

Snow depth mapping in high alpine catchments using digital photogrammetry

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Abstract

Information on snow depth and its spatial distribution is crucial for numerous applications in snow and avalanche research as well as in hydrology and ecology. Today snow depth distributions are usually estimated using point measurements performed by automated weather stations and observers in the field combined with interpolation algorithms. However, these methodologies are not able to capture the high spatial variability of the snow depth distribution present in alpine terrain. Continuous and accurate snow depth mapping has been successfully performed using laser scanning but this method can only cover limited areas and is expensive. We use the airborne ADS80 opto-electronic scanner, acquiring stereo-imagery with 0.25 m spatial resolution to derive digital surface models (DSMs) of winter and summer terrains in the neighborhood of Davos, Switzerland. The DSMs are generated using photogrammetric image correlation techniques based on the multispectral nadir and backward looking sensor data. We compare these products with the following independent datasets acquired simultaneously: a) manually measured snow depth plots b) differential Global Navigation Satellite System (dGNSS) points c) Terrestrial Laser Scanning (TLS) and d) Ground Penetrating Radar (GPR) datasets, to assess the accuracy of the photogrammetric products. We demonstrate that the presented method can be used to map snow depth at two-meter resolution with a vertical depth accuracy of ± 30 cm (root mean square error) in the complex

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1 | topography of the Alps. The presented snow depth maps have an average accuracy that is better than
2 | 15% compared to the average snow depth of 2.2 m over the entire test site.

3 | 1 Introduction

4 | Snow is an important resource in alpine regions not only for tourism (e.g. Elsasser and Bürki,
5 | 2002; Nöthiger and Elsasser, 2004; Rixen et al., 2011) but also for hydropower generation
6 | and water supply (e.g. Marty, 2008; Farinotti et al., 2012), ecological aspects of the local
7 | mountain flora and fauna (e.g. Wipf et al., 2009). Snow is also important in the context of
8 | natural hazard prevention, such as avalanches or flood forecast in spring and early summer for
9 | the valleys downstream. For the latter it has been shown that the snow distribution at the
10 | winter maximum before the beginning of the melting period strongly determines the temporal
11 | evolution of the remaining snow resources and - if converted to snow water equivalent (Jonas
12 | et al. 2010) - the potential melt water run-off during the melting period (Egli et al. 2011).
13 | Several studies reported a very high spatial variability of snow depth and other snow pack
14 | parameters at different spatial scales in mountainous regions. (e.g. Elder et al. 1991;
15 | Schweizer et al. 2008, Lehning et al. 2008, Grünewald, et al. 2010, Egli, 2011). This high
16 | variation of snow cover distribution at very small scales requires a high spatial resolution of
17 | snow samples to measure different parameters of the snow pack such as e.g. the areal mean
18 | snow depth on complex Alpine topography and the temporal evolution of snow covered areas
19 | during melt with high areal representativeness and low absolute uncertainty. In other words,
20 | snow pack monitoring in Alpine terrain requires an area wide observation with a large number
21 | of snow depth point measurements distributed over the area of interest.

22 | Currently, in the Swiss Alpine region snow depth is measured at specific locations by
23 | automated weather stations or observers in the field, while both observations are restricted to
24 | flat sites exhibiting a rather homogeneous snow cover (Bründl et al. 2004; Egli 2008). These
25 | flat field point measurements are assumed to represent snow cover characteristics for a larger
26 | area around the stations and are therefore interpolated over large distances and are combined
27 | with snow cover information from optical satellites (Foppa et al., 2007). This method is
28 | unable to capture the small-scale variability of snow depth. Investigations on the
29 | representativeness of point snow depth measurements on snow depth for entire catchments are
30 | sparse (Grünewald and Lehning 2014).

31 | Remote sensing instruments have been used for snow related studies since such data became
32 | available (e.g. Rango and Itten, 1976; Dozier 1984, Hall and Martinec, 1985). A very

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Gelösch: The results of this investigation demonstrate the potential of optical scanners for wide-area, continuous and high spatial resolution snow-depth mapping over alpine catchments above tree line.

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1 common parameter measured by remote sensing instruments is snow-covered area (SCA).
2 Operational products on global scale such as Modis Snow-cover Products (Hall et al., 2002)
3 or GlobSnow (Koetz et al., 2008) are widely used today (Frei et al., 2012). For example
4 Dozier (1989), Nolin and Dozier (1993), Fily et al. (1997) and Dozier et al. (2009) published
5 investigations on snow grain size with finer spatial resolution on regional scale. Snow depth
6 and Snow Water Equivalent (SWE) has been assessed using passive microwave sensors (e.g.
7 Ulaby and Stiles, 1980; Chang et al. 1982; Pulliainen, 2006). However due to the coarse
8 spatial resolution of these sensors (25 km), the results do not display small-scale snow cover
9 characteristics of alpine catchments. Active microwave sensors use much smaller wavelength
10 (mm to cm) and achieve finer spatial resolutions up to 20m (e.g. Schanda et al. 1983; Shi and
11 Dozier 2000; Rott and Nagler 1994). However this method is limited to dry snowpacks and
12 faces problems in steep high-alpine terrain (Buchroithner 1995). Nolin (2011) and Dietz et al.
13 (2012) give an overview on recent advances in remote sensing of snow.

14 Terrestrial Laser Scanning (TLS) was previously used to derive spatially continuous snow
15 depth (Prokop, 2008; Gruenewald et al., 2010). Even though the accuracy of such
16 measurements is very good (usually better than 0.1 m, depending on laser footprint and
17 distance from sensor), large-scale catchments such as the Dischma valley (Figure 1) cannot be
18 covered completely. Data acquisition with TLS is time/manpower consuming and only
19 possible at easily accessible spots under fair conditions (avalanche situation, weather) for
20 areas within line-of-sight from the measurement location. This results in limited coverage and
21 many data gaps e.g. behind bumps. Airborne laser scanning (ALS) from helicopters or
22 airplanes can cover larger areas in shorter time also under difficult avalanche danger
23 situations. Recent studies demonstrate that accurate mapping of snow depth is possible
24 (Deems et al. 2013, Mevold and Skaugen 2013). However, the costs to cover larger areas are
25 still high (Bühler et al., 2012) and over-flights are, as with digital photogrammetry, restricted
26 to fair weather conditions.

27 Previous attempts to map snow depth using scanned aerial imagery were already made 50
28 years ago (Smith et al. 1967) and the topic was investigated in detail by Cline (1993 and
29 1994). However their results suffer from image saturation and insufficient reference data
30 leading them to the conclusion that photogrammetry has big potential but is not yet accurate
31 enough for large scale snow depth mapping. Ledwith and Lunden (2010) used scanned aerial
32 imagery to derive digital elevation models over glaciated and snow-covered areas in Norway.

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Gelösch: However, the costs to cover larger areas are very high (Bühler et al., 2012) and over-flights are also restricted to fair weather conditions.

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Gelösch: Remote sensing instruments have been used for snow related studies since such data was available (e.g. Rango and Itten, 1976; Dozier 1984, Hall and Martinec, 1985). A very common parameter measured by remote sensing instruments is snow-covered area (SCA). Operational products on global scale such as Modis Snow-cover Products (Hall et al., 2002) or GlobSnow (Koetz et al., 2008) are widely used today (Frei et al., 2012). For example Dozier (1989), Nolin and Dozier (1993), Fily et al. (1997) and Dozier et al. (2009) published investigations on snow grain size with finer spatial resolution. Snow depth and Snow water equivalent (SWE) has been assessed using passive microwave sensors (e.g. Ulaby and Stiles, 1980; Chang et al. 1982; Pulliainen, 2006). However due to the coarse spatial resolution of these sensors (25km), the results do not display small-scale snow cover characteristics of alpine catchments. Active microwave sensors use much smaller wavelength (mm to cm) and achieve finer spatial resolutions up to 20m (e.g. Schanda et al. 1983; Shi and Dozier 2000; Rott and Nagler 1994). However this method is limited for dry snowpacks and faces problems in steep high-alpine terrain (Buchroithner 1995). Nolin (2011) and Dietz et al. (2012) give an overview on recent advances in remote sensing of snow.

1 They report a mean accuracy of 2.8 m in comparison with differential Global Navigation
2 Satellite System (dGNSS) transects, which is clearly too low for meaningful snow depth
3 mapping in alpine regions. Lee et al. (2008) used a DMC digital frame camera to cover an
4 area of approximately 2.3 km² with a very high mean Ground Sampling Distance (GSD) of
5 0.08 m. The reported mean differences compared to dGNSS measurements are approximately
6 0.15 m stressing the big potential of digital photogrammetry for accurate snow depth
7 mapping. However no snow depth mapping has been performed and been compared to
8 different reference data sets, covering larger areas.

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9 In this investigation we apply digital photogrammetry based on high spatial resolution aerial
10 imagery (0.25 m) to calculate digital surface models (DSM) of winter and summer terrain.
11 Traditional photogrammetry using analogue aerial imagery and 8bit digital sensors faced
12 problems over snow-covered areas mainly due to saturation and the homogenous surface
13 (Kraus, 2004). Modern digital sensors can acquire data with 12bit radiometric resolution to
14 overcome these limitations. We calculate spatially continuous snow depth maps using the
15 summer and winter DSMs for two test sites near Davos, Switzerland (145km² in total). This
16 technology is much more economical to cover large areas than ALS or TLS but still has an
17 acceptable spatial resolution to map the small-scale spatial variability. To assess the accuracy
18 of our results we compare the calculated snow depths to hand measurements, dGNSS points,
19 TLS measurements and GPR transects acquired simultaneously with the aerial imagery.

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20 **2 Test sites Wannengrat and Dischma, Davos, Switzerland**

21 The two areas covered by the ADS80 sensor on a Pilatus Porter airplane are located close to
22 the winter sport resort Davos in the eastern part of Switzerland (Figure 1).

23 The Wannengrat test site is located to the north of Davos and covers an area of approximately
24 3.5 x 7.5 km (26.25 km²). The valley bottom is about 1500 m a.s.l., the highest peaks reach up
25 to 2780 m a.s.l (Amselflue at the southwestern part of the test site). The large ski resort
26 Davos-Parsonn is located at the northeastern edge of the test site. The covered mountain chain
27 is characterized by high-alpine meadows, rock faces and scree covered areas. The area below
28 2000 m a.s.l. is covered by sparse- and from ca. 1800 m a.s.l. by dense forest. The
29 Wannengrat area is used as test site for various research project at the WSL Institute for Snow
30 and Avalanche research SLF mainly because of the very good accessibility from Davos even
31 if the avalanche danger level is considerable. We collected hand measured snow depth plots,

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August 12th 2010 (summer Wannengrat) and
September 3rd 2013 (summer Dischma)

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1 dGNSS points and TLS datasets close to the Wannengrat peak as reference datasets (see
2 chapter 3.2) on the day of the ADS80 data acquisition.

3 The Dischma test site is a high-alpine valley branching from the main valley of Davos (1500
4 m a.s.l.) in southeastern direction up to 2000 m a.s.l. at the end of the valley covering an area
5 of ca. 7 x 17km (119 km²) containing the complete catchment of the Dischma creek where
6 several hydrological studies have been performed (Bavay et al. 2009). The peaks surrounding
7 this catchment reach up to 3130 m a.s.l. (Piz Grialetsch). Forest covers the lower part of the
8 valley up to 2000 m a.s.l. The southeastern two thirds of the valley are completely forest free.
9 We collected GPR snow depth measurements at the valley bottom in the northwestern part of
10 the test site as reference data on the day of the ADS80 data acquisition. Because the central
11 flight strip at the valley bottom was corrupted in the summer 2010 dataset, resulting in a low
12 quality summer DSM, we repeated the flight in summer 2013.

13 3 Sensors and datasets

14 To measure spatially continuous snow depth and to validate these measurements we use
15 independent state-of-the-art technologies. It is a difficult task to measure multiple, spatially
16 widely distributed snow depths in high-alpine areas within a short timespan. Several teams
17 were deployed in the field on the day of the ADS80 data acquisition, guaranteeing a small
18 temporal offset to the ADS80 imagery because snow depth can change very quickly under
19 spring conditions.

20 3.1 Airborne opto-electronic Scanner ADS80

21 Two optoelectronic line scanner datasets were acquired with the ADS80-SH52 sensor (Figure
22 2). The acquisition of the summer images was realized on August 12th 2010 (Wannengrat) and
23 September 3rd 2013 (Dischma). Winter imagery of the snow-covered sites was acquired on
24 March 20th 2012 (close to the maximum snow cover, peak of winter). The covered area
25 consists of 12 overlapping image strips (approx. 70% overlap across track) flown during
26 approximately 90 minutes at an elevation of approximately 4000 m a.s.l. (1500 m above mean
27 ground elevation). The mean Ground Sampling Distance (GSD) of the imagery is 0.25 m,
28 limited through the minimal flying height for high alpine terrain (Buehler et al. 2012). The
29 ADS80 scanner acquires simultaneously four spectral bands (red: 604 – 664 nm, green: 553 –
30 587 nm, blue: 420 - 492, near infrared: 833 – 920 nm) and a panchromatic band (465 – 676
31 nm) with a radiometric resolution of 12 bits and two viewing angles (nadir and 16° backward,

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1 | see Fig. 2). The nadir and forward-looking panchromatic bands were not used due to
2 | saturation issues caused by the broader sensitivity of these bands. GNSS/IMU supported
3 | orientation of the image strips supplemented by the use of ground control points achieve a
4 | horizontal accuracy (x,y) of 1-2 GSD (0.25-0.5m). The sources of the used ground control
5 | points are a combination of GNSS ground surveys and already existing oriented stereo images
6 | (with unknown absolute accuracy). We tried to distribute the GCPs regularly, however they
7 | are denser at the lower altitudes. We applied between 11 and 33 ground control points per
8 | acquisition date showing residuals of 3 to 21 cm in x, 4 to 17 cm in y and 10 to 33 cm in z
9 | direction. The ADS sensor was successfully used to detect avalanche deposits in the area of
10 | Davos (Bühler et al. 2009). Sandau (2010) gives more detailed information on the Leica ADS
11 | opto-electronic scanner.

12 | 3.2 Reference datasets

13 | 3.2.1 Manual snow depth measurements

14 | Simultaneous with the ADS80 data acquisition, a field team acquired manual snow depth
15 | measurements using a 3.2 m avalanche probe at 15 different plot locations within the test site
16 | Wannengrat. A plot consists of 5 by 5 probe measurements with a distance of 2m between
17 | points (Figure 3a) resulting in 375 single probe measurements localized using dGNSS of the
18 | corner points. Because snow depth can vary substantially within the distance of some
19 | decimeters if there is e.g. a rock at the surface (Lopez-Moreno et al. 2006), we use the average
20 | snow depth and the standard deviation to compare it to the corresponding ADS80 snow depth
21 | values within this 10 by 10m area (Figure 3b). The acquisition of field measurements is very
22 | challenging because the terrain is steep and the human mobility is limited. The avalanche
23 | danger for wet snow avalanches rises quickly during the day due to sunny spring weather
24 | conditions, limiting the time the field team can move within the test sites. Therefore the
25 | number of performed field measurements at 15 plots distributed over an area of 1 by 1.5 km is
26 | close to the possible maximum that can be obtained with the number of workers participating
27 | in the experiment. Because this number is in our opinion not sufficient to assess the potential
28 | of the proposed method, we apply further reference data sets.

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Gelöscht: By end of 2013 the successor sensor ADS100 gets available. This sensor holds twice as many pixels than the ADS80 sensor, resulting in a doubled spatial resolution (12.5 cm) for the same flying height above ground.

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3.2.2 Differential Global Navigation Satellite System (dGNSS) measurements

During ADS80 data acquisition on 20th March 2012, 137 dGNSS points were measured with the Leica GPS 1200 device in the test site Wannengrat (Figure 3a). The points were measured with real-time correction using the virtual reference station of the swisstopo AGNES network in Davos. The surveyed points show a horizontal accuracy better than 1 cm (1standard deviation) and a vertical accuracy better than 2 cm (1 standard deviation) respectively. Measured points represent the top of the snow cover in m a. s. l.

3.2.3 Terrestrial Laser Scanning (TLS)

In the last decade, terrestrial laser scanning has been increasingly applied for continuous snow depth mapping (e.g. Deems 2013, Schirmer et al. 2011, Prokop 2008; Prokop et al. 2008). To calculate snow depth, an elevation model of the bare ground and another one of the snow covered winter surface is produced. Snow depth is then obtained by subtracting the two surfaces from each other. In this study, we use the Riegl LPM-321 device operating at 905nm. This device has been proven, to accurately measure snow depth in alpine terrain (Prokop 2008, Prokop et al. 2008). Grünewald et. al 2010 compared TLS measurements to Tachymeter measurements and found a mean vertical deviation of 4 cm with a standard deviation of 5 cm at a distance of 250 m using the LPM-321. To assure the quality of the laser scans, we additionally performed reproducibility tests. A laser scan acquired in a coarse resolution (3 points per m² at a distance of 300m), was compared with the full resolution acquisition (8 points per m² at a distance of 300 m). This allows detecting misalignments between the two datasets due to an instable scan setup (unstable tripod, wind influence, etc). Scans which showed a mean difference larger than 10 cm were excluded. The upper end of the Steintaelli was scanned once in summer 2011 and a second time on March 20th 2012 during the ADS80 data acquisition (Figure 3c). Fixed installed reflector points were used to match the summer and winter TLS datasets.

3.2.4 Ground Penetrating Radar (GPR)

GPR data were collected using a MALÅ ProEx system configured for synchronous measurements with four pairs of separable shielded 400 MHz antennas. The antennas were set up as a common-mid-point (CMP) array with separation distances of 0.31, 0.95, 1.6, and 2.8 m respectively. The GPR antennas were mounted on two pulkas, which were rigidly connected to one another to guarantee fix relative antenna positions throughout the

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1 measurements. This assembly was pulled along a transect of 4.8 km length. After initial
2 stacking of four individual traces, data were recorded every 0.5 seconds, which resulted on
3 average in one record every 30 centimeters along the transect. GPS coordinates were taken
4 every second along the transect using an onboard GPS receiver as well as an external Trimble
5 GeoExplorer 6000 dGNSS system. GPS data were slightly smoothed before associating them
6 with the GPR data records. Snow depth data were obtained using standard CMP analysis
7 procedures partly involving the commercial software package ReflexW 7.0 (Sandmeier,
8 2013). Along the GPR transect we obtained 130 manual snow depth readings. These data
9 were used for cross validation of the GPR data. Concurrent GPR and manual snow depth
10 ranged from 0.76 to 2.70_m. Correlation between both data sets resulted in an R^2 of 0.96 and a
11 RMSE of 0.07_m.

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12 4 Generation of summer and winter digital surface models

13 For DSM generation we use the “Adaptive Automatic Terrain Extraction” (ATE) as part of
14 the SOCETSET software version 5.4.1 from BAE SYSTEMS. The software implements an
15 area-based algorithm calculating similarity measures with a two-dimensional cross-correlation
16 approach. ATE has no need for user input on specific image matching strategies and
17 parameters as a function of terrain type. ATE uses an “inference engine” which adaptively
18 generates image matching parameters depending on facts such as terrain type, signal power,
19 flying height or X and Y parallax. A user given post spacing distance is used to control image
20 correlation spacing (e.g. 2_m), hence cross correlation is not calculated for every image pixel
21 (Zhang and Miller, 1997). We use the green, red and near infrared bands of the sensor as
22 input. The near infrared band absorbs a larger part of the incoming radiation over snow and
23 the reflected signal is sensitive to grain size variation within short distances (Bühler et al.
24 2015). This improves the performance of the ATE point-matching algorithm in particular over
25 old snow covers, not recently covered by new snow.

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26 ATE SocetSet gave the best results regarding blunders and completeness. We also tested
27 NGATE from SocetSet, XPro5.2 from Leica and MatchT5.1 from Inpho. XPro and MatchT
28 use semi global matching techniques (SGM) for image correlation. Although this is the state-
29 of-the-art method for dense image matching (especially in urban areas with a very high image
30 overlap) the results on snow surface was comparable or even worse to ATE SocetSet. MatchT
31 gave similar results to ATE but was much slower regarding calculation time. The stereo
32 blocks of each year were orientated separately. Although jointly adjusted image blocks would

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1 | increase the relative accuracy between the blocks, it was not possible due to different
2 | visibilities of ground control points in different years. We want to demonstrate the workflow
3 | for future campaigns where a re-orientation of all existing blocks together is not feasible.

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4 |
5 | As this study focuses on snow depth mapping for wide scale applications, we set the spatial
6 | resolution of the derived DSM to 8*GSD (8*0.25_m), which results in significantly lower
7 | demand in CPU usage compared to a resolution at pixel level. Additionally we apply a 3*3
8 | low pass filter to adapt the final products to the continuous nature of snow-covered areas.

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9 | In our research setup, single buildings and forest/scrub cannot be modeled with sufficient
10 | horizontal accuracy due to the limited spatial resolution of the input imagery. Slight
11 | differences in x,y positions of such objects in the summer and winter DSM would lead to big
12 | outliers in the snow depth product. Therefore all buildings and forest/scrub areas were masked
13 | out. For the detection of forest/scrub areas a combination of NDVI (Normalized Differenced
14 | Vegetation Index) and a canopy height layer was applied. With this approach, all visible
15 | vegetation in the winter images and vegetation higher than 1.5 m in the summer images were
16 | masked out. The detection of buildings (settlements) only from spectral or elevation
17 | information is not feasible since rock covered areas return an identical spectral signature as
18 | settlements and are prone to big outliers. Therefore we use the building layer from the
19 | Topographic Landscape Model (TLM) of the Swiss Federal Office of Topography. This step
20 | might not be necessary if the input imagery would have a higher spatial resolution (15_cm or
21 | better).

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22 | Large-scale imagery of a mountainous, snow covered landscapes show a maximal range of
23 | radiometric image information over short distance, which is highly demanding for image
24 | correlation processes. For this reason generating a complete DSM from one entire image strip
25 | is not expected to give optimal results for snow covered areas. As a response to this challenge
26 | we divided the test site in 809 tiles for which DSMs were calculated separately. Another well-
27 | known difficulty in steep mountain areas is a sub-optimal viewing angle or even occlusion in
28 | an image strip. Considering this difficulty, we calculated two DSMs for each tile, using the
29 | “most nadir” and the “second most nadir” - CIR image strips (near infrared, red, green) to
30 | increase the chance of a good image match for a given point on the ground. For the generation
31 | of the final DSM we calculated the mean slope for every processed DSM-tile. By selecting
32 | the DSM with the smaller mean slope for every given tile, big blunders caused by a not

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1 optimal viewing angle or occlusion could mostly be automatically eliminated. We used the
2 described approach to process all DSMs.

3 The orientation of ADS80 image strips has to be considered as a critical point especially for
4 winter images. All processing and evaluation efforts are worthless if there is a lack of
5 accuracy in image orientation. Due to a small number of highly accurate reference points in
6 remote areas and sometimes almost unrecognizable ground control points in snow covered,
7 high alpine regions (e.g. east part of Dischma valley without any anthropogenic features)
8 orientation quality shows certain limitations. For the mentioned areas, orientation during the
9 post processing of image strips (software Leica xPro) could not be substantially improved,
10 resulting in a final orientation accuracy of about 1 GSD. Well distributed artificial reference
11 points measured at the ground with dGNSS could improve the orientation quality
12 substantially but were not available for the winter 2012 imagery.

13 **5 Results and validation**

14 To quantify the accuracy of the digital photogrammetry products, we use the following
15 measures recommended by Höhle and Höhle (2009) to compare elevation datasets from
16 different sources:

17 a) The root mean square error

$$18 \text{ RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n \Delta h_i^2} \quad (1)$$

19 this measure is often used and simple to calculate but very prone to outliers.

20 b) Normalized median absolute deviation

$$21 \text{ NMAD} = 1.4826 \text{ median}_j(|\Delta h_j - m_{\Delta h}|) \quad (2)$$

22 where Δh_j denotes the individual errors and $m_{\Delta h}$ is the median of the errors.

23 c) Additionally we use the empirical correlation coefficient

$$24 \text{ cor}_e = \frac{\sum(x-\bar{x})(y-\bar{y})}{\sqrt{\sum(x-\bar{x})^2 \sum(y-\bar{y})^2}} \quad (3)$$

25 to assess how well two snow depth measurements from different sources correlate.

26 To make the comparison of elevations in DEM products possible it is crucial that a coherent
27 coordinate system is applied for all datasets. We use the swisstopo LV1903 LN03 system

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1 with the elevation reference point at Repère Pierre du Niton H(RPN)=373.6 m a.s.l. in
2 Geneva, Switzerland (swisstopo 2008).

3 5.1 Photogrammetric summer DSMs (DSM_{ADS})

4 Three DSM_{ADS} (winter 2012, summer 2010 and 2013) were processed for this study. For a
5 quantification of the quality of the derived DSM_{ADS} we perform an accuracy assessment using
6 a digital terrain model (DTM_{ALS} representing the bare ground without vegetation or buildings)
7 acquired by an Airborne Laser Scanner ALS (Riegl LMS-Q240i) mounted on a helicopter in
8 summer 2009 as a reference, assuming the changes in terrain to be negligible (which might
9 not be true for areas prone to erosion and deposition). The average point density acquired was
10 2 – 3 points/m² from an average flight height of 300 m above ground. Airborne laser scanning
11 is reported as very accurate method for DTM generation in various studies (e.g. Aguilar and
12 Mills 2008 Höhle and Höhle 2009) also on snow (Deems et al. 2013) and in high alpine
13 terrain (Bühler and Graf 2013). The quantification of the accuracy is described by the
14 distributions of vertical deviations between the two datasets (886'000 points). Vegetation and
15 buildings were excluded for the analysis.

16 The statistical measures in Table 1 show a good correspondence between the DTM_{ALS} and
17 DSM_{ADS}. The RMSE value without outlier removal indicate the presence of big outliers.
18 Since the mean values of the deviations with and without outlier removal differ only by 3 cm
19 these big outliers are both, negative and positive. A detailed quality assessment on DSMs
20 derived by ADS80 image strips in very steep and complex alpine terrain showed that the
21 accuracy of photogrammetric DSMs decrease significantly in terrain steeper than 50°,
22 explaining the occurrence of the above mentioned outliers (Bühler et al. 2012).

23 In Figure 4 on the right image correlation completeness in terms of correlated and interpolated
24 points is shown for a section of testsite Wannengrat for winter 2012. Image matching
25 completeness for the whole test site is given in Table 2 (Wannengrat and Dischma without
26 buildings and vegetation). These results show the high matching success with the 12 bit
27 imagery in particular over snow covered areas.

28 5.2 Snow depth maps

29 The snow depth maps are calculated by subtracting the photogrammetric winter DSM from
30 the summer DSM. The spatial resolution is 2 m as for the input DSMs. Because negative

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1 | snow depths cannot occur values smaller than zero are set to "no data". Consulting the input
2 | orthophotos of the winter data acquisitions allows identifying whether a certain area is snow
3 | free or not. Overall, 19.42% of all pixels are classified as trees and scrubs and 1.65% as
4 | buildings. From the remaining pixels 4.83 % were classified as "no data".

5 | The generated snow depth maps (Fig. 5 and Fig. 6) reveal a very high spatial variability of
6 | snow depth even within small distances. Snow depth can vary by more than 5m within a few
7 | meters. Snow traps for wind-blown snow and deposits from past avalanche events are clearly
8 | visible. We identify the same snow trap features in the Wannengrat area, which were reported
9 | by Schirmer et al. (2011) measured in winter 2008. This indicates that snow traps and
10 | cornices are persistent over different winters due to dominant main wind directions. High
11 | snow depths due to avalanche deposits are persistent in tracks where avalanches occur several
12 | times each winter but are not where avalanches occur with return periods of more than one
13 | year.

14 | The large area at the northern edge of the Dischma test site (Fig. 6) classified as "no data" is
15 | Lake Davos. This natural lake is used for power generation during winter and the surface
16 | level is lowered by up to 50 m. By subtracting the winter DSM from the summer DSM we get
17 | clearly negative values in this area, which are classified as outliers. The large outlier areas at
18 | the southern edge of the investigation area are the glaciers of the Grialetsch range. These
19 | small glaciers lost a significant part of their volume between summer 2013 (summer DSM)
20 | and winter 2012 (winter DSM) and their surface elevations were lowered (Zemp et al. 2006).
21 | Therefore highly positive values occur and are classify as outliers. Further outliers occur in
22 | very steep terrain (> 50°) because the footprint of the sensors is very small in such areas
23 | (Bühler et al. 2012), demonstrating the limitation of the proposed method for snow in rock
24 | faces. These areas are less relevant for most snow depth applications because little snow
25 | usually accumulates in very steep terrain (e.g. Fischer et al. 2011).

26 | 5.3 Snow depth validation using independent reference datasets

27 | 5.3.1 Differential Global Navigation Satellite System (dGNSS) measurements

28 | A comparison of the ADS derived Winter 2012 DSM with 137 dGNSS points, describing
29 | elevations in m a. s. l. (top of the snow cover) results in a RMSE of 0.37 m and a NAMD of
30 | 0.28 m. With a mean of 0.21 m the ADS DSM models the surface of the snow cover
31 | systematically higher than dGNSS measurements. For the area Wannengrat in Figure 1a it can

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1 therefore be assumed, that snow cover thickness is overestimated using photogrammetric
2 methods, mainly because of orientation inaccuracies. A bias introduced during the dGNSS
3 survey could be caused by the penetration of the dGNSS device into the soft snow cover by a
4 few cm's which could explain some of the mean differences in elevation values between
5 photogrammetry and dGNSS measurements.

6 5.3.2 Terrestrial Laser Scanning (TLS)

7 We compare the independently acquired TLS derived snow depth (TLS winter minus TLS
8 summer) with the ADS derived snow depth (Figure 7a,b). In total we look at 55'272 pixels of
9 2_m resolution. It is hard to detect differences between the two snow depth products on first
10 sight. All prominent snow features such as filled channels, cornices or blown out areas are
11 clearly visible in both products. In the difference image between the two snow depth products,
12 four regions with large deviations up to 2_m stand out (marked with black circles in Figure
13 7c). Three areas with significantly negative deviations (red, TLS higher than ADS) are
14 located in small depressions. In these areas the incident angle of the laser beam is very flat
15 resulting in lower accuracies. The ADS sensor is looking from nadir at these spots, producing
16 more reliable snow depth values. On the ridge at the southern edge of the subset a large
17 cornice was formed by wind during the winter (see Figur 3c in the background). This cornice
18 is mapped with too large snow depth values by the ADS dataset because of the nadir-viewing
19 angle. The TLS sensor is seeing the overhanging cornice from below producing better snow
20 depth measurements than the ADS. However the correlation analysis for the two snow depth
21 measurement methods results in $cor_e = 0.94$, the RMSE is 0.33 m and the NMAD 0.26 m.
22 This proves the quality of the ADS snow depth measurements especially concerning the
23 complex, representative terrain of this subset (mean slope angle of 27°, ranging from 0° to
24 81°, elevations ranging from 2332m to 2639 m a.s.l.).

25 5.3.3 Hand-measure plots

26 The comparison of the snow depth values derived from the ADS80 DSMs to the manual plot
27 measurements is given in Table 3. In three out of the 15 plots the snow depth exceeds the
28 length of the avalanche probe (3.2 m) and the correct values could not be measured at all 25
29 points (measurements deeper than 3.2 m: plot1, 14; plot11, 5; plot 13, 5). The hand
30 measurements could also be distorted by not plumb-vertical penetration of the snow cover
31 (especially in deep snow packs), by thick ice layers in the snowpack, which cannot be

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1 penetrated by the avalanche probe, by rough bedrock or by inaccuracies of the positioning by
2 dGNSS. Therefore we average the 25 single measurements and compare the mean and
3 standard deviation of an entire plot to the ADS80 DSM based snow depth values (mean of all
4 cells within the plot area).

5 The RMSE is 0.35 m for the mean snow depth and the standard deviation 0.13 m, over all
6 plots. The NMAD is 0.22 (mean) and 0.06 m (std). The correlation coefficient r for the
7 mean snow depth is 0.92 and 0.81 for the standard deviation. If we eliminate the three plots
8 (1, 11 and 13), which contain unreliable measurements, the RMSE is reduced to 0.19 (mean)
9 and 0.11 (std) and the NMAD to 0.18 m (mean) and 0.06 m (std). The correlation coefficients
10 shift to 0.95 (mean) and 0.76 (std). The standard deviation is underestimated by the DSM_{ADS}
11 derived snow depth values due to the smoothing effect of the 2 m pixel size. However these
12 results indicate the feasibility of the proposed method for snow depth mapping.

13 5.3.4 Ground Penetrating Radar (GPR)

14 To allow comparison between GPR snow depth measurements and the ADS measurements,
15 we assigned all individual 18 136 GPR point measurements to the 2 m × 2 m ADS raster, and
16 calculated the mean of all GPR values within each cell, resulting in 1522 cells with GPR-
17 based comparison data. The variability of the GPR snow depth within these cells amounted to
18 between 0.1 and 0.3 m. Parts of the GPR data have been obtained close to taller vegetation
19 such as trees and bushes. However, heavily affected measurements have been masked out
20 before comparison, as ADS data cannot represent snow depth under forest canopy.

21 Comparing GPR to ADS data results in an overall RMSE of 0.43 m and an NMAD of 0.36 m.
22 This is approx. 0.1 m worse compared to the reference data sets acquired at the Wannengrat
23 area. The overall correlation coefficient between both data sets is 0.45 (Fig. 8a) only, note
24 however that the GPR data set features a significantly lower range in snow depth when
25 compared to the TLS data set (Fig. 7), mainly because it was acquired at the valley bottom.

26 When analyzing different segments of the GPR dataset we find considerable differences.
27 While the correlation is acceptable for individual GPR segments that feature large snow depth
28 variability (Fig. 8b) it appears less favorable for GPR segments with a small variability in
29 snow depth (Fig. 8c). By comparing the profiles of the snow depth values along the two
30 segments N0. 1 and 5 (Fig. 8d,e) we find the ADS values to be too low over large parts of the
31 transects. The agricultural zones at the Dischma valley bottom are covered by grass with a

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Gelöscht: To allow comparison between GPR snow depth measurements and the ADS measurements, we assigned all individual 18'136 GPR point measurements to the 2x2m ADS raster, and calculated the mean of all GPR values within each cell, resulting in 1522 cells with GPR-based comparison data. The variability of the GPR snow depth within these cells amounted to between 0.1 and 0.3 m. Parts of the GPR data have been obtained close to taller vegetation such as trees and bushes. However, affected measurements have been masked out before comparison, as ADS data cannot represent snow depth under forest canopy.

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1 length of 0.1 to 0.5 m during summertime, when the ADS data was acquired. This explains
2 partially why the ADS snow depth values are too low. In the profile No. 5 (Fig. 8d) the first
3 200 m of the segment is on meadow. The second part is on a road, running along a slope.
4 While the GPR snow depth values remain quite constant, the ADS snow depth values show a
5 large variability. While all GPR measurements are made strictly on the road the 2 by 2 m
6 ADS pixels include adjacent areas on both sides of the road which could be nearly snow-free
7 or covered by deep snow covers at the edge of the road. Another explanation for the worse
8 accordance between GPS and ADS snow depth values might be the greater distance of the
9 ADS sensor to the ground. While the Wannengrat reference data sets have been collected in
10 an altitude of approximately 2400 m a.s.l., the valley ground of the Dischma, where the GPR
11 data has been collected, has an elevation of approximately 1600 m a.s.l. This results in a
12 coarser effective ground sampling distance (GSD) and therefore in a lower accuracy of the
13 corresponding ADS data set. This finding indicates that spatial resolution of input imagery
14 matters for the accuracy of the resulting snow depth estimates.

15 6 Conclusions

16 The presented results demonstrate the potential of digital photogrammetry for catchment wide
17 snow depth mapping. The extensive validation using independent datasets acquired
18 simultaneously reveals an accuracy of approximately 30 cm (RMSE, NMAD), equivalent to
19 ~1 GSD of the input images (Table 4). Due to the high radiometric resolution of the images
20 (12bit) and the use of the near infrared band, the images were not saturated over bright, snow
21 covered areas and information could be acquired even in cast shadow. The image correlations
22 works even over very homogeneous areas. Table 2 reveals almost the same correlation
23 success with winter images compared to summer images. The resulting snow depth maps
24 visualize the high spatial variability of snow depth even within short distances of a few
25 meters. Snow traps for wind-blown snow, cornices and deposits from past avalanche events
26 can be identified easily by high snow depth values up to 15 m.

27 Compared to airborne laser scanning the proposed method is expected to be slightly less
28 accurate but more economic if large areas (> 100 km²) have to be covered repeatedly. To
29 assess the economic advantage of digital photogrammetry we requested quotations from three
30 independent data providers offering digital surface models generated by airborne laser
31 scanning and digital photogrammetry to cover the investigation area of this study (145 km²).
32 We asked for a GSD of 2 m for the final DSM and a vertical accuracy of approx. 30 cm

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Gelöscht: Comparing GPR to ADS data results in an overall RMSE of 0.43 m and an NMAD of 0.36 m. This is approx. 0.1m worse compared to the reference data sets acquired at the Wannengrat area. The overall correlation coefficient between both data sets is 0.45 (Figure 10a) only, note however that the GPR data set features a significantly lower range in snow depth when compared to the TLS ... [3]

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(RMSE). Table 5 presents an overview on the answers we received. Digital photogrammetry is 40 - 50 % more economical than ALS in data acquisition, mainly because of the more efficient flight pattern resulting in reduced flight time for a given area. Data processing is 10 to 40% more economical resulting in a significant total price reduction of 25 to 37%. Now the successor sensor Leica ADS100 is available. This sensor holds almost twice as many detectors than the ADS80 sensor, resulting in a higher spatial resolution for the same flying height above ground.

Digital photogrammetric DSMs can be generated using Unmanned Aerial Vehicle (UAV's) flying close to the ground and producing higher spatial resolution imagery (Mancini et al., 2013) in the order of centimeters resulting in more accurate (better than 10 cm in vertical direction) and much more economic snow depth maps. However, the feasibility of UAVs in high alpine terrain has to be further investigated. Winged UAV's might not be stable enough under windy conditions, which are usually present in alpine terrain. Furthermore it might be difficult to find suitable starting and landing spots due to the rough terrain. UAV's with rotors are much more stable and can acquire data under windy conditions if the wind is not gusty. However they have very limited flight times due to high energy consumption and the batteries have to be changed very often (approx. every five minutes). In any case UAV's are not able to efficiently cover areas larger than a few square kilometers in alpine conditions and the risk of crashing the UAV in rocky terrain is high.

Challenging for image correlation on snow-covered terrain are the big spectral differences of surface cover properties between bright snow-covered slopes and rocky terrain in shadow. If terrain properties change within short distances, the probability of big outliers or even complete failures of image matching rises. We modeled only 0.25 km^2 per step to decrease these differences within the correlated images. With this approach massive failure of image matching could mostly be averted. For some tiles, issues with big outliers remained, showing a certain limitation to the modeling of snow-covered areas with the used image correlation software. For future investigations the choice of more advanced image correlation algorithms like methods of the semi-global matching family has potential to solve part of this limitation. The modeling of steep slopes ($>50^\circ$) using image-matching techniques is not accurate mainly due to the small footprint of the sensor (Bühler et al. 2012). But because snow accumulation is reduced in such steep slopes (Schweizer et al. 2008, Fischer et al. 2011), these areas are less important for applications in hydrology and avalanche science. The proposed methodology

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1 | does not work in forested terrain or in regions covered by scrubs. Therefore these areas were
2 | masked out prior to the snow map calculation. This is not possible for areas with high grass in
3 | summer; therefore we clearly underestimate the snow depth with the ADS data in such areas
4 | (see Fig. 8d,e). In forested terrain ALS has a strong advantage compared to photogrammetry
5 | because the terrain surface can be measured between the trees if the forest cover is not too
6 | dense. The accuracy of final DSM products depends heavily on the image strip orientation
7 | quality. Here we faced two major limitations: a) we could gather only a small number of
8 | reference points, measured with high accuracy in x, y and z and b) in areas deeply covered by
9 | snow without anthropogenic signs visible, the recognition of clearly identifiable reference
10 | points is sometimes almost impossible. Therefore we see big potential to increase the quality
11 | of final products by collecting more accurately measured reference points and by signaling
12 | reference points in remote parts of the covered area for upcoming data acquisition campaigns.
13 | But such fieldwork can be costly if several people have to be deployed in the field to cover
14 | large areas and different elevation levels in difficult terrain, reducing the economic advantage
15 | of photogrammetry.

16 | Next steps will be to acquire similar datasets at the end of upcoming winters for inter-annual
17 | comparison of snow depth. This would also open the door for investigations on the
18 | representativeness of snow depth measurements at given points, for example at automated
19 | weather stations. Comparisons between snow depth maps generated by LiDAR and digital
20 | photogrammetry will provide more information on the specific strengths and weaknesses of
21 | the two methods.

22 | **Acknowledgements**

23 | The authors thank Leica Geosystems for the provision of the ADS80 datasets as well as the
24 | SLF field teams for helping with the reference data acquisition.

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Yves Bühler 31.10.2014 15:39

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Yves Bühler 31.10.2014 16:46

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1 Table 1. Statistical accuracy measures of error distributions ($DSM_{ADS} - DTM_{ALS}$) for 886'000
 2 points in the test site Wannengrat (* outlier removal $\gamma \geq \mu \pm 3 * RMSE$).

μ	RMSE	μ^*	RMSE*	Median	NMAD
0.19	0.9	0.16	0.33	0.16	0.24

Yves Bühler 20.11.2014 15:42

Gelöscht: 1

Yves Bühler 29.10.2014 17:54

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6 Table 2. Correlated vs. interpolated terrain points in summer and winter DSM over the entire
 7 test site.

	correlated [n]	interpolated [n]	total [n]	correlated [%]
summer 2010	28'524'154	1'533'418	30'057'572	94.6
winter 2012	28'592'370	1'710'205	30'302'575	94.4

Yves Bühler 20.11.2014 15:42

Gelöscht: 2

10 Table 3. ADS80 DSM derived snow depth values (4 by 4 pixels) compared to the hand
 11 measured snow depth values (5 by 5 single measurements) for the 15 plots. Plots where at
 12 least one measurement did not reach the ground are displayed in grey.

	min	max	mean	std	min ADS	max ADS	mean ADS	std ADS	Δ mean	Δ std
Plot 1	1.80	3.10	2.81	0.42	1.68	3.41	2.56	0.55	0.25	-0.13
Plot 2	0.85	2.50	1.43	0.53	0.52	2.16	1.25	0.52	0.18	0.01
Plot 3	1.20	1.75	1.43	0.16	0.90	1.72	1.14	0.15	0.29	0.01
Plot 4	0.35	0.90	0.50	0.15	0.30	0.59	0.43	0.09	0.07	0.06
Plot 5	0.55	1.75	1.01	0.34	0.04	1.84	0.79	0.53	0.22	-0.19
Plot 6	0.75	1.75	1.19	0.29	1.12	1.93	1.48	0.25	-0.29	0.04
Plot 7	1.35	2.90	2.32	0.47	1.98	2.69	2.34	0.21	-0.02	0.26
Plot 8	1.85	2.80	2.33	0.25	2.13	2.81	2.37	0.17	-0.04	0.08
Plot 9	1.40	2.20	1.71	0.23	1.43	2.04	1.69	0.17	0.02	0.06
Plot 10	0.55	2.35	1.34	0.56	0.77	2.14	1.40	0.38	-0.06	0.18
Plot 11	0.65	3.10	2.28	0.67	0.56	2.65	1.93	0.85	0.35	-0.18
Plot 12	0.15	0.35	0.22	0.06	0.06	0.24	0.14	0.05	0.08	0.01
Plot 13	2.30	3.10	2.59	0.33	2.89	0.49	3.71	0.49	-1.12	-0.16
Plot 14	0.70	2.00	1.37	0.41	0.43	1.62	1.12	0.32	0.25	0.09
Plot 15	0.35	1.60	0.97	0.33	0.75	1.81	1.33	0.27	-0.36	0.06

Yves Bühler 20.11.2014 15:42

Gelöscht: 3

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1 Table 4. Overview on the accuracy measures calculated from the different reference datasets.

Reference dataset	N° of observations	RMSE	NAMD	cor _e
ALS (summer surface)	886'000	0.33	0.24	-
dGNSS (winter surface)	137	0.37	0.28	-
Hand plots (snow depth)	12	0.19	0.18	0.95
TLS (snow depth)	55'272	0.33	0.26	0.94
GPR (snow depth)	1522	0.43	0.37	0.45

Yves Bühler 20.11.2014 15:42

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Yves Bühler 27.11.2014 16:47

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3 Table 5. Price ranges in thousand Swiss Franks (kCHF) and relative differences derived
 4 from quotations of three independent data providers. We asked to cover the
 5 investigation area of this paper (145 km²) with airborne laser scanning (ALS) and digital
 6 photogrammetry with a spatial resolution of 2 m and a vertical accuracy of approx. 30
 7 cm.

Luca Egli 27.11.2014 15:43

Kommentar [1]: Meinst Du nicht kCHF?

Luca Egli 24.11.2014 16:50

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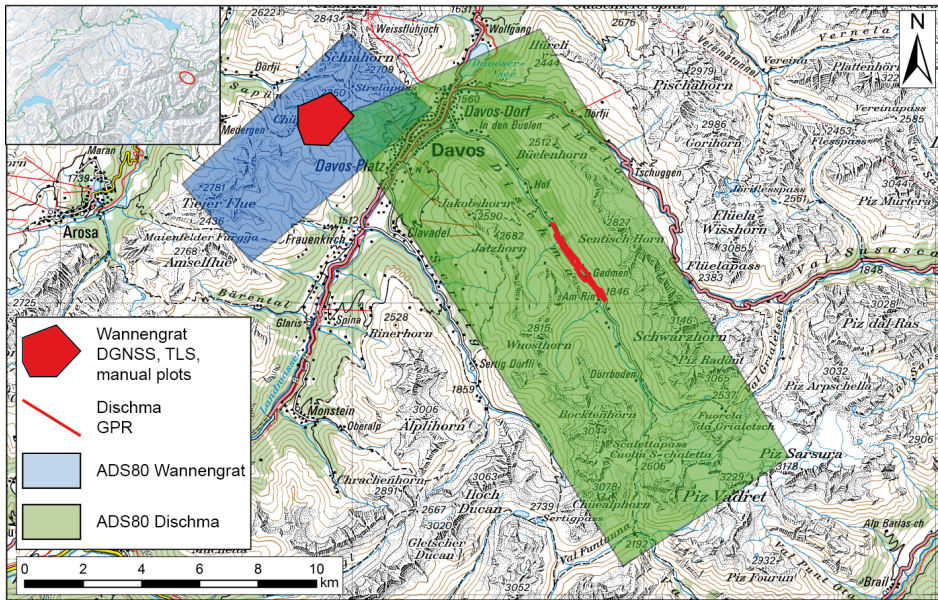
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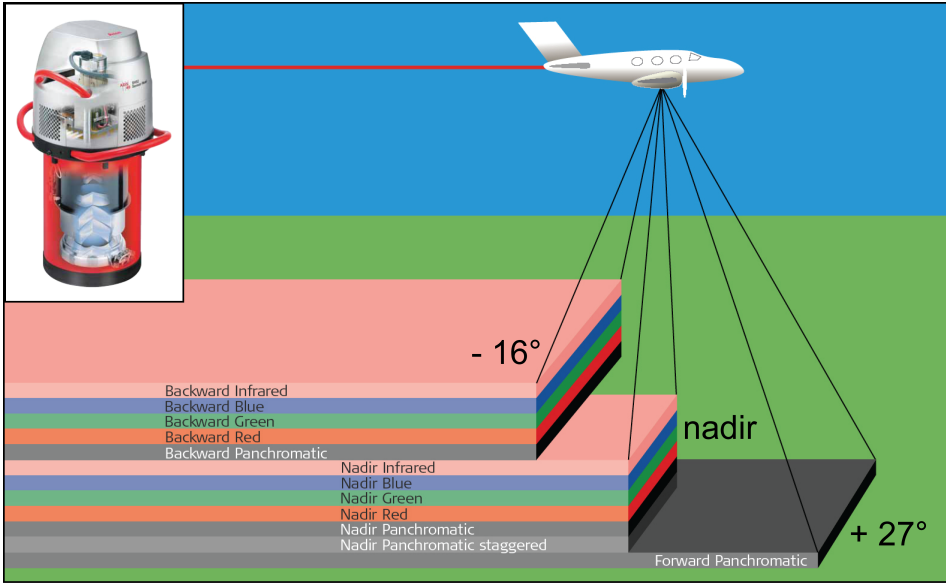
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	Data acquisition	Data processing	Total
<u>ALS</u>	<u>25 - 40 kCHF</u>	<u>25 - 40 kCHF</u>	<u>50 - 80 kCHF</u>
<u>Photogrammetry</u>	<u>12 - 24 kCHF</u>	<u>18 - 36 kCHF</u>	<u>30 - 60 kCHF</u>
<u>Relative Difference</u>	<u>40 - 52%</u>	<u>10 - 44%</u>	<u>25 - 37%</u>



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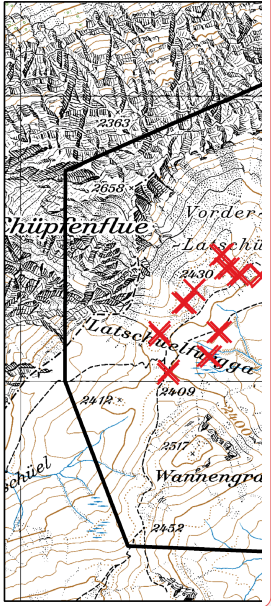
Figure 1. ADS80 data coverage and locations of the applied reference data sets at Wannengrat and in the Dischma valley close to Davos, Switzerland. Pixmap ©2014 swisstopo (5704 000 000).

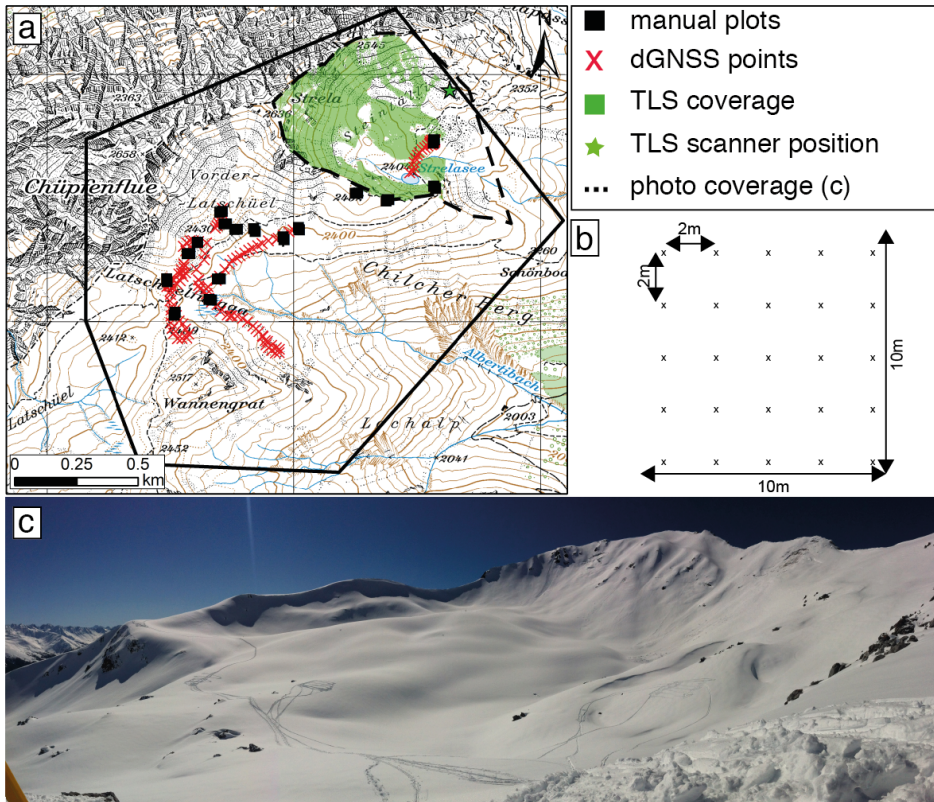


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Figure 2. ADS 80 sensor (top left) and data acquisition scheme with spectral bands and viewing angles (Bühler et al. 2009).

Yves Bühler 29.10.2014 15:54

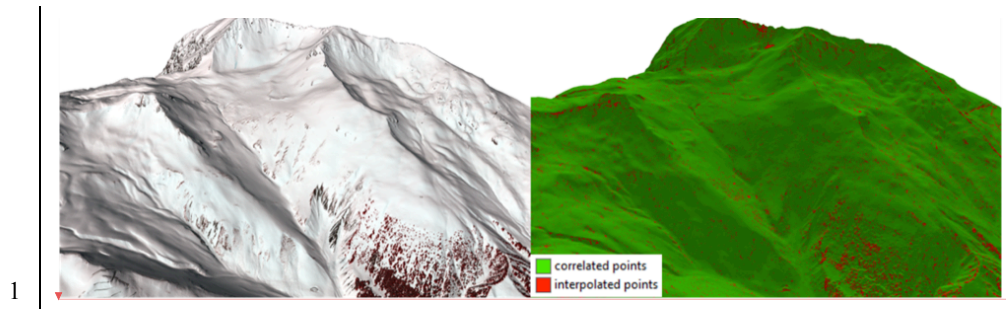




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Figure 3. Map of the locations of the plots measured by hand, the dGNSS measurements, the TLS coverage and the coverage of the panorama photograph (a); applied sampling strategy for the manual plots (b); panorama photograph of the Wannengrat test site (c). Pixmap ©2014 swisstopo (5704 000 000).

Yves Bühler 29.10.2014 15:54
Gelöscht: Location of the plots measured by hand and applied sampling strategy. Pixmap ©2014 swisstopo (5704 000 000).

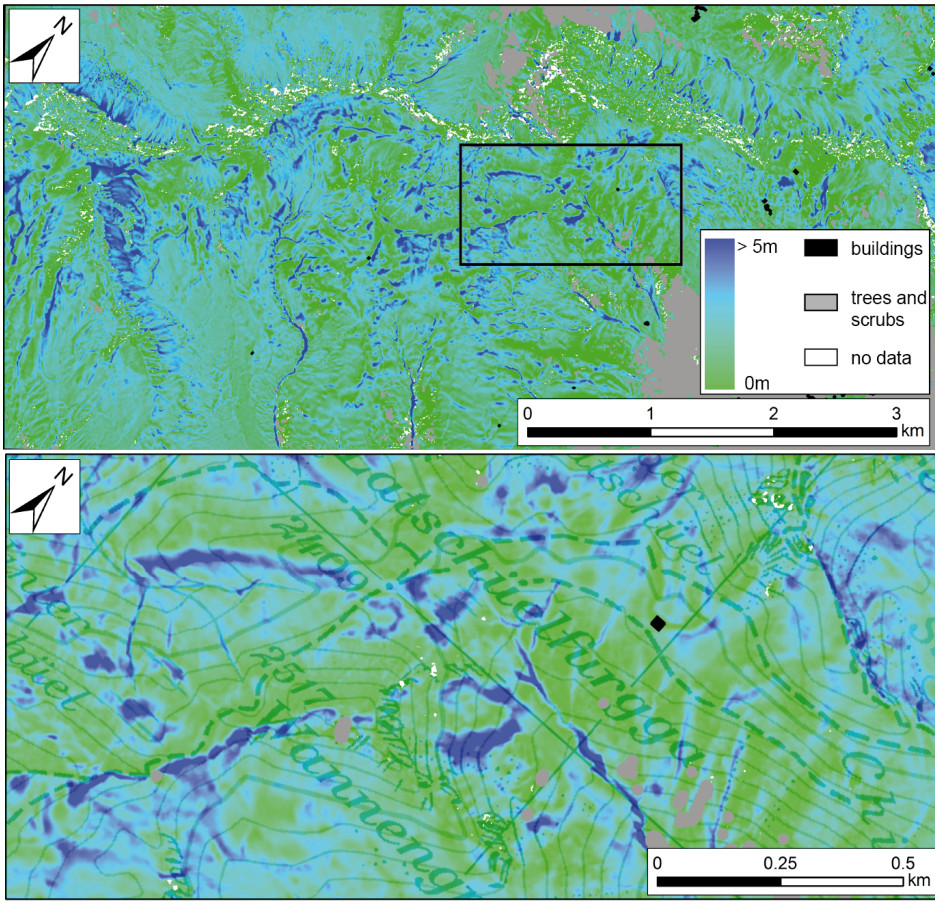


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Figure 4. Spatial distribution of image correlation success in a section of the test site Wannengrat. Visible in the right picture are interpolated points (red) mainly in very steep terrain ($>50^\circ$), on vegetation and anthropogenic features (e.g. ski lift).

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Yves Bühler 29.10.2014 16:00
Gelöscht: 6



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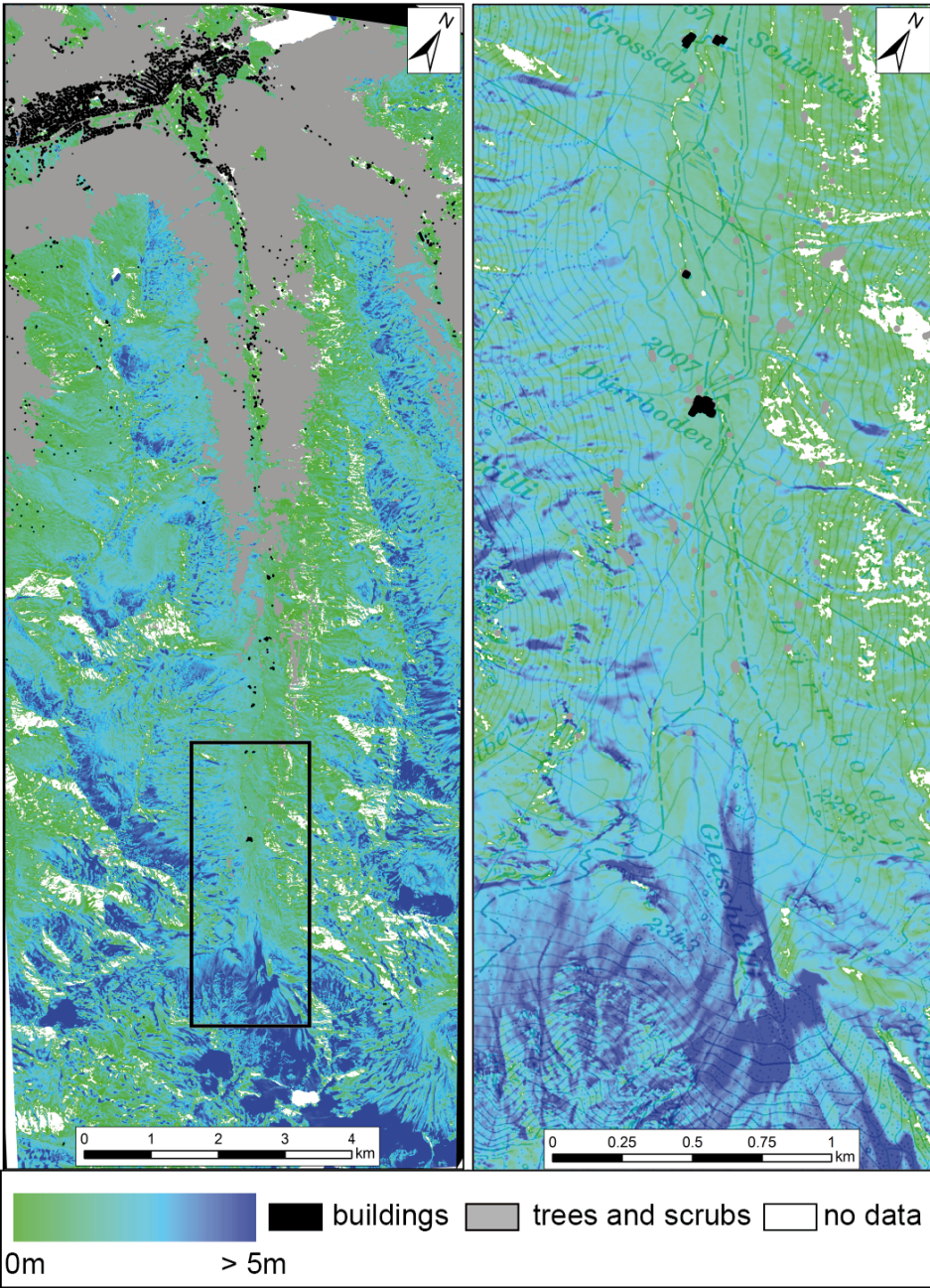
Figure 5. Snow depth map of the entire Wannengrat area (top, see Fig 1. for orientation) and a close up view from area where the reference data was acquired (bottom). Traps for wind-blown snow, cornices and deposits from past avalanche events can be identified by the highest snow depth values.

Yves Bühler 29.10.2014 16:02

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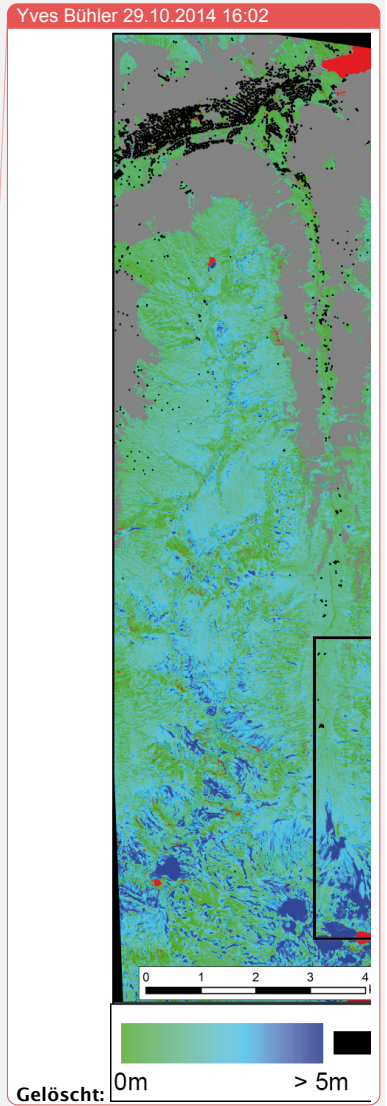
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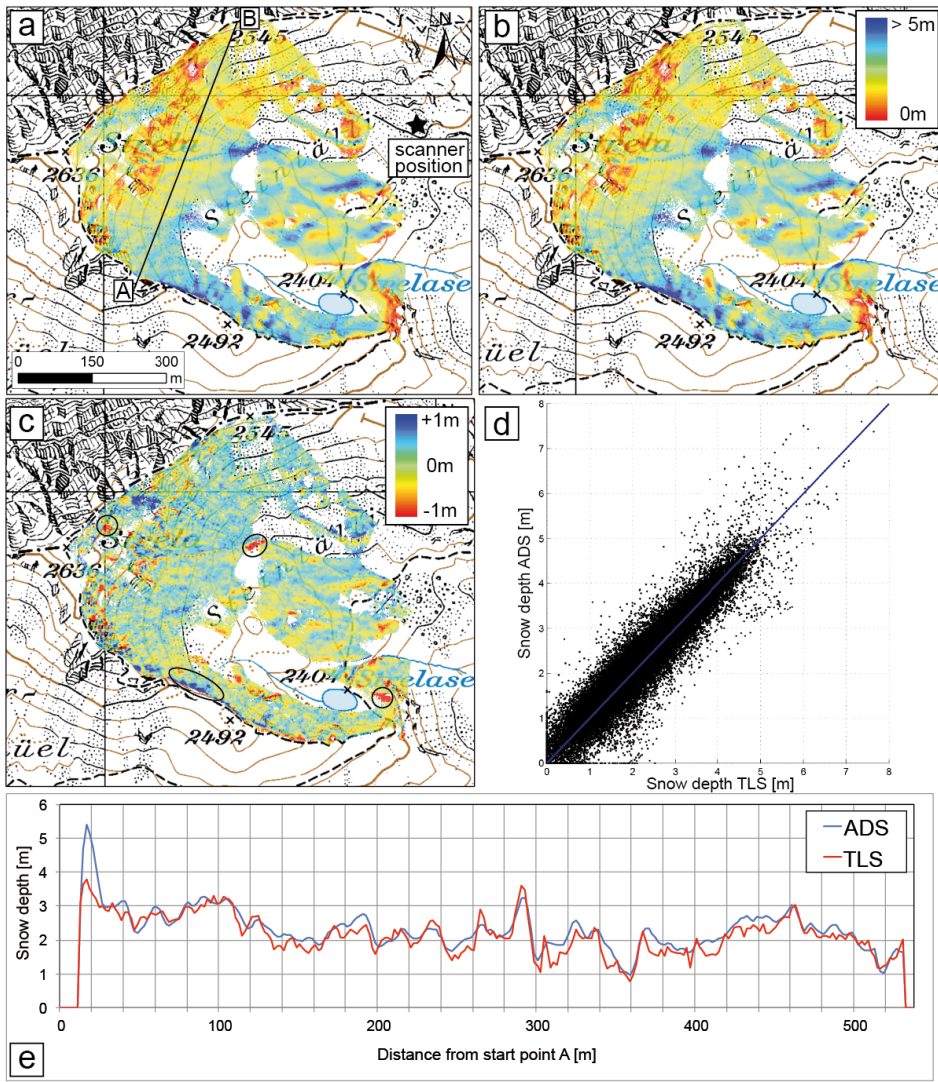
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- 1 | Figure 6. Snow depth map of the entire Dischma area (left, see Fig 1. for orientation) and a
- 2 | close up view (right) from area indicated by the black box.
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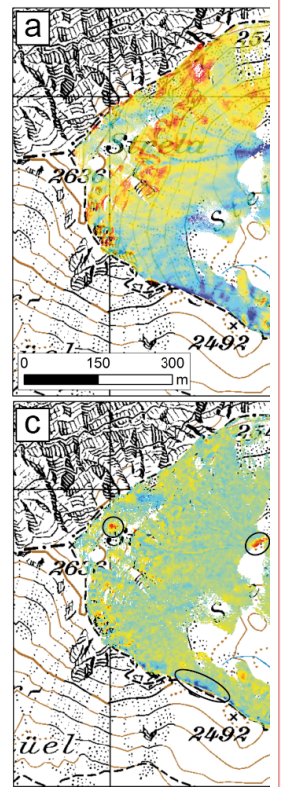
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Figure 7, TLS derived snow depth (a), ADS derived snow depth (b), difference ADS minus TLS (c) scatter plot of the two different snow depth measurements (d) ($cor_e = 0.94$) and TLS as well as ADS snow depth values along a transect (depicted in (a)) from point A to point B (e)

Yves Bühler 29.10.2014 16:01



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Luca Egli 24.11.2014 16:50

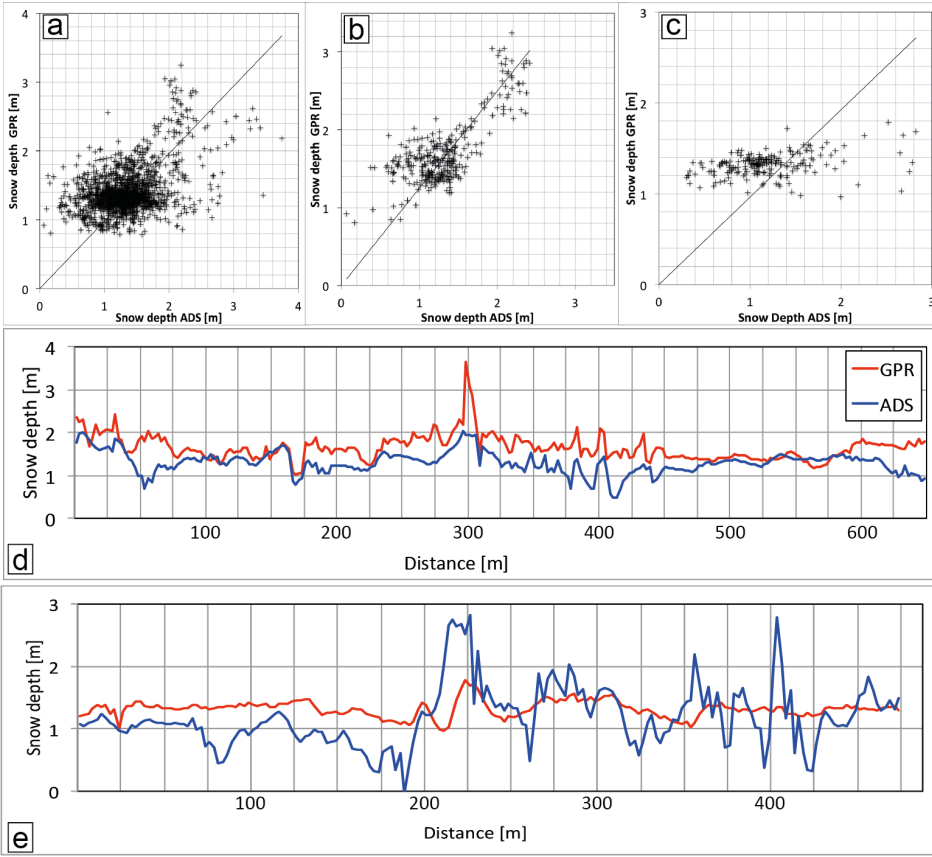
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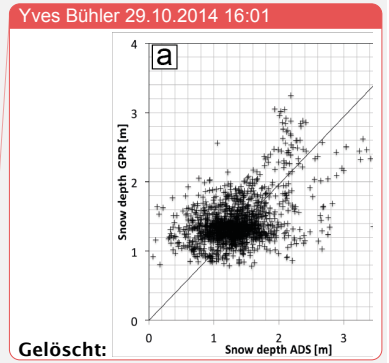
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Gelöscht: TLS derived snow depth (a), ADS80 derived snow depth (b), difference ADS minus TLS (c) and the correlation between the two different snow depth measurements ($cor_e = 0.94$).



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Figure 8. Correlation of the ADS snow depth to the GPR snow depth for all 1522 points (a, $cor_e = 0.45$), segment N° 1 with 296 points and a larger value range (b, $cor_e = 0.77$) and segments N° 5 with 191 points and a low value range in the GPR data (c, $cor_e = 0.34$).



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