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Using records from submarine, aircraft and satellite to evaluate climate model simulations of Arctic sea ice thickness

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Abstract

Arctic sea ice thickness distributions from models participating in the World Climate Research Programme Coupled Model Intercomparison Project Phase 5 are evaluated against observations from submarines, aircraft and satellites. While it's encouraging

- ⁵ that the mean thickness distributions from the models are in general agreement with observations, the spatial patterns of sea ice thickness are poorly represented in most models. The poor spatial representation of thickness patterns is associated with a failure of models to represent details of the mean atmospheric circulation pattern that governs the transport and spatial distribution of sea ice. The climate models as a whole
- ¹⁰ also tend to underestimate the rate of ice volume loss from 1979 to 2013, though the multi-model ensemble mean trend remains within the uncertainty of that from the Pan-Arctic Ice Ocean Modeling and Assimilation System. These results raise concerns regarding the ability of CMIP5 models to realistically represent the processes driving the decline of Arctic sea ice and project the timing of when a seasonally ice-free Arctic may be realized.

1 Introduction

The last four decades have seen a remarkable decline in the spatial extent of Arctic sea ice at the end of the melt season. The linear trend for September, as calculated over the 1979 through 2013 period, stands at $-14.0 \% \text{ dec}^{-1}$, or $-895300 \text{ km}^2 \text{ dec}^{-1}$.

The downward trend has been linked to a combination of natural climate variability and warming that is a response to increasing concentrations of atmospheric greenhouse gases (e.g. Notz and Marotzke, 2012; Stroeve et al., 2012a). Extent recorded for September 2012 (the record low in the satellite era) was only 50 % of values recorded in the late 1970s to early 1980s. Volume losses were even greater showing 80 % decline in between September 1979 and 2012 according to the Pan-Arctic Ice Ocean



Assimilation System (PIOMAS). While September ice extent rebounded in 2013, partly

a result of anomalously cool summer conditions, it was still the 6th lowest in the satellite record.

Coupled global climate models (GCMs) consistently project that if greenhouse gas concentrations continue to rise, the eventual outcome will be a complete loss of the ⁵ multiyear ice cover, that is, sea ice will become but a seasonal feature of the Arctic Ocean (e.g. Stroeve et al., 2007, 2012b), presenting both challenges and opportunities to Arctic residents, government agencies and industry. While GCMs can provide useful projections of when a seasonally ice-free Arctic Ocean may be realized, confidence in these projections depends in part on their ability to reproduce features of the present-day climate. Stroeve et al. (2012b) found that models participating in the World Climate Research Programme Coupled Model Intercomparison Project Phase 5 (CMIP5) are more consistent with observations than those from the previous CMIP3 effort, with 67 % of the models (or 16 out of 24) having a 1953–1995 mean Septem-

ber ice extent falling within the minimum and maximum bounds of observed values.
 ¹⁵ However, historical trends from most model ensemble members remain smaller than observed, and the spread in simulated extent between different models remains large. Realistically simulating the past and future evolution of the Arctic's floating sea ice cover is one of the most challenging facets of climate modeling. Simulating the sea ice thickness distribution has emerged as a key issue. While it follows that climate models

with an overly thick initial (early 21st century) ice cover will tend to lose their summer ice later than models with initially thinner ice given the same climate forcing (e.g. Holland et al., 2010), the ice thickness distribution strongly determines surface heat fluxes, impacting on both the ice mass budget and ice loss rate, which is in turn a major driver of Arctic amplification – the outsized rise in lower-tropospheric air temperatures over the Arctic Ocean compared to lower latitudes (Serreze et al., 2009).

A major difficulty in evaluating thickness distributions in GCMs is the lack of consistent observations spanning a sufficiently long time period. It was not until 2003 that Arctic-wide estimates of thickness became available from NASA's Ice, Cloud, and land Elevation Satellite (ICESat) Geoscience Laser Altimeter System (GLAS). Prior to ICE-



Sat, information was largely limited to data from upward looking sonars on board British and US submarines collected during the 1980s and 1990s, mainly covering the region near the pole as well as several moorings providing time series in fixed locations (Lindsay, 2010). The first European Remote Sensing satellite (ERS-1) included a radar al-

- timeter that provided fields of estimated sea ice thickness up to latitude 81.5° N, but only for the 1993 to 2001 period (Laxon et al., 2003). Since the failure of ICESat in 2009, additional sea ice thickness measurements have become available from airborne flights as part of NASA's Operation IceBridge program. Arctic-wide coverage has since resumed, starting in 2011 from the radar altimeter on-board the European
- Space Agency's CryoSat-2. Together, these data provide sufficient coverage for the validation of spatial patterns of sea ice thickness. In addition, satellite and in-situ observations have been used to provide validation of sea ice reanalysis systems such as PIOMAS, which in turn may provide a consistent record of thickness and volume for comparison with climate model long-term trends (Schweiger et al., 2011).
- ¹⁵ This paper examines biases in contemporary Arctic sea ice thickness and ice volume from the CMIP5 models making use of all of these data sets. Model thicknesses are evaluated for the whole of the Arctic Ocean and on a regional basis depending on data coverage. Since radar measurements are influenced by snowmelt, we focus on spring (e.g. March) estimates of ice thickness. Modeled ice volume spanning the 1979 to 2013
- ²⁰ period is further evaluated against volume estimates simulated from the Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS; Zhang and Rothrock, 2003) for the months of March and September.

2 Methodology

2.1 Evaluation framework

²⁵ We evaluate models using three criteria: (1) how well they replicate the observed mean distribution of sea ice thickness based on aggregating all available data across the Arc-



tic; (2) how well they replicate the observed spatial pattern of sea ice thickness; and (3) how well they replicate the best estimate of trends in sea ice volume. The first two evaluations make use of the combined thickness records from in-situ moorings, and submarine, aircraft- and satellite-borne instruments introduced in the previous section.

⁵ This record is not sufficiently homogeneous to evaluate thickness or volume trends, which is why we make use of the PIOMAS record. PIOMAS assimilates sea ice concentration, sea surface temperature and ice velocity. While PIOMAS is a model and sensitive to the atmospheric reanalysis used, estimates of thickness compare well with in-situ observations, submarines, airborne measurements, and from satellites (Zhang and Rothrock, 2003; Schweiger et al., 2011; Lindsay et al., 2012; Laxon et al., 2013).

A further difficulty in our model evaluation, amplified by the piecemeal nature of the ice thickness record, is that individual years in CMIP5 model time do not correspond with the same years in the observational record. Imprints of intrinsic natural climate variability in the observational record (such as that associated with the phase of the

¹⁵ North Atlantic Oscillation) will likely be out of phase with natural variability in the model simulations. Thus, discrepancies in modeled ice thickness can either be due to model biases or natural climate variability. Ideally, climatologies of modeled sea ice thickness need to be compared with observed climatologies that are of similar length and long enough (e.g., 30 years) to average out most of the natural variability.

²⁰ Monthly mean fields of sea ice thickness for 79 ensemble members of 27 climate models from the CMIP5 archive were downloaded from the Earth System Grid of the Program for Climate Model Diagnosis and Intercomparison data portal (PCMDI) (http://cmip-pcmdi.llnl.gov/cmip5/). The archive consists of both atmosphere–ocean global climate models (AOGCMs) and Earth System Models (ESMs), the latter which

²⁵ incorporate interactive biogeochemical cycles into AOGCMs. Both the historical (1850– 2005) and future Representative Concentration Pathway (RCP) 4.5 (2006–2100) emission scenarios were processed and the same number of ensembles for both emission scenarios were used. RCP4.5 is a medium-mitigation scenario that stabilizes CO_2 at ~ 650 ppm at the end of the century (e.g. Thompson et al., 2011), corresponding to



a radiative forcing of 4.5 Wm^{-2} by 2100. It is perhaps a conservative scenario given current emission rates. A listing of the models used can be found in Table 2.

Monthly mean thickness fields for the 1981 to 2010 period were calculated for every ensemble member. For models having more than one ensemble member, mean

- thickness fields from each ensemble for a given model were averaged to form a single ensemble average. Spatial resolutions vary considerably from high-resolution ocean modelling grids to coarse grids with a roughly 1 degree-by-1-degree spacing. To enable comparisons between models and the observations, mean thickness fields were regridded to the 100 km Equal Area Scaleable Earth (EASE) grid (Brodzik and Knowles, 2002) using a drop-in-the-bucket approach. The 100 km resolution corresponds to res
 - olution of the coarser model grids.

To compare aggregate mean thickness (evaluation criterion 1), frequency distributions were derived for each model using the regridded mean fields. Separate distributions were produced for each observed thickness field so that model thicknesses

- ¹⁵ could be extracted corresponding to the coverage of each of the observed thickness data sets. For example, only grid cells with thicknesses from both IceBridge and the model were used when evaluating how well the models represent the aggregate thickness distribution during the IceBridge time-period. Regridded model fields were also used to evaluate spatial thickness patterns (criterion 2). To ensure that model ensem-
- ²⁰ ble members can be used for validation of spatial patterns, it is important to first assess the natural variability of the sea ice thickness spatial patterns within the models. For models with more than five ensemble members, we evaluated the variability in spatial patterns and Arctic-wide mean thickness (Fig. 1). As expected, higher variability is the rule over the North Atlantic near the sea ice margin. Three of the models (CCSM4,
- EC-EARTH and HadCM3) stand out because of high local variability, such an in the Beaufort Sea sector in CCSM4. However, for most of the models evaluated, variability is less than 8 % of the mean over the Arctic Ocean. In addition, spatial pattern correlations between individual ensembles within a model are above 0.9 (and mostly above 0.98) (not shown). This suggests that the fragmented observational record offers an op-



portunity to compare characteristics of the thickness patterns, which are less impacted by natural variability.

To evaluate criterion 3 (trends in ice volume using PIOMAS records), March ice volume was calculated for each model ensemble member corresponding to the domain of the PIOMAS estimates. Unlike thickness, ice volume was calculated on the native model grid. Ice thickness in the CMIP5 archive is given as the grid cell mean including ice-free portions of the grid cell. Grid-cell ice volume is simply the product of mean grid-cell thickness and grid-cell area. Grid cell volumes were summed for the PIOMAS domain, to give a time series of monthly mean ice volume.

10 2.2 Data: observations

As previously introduced, the observed record of sea ice thickness is based on a combination of in-situ, submarine, aircraft and satellite data. Although records are available from 1975 through the present, no one data source is continuous spatially or temporally over the whole of this period, making the construction of a homogenous time series from observations alone impossible. To provide a long-term picture, estimates of ice thickness from different sources must be combined. We provide gridded fields at two resolutions on the EASE grid (25 and 100 km) that facilitate comparisons with both PIOMAS (distributed at 25 km spatial resolution) and the CMIP5 mean thickness fields (100 km resolution).

²⁰ Unclassified sonar data from US Navy and UK Royal Navy submarine missions provide the earliest estimates, starting in 1975 and ending in 1993. Ice thickness estimates from submarines and other platforms have been collated and processed into a consistent format by R. Lindsay at the University of Washington Polar Science Center to produce the Unified Sea Ice Thickness Climate Data Record (CDR) (Lindsay, 2010).

The most recent version of the submarine data was obtained from the University of Washington, Polar Science Center. An archive version of the CDR, which is updated annually, is also hosted by NSIDC (Lindsay, 2013). Submarine sonars provide measurements of ice draft (the depth of ice below sea level). Rothrock and Wenshahan



(2007) fully describe the conversion of ice draft into thickness. Briefly, ice thickness is derived from draft estimates using Archimedes principle with assumed ice, snow and water densities, and the depth of snow on the ice. In most cases, snow depth is unknown and the Warren snow climatology (Warren et al., 1998) is used. Rothrock and

- ⁵ Wenshahan (2007) estimate an average thickness bias from the sonar data compared to direct observations of 0.29 m. We subtracted this bias from the submarine data set prior to comparison with the CMIP5 model output. Following Schweiger et al. (2011), we only use data from US cruises because the processing history for UK cruise data is uncertain. Submarine cruises are designated as spring or summer. We use spring arrives defined as accurring between March and June.
- 10 cruises, defined as occurring between March and June. Most cruises provide data for the central Arctic Ocean, away from the shallow continental shelves.

Upward Looking Sonar (ULS) instruments on bottom anchored moorings in the Eastern Beaufort Sea, Beaufort Gyre and Chukchi Sea provide further estimates of ice thickness. Moorings in the Eastern Beaufort Sea and Chukchi Sea are maintained by

- the Institute of Ocean Sciences (Melling and Riedel, 2008). Data records start in 1990 and end in 2005. Moorings in the Beaufort Gyre region are maintained and data made available by the Beaufort Gyre Exploration Project based at the Woods Hole Oceanographic Institution (http://www.whoi.edu/beaufortgyre). ULS on moorings also measure ice draft. The most recent versions of these in-situ ice draft estimates were also ob-
- tained from the Polar Science Center. Thickness was calculated from in-situ ice drafts using the same method as applied to the submarine data.

Unlike submarine sonar, satellite and aircraft radar and laser altimeters measure the height of bare-ice, snow-covered ice and snow surfaces relative to a reference ellipsoid, depending on instrument characteristics and ice-surface conditions. The heights of

these surfaces above the geoid must be converted into heights above the local sea surface. This is done in two steps. First, sea surface height is estimated using gravity models. Second, this regional sea height is adjusted using local sea surface heights determined from radar and laser returns from open water surfaces within leads. The local sea surface is then subtracted from the ice or snow surface heights to give the



ice or total freeboard (the height of the snow or ice surface a.s.l.). Ice freeboard is converted to ice thickness using Archimedes principle in a similar same way as the conversion of submarine ice draft to ice thickness.

Laxon et al. (2003) demonstrated the ability to retrieve ice thickness from the
 13.8 GHz radar altimeter onboard the ERS-1 satellite and assessed changes in Arctic sea ice thickness from 1993 to 2001 up to latitude 81.5° N. The winter sea ice area covered by ERS-1 is about 3.08 × 10⁶ km² and includes the Beaufort, Chukchi, East Siberian, Kara, Laptev, Barents and Greenland seas. ERS-1-derived ice thickness is provided as a single mean field averaged from 1993 to 2001 for the month of March on
 a 0.1° latitude by 0.5° longitude grid.

ICESat, with its laser altimeter, provided the first thickness data set to cover almost the entire Arctic Ocean. Thicknesses are derived based on the methodology described by Kwok et al. (2009). The ICESat archive provides five years (2004–2009) of grid-ded fields at 25 km resolution. Estimates of thickness extend up to 86° N. Kwok et al.

- (2009) estimate an uncertainty of 0.5 m for each 25 km grid cell. Operation IceBridge is an ongoing airborne laser altimeter mission aimed at bridging the gap between ICESat and the follow-on ICESat-2 scheduled to launch in 2016. IceBridge provides individual tracks of ice thickness, generally confined to the western Arctic Ocean during March and April from 2009 to present (Kurtz et al., 2012). Coverage is sparse in the early years
- of the program but subsequently improves. Each IceBridge track gives ice thickness estimates at 40 m spacing. Thickness retrievals are detailed by Kurtz and Farrell (2011) and Kurtz et al. (2013). Finally, CryoSat-2 thickness estimates are derived using a satellite radar altimeter with coverage extending up to 88° N. We use the preliminary thickness product produced by the Alfred Wegner Institute (www.meereisportal.de/cryosat).
 Data are available for 2011 through 2013 on the EASE-2 25 km grid.

Ice thickness is also measured using a combination of airborne electromagnetic (EM) induction instruments and laser altimeter (Haas et al, 2009). The instrument package is flown above the sea ice surface by helicopter. The EM instrument is used to detect the distance between the instrument and ice-water interface. The laser altimeter



provides the height of the snow or ice surface. The difference between the two measurements provides the combined snow-ice thickness. Ice thickness can be obtained using information about snow thickness and density. EM derived ice thicknesses are available for the central and western Arctic Ocean between 2002 and 2012. These data ⁵ are also included in the Unified Sea Ice Thickness CDR and were obtained from the Polar Science Center.

All satellite-derived ice thickness fields were regridded as needed from their original gridded format to 25 km and 100 km EASE grids using a drop-in-the-bucket averaging. This provides a mean 1993–2001 thickness field from ERS-1, a yearly field for each of the five ICESat years (spring 2004 to 2009) and each of the three CryoSat years (2011 to 2013). Period-of-record mean fields from ICESat and CryoSat were additionally calculated, by first averaging on their native grids and then regridding to 25 and 100 km resolution.

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The in-situ mooring data, Airborne EM, IceBridge and submarine sonar track data needed to be handled differently. For comparison with CMIP5, all observed thickness estimates within 70 km of a 100 km EASE grid box center were averaged to give a grid cell mean thickness. To provide the best coverage to compare with modeled thickness distributions, all thickness estimates for all years were used to calculate a single average field for the period of record. Grids of IceBridge and submarine data at 25 km

- spatial resolution were additionally produced for individual years by combining multiple flight lines and cruise tracks in a single year. Since the time-periods of coverage vary, composites of ice thickness from IceBridge and submarine data are based on a range of times during the observational intervals and do not exactly correspond to monthly averages. This will introduce a temporal sampling error when making compar-
- isons between the observations from these data sets and the monthly CMIP5 model and PIOMAS output.

Along with temporal sampling problems, the various thickness records have a range of biases due to differences in sensor types and retrieval approaches. Radar and laser technologies use different wavelengths and footprints, and different techniques have



been used to estimate snow depth and density, which in turn impacts ice thickness retrievals. This creates additional challenges in generating a consistent sea ice thickness time-series. It is nevertheless encouraging that all of the records show similar spatial patterns of ice thickness (Fig. 2: left column), which while lending confidence to the data, also demonstrates persistence of the general pattern of Arctic sea ice thickness from 1979 to present. Mean thicknesses are greater along the northern coasts of the Canadian Arctic Archipelago and Greenland where there is an onshore component of ice motion resulting in strong ridging. Mean thicknesses are lower on the Eurasian side of the Arctic Ocean where there is a persistent offshore ice motion and ice divergence, leading to new ice growth in open water areas. When viewed as a whole, the combined

leading to new ice growth in open water areas. When viewed as a whole, the c records show a decline through time in ice thickness.

2.3 PIOMAS ice thickness patterns and volume

We assess CMIP5 ice thickness and volume from 1979 to 2013 against estimates from PIOMAS (Zhang and Rothrock, 2003). PIOMAS assimilates observed sea ice concentrations, ice motion and sea surface temperatures into a numerical model to estimate

ice volume on a continuous basis. The model is forced at the surface by data from the National Centers for Environmental Prediction (NCEP) atmospheric reanalysis.

Schweiger et al. (2011) found that PIOMAS ice thickness estimates agree well with those from ICESat (Kwok et al., 2009) and with in-situ and Airborne EM observa-

tions from the sea ice thickness CDR. They established uncertainty estimates for PI-OMAS ice volume and trends, and concluded that PIOMAS provides useful estimates of changes in ice volume. Comparisons were made for all months in the year. Laxon et al. (2013) compared concatenated time series of ICESat and CryoSat data and found that derived trends agree within the established uncertainty limits from PIOMAS, further arguing that PIOMAS is useful for climate model evaluation.

In this paper, our focus is on representation of March ice thickness and volume. It is, therefore, useful to assess PIOMAS for this period in particular. We include data from ERS-1 and IceBridge, which have not been used in previous comparison studies.



To this end, the middle column of Fig. 2 (center column) shows the PIOMAS thickness estimates corresponding to the five observational thickness data sets used in this study. The right hand column of Fig. 2 shows corresponding scatter plots between PIOMAS and the observations for each individual year of the observations (plotted as different colors for each year of data, except for the in-situ CDR, which includes 29 years of data, and ERS-1, which was provided as mean field over the entire time-period). The CDR

data in the top scatter plot includes thicknesses from in-situ moorings, US submarines and Airborne EM. Statistics are summarized in Table 1.

The observed thickness patterns and magnitudes generally compare well with those simulated by PIOMAS, providing further confidence that PIOMAS can be used to assess the CMIP5 volume trends during winter. However, the scatter plots reveal a general negative (too thin) thickness bias in PIOMAS for higher thickness values (found near the Canadian Archipelago and north of Greenland). The reverse tends to be true for areas of thin ice. The underestimation of thick ice and overestimation of thin ice by PIOMAS was previously noted in Schweiger et al. (2011). In general the mean errors are smallest with respect to the submarine and ICESat data and are largest for the

IceBridge, CryoSat and ERS-1 data.

Based on data comparisons and sensitivity studies, Schweiger et al. (2011) estimate an upper bound for the uncertainty of decadal PIOMAS trends of 1×10^3 km³. Given the large observed volume trend of 2.8×10^3 km³ dec⁻¹ in March, PIOMAS is a suitable

the large observed volume trend of 2.8 × 10° km° dec ⁻¹ in March, PIOMAS is a suitable tool for assessing long-term trends CMIP5 models. Daily ice volume estimates at 25 km spatial resolution from PIOMAS were averaged to create monthly means of ice volume over the 1979 to 2013 record to compare with the CMIP5 output.



3 Results

25

3.1 Ice thickness

We first compare observed and CMIP5 mean sea ice thickness fields averaged over the coverage corresponding to each of the different remotely-sensed data sets (Fig. 3).

⁵ The median spring thickness from each observational data set is shown as a solid red line, together with the 10th and 90th percentiles (green lines) and the interquartile range (grey shading).

Ice thicknesses from the 27 individual CMIP5 models are presented as box and whisker plots based on data for model years 1981 to 2010, where the boxes represent the interquartile range in thickness (25th to 75th percentiles), the whiskers the 10th and 90th percentiles, and the horizontal bars and asterisks within each box define the median and mean, respectively. As mentioned earlier, the 1981 to 2010 averaging time-period for CMIP5 is somewhat arbitrary as we cannot expect the natural variability in the models to be in phase with observed natural variability. This comparison therefore

only reflects how well the long-term mean thickness fields in the models compare to the different observational data sets, such that if the spread of the observations for a given platform/instrument fall within the spread for a given model, we conclude the model captures the thickness. If the spread does not overlap, then there is a bias. We may additionally expect that the trend in thickness should be captured in the distributions of model thickness if one exists in those models.

In general, the thickness distributions from the models overlap those from each remotely-sensed data set. There are exceptions however. In particular, several models have negative biases in comparison to the in situ, ERS-1 and IceBridge data sets, with means below the 10th percentile of the observations. A negative bias with respect to the in situ and ERS-1 data is not surprising as these observations sample from a thicker ice regime than the more recent two decades. However, some models that show a negative bias compared to the in situ and ERS-1 data also show a neg-



CNRM-CM5, GFDL-ESM2M, MIROC ESM and MIROC-ESM-CHEM), suggesting that the models are underestimating in regions of thick ice north of Greenland and the Canadian Archipelago sampled by the IceBridge flights.

The CMIP5 models show the best agreement with the ICESat and CryoSat observa tions. The ICESat and CryoSat statistics integrate more regions of thin ice along with the thick ice regions north of Greenland and the Canadian Archipelago, resulting in overall smaller mean thickness values compared to the other data sets. The coverage is also from a time period of significant ice thinning throughout most of the Arctic Ocean (e.g. Kwok and Rothrock, 2009; Kwok et al., 2009; Laxon et al., 2013). In comparison with ICESat, all but one model (FGOALS-g2) has a mean thickness within the 10th and 90th percentiles of the observed value. Mean thicknesses during the CryoSat period are slightly smaller than for ICESat, resulting in seven models (CESM-CAM5, CSIRO-MK3-6-0, EC-EARTH, FGOALS-g2, IPSL-CM5A-MR, MIROC5, NorESM1-M) having

mean thicknesses larger than the 90th percentile of that from CryoSat.
 Given the limited temporal coverage of each observational data set, the above comparisons should be regarded as a qualitative assessment. On the other hand, the fairly long PIOMAS record (30 years) facilitates more robust comparisons. The bottom of Fig. 2 compares CMIP5 modeled ice thicknesses with PIOMAS estimates over the same 1981 to 2010 time-period. All but five models (EC-EARTH, FGOALS-g2, IPSL-20 CM5A-LR, MIROC5, and NORESM1-M) have mean March ice thickness values falling

²⁰ CM5A-LR, MIROC5, and NORESM1-M) have mean March ice thickness values falling between the 10th and 90th percentiles of the PIOMAS values, and 56% (15) have mean thicknesses within the PIOMAS interquartile range (i.e. gray shading).

This good agreement with PIOMAS must be tempered by recognition of the pronounced inter-model spread in ice thickness aggregated across the Arctic Ocean and

²⁵ large differences in the spatial patterns of thickness (Fig. 4). Few models capture the pattern of thin ice close to the Eurasian coast and several additionally fail to place the thickest ice along the Canadian Arctic Archipelago and northern coast of Greenland (i.e. BCC-CSM1, CanCM4, CanESM2, CSIRO-Mk3, GISS-E2-R, HadCM3, INMCM4, MIROC-ESM-CHEM). Instead, many models show a ridge of thick ice north of Green-



land and across the Lomonosov Ridge towards the East Siberian shelf, with thinner ice in the Beaufort/Chukchi and the Kara/Barents seas. As a whole, the models tend to overestimate ice thickness over the central Arctic Ocean and along the Eurasian coast and underestimate ice thickness along the North American coast and north of Greenland and the Canadian Archipelago.

An analysis of spatial pattern correlations and root-mean-square error (RMSE) of ice thickness between CMIP5 models and ICESat observations documents some serious model shortcomings. Spatial pattern correlations are less than 0.4 for all but three models (CCSM4, MIROC5 and MRI-GCGM3) (Fig. 5, top) and RMSE values generally exceed 0.7 m (Fig. 5, bottom). Given that these spatial pattern correlations are signifi-

- exceed 0.7 m (Fig. 5, bottom). Given that these spatial pattern correlations are significantly smaller than those between ensembles from the same model suggests that the poor correlations cannot be explained by natural variability but rather a bias within the models.
- Kwok (2011) previously attributed deficiencies in ice thickness fields in the CMIP3 ¹⁵ models to the inability of the models to simulate the observed pattern of sea level pressure and hence surface winds. For example, if a model fails to produce a wellstructured Beaufort Sea High (BSH) in the correct location north of Alaska, this will adversely affect the Beaufort Gyre ice drift and hence the thickness pattern. Models with overly thick ice offshore of Siberia suggest the presence of a strong anticyclonic
- ²⁰ drift that extends close to the coast, allowing ice to pile up on the upwind side. However, the presence of thick ice on the Siberian side could also be a result of a higher frequency of occurrence of a specific atmospheric circulation anomaly pattern.

We directly evaluated the annual mean annual sea level pressure fields and the associated surface geostrophic wind fields in the CMIP5 models (Fig. 6) against fields

from four different atmospheric reanalysis. Note that correlations between the reanalysis themselves range between 0.91 and 0.99 (Table 3). In general, most models feature a closed BSH, though in some it is not well-defined (e.g. MPI-ESM-LR), is shifted towards the pole (e.g. CanCM4, CSIRO-Mk3-6-0, MIROC-ESM), or towards the eastern Arctic (e.g. IPSL-CM5A-LR). Models that do not feature a closed BSH (e.g. bcc-csm1-



 CCSM4, FGOALS, IPSL-CM5A-MR, MIROC-ESM-CHEM and NorESM1) generally also have poor spatial thickness pattern correlations and large RMSEs (Fig. 4). The exception is CCSM4. While CCSM4 shows good spatial pattern correlation in ice thickness and the lowest RMSE of all the models (computed with respect to ICESat), the mean sea level pressure pattern does not feature a closed BSH and the mean flow fails to capture the Beaufort Gyre and the Transpolar Drift Stream. Thus, while part of the failure of models to capture the observed thickness distribution can be explained in terms of biases in the surface wind fields, this is not always the case. This points to additional issues such as near surface stability that affects the surface wind stress, sea ice rheology ocean heat fluxes and the ice thickness itself as this affects ice mobility.

¹⁰ ice rheology, ocean heat fluxes and the ice thickness itself as this affects ice mobility.

3.2 Ice volume

15

Recent studies suggest that because of thinning, sea ice volume is declining faster than the decline in ice extent (e.g. Schweiger et al., 2011). Ice volume is also a more important climate indicator than extent through its direct connection with the sea ice energy budget. The current rates of ice volume loss for March and September calculated over the 1979 to 2013 period from PIOMAS are -9.9% and -27.9% dec⁻¹, respectively.

The CMIP5 multi-model ensemble mean March ice volume averaged over this period agrees well with PIOMAS, and remains within 1 standard deviation (1σ) throughout the 1979–2013 time-period (Fig. 7). Thus, when viewed as a group, this suggests the mod-

- els realistically capture the last three decades of changes in Arctic ice volume, assuming that PIOMAS provides a good representation of these changes. However, while we find good agreement between PIOMAS ice volume and the CMIP5 multi-model ensemble mean, ice volume varies substantially between different models: average March ice volume ranges from around 14 470 km³ (MIROC4h) to above 87 000 km³ (GISS-E2-R)
- (Fig. 7 dashed lines). Additionally, as noted earlier, few models correctly capture the observed spatial pattern of thickness. Given the wide range of CMIP5 model results, the close match of the ensemble average with the PIOMAS average is somewhat puzzling. We speculate that modeling groups participating in the CMIP5 collection may



each individually be working to construct and tune their models to match observed historical ice extent and thicknesses. If the effort or success by these groups is randomly distributed, then a close match of the ensemble mean volume and PIOMAS volume, which assimilates observed sea ice concentrations and is tuned to thickness observations, would be expected.

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To evaluate CMIP5 ice volume further, volume trends were computed using linear least squares with a test statistic that combines the standard error of both the model and the observation and accounts for the effects of temporal autocorrelation. This approach, which follows Santer et al. (2008), was previously used by Stroeve et al. (2012a) to examine ice extent trends in both the CMIP3 and CMIP5 models and how those trends compared to the observed trend. As in Stroeve et al. (2012a), the null hypothesis is that the CMIP5 volume trends are consistent with those from PIOMAS. Ice volume trends during March from individual ensemble members range between -49.3 km³ yr⁻¹ (INMCM3) to -427.8 km³ yr⁻¹ (MIROC5) as assessed over the period 1979 to 2013 (Table 2 and Fig. 8). The corresponding PIOMAS trend is shown in gray shading for one (dark gray) and two standard deviations (light gray). Note the gray shading does not represent the uncertainty in the PIOMAS volume estimates, which Schweiger et al. (2011) estimate to be 1 (10³)km³. While all trends are negative, 10 en-

semble members have trends that are insignificantly different from zero (i.e. 2σ of the trend overlaps with zero). Neglecting ensemble members with trends indistinguishable from zero, 21 of the remaining ensemble members have mean March volume trends slower, and two faster (IPSL-CM5A-LR and MIROC5) than the 2σ uncertainty of the PIOMAS trend. Nevertheless, the majority of the ensemble member trends overlap in their 2σ uncertainty with that from PIOMAS and can therefore be considered compattiple with PIOMAS. Only 18 ensembles have trends than cannot be reconciled with the

ible with PIOMAS. Only 18 ensembles have trends than cannot be reconciled with the PIOMAS model trends.

Finally, several ensembles show pronounced interannual variability in ice volume, with periods of increasing volume not captured by PIOMAS (not shown). Interannual variability in the ensembles likely reflects variability in atmospheric forcing. Averaging



together the individual ensemble members yields a multi-model ensemble mean trend in March ice volume of $-2.05 \times 10^3 \text{ km}^3 \text{dec}^{-1}$ (or $-7.3\% \text{dec}^{-1}$ relative to the 1979– 2013 mean). This is smaller than the PIOMAS rate of decline of $-2.79 \times 10^3 \text{ km}^3 \text{dec}^{-1}$ (or $-10.3\% \text{dec}^{-1}$) but remains within 2σ uncertainty of that value.

- ⁵ It is important to recognize that the difference in trends between PIOMAS and CMIP5 ensemble members can arise from systematic model errors, observational uncertainty or from the fact that the trend in the PIOMAS time series includes significant contributions from natural climate variability. For example, Day (2012) attribute about 0.5 to 3.1 % of the 1979 to 2010 September sea ice extent trend to changes in the Atlantic
- ¹⁰ Meridional Overturning Circulation (AMOC). The range of trends for individual models shown in Table 2 provides a good indication that natural variability maybe a strong contributor to ice volume trends over the last 35 years. However models themselves seem to strongly vary in the amount of natural variability in their integration. The CSIR0-MK3-6-0 trends range from -3.19 to -0.67×10^3 km³ between its 10 ensemble members while HadCM3 features a substantially smaller range (-2.34 and -1.01×10^3 km³) for
- its 10 ensemble members. This makes the identification of model biases or the filtering of models based on how well they represent observed trends difficult.

4 Conclusions

Evaluating model skill is important given the large role that the model projections play in framing the debate on how to address global environmental change. While the CMIP5 models more accurately hindcast sea ice extent than the CMIP3 models (e.g. Stroeve et al., 2012a), trends from most models remain smaller than observed, lending concern that a seasonally ice-free Arctic state may be realized sooner than suggested by climate models. Here we have evaluated sea ice thickness and volume from 27 CMIP5

²⁵ models through comparisons with observed records of sea ice thickness and ice volume simulated by PIOMAS. We find that the CMIP5 models show a general thinning and reduction in ice volume over the period of observations. The CMIP5 ensemble



mean ice volume trend over the 1979–2013 is smaller but within the uncertainties of the PIOMAS values. Although the Arctic-wide ensemble mean ice volume and trend is strikingly similar to the PIOMAS sea ice volume and trend, huge variations among models exist.

- ⁵ Furthermore, while mean thickness and volume for the Arctic Ocean as a whole appears well represented by many of the models, spatial patterns of sea ice thickness are poorly represented. Many models fail to locate the thickest ice off the coast of northern Greenland and the Canadian Arctic Archipelago and thinner ice over the East Siberian Shelf. Part of the explanation lies in model deficiencies in representing the details of the
- prevailing atmospheric circulation over the Arctic. This is a critical failure as projections of ice extent are strongly related to the initial ice thickness pattern distribution (e.g. Holland et al., 2010; e.g. Holland and Stroeve, 2011). Moreover, Holland and Stroeve (2011) suggest that the variance of September sea ice extent anomalies explained by the winter-spring ice thickness increases as the ice-cover thins and transitions towards
- a seasonal ice cover. Thus as ice thins, the ability of models to represent the spatial thickness distribution, maybe become more relevant.

Several techniques have been employed to sub-select models based on different metrics of model performance during the historical time-period in an attempt to reduce uncertainty as to when an ice-free Arctic may be realized (e.g. Wang and Overland,

- 2009, 2012; Boe et al., 2009; Massonnet et al., 2012). It is clear from our study that even if a model captures the seasonal cycle in extent, or trends in extent and/or volume, the model may still poorly represent the prevalent atmospheric circulation patterns and thickness distributions. Indeed, we show that a model may get the trend in ice volume or ice extent reasonably correct, yet fail to locate the thickest ice north of Greenland
- and the Canadian Archipelago. Only two models capture *both* the spatial pattern of sea ice thickness and the general pattern of atmospheric circulation (MIROC5 and MRI-CGCM3), further reducing confidence in the veracity of future projections based on CMIP5 climate models. The fact that both models display rather different trends



in ice volume $(-3.6 \times 10^3 \text{ km}^3 \text{ dec}^{-1} \text{ and } -1.15 \times 10^3 \text{ km}^3 \text{ dec}^{-1} \text{ respectively})$ does not bode well for constraining climate models based on sea ice thickness patterns.

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Discussion

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Table 1. Mean ice thickness bias, root-mean-square error estimate and correlation between

 PIOMAS modeled ice thickness and thicknesses from different remotely-sensed data sets.

Observations	Mean Error (m)	RMSE (m)	Correlation (r)	
In Situ and Submarine	-0.15	0.78	0.70	
ERS-1	-0.36	0.55	0.70	
ICESat	0.20	0.50	0.68	
IceBridge	-0.47	0.56	0.47	
CryoSat-2	-0.37	0.81	0.38	

Table 2. Linear trends in Arctic sea ice volume for Mar based on the period 1979 to 2013 from 27 CMIP5 models and PIOMAS. For models with more than one ensemble member, the mean trend is given along with the range in trend (in parenthesis). Trends are listed as km^3 per year. Trends statistically significant at 95 and 99% are denoted by + and ++, respectively.

Modeling Center (or Group)	Model Name	Trend (10 ³ km ³ dec ⁻¹)	Range of Trends	Number of Ensembles
Beijing Climate Center, China Meterological Administration	BCC-CSM1-1	-1.83++		1
Canadian Centre for Climate Modelling and Analysis	CanCM4	-0.94++	(-1.23 to -0.68)	9
Canadian Centre for Climate Modelling and Analysis	CanESM2	-1.03++	(-1.15 to -0.74)	5
National Center for Atmospheric Research	CCSM4	-2.37++	(-2.79 to -1.49)	6
National Center for Atmospheric Research	CESM1-CAM5	-3 13++	(-3.18 to -3.08)	2
Centre National de Recherches Meteorologiques/Centre Europeen de Recherche et	CNBM-CM5	-2.34++	(0.10 10 0.00)	1
Formation Avancee en Calcul Scientifique		2.01		•
Commonwealth Scientific and Industrial Research Organization in collaboration with	CSIBO-Mk3-6-0	-2 09++	(-3 19 to -0.67)	10
Oueensland Climate Change Centre of Excellence		2.00	(0.10 to 0.07)	10
EC-FARTH consortium	EC-EARTH	-2.21		1
LASC Institute of Atmospheric Division Chinese Academy of Sciences and CESS	EGOALS a2	2 20++		1
Tsinghua University	r GOALS-92	-3.39		
NOAA Geophysical Fluid Dynamics Laboratory	GEDI -CM3	-1.68++		1
NOAA Geophysical Fluid Dynamics Laboratory	GEDL-ESM2G	-1.63++		1
NOAA Geophysical Fluid Dynamics Laboratory	GEDL-ESM2M	-0.75		1
NASA Goddard Institute for Space Studies	GISS-E2-B	-2.54++	(-3.20 to -1.77)	3
Met Office Hadley Centre	HadCM3	-2.34 -1.72 ⁺⁺	(-2.34 to -1.01)	10
Met Office Hadley Centre		2 22++	(-2.04 to -1.01)	10
Met Office Hadley Centre		-2.32		1
Met Office Hadley Centre		-2.92		1
Institute for Numerical Mathematics		-2.20		1
Institute for Numerical Mathematics		-0.49	(0.95 to 0.01)	1
Institut Pierre Simon Laplace	IPSL-GNIDA-LR	-2.90	(-3.65 10 -2.51)	4
Institut Pierre-Simon Laplace		-2.40		1
Japan Agency for Manne-Earth Science and Technology, Atmosphere and Ocean	MIROC-ESM	-0.96		1
Research Institute (The University of Tokyo) and National Institute for Environmental				
Studies		1 70++		
Japan Agency for Manne-Earth Science and Technology, Atmosphere and Ocean	MIROC-ESM-CHEM	-1.76		1
Research Institute (The University of Tokyo) and National Institute for Environmental				
Studies		· •=++		
Japan Agency for Marine-Earth Science and Technology, Atmosphere and Ocean	MIROC4n	-1.95	(-2.34 to -1.27)	3
Research Institute (The University of Tokyo) and National Institute for Environmental				
Studies	MIDOOF	0.00++	(100	•
Japan Agency for Marine-Earth Science and Technology, Atmosphere and Ocean	MIROC5	-3.63	(-4.28 to -2.98)	2
Research Institute (The University of Tokyo) and National Institute for Environmental				
Studies				
Max-Planck-Institut fur Meteorologie	MPI-ESM-LR	-1.37**	(-1.66 to -0.85)	3
Meteorological Research Institute	MRI-CGCM3	-1.15		1
Norwegian Climate Centre	NorEMS1-M	-2.41*		1
	Multi-model Mean	-2.05++		27
	PIOMAS	-2.79++		

TCD 8, 2179-2212, 2014 **Climate model** simulations of Arctic sea ice thickness J. Stroeve et al. **Title Page** Abstract Tables Figures Back Full Screen / Esc Printer-friendly Version Interactive Discussion

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Table 3. Spatial correlations between observed mean annual sea level pressure from four different reanalysis data sets and from the CMIP5 models. Ranks of correlations are given in parentheses, running lowest to highest. Because of difficulties in reducing surface pressures to sea level, pressures over Greenland have been screened out. Correlations between the different reanalysis are also included as well as whether or not the models represent a closed Beaufort Sea High (BSH).

Model	ERA-Interim	MERRA	CFSR	NCEP	Closed BSH?
1. bcc-csm1-1	0.76 (10)	0.74 (8)	0.73 (11)	0.71 (12)	Ν
2. CanCM4	0.69 (4)	0.74 (9)	0.65 (3)	0.61 (3)	Y
3. CanESM2	0.72 (5)	0.77 (10)	0.67 (6)	0.63 (5)	Y
4. CCSM4	0.62 (2)	0.51 (0)	0.66 (4)	0.70 (10)	Ν
5. CESM1-CAM5	0.93 (25)	0.89 (22)	0.93 (26)	0.91 (27)	Y
6. CNRM-CM5	0.73 (6)	0.79 (12)	0.67 (5)	0.63 (4)	Y
7. CSIRO-Mk3-6-0	0.58 (1)	0.67 (2)	0.52 (1)	0.47 (1)	Y
8. EC-EARTH	0.92 (24)	0.94 (25)	0.89 (24)	0.86 (22)	Y
9. FGOALS-g2	0.43 (0)	0.52 (1)	0.36 (0)	0.31 (0)	Ν
10. GFDL-CM3	0.87 (21)	0.88 (20)	0.85 (18)	0.82 (17)	Y
11. GFDL-ESM2G	0.75 (8)	0.82 (14)	0.70 (8)	0.65 (6)	Y
12. GFDL-ESM2M	0.76 (11)	0.82 (15)	0.71 (9)	0.66 (8)	Y
13. GISS-E2-R	0.81 (15)	0.84 (17)	0.78 (14)	0.74 (14)	Y
14. HadCM3	0.63 (3)	0.72 (5)	0.58 (2)	0.53 (2)	Y
15. HadGEM2-AO	0.94 (26)	0.97 (29)	0.92 (25)	0.88 (23)	Y
16. HadGEM2-CC	0.89 (22)	0.94 (24)	0.86 (19)	0.81 (16)	Y
17. HadGEM2-ES	0.90 (23)	0.95 (27)	0.87 (22)	0.83 (19)	Y
18. inmcm4	0.86 (18)	0.84 (18)	0.86 (20)	0.83 (20)	Y
19. IPSL-CM5A-LR	0.83 (17)	0.78 (11)	0.84 (17)	0.83 (18)	Y
20. IPSL-CM5A-MR	0.81 (14)	0.73 (6)	0.83 (15)	0.84 (21)	Ν
21. MIROC4h	0.78 (12)	0.83 (16)	0.74 (12)	0.70 (9)	Y
22. MIROC5	0.80 (13)	0.86 (19)	0.76 (13)	0.71 (13)	Y
23. MIROC-ESM	0.73 (7)	0.73 (7)	0.69 (7)	0.66 (7)	Y
24. MIROC-ESM-CHEM	0.75 (9)	0.71 (3)	0.73 (10)	0.71 (11)	N
25. MPI-ESM-LR	0.86 (20)	0.89 (21)	0.83 (16)	0.81 (15)	Y
26. MRI-CGCM3	0.86 (19)	0.79 (13)	0.87 (23)	0.89 (24)	Y
27. NorESM1-M	0.82 (16)	0.71 (4)	0.86 (21)	0.89 (25)	Ν
ERA-Interim	1.00 (30)	0.96 (28)	0.99 (29)	0.97 (28)	
MERRA	0.96 (27)	1.00 (30)	0.94 (27)	0.91 (26)	
CFSR	0.99 (29)	0.94 (26)	1.00 (30)	0.99 (29)	
NCEP	0.97 (28)	0.91 (23)	0.99 (28)	1.00 (30)	





Fig. 1. Variability of thicknesses in six models is attached. The values are coefficient of variability (stddev/average). This is a normalized measure of variability so that variability can be compared spatially and between models.









Fig. 2. Comparison of submarine, ERS-1, ICESat, IceBridge and CryoSat-2 sea ice thickness fields (left column), for each campaigns period of record, with ice thickness fields simulated by PIOMAS (middle column) and corresponding scatter plots (right column). PIOMAS fields are the average March thicknesses for the same periods as corresponding observed records. In the scatter plots, individual years are shown in different colors, except for ERS-1, which was provided as a mean field for the entire time-period.





Fig. 3. Comparison of thickness distributions between five observational data sets, PIOMAS and 27 individual CMIP5 models. Model results are presented as box and whisker plots from 1981 to 2010, where the boxes represent the interquartile range (25th to 75th percentiles) and the horizontal bars and asterisks within each box define the median and mean, respectively. The median spring thicknesses from each observational data set and PIOMAS are shown as a solid red line, together with the 10th and 90th percentiles (green lines) and the interquartile range (grey shading).





Fig. 4. Spatial patterns of sea ice thickness from 1981 to 2010 from 27 CMIP5 models.





Fig. 5. Spatial pattern correlations (left) and root-mean-square error (RMSE) (right) of ice thickness in 27 CMIP5 models and ICESat.





Fig. 6. Mean annual sea level pressure and geostrophic wind from 27 CMIP5 models and from ERA-Interim spanning 1981–2010. Contour interval is 1 hPa. Near-surface geostrophic wind is used as a proxy for sea ice motion and is shown by red vectors. Vector length is proportional to wind speed. Vectors are curved tangent to the instantaneous flow.





Fig. 7. Change in Arctic sea ice volume as shown from the CMIP5 ensemble and from PIOMAS for the period 1979 to 2012, for March. Grey shading shows the ± 1 standard deviation of CMIP5 ensemble. Upper and lower pecked lines show maximum and minimum ice volume of the model ensemble. Multi-model ensemble mean ice volume is shown as the black line.





Fig. 8. March ice volume trends from 1979 to 2013 for all individual CMIP5 model ensembles as well as the multi-model ensemble mean (shown in black) with confidence intervals (vertical lines). The 1σ and 2σ confidence intervals of PIOMAS trends are shown in dark gray shading (1σ) and light gray shading (2σ).

