

**Three-phase
numerical model for
subsurface
hydrology**

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Three-phase numerical model for subsurface hydrology in permafrost-affected regions

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tainty in future soil moisture conditions after anticipated reorganization of permafrost-affected landscapes through permafrost degradation, thaw-induced subsidence, and hydrologic processes. In order to predict the amount of carbon released to the atmosphere as well as other adverse effects of permafrost degradation, it is therefore important to have the capability to simulate hydrologic response of permafrost-affected regions to an increase in the mean annual temperatures (Kane et al., 1991; Lunardini, 1996; Osterkamp and Romanovsky, 1999; Schuur et al., 2008). Additionally, it is also important to have simulation capability for assessing vulnerability of structures and infrastructures in cold regions where thawing of permafrost can lead to soil consolidation causing considerable damage.

Analytical and numerical models of varying complexity have been used since the 1970s to model water movement in freezing/thawing soils (Nakano and Brown, 1971; Harlan, 1973; Guymon and Luthin, 1974; Jame and Norum, 1980; Zhao et al., 1997; Lu et al., 2001; Ling and Zhang, 2004; Hansson et al., 2004; Zhang et al., 2008; Akbari et al., 2009; Zhou and Zhou, 2010; Dall'Amico et al., 2011; Frampton et al., 2011; Painter, 2011; Sheshukov and Nieber, 2011). These models solve for temperature and ice content using extensions to Richards equation and an equilibrium closure relationship between unfrozen water content and temperature. Closure relationships were empirical in many of these models although closure relations that combine thermodynamic constraints with the unfrozen soil moisture characteristic curves are available and were used in some of the models. All of the aforementioned models focused on small spatial scales (typically on the order of centimeters) and short timeframes (hours to days) and many focused on validation against laboratory data from one-dimensional freezing soil columns. These previous studies thus provide much of the prerequisite understanding for predictive capability for cold-region hydrology.

To understand the evolution of cold-region hydrologic systems, simulations must address spatial scales of tens of meters to kilometers and multi-decadal time frames. Capability to model at those scales is currently limited. The SUTRA-ICE code (McKenzie et al., 2007) was developed specifically for this purpose and has been used in a number

$$\frac{\partial}{\partial t} \left[\phi \left(s_l \eta_l X_w^l + s_g \eta_g X_w^g + s_i \eta_i X_w^i \right) \right] + \nabla \cdot \left[X_w^l \mathbf{v}_l \eta_l + X_w^g \eta_g \mathbf{v}_g \right] - \nabla \cdot \left[\phi s_g \tau_g \eta_g D_g \nabla X_w^g \right] = Q_w, \quad (1a)$$

$$\frac{\partial}{\partial t} \left[\phi \left(s_l \eta_l U_l + s_g \eta_g U_g + s_i \eta_i U_i \right) + (1 - \phi) \rho_r c_r T \right] + \nabla \cdot \left[\mathbf{v}_l \eta_l H_l + \mathbf{v}_g \eta_g H_g \right] - \nabla \cdot [k \nabla T] = Q_e, \quad (1b)$$

where the subscripts l, i, g denote the liquid, ice and gas phases respectively; ϕ is the porosity; s_α ($\alpha = l, i, g$), is the saturation index of the α -th phase; η_α ($\alpha = l, i, g$) is the molar density of the α -th phase; X_w^α ($\alpha = l, i, g$) is the mole fraction of H₂O in the α -th phase; τ_g is the tortuosity of the gas phase; D_g is the diffusion coefficient in the gas phase; T is the temperature (assuming all the phases and the rock are in thermal equilibrium); c_r is the specific heat of the rock; ρ_r is the density of the rock; U_α ($\alpha = l, i, g$) is the molar internal energy of the α -th phase; H_α ($\alpha = l, g$) is the molar enthalpy of the α -th phase; Q_e is the heat source; ∇ is the gradient operator; $\nabla \cdot$ is the divergence operator.

The Darcy velocity for the gas and liquid phases given as follows:

$$\mathbf{v}_g = -\frac{k_{rg} k}{\mu_g} \nabla [\rho_g + \rho_g g z], \quad (2a)$$

$$\mathbf{v}_l = -\frac{k_{rl} k}{\mu_l} \nabla [\rho_l + \rho_l g z], \quad (2b)$$

where k is the absolute permeability; $k_{r\alpha}$ ($\alpha = l, g$) is the relative permeability of the α -th phase; ρ_g, ρ_l are the mass densities of the gas and liquid phases; Q_w is the mass source of H₂O; μ_α ($\alpha = l, g$) is the viscosity of the α -th phase; p_α ($\alpha = l, g$) is the partial pressure of the α -th phase; g is acceleration due to gravity, z is the vertical distance from a reference datum.

Constraint on the saturations of the various phases of water is given by

$$s_l + s_g + s_i = 1. \quad (3)$$

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Furthermore, neglecting the amount of air in liquid and ice phases, we have

$$X_a^l = 0, X_a^i = 0 \Rightarrow X_w^l = 1, X_w^i = 1, \quad (4)$$

where X_a^β ($\beta = l, i$) is the mole fraction of air in β -th phase, and so Eqs. (1) and (2), based on the assumption that ρ_g is hydrostatic (i.e., $\rho_g = (\rho_g)_0 - \rho_g g z$; $(\rho_g)_0$ is 1 atm), reduce to

$$\frac{\partial}{\partial t} \left[\phi \left(s_g \eta_g X_w^g + s_l \eta_l + s_i \eta_i \right) \right] + \nabla \cdot [\mathbf{v}_l \eta_l] - \nabla \cdot \left[\phi s_g \tau_g \eta_g D_g \nabla X_w^g \right] = Q_w, \quad (5a)$$

$$\frac{\partial}{\partial t} \left[\phi \left(s_l \eta_l U_l + s_g \eta_g U_g + s_i \eta_i U_i \right) + (1 - \phi) \rho_l c_r T \right] + \nabla \cdot [\mathbf{v}_l \eta_l H_l] - \nabla \cdot [\kappa \nabla T] = Q_e, \quad (5b)$$

$$\mathbf{v}_l = -\frac{k_{rl} k}{\mu_l} \nabla [\rho_l + \rho_l g z]. \quad (5c)$$

In the above formulation, temperature and liquid pressure are chosen to be primary variables. With this approach, one does not have to change the primary variables based on the phases present; such a method, also known as variable switching, is typically used in multi-component, multi-phase systems (e.g., Painter, 2011).

2.2 Constitutive relations

In addition to the previously described balance equations, constitutive relations are required to model non-isothermal, multiphase flow of water. Relations for mole fraction of water vapor, saturations of the phases, thermal conductivity, relative permeability and water vapor diffusion coefficient are specified in this section.

The mole fraction of water in vapor phase is given by the relation,

$$X_w^g = \frac{\rho_v}{\rho_g}, \quad (6)$$

where ρ_v is the vapor pressure, ρ_g is the gas pressure (since we are interested in near-surface regions, for our calculations we shall assume that $\rho_g = 1$ atm throughout

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and (8b) match well with the experimental results for liquid water content as a function of temperature for different total water content values as measured in Watanabe and Wake (2009) and Wen et al. (2012). Although the constitutive equations for calculating the saturations of ice, water and vapor are implicit in nature, closed-form expressions for the derivatives of these saturations with respect to temperature and liquid pressure can be derived, as shown in Appendix A. These derivatives are used for Jacobian evaluation when the partial differential equations (5) are solved using temperature (T) and liquid pressure (p_l) as the primary unknown variables.

For S_* , we use van Genuchten's model (van Genuchten, 1980), as follows:

$$S_* = \begin{cases} [1 + (\alpha P_c)^\gamma]^{-\lambda}, & P_c > 0 \\ 1, & P_c \leq 0 \end{cases} \quad (9)$$

with the Mualem model (Mualem, 1976) for the relative permeability of liquid water,

$$k_{rl} = (s_l)^{\frac{1}{2}} \left[1 - \left(1 - (s_l)^{\frac{1}{\lambda}} \right)^\lambda \right]^2, \quad (10)$$

where λ , α are parameters, with $\gamma = \frac{1}{1-\lambda}$. Note that from Eq. (9), S_* is non-zero for finite values of P_c . This ensures that complete dry-out does not occur, and that liquid (even if the liquid saturation is very small) is present at all times.

The thermal conductivity for the frozen soil is chosen to be (Painter, 2011)

$$\kappa = K e_f \kappa_{wet,f} + K e_u \kappa_{wet,u} + (1 - K e_u - K e_f) \kappa_{dry}, \quad (11)$$

where $\kappa_{wet,f}$, $\kappa_{wet,u}$ are the liquid- and ice-saturated thermal conductivities, κ_{dry} is the dry thermal conductivity, $K e_f$, $K e_u$ are the Kersten numbers in frozen and unfrozen conditions and are assumed to be related to the ice and liquid saturations by power law relations as follows

$$K e_f = (s_i)^{\alpha_f}, \quad (12a)$$

$$K e_u = (s_l)^{\alpha_u}, \quad (12b)$$

with α_f , α_u being the power law coefficients.

The gas diffusion coefficient D_g is assumed to depend on temperature and pressure as follows:

$$D_g = D_g^0 \left(\frac{P_{\text{ref}}}{P} \right) \left(\frac{T}{T_{\text{ref}}} \right)^{1.8}, \quad (13)$$

where D_g^0 is the reference diffusion coefficient at some reference temperature, T_{ref} , and pressure P_{ref} .

2.3 Description of PFLOTRAN

PFLOTRAN (Lichtner et al., 2013) is a massively parallel multi-phase, multi-component, surface-subsurface flow, geomechanics and reactive transport code. The continuum mass, energy (or flow equations) for multiple components including water, supercritical CO_2 , are sequentially coupled to the reactive chemistry equations for a network of geochemical components. The continuum partial differential equations are spatially discretized (for both structured and unstructured grids) using a finite volume technique, and backward Euler scheme is used for time discretization. The discretized equations at each implicit time step reduce to a set of non-linear algebraic equations which are iteratively solved using an inexact Newton–Krylov method in PFLOTRAN. PFLOTRAN is written in modular, object-oriented Fortran9X. Parallelization is done using domain decomposition by implementing the PETSc toolkit which takes care of communication between processor core along with providing solvers for the linear and non-linear equations. PFLOTRAN performs parallel I/O via both collective HDF5 calls and direct MPI-IO calls inside the PETSc routines. Information regarding PFLOTRAN installation and user documentation can be obtained from <http://www.pflotran.org> and PFLOTRAN can be downloaded from <https://bitbucket.org/pflotran/pflotran-dev/>.

2.4 Solution methodology

The system (5a) and (5b) can be written in the form (assuming no source/sink)

$$\frac{\partial \mathcal{A}}{\partial t} + \nabla \cdot \mathcal{F} = 0, \quad (14)$$

5 where \mathcal{A} , \mathcal{F} are the accumulation and flux terms. Eq. (14) is discretized using finite volume method with backward Euler temporal discretization, to obtain the following form:

$$\left[\frac{\mathcal{A}_n^{(i+1)} - \mathcal{A}_n^{(i)}}{\Delta t} \right] V_n + \sum_{n'} \mathcal{F}_{nn'}^{(i+1)} A_{nn'} = 0, \quad (15)$$

10 where the superscript i denotes the time-step, the subscript n denotes the cell n , and n' being the neighboring cell to cell n , $A_{nn'}$ denotes the area of the interface between the cells n and n' , V_n is the volume of the cell n , \mathcal{A}_n is the accumulation term in the n -th cell, $\mathcal{F}_{nn'}$ is the flux term across the interface between the cells n and n' . Finite difference is used to calculate the gradients in the flux term, and the material properties in $\mathcal{F}_{nn'}$ is based on the intercell average. The gradient terms in \mathcal{F} are discretized using a two-point flux approximation between the neighboring grid cells. This requires the flux to be orthogonal to the face common to the grid cells. The two discretized set of equations are solved in a fully coupled fashion using inexact Newton–Krylov method. The calculations shown in Appendix A are used for the calculation of Jacobian (needed in the Newton–Krylov method), namely, for derivatives of \mathcal{A}_n and $\mathcal{F}_{nn'}$ with respect to ρ_1 and T .

15 The intercell averages for the flux terms are calculated as follows: k is harmonic averaged, $(\tau\phi)$ is harmonic averaged, η_l , ρ_1 , k_r , u_h are upwinded, κ is harmonic averaged. For the gas diffusion term, the coefficient for the gradient $(\phi s_g \tau_g \eta_g D_g)$ is chosen based on values from the cell with smaller X_g (see Painter, 2011, for the reasoning behind this averaging), η_g is calculated using the temperature in the cell assuming ideal gas law and by assuming that the gas pressure is 1 atm.

temperature of -5°C , was used. Figure 2 shows experimental and numerical results for the water content (by dry weight) as a function of position for 6, 24 and 72 h. The temperature profiles are also compared at the three instances in time. The same set of parameters, listed in Fig. 2, were used for all the three tests. A good comparison can be seen between the numerical results and experiments for both water content as well as the temperature. The differences in the water content at the cold end of the tube has been seen previously by others (Jame and Norum, 1980; White and Oostrom, 2006; Painter, 2011).

4 Our approach vs. two-component approach

In this section, two configurations are considered to compare the results from the current approach with the two-component (air–water) approach based on Painter (2011).

4.1 1-D horizontal domain

First, we shall consider the one-dimensional horizontal experiment by Jame and Norum (1980) discussed in Sect. 3. The comparison between PFLOTRAN and the results from a two-component approach are shown in Fig. 3. Overall a good match can be seen with minor differences in the solution at the boundaries and at the freezing front. This demonstrates that the single-component Richards model is adequate for this application.

4.2 2-D domain

In the one-dimensional simulations summarized in Sect. 4.1, the single-component model gave very similar results to the more complete two-component model (Painter, 2011) that accounts for advective transport of water vapor. However, a comparison between the two models in a one-dimensional configuration is not very demanding because excursions in gas-phase pressure, which are neglected in the Richards-based

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component, passive-gas approximation is adequate for the purposes of modeling water dynamics in Earth permafrost. This is in contrast to applications involving the hydrologic system of Mars, which were found to be sensitive to advective transport of water in the vapor phase (Grimm and Painter, 2009).

5 Effect of vapor diffusion

To study the effect of vapor diffusion on the formation and evolution of permafrost, a one-dimensional vertical column of height 30 m was considered. The domain was initialized with a water-table at a height of 15 m and a temperature of 1 °C. A geothermal heat flux of 100 mW m⁻² was applied along with a no flow boundary condition at the bottom of the domain. A temperature of -5 °C was applied at the top with no infiltration. The simulation was run to 3000 yr. The temperature and ice saturation profiles for cases with and without vapor diffusion are shown in Figs. 5 and 6. For the case without vapor diffusion, as the temperature in the vadoze zone between $z = 15$ and $z = 20$ dropped below freezing, the vapor converted into ice, and a thin ice layer starts to form. The position and thickness of the ice layer does not change significantly as a very small increase in the ice content is seen. On the other hand, for the case with diffusion, the thickness of the ice layer increases with time. Also, the fraction of ice in this layer can be seen to increase significantly. This is due to two mechanisms: the first being that the vapor layer below the ice layer diffuses to the bottom of the ice layer which is cooler as seen in Fig. 6b, and second that a feedback from soil thermal conductivity causes further decrease in temperature, which in turn increases ice layer thickness as well as ice content. This feedback from soil thermal conductivity is primarily due to its dependence on ice saturation. Furthermore, for the case with diffusion, as seen in Fig. 6b the diffusion of vapor to a cooler region of the domain causes the height of the water table to decrease.

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6 Three-dimensional simulations

6.1 Freezing and thawing of active-layer with seasonal variation

In this section, a three-dimensional domain that uses surface topography from Barrow, AK (see Fig. 7) is considered. A sinusoidal temperature variation with a mean annual temperature of -1°C and an amplitude of 30°C is applied at the top boundary. The size of the domain is $25\text{ m} \times 25\text{ m}$ in the horizontal plane with height varying between $4.2\text{--}4.6\text{ m}$. An infiltration of 10 mm yr^{-1} is applied when the temperature in the top boundary is above 0°C . At the bottom, a geothermal heat flux of 100 mW m^{-2} with no fluid flow is applied. A seepage boundary condition with no heat conduction is applied on the sides. The domain is discretized using a structured grid with $101 \times 101 \times 200$ cells. The cells above the height of the topography are set inactive. The material parameters considered are: permeability = $1.3 \times 10^{-13}\text{ m}^2$, thermal conductivity (dry) = $0.25\text{ W m}^{-1}\text{ K}^{-1}$, thermal conductivity (wet) = $1.3\text{ W m}^{-1}\text{ K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $2.36\text{ W m}^{-1}\text{ K}^{-1}$, porosity = 0.45 , rock density = 2700 kg m^{-3} , specific heat = $837\text{ J kg}^{-1}\text{ K}^{-1}$, $\lambda = 0.5$, $\alpha = 1 \times 10^{-4}\text{ Pa}^{-1}$. For this configuration, there is no diffusion in the gas phase. This problem with approximately 2 million cells (about 6 million degrees of freedom) was run to about 21 yr simulation time using 648 processor cores on the *Mustang* supercomputer at Los Alamos National Laboratory. The time taken for this simulation was approximately 60 h. Figures 8–10 show the saturations of ice and gas during different seasons. Only the top 2 m of the domain, is shown for the sake of clarity. During winter the soil is completely filled with ice and as the temperature on the top region warms in spring, the ice in the top melts. In summer, the ice melts to a depth of around $0.8\text{--}1\text{ m}$. As the top temperature cools down in the fall season, the ice layer starts to freeze from the top to an essentially completely frozen state in winter. Reasonably high amounts of gas are seen in the top layer during spring, summer and early fall seasons with the peak being in summer. Previous models such as SUTRA-ICE cannot capture this effect since gas

is not tracked in their formulation. Figure 8b also clearly shows the formation of ice in the topmost part of the domain in early fall. Additionally, a point to be noted from this simulation is that even though ice was initially present in the entire domain as an initial condition, a cyclic profile was reached fairly quickly (in about 5 yr) and then the active layer generally followed the surface topography.

6.2 Model initialization

One main challenge that a modeler faces while simulating three-dimensional freezing models is picking the initial conditions for the system. To reach a cyclic steady state solution (typically, the boundary conditions are somewhat cyclic in nature due to seasonal variations, similar to the example presented in Sect. 6), the simulation run time depends on how one initializes the system. The following are various model initialization strategies that one could use:

- Start with a fully frozen state.
- Start with a fully unfrozen state. From our experience, we found that with this initialization the simulation took a much longer time to reach a cyclic profile, since, numerically, freezing is a harder problem than thawing; so, the time step for freezing is usually much smaller compared to thawing, and hence it takes more steps to reach a cyclic steady state.
- Calculate the saturations of liquid, ice and vapor phases in a one-dimensional vertical column under steady-state and map them to the three-dimensional domain. The governing equations for a vertical column under steady-state assumptions reduce to a set of coupled ordinary differential equations which can be easily solved to obtain the phase saturations (see Appendix B).

pirical freezing curves, as those empirical freezing curves would need to be developed anew for each application in contrast to the soil water characteristic curve, which may be estimated from information about soil texture.

Although the gas phase is passive in the implementation described here, as it is in Richards equation, diffusion of water vapor is included. In our one-dimensional simulations of Sect. 5, vapor diffusion had a surprisingly large effect on the subsurface soil moisture dynamics in unsaturated conditions. The sensitivity to the vapor diffusion process results partially from a dependence of the thermal conductivity model on ice content. As vapor diffuses to cold regions and cold traps as ice, the thermal conductivity increases, which decreases the soil temperature during winter and further increases vapor cold trapping. However, the vapor diffusion model used here is approximate. Further evaluation of the importance of vapor diffusion for Arctic soils using better vapor diffusion models (e.g., Webb and Ho, 1998) is thus needed.

The work described here focuses on highly parallel subsurface hydrology without consideration of surface flows. As Painter et al. (2012) discuss, a comprehensive modeling capability for hydrology in permafrost-affected regions will also require representation of surface flow, surface energy balance, and evolution of topography caused by thawing of permafrost and melting of ground ice. Those important couplings will be addressed in the future.

Appendix A

Derivatives of saturations with pressure and temperature

When numerically solving the governing partial differential equations, with temperature (T) and liquid pressure (p_l) being the primary variables, one has to take the derivatives of the saturations (of ice, water and vapor) with respect to T and p_l . Although the constitutive relations for the saturations are implicit in nature, in what follows we will show that one can derive closed form expressions for the derivatives. Using analytical

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Following a similar procedure, $\frac{\partial s_i}{\partial T}$ and $\frac{\partial s_i}{\partial T}$ are given by

$$\frac{\partial s_i}{\partial T} = \frac{1}{T_0} \frac{-\mathcal{L}\mathcal{M}}{\mathcal{L}\mathcal{N} + (1 - \mathcal{L}\mathcal{N})S_*(\rho_g - \rho_l)}, \quad (\text{A6a})$$

$$\frac{\partial s_l}{\partial T} = \frac{1}{T_0} \frac{\mathcal{L}\mathcal{M}S_*(\rho_g - \rho_l)}{\mathcal{L}\mathcal{N} + (1 - \mathcal{L}\mathcal{N})S_*(\rho_g - \rho_l)}, \quad (\text{A6b})$$

5 with

$$\mathcal{L} = \frac{\partial S_*(\beta)}{\partial(\beta)}, \quad (\text{A7a})$$

$$\mathcal{M} = \beta\rho_l L_f H(-\vartheta) + \beta\rho_l L_f \vartheta \frac{\partial H(-\vartheta)}{\partial \vartheta}, \quad (\text{A7b})$$

$$\mathcal{N} = \frac{\partial S_*^{-1}(c)}{\partial(c)}. \quad (\text{A7c})$$

10 Appendix B

Steady-state solution to one-dimensional vertical column

In this section, the steady-state equations for a one-dimensional vertical column are presented and the solution for the obtained coupled ordinary differential equations are derived. The solution to these equations can be used to initialize the model domain.

15 Under steady-state and assuming there are no mass/energy sources, Eq. (5) reduces

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to

$$\frac{d}{dz} \left(v_l \eta_l - \phi s_g \tau_g \eta_g D_g \frac{dX_w^g}{dz} \right) = 0, \quad (\text{B1a})$$

$$\frac{d}{dz} \left(v_l \eta_l H_l - \kappa \frac{dT}{dz} \right), \quad (\text{B1b})$$

$$v_l = -\frac{k_{rl} k}{\mu_l} \frac{d}{dz} (\rho_l - \rho_l g z). \quad (\text{B1c})$$

Integrating Eqs. (B1a) and (B1b), we get

$$v_l \eta_l - \phi s_g \tau_g \eta_g D_g \frac{dX_w^g}{dz} = m_0, \quad (\text{B2a})$$

$$v_l \eta_l H_l - \kappa \frac{dT}{dz} = e_0, \quad (\text{B2b})$$

where m_0 , e_0 are constant mass and energy fluxes. The mole fraction of water vapor X_w^g can be calculated using (without including the lowering factor due to capillary effects)

$$X_w^g = \frac{P_{\text{sat}}(T)}{p_g} \Rightarrow \frac{dX_w^g}{dz} = \frac{1}{p_g} \frac{dP_{\text{sat}}}{dT} \frac{dT}{dz}. \quad (\text{B3})$$

Using Eqs. (B3) and (B1c) in Eq. (B2), we get the following ordinary differential equations

$$-\frac{dp_l}{dz} = \frac{\mu_l}{k_{rl} k \eta_l} \left[m_0 + \phi s_g \tau_g \eta_g D_g \frac{1}{p_g} \frac{dP_{\text{sat}}}{dT} \frac{dT}{dz} \right] - \rho_l g, \quad (\text{B4a})$$

$$-\kappa \frac{dT}{dz} + \left[m_0 + \phi s_g \tau_g \eta_g D_g \frac{1}{p_g} \frac{dP_{\text{sat}}}{dT} \frac{dT}{dz} \right] H_l = e_0. \quad (\text{B4b})$$

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For known mass and energy fluxes (m_0 , e_0), Eq. (B4b) can be used to solve for temperature (T) as a function of z . Using this temperature profile, liquid pressure (p_l) can be then evaluated using Eq. (B4a). Once p_l , T are known as functions of z , liquid, ice and water vapor saturations can be evaluated using Eq. (8).

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15 Barrow.

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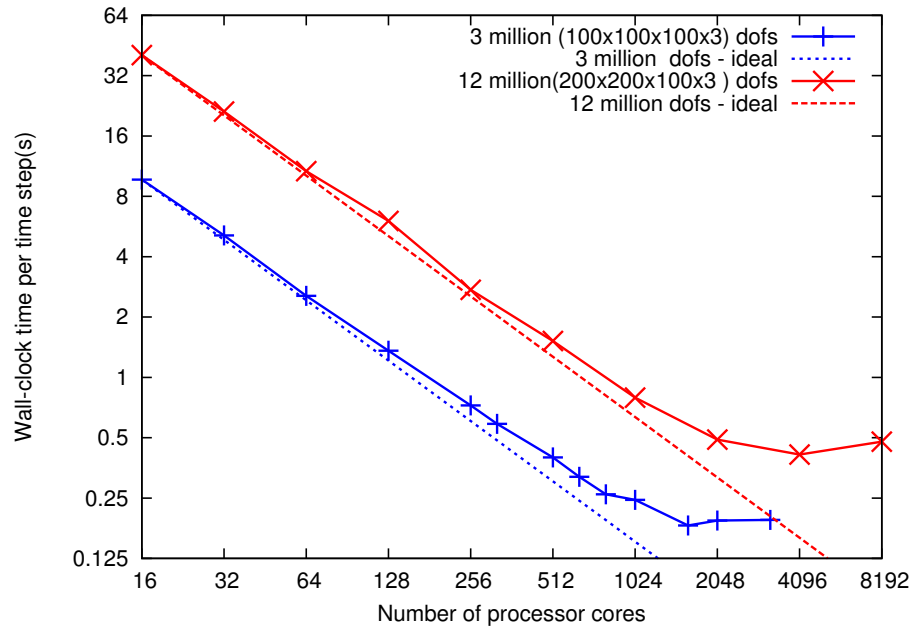


Fig. 1. Strong scaling performance of PFLOTRAN using *Jaguar* Cray XK6 supercomputer at Oak Ridge National Laboratory for the non-isothermal, multiphase (ice, vapor and liquid) subsurface water flow problem (no I/O). Domain sizes with 3 million and 12 million degrees of freedom are considered. The code scales well to approximately 3000 degrees of freedom per processor core in both the cases.

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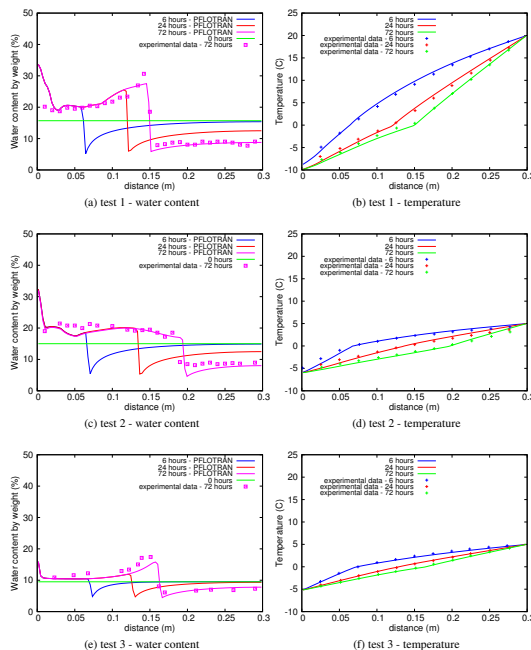


Fig. 2. Comparison of simulated results from PFLOTRAN with laboratory experiments of Jame and Norum (1980) with simulated data shown in solid curves and experimental data shown with points. The parameters used are: permeability = $3.5 \times 10^{-12} \text{ m}^2$, thermal conductivity (dry) = $0.25 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (wet) = $2.3 \text{ W m}^{-1} \text{ K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $3.6 \text{ W m}^{-1} \text{ K}^{-1}$, porosity = 0.5, rock density = 2700 kg m^{-3} , specific heat = $837 \text{ J kg}^{-1} \text{ K}^{-1}$, tortuosity = 0.01. The van Genuchten parameters used were $\alpha = 2 \times 10^{-4} \text{ Pa}^{-1}$ and $\lambda = 0.39$.

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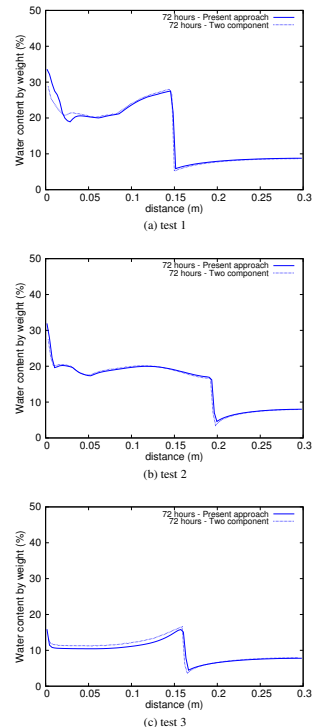


Fig. 3. Comparison of simulated results from present approach with two-component approach in Painter (2011). The parameters used are: permeability = $3.5 \times 10^{-12} \text{ m}^2$, thermal conductivity (dry) = $0.25 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (wet) = $2.3 \text{ W m}^{-1} \text{ K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $3.6 \text{ W m}^{-1} \text{ K}^{-1}$, porosity = 0.5, rock density = 2700 kg m^{-3} , specific heat = $837 \text{ J kg}^{-1} \text{ K}^{-1}$, tortuosity = 0.01. The van Genuchten parameters used were $\alpha = 2 \times 10^{-4} \text{ Pa}^{-1}$ and $\lambda = 0.39$.

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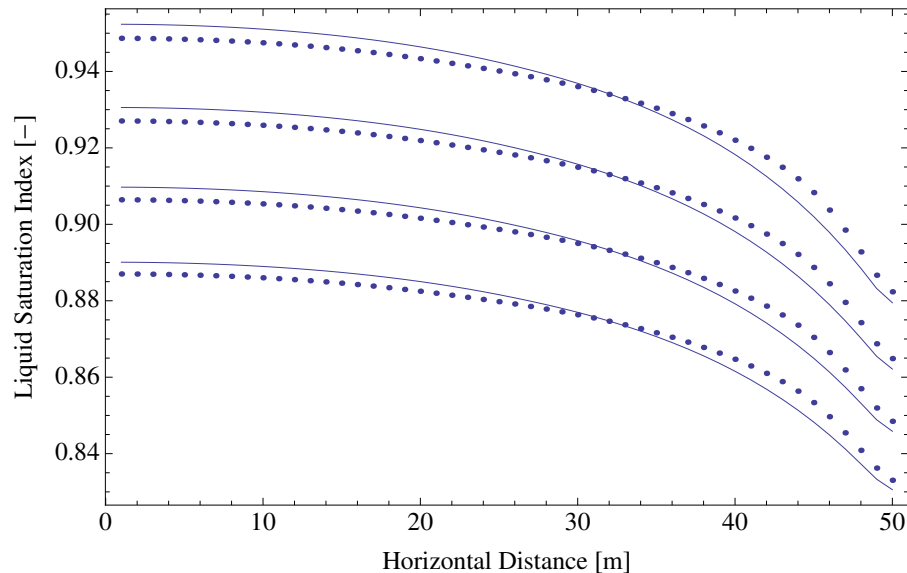


Fig. 4. Comparison of current approach (solid) with two component air-water approach based on (Painter, 2011). The following properties were used: permeability = $3.2 \times 10^{-12} \text{ m}^2$, porosity = 0.53, thermal conductivity (dry) = $0.067 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (wet) = $1.23 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (frozen) = $2.08 \text{ W m}^{-1} \text{ K}^{-1}$, rock density = 2500 kg m^{-3} , specific heat = $735 \text{ J kg}^{-1} \text{ K}^{-1}$, van Genuchten $\alpha = 7.1 \times 10^{-5} \text{ Pa}^{-1}$, van Genuchten $\lambda = 0.22$.

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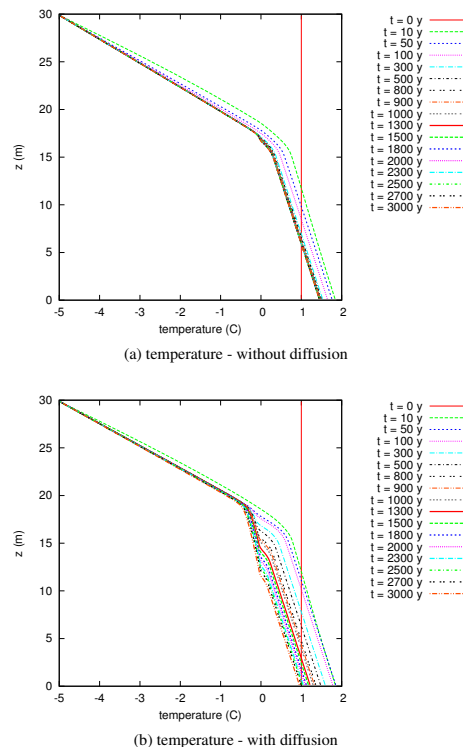
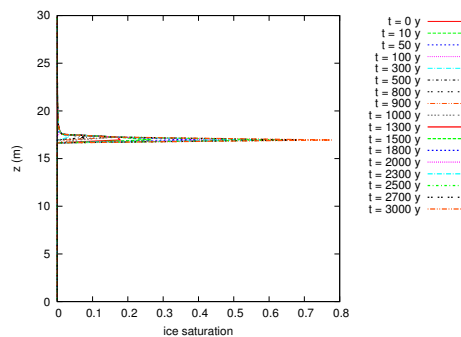


Fig. 5. Comparison of temperature profiles for the cases with and without vapor diffusion for the one-dimensional vertical domain. The parameters used are: permeability = $1.3 \times 10^{-13} \text{ m}^2$, thermal conductivity (dry) = $0.25 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (wet) = $1.3 \text{ W m}^{-1} \text{ K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $2.36 \text{ W m}^{-1} \text{ K}^{-1}$, porosity = 0.45, rock density = 2700 kg m^{-3} , specific heat = $837 \text{ J kg}^{-1} \text{ K}^{-1}$, $\lambda = 0.721$, $\alpha = 2.8 \times 10^{-4} \text{ Pa}^{-1}$. Tortuosity is set to 1 for the case with diffusion turned on.

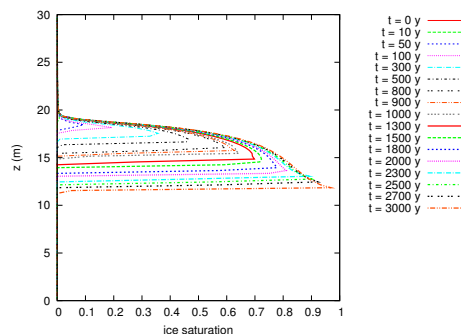
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(a) ice saturation - without diffusion



(b) ice saturation - with diffusion

Fig. 6. Comparison of ice saturation profiles for the cases with and without vapor diffusion for the one-dimensional vertical domain. The parameters used are: permeability = $1.3 \times 10^{-13} \text{ m}^2$, thermal conductivity (dry) = $0.25 \text{ W m}^{-1} \text{ K}^{-1}$, thermal conductivity (wet) = $1.3 \text{ W m}^{-1} \text{ K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $2.36 \text{ W m}^{-1} \text{ K}^{-1}$, porosity = 0.45, rock density = 2700 kg m^{-3} , specific heat = $837 \text{ J kg}^{-1} \text{ K}^{-1}$, $\lambda = 0.721$, $\alpha = 2.8 \times 10^{-4} \text{ Pa}^{-1}$. Tortuosity is set to 1 for the case with diffusion turned on.

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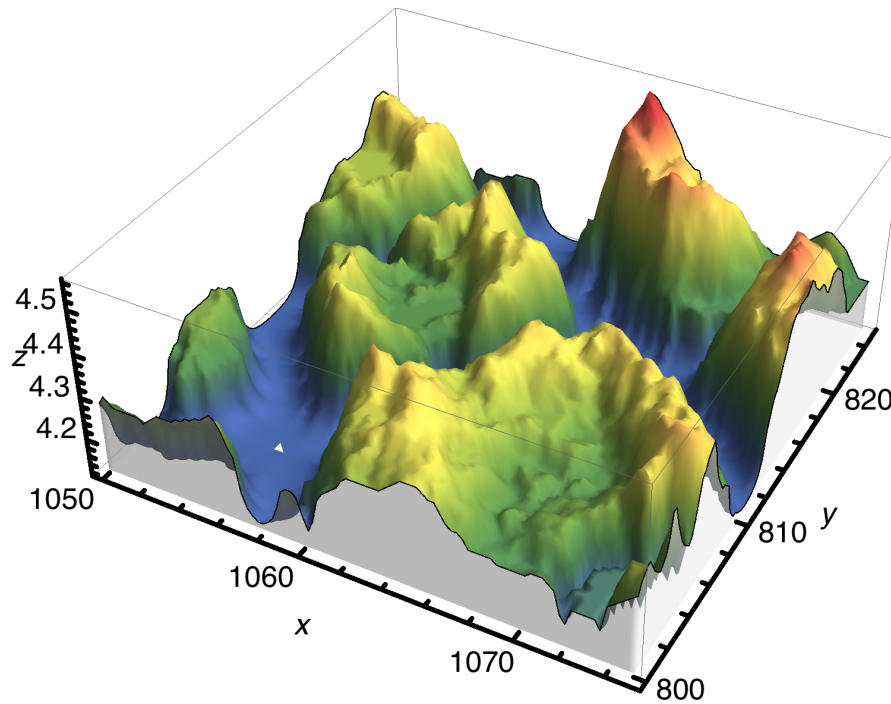


Fig. 7. Three dimensional domain based on surface topography measured using LiDAR from Barrow, AK (Tweedie, 2012). The size of the domain in the horizontal plane is 25m x 25m and the height variation is between 4.2–4.6 m.

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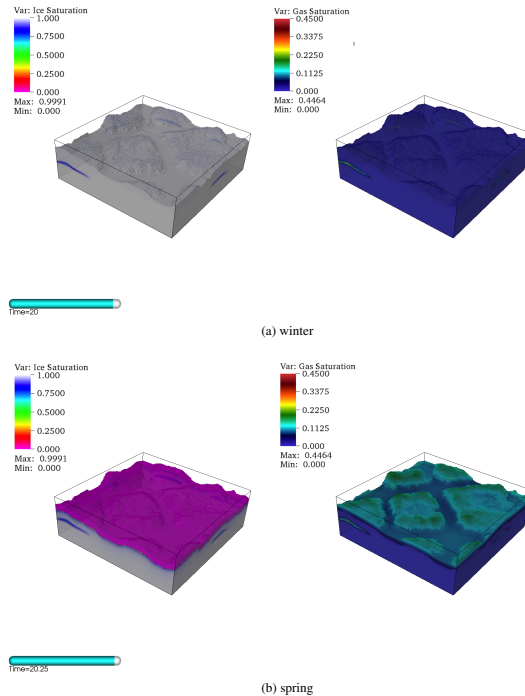


Fig. 8. Ice thawing and freezing with seasonal surface temperature variation. Ice and gas saturations for winter and spring seasons shown here. A sinusoidal temperature variation is applied to the top (with a mean of -1°C and a half-amplitude of 15°C) along with an infiltration of 10mmyr^{-1} . Seepage boundary condition is used on the sides. For initialization, the temperature was set to average annual temperature of -1°C . The material parameters considered are: permeability = $1.3 \times 10^{-13}\text{m}^2$, thermal conductivity (dry) = $0.25\text{Wm}^{-1}\text{K}^{-1}$, thermal conductivity (wet) = $1.3\text{Wm}^{-1}\text{K}^{-1}$, $\alpha_u = 0.45$, $\alpha_f = 0.95$, thermal conductivity (frozen) = $2.36\text{Wm}^{-1}\text{K}^{-1}$, porosity = 0.45, rock density = 2700kgm^{-3} , specific heat = $837\text{Jkg}^{-1}\text{K}^{-1}$, tortuosity = 1, $\lambda = 0.5$, $\alpha = 1 \times 10^{-4}\text{Pa}^{-1}$.

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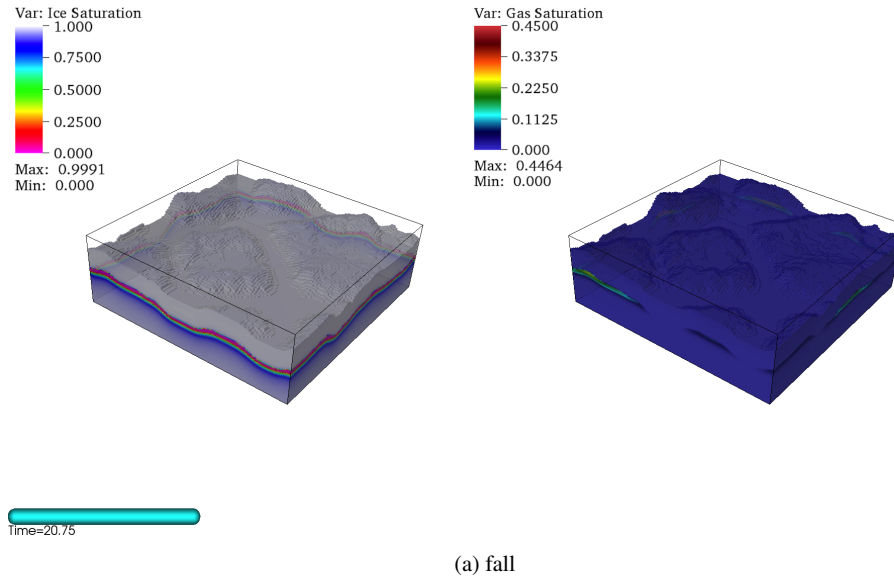


Fig. 10. Ice thawing and freezing with seasonal surface temperature variation (continued). Ice and gas saturations for peak fall shown here. For the values of parameters used see Fig. 8.

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