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16 Abstract

17 Glacier melt is an essential wellspring of freshwater for the arid regions surrounding the Tian 18 Shan. However, the knowledge about glacier volume and mass changes over the last decades is limited. In the present study, glacier area, glacier dynamics, and mass changes are 19 20 investigated for the period ~1975 - 2007 for the Sourthern Inylchek Glacier (SIG) and the 21 Northern Invlchek Glacier (NIG), the largest glacier in Central Tian Shan separated by the 22 regularly draining Lake Merzbacher. The area of NIG increased by $2.0 \pm 0.1 \text{ km}^2$ (~1.3%) for 23 the period ~1975 - 2007. In contrast, SIG has shrunk continuously in all investigated periods 24 since ~1975. Velocities of SIG in central part of ablation region reached ~100 - 120 m/a in 25 2002/2003 which was slightly higher than the average velocity in 2010/2011 with the main 26 flow direction towards Lake Merzbacher. The measured velocities at the distal part of the 27 terminus downstream of Lake Merzbacher were below the uncertainty, indicating very low 28 flow with even stagnant parts. Geodetic glacier mass balances have been calculated using

29 multi-temporal digital elevation models from KH-9 Hexagon (1974 and 1976), SRTM3 30 (1999), ALOS PRISM (2006), and SPOT-5 HRG (2007). In general, a continuous mass loss 31 for both SIG and NIG, could be observed between ~1975 and 2007. For SIG a mass loss of 0.43 ± 0.10 m w.e. a⁻¹ and for NIG a loss of 0.25 ± 0.10 m w.e. a⁻¹ were observed for the 32 period ~1975 - 1999. For the period 1999 – 2007, the highest mass loss of 0.57 ± 0.46 m w.e. 33 a^{-1} was found for NIG, whilst SIG showed likely a moderate mass loss of 0.28 ± 0.46 m w.e. 34 a^{-1} . Both glaciers slightly retreated during this period. Between ~1975 and 1999, we identified 35 a thickening at the front of NIG with a maximum surface elevation increase of about $\sim 6 \text{ m a}^{-1}$ 36 as a consequence of a surge event. In contrast significant thinning (>0.5 m a^{-1}) and 37 comparatively high velocities close to the dam of Lake Merzbacher were observed for SIG, 38 39 indicating that Lake Merzbacher enhances glacier mass loss.

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41 **1** Introduction

42 Meltwater from snow and ice is an important freshwater resource for the arid regions 43 surrounding the Tian Shan (Sorg et al., 2012). This is especially true for the Tarim Basin in 44 Xinjiang/Northwest China whose main artery, the Tarim River, is considerably nourished by 45 glacial melt (Aizen et al., 2007; Sorg et al., 2012). The transboundary Asku River (named Sary-Djaz in Krygyzstan), originating in the Kyrgyz part of the Central Tian Shan and the 46 47 main tributary of the Tarim River, contributes about 40% to the overall run-off of the Tarim 48 River (Mao et al., 2004). The runoff of Aksu River has increased during the last decades (Li 49 et al., 2008; Liu et al., 2006; Piao et al., 2012). Shen et al. (2009) estimated that 13% of the 50 annual runoff during 1957 - 2006 in the Aksu River was due to the glaciers imbalance while 51 Pieczonka and Bolch (2014) estimated an even higher value of ~20% for the period ~1975 -52 2000. Reported shrinkage rates varied up to ~3.7% for the entire Sary-Djaz Basin during 1990 53 - 2010 (Osmonov et al., 2013) and ~8.7% for the neighbouring Ak-Shirak Range during 1977 54 - 2003 (Aizen et al., 2006). Hence, the runoff increase of Aksu River is at least partly due to 55 increased glacier melt. Changes of mass balance can be directly linked to climate change and 56 runoff. Glacier mass balance, however, is traditionally measured in-situ. As this work is 57 laborious and most of the glaciers are located in remote and hardly accessible terrain, 58 measurements can only be conducted point wise for few glaciers. Several studies have shown 59 that remote-sensing derived geodetic mass balance estimates are suitable to extend in-situ 60 measurements in space and time (e.g. Berthier et al., 2010, Bolch et al., 2011, Gardelle et al.,

61 2013; Paul and Haeberli, 2008), and it's even used to calibrate time series of in-situ
62 glaciological records (e.g. Zemp et al., 2013).

Glaciers in Central Tian Shan experienced significant mass loss over the last decades. Aizen 63 et al. (2006) determined a thinning rate of 0.69 ± 0.37 m a⁻¹ (or 0.59 ± 0.31 m w.e. a⁻¹ mass 64 loss, using a density of 850 kg m⁻³ to convert volume to mass changes) for the Ak-Shyrak 65 Massif, the second largest glacierized massif in the Central Tian Shan, while Pieczonka et al. 66 (2013) found a mass loss of 0.42 \pm 0.23 m w.e. a ⁻¹ using 1976 KH-9 data and the SRTM3 67 DEM for several partially debris-covered glaciers in south of Peak Pobeda/Tomür Feng (Peak 68 69 Pobeda in Russian/ Tomür Feng in Chinese, it is also named after Jengish Chogsu in Kyrgyz) with a decreasing trend in the recent period (1999 - 2009). 70

71 SIG, the largest glacier in the Central Tian Shan, is characterized by a layer of debris altering 72 both rates and spatial patterns of melting. SIG was investigated by field based method (ablation measurements [Hagg et al., 2008]) and by remote sensing (velocity measurements 73 74 [Li et al. 2013]). However, there is still a lack of volume and mass change investigations. In the present study we used stereo 1974/1976 KH-9 Hexagon (for ease of understanding, we 75 76 unified use ~1975 KH-9), 2006 ALOS PRISM, and 2008 SPOT-5 High Resolution 77 Geometrical (HRG) data and the 2000 SRTM3 DEM to assess the mass change of SIG and NIG. In addition, we investigated area changes and the glacier dynamics using Landsat 78 79 TM/ETM+ and Terra ASTER imagery.

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81 2 Study region

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83 Invlchek Glacier is located in the Kumarik Catchment, the headwater of the Aksu-Tarim 84 River Catchment between peak Pobeda / Tomür Feng (7,439 m a.s.l., the highest peaks of the 85 Tian Shan) and Khan Tengri (6,995 m a.s.l.) (Fig. 1). The glacier consists of two branches: 86 the Southern and Northern Inylchek Glacier (SIG and NIG) which formerly had a joined tongue; however, glacier recession led to a separation (Lifton et al., 2014; Kotlyakov et al., 87 88 1997). The space between the two tongues was filled by Merzbacher Lake as the tongue from 89 the SIG formed an ice-barrier which dammed the meltwater (Glazirin, 2010; Häusler et al., 90 2011). SIG stretches about 60.5 km in East - West direction with an area of approximately 91 500 km². NIG and SIG together account for ~32% of the total glacier area of the Sary-Djaz

river basin (Osmonov et al., 2013). The equilibrium line altitude (ELA) is located at about
4,500 m a.s.l. (Aizen et al., 2007). Existing velocity measurements of SIG show surface
velocities of about 100 m a⁻¹ for the central part of the ablation region (Li et al., 2013;
Nobakht et al., 2014) where the glacier flow is mainly directed towards Lake Merzbacher
(Mayer et al., 2008; Nobakht et al., 2014).

The study region is characterized by a semi-continental climate. Precipitation recorded at 97 Tian Shan Station (TS) (1960 - 1997) (78.2°N, 41.9°E, 3,614 m a.s.l., Fig.1) and Koilu 98 Station (K) (1960-1990) (79.0°E, 42.2°N, 2,800 m a.s.l., Fig.1) was 279 mm a⁻¹ and 311 mm 99 a^{-1} , respectively (Revers et al., 2013) with about 75% of precipitation occurring during 100 101 summer (May - September). Hence, both SIG and NIG receive a significant amount of the 102 accumulation during summer as compared to Himalayan Glaciers (Osmonov et al., 2013). No 103 long-term precipitation measurements exist on the glacier itself. However, a correlation 104 between annual accumulation measured by stakes at 6,148 m a.s.l. and annual precipitation 105 was constructed for Tian Shan Station (Aizen et al., 1997). The mean annual temperature at 106 Tian Shan Station is about -7.7 $^{\circ}$ C with January being the coldest month (-21.8 $^{\circ}$ C) and July 107 the warmest $(4.3 \degree C)$ (Osmonov et al., 2013).

108

109 **3** Data and Methods

110 **3.1** Remote sensing datasets

Declassified KH-9 Hexagon, SPOT-5 HRG, ALOS PRSIM, Terra ASTER, Landsat
TM/ETM+ and SRTM3 data were used to obtain information about surface elevation, surface
velocity and area extent of both SIG and NIG for different periods (Tab. 1).

The KH-9 Hexagon mission was part of the US Keyhole reconnaissance satellite program whose images were declassified in 2002 (Phil, 2013). The employed frame camera system was used on a total of 12 missions between 1973 and 1980. For the KH-9 missions the same film as for the KH-4 mission was used. The film resolution is about 85 line pairs/mm. In our study, we used Hexagon images from mission 1209 flown in November 1974 and mission 1,211 flown in January 1976.

For the period around 2000, the unfilled finished Shuttle Radar Topography Mission (SRTM) data with 3 arc-second resolution (approximately 90-meter) (USGS, 2006) was used. Yang et

122 al. (2011) and Shortridge et al. (2011) reported an absolute vertical accuracy of the SRTM3 123 DEM of about 10 m. However, the accuracy in mountainous terrain is likely worse 124 (Gorokhovich et al., 2006; Pieczonka et al., 2011; Surazakov et al., 2006). The original 125 SRTM3 dataset has some data voids especially at high and steep elevation regions due to radar shadow and layover effects (Supplementary Figure S1). Thus, parts of the accumulation 126 127 regions are not covered by the SRTM3 DEM. These gaps have been filled in the SRTM3 128 CGIAR version 4 DEM using auxiliary data (Jarvis et al., 2008), but the exact time is only 129 known for the original data. The void filled SRTM3 DEM was used for the orthorectification 130 of ASTER images and the calculation of the glacier hypsometry (Supplementary Figure S2). 131 Due to the acquisition in February 2000 the DEM represents the glacier surface as constituted 132 at the end of the 1999 ablation period. However, the penetration of the C-band radar waves of 133 about 1 - 2 m on exposed ice and up to 10 m on dry, cold firn (Gardelle et al., 2012; Rignot et 134 al., 2001) needs to be taken into account.

135 The SPOT-5 HRG instruments offer across-track stereo images with the viewing angle being 136 adjustable through $\pm 27^{\circ}$ from two different orbits, which are suitable for DEM generation in 137 high mountain areas (Toutin, 2006). Due to the precise on board measurements of satellite 138 positions and attitudes of the SPOT-5 orbit, each pixel in a SPOT-5 image can be located on 139 the ground with an accuracy of ± 25 m on the 66% confidence level without additional ground 140 control points (GCPs) (Berthier et al., 2007; Bouillon et al., 2006). Two SPOT-5 HRG images, acquired on 5 Feb. 2008 with an incidence angle of -9.79° and 24.94° offering a Base to 141 142 Height Ratio (B/H) of about 0.63, were used for DEM generation (Tab. 1). The image 143 contrast on the glacier of the utilized images is suitable for DEM generation, but several 144 regions in the SPOT-5 DEM are influenced by cast shadows and were eliminated from the 145 final DEM (Supplementary Figure S2).

ALOS was launched in January 2006, carrying the PRISM optical sensor in a triplet mode, i.e. in forward, nadir and backward views in along-track direction (Takaku et al., 2004). We used the nadir and backward images (Tab. 1). The horizontal accuracy of the geometrical model with Rational Polynomial Coefficients (RPC) (which contains the interior and exterior information) can achieve an accuracy of better than 6.0 m (or 7.5 m in horizontal direction and 2.5 m in vertical direction) without any GCPs (Takaku et al., 2004; Uchiyama et al., 2008). This accuracy can be improved by using additional GCPs. In addition to the above mentioned image we used Landsat TM/ETM+ and Terra ASTER data
to investigate the changes in glacier extent and to observe the glacier flow (Tab. 1).
Unfortunately only SIG was covered by the utilized ASTER scenes.

156 **3.2 Glacier boundary**

157 The glacier boundaries were manually delineated from Landsat TM/ETM+, orthorectified 158 panchromatic SPOT-5 and KH-9 images. Debris cover on the tongue of SIG hampered the 159 accurate identification of the glacier margin. However, water outlets at the front of SIG and 160 traces left after the river flow around the tongue are visible in the images. We identified the 161 lines of the traces surrounding the debris covered ice as the glacier terminus boundary (Fig. 162 2a). For the NIG terminus boundary the delineation between the water and debris was used as 163 the terminus boundary of ice (Fig. 2b). Furthermore, the hillshade based on the SRTM3 DEM 164 provided additional information to detect the glacier boundary. The accuracy of the glacier 165 outlines is strongly influenced by debris cover and different spatial resolutions of the used 166 satellite datasets (Paul et al., 2013). We estimated the uncertainty using a buffer of 10 m for 167 the KH-9 images and half a pixel for Landsat TM/ETM+ images in bare ice region and good 168 snow conditions (cf. Bolch et al., 2010). For the debris-covered parts, a buffer of 2 pixels of 169 each images was used to evaluate the delineation uncertainty. We assume that the uncertainty 170 due to image co-registration is captured with the buffer method. Under consideration of the 171 law of error propagation, the final uncertainty θ_{change} was calculated using equation 1.

172
$$\theta_{change} = \sqrt{\theta_{period1}^2 + \theta_{period2}^2}$$
 (1)

173 Where $\theta_{period1}$, $\theta_{period2}$ represent the uncertainties of the glacier outlines in period1 and period2. 174 The mapping uncertainties vary between 0.3 - 3.7% (Tab. 2).

175 3.3 Flow velocity of SIG

To investigate the dynamic behaviour of the SIG, we measured glacier displacement rates using multi-temporal optical satellite image covering a time span of about one year. A frequency based feature tracking (phase correlation) was performed using the EXELIS VIS ENVI add-on COSI-Corr in order to get the horizontal offset of corresponding image points. The tracking was performed using the method of phase correlation. For ASTER data a previous subpixel-coregistration was performed as described in Leprince et al. (2007) using the gap-filled SRTM3 CGIAR DEM, which was bilinearly resampled to 30 m, as vertical reference. Landsat level 1T data were assumed to be quasi-coregistered because of the same sets of GCPs and vertical references used for orthorectification. On the basis of an expected annual average velocity of SIG of up to 90 m/a (observed in 2003/2004 [Mayer et al., 2008]) and the images' resolution, the step size was set to four pixels for ASTER and two pixels for Landsat. Hence, both displacement maps have a final resolution of 60 m.

188 The relative offsets of the co-registered images show the phase difference of the previously 189 Fourier transformed input data and can be estimated by the correlation maximum (Leprince et 190 al., 2007). For the 2010/2011 observation period, offsets in the north-south and east-west -191 direction were measured with an accuracy of 1/7 pixel using quasi coregistered Landsat TM (L1T) data. For the 2002/2003 period, we achieved a precision of 1/4 pixel based on 1/25 192 193 pixel-coregistered ASTER (L1A) data. A Signal-to-Noise Ratio (SNR) of 0.9 was selected 194 and applied to filter obvious outliers. The reliability of the displacement vectors was assessed 195 by the ratio of the RMSE and the resolution of the respective input data. Errors caused by 196 clouds, topography and low image contrast have been removed from the matching result. The 197 final uncertainty has been determined to be 3.5 m/a for 2002/2003 and 4.7 m/a for 2010/2011.

198 **3.4 DEM generation and DEM post processing**

KH-9, ALOS PRISM and SPOT-5 HRG data were processed by using Leica Photogrammetry
Suite (LPS), vers. 2013 with the reference system UTM WGS84 Zone 44.

For the stereo processing of the KH-9 images, we measured 38 GCPs for the DEM covering the lower part of Inylchek Glacier and 47 GCPs for the stereo pair covering the accumulation region of Inylchek Glacier with a final RMSE of ~1 m. GCPs coordinates and elevations were derived from Landsat 7 ETM+ scenes and the SRTM3 DEM. For the processing, the frame camera model in LPS, was used and the final resolution of the KH-9 DEMs was 25 m.

206 ALOS PRISM and SPOT-5 were processed with four additional GCPs in order to improve the 207 accuracy of the exterior orientation (Supplementary Table S1). The automatically generated 208 tie points (TPs) were visually checked in terms of ground objective and topographic features. 209 In total, 120 TPs were used. The spatial resolution of the ALOS and SPOT-5 DEMs was 10 m. 210 Differencing of multi-temporal DEMs necessitates a co-registration including the removal of 211 horizontal and vertical offsets (Pieczonka et al., 2013). We used the analytical method 212 proposed by Nuth and Kääb (2011) which has been proven to provide robust results and to be 213 computationally effective (Paul et al. 2014). All DEMs were bilinearly resampled to the same

cell size of 30 m. The resolution is a compromise between the possible higher resolution of

215 KH-9 and SPOT-5 DEMs and the lower resolution of the SRTM DEM. The shift vectors were

216 calculated based on selected ice free sample regions (Supplementary Figure S3). The resulting

217 horizontal shifts were in the order of 2 pixels and the z-offsets varied between 1.3 m and

almost 20 m (Supplementary Table S2).

219 **3.5 Radar Penetration**

220 Radar penetration for the SRTM C-band in ice, firn and snow needs to be considered 221 (Gardelle et al., 2012; Kääb et al., 2012; Mätzler and Wiesmann, 1999). A Landsat ETM+ 222 (Level 1) scene from 18 February, which is within the time of the SRTM mission (11 - 20 223 February 2000) revealed that SIG and NIG were covered by snow. We used available ICESat 224 GLA14 footprints to compare with SRTM3 elevation data in order to assess the penetration 225 depth as described by Kääb et al. (2012). Six out of nine ICESat tracks covering both SIG and 226 NIG from 2003 to 2004 were selected. We classified those footprints into glacier free terrain, 227 debris-covered regions (region a and region b), bare ice and accumulation regions 228 (Supplementary Figure S4). Fortunately, there was an excellent track over 4,300 m a.s.l.. We 229 eliminated the differences of the elevation change between 2000 and 2003/2004 by using the 230 elevation change rate between the footprints acquired in 2003 and 2004. The results show a 231 mean penetration depth of -0.1 \pm 3.2 m for the glacier free terrain, 1.3 \pm 2.9 m for the 232 debris-covered region a, -3.6 ± 4.5 m for the debris-covered region b (3,500 - 3,600 m a.s.l.) 233 where some parts are bare ice, -4.3 ± 2.3 m for bare ice parts in altitudes from 4,000 to 4,300 234 m a.s.l. and -6.8 \pm 2.1 m for the bare ice parts in altitudes from 4,300 to 5,100 m a.s.l. There 235 was no data higher than 5,100 m a.s.l.. Furthermore, we compared the SRTM C-band and 236 SRTM X-band DEMs to estimate the radar penetration based on ICESat footprints (cf. 237 Gardelle et al., 2012) though 6 - 16 m penetration depth was reported at 10.7 GHz (SRTM 238 X-band had 10GHz) (Surdyk, 2002). Both DEMs were resampled to 30 m resolution. The 239 result show that the mean elevation difference within 100 m altitude zones varies between 1.7 240 m in the lower debris-free ablation area and about 2.1-4.2 m for altitude within 4,000 - 5,100 241 m a.s.l. the penetration depth of both lower debris-free ablation region and altitude with 4,000 242 - 5,100 m a.s.l. was 2.2 - 2.6 m lower as the depth revealed by comparing ICESat GLA to SRTM3 data. The maximum elevation difference was about 9 m between SRTM C-band and 243 244 SRTM X-band DEMs (Supplementary Figure S5), which disagrees with the estimated

penetration (9 m at 4,500 m a.s.l.) in Akshiirak massif by using a linear method (cf. Surazakov et al., 2006). The uncertainty of the radar penetration (*erp*) was estimated by the Standard Deviation (STD) to be 1.9 m. Consequently, the penetration depth was evaluated by using sum of the difference between SRTM C-band and SRTM X-band DEMs and 2.6 m. Subsequently, averaged penetration depth in each altitude zone was used to correct elevation differences.

3.6 Glacier elevation change and mass balance

The elevation change was calculated based on the area-averaged value per 100 m elevation zone from DEM differencing (cf. Gardner et al., 2013; Xu et al., 2013; Formula 2, Supplementary Figure S2). After filtering outliers caused by low image contrast (e.g by cast shadows) for optical data, radar shadow and layover for microwave data in each zone, the mean volume of each zone was used to calculate the elevation change (Formula 2).

257
$$\Delta h_{gI} = \frac{\sum_{i=1}^{n} \Delta h_i * s_i}{s_{aII \ zones}}$$
(2)

where *i* is the number of zones, Δh_i is the mean glacier elevation change in the respective zone after radar penetration correction, s_i is the area of each zone, *n* is the total number of zones, and $s_{all \ zones}$ is the total area of all zones. The distal part of the tongue of SIG, which is not covered by the SPOT-5 DEM (Fig. 1), was filled with the ALOS DEM. In order to account for the different times of image acquisition of ALOS PRISM and SPOT-5 we used the elevation change per year for filling the gaps of SPOT-5 DEM. A density of 850 ± 60 kg m⁻³ was used to convert the volume to actual mass change (cf. Huss, 2013).

265 The accuracies of the final DEM differences were evaluated with regard to the vertical offset 266 over ice-free terrain which is supposed to be stable. Outlier values were identified by 3σ and 267 excluded from further processing (cf. Gardelle et al., 2013; Gardner et al., 2013). Due to the glacier surge in late 1996, outliers of NIG for the period ~1975 - 1999 and ~1975 - 2007 were 268 269 defined and excluded as follows: all values larger than the sum of the maximum elevation 270 difference (which is larger than 3σ) in the surging region, standard deviation and mean of the 271 elevation difference. After outlier cleaning several obvious errors could still be detected in the 272 accumulation regions. According to the annual snow-firn layer (the thickness was less than 273 275 mm/year) at 6,148 m a.s.l. on SIG from 1969 to 1989 (Aizen et al., 1997), the maximum 274 accumulation can be inferred to be less than 9.1 m (275 mm/year * 33 years) for the period

275 \sim 1975 - 2007. The maximum seasonal snow depth in February 2000 was estimated to be 9.0 276 m by comparing SRTM C-band and SRTM X-band (cf. section 3.5). Hence, we considered a 277 threshold of 20 m (including 2.6 m underestimated) as the maximum accumulation for 278 elevations above 4,000 m a.s.l.. In order to analyse the relative uncertainty of the ALOS DEM 279 compared to the SPOT-5 DEM we measured a profile with 342 sample points between 3,050 280 and 3,350 m a.s.l. on the glacier. The results revealed an uncertainty of 4.5 m with a standard 281 deviation of 3.6 m. This uncertainty from ALOS DEM included glacier elevation changes 282 between 2006 and 2007.

283 The uncertainty of the differences of the different DEMs was estimated by the normalized median absolute deviation (NMAD) (expressed by 1.4826 * $MED(|\tilde{x} - x_i|)$, x_i : elevation 284 difference; \tilde{x} :Median) for the ice free terrain (Supplementary Table S2). Considering the 285 286 radar wave penetration accuracy of 2.3 m, the uncertainty of the DEM differences was calculated according to equation 3. The final mass balance uncertainty (E) has been calculated 287 considering the DEM uncertainty (e) where t is the observation period, ice density (ρ_I : 850 288 kg/m³) the ice density uncertainty ($\Delta \rho$: 60 kg/m³), and the water density (ρ_{w} : 999.92 kg/m³) 289 (Equation 4). 290

291

292
$$e = \sqrt{MAD^2 + 2.3^2}$$
 (3)

293
$$E = \frac{e\sqrt{(\Delta\rho)^2 + (\rho_I)^2}}{t * \rho_w}$$
 (4)

294

295 **4 Results**

4.1 Glacier flow

We noticed high velocities with an average flow of about ~100 - 120 m/a (between point b and point c representing the central ablation region) for SIG towards Lake Merzbacher while the remaining part of the debris-covered tongue (between point a and point b, lower ablation region/downstream of Lake Merzbacher) has significantly lower velocities with decreasing rates and likely stagnant parts at the terminus (Fig. 3). An obvious low flow section (less than 300 m/a) at point b, upstream of the turn to Lake Merzbacher was observed in both 2002/2003 and 2010/2011 (Fig. 3). A significant acceleration was observed from point b to the lake dam.
These results are in agreement with Nobakht et al. (2014).

Most tributaries have active flows until the confluence of the glacier with velocities varying typically between 30 and 60 m/a. The general patterns and velocities in main flow direction are similar for both investigated periods (2002/2003 and 2010/2011). However, comparing the velocities of 2002/03 and 2010/11 shows a slight deceleration for the main stream of SIG (Supplementary Figure S6). Significant deceleration of the surface velocity were found in region 1 and region 2 (cf. Fig. 3) with high velocities (more than 60 m/a) for the period 2002/2003 and lower velocities (less than 45 m/a) for the period 2010/2011.

312 4.2 Glacier area change

SIG shrank continuously by about 0.1 \pm 0.1 km² (0.007 \pm 0.007 km² a⁻¹), 0.5 \pm 0.1 km² 313 $(0.056 \pm 0.011 \text{ km}^2 \text{ a}^{-1})$ and $0.2 \pm 0.1 \text{ km}^2 (0.025 \pm 0.013 \text{ km}^2 \text{ a}^{-1})$ during the periods ~1975 314 - 1990, 1990 - 1999, and 1999 - 2007. The overall area loss of SIG was $0.8 \pm 0.1 \text{ km}^2$ (0.025) 315 \pm 0.003 km² a⁻¹) during ~1975 and 2007, accounting for ~0.2% of its area in ~1975. NIG lost 316 an area of 1.2 ± 0.1 km² (0.08 \pm 0.007 km² a⁻¹) during the period ~1975 - 1990 followed by 317 an area increase of $3.7 \pm 0.1 \text{ km}^2$ (0.411 $\pm 0.011 \text{ km}^2 \text{ a}^{-1}$) during the period 1990 - 1999. 318 319 Within this period, the glacier showed a strong advance of about 3.5 km. The glacier shrank again by $0.4 \pm 0.1 \text{ km}^2$ (0.050 $\pm 0.013 \text{ km}^2 \text{ a}^{-1}$) in the consecutive period (1999 - 2007). 320 Overall, the area of the NIG increased by 2.0 \pm 0.1 km² (0.063 \pm 0.003 km² a⁻¹) during ~1975 321 322 - 2007, accounting for ~1.3% of its area in ~1975 (Fig. 2; Tab. 3). Consequently, the area of the entire Inylchek Glacier system increased by 1.3 \pm 0.1 km^2 (~0.2%) between ~1975 and 323 324 2007.

325 **4.3 Glacier mass change**

The mass budget of SIG and NIG was -0.43 ± 0.10 m w.e. a^{-1} and -0.25 ± 0.10 m w.e. a^{-1} , respectively for the ~1975 - 1999 period, after 1999, a mass budget of -0.57 ± 0.46 m w.e. a^{-1} was measured for NIG while a mass budget -0.28 ± 0.46 m w.e. a^{-1} was observed for SIG. Both SIG and NIG experience a mass loss (0.42 ± 0.11 m w.e. a^{-1} and 0.30 ± 0.11 m w.e. a^{-1}) between ~1975 and 2007 (Fig. 4 & Tab. 54). We also noted significant thinning of about 0.5 -2.0 m a^{-1} from ~1975 to 2007 for SIG close to the lake dam (Fig. 4). At this location, high flow velocities were observed (Figure 3), which causes more ice to be transported there (Ng etal., 2007; Mayer et al., 2008).

334 The elevation differences measured along the main flow line allows more detailed insights 335 into the characteristics of the glaciers behaviour (Fig. 5). SIG showed a surface lowering from its terminus to point b for the periods ~1975 - 1999 and 1999 - 2007 (Fig. 5). There are 336 337 mean large variation in elevation changes between point a and b below Lake Merzbacher (Fig. 338 5) where the glacier is heavily debris covered and shows low or even no surface flow (Fig. 3). 339 A clear surface lowering could be observed upwards the glacier between point b and g for all 340 investigated periods (Fig. 4 and Fig. 5). We also identified parts with no significant surface elevation changes at SIG above point c for ~1975 - 1999 (Fig. 4a) until ~37 km from the 341 terminus (Fig. 5). An apparent elevation increase at a mean rate of $1 - 2 \text{ m a}^{-1}$ was observed 342 for the period 1999 - 2007 in region 2 (above point g) of the accumulation region for SIG (Fig. 343 344 4b) where decreased velocities were measured for the period 2002 - 2003 and 2011 - 2012 (Fig. 3a). NIG showed a significant thickening with maximum values of $\sim 6 \text{ m a}^{-1}$ close to the 345 terminus (point d) for the period ~1975 - 1999 while the glacier rapidly thinned at a rate of 346 347 ~4 m a^{-1} further upwards the glacier tongue (between point e and f; Fig. 5 NIG). Hence, a 348 large amount of mass was transferred from the accumulation to the ablation region which is a 349 typical sign for a glacier surge. In contrast, NIG showed a clear thinning throughout the 350 tongue after 1999.

SIG experienced thinning throughout all altitude zones except at elevations between 6,300 and 6,500 a.s.l. for the period ~1975 - 1999. The most obvious thinning was observed at 3,700 - 4,500 and 5,400 - 5,800 m a.s.l.. For the period 1999 - 2007, surface lowering was measured only below 4,500 m a.s.l. with a mean rate of about 0.9 \pm 0.5 m a⁻¹. In contrast clear thickening with a mean rate of about 0.2 \pm 0.5 m a⁻¹ was observed between 4,500 – 4,900 m a.s.l. (Fig. 6; Supplementary Table S3). For the entire investigation period (~1975 – 2007), the surface elevation of SIG decreased below 6,500 m a.s.l..

358

359 **5** Discussion

361 **5.1 Uncertainty**

362 Seasonal snow in the accumulation region and debris cover, as also present in our study region, typically complicated precise glacier mapping (cf. Bolch et al., 2010, Paul et al., 2013). 363 364 In order to assess our uncertainty estimate, we compared the results of the buffer method used with the approach suggested by Pfeffer et al (2014). The results show that the delineation 365 uncertainty of SIG using their approach with 30 m from Landsat TM was about 9 km², which 366 is smaller than our results of about 11 km^2 . Hence we think our approach provides a reliable 367 368 uncertainty estimate especially as we used a larger buffer of 2 pixels in each images for the 369 debris-covered parts.

370 One critical issue with all studies using the SRTM3 DEM for geodetic mass balance 371 calculations is the unknown C-band radar penetration into snow and ice. We estimated the 372 penetration using ICESat laser altimetry data which is one of the most robust methods in case 373 field data is not available (Kääb et al., 2012). The uncertainty for our mass balance estimation 374 is also strongly influences by the penetration correction. The estimated mean SRTM penetration for both SIG and NIG was 4.8 \pm 1.9 m. This is larger than the correction 375 376 estimated for the Karakorum (Gardelle et al., 2013) and Hindu Kush (Kääb et al., 2012). The 377 correction for radar penetration decreases the mass budgets on average by 0.17 m w.e. for the period ~ 1975 - 1999 and by 0.51 m w.e. for the period 1999 - 2007. 378

379 One of the further major uncertainties in our study is caused by the lack of information in 380 several altitudinal zones due to data voids in the accumulation regions (Supplementary Figure 381 2). Pieczonka et al. (2013) used different suitable assumptions to fill the data voids in 382 accumulation regions. In this study, the maximum, minimum and mean elevation changes 383 observed in the accumulation regions were used to fill the voids and to evaluate the impact on 384 the whole glacier mass balance. We found that the area in those zones were too small (0.5%)385 above 6,500 m a.s.l. in area) to affect the results significantly. The different assumptions led to a variation of the mass balance by only < 0.02 m a⁻¹. This number was added in to the 386 387 uncertainty terms.

388 5.2 Glacier changes

Our study revealed only a slight retreat of SIG during ~1975 and 2007 while a strong advance
for NIG could be identified between 1990 and 2000. Osmonov et al. (2013) reported an

average shrinkage of $3.7 \pm 2.7\%$ from 1990 to 2010 with 10 advancing glaciers in the upper Aksu Catchment. Our results tend to be in agreement with Osmonov et al. (2013) who, however, did not analyse SIG and NIG separately and did not report the NIG surge. Glacier shrinkage in adjacent regions such as in Northern Tian Shan (Bolch, 2007; Aizen et al., 2006), or eastern/Chinese part of Tian Shan (Ding et al., 2006), was significantly larger.

Our observed velocities for SIG (~120 m a^{-1} for the main tongue) are in agreement with 396 Nobakht et al. (2014) and Neelmeijer et al. (2014) who measured velocities rate of 0.3 - 0.4 m 397 day⁻¹ (~100 - 150 m a⁻¹) based on ASTER and Landsat data, but larger than the 0.2 m day⁻¹ 398 (~75 m a⁻¹) noted by Li et al. (2013) based on ALOS PALSAR data. The velocity close to 399 Lake Merzbacher between 2002 and 2003 (75 - 90 m a⁻¹) is also in agreement with in-situ 400 measurements (80 - 90 m a⁻¹, Mayer et al., 2008). Glacier calving could be observed for the 401 SIG with mean velocities of up to 0.4 m day⁻¹ between 2009 and 2010 (Nobakht et al., 2014). 402 Furthermore, the elevation changes were about $-2.0 - 0.5 \text{ m a}^{-1}$ for the periods $\sim 1975 - 1999$ 403 and 1999 - 2007 near the lake dam. Flow velocities at the central ablation region of SIG 404 405 (between point b and point c) were higher than at the tongue below Lake Merzbacher 406 (between point a and point b, Fig. 3). High velocities transport mass from upstream and offset 407 the mass loss due to ice melt. Furthermore, the water probably also lubricates the glacier bed 408 (Quincey et al., 2009; Neelmeijer et al., 2014). Hence, the lake likely causes the high velocity 409 until the lake margin and enhances glacier mass loss (cf. Mayer et al. 2008).

410 Geodetic mass balance measurements of 12 mainly debris-covered glaciers south of Pik Pobeda/Tomur Peak close to our study area revealed that most of the glaciers have been 411 losing mass with rates between 0.08 ± 0.15 m w.e. a^{-1} and 0.80 ± 0.15 m w.e. a^{-1} for the time 412 period 1976 - 2009 (Pieczonka et al., 2013) and two glaciers gained mass and one glacier 413 414 (Qingbingtan Glacier No.74) showed signs of a surge similar to NIG. The mass loss was 415 lower during the last decade (1999 - 2009) than before 1999 (Pieczonka et al., 2013). This 416 tendency is in line with our results for SIG where we found on average a clear mass loss during 1975 - 1999 followed by a decreased mass loss between 1999 and 2007, but it is a little 417 418 difference for NIG which showed surge-type behaviour. Existing in-situ mass balance 419 measurements in the Tian Shan also show clearly negative mass budgets since the beginning 420 of the measurements in the 1960s (WGMS 2013; Sorg et al. 2012). The mass balance from Kara Batkak and Tuyuksu glaciers, for instance, was -0.77 m w.e. a⁻¹ and -0.59 m w.e. a⁻¹ 421 between 1974 and 1990, respectively and the mass balance of Tuyuksu Glacier was -0.35 m 422

w.e. a⁻¹ from 1999 to 2007 (Unger-Shayesteh et al., 2013; WGMS, 2013; Cao, 1998). The 423 424 tendency of Tuyuksu Glacier mass balance in the recent period is in line with the observed mass loss for SIG for which we found an average mass loss about -0.43 \pm 0.10 m w.e. $a^{\text{-1}}$ 425 during ~1975 - 1999 followed by mass loss of -0.28 ± 0.46 m w.e. a⁻¹ during 1999 -2007. 426 However, the mass balance of the Urumqi Glacier No.1 was -0.24 m w.e.a⁻¹ during 1975 -427 1999, and -0.63 m w.e. a⁻¹ during 1999 - 2007 (Wang et al., 2012; WGMS, 2013). This 428 429 tendency is in line with our results for NIG for which found on average a mass loss (-0.25 \pm 0.10 m w.e. a^{-1}) during ~1975 - 1999 followed by an accelerating mass loss (-0.57 ± 0.46 m 430 w.e. a⁻¹) during 1999 -2007 although both glaciers are very different in size and characterisitcs. 431 Further studies based on ICESat laser altimetry pointed out that, on average, glaciers in the 432 Tian Shan underwent clear mass loss between 2003 - 2009 (-0.58 \pm 0.21 m w.e. a⁻¹) (Gardner 433 434 et al., 2013). Furthermore, the elevation change for SIG is more pronounced in lower altitude 435 than in higher altitudes regions as seen from the two ICESat profiles. Comparison with our 436 result, it is different in this region.

437 The clear thickening at the tongue of NIG and a lowering in higher altitudes (Fig. 5) together 438 with the data of area and length change are a clear indicator for a surge event that happened 439 between 1990 and 1999. The surge event of the NIG probably happened in late 1996 with an 440 advance of about two kilometres (Maylyudov (1998) cit. in Häusler et al. 2011). Surging 441 glaciers in the Tian Shan were also reported by Narama et al. (2010), Osmonov et al. (2013), 442 Pieczonka et al. (2013), Pieczonka and Bolch (2014) and in earlier times by Dolgoushin and 443 Osipova (1975). However, NIG surging was a non-typical surging event due to the lack of 444 surge characteristics such as: areas of stretched ogives, erosion scars, transverse crevasses or 445 breaching structures; Hodkins et al. (2009) described this phenomenon as partial surges. NIG 446 showed a different behaviour in more or less all altitudes which can be explained by due to its 447 surge-type. However, compared to elevation changes in the same altitude of SIG for the period 1999 - 2007, NIG experienced higher thinning between elevation 3,300 - 3,600 m a.s.l. 448 $(2.0 \pm 0.5 \text{ m a}^{-1})$ than SIG $(1.2 \pm 0.5 \text{ m a}^{-1})$. Consequently, the more pronounced thinning at 449 450 the tongue in comparison to SIG could be due to the quiescent phase after the surge.

Both parts of the ablation regions of SIG and NIG are covered by debris below ~ 3,500 m a.s.l.
The surface of SIG showed considerable thinning rates but also great variability for both
investigated time periods of ~1975 - 1999 and ~1975 - 2007. The surface lowering is higher at
the frontal part of SIG despite thick debris cover. This is in line with several other studies

455 which found significant mass loss despite debris cover (Bolch et al., 2011; Kääb et al., 2012; 456 Nuimura et al., 2012; Pieczonka et al., 2013). Field based measurements in 2005 of moraine 457 thickness and ablation rates on the SIG revealed a dependency of ablation upon debris 458 thickness with ablation rates from 2.8 to 6.7 cm/day with a mean of 4.4 cm/day (Hagg et al., 459 2008). The lower velocities and even immobility downstream of Lake Merzbacher indicate 460 that there was little mass supplied from upstream. Therefore, the significant mass loss in 461 debris-covered region can be explained by the influence of backwasting at ice cliffs and 462 melting at supraglacial ponds (Fujita and Sakai, 2009; Han et al., 2010; Juen et al., 2014) but 463 likely also to be a consequence of little mass gain from the accumulation region due to low 464 flow velocities or even stagnancy (Benn et al., 2012, Bolch et al., 2012; Quincey et al., 2009; 465 Schomacker, 2008).

466 Measurements at the Tian Shan Station (3,614 m a.s.l.) located 120 km west of SIG revealed 467 increasing temperature and decreasing precipitation during the ablation season (May-468 September) for the period 1970 - 1996; and a decreasing temperature and slightly decreasing 469 precipitation was measured in the ablation season for the period of 1997-2009 (Krysanova et 470 al., 2014; Osmonov et al., 2013; Revers et al., 2013). This is in disagreement with the 471 observed climate change in the Tarim Basin where temperature increased after 1985 and 472 annual precipitation increased after 1980 (Chen et al., 2009; Shi et al., 2006). Hence, the 473 observed significant glacier mass loss between ~1975 and 1999 is most likely a consequence 474 of the ablation season warming and precipitation decrease which led to an accelerated melting 475 and less accumulation. Reduced mass loss of SIG between 1999 and 2007 can likely be 476 explained by reduced ablation due to decreasing temperature. However, increased mass loss 477 of NIG between 1999 and 2007 can be explained by high mass loss at the tongue of NIG as a 478 result of strong advance in the mid 1990s.

479

480 6 Conclusion

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We investigated glacier velocity, glacier area, surface elevation, and mass changes of Southern and Northern Inylchek glacier for the ~1975 - 2007 period based on multi-temporal space-borne datasets such as KH-9 Hexagon, Landsat, and SPOT-5 HRG data. Our results show that SIG has a velocity of about 100 m a^{-1} for large parts upstream of Lake Merzbacher with a main flow direction towards Lake Merzbacher and clearly lower velocities with likely 487 stagnant parts downstream of the lake. Decreasing velocities at the SIG tongue was found 488 when comparing surface displacements in 2002/2003 to 2010/2011. In general, the area of the 489 entire Inylchek Glacier system decreased in the ~1975 - 2007 period. However, NIG was surging later in 1996 which caused an overall area increase of $2.0 \pm 0.1 \text{ km}^2$ (~1.3%) between 490 491 ~1975 and 2007. The generated DEMs from ~1975 and 2007 were of good quality though 492 partially missing information in the accumulation regions resulted in higher uncertainties. 493 The results showed that the mass balance of both SIG and NIG was negative from ~1975 to 494 2007. However, the amplitude of both glaciers' mass loss is different. For SIG, decreased 495 mass loss in the recent decade was observed with an overall mass balance of 0.42 \pm 0.11 m w.e. a^{-1} between ~1975 and 2007. For NIG, on the other hand, increased mass loss could be 496 found since 1999; a mass balance of about -0.30 \pm 0.11 m w.e. a⁻¹ was measured for the 497 entire investigated period. Despite thick debris cover, surface lowering is highest at the distal 498 499 part of the tongue of SIG where also low velocities are prevailing. The thinning at the lake 500 dam was higher with a high flow velocity until the calving front, likely caused by calving into 501 Lake Merzbacher. Thus, glacier thinning and glacier flow is significantly influenced by the 502 lake.

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- 521 D.S., T.B. and all other authors were involved in paper writing and the revision process.
- 522

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Figure and Table Captions

- 751 Figure 1. Location and topography of Southern Inylchek Glacier (SIG) and Northern Inylchek
- 752 Glacier (NIG). TS is Tian Shan Staion; K is Koilu Staion.
- Figure 2. Changes in glacier front position of SIG and NIG between ~1975 and 2007. The
- background Landsat TM image was acquired in 1990
- Figure 3. Mean annual flow direction and velocity of SIG in the time intervals 2002 2003 (a)
 and 2010 2011 (b)

757 Figure 4. a: Elevation difference of SIG and NIG between KH-9 (~1975) and SRTM (1999); 758 b: Elevation difference of SIG and NIG between SRTM (1999) and SPOT-5 (2007); c: 759 Elevation difference of SIG and NIG between KH-9 (~1975) and SPOT (2007). The altitude 760 of points a, b, c, d, e, f and g are ~3,080 m a.s.l., ~3,400 m a.s.l., ~3,860 m a.s.l., ~3,430 m 761 a.s.l., ~3,685 m a.s.l., ~4,000 m a.s.l. and ~4,410 m a.s.l., derived from SRTM. Point a is on 762 the edge of SPOT DEM and ALOS DEM. From the tongue of SIG to point a, the ice elevation 763 differences are derived from KH-9 - ALOS in Figure 4b and SRTM - ALOS in Figure 4c. 764 Point c and point e are on the boundary of KH-9 in 1974 and KH-9 in 1976; Region 2 is in 765 accumulation of SIG in Figure 4b.

Figure 5. Longitudinal profiles of SIG and NIG for the period ~1975 - 1999 (KH-9 - SRTM),
1999 - 2007 (SRTM - SPOT). The section of ALOS PRISM between the tongue of SIG and
point a was derived from SRTM - ALOS in black line.

Figure 6. The mean annual elevation difference measured for the period of ~1975 - 1999
(KH-9 - SRTM), 1999 - 2007 (SRTM - SPOT) and ~1975 - 2007 (KH-9 - SPOT) along the
elevation zones in the SIG and NIG. For SIG, the elevation difference in zones 2,800 - 3,000
was derived from KH-9 - ALOS between ~1975 - 2006.

- 773 Table 1. List of utilized satellite images and data sources
- Table 2. Uncertainty of glacier delineation (%)
- Table 3. The SIG and NIG area change between ~1975 and 2007
- Table 4. Glacier mass changes based on Area-averaged dh/dt for period ~1975 2007

Satellite		Time	Pixel	Swatch(Km)	B/H	DEM pixel	Velocity
			size			size	image
			(nadir,			(m)	
			m)				
	Nadir(N)	Oct., 08,	2.5	35	0.5	10	-
ALOS	Backwar	2006					
	d(B)						
SPOT	-5 HRG	Feb., 05,	2.5	60	0.63	10	-
		2008					
SRTM3 Unfilled		Feb., 2000		$1^{\circ*}1^{\circ}$ (tile	-	90	-
Finishe	ed version			size)			
SRTM3 filled version		Feb., 2000		$1^{\circ*}1^{\circ}$ (tile	-	90	
				size)			
Landsat ETM+		Oct., 13,	15	185	-	-	-
		1999					
Land	lsat TM	Sept., 10,	30	185	-	-	-
		1990					
KH-9	Hexagon	Nov., 16,	6-9	240*120		25	-
		1974					
KH-9 Hexagon		Jan.	6-9	240*120		25	-
		16,1976					
Terra	ASTER	Aug. 25,	15	60			Yes
		2002					
Terra	ASTER	Aug. 28,	15	60			Yes
		2003					
Land	lsat TM	Aug. 16,	30	185			Yes
		2010					
Land	lsat TM	Aug. 3,	30	185			Yes
		2011					

778 Table 1. List of utilized satellite images and data sources

	SIG				NIG			
	Landsat TM	KH-9	Landsat ETM+	SPOT-5	Landsat TM	KH-9	Landsat ETM+	SPOT-5
Landsat TM	2.2	2.7	2.4		3.1	3.7	3.4	
KH-9		1.5	-	1.6		2.1	-	2.1
Landsat ETM+		-	1.0	1.0		-	1.5	1.6
SPOT-5	-		-	0.3	-		-	0.6

781 Table 2. Uncertainty of glacier delineation (%)

Year/period	Area/Area change	SIG	NIG
~1975	Area (km ²)	508.4 ± 7.6	156.6 ± 3.3
	Area change (km ²)	-0.1 ± 0.1	-1.2 ± 0.1
~1975 - 1990	Area change (%)	-	-0.8
	Annal area change (km ² a ⁻¹)	-0.007 ± 0.007	-0.08 ± 0.007
	Area change (km ²)	-0.5 ± 0.1	3.7 ± 0.1
1990 - 1999	Area change (%)	-0.1	2.4
	Annal area change (km ² a ⁻¹)	$\textbf{-0.056} \pm 0.011$	0.411 ± 0.011
	Area change (km ²)	-0.2 ± 0.1	-0.4 ± 0.1
1999 - 2007	Area change (%)	-	-0.3
	Annal area change (km ² a ⁻¹)	-0.025 ± 0.013	-0.050 ± 0.013
	Area change (km ²)	-0.8 ± 0.1	2.0 ± 0.1
~1975 - 2007	Area change (%)	-0.2	1.3
	Annal area change (km ² a ⁻¹)	-0.025 ± 0.003	0.063 ± 0.003

1	Table 3.	The SIG an	d NIG area	change between	~1975 and 2007

			Altitude zone(m a.s.l.)	Area covered by DEM (km ²)	Percentage of total area (%)	Glacier mass changes (m w.e.a ⁻¹)
	SRTM- KH9	~1975- 1999	2,900-6,600	374.5	73.9	-0.43 ± 0.10
SIG	SPOT- SRTM	1999- 2007	3,000-6,600	241.7	47.6	-0.28 ± 0.46
	SPOT- KH9	~1975- 2007	2,800-6,600	388.6	76.43	-0.42 ± 0.11
	SRTM- KH9	~1975- 1999	3,300-6,300	107.5	67.6	-0.25 ± 0.10
NIG	SPOT- SRTM	1999- 2007	3,300-6,400	62.7	39.2	-0.57 ± 0.46
	SPOT- KH9	~1975- 2007	3,400-6,600	109.9	69.1	-0.30 ± 0.11

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- 1 Figure 1. Location and topography of Southern Inylchek Glacier (SIG) and Northern Inylchek Glacier
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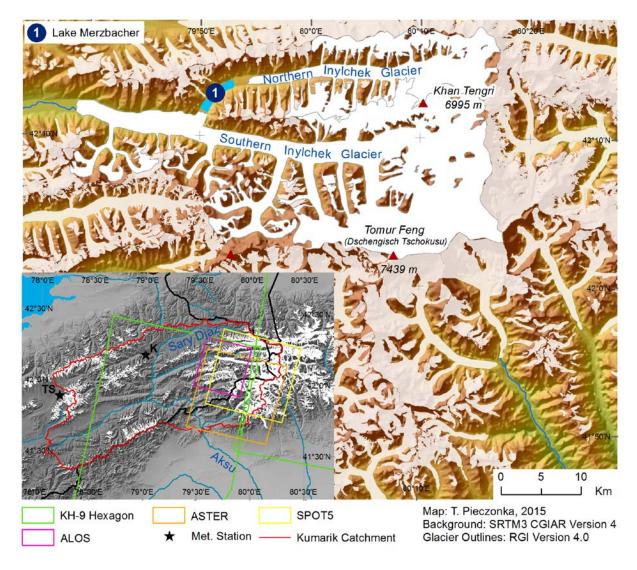
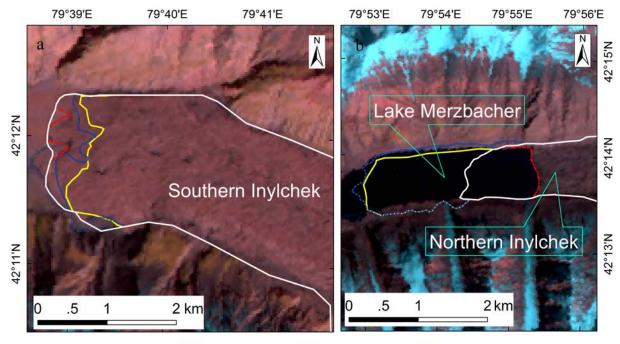
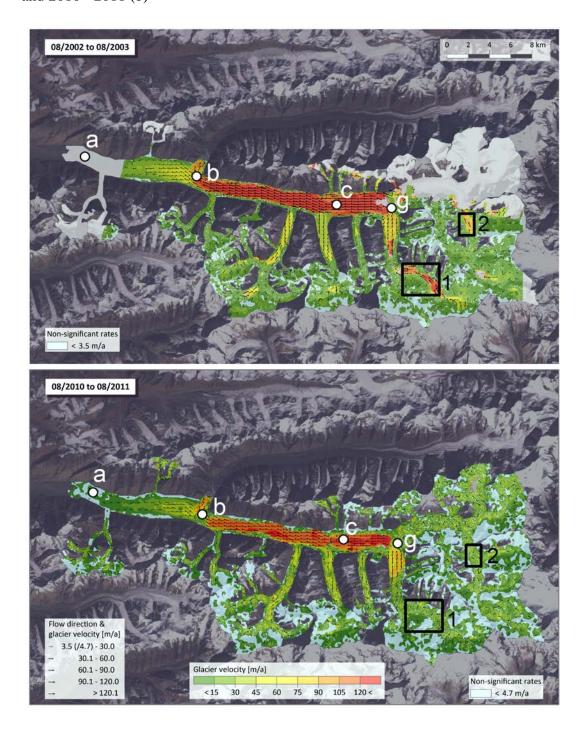


Figure 2. Changes in glacier front position of SIG and NIG between ~1975 and 2007. The
 background Landsat TM image was acquired in 1990

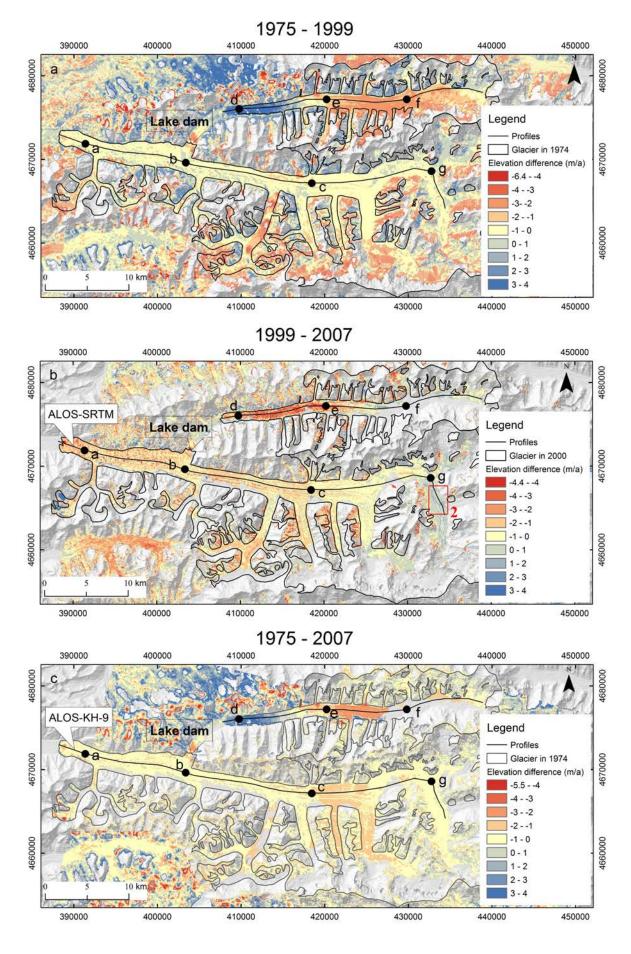


Glacier in 1974 Calcier in 1990 Glacier in 2000 Glacier in 2008

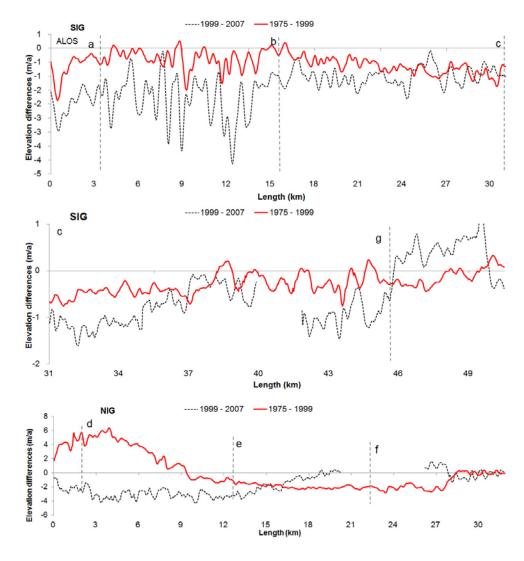
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- 4 of points a, b, c, d, e, f and g are ~3,080 m a.s.l., ~3,400 m a.s.l., ~3,860 m a.s.l., ~3,430 m
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- 4 was derived from KH-9 ALOS between ~1975 2006

