Assessment of Sea Ice Simulations in the CMIP5

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9 Abstract

10 The historical simulations of sea ice during 1979 to 2005 by the Coupled Model Intercomparison Project Phase 5 (CMIP5) are compared with satellite observations, 11 Global Ice-Ocean Modeling and Assimilation System (GIOMAS) output data and 12 Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS) output data in 13 this study. Forty-nine models, almost all of the CMIP5 climate models and Earth 14 System Models with historical simulation, are used. For the Antarctic, multi-model 15 ensemble mean (MME) results can give good climatology of sea ice extent (SIE), but 16 the linear trend is incorrect. The linear trend of satellite-observed Antarctic SIE is 17 $1.29(\pm 0.57) \times 10^5$ km² decade⁻¹: only about 1/7 CMIP5 models show increasing 18 trends, and the linear trend of CMIP5 MME is negative with the value of $-3.36(\pm 0.15)$ 19 $\times 10^5$ km² decade⁻¹. For the Arctic, both climatology and linear trend are better 20 reproduced. Sea ice volume (SIV) is also evaluated in this study, and this is a first 21 attempt to evaluate the SIV in all CMIP5 models. Compared with the GIOMAS and 22 23 PIOMAS data, the SIV values in both Antarctic and Arctic are too small, especially for the Antarctic in spring and winter. The GIOMAS Antarctic SIV in September is 24 19.1×10^3 km³, while the corresponding Antarctic SIV of CMIP5 MME is 13.0×10^3 25 km³, almost 32% less. The Arctic SIV of CMIP5 in April is 27.1×10^3 km³, which is 26

also less than that from PIOMAS SIV $(29.5 \times 10^3 \text{ km}^3)$. This means that the sea ice thickness simulated in CMIP5 is too thin although the SIE is fairly well simulated.

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30 **1. Introduction**

31 The Coupled Model Intercomparison Project Phase 5 (CMIP5) provides a very useful platform for studying climate change. Simulations and projections by more than 60 32 state-of-the-art climate models and Earth System Models are archived under CMIP5. 33 34 Assessment of the performance of CMIP5 outputs is necessary for scientists to decide 35 which model outputs to use in their research and for model-developers to improve their models. Here, we focus on the assessment of sea ice simulations under CMIP5 36 historical experiment. The CMIP5 data portal contains sea ice outputs from 49 37 coupled models. Many of these CMIP5 sea ice simulations have been evaluated and 38 several valuable studies have been published. 39

40 For the Antarctic, the main problem of the CMIP5 models is their inability to 41 reproduce the observed slight increase of sea ice extent (SIE). Turner et al. (2013) first assessed CMIP5 Antarctic SIE simulations using 18 models, and summarized that the 42 majority of these models have too little SIE at the minimum sea ice period of 43 44 February, and the mean of these 18 models' SIE shows a decreasing trend over 1979-2005, opposite to the satellite observation that exhibits a slight increasing trend. 45 Polvani et al. (2013) used four CMIP5 models to study the cause of observed 46 Antarctic SIE increasing trend under the conditions of increasing greenhouse gases 47 and stratospheric ozone depletion. They concluded that it is difficult to attribute the 48 observed trend in total Antarctic sea ice to anthropogenic forcing. Zunz et al. (2013) 49 suggested that the model Antarctic sea ice internal variability is an important metric to 50 evaluate the observed positive SIE trend. Using simulations from 25 CMIP5 models, 51 52 Mahlstein et al. (2013) pointed that internal sea ice variability is large in the Antarctic 53 region and that both the observed and simulated trends may represent natural variation 54 along with external forcing.

55 For the Arctic, CMIP5 models offer much better simulations. Stroeve et al. (2012) evaluated CMIP5 Arctic SIE trends using 20 CMIP5 models. They found that the 56 seasonal cycle of SIE was well represented, and that the simulated SIE decreasing 57 trend was more consistent with the observations over the satellite era than that of 58 CMIP3 models but still smaller than the observed. They also noted the spread in 59 projected SIE through the 21st century from CMIP5 models is similar to that from 60 CMIP3 models. Massonnet et al. (2012) examined 29 CMIP5 models, and provided 61 62 several important metrics to constrain the projections of summer Arctic sea ice projection. Liu et al. (2013) also pointed out that CMIP5 projections have large 63 inter-model spread, but they also found that they could reproduce observed Arctic 64 ice-free time by reducing the large spread using two different approaches with 30 65 CMIP5 models. 66

These studies only used some of CMIP5 models' outputs because other CMIP5 model outputs were not yet submitted. By now, all the CMIP5 participants have finished their model runs and submitted their model outputs. So, here we will evaluate all CMIP5 sea ice simulations, in an attempt to provide the community a useful reference.

The rest of the paper is structured as follows. Section 2 presents sea ice data and
analysis methodology used in this study. Model assessment is given in section 3.
Conclusions and discussion are provided in section 4.

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76 2. Data and Methodology

Sea ice simulations of CMIP5 historical runs from 49 CMIP5 coupled models are now available. Monthly sea ice concentration (SIC) and sea ice thickness from these models are used in this study. These outputs are published by the Earth System Grid Federation (ESGF) (<u>http://pcmdi9.llnl.gov/esgf-web-fe/</u>) by each institute that is responsible for its model. Although there are several ensemble realizations of each CMIP5 model, the standard deviation between different ensemble realizations of each

model is small (Turner et al., 2013; Table 1). So, here we only choose the first 83 realization of each model for the analysis. CMIP5 historical runs cover the period 84 from 1850 to 2005, but the continuous sea ice satellite record only started in 1979; so 85 the period of 1979-2005 is chosen for the following analysis. Monthly 86 satellite-observed SIC is used in this study, which is based on the National 87 Aeronautics and Space Administration (NASA) team algorithm (Cavalieri et al., 1996) 88 provided National Snow and Ice (NSIDC) 89 by the Data Centre 90 (http://nsidc.org/data/seaice/). Satellite observed sea ice extent used here is also from NSIDC (ftp://sidads.colorado.edu/DATASETS/NOAA/G02135/). Sea ice volume 91 (SIV) is an important index for assessment of sea ice simulation although direct 92 observations of SIV are very limited. SIV in the Antarctic used here is from the 93 Global Ice-Ocean Modeling Assimilation System (GIOMAS) 94 and (http://psc.apl.washington.edu/zhang/Global_seaice/index.html). SIV in the Arctic is 95 from Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS) 96 (http://psc.apl.washington.edu/wordpress/research/projects/arctic-sea-ice-volume-ano 97 98 maly/). Note that SIV data from GIOMAS and PIOMAS are not observations but model simulations with data assimilation. The climatology and linear trends of 99 CMIP5 simulated SIE, SIC and SIV are compared with satellite observations and 100 GIOMAS and PIOMAS data. CMIP5 simulated SIE is computed as the total area of 101 all grid cells where SIC exceeds 15%. SIV is computed as the sum of the product of 102 SIC, the area of grid cell and sea ice thickness of each grid cell. All gridded SIC and 103 sea ice thickness are re-gridded onto 1.0° longitude by 1.0° latitude grids before the 104 analysis is performed. In this study, spring is from March to May for the Arctic, and 105 106 from September to November for the Antarctic. Summer, autumn and winter are 107 defined accordingly.

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109 3. Results

We select several metrics to assess the sea ice simulations in CMIP5 models. Meanstate, seasonal cycle, the model internal variability, linear trends and simulated errors

are used. For the Arctic sea ice, model mean state and seasonal cycle are important to 112 Arctic sea ice projection (Massonnet et al., 2012). For the Antarctic sea ice, the model 113 internal variability is an important metric to evaluate the observed positive SIE trend 114 (Zunz et al., 2013). Annual mean SIE, SIE amplitude, standard deviation of detrended 115 SIE anomaly (SIE variability), SIE linear trend and CMIP5 simulated SIE root mean 116 square (RMS) error are shown in Table 1 and Table 2. The same metrics for SIV are 117 also shown in Table 1 and Table 2. Each CMIP5 model simulated SIC and sea ice 118 119 thickness are given in the Supplementary. Detailed analyses for Antarctic and Arctic are as follows. 120

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122 **3.1 Assessment of Antarctic sea ice simulations**

123 CMIP5 multi-model ensemble mean (MME) Antarctic climatological SIE compares well with the satellite-observed SIE, but the inter-model spread is large (Fig. 1a and 124 Table 1). Satellite observations show that the Antarctic SIE has the minimum value of 125 3.0 million km^2 in February and the maximum value of 18.7 million km^2 in 126 September, and the annual mean SIE is 11.94 million km². CMIP5 MME SIE has the 127 minimum and maximum values of 3.3 and 18.7 million km², and annual mean SIE of 128 11.50 million km^2 , respectively. The seasonal cycle of observed SIE is well 129 represented by the MME SIE of the 49 CMIP5 coupled models. Satellite observed 130 monthly SIE amplitude is 15.70 million km², and CMIP5 MME value is 15.46 million 131 km². The simulated SIE errors are very small for each month. The simulated SIE 132 errors are smaller than 15% of the observations, except for March and April SIE 133 values, which are a little less than 85% of the observations. One standard deviation of 134 CMIP5 simulations, which is larger than 15% of the observations (Fig. 1a), show that 135 CMIP5 coupled models have large spread each month in terms of Antarctic SIE. Table 136 1 also shows that CMIP5 models have large spread. BNU-ESM has the largest annual 137 mean and amplitude of SIE with the values of 20.60 and 23.46 million km², and 138 MIROC5 has the smallest annual mean and amplitude of SIE with the values of 3.23 139

and 6.62 million km² (highlighted in Table 1 with bold font), respectively. BNU-ESM
simulated February SIE is even larger than MIROC5 simulated September SIE. Large
SIE spread and small MME SIE errors indicate that we should use as many models as
we can when using CMIP5 outputs.

CMIP5 model simulated and satellite observed SICs in February and September 144 during 1979-2005 are shown in Supplementary Figures 1 and 2. In February most 145 models have too less SIC compared with satellite observed, especially in the 146 Bellingshausen Sea and the Amundsen Sea. More than half of CMIP5 models have no 147 sea ice in the Bellingshausen Sea and the Amundsen Sea. CNRM-CM5, 148 149 GFDL-CM2p1, GFDL-CM3, GFDL-ESM2G, GFDL-ESM2M, IPSL-CM5B-LR and MIROC5 almost have no sea ice in February in the Antarctic. But ACCESS1.3, 150 CCSM4, CESM1-BGC, CESM1-FASTCHEM, 151 BNU-ESM, CSIRO-Mk3.6, FGOALS-g2, FIO-ESM and NorESM1-ME have more sea ice than satellite 152 observations. Although CMIP5 simulated MME SIE fits the observations well, MME 153 spatial map of SIC fits the observations not so well. MME SICs in the Weddell Sea, 154 the Bellingshausen Sea and the Amundsen Sea are too little. In September, most 155 CMIP5 models have better performance than that in February, and MME SIC also has 156 better spatial pattern. 157

158 Figures 1b and 2 show that linear trends of CMIP5 MME Antarctic SIE do not agree with the satellite observations. Many studies showed that Antarctic SIE has an 159 increasing trend since the end of 1970s (Cavalieri et al., 1997; Zwally et al., 2002; 160 Cavalieri et al., 2003; Turner et al., 2009). Satellite-observed Antarctic SIE has a 161 small increasing linear trend with the rate of $1.29(\pm 0.57) \times 10^5$ km² decade⁻¹ during 162 1979-2005, while CMIP5-simulated linear trend is $-3.36(\pm0.15)\times10^5$ km² decade⁻¹ 163 (Fig. 1b). Only eight out of 49 CMIP5 models have increasing linear trends as the 164 observations (highlighted in Table 1 with bold font). They are BCC-CSM1.1, 165 166 CMCC-CESM, CNRM-CM5-2, GISS-E2-R-CC, IPSL-CM5A-MR, IPSL-CM5B-LR, 167 MPI-ESM-MR and MRI-CGCM3. This supports the conclusion by Polvani et al. (2013) that it is difficult to attribute the observed Antarctic SIE trends to 168

anthropogenic forcing. From Table 1 we can see that several models (highlighted in 169 Table 1 with bold font) such as BCC-CSM1.1, BCC-CSM1-1-M, CanESM2, 170 CMCC-CESM, CNRM-CM5-2 and GISS-E2-R have large internal variabilities, and 171 these models always have large linear trends. This mean that the satellite observed 172 positive SIE trend may represent natural variation along with external forcing 173 (Mahlstein et al., 2013). Figure 2 shows that the monthly and seasonal trends of 174 CMIP5-simulated Antarctic SIE also do not agree with the observations. Observed 175 176 Antarctic SIE shows increasing trends in each month and each season, and the largest trend is in March and the autumn season. CMIP5 MME SIE, however, has decreasing 177 trends in each month and each season, and the largest trend is in February and the 178 179 summer season.

The trends of observed Antarctic SIC have large spatial differences (Fig. 3), but the 180 181 simulated Antarctic SIC trends are almost decreasing everywhere (Fig. 4). Figure 3 shows that decreasing SIC is mainly in the Antarctic Peninsula, which is one of the 182 three high-latitude areas showing rapid regional warming over the last 50 years 183 (Vaughan et al., 2003). SIC also decreases in the Bellingshausen Sea and the 184 Amundsen Sea in summer and autumn. The increasing SIC is mainly in the Ross Sea 185 186 all year round and in the Weddell Sea in summer and autumn. Figure 4 clearly shows that CMIP5 MME SIC has decreasing trend everywhere except in the coast of the 187 Amundsen Sea and in part of the Ross Sea in spring and winter. 188

SIV depends on both sea ice coverage and sea ice thickness. SIV is more directly tied 189 to climate forcing than SIE. So, SIV is an important climate indicator in climate study. 190 191 The observed sea ice thickness records are mainly from submarine, aircraft and satellite. But the observations are not continuously spatially or temporally over a long 192 period (Stroeve et al., 2014). For the Antarctic, the observed sea ice thickness data are 193 more limited. A climatological $2.5 \times 5.0^{\circ}$ gridded Antarctic sea ice thickness map 194 195 was provided until 2008 (Worby et al., 2008). Recently, there are several studies using 196 satellite observations of sea ice thickness (Kurtz and Markus, 2012; Xie et al., 2013). These observations provide modelers with useful validation of their models. But, 197

these data are not easily used to long-term simulation validations by now because 198 these data are not too long enough. Here, we use GIOMAS data, which is from a 199 global ice-ocean model (Zhang and Rothrock, 2003) with data assimilation capability. 200 What we should keep in mind is that GIOMAS sea ice thickness is not from 201 observations and may also have large uncertainty. CMIP5-simulated and GIOMAS 202 Antarctic sea ice thicknesses during 1979-2005 are shown in Supplementary Figure 3. 203 GIOMAS outputs show that thick sea ice is mainly in the coasts of the Weddell Sea, 204 205 the Bellingshausen Sea and the Amundsen Sea. CMIP5 MME sea ice thickness can give similar spatial patterns, but most of CMIP5 MME sea ice thickness is thinner 206 than GIOMAS sea ice thickness. The spatial pattern for each CMIP5 model has large 207 difference. BCC-CSM1.1, CESM1-CAM5-1-FV2, CMCC-CM, and CMCC-CMS fit 208 209 GIOMAS sea ice thickness well. Several CMIP5 models such as CCSM4, CESM1-BGC, CESM1-FASTCHEM, FGOALS-g2 and FIO-ESM have too thick sea 210 ice near the coasts of the Antarctic. 211

CMIP5 SIV simulations have more problems than the SIE simulations. The main 212 problems of CMIP5 Antarctic SIV simulations include too big SIV in summer, too 213 214 small SIV in winter, too large model spread, and wrong linear trend compared with the GIOMAS data (Fig. 5). The annual mean SIV from GIOMAS is 11.02×10^3 km³, 215 but CMIP5 MME SIV is only 7.73×10^3 km³ (Table 1). In February, Antarctic SIV 216 from GIOMAS is 1.9×10^3 km³, while the CMIP5 MME is 2.7×10^3 km³. In 217 September, GIOMAS SIV is 19.1×10^3 km³, while CMIP5 MME is only 13.0×10^3 218 km³, almost 32% less than the GIOMAS. We can also see from Figure 5a that the 219 model spread of Antarctic SIV in CMIP5 is very large. The one standard deviation of 220 221 modeled SIV is much larger than 15% of the GIOMAS data in every month. We checked the correlation between SIE RMS error and SIV RMS error, and we can find 222 223 that the models with small SIE RMS errors always have small SIV RMS errors (Table 1). It means that for the Antarctic models with a more realistic SIE mean state may 224 result in a convergence of estimates of SIV. Figure 5b shows that GIOMAS SIV has 225 an increasing trend of $0.45(\pm 0.09) \times 10^3$ km³ decade⁻¹, while CMIP5 MME SIV has a 226

decreasing trend of $-0.36(\pm 0.01) \times 10^3$ km³ decade⁻¹. If we check each CMIP5 model separately, we will also find only eight out of the 49 CMIP5 models have increasing SIV trend that is consistent with the GIOMAS. They are BCC-CSM1.1, CMCC-CESM, CNRM-CM5-2, IPSL-CM5A-MR, IPSL-CM5B-LR, MPI-ESM-MR, MPI-ESM-P and MRI-CGCM3 (highlighted in Table 1 with bold font).

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3.2 Assessment of Arctic sea ice simulations

234 CMIP5 shows a quite good annual cycle of Arctic SIE, but the model error in winter is larger than that in summer and model spread is large (Fig. 6a). Arctic SIE reaches 235 the maximum value of 15.7 million km² in March, and reaches the minimum value of 236 6.9 million km^2 in September, and the annual mean value is 12.02 million km^2 . The 237 238 MME climatological SIE compares well with the satellite-observed SIE. CMIP5 MME SIE reaches the maximum value of 17.2 million km^2 , and reaches the minimum 239 value of 6.8 million km^2 , and the annual mean value is 12.81 million km^2 . The 240 modeled error is less than 15% of the observations in every month. CMIP5 MME SIE 241 is bigger than the satellite observation in spring, and the modeled error is quite small 242 at other times. The model spread is large, with one standard deviation of CMIP5 243 models bigger than 15% of the observed SIE in every month (Fig. 6a). CSIRO-MK3.6, 244 GFDL-ESM2G, GISS-E2-R-CC and MRI-CGCM3 have large annual mean SIE with 245 246 the values larger than 15 million square kilometers (highlighted in Table 2 with bold font). CSIRO-MK3.6 has more sea ice in the Barents Sea in summer (Supplementary 247 Fig. 4). GFDL-ESM2G, GISS-E2-R-CC and MRI-CGCM3 have more sea ice in 248 winter (Supplementary Fig. 5). MIROC4h, MIROC-ESM, MIROC-ESM-CHEM and 249 MPI-ESM-P have small annual mean SIE with the values less than 11 million square 250 kilometers (highlighted in Table 1 with bold font). Arctic SIE amplitudes from CMIP5 251 models also have large spread. GISS-E2-R-CC has the largest amplitude with the 252 value of 16.73 million km², and FGOAL-g2 has the smallest amplitude with the value 253 of only 3.35 million km² (highlighted in Table 2 with bold font). Compared with 254

Antarctic, CMIP5 simulated Arctic SIE variability has small spread (Column c inTable 2).

CMIP5 MME SIE shows a decreasing trend that is consistent with the satellite 257 observation, though the decreasing rate is a little smaller than that of the observation 258 (Figs. 6b and 7). The satellite-observed SIE linear trend over the period of 1979-2005 259 is $-4.35(\pm 0.41) \times 10^5$ km² decade⁻¹, while CMIP5 MME SIE linear trend is only 260 $-3.71(\pm 0.19) \times 10^5$ km² decade⁻¹. BCC-CSM1.1 has the largest trend of $-8.79(\pm 0.97)$ 261 $\times 10^5$ km² decade⁻¹. Thirty-one out of the 49 CMIP5 models have smaller decreasing 262 263 rate than the observation, and NorESM1-ME has the smallest trend of $-0.21(\pm 0.43)$ $\times 10^5$ km² decade⁻¹. Both observed and CMIP5-simulated SIE in autumn has the 264 largest decreasing trend. CMIP5-simulated difference of SIE decreasing trend 265 between summer and autumn is, however, larger than that of the observations. The 266 main reason is CMIP5-simulated SIE has small reduction in summer, especially in 267 July (Fig. 7). Satellite-observed SIE decreasing rate is 5.22% per decade in July, while 268 the CMIP5-simulated decreasing rate is 3.54% per decade. The largest decreasing rate 269 is in September; the observed trend is -8.61% per decade and the simulated trend is 270 -8.46% per decade. 271

Figure 8 and 9 show that the spatial patterns of CMIP5-simulated SIC reduction rate 272 273 are consistent with the observations from 1979 to 2005, but the decreasing rates are smaller than the observed. In spring and winter, the observed decreasing SIC is 274 mainly in the Okhotsk Sea, Baffin Bay, Greenland Sea and Barents Sea; 275 CMIP5-simulated decreasing SIC is also in these regions. In summer and autumn, the 276 277 main decreasing SIC is in the Chukchi Sea, Barents Sea and Kara Sea (Figs. 8 and 9), and CMIP5 MME SIC has similar characteristics. However, CMIP5 simulations have 278 larger trends in the central Arctic Ocean. 279

Stroeve et al. (2014) compared observed sea ice thickness data in the Arctic with that of PIOMAS, and concluded that PIOMAS provides useful estimates of Arctic sea ice thickness and SIV, and can be used to access the CMIP5 models' performances. Compared with PIOMAS sea ice thickness, the main problem of CMIP5 simulations

is too little Arctic SIV all year round and too large model spread (Fig. 10). In spring, 284 the Arctic has the largest SIV. Long-term mean PIOMAS SIV is maximum in April 285 with 29.5×10^3 km³, and the corresponding CMIP5 MME is 27.1×10^3 km³. 286 Long-term mean PIOMAS SIV is minimum in September with 13.3×10^3 km³, and 287 the corresponding CMIP5 MME is 9.6×10^3 km³. Amplitude of SIV from PIOMAS is 288 16.17×10^3 km³, and CMIP5 MME can give good amplitude of SIV with 17.50×10^3 289 km³. CMIP5 SIV model spread is also very large: one standard deviation for each 290 month is much larger than 15% of GIOMAS SIV. CanESM2 has the smallest SIV of 291 9.97×10^3 km³, and CMCC-CM has the largest SIV of 33.01×10^3 km³. 292 Supplementary Figure 6 shows that BCC-CSM1-1-M, CanCM4, CanESM2, 293 GFDL-CM2p1, GISS-E2-H, GISS-E2-H-CC, GISS-E2-R, GISS-E2-R-CC, 294 MIROC4h, MIROC-ESM, and MIROC-ESM-CHEM simulated sea ice thickness is 295 significantly undervalued. Sea ice thickness in CESM1-WACCM, CMCC-CESM, 296 CMCC-CM, FGOALS-g2, IPSL-CM5B-LR, NorESM1-M, NorESM1-ME is 297 significantly overvalued. Based on PIOMAS, the linear trend of Arctic SIV during 298 1979-2005 is $-2.14(\pm 0.14) \times 10^3$ km³ decade⁻¹. CMIP5 MME trend has the same sign 299 but smaller value, at $-1.45(\pm 0.05) \times 10^3$ km³ decade⁻¹. Unlike most of CMIP5 models, 300 CESM1-WACCM SIV has a slight positive trend during 1979-2005. The reason may 301 be CESM1-WACCM SIV has large variability $(2.07 \times 10^3 \text{ km}^3)$, and its internal 302 variability is not in phase with the natural observed variability. 303

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305 4. Conclusions and discussion

The first ensemble realizations of the 49 CMIP5 historical simulations are evaluated, in terms of the performance of sea ice. Most CMIP5 models have several ensemble realizations for historical simulations. Is the standard deviation of spatial patterns between different ensemble realizations of each model is small? We plot the spatial patterns of SIC in February (Supplementary Fig. 7) and September (Supplementary Fig. 8) from different ensemble realizations from GISS-E2-R which has 15 ensemble realizations and have more ensemble realizations than most CMIP5 models. We can see that the standard deviation between different ensemble realizations from the same
model is comparable. So the first ensemble realization of each model should be able
to represent the model's performance.

316 Our results show that the Arctic sea ice simulations are better than the Antarctic sea ice simulations, and SIE simulations are better than SIV simulations. CMIP5 MME 317 SIV is too less in winter and spring because the sea ice thickness in CMIP5 models is 318 too thin in winter and spring compared with the GIOMAS and PIOMAS data. In the 319 Antarctic, MME can reproduce good mean state and monthly amplitude for SIE, but 320 321 for SIV MME mean state and amplitude are smaller. In the Arctic, MME can 322 reproduce good mean state and monthly amplitude for both SIE and SIV. CMIP5 simulations have very different variability (indicated by standard deviation of 323 detrended monthly SIE and SIV) for different models. From Tables 1 and 2 we can 324 conclude that the performance of each model is different. For the Antarctic, 325 ACCESS1.0, BCC-CSM1.1, CESM1-CAM5-1-FV2, CMCC-CM, EC-EARTH, 326 GISS-E2-H-CC, MIROC-ESM, MIROC-ESM-CHEM, MRI-CGCM3, MRI-ESM1 327 and NorESM1-M can give better SIE and SIV mean state. For the Arctic, ACCESS1.3, 328 CCSM4, CESM1-BGC, CESM1-CAM5, CESM1-CAM5-1-FV2, 329 CESM1-FASTCHEM, EC-EARTH, MIROC5, NorESM1-M and NorESM1-ME can 330 give better mean state of SIE and SIV. The Arctic SIE linear trends of BNU-ESM, 331 CanCM4, CESM1-FASTCHEM, EC-EARTH, GFDL-CM2p1, 332 HadCM3. 333 HadGEM2-AO, MIROC-ESM-CHEM, MPI-ESM-MR and MRI-ESM1 are closed to the observations. 334

Both satellite-observed Antarctic SIE and GIOMAS Antarctic SIV show increasing trends over the period of 1979-2005, but CMIP5 MME Antarctic SIE and SIV have decreasing trends. Only eight models' SIE and eight models' SIV show increasing trends. Can these few CMIP5 models give correct Antarctic sea ice trend? If we use these eight CMIP5 models to plot Antarctic SIC trends (not shown) as in Fig. 4, we will find that these eight CMIP5 model mean SIC trends have different spatial patterns with the observations (Fig. 3) although their model mean SIE and SIV have increasing trends. Satellite observed Antarctic SIE has increased trends, but when we
use satellite observed sea ice record, we should also keep in mind that satellite
observed sea ice record may also has large uncertainty. Eisenman et al. (2014) point
out that sensor transition may cause a substantial change in the long-term trend.

We can see that the CMIP5 MME does a good job in terms of climatological mean, 346 but their inter-model spread is large. The number of models used in published studies 347 is usually less than the total CMIP5 models. How many models can give similar good 348 simulations as all the available CMIP5 models? We first choose the CMIP5 models 349 350 randomly. The model number changes from 1 to 49. We then calculate the SIE and 351 SIV RMS errors between MME and observations or GIOMAS and PIOMAS datasets. For each fixed model number, we choose these models randomly many times, and 352 then calculate the mean of the RMS errors. Figure 11 shows the ratio of SIE and SIV 353 354 RMS errors between the errors calculated using different number of CMIP5 models and the errors calculated using all 49 CMIP5 models. We can see that the model errors 355 decrease quickly as the model number increases; and the more models we use, the 356 smaller error we have. For a fixed model number, the ratios of SIE are larger than the 357 ratios of SIV, and Antarctic SIE has the largest ratio. When the model number is 358 greater than 30, the model errors do not change much anymore. If we choose a 359 criterion of RMS error larger than 15% of all the model RMS error, the model number 360 of 22 is the critical number for Arctic SIE. It means that more than 22 CMIP5 models 361 362 should give similar MME as all 49 CMIP5 models.

In this study, satellite observations, PIOMAS and GIOMAS data during the period of 1979-2005 are used to access the sea ice simulations from CMIP5 models. We always expect the models can capture the observed trends during this period. But we should note that simulations without data assimilation are always out of phase with the natural variability seen in the observations. So the differences between simulations and observations can either be due to model biases or natural climate variability (Stroeve et al., 2014).

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Figure 1. Climatology (a), anomaly and linear trend (b) of satellite observed and
CMIP5 simulated Antarctic sea ice extent during 1979-2005. Two annual cycles are
plotted in (a). The error bar is the range of one standard deviation.



451 Figure 2. Monthly (a) and seasonal (b) linear trends of satellite observed and
452 CMIP5-simulated Antarctic sea ice extent during 1979-2005.



Figure 3. Linear trends (unit: % per decade) of satellite observed Antarctic sea ice concentration during 1979 to 2005. (a) Spring, (b) summer, (c) autumn, and (d) winter.

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460 Figure 4. Linear trends (units: % per decade) of CMIP5-simulated Antarctic sea ice

461 concentration during 1979-2005. (a) Spring, (b) summer, (c) autumn, and (d) winter.



Figure 5. Climatology (a), anomaly and linear trend (b) of GIOMAS and CMIP5
simulated Antarctic sea ice volume during 1979-2005. Two annual cycles are plotted
in (a). The error bar is the range of one standard deviation.



Figure 6. Climatology (a), anomaly and linear trend (b) of satellite observed and
CMIP5-simulated Arctic sea ice extent during 1979-2005. Two annual cycles are
plotted in (a). The error bar is the range of one standard deviation.



474 Figure 7. Monthly (a) and seasonal (b) linear trends of satellite observed and475 CMIP5-simulated Arctic sea ice extent during 1979-2005.



478 Figure 8. Linear trends (units: % per decade) of satellite observed Arctic sea ice479 concentration during 1979-2005. (a) Spring, (b) summer, (c) autumn, and (d) winter.



Figure 9. Linear trends (units: % per decade) of CMIP5-simulated Arctic sea ice
concentration during 1979-2005. (a) Spring, (b) summer, (c) autumn, and (d) winter.

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Figure 10. Climatology (a), anomaly and linear trend (b) of PIOMAS and
CMIP5-simulated Arctic sea ice volume during 1979-2005. Two annual cycles are
plotted in (a). The error bar is the range of one standard deviation.



Figure 11. The ratio of SIE and SIV RMS errors between the errors calculated using
different number of CMIP5 models and the error calculated using all 49 CMIP5
models.

495 Tables

Table 1. Antarctic sea ice metrics in CMIP5 models, satellite observations and GIOMAS dataset. Column (a) is mean annual SIE in million km². Column (b) is monthly SIE amplitude in million km². Column (c) is standard deviation of detrended monthly SIE anomaly in million km². Column (d) is linear trend in monthly SIE in 10^5 km² decade⁻¹, and the value in parentheses is 95% confidence level. Column (e) is monthly SIE root mean square error in million km². Column (f) is mean annual SIV in 10^3 km³. Column (g) is monthly SIV amplitude in 10^3 km³. Column (h) is standard deviation of detrended monthly SIV anomaly in 10^3 km³. Column (i) is linear trend in monthly SIV in 10^3 km³ decade⁻¹, and the

value in parentheses is 95% confidence level. Column (j) is monthly SIV root mean square error in 10^3 km³.

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
Observations or GIOMAS	11.94	15.70	0.40	1.29(0.57)		11.02	17.17	0.63	0.45(0.09)	
Multi-model ensemble	11 50	15.46	0.11	-3 36(0 15)	0.71	7 73	10 31	0.10	-0.36(0.01)	4 20
mean (MME)	11.50	15.40	0.11	-5.50(0.15)	0.71	1.15	10.51	0.10	-0.50(0.01)	4.20
ACCESS1.0	12.10	19.12	0.59	-1.72(0.83)	1.57	6.30	11.35	0.43	-0.15(0.06)	5.20
ACCESS1.3	14.24	15.77	0.54	-0.97(0.77)	2.31	10.71	9.78	0.67	-0.03(0.09)	2.75
BCC-CSM1.1	13.42	19.32	1.27	2.71(1.78)	2.11	7.13	11.51	0.92	0.09(0.13)	4.41
BCC-CSM1-1-M	12.26	18.86	1.06	-20.03(1.49)	1.52	5.65	9.98	0.71	-1.20(0.10)	5.92
BNU-ESM	20.60	23.46	0.82	-9.60(1.15)	9.19	18.49	22.48	0.87	-2.03(0.12)	7.89
CanCM4	14.65	20.58	0.74	-2.79(1.03)	3.40	3.09	4.81	0.28	-0.06(0.04)	9.21
CanESM2	14.69	20.64	0.96	-7.74(1.35)	3.42	3.09	4.82	0.40	-0.15(0.06)	9.22

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
CCSM4	18.37	13.70	0.58	-7.34(0.82)	6.64	19.34	18.63	1.12	-1.56(0.16)	8.34
CESM1-BGC	17.67	14.05	0.49	-6.68(0.69)	5.93	18.28	18.31	0.91	-1.19(0.13)	7.28
CESM1-CAM5	14.06	14.78	0.47	-5.52(0.66)	2.58	11.22	16.05	0.58	-0.97(0.08)	1.13
CESM1-CAM5-1-FV2	13.01	14.11	0.58	-3.16(0.82)	1.77	9.96	14.12	0.74	-0.22(0.10)	1.89
CESM1-FASTCHEM	17.86	13.42	0.60	-8.78(0.84)	6.14	18.41	18.15	1.18	-1.70(0.17)	7.42
CESM1-WACCM	14.33	12.57	0.39	-6.45(0.54)	2.95	11.55	13.15	0.66	-0.91(0.09)	1.80
CMCC-CESM	11.84	19.43	0.99	2.91(1.39)	2.01	6.70	11.18	0.71	0.26(0.10)	4.91
CMCC-CM	11.81	16.84	0.67	-2.49(0.94)	0.90	6.82	10.14	0.48	-0.05(0.07)	4.97
CMCC-CMS	11.74	19.33	0.87	-1.52(1.23)	1.83	6.31	10.70	0.59	-0.12(0.08)	5.34
CNRM-CM5	7.78	16.98	0.77	-2.59(1.09)	4.53	3.01	7.81	0.42	-0.10(0.06)	8.79
CNRM-CM5-2	9.28	14.08	1.08	4.29(1.51)	3.16	4.93	9.78	1.02	0.38(0.14)	6.77
CSIRO-Mk3.6	15.92	12.11	0.67	-1.64(0.95)	4.89	12.13	13.28	0.65	-0.29(0.09)	2.62
EC-EARTH	10.66	17.18	0.66	-7.94(0.92)	1.72	6.09	9.44	0.58	-0.66(0.08)	5.75
FGOALS-g2	17.10	17.29	0.48	-1.47(0.67)	5.28	15.65	13.89	0.74	-0.14(0.10)	4.88
FIO-ESM	17.19	12.21	0.49	-8.53(0.68)	5.61	21.23	13.98	1.16	-1.57(0.16)	10.31
GFDL-CM2p1	8.00	15.38	0.81	-6.33(1.14)	4.01	2.45	5.55	0.30	-0.19(0.04)	9.57
GFDL-CM3	6.25	12.06	0.73	-6.82(1.02)	5.82	1.92	4.16	0.37	-0.30(0.05)	10.29
GFDL-ESM2G	8.11	14.34	0.63	-4.45(0.88)	3.90	2.71	5.81	0.41	-0.24(0.06)	9.31
GFDL-ESM2M	6.39	12.23	0.41	-1.61(0.58)	5.65	1.81	4.20	0.16	-0.09(0.02)	10.36

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
GISS-E2-H	6.21	10.62	0.38	-1.89(0.53)	6.03	3.24	7.19	0.27	-0.24(0.04)	8.65
GISS-E2-H-CC	12.18	19.07	0.75	-5.75(1.05)	1.52	6.70	14.16	0.51	-0.54(0.07)	4.57
GISS-E2-R	7.74	14.31	1.01	-3.39(1.42)	4.31	3.06	6.17	0.47	-0.16(0.07)	8.92
GISS-E2-R-CC	8.12	14.55	0.66	0.82(0.92)	3.93	3.12	6.24	0.35	0.00(0.05)	8.86
HadCM3	14.26	19.95	0.78	-2.74(1.10)	3.28	14.70	21.87	0.83	-0.49(0.12)	4.13
HadGEM2-AO	9.11	14.29	0.59	-5.31(0.83)	3.20	5.58	9.70	0.49	-0.42(0.07)	6.26
HadGEM2-CC	9.12	14.29	0.72	-0.85(1.02)	3.25	5.50	9.68	0.61	-0.05(0.09)	6.34
HadGEM2-ES	9.82	15.02	0.70	-3.25(0.98)	2.60	6.16	10.33	0.61	-0.41(0.09)	5.66
INMCM4	6.25	10.91	0.48	-4.00(0.68)	6.04	2.81	6.12	0.38	-0.28(0.05)	9.21
IPSL-CM5A-LR	9.66	19.06	0.84	-5.03(1.17)	3.43	4.13	8.66	0.53	-0.26(0.07)	7.70
IPSL-CM5A-MR	8.08	17.30	0.74	1.69(1.04)	4.56	2.80	6.50	0.35	0.01(0.05)	9.21
IPSL-CM5B-LR	3.34	8.09	0.42	0.59(0.59)	9.09	1.22	3.32	0.20	0.04(0.03)	11.10
MIROC4h	10.90	17.53	0.61	-7.96(0.86)	1.33	5.35	9.74	0.41	-0.51(0.06)	6.28
MIROC5	3.23	6.62	0.29	-1.03(0.41)	9.29	1.40	3.15	0.16	-0.07(0.02)	10.93
MIROC-ESM	12.65	19.12	0.64	-5.83(0.91)	1.47	7.23	10.72	0.47	-0.48(0.07)	4.46
MIROC-ESM-CHEM	13.38	19.80	0.53	-2.15(0.74)	2.07	8.08	11.59	0.49	-0.21(0.07)	3.61
MPI-ESM-LR	7.70	15.08	0.73	-2.95(1.03)	4.50	3.41	6.35	0.38	-0.19(0.05)	8.64
MPI-ESM-MR	7.90	15.62	0.84	4.41(1.17)	4.28	3.54	7.06	0.48	0.24(0.07)	8.39
MPI-ESM-P	7.91	15.69	0.75	-0.25(1.06)	4.34	3.48	6.48	0.45	0.05(0.06)	8.56

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
MRI-CGCM3	13.43	15.99	0.66	1.52(0.93)	1.67	10.72	13.05	0.63	0.22(0.09)	2.04
MRI-ESM1	13.24	16.32	0.75	-0.62(1.05)	1.53	10.14	13.00	0.58	-0.03(0.08)	2.25
NorESM1-M	13.08	14.19	0.57	-0.71(0.80)	1.24	13.88	12.41	1.17	-0.07(0.16)	3.66
NorESM1-ME	16.98	14.19	0.60	-3.77(0.84)	5.24	17.57	16.82	1.40	-0.74(0.20)	6.59

Table 2. Arctic sea ice metrics in CMIP5 models, satellite observations and PIOMAS dataset. Column (a) is mean annual SIE in million km^2 . Column (b) is monthly SIE amplitude in million km^2 . Column (c) is standard deviation of detrended monthly SIE anomaly in million km^2 . Column (d) is linear trend in monthly SIE in 10⁵ km² decade⁻¹, and the value in parentheses is 95% confidence level. Column (e) is monthly SIE root mean square error in million km². Column (f) is mean annual SIV in 10³ km³. Column (g) is monthly SIV amplitude in 10³ km³. Column (h) is standard deviation of detrended monthly SIV anomaly in 10³ km³. Column (i) is linear trend in monthly SIV in 10³ km³ decade⁻¹, and the value in parentheses is 95% confidence level. Column (j) is monthly SIV root mean square error in 10³ km³.

Data sources or CMIP5	(2)	(b)	(c)	(d)	(a)	(f)	(g)	(b)	(i)	(i)
models	(a)	(0)	(t)	(u)	(6)	(1)	(g)	(11)	(1)	0)
Observations or PIOMAS	12.02	8.80	0.29	-4.35(0.41)		21.85	16.17	1.02	-2.14(0.14)	
Multi-model ensemble	12.81	10.40	0.13	-3.71(0.19)	1.07	18.45	17.50	0.35	-1.45(0.05)	3.57
mean (MME)				(,						
ACCESS1.0	12.13	10.33	0.41	-5.51(0.57)	0.94	15.41	18.74	1.05	-1.58(0.15)	6.60
ACCESS1.3	11.79	9.47	0.43	-0.78(0.60)	0.73	18.81	17.02	1.02	-1.05(0.14)	3.23

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
BCC-CSM1.1	14.86	15.39	0.69	-8.79(0.97)	3.70	14.29	22.70	1.00	-2.01(0.14)	8.02
BCC-CSM1-1-M	13.19	15.96	0.65	-5.19(0.92)	2.87	11.04	20.69	0.87	-0.74(0.12)	11.02
BNU-ESM	14.72	12.61	0.50	-4.41(0.70)	3.19	23.03	19.79	1.23	-4.37(0.17)	1.83
CanCM4	12.79	14.77	0.52	-4.97(0.73)	2.49	11.41	15.35	0.97	-0.38(0.14)	10.47
CanESM2	12.01	13.76	0.49	-6.80(0.69)	1.91	9.97	14.21	0.63	-1.18(0.09)	11.92
CCSM4	12.33	8.56	0.44	-1.34(0.62)	0.42	20.27	16.16	1.51	-1.54(0.21)	1.82
CESM1-BGC	12.10	7.96	0.41	-2.85(0.58)	0.35	20.30	15.52	1.51	-2.63(0.21)	1.86
CESM1-CAM5	12.33	8.35	0.38	-1.87(0.53)	0.52	22.73	16.01	1.96	-1.22(0.28)	1.35
CESM1-CAM5-1-FV2	12.52	8.68	0.42	-5.07(0.59)	0.64	23.17	16.01	1.87	-3.63(0.26)	1.49
CESM1-FASTCHEM	12.02	8.86	0.39	-3.70(0.55)	0.25	18.27	15.86	1.37	-1.98(0.19)	3.69
CESM1-WACCM	13.44	8.10	0.36	-2.88(0.51)	1.51	27.32	9.47	2.07	0.09(0.29)	6.27
CMCC-CESM	13.97	9.33	0.36	-2.63(0.51)	2.12	28.75	11.93	1.38	-1.44(0.19)	7.11
CMCC-CM	13.99	7.35	0.30	-5.09(0.43)	2.06	33.01	9.87	1.73	-2.40(0.24)	11.52
CMCC-CMS	12.64	7.92	0.34	-2.87(0.48)	0.82	28.29	9.73	1.29	-1.18(0.18)	6.89
CNRM-CM5	12.41	11.41	0.46	-7.58(0.65)	1.11	14.44	20.22	0.99	-1.76(0.14)	7.60
CNRM-CM5-2	14.20	10.65	0.45	-2.32(0.63)	2.40	20.11	21.83	1.29	-0.96(0.18)	2.76
CSIRO-Mk3.6	16.13	7.57	0.30	-5.33(0.42)	4.20	25.94	12.16	0.81	-2.32(0.11)	4.30
EC-EARTH	12.45	8.04	0.35	-3.84(0.49)	0.57	24.01	12.44	1.90	-0.59(0.27)	2.86
FGOALS-g2	11.68	3.35	0.13	-1.44(0.18)	1.86					

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
FIO-ESM	12.46	10.27	0.40	-2.23(0.57)	1.00	18.94	18.96	1.86	-1.69(0.26)	3.15
GFDL-CM2p1	12.58	12.85	0.54	-3.76(0.75)	1.68	11.11	18.13	0.87	-1.01(0.12)	10.80
GFDL-CM3	12.22	8.71	0.33	-2.89(0.46)	0.41	15.25	15.47	1.31	-1.18(0.18)	6.61
GFDL-ESM2G	15.72	13.72	0.48	-7.05(0.68)	4.24	16.91	19.33	1.24	-1.77(0.17)	5.17
GFDL-ESM2M	12.46	11.06	0.53	-0.31(0.74)	0.98	12.13	16.11	1.02	-0.56(0.14)	9.75
GISS-E2-H	12.96	14.87	0.54	-5.07(0.75)	2.47	13.61	25.67	0.76	-0.91(0.11)	9.10
GISS-E2-H-CC	13.94	14.24	0.60	-5.91(0.84)	2.80	14.94	27.49	0.80	-1.29(0.11)	8.23
GISS-E2-R	13.65	15.17	0.49	-6.31(0.69)	2.89	15.50	29.32	0.75	-1.28(0.11)	8.17
GISS-E2-R-CC	15.13	16.73	0.48	-5.65(0.67)	4.28	17.16	31.86	0.76	-1.08(0.11)	7.64
HadCM3	13.94	13.59	0.56	-4.74(0.78)	2.78	21.07	26.96	0.87	-2.25(0.12)	4.46
HadGEM2-AO	11.38	10.75	0.40	-3.81(0.56)	1.15	16.58	20.16	0.84	-0.98(0.12)	5.53
HadGEM2-CC	13.20	10.68	0.45	-3.10(0.63)	1.45	21.56	21.55	0.96	-2.47(0.13)	2.22
HadGEM2-ES	12.34	11.21	0.43	-6.03(0.60)	1.14	18.85	21.13	1.00	-1.69(0.14)	3.64
INMCM4	12.92	12.02	0.42	-0.21(0.59)	1.61	15.20	22.08	0.96	-0.21(0.13)	7.07
IPSL-CM5A-LR	12.72	10.07	0.44	-3.03(0.62)	1.14	21.87	16.41	1.48	-0.96(0.21)	1.66
IPSL-CM5A-MR	11.06	9.55	0.35	-2.85(0.49)	1.25	14.83	16.32	0.92	-1.69(0.13)	7.17
IPSL-CM5B-LR	14.06	8.28	0.40	-0.77(0.56)	2.08	27.28	13.11	2.91	-1.37(0.41)	6.25
MIROC4h	10.66	9.65	0.40	-3.11(0.56)	1.47	10.86	16.48	0.82	-1.00(0.12)	11.02
MIROC5	12.12	6.63	0.29	-6.78(0.40)	0.65	25.31	14.88	1.09	-3.68(0.15)	3.81

Data sources or CMIP5 models	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
MIROC-ESM	10.40	8.05	0.34	-1.91(0.47)	1.69	11.09	14.36	0.62	-1.04(0.09)	10.79
MIROC-ESM-CHEM	10.83	7.89	0.46	-4.24(0.65)	1.30	12.59	14.73	1.39	-1.69(0.20)	9.29
MPI-ESM-LR	11.10	7.95	0.40	-2.48(0.56)	1.01	15.07	16.87	0.85	-1.23(0.12)	6.85
MPI-ESM-MR	11.07	8.00	0.40	-4.94(0.56)	1.02	15.20	17.30	0.90	-1.75(0.13)	6.74
MPI-ESM-P	10.94	8.27	0.34	-1.83(0.48)	1.13	13.45	17.05	1.13	-0.80(0.16)	8.46
MRI-CGCM3	15.01	15.27	0.47	-1.44(0.66)	3.97	15.70	19.40	1.48	-0.55(0.21)	6.33
MRI-ESM1	14.65	14.67	0.61	-4.07(0.86)	3.52	15.21	18.89	1.74	-1.56(0.24)	6.76
NorESM1-M	12.01	5.96	0.25	-1.98(0.36)	0.90	23.77	11.23	1.57	-0.68(0.22)	3.11
NorESM1-ME	12.47	5.99	0.31	-0.21(0.43)	0.97	23.97	9.71	2.14	-0.46(0.30)	3.69