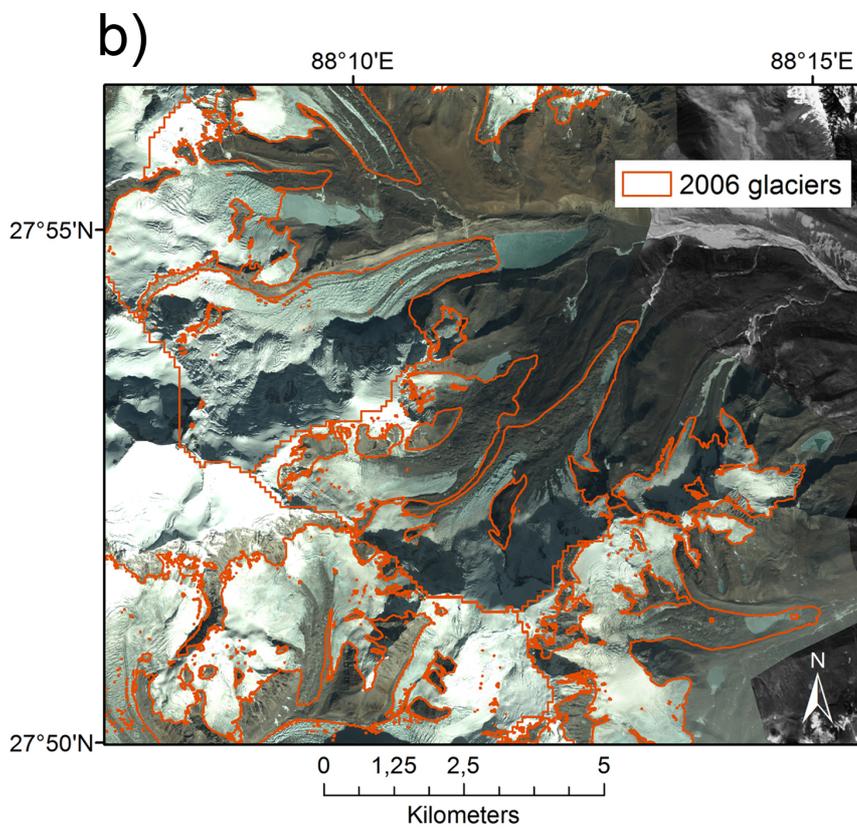
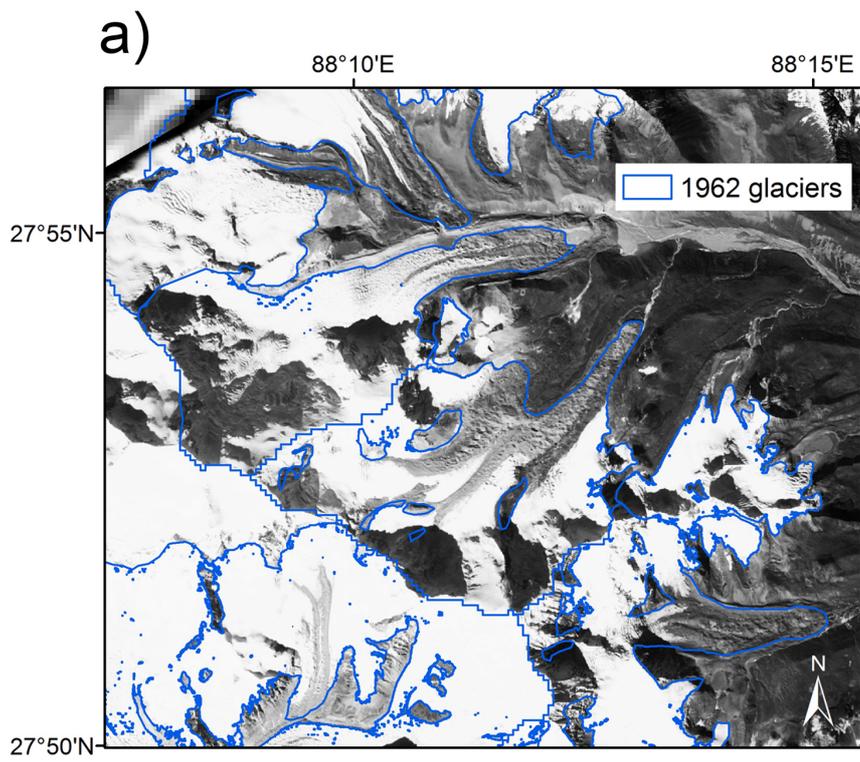


Fig. 10

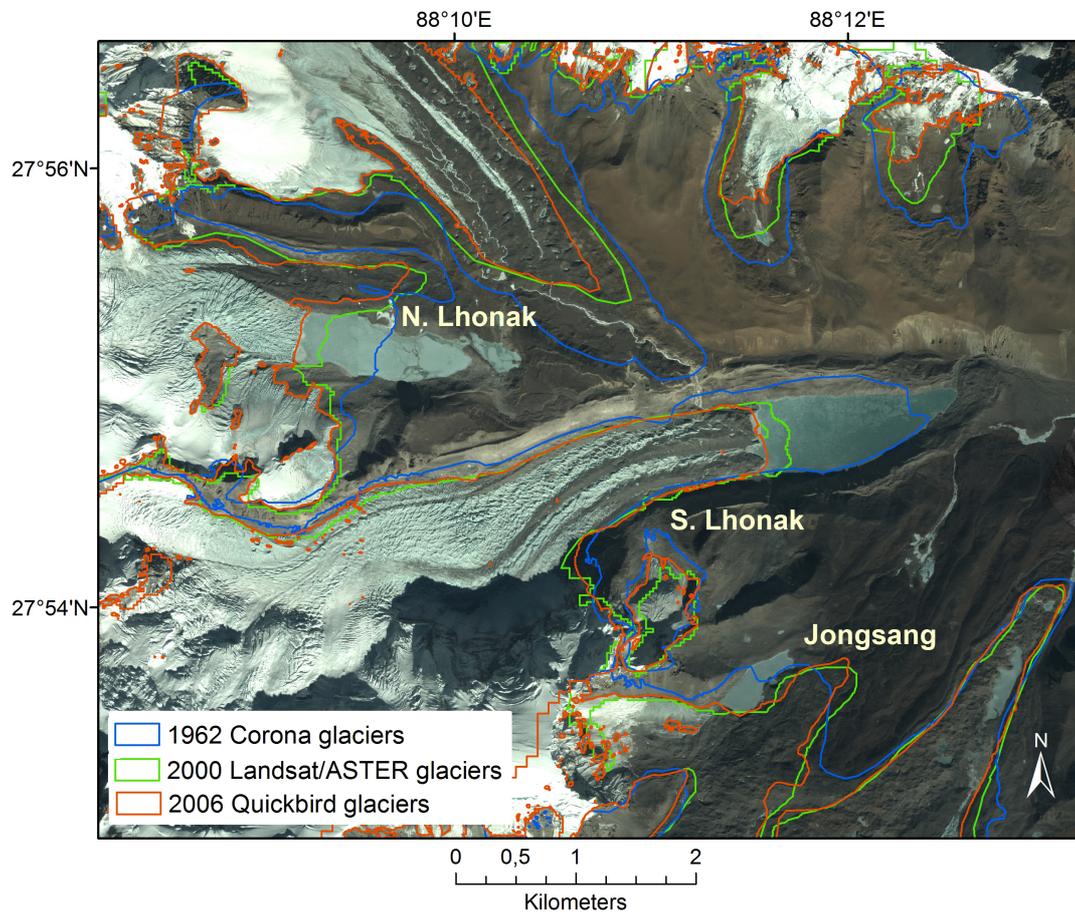


Fig. 11