

1 **The impact of snow depth, snow density and ice density on**  
2 **sea ice thickness retrieval from satellite radar altimetry:**  
3 **Results from the ESA-CCI Sea Ice ECV Project Round**  
4 **Robin Exercise**

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18 **Abstract: We assess different methods and input parameters, namely snow**  
19 **depth, snow density and ice density, used in freeboard-to-thickness**  
20 **conversion of Arctic sea ice. This conversion is an important part of sea ice**  
21 **thickness retrieval from spaceborne altimetry. A data base is created**  
22 **comprising sea ice freeboard derived from satellite radar altimetry between**  
23 **1993 and 2012 and collocated observations of total (sea ice + snow) and sea**  
24 **ice freeboard from Operation Ice Bridge (OIB) and CryoSat Validation**  
25 **Experiment (CryoVEx) air-borne campaigns, of sea ice draft from moored and**  
26 **submarine Upward Looking Sonar (ULS), and of snow depth from OIB**  
27 **campaigns, Advanced Microwave Scanning Radiometer (AMSR-E) and the**  
28 **Warren Climatology (Warren et al., 1999). We compare the different data sets in**

1 **spatiotemporal scales where satellite radar altimetry yields meaningful results.**  
2 **An inter-comparison of the snow depth data sets emphasizes the limited**  
3 **usefulness of Warren climatology snow depth for freeboard-to-thickness**  
4 **conversion under current Arctic Ocean conditions reported in other studies.**  
5 **We test different freeboard-to-thickness and freeboard-to-draft conversion**  
6 **approaches. The mean observed ULS sea ice draft agrees with the mean sea**  
7 **ice draft derived from radar altimetry within the uncertainty bounds of the data**  
8 **sets involved. However, none of the approaches is able to reproduce the**  
9 **seasonal cycle in sea ice draft observed by moored ULS. A sensitivity analysis**  
10 **of the freeboard-to-thickness conversion suggests that sea ice density is as**  
11 **important as snow depth.**

12

## 13 **1 Introduction**

14 As part of the European Space Agency (ESA) Climate Change Initiative (CCI) sea ice  
15 Essential Climate Variable (ECV) project (SICCI project) quality-controlled long-term data  
16 sets of sea ice thickness and concentration will be derived from Earth observation data. The  
17 product of sea ice thickness and sea ice area is the sea ice volume which is considered to be  
18 among the most sensitive indicators of the amplification of Climate change in the Arctic  
19 (Schweiger et al., 2011; Zhang et al., 2012; Krinner et al., 2010; Stranne and Björk, 2012;  
20 Wadhams et al., 2012).

21 The main data source for hemispheric sea ice thickness distribution is satellite radar altimetry.  
22 Laxon et al. (2003) used European Remote Sensing Satellite (ERS) 1/2 radar altimeter (RA)  
23 data to obtain a first estimate of the sea ice thickness distribution in the Arctic Ocean south of  
24 81.5°N. More recently Envisat and CryoSat-2 RA data has been used to compute sea ice  
25 thickness (Giles et al., 2008; Laxon et al., 2013); the northern limit for Envisat RA data is also  
26 81.5°N while CryoSat-2 allow sea ice thickness retrieval up to 88°N. In a number of studies  
27 the retrieved sea ice freeboard and its derived thickness product were evaluated (e.g., Laxon et  
28 al., 2003; Giles and Hvidegaard, 2006; Giles et al., 2007; Connor et al., 2009). Yet to be  
29 calculated and evaluated is the sea ice thickness using the combined time series of ERS-1/2  
30 RA data and Environmental Satellite (Envisat) radar altimeter-2 (RA-2) data of the period  
31 1993 to 2012.

1 Sea ice thickness can be obtained with other methods than radar altimetry. The first Ice Cloud  
2 and Elevation Satellite (ICESat-1) with its Geophysical Laser Altimeter System (GLAS)  
3 allowed computing sea ice thickness from laser altimetry for up to three periods each year of  
4 about one month duration for years 2003 to 2009 (Kwok et al., 2009). Methods using space-  
5 borne active or passive microwave sensor data (e.g. Kwok et al., 1995; Martin et al., 2004;  
6 Kaleschke et al., 2012) or using space-borne infrared sensor data (e.g. Yu and Rothrock,  
7 1996) do not allow computation of an Arctic wide sea ice thickness distribution. These  
8 methods are limited in the maximum thickness to be retrieved, which is less than a meter, and  
9 can additionally be hampered by clouds. Also satellite laser altimetry is influenced by clouds.

10 Ground-based, submarine-based, moored, and airborne sensors provide sea ice thickness  
11 information via measurement of sea ice freeboard or total (sea ice plus snow) freeboard or sea  
12 ice draft. Such data form the basis of our current understanding of Arctic Ocean sea ice  
13 volume loss (Rothrock et al., 2008; Lindsay, 2010; Haas et al., 2008; 2011; Schweiger et al.,  
14 2011, Wadhams et al., 2011). On the one hand this data has limited spatial-temporal coverage  
15 in contrast to satellite remote sensing data. On the other hand this data is extremely valuable  
16 for validation of sea ice thickness products obtained from satellite observations.

17 In order to derive sea ice thickness for all methods mentioned in the previous three paragraphs  
18 assumptions need to be made about, e.g., ice and snow density, vertical sea ice structure,  
19 location of the dynamic sea surface height, and snow depth distribution. In addition to these,  
20 the RA method must also assume the penetration depth of radar waves into the snow. The  
21 only direct sea ice thickness measurement is a drill hole. Therefore it is important to keep in  
22 mind that products of the above-mentioned sources might have a bias and do have a finite  
23 uncertainty.

24 Within the SICCI project a selection of the most suitable retrieval methods and the most  
25 appropriate input data sets for freeboard-to-thickness conversion using RA data is carried out  
26 in the so-called Round Robin Exercise (RRE). The RRE is based on analysis of data compiled  
27 in the Round Robin Data Package (RRDP). The RRDP comprises ERS-1/2 and Envisat RA  
28 sea ice freeboard data, input data for the freeboard-to-thickness conversion and validation data  
29 of sea ice thickness, freeboard and draft as well as snow depth and total freeboard. The main  
30 goal is to find an optimal set of assumptions and input data for the freeboard-to-thickness  
31 conversion – assuming that the RA sea ice freeboard is correct. To do this, we investigate the  
32 quality of the data used and estimate the sensitivity to the input parameters of the methods

1 used. Validation of RA sea ice freeboard and thickness data will be carried out at a later stage  
2 of the SICCI project. This is the reason why a number of data sets one would expect to be  
3 used in this study are not used. The amount of sea ice thickness data is limited and we could  
4 not use the same data in algorithm selection and validation. We chose to save the sea ice  
5 thickness derived from ICESat-1 measurements (Kwok et al., 2009), the total (sea ice + snow)  
6 thickness derived from electromagnetic (EM) induction sounding (Haas et al., 2008; 2010)  
7 and data from recent (2011 → today) Operation Ice Bridge (OIB) campaigns for the  
8 validation exercise.

9 The paper is organized as follows: Sect. 2 describes the RRDP. Sect. 3 describes the methods  
10 used. In Sect. 4 we present the results of our analyses. These are discussed in Sect. 5 and  
11 concluded in Sect 6. We note that the results presented reflect the work of the SICCI project  
12 consortium and have been carried out at the respective institutions.

13

## 14 **2 Data**

15 The RRDP comprises satellite data: ERS-1/2 RA and Envisat RA-2 sea ice freeboard and  
16 snow depth from Advanced Microwave Scanning Radiometer aboard Earth Observation  
17 Satellite (AMSR-E). The RRDP includes snow depth and density data from the Warren  
18 climatology (Warren et al., 1999), henceforth abbreviated with W99, and it includes a variety  
19 of sea ice data from other platforms. These are basically data from moored, submarine, and  
20 airborne sensors as listed in Table 1. All data will be described in the following paragraphs.  
21 Figure 1 shows a sample Envisat RA-2 sea ice freeboard map for March 2010 together with  
22 the locations where these other data are taken from. The majority of RA-2 sea ice freeboard  
23 values are in a reasonable range (between 0.1 and 0.4 m).

24 Sea ice freeboard data as used in the RRDP are derived from ERS-1/2 RA and Envisat RA-2  
25 data using the methodology introduced by Laxon et al. (2003) and Giles et al. (2008) and  
26 described in detail in the SICCI ATBD (ESA SICCI project consortium, 2013). To shortly  
27 recap, elevation measurements from leads and ice floes are distinguished based on the pulse  
28 peakiness of the waveform. After re-tracking the range and applying necessary corrections  
29 (namely the Doppler range and delta Doppler, the ionospheric, the dry tropospheric and the  
30 modelled wet tropospheric, ocean tide, long-period tide, loading tide, earth tide, pole tide and  
31 inverse barometer corrections), and filters (removal of complex waveforms, failed re-tracking  
32 and echoes that yielded elevations more than 2 m from the mean dynamic sea surface height)

1 the local sea level at ice floe locations is interpolated from nearby lead elevations. Freeboard  
2 is then calculated as the difference of radar altimetry measured ice floe elevation and the local  
3 sea level. Individual radar altimeter freeboard measurements are present in the RRDP data  
4 base. These measurements correspond to the freeboard of ice within the surface footprint of  
5 the altimeter. The size of the footprint, in other words the spatial resolution of the instrument,  
6 depends on the target surface properties and is of the order of 2-10 km (Connor et al., 2009).

7 The net uncertainty of the gridded RA derived freeboards is unknown. The factors  
8 contributing to the freeboard uncertainty include sub-footprint surface roughness, ambiguities  
9 in radar penetration into snow, bias due to wave shape from leads and floes, tides, the  
10 uncertainty in satellite position and radar speckle. Due to the speckle a large number of RA  
11 freeboard estimates must be averaged to get a meaningful estimate. In this work individual  
12 RA freeboard estimates are averaged according to the collocation areas defined in section 2  
13 further below, or into a 2 degree longitude x 0.5 degree latitude grid (approximately 60 km  
14 grid cell size). Averaging is always done over one calendar month. Depending on latitude and  
15 number of leads identified this result hardly in more than 200 measurements per grid cell to  
16 be averaged for the gridded product. This is illustrated in Figure 2 showing for months  
17 October to March the average number  $N$  of single orbit Envisat RA-2 sea ice freeboard data  
18 used per month per 100 km grid cell – which is the grid resolution of the SICCI project SIT  
19 prototype product. Averaging is done over the entire Envisat RA-2 period, i.e. winters  
20 2002/03 to 2011/12. Note the decline in areas with  $N > 200$  over the season (compare  
21 November to March) in the northern Beaufort and Chukchi Seas. This can be most likely  
22 attributed to a smaller number of leads as shown by Bröhan and Kaleschke (2014).

23 In this paper we do not discuss the uncertainty of RA freeboards. This will be done later as  
24 part of the Sea Ice CCI validation exercise. Instead we take the freeboard estimates as  
25 accurate and study the effect of using different assumptions about the sea ice and snow  
26 density as well as different sources of snow depth estimates.

27 W99 snow depth and density data is available as climatological monthly values for a given  
28 location of the Arctic Ocean. Because the W99 climatology is a second degree polynomial  
29 decreasing rapidly outside the central Arctic Ocean (Warren et al., 1999), extrapolated  
30 estimates, e.g. in the Hudson Bay or the Bering Sea should not be taken as real snow depth  
31 values. W99 data can be considered reliable up to the coasts on the Pacific and Eurasian side  
32 of the Arctic Ocean. Towards the Atlantic side the approximate southern limit of useful W99

1 data is 80°N (Warren et al., 1999); south of this latitude no or only few observations  
2 contributed to the climatology. W99 snow depth and density data are collocated individually  
3 for each single RA freeboard estimate and averaged over the same area and time as the  
4 freeboard (see above paragraph and section 2 further below).

5 AMSR-E snow depth on sea ice is taken for the Arctic from the AMSR-E/Aqua Daily L3 12.5  
6 km Brightness Temperature, Sea Ice Concentration, & Snow Depth Polar Grids product  
7 ([http://nsidc.org/data/docs/daac/ae\\_si12\\_12km\\_tb\\_sea\\_ice\\_and\\_snow.gd.html](http://nsidc.org/data/docs/daac/ae_si12_12km_tb_sea_ice_and_snow.gd.html), Cavalieri et  
8 al., 2004) available from NSIDC. This data is provided daily at 12.5 km grid resolution as  
9 running 5-day mean and is limited to snow depths below 0.45 m on seasonal ice (Markus and  
10 Cavalieri, 1998; Comiso et al., 2003). The algorithm is sensitive to sea ice roughness (Worby  
11 et al., 2008, Ozsoy-Cicek et al., 2011; Kern et al., 2011) as well as snow wetness and grain  
12 size (Maksym and Markus, 2008; Markus and Cavalieri, 1998). Recently, the quality of  
13 AMSR-E snow depth was assessed for the Arctic (Cavalieri et al., 2012; Brucker and Markus,  
14 2013). A comparison between OIB and AMSR-E snow depths for about 600 12.5 km grid  
15 cells from the years 2009 to 2011 (Brucker and Markus, 2013) indicated a basin average bias  
16 of up to 0.07 m and RMSD values between 0.03 m and 0.15 m. Under ideal conditions, i.e.,  
17 for high concentration (> 90%) level first-year ice (FYI) thicker than 0.5 m the RMSD is  
18 below 0.06 m for, on average, 0.2 m thick snow (Brucker and Markus, 2013). For our study,  
19 AMSR-E snow depth is collocated with RA sea ice freeboard by averaging data over a  
20 calendar month over a disc of 100 km radius centred at each RA sea ice freeboard grid cell.

21 The combination of a laser scanner and snow radar or a radar altimeter provides simultaneous  
22 collocated snow depth, total (sea ice + snow) freeboard and sea ice freeboard data. The laser  
23 scanner senses the snow surface and is used to derive the total freeboard – similar to the  
24 ICESat-1 GLAS instrument – if the instantaneous sea surface height (SSH) is known. The  
25 snow radar directly measures snow depth on top of sea ice using the range difference between  
26 reflections at the two interfaces ice-snow and snow-air. For a radar altimeter operating at Ku-  
27 Band frequencies it is assumed that it provides the height of the ice-snow interface above the  
28 SSH: the sea ice freeboard, under dry snow and/or freezing conditions.

29 The RRDP includes a combination of CryoVEx laser scanner (ALS) and radar altimeter data  
30 (ASIRAS). ALS and ASIRAS data are taken from DTU Space, National Space Institute:  
31 <ftp://ftp2.spacecenter.dk/pub/ESACCI-SI/> and are averaged over 50 km transects of flight line  
32 (see Figure 1 for location). We use CryoVEx data from campaigns at the end of April 2008

1 and beginning of May 2011. The collocated RA-2 data are averages for April of the respective  
2 year of observation from all orbits within a disc of 100 km radius centred at each ALS 50 km  
3 transect centre. ALS data are used to derive total freeboard (Hvidegaard and Forsberg, 2002)  
4 with accuracy and precision of independent measurements of about 0.1 m to 0.15 m. ASIRAS  
5 sea ice freeboard data are derived using a method similar to Ricker et al. (2012) and have an  
6 accuracy of 0.15 to 0.2 m for independent measurements. As measurements are averaged  
7 along 50 km transects located in an area of frequent lead occurrence the accuracy relevant for  
8 this study is of the order of 0.01 m for the ALS data. For the same reason it can be expected  
9 that the accuracy of the ASIRAS data is better than the numbers given above and has a  
10 magnitude of 0.05 m to 0.1 m.

11 We note that the radius of 100 km seems to be quite large. We have demonstrated, though,  
12 that a month of averaging over single orbit RA-2 sea ice freeboard data and hence using a  
13 large number of data points per grid cell (Figure 2) is required for a sufficient reduction of  
14 particularly speckle noise. Using a smaller radius of like 50 km would reduce the number of  
15 data points per averaging area substantially. In addition, airborne campaign data are usually  
16 from only a few days and are therefore a snapshot compared to the RA-2 data averaging  
17 period of a calendar month. The sea ice sensed during the airborne campaign might have  
18 drifted out of the collocation area around the transect centre used if a too small collocation  
19 area was chosen. Hence, for all collocations with airborne or submarine-based data we used a  
20 collocation area radius of 100 km.

21 The RRDP includes OIB laser scanner (Airborne Thematic Mapper, ATM) and snow radar  
22 measured total freeboard, snow depth, and ice thickness (Panzer et al., 2013; Kurtz et al.,  
23 2013). OIB data are taken from the NSIDC: <http://nsidc.org/data/icebridge/index.html> and are  
24 averaged over 50 km transects along track. The collocated RA-2 data are monthly averages of  
25 observations from all orbits within a disc of 100 km radius centred at each OIB 50 km  
26 transect centre. We used data from OIB campaigns in April 2009 and March and April 2010  
27 (see Figure 1 for location). Kurtz et al. (2013) summarize the uncertainty sources of OIB  
28 snow depth retrieval. They point out that the results of Farrell et al. (2012) are a bit too  
29 optimistic: 0.01 m uncertainty in snow depth, and instead suggest a snow depth uncertainty of  
30 0.06 m in agreement with Kwok et al. (2011): 0.03 m to 0.05 m for snow depths between 0.1  
31 and 0.7 m. Lowest retrievable snow depth is of the magnitude 0.05 m (see also Kwok and  
32 Maksym, 2014).

1 In addition to snow depth, the OIB freeboards are shown to be accurate. Past problems  
2 identified with the automatic SSH retrieval from ATM data alone for 2009 (Nathan Kurtz,  
3 personal communication, 2013) were mitigated starting with the 2010 OIB data by including  
4 contemporary digital imagery (Onana et al., 2013). For the bulk of total freeboard obtained  
5 from OIB ATM measurements the bias can be expected to be close to zero with a precision of  
6 between 0.05 m and 0.1 m (Farrell et al., 2012; Kurtz et al., 2013). This is confirmed by a  
7 study of Kwok et al. (2012) who found agreement between ICESat-1 and OIB-ATM  
8 freeboards of within 0.01 m and a measurement repeatability of about 0.04 m.

9 Upward looking sonar (ULS) observes sea ice draft which can be converted into sea ice  
10 thickness in a similar way as the sea ice freeboard. In the RRDP we use data from the  
11 Beaufort Gyre Exploration Project (BGEP) where three, sometimes four moored ULS  
12 measured sea ice draft. The approximate location of these moorings is denoted by the red  
13 triangles in Figure 1. BGEP ULS data are taken for years 2003 to 2008 from WHOI:  
14 <http://www.whoi.edu/page.do?pid=66559>. Accuracy of the data is estimated by Krishfield and  
15 Proshutinsky (2006) to be between 0.05 m and 0.1 m. This data provides an independent  
16 measure of the seasonal cycle of sea ice draft and thus sea ice thickness. The collocated data  
17 are monthly averages of observations from all single orbit RA-2 sea ice freeboard which fall  
18 into a box centred at the BGEP mooring location (see Figure 1) extending over 12 degree  
19 latitude and 30 degree longitude. Snow depth data are averaged over the same area. This box  
20 may be oversized. The rationale behind using such a large co-location area was to maximize  
21 the number of valid RA freeboard estimates and to minimize the effect of sea ice motion  
22 changing ice type composition in that area.

23 Another source of ULS data in the RRDP are those carried on board submarines. Submarine  
24 ULS draft data were successfully used by Laxon et al. (2003) for a first assessment of Arctic  
25 Ocean sea ice thickness distribution obtained from ERS-1/2 data. The RRDP contains  
26 submarine ULS data from three cruises (red dots in Figure 1). Data from two of the cruises  
27 from U.S. submarines (April 1994 and October 1996) are available from NSIDC:  
28 <http://nsidc.org/data/g01360.html>. Data from the third cruise by a UK submarine  
29 (March/April 2007) are available from University of Cambridge (UCAM), see also  
30 (Wadhams et al., 2011). Submarine ULS data are in general less accurate than the BGEP data  
31 but are the only information about draft distribution over a larger region. Rothrock and  
32 Wensnahan (2007) report a bias of 0.29 m and a standard deviation of 0.25 m. An assessment



1 of the UK submarine ULS data used reveals a standard deviation of 0.29 m and a bias of 0.4  
 2 m; these numbers are worse compared to the U.S. submarine data due to classified submarine  
 3 positions. The collocated RA-2 data are monthly averages of observations from all orbits  
 4 within a disc of 100 km radius centred at each submarine ULS 50 km transect centre. A  
 5 transect length of 50 km is recommended by Rothrock and Wensnahan (2007).

6

### 7 **3 Methods**

8 It is assumed that satellite radar altimetry measures the sea ice freeboard. By assuming  
 9 isostasy, sea ice freeboard can be used to compute sea ice thickness  $z_i$  :

$$10 \quad z_i = \frac{z_s \rho_s + f_b \rho_w}{\rho_w - \rho_i} \quad (1)$$

11 and also sea ice draft  $D$

$$12 \quad D = \frac{z_s \rho_s + f_b \rho_i}{\rho_w - \rho_i} \quad (2)$$

13 with snow depth  $z_s$ , sea ice freeboard  $f_b$ , and the densities of sea water, sea ice and snow:  $\rho_w$ ,  
 14  $\rho_i$ , and  $\rho_s$ , respectively. Figure 3 illustrates the parameters used in Eq. (1).

15 The main objectives of the RRE are

- 16 ▪ To select the best snow depth (product) for freeboard-to-thickness conversion.
- 17 ▪ To investigate validity and influence of retrieval assumptions, like using constant  
 18 sea ice density, on the sea ice thickness retrieval.

19 In order to achieve these goals the following investigations were carried out:

- 20 1. Snow depth data of the different data sets involved are inter-compared.
- 21 2. RA-2 sea ice freeboard is converted to total freeboard by adding snow depth  
 22 information and compared with OIB and CryoVEx total freeboard.
- 23 3. RA and RA-2 sea ice freeboard is used to compute sea ice draft  $D$  using Eq. (2) with  
 24 different input data and compared to ULS sea ice draft data. This is done using a  
 25 “standard set of densities” (see below). For BGEP mooring ULS data we compute in  
 26 addition sea ice draft separately for MYI and FYI densities and two different fixed  
 27 snow densities.

1 4. RA-2 sea ice freeboard is used to compute sea ice thickness combining the standard  
2 set of densities with various snow depth information; the results are compared to OIB  
3 sea ice thickness.

4 The standard set of densities is:  $\rho_i = 900 \text{ kg m}^{-3}$ , which is the average density of MYI and  
5 FYI, and  $\rho_w = 1030 \text{ kg m}^{-3}$  (Wadhams et al., 1992). The snow density is taken from W99 and  
6 varies over space and time. In order to account for the effect of different densities for MYI  
7 and FYI (in 3, see above) we use sea ice densities published elsewhere (e.g., Timco and  
8 Frederking, 1996; Alexandrov et al., 2010):  $882 \text{ kg m}^{-3}$  and  $917 \text{ kg m}^{-3}$ , respectively. The two  
9 fixed snow density values used in 3 (see above) are  $240 \text{ kg m}^{-3}$  and  $340 \text{ kg m}^{-3}$  and correspond  
10 to the mean wintertime minimum and maximum snow density, respectively (Warren et al.,  
11 1999).

## 12

### 13 **4 Results**

14 In the following we present the results of comparing the various data sets. We start with snow  
15 depth and (sea ice) freeboard and then continue with sea ice draft and thickness.

#### 16 **4.1 Snow Depth**

17 The results of the inter-comparison of collocated W99, OIB and AMSR-E are summarized for  
18 2009 and 2010 in Table 2. OIB data from the Arctic Ocean, the Canadian Archipelago, and  
19 the Fram Strait region are used (see Figure 1). Mean snow depth along the OIB tracks in the  
20 Arctic Ocean in 2009 is 0.36 m and 0.16 m over MYI and FYI, respectively. In 2010, OIB  
21 snow depth is smaller than in 2009 over MYI: 0.23 m while it is similar to the 2009 values  
22 over FYI: 0.13 m. In 2009, W99 overestimates OIB snow depth over FYI by 0.19 m. The  
23 agreement with W99 snow depth over MYI is much better with a difference of just 0.02 m. In  
24 2010, W99 overestimates OIB snow depth over FYI by 0.21 m which is comparable to the  
25 value for 2009. But the agreement with W99 snow depth over MYI is worse than in 2009:  
26 W99 overestimates OIB snow depth over MYI by 0.12 m. In April 2010, OIB flights tracks  
27 are located over FYI in the Arctic Ocean and in the Canadian Archipelago. For the latter  
28 region we found a similar mean snow depth over FYI than in the Arctic Ocean. We did not  
29 compare OIB and W99 snow depths because in the Canadian Archipelago W99 snow depth  
30 relies purely on extrapolation (Warren et al., 1999). Also in April 2010 OIB flight tracks  
31 covered the Fram Strait area (Figure 1). These tracks are north of  $80^\circ\text{N}$  and thus still in the

1 region of valid W99 snow depth data. W99 overestimation of OIB snow depth is even larger  
2 than for the tracks in the Arctic Ocean. W99 snow depth is about 0.40 m while the mean snow  
3 depth along the OIB track is 0.17 m.

4 In both years, 2009 and 2010, W99 snow depths are about twice as large as AMSR-E snow  
5 depth over FYI in the Arctic Ocean. The difference is 0.18 m (Table 2) which is of the same  
6 magnitude as the difference between OIB and W99 snow depth (see previous paragraph).  
7 AMSR-E and OIB snow depths agree on average by about 0.02 m for the flight tracks  
8 crossing the Arctic Ocean as well as those in the Canadian Archipelago. For the OIB flight in  
9 the Fram Strait region none of the collocation regions contained enough FYI for a comparison  
10 between AMSR-E and OIB snow depths.

11 The results of our snow depth comparison agree with Kurtz and Farrell (2011) and Kurtz et al.  
12 (2013): Over FYI AMSR-E data give a much better measure of the actual snow depth than  
13 W99. Snow depths from W99 are about twice as large as AMSR-E and OIB snow depths over  
14 FYI. Over MYI, OIB and W99 differ by only 0.02 m in 2009 but by 0.12 m in 2010. Only  
15 grid cells with at least 65% MYI are used here. One possible explanation for the different  
16 degree of agreement could be inter-annual variation in snow depth over MYI. While in 2009  
17 OIB snow depth was 0.36 m it was just 0.23 m in 2010. Mean W99 snow depth was 0.35 m  
18 and 0.34 m, respectively. Based on climatology, the W99 does not capture the inter-annual  
19 variability in snow depth. The W99 estimate for inter-annual variability for the snow depth in  
20 March is 0.06 m, explaining half of the observed difference in 2010.

## 21 **4.2 Sea Ice and Total Freeboard**

22 During the CryoVEx campaigns in 2008 and 2011 in the Fram Strait both the radar altimeter  
23 (ASIRAS) and the laser instrument (ALS) essentially sensed the snow surface as is illustrated  
24 in the scatterplots in Figure 4. Radar penetration into the snow cover on sea ice in the Fram  
25 Strait during CryoVEx campaigns was close to zero although the radar is supposed to sense  
26 the ice-snow interface at the used frequency in Ku-Band according to laboratory experiments  
27 (Beaven et al., 1995). There is growing evidence that this assumption is violated for more  
28 cases than previously thought (e.g. Ricker et al., 2014). Both freeboard measurements  
29 (ASIRAS and ALS) linearly agreed with a RMSD of 0.02 m, a bias of about 0.05 m, a slope  
30 close to 1 and a linear correlation coefficient of 0.99 for 2008 and 2011. Therefore from  
31 CryoVEx only total freeboard is used in this study.

1 For 2011, CryoVEx ALS total freeboard underestimates RA-2 total freeboard computed using  
2 W99 snow depth by 0.06 m; for 2008, this underestimation is about 0.16 m. These values are  
3 larger than the uncertainties expected for transect lengths of 50 km for the ALS data. It has to  
4 be kept in mind that we look at 21 and 11 data pairs only, respectively. During CryoVEx  
5 2008, the sea ice in the measured area was primarily FY ice, and by applying snow depth  
6 from ASMR-E (available for 9 out of 11 points) the comparison of total freeboards was  
7 improved. In addition both CryoVEx campaigns are below 80N, where W99 is solely based  
8 on extrapolation and is hence not very reliable.

9 OIB total freeboard observations of 2009 and 2010 are compared with RA-2 total freeboards  
10 computed from collocated RA-2 sea ice freeboard by adding the respective collocated OIB or  
11 W99 snow depth in the Arctic Ocean (Table 3, Figure 5); observations in the Fram Strait and  
12 the Canadian Archipelago are excluded. Mean OIB total freeboard in the Arctic Ocean agrees  
13 overall within 0.02 m with RA-2 total freeboard when using collocated OIB snow depths. If  
14 instead W99 snow depth is used the agreement remains fine for 2009 but for 2010 RA-2  
15 underestimates the overall mean OIB total freeboard by 0.11 m. This could be explained by  
16 the difference between OIB snow depth and W99 snow depth (see Sect. 4.1). But it could also  
17 be explained by the different fraction of MYI in these data sets. For 2009 the selected OIB  
18 flight tracks were located over MYI only, while in 2010 about one third of the OIB data of the  
19 selected OIB tracks were located over FYI. As shown in Sect. 4.1, OIB snow depth agrees  
20 much better with W99 snow depth over MYI than over FYI.

### 21 **4.3 Sea Ice Draft**

22 The results of the comparison of sea ice draft between ULS and radar altimeter is summarized  
23 in Tables 4 and 5. Sea ice draft observed by U.S. submarine ULS in October 1996 is  
24 overestimated by ERS-1 RA by 0.13 m which is within the ULS uncertainty of 0.25 to 0.3 m  
25 (Table 4). For April 1994, however, ERS-1 RA underestimates observed sea ice draft by 0.45  
26 m which is outside the uncertainty range given for these ULS data. This discrepancy is  
27 illustrated in Figure 6 c) and d): While both data sets show maximum probability in the same  
28 draft bin of 1.5 to 2.0 m for 1996, the histograms are shifted relative to each other for April  
29 1994 with largest probability in bin 2.5 to 3.0 m for the ULS data but 2.0 to 2.5 m for RA  
30 data. The scatterplot in Figure 6 e) underlines that the agreement is much better for October  
31 1996 than for April 1994; in particular the RMSD for 1996 is less than half the one for 1994.

1 Sea ice draft observed by UK submarine ULS in April 2007 is underestimated by RA-2 by  
2 0.12 m (Table 4). However, the majority of this cruise took place north of 81.5°N (see also  
3 Figure 1) and our comparison is therefore based on only 15 collocated data pairs, compared to  
4 about 90 and 40 data pairs for the U.S. submarine cruises.

5 Mean winter sea ice draft observed by BGEP ULS agrees within 0.05 m with sea ice draft  
6 computed from RA-2 data using W99 snow depth and density and standard sea ice and water  
7 density values. However, the seasonal range in sea ice draft is much lower for RA-2 than for  
8 BGEP ULS (Table 4, Figure 7). Only for winters 2005/2006 and 2006/2007 does the seasonal  
9 range of sea ice draft agree in both data sets. The area considered here was covered by almost  
10 100% MYI from 2003 to 2007 (first four winters), whereas FYI entered the region in winter  
11 2007/2008 (taken from AMSR-E snow depth data set, Cavalieri et al., 2004). Therefore, for  
12 the first four winters, one might need to use the MYI density instead of the value of  $900 \text{ kg m}^{-3}$   
13 <sup>3</sup> used. By doing so the RA-2 draft would decrease by between 0.1 m and 0.4 m, depending on  
14 season and year (Figure 7, brown lines). This would result in a better agreement between  
15 BGEP ULS and RA-2 draft early in the winter season, but it would not improve the  
16 agreement in terms of the seasonal range. A possible explanation for our RA2 drafts not  
17 showing the same seasonal range as ULS drafts could be that during the winter more new ice  
18 forms and thus the net ice density increases. Confirming this would however require direct ice  
19 density measurements. Note that usage of AMSR-E snow depth, possible for winter  
20 2007/2008, results in RA-2 ice draft values that would be typical for 100% MYI and a snow  
21 density of about  $290 \text{ kg m}^{-3}$  (Figure 7, green dots); these RA-2 ice drafts are much smaller  
22 than those observed by the ULS. However, as AMSR-E snow depth can only be obtained over  
23 FYI, the usage of MYI ice density and AMSR-E together may yield too small draft estimates  
24 and one might need to use the FYI density of  $917 \text{ kg m}^{-3}$  instead. This would shift the green  
25 dots by 0.3 m towards larger ice draft values (Figure 7, compare blue and black lines) and  
26 would result in a slightly better agreement between ULS and RA-2 drafts. More investigations  
27 are needed to confirm this.

28 Furthermore, we compared ULS sea ice draft with sea ice draft computed from RA sea ice  
29 freeboard using six different realizations of the freeboard-to-draft conversion. Of the six  
30 realizations one uses fixed ice density at  $900 \text{ kg m}^{-3}$ , i.e. the average of typical FYI and MYI  
31 densities, and W99 snow depth (A1); one uses separate FYI and MYI densities and  
32 parameterizes W99 snow depth following (Laxon et al., 2013) (A2); one uses fixed FYI

1 density at  $910 \text{ kg m}^{-3}$  combined with a freeboard dependent MYI density (Ackley et al., 1974)  
2 and W99 snow depth (A3); one uses fixed ice density at  $900 \text{ kg m}^{-3}$  (see A1) with full and  
3 half W99 snow depth over FYI and MYI, respectively (A4); one uses separate but fixed FYI  
4 and MYI snow depth and separate FYI and MYI densities (Alexandrov et al., 2010) (A5); one  
5 follows the empirical approach for thick MYI without including any snow depth information  
6 (Wadhams et al., 1992) (A6). All realizations use seasonally varying W99 snow density. Of  
7 these realizations only A1 is shown in Figures 6 and 7. Table 5 summarizes the difference in  
8 the mean and median observed minus computed sea ice draft (SID) for the six realizations and  
9 the ULS data sets listed in Table 1. Methods A1, A3 and A4 are agreeing equally well with  
10 the ULS sea ice draft data within their uncertainty bounds (about 0.3 m for BS and BSS and  
11 0.05 m for BGEP), and that A5 and A6 show the largest discrepancies.

#### 12 **4.4 Sea Ice Thickness**

13 We computed sea ice thickness from RA-2 data collocated with the OIB tracks in the Arctic  
14 Ocean (see Figure 1) using different snow depth data and compared the results to OIB (2009,  
15 2010) sea ice thickness estimates using the thicknesses provided in the OIB dataset (Kurtz et  
16 al., 2013). For the RA-2 freeboard-to-thickness conversion we used the sea ice density of  $900$   
17  $\text{kg m}^{-3}$ . We omitted CryoVEx data from this comparison because of the ambiguous results  
18 reported in Sect. 4.2 and because W99 snow depth is less reliable in the area sensed during  
19 CryoVEx compared to the OIB track obtained in the Fram Strait in April 2010. Snow depth  
20 data sets used are W99 only, W99 over MYI and  $0.5 \times$  W99 over FYI (Kurtz and Farrell  
21 (2011), henceforth abbreviated KF11), OIB only, and W99 over MYI but AMSR-E over FYI.  
22 The results of this comparison are summarized in Table 6 for the OIB tracks from 2009 and  
23 2010 in the Arctic Ocean and in Table 7 for the OIB track from 2010 in the Fram Strait.

24 For OIB 2009 data of the Arctic Ocean, none of the four snow data sets reveals a RA-2 sea ice  
25 thickness correlated with the OIB one better than 0.65. Using OIB snow depth gives highest  
26 correlation and smallest RMSD of 0.96 m. However, the RMSD is similar for the other three  
27 data sets. For OIB 2010 data of the Arctic Ocean, using OIB snow depth gives highest  
28 correlation: 0.38, but largest RMSD: 1.52 m (Table 6). Correlations and RMSD are smaller  
29 when using the other snow data sets. Using W99 data results in the lowest correlation but also  
30 the smallest RMSD (Table 6). This is illustrated by Figure 8 which shows scatter plots of sea  
31 ice thickness computed using the mentioned snow depth data sets versus observed sea ice  
32 thickness during OIB for 2009 (images a to c) and 2010 (images d to f). Using W99 in

1 combination with AMSR-E and KF11 results in a similar statistics because AMSR-E snow  
2 depth is found to be close to half the W99 snow depth and to be in agreement with OIB snow  
3 depth within 0.02 m (see Table 1 and Kurtz and Farrell (2011)).

4 For the Fram Strait, OIB and RA-2 sea ice thickness agree well using either OIB 2010 or W99  
5 snow depth data. The correlation between OIB and RA-2 are 0.84 (OIB 2010 snow) and 0.80  
6 (W99 snow), see Table 7. Similar to the OIB tracks of 2010 in the Arctic Ocean (Table 6) the  
7 RMSD is smaller using W99 snow depth: 0.88 m, than using OIB snow depth: 1.03 m. The  
8 number of data points is, however, substantially smaller in this region than in the Arctic  
9 Ocean region: only 13 data pairs (Figure 8 g, h) which limits the value of this comparison.  
10 Also the number of snow depth observations contributing to the W99 climatology is quite  
11 small in the Fram Strait area (see Warren et al., 1999), which might limit their usefulness for  
12 such a study in this area. However, the three boxes ( $5^{\circ}$  latitude by  $15^{\circ}$  longitude) adjacent to  
13 the U.S. and northern Canadian coast contain a similarly small amount of snow depth  
14 observations in W99: 50, 43, and 9 compared to 20, 53, and 45 for the boxes north of  
15 Svalbard (Warren et al., 1999, figure 3).

16

## 17 **5 Discussion**

18 The present paper deals with an investigation of the quality and the usefulness of input  
19 parameters such as snow depth and densities of snow and sea ice for radar altimeter  
20 freeboard-to-thickness conversion. It further gives examples of inter-comparisons between  
21 independent estimates of sea ice parameters such as sea ice freeboard, total (sea ice + snow)  
22 freeboard, sea ice thickness, and sea ice draft and estimates of these parameters based on  
23 satellite radar altimetry. The evaluation of radar altimeter freeboard and the computation of a  
24 radar altimeter freeboard uncertainty are aimed for in the present paper. We assume that the  
25 obtained sea ice freeboard is correct. For Envisat RA-2 data this is a fair assumption given the  
26 results of, e.g., Connor et al. (2009). An estimate of sea ice freeboard obtained by subtracting  
27 OIB snow depth from OIB total freeboard agrees within 0.02 m with colocated RA-2 sea ice  
28 freeboard. This is better than the accuracy of 0.05 m given for RA-2 and OIB freeboard data  
29 (Kurtz et al., 2013) and indicates that at least along OIB tracks in 2009 and 2010 in the Arctic  
30 Ocean Envisat RA-2 sea ice freeboard is accurate.

31 Our main conclusion from the comparison of using different estimates for snow depth and ice  
32 density (see Table 5) is that methods A1, A3 and A4 are agreeing equally well with the ULS

1 sea ice draft data within their uncertainty bounds (about 0.3 m for BS and BSS and 0.05 m for  
2 BGEP), and that A5 and A6 show the largest discrepancies. Why is A2 (Laxon et al., 2013)  
3 biased low? Almost all ULS data are obtained under MYI. A2 uses a MY ice density of 882  
4  $\text{kg m}^{-3}$  while A1 and A4 use  $900 \text{ kg m}^{-3}$ . Such a difference in sea ice density can cause a  
5 negative bias in the obtained sea ice draft by 0.2 m (compare blue and brown lines in Figure  
6 7). However, the good agreement between A1 and A4 in mean and median sea ice draft  
7 (Table 5) does not mean these use the perfect combination of input parameters. As we can see  
8 in Figure 7 for A1, agreement between observed and computed sea ice draft varies from  
9 month to month. As stated in Sect. 4.3, RA-2 sea ice draft does not very well capture the  
10 increase in ULS sea ice draft. Generally the increase in RA-2 sea ice draft is smaller than the  
11 increase in ULS sea ice draft. This can have various reasons.

12 The area covered by the BGEP moorings (A, B, C and D) is approximately 4 degrees in  
13 latitude by 10 degrees in longitude while RA-2 SID is computed from an area of 12 degrees in  
14 latitude by 30 degrees in longitude to account for ice type changes due to drift during the  
15 freezing season and to ensure a large enough number of single RA-2 freeboard measurements  
16 (compare Figure 2). Hence RA-2 SID is an average over an almost 10-fold larger area which  
17 can explain the smaller seasonal amplitude.

18 Freeboard-to-thickness conversion is very sensitive to the correct choice of snow depth, e.g.,  
19 Zygmuntowska et al. (2014) and Figure 9 b). We found that W99 snow depth is twice as large  
20 as OIB snow depth over FYI, as already reported by Kurtz and Farrell (2011) and Kurtz et al.  
21 (2013). AMSR-E snow depths over FYI agree with OIB snow depth within 0.02 m. We find  
22 that even over MYI W99 might over-estimate the actual snow depth, as is the case for April  
23 2010. The climatological nature of W99 on the one hand and inter-annual variation of snow  
24 depth on the other hand explains part of the disagreement but more snow depth inter-  
25 comparisons are required to further investigate this finding. It was shown recently that Soil  
26 Moisture and Ocean Salinity (SMOS) satellite data can be used to retrieve snow depth over  
27 thick Arctic sea ice, e.g. MYI (Maaß et al., 2013). Such data could be combined with snow  
28 depth from an AMSR-E sensor type of product. For this, however, a better quantification of  
29 the MYI fraction, than is included in the AMSR-E snow depth product (Cavalieri et al.,  
30 2004), is mandatory. This would not only help to obtain a more realistic snow depth  
31 distribution but it would also help to choose correct sea ice densities (see below). For this  
32 purpose we recommend to carry out an inter-comparison of current sea ice type data sets in



1 the Arctic as can be derived, e.g., from satellite scatterometry like e.g. QuikSCAT (Kwok,  
2 2004; Swan and Long, 2012). For the Envisat RA-2 measurement period QuikSCAT products  
3 can be used. However, for the planned sea ice thickness data set for 1993 until today a  
4 harmonized sea ice type distribution data set needs to be developed, which is free of  
5 inconsistencies or biases due to changes between sensors, such as from ERS1/2 ESCAT to  
6 QuikSCAT to ASCAT.

7 We find that typical variations in sea ice density cause variations in sea ice thickness that are  
8 as large as those caused by snow depth variations. This is different to laser altimetry (Kwok  
9 and Cunningham (2008). Under typical variations we understand the difference between MY  
10 and FY ice densities (Alexandrov et al., 2010) and the difference between snow depth on  
11 MYI compared to FYI (see Table 2). For typical sea ice freeboard values, the typical range in  
12 ice density induces variations in sea ice thickness between 0.4 and 0.8 m (see Figure 9 a).  
13 Hence the freeboard-to-thickness conversion is quite sensitive to the choice of sea ice density.  
14 Consequently, CryoSat-2 sea ice thickness retrieval (Laxon et al., 2013) uses two different sea  
15 ice densities – one for FYI and one for MYI. The sensitivity due to sea ice density can be seen  
16 in Figure 7 which shows differences of up to 0.7 m (March 2004 and March 2005) between  
17 RA-2 sea ice draft calculated using a typical FYI density (black lines) and a typical MYI  
18 density (brown lines).

19 We did not carry out a detailed investigation of the impact of snow density. According to the  
20 W99 climatology and other studies, e.g. Alexandrov et al. (2010), snow density varies  
21 seasonally between  $< 100 \text{ kg m}^{-3}$  (fresh snow) to  $> 400 \text{ kg m}^{-3}$  (old, compacted snow). Snow  
22 density can also vary on short spatial scales. However, in this study satellite RA data is used  
23 to obtain sea ice thickness at 100 km spatial scale and a temporal scale of a month. Therefore  
24 we feel confident to refer to Figure 7 to illustrate the effect of snow density. A variation of  
25 snow density ranges typically over values of 240 to 340  $\text{kg m}^{-3}$ . The change in mean sea ice  
26 draft associated with the snow density range applied is about 0.2 to 0.3 m. This translates into  
27 a bias in sea ice thickness of a magnitude of 0.3 m and suggests to use seasonally varying  
28 snow density when retrieving ice thickness from satellite RA data as is done in this paper.

29 It is important to bear in mind the different spatiotemporal scales which are involved. For  
30 instance, OIB data is obtained at fine spatiotemporal resolution along transects and is  
31 averaged over 50 km long segments for this study (see Sect. 2). RA-2 data, as are used here,  
32 comprise measurements from all overpasses within a month which fall into a disc of 100 km

1 diameter centred at each 50 km OIB track segment. In addition the footprint of a single RA-2  
2 measurement is 2-3 orders of magnitude larger than the footprint of a single OIB  
3 measurement. It is likely that RA-2 data provide an average ice thickness rather than the  
4 actual range of ice thickness values (see Figure 8). This depends, however, on the degree by  
5 which different ice types and ice surface properties impact the radar backscatter and the  
6 waveform (Zygmuntowska et al., 2013, Ricker et al., 2014). More studies need to look into  
7 the different backscatter of sea ice of different type and roughness to quantify the impact of  
8 sea ice property variation on the radar altimeter signal and hence the sea ice freeboard.

9 OIB sea ice thickness is computed using a fixed sea ice density of  $915 \text{ kg m}^{-3}$  (Kurtz et al.,  
10 2013). This density value represents FYI but results in a positive bias in draft and thickness  
11 for MYI because it is about  $30 \text{ kg m}^{-3}$  higher than the average MYI density value suggested,  
12 e.g., by Alexandrov et al. (2010). This makes an assessment of the obtained sea ice thickness  
13 values a difficult task, in particular if the aim is to quantify the impact of different sea ice  
14 density values on the obtained sea ice thickness. Currently, OIB data are the only airborne  
15 data source for contemporary data of freeboard and snow depth.

16 Our interpretation of the CryoVEx data remains inconclusive because the ASIRAS  
17 instrument, which is supposed to sense the ice-snow interface and thus provide an  
18 independent sea ice freeboard measurement, failed to do so. Instead it provided the total  
19 freeboard like the ALS sensor. By means of atmospheric re-analysis data we identify snow  
20 cover property changes as a possible reason for CryoVEx 2011 but not for 2008. This  
21 suggests that even under freezing conditions sensors like Envisat RA-2 or CryoSat-2 might  
22 not sense the sea ice surface. It is likely, that vertical snow density gradients and/or volume  
23 scattering in the snow in general influence the radar signal, resulting in a less distinct signal  
24 from the ice-snow interface or in similarly strong returns from the snow surface or interior as  
25 was shown for Antarctic sea ice by Willatt et al., (2010).

26 We note that almost all sea ice draft data and many of our validation data are from MYI  
27 regions. A real assessment of approaches which include ice-type dependent ice density and  
28 snow depth could therefore not be carried out in a systematic enough way. More work and  
29 more data are required here.

30

## 1 **6 Summary and Recommendations**

2 Satellite radar altimetry (RA) has been providing surface elevation measurements of the  
3 Arctic Ocean for about two decades. With the assumption that these elevation measurements  
4 represent sea ice freeboard these are used to derive sea ice thickness (Laxon et al., 2013;  
5 2003). Here we report about results of an investigation of the sensitivity of satellite RA  
6 freeboard-to-thickness conversion to input parameters and assumptions carried out within the  
7 European Space Agency Climate Change Initiative sea ice Essential Climate Variable project  
8 using Envisat radar altimetry (RA-2). For RA sea ice freeboard uncertainty estimation, which  
9 is not part of the present paper, we refer to, e.g., Peacock and Laxon (2004); Zygmuntowska  
10 et al. (2013); Ricker et al. (2014); Kurtz et al. (2014) and Armitage and Davidson (2014).

11 We found the Warren snow depth climatology (W99, Warren et al., 1999) to be outdated, in  
12 agreement with earlier studies (Kwok et al., 2011; Kurtz and Farrell, 2011). Modal and mean  
13 sea ice draft computed from RA-2 sea ice freeboard using different realizations of the  
14 freeboard-to-draft conversion agree with upward looking sonar observations of the freezing  
15 season (Oct.-Mar.) sea ice draft in the Beaufort Sea within the uncertainty bounds – provided  
16 the realizations include spatiotemporally varying snow depth and density. However, none of  
17 the realizations is able to re-produce the seasonal range in sea ice draft. A change of sea ice  
18 densities and/or snow depths as a function of ice type can improve the agreement with  
19 observed sea ice draft values at the beginning or end of the freezing season but does not have  
20 an impact on the overall seasonal sea ice draft range obtained from RA-2 data. Sea ice  
21 thickness computed from RA-2 sea ice freeboard using different snow depth data sets over-  
22 estimate (under-estimate) small (large) OIB sea ice thickness. An improvement from using ice  
23 type dependent snow depth is not evident in our results but most likely simply needs more  
24 data and a different inter-comparison strategy to be quantified.

25 Some of the independent data used in our study point towards a larger range in sea ice draft  
26 and thickness than observed by RA-2. This results from the impact of different ground  
27 resolutions of the compared sensors. Submarine and airborne sensors have a much finer  
28 sampling of the sea ice along their track; sampling by RA is coarser and in addition depends  
29 on floe size, lead concentration, waveform distortion and surface roughness. Averaging over a  
30 track length of 50 km or 100 km of a submarine or an airborne sensor can only be an  
31 approximation of the variability in sea ice freeboard obtained from RA-2 over a disc with  
32 diameter 100 km. Data from submarine and airborne campaigns cover a few days while RA-2

1 data are averages over a month. More emphasis needs to be put on the choice of the scales  
2 involved both for sea ice thickness computation and validation. Hence, for a better validation  
3 of both sea ice freeboard and thickness products at a spatiotemporal scale of 100 km and a  
4 month more data from airborne campaigns are required. Data from airborne campaigns, which  
5 allow sea ice thickness retrieval, often suffer from i) environmental conditions and their not  
6 yet fully known impact on snow and sea ice physical properties, see our results from  
7 CryoVEx 2008 and 2011; ii) uncertainty sources are not yet well understood (Kurtz et al.,  
8 2013); iii) assumptions and parameters, such as sea ice and snow densities, used for derivation  
9 of sea ice thickness or snow from air-borne data may differ from campaign to campaign and  
10 to space-borne data, and may not be state-of-the-art in view of recent literature (e.g.  
11 Alexandrov et al., 2010; Laxon et al., 2013).

12 We formulate the following recommendations for freeboard-to-thickness conversion using  
13 radar altimetry for the Arctic Ocean:

14 1. The Warren Climatology has to be used carefully. It is not valid over first-year ice and it is  
15 of limited use outside the central Arctic Ocean. The Warren climatology is still valuable when  
16 no other snow estimate is available but we recommend to use the Warren Climatology in  
17 combination with a second data set of snow depth over first-year ice. Furthermore we  
18 recommend that effort should be put in developing an inter-annually varying snow depth and -  
19 density over sea ice product for the ice covered oceans. Snow depth obtained from SMOS  
20 over thick sea ice might be an important contribution here (Maaß et al., 2013).

21 2. Using radar altimetry, the impact of sea ice density on sea ice thickness retrieval is as large  
22 as the impact of snow depth. The difference in sea ice densities of multiyear ice and first-year  
23 ice is large enough to explain a bias in sea ice thickness of the order of 0.5 m or more. It is  
24 recommended to use an ice-type dependent set of sea ice densities. In addition it is important  
25 to also consider the density difference between ridged and level ice. We need many more  
26 measurements of ice density and isostasy across first-year ice and multiyear ice ridges to  
27 derive area-averaged ice densities for ridged sea ice.

28 3. For a sophisticated inter-comparison and validation of the final sea ice thickness product  
29 from satellite altimetry it is mandatory to use independent and preferably non-altimetric  
30 validation data. The amount of such contemporary sea ice draft, snow depth and sea ice  
31 thickness data is clearly sub-optimal and needs to be improved.

1 4. Potential improvement from utilizing new sets of input parameters, e.g. densities, cannot be  
2 quantified without consistent input parameters for freeboard-to-thickness conversion. We call  
3 for a consistent internationally agreed standard set of densities to be used for freeboard-to-  
4 thickness conversion to be applied to air- and space-borne altimeter data.

5

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19

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1 Table 1. Validation data used in the RRDP for sea ice thickness.

Year	Location	Parameter	Source	Acronym
2003-08	Beaufort Sea	Ice draft, snow depth	BGEP moored ULS, AMSR-E	BGEP
Apr 1994	Beaufort Sea	Ice draft	NSIDC U.S. submarine ULS	BS
Oct 1996				
Mar 2007	Fram Strait, Beaufort Sea	Ice draft, snow depth	UCAM UK submarine ULS, AMSR-E	BSS
May 2011	Fram Strait	Ice freeboard, thickness, snow depth	DTU ALS, ASIRAS, AMSR-E	FS
Apr 2008				
2009/10	Western Arctic	Ice freeboard, thickness, snow depth	NSIDC IceBridge	OIB

1 Table 2: Summary of the comparison between OIB, W99, and AMSR-E snow depth in the  
 2 Arctic Ocean. Absolute values are only given for OIB; all other values are differences. All  
 3 values are given together with one standard deviation.

4

Data set	All	MYI (> 65%)	FYI (> 95%)	Can. Arch.
OIB 2009	$(0.26 \pm 0.11)$ m	$(0.36 \pm 0.04)$ m	$(0.16 \pm 0.02)$ m	--
OIB – W99	$(-0.07 \pm 0.11)$ m	$(0.02 \pm 0.04)$ m	$(-0.19 \pm 0.02)$ m	--
OIB – AMSR-E	--	--	$(-0.01 \pm 0.02)$ m	--
W99 – AMSR-E	--	--	$(0.18 \pm 0.03)$ m	--
OIB 2010	$(0.21 \pm 0.07)$ m	$(0.23 \pm 0.05)$ m	$(0.13 \pm 0.02)$ m	$(0.13 \pm 0.04)$ m
OIB – W99	$(-0.13 \pm 0.07)$ m	$(-0.12 \pm 0.05)$ m	$(-0.21 \pm 0.01)$ m	--
OIB – AMSR-E	--	--	$(-0.03 \pm 0.02)$ m	$(-0.01 \pm 0.03)$ m
W99 – AMSR-E	--	--	$(0.18 \pm 0.02)$ m	--

5

1 Table 3: Summary of overall mean observed (OIB) and computed (RA-2) snow freeboard  
2 using OIB or W99 snow depth; given are mean values plus/minus one standard deviation.

3

Data set	Snow freeboard (OIB)	Snow freeboard (RA-2 + OIB snow depth)	Snow freeboard (RA-2 + W99 snow depth)
OIB 2009	$(0.52 \pm 0.15)$ m	$(0.51 \pm 0.10)$ m	$(0.52 \pm 0.07)$ m
OIB 2010	$(0.42 \pm 0.16)$ m	$(0.40 \pm 0.12)$ m	$(0.53 \pm 0.08)$ m

4

1 Table 4: Summary of observed and computed sea ice draft values using standard settings and  
 2 W99 snow parameters; given are mean values plus/minus one standard deviation. The  
 3 respective month the data set is valid for is given in the first column. See Table 1 for data set  
 4 acronyms.

5

Data set	Observed draft (ULS)	Derived draft (RA, RA-2)
BS 1994 (April)	$(2.92 \pm 0.41)$ m	$(2.47 \pm 0.57)$ m
BS 1996 (October)	$(1.68 \pm 0.51)$ m	$(1.81 \pm 0.41)$ m
BSS 2007 (March)	$(2.48 \pm 0.46)$ m	$(2.36 \pm 0.54)$ m
BGEP 2003-2008 (October to March)	$(1.59 \pm 0.42)$ m	$(1.64 \pm 0.25)$ m

6

1 Table 5: Differences of mean and median observed minus computed sea ice draft from  
 2 submarine and moored ULS (see Table 1) and algorithms A1 to A6 applied to radar altimeter  
 3 data for the Arctic Ocean. Algorithms giving the smallest difference are highlighted in bold  
 4 font.

5

Data set	A1	A2	A3	A4	A5	A6
Difference in mean (median) SID [m]	0.13 <b>(0.03)</b>	-0.12 (-0.23)	0.06 (0.04)	0.13 <b>(0.03)</b>	0.49 (0.35)	<b>0.01</b> (-0.13)
BGEP, 2002/03 – 2007/08	<b>-0.01</b> <b>(0.05)</b>	-0.22 (-0.19)	0.02 (0.09)	-0.04 <b>(0.05)</b>	0.16 (0.27)	-0.43 (-0.35)
BSS, 03/2007	<b>0.00</b> <b>(0.01)</b>	-0.22 (-0.24)	0.08 (-0.15)	-0.36 (-0.33)	-0.46 (-0.40)	-0.69 (-0.70)

6

1 Table 6: Summary of comparison between RA-2 sea ice thickness computed using different  
 2 snow depth data sets and OIB sea ice thickness for the Arctic Ocean. Total number of data  
 3 pairs is N=43 for 2009 and N=90 for 2010.

4

Year and Month	Snow data set	R	RMSD [m]	Year and Month	Snow data set	R	RMSD [m]
2009, April	OIB	0.65	0.96	2010, March and April	OIB	0.38	1.52
	W99	0.57	1.00		W99	0.23	1.35
	AMSR-E + W99	0.62	1.02		AMSR-E + W99	0.34	1.41
	KF11	0.62	1.02		KF11	0.34	1.40

5



1 Table 7: Summary of comparison between RA-2 sea ice thickness computed using different  
2 snow depth data sets and OIB sea ice thickness for the Fram Strait area for April 2010. Total  
3 number of data pairs is N=13.

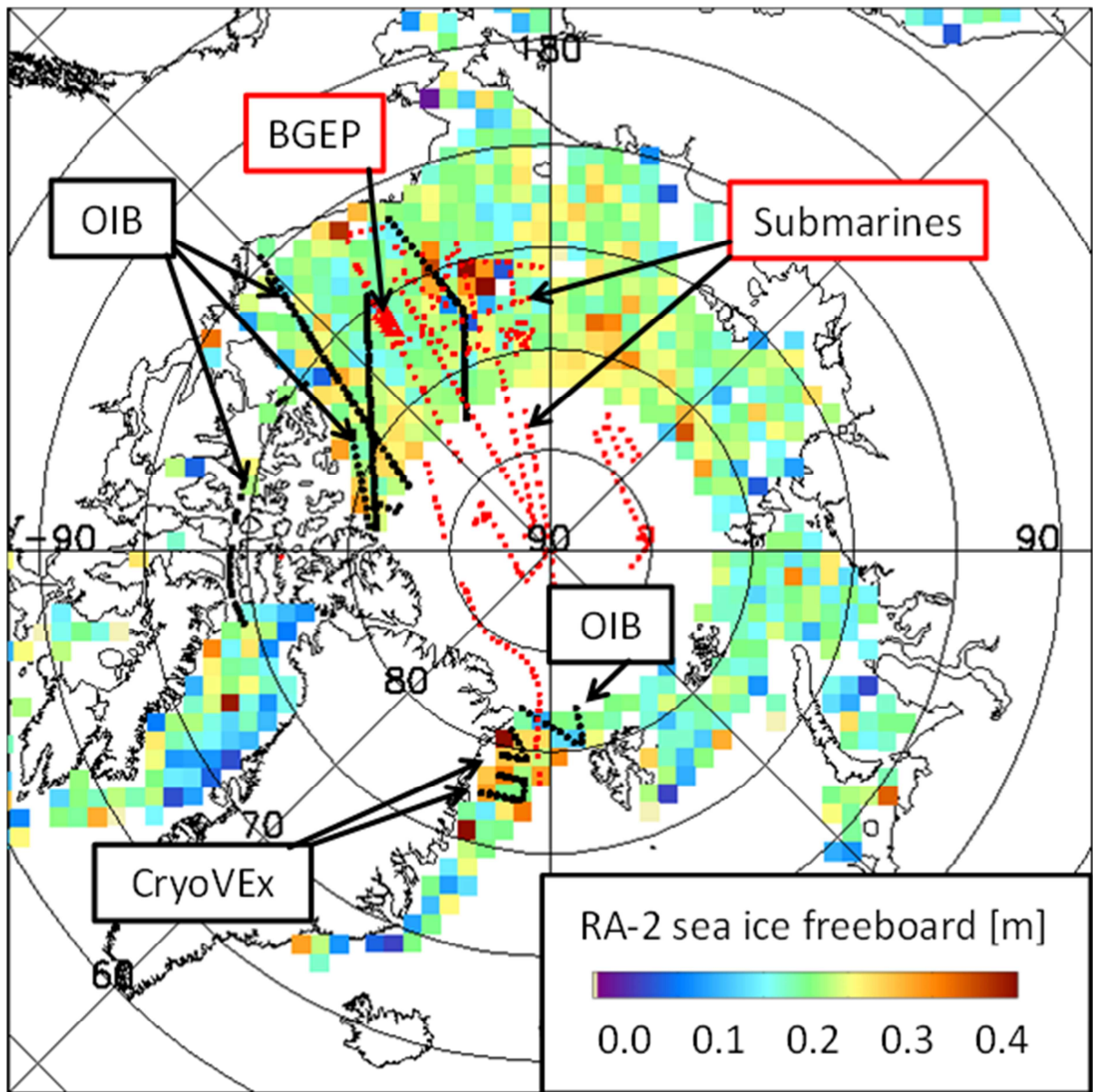
4

Year	Snow data set	R	RMSD [m]
2010	W99	0.80	0.88
	OIB	0.84	1.03

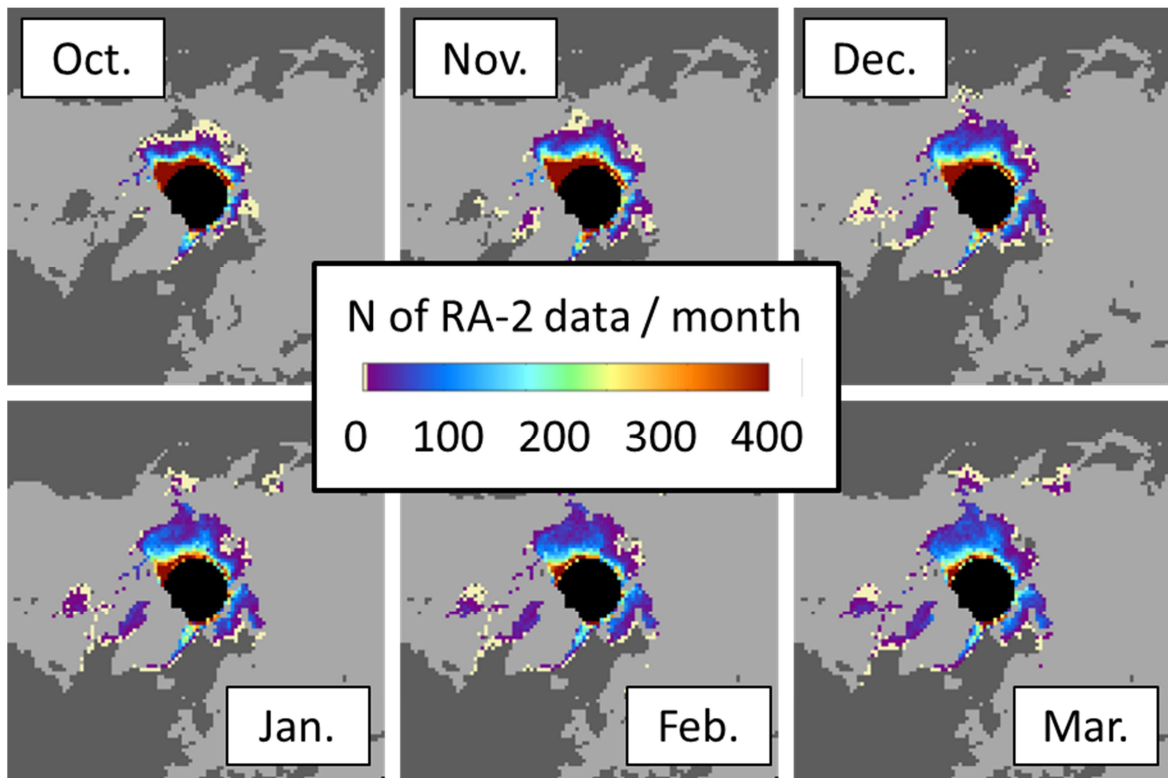
5

6

7

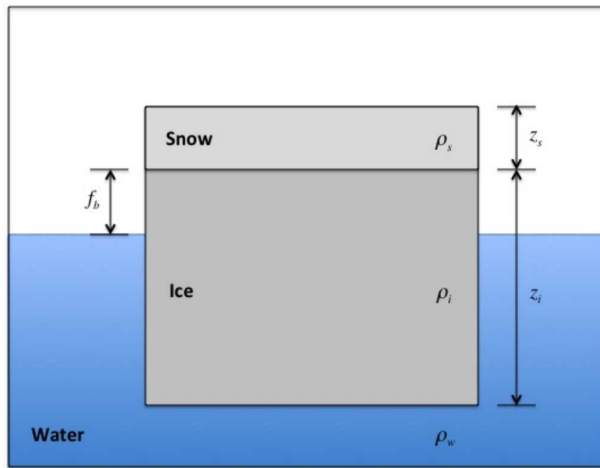


1  
 2 Figure 1: Envisat RA-2 sea ice freeboard distribution for March 2010 superposed with  
 3 locations of campaigns used for our inter-comparison study: airborne campaigns (in black):  
 4 CryoVEx, OIB, and moored and submarine upward looking sonar (ULS) in red: BGEF,  
 5 Submarines. Grid resolution is 100 km. The white circular area around the pole indicates the  
 6 region north of the 81.5N parallel with no Envisat RA-2 data.



1

2 Figure 2: Average number  $N$  of Envisat RA-2 data per 100 km grid cell per month for the  
 3 period 2002/03 to 2011/12.



1

2 Figure 3: Illustration of the parameters involved in sea ice thickness computation using sea ice  
3 freeboard.

4

5

6

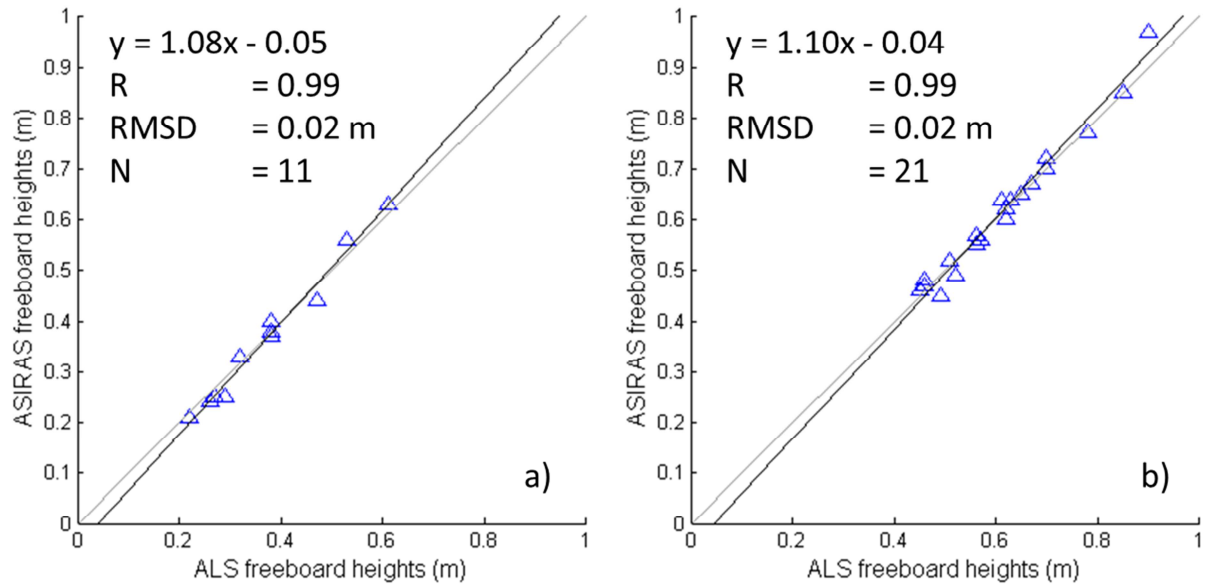
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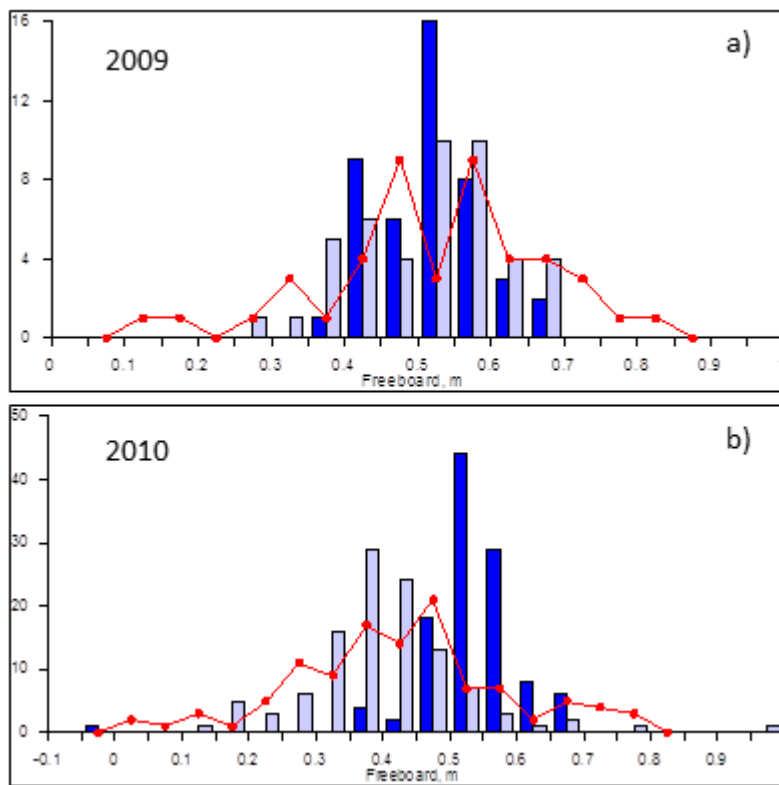
11



1

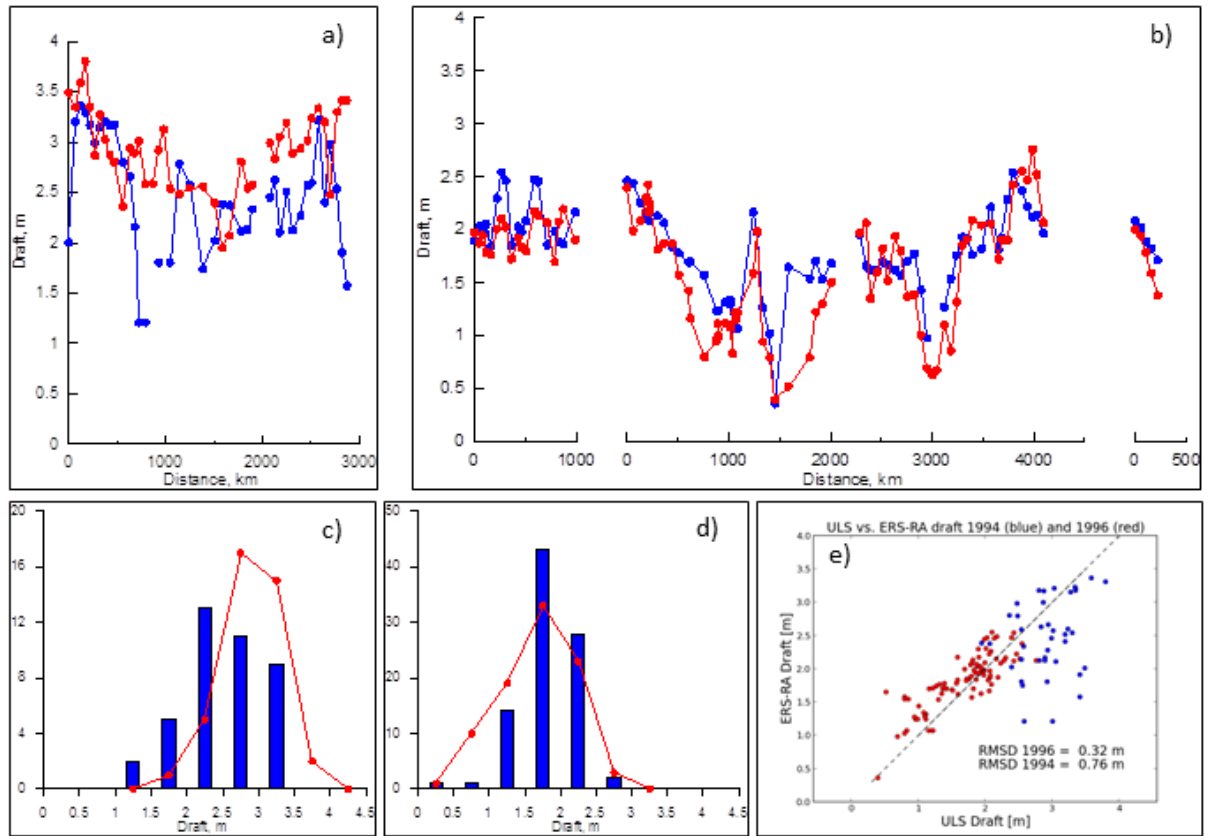
2 Figure 4: Scatterplot ASIRAS versus ALS total freeboard for the CryoVEx campaigns (see  
 3 Figure 1 for location) in 2008 (a) and 2011 (b).

1

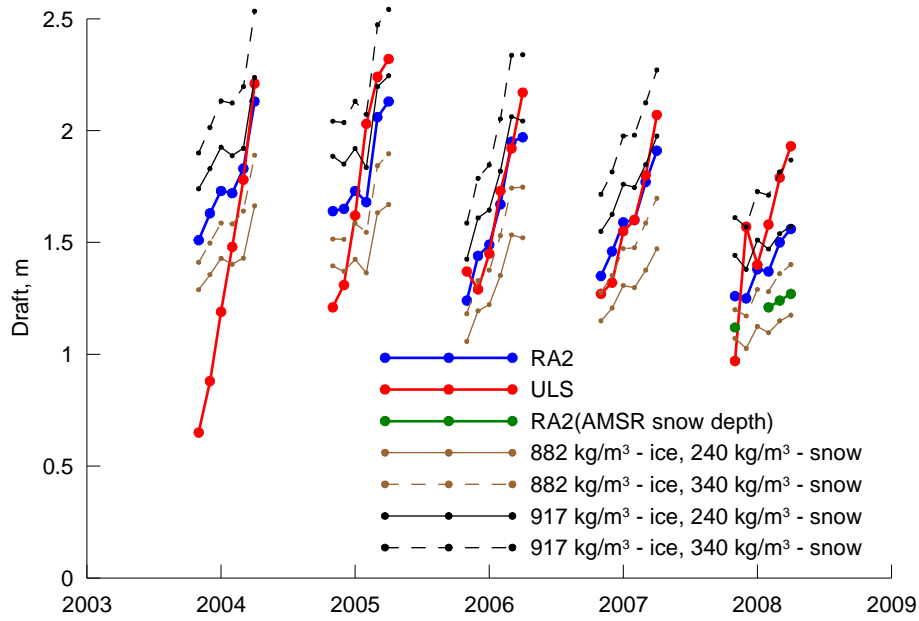


2

3 Figure 5: Histograms of OIB (red lines) and RA-2 (blue bars) freeboard for OIB data from the  
4 Arctic Ocean for 2009 (a) and 2010 (b). RA-2 freeboard is derived using OIB snow depth  
5 (light blue bars) and W99 snow depth (dark blue bars). Both MYI and FYI data are included.  
6 Note the different y-axis scaling.

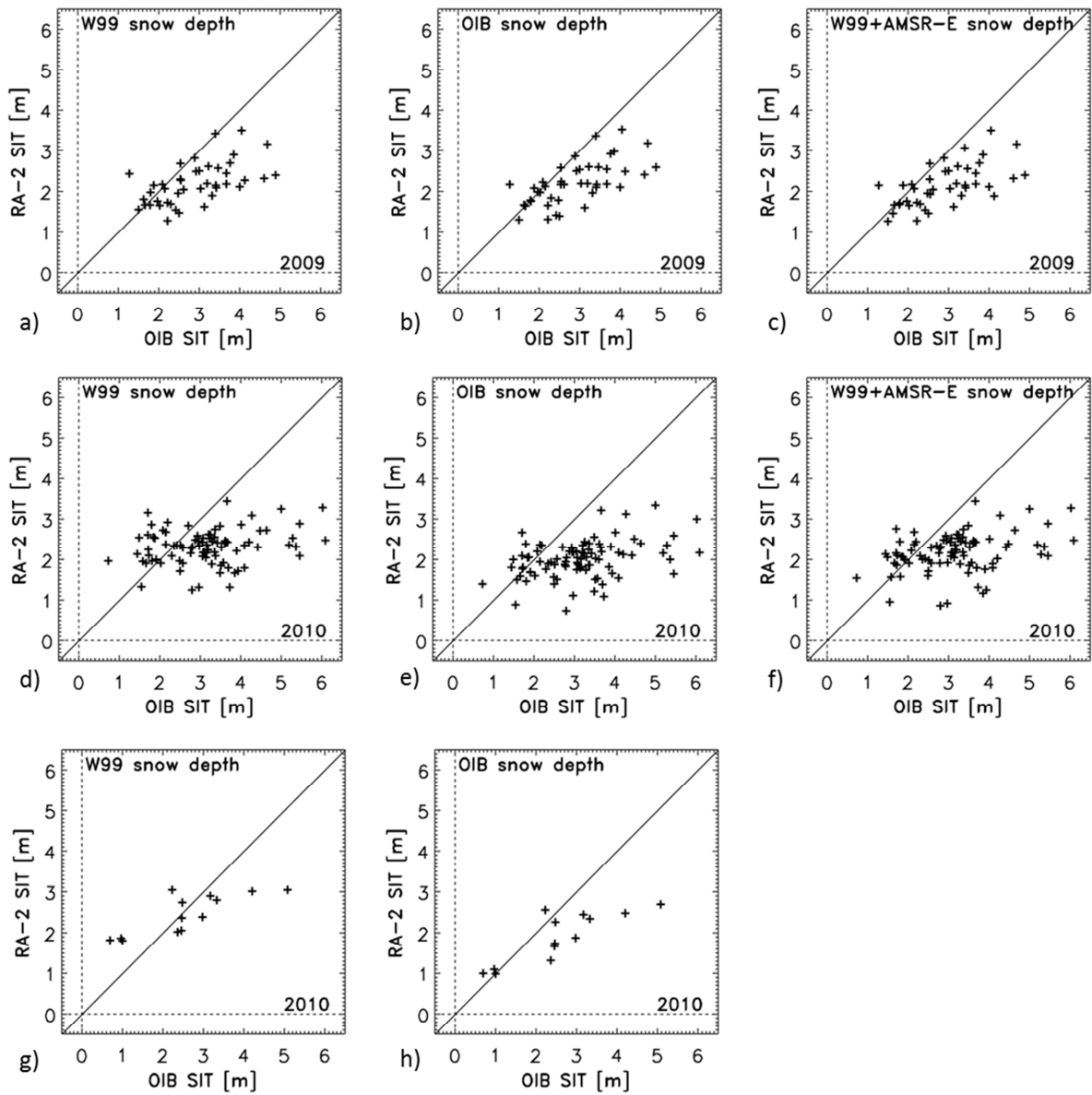


1  
 2 Figure 6: Comparison between sea ice draft observed from U.S. submarine ULS (red) and  
 3 computed from ERS-1 RA sea ice freeboard using W99 snow data (blue). Images a) and b)  
 4 are profiles along submarine track for April 1994 and October 1996, respectively (see also  
 5 Figure 2); Images c) and d) show corresponding histograms. Image e) compares data from  
 6 both cruises for 1994 (blue) and 1996 (red) together with the RMSD.



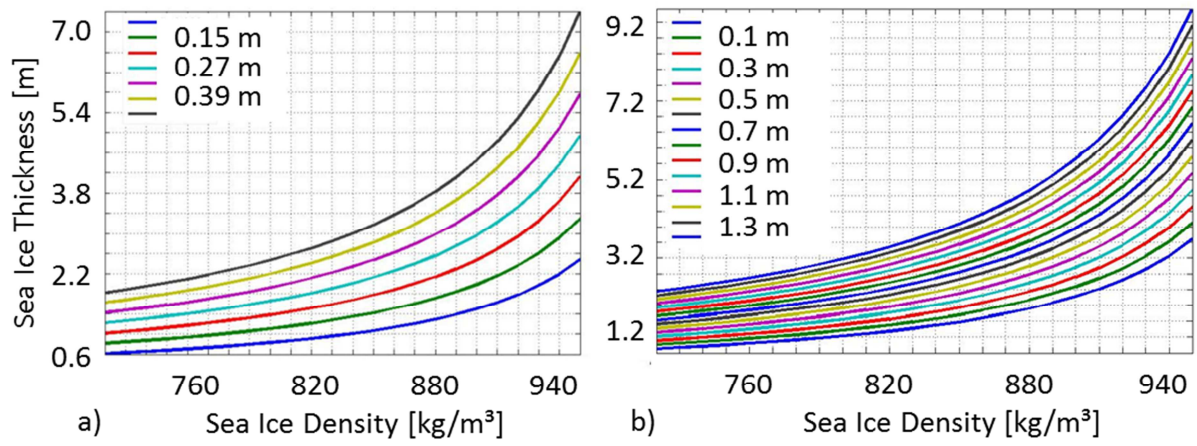
1  
 2 Figure 7: BGEF ULS draft data, averaged to monthly mean for the winter months October to  
 3 March (red) compared to monthly mean draft computed from RA-2 sea ice freeboard using a)  
 4 W99 snow depth and density and standard values:  $\rho_i = 900 \text{ kg m}^{-3}$  and  $\rho_w = 1030 \text{ kg m}^{-3}$   
 5 (blue); b) W99 snow depth but MYI density:  $\rho_i = 882 \text{ kg m}^{-3}$  (brown); c) W99 snow depth and  
 6 FYI density:  $\rho_i = 917 \text{ kg m}^{-3}$  (black); and d) AMSR-E snow depth (green). Note that the latter  
 7 is only possible for FYI areas. For b) and c) snow density is set fixed to either  $240 \text{ kg m}^{-3}$   
 8 (solid lines) or  $340 \text{ kg m}^{-3}$  (broken lines).





1

2 Figure 8: RA-2 sea ice thickness computed using different snow depth data sets versus OIB  
 3 sea ice thickness for 2009 (a to c) and 2010 (d to h). Images a) to f) are for the Arctic Ocean,  
 4 images g) and h) are for the Fram Strait area.



1

2 Figure 9: Sensitivity of sea ice thickness obtained from RA sea ice freeboard sea ice density  
 3 and snow depth. a) Sea ice thickness computed with Eq. 1 for different sea ice freeboard  
 4 values (0.009 m to 0.45 m) and snow depth 0.3 m as function of sea ice density. b) Similar to  
 5 a) but computed for different snow depths (0 m to 1.4 m) and sea ice freeboard 0.27 m as  
 6 function of sea ice density.