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Modeling bulk density and snow water equivalent using daily snow depth observations.

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Abstract

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Bulk density is a fundamental property of snow relating its depth and mass. Previously, two simple models of bulk density (depending on snow depth, date, and location) have been developed to convert snow depth observations to snow water equivalent (SWE) estimates. However, these models were not intended for application at the daily time step. We develop a new model of bulk density for the daily timestep and demonstrate its improved skill over the existing models.

Snow depth and density are negatively correlated at short (10 days) timescales while positively correlated at longer (90 days) timescales. We separate these scales of variability by modeling smoothed, daily snow depth (long time scales) and the observed positive and negative anomalies from the smoothed timeseries (short timescales) as separate terms. A climatology of fit is also included as a predictor variable.

Over a half-million, daily observations of depth and SWE at 345 SNOTEL sites are used to fit models and evaluate their performance. For each location, we train the three

- ¹⁵ models to the neighboring stations within 70 km, transfer the parameters to the location to be modeled, and evaluate modeled timeseries against the observations at that site. Our model exhibits improved statistics and qualitatively more-realistic behavior at the daily time step when sufficient local training data are available. We reduce density RMSE by 9.6% and 4.2% compared to previous models. Similarly, *R*² increases from
- ²⁰ 0.46 to 0.52 to 0.56 across models. Removing the challenge of parameter transfer increases R^2 scores for both the existing and new models, but the gain is greatest for the new model ($R^2 = 0.75$). Our model shows general improvement over the existing models when data are more frequent than once every 5 days and at least 3 stations are available for training.





1 Introduction

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Snow is a basic and important environmental variable. In many regions, it governs essential supplies of fresh water (Doesken and Judson, 1997; Beniston, 2003). Snow also exerts control over Earth's weather and climate (Cohen and Entekhabi, 2001), via its insulating, reflective, and latent-heating effects.

The depth of liquid water contained in snow is one of its most fundamental properties. Yet this quantity, referred to as snow water equivalent (SWE), remains difficult to measure both in time and across space. Snow depth, on the other hand, is relatively easy to measure and observations are becoming more plentiful. Ultrasonic depth mea-

- ¹⁰ surements are a cost-effective way of measuring snow depth at a point (Ryan et al., 2008) and have grown in number in recent years. LiDAR observations of snow depth, both airborne and ground-based, have become increasingly desired due their detailed measurement of the spatial dimension of snow depth (e.g. Deems et al., 2006; Harpold et al., 2013; Prokop et al., 2008). In some cases, ground-based LiDAR measurements
- ¹⁵ have been automated (e.g. Guttman et al., 2012). Time-lapse photography of snow depth (e.g. Parajka et al., 2012; Garvleman et al., 2013) has gained in popularity as well. Finally, data from geodetic-quality GPS receivers, originally installed to measure tectonic activity, have been analyzed to provide snow depth estimates over ~ 1000 m² footprints (Larson et al., 2009).
- At a given location, SWE is the product of bulk density and depth. Through time, depth is typically the more variable part of this product. Because bulk density has a relatively narrow range of values, its estimates will have relatively small errors and multiplying observed snow depths by modeled bulk densities can reliably estimate SWE (Mizukami and Perica, 2008; Jonas et al., 2009; Sturm et al., 2010). Accurate and sim-
- ple models of bulk density, requiring no coincident observations besides snow depth, date, and location thus have the potential to convert large collections of observed snow depths into the more hydrologically important SWE. Such models could allow manual SWE measurements to be replaced or very closely approximated by manual depth



measurements, which can be measured approximately 20 times faster (Sturm et al., 2010). In fact, Jonas et al. (2009) and Sturm et al. (2010) demonstrated errors in modeled SWE similar to those obtained from repeat observations.

- The practical application of such models requires two locations. Data at a first location, with density observations, are used to train, or estimate, the model parameters. Model parameters are determined via ordinary least squares for linear regression or by other objective function minimization. The model with "fit" parameters is then applied at the second location, where density observations are not available. We refer to applying the trained model parameters from the first location as parameter transfer. Because the
 location and time periods used for estimating model parameters may be different from when and where these parameters are applied, parameters are transferred over both
- when and where these parameters are applied, parameters are transferred over both space and time.

In this study, we convert observations of snow depth to bulk density *at the daily time step*, while allowing for gaps in the input/output time series. Previous models, which focused on seasonal (Sturm et al., 2010) to biweekly (Jonas et al., 2009) timescales, are

- ¹⁵ cused on seasonal (Sturm et al., 2010) to biweekly (Jonas et al., 2009) timescales, are shown to have structural deficiencies at the daily time step. By separating the observed negative correlation between depth and density over short timescales from their positive correlation at larger timescales, the new model provides more realistic daily timeseries of bulk density. The model exhibits less cross-validated error under parameter
- transfer when applied to daily depth observations. We evaluate density models using over a half million observations from sites on the SNOTEL network, where ultrasonic depth measurements have been made in conjunction with SWE pillow measurements.

2 Background

2.1 Conceptual model: empirical relationships between depth and density

²⁵ A preliminary illustration and analysis of the relationship between snow depth and bulk density at daily to interannual timescales provides useful context for our model develop-





ment. A fundamental problem for transforming observed snow depths to bulk densities is that the correlation of these variables depends on both the timescale considered and on the phase (accumulation vs. melt) of the snow pack. For the purposes of motivating our model, we highlight the center of the snow seasons, February–May, while
 ⁵ neglecting the beginnings and ends. We provide a more complete, detailed discussion in Appendix A.

At timescales of several days, snow depth is negatively correlated with bulk density (Fig. 1). Freshly fallen snow increases snow depth. However, new snow typically has lower density than the existing snowpack and therefore bulk density decreases after a storm. For example, in Fig. 1, a storm on 22 April 2010, yielded an increase in snow depth of roughly 50 cm. At the same time, the density of the new snow was low enough

depth of roughly 50 cm. At the same time, the density of the new snow was low enough so that the bulk density of the entire snowpack decreased by nearly 100 kg m⁻³. In the days following a snowfall, fresh snow undergoes rapid thermal and mechanical compaction. During this interval, density increases while depth decreases, again yielding

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¹⁵ a negative correlation. Similarly, surface melt decreases snow depth over a period of days while increasing bulk density via melt water percolation (e.g., throughout May 2011). Though other processes exist, these are three main processes governing the relationship between depth and density at timescales of days. These three processes yield a negative correlation between depth and density.

²⁰ Figure 1 also illustrates correlation between depth and density at longer timescales. At scales of months, we see different correlation between depth and density before and after maximum snow accumulation, shown by the dashed lines, which roughly separates the accumulation and ablation phases. In the accumulation phase, the variables are positively correlated; density increases with the seasonal accumulation of snow.

Also on an interannual basis, seen by comparing the two years, snow depth is positively correlated with density. In 2011, the deeper snowpack is more dense. Physically, snow accumulation at timescales of months and longer generally increases the mechanical loading of the snowpack and increases its density.





In contrast to the accumulation phase, depth and density become negatively correlated over long timescales in the ablation phase. In Fig. 1, density continues to increase after 1 May as melt reduces the snow depth. Therefore, density is negatively correlated with depth on both short (day) and long (month) timescales during the ablation phase.

⁵ For example, in May 2011, two late season storms increase depth but reduce density while on-going melt reduces depth but increases density.

Figure 2 shows the correlation between density and depth as a function of timescale, for the period prior to peak SWE. The figure reveals that depth and density are strongly negatively correlated at timescales of 5-10 days (inner 50th quantile less than -0.8).

- In contrast, depth and density are weakly correlated at timescales longer than 60 days. For example, at 135 days, the median value of correlation is approximately 0.45 (with inner 50th quantile from roughly 0.2 to 0.6). Between these two timescales, there is a shift from negative to positive correlation. The substantial range of correlation at all window sizes results from the different processes affecting depth and density and the variety of locations at which data are sampled. The shift from negative to positive
- the variety of locations at which data are sampled. The shift from negative to positive correlation occurs at a timescale of approximately two months.

2.2 Existing models

A common approach to modeling snow bulk density is to begin with physical principles. The problem with this approach is that observed timeseries of many meteorological variables are required. For example, in the second snow model intercomparison project (SNOWMIP2, Essery et al., 2009) only two models in 33 did not require incoming shortwave radiation. Minimum requirements of even simple snow density models, such as SNOW-17 of Anderson (1973) or that of De Michele et al. (2013), are timeseries of temperature and precipitation. A majority of snow depth measurements, including many automated measurements, do not have these accompanying observations and including them can represent significant expense.

The simplest approach to modeling snow bulk density is to derive a climatology. Mizukami and Perica (2008) justified this approach by comparing the interannual vari-





ability of density and depth. They clustered climatological densities from SNOTEL in the western US into 4 groups primarily distinguished by proximity to the Pacific Ocean, though with some geographical overlap between groups. They developed a linear regression model of bulk density as a function of day, fitting coefficients in the 4 regions over two periods (December–February, March–April).

Borman et al. (2013) considered snow density observations from both hemispheres and applied linear regression to identify the dominant climatological and physical controls. They developed a model of snow bulk densities on the first day of spring using 9 predictor variables, including maximum snow depth and observed temperatures. Total winter precipitation and air temperature were found to be most important factors, though model parameters varied substantially by geographic region.

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Jonas et al. (2009) and Sturm et al. (2010) developed snow bulk density models for the express purpose of converting observed depths to SWE. Both models use observed snow depth to predict a corresponding bulk density. The Sturm et al. (2010)

- ¹⁵ model was intended as a general-purpose tool to convert observed snow depth to SWE in North America, provided snow depth, day of year, and the snow class, as defined by Sturm et al. (1995). Sturm et al. (2010) calibrated their model separately for five broad snow classes (alpine, maritime, prairie, tundra, and taiga) and provided the parameters for application of their model across this domain.
- The Jonas et al. (2009) model was developed using more than 5 decades of biweekly observations from the Swiss Alps. It was tuned monthly to both specific altitudes and geographical regions in Switzerland. The intent of the model was not a general-purpose tool for predicting snow density, but a demonstration of a methodology. Though day of year (or month) is not a predictor in the Jonas model, multiple observations within a given month are assumed.

Neither the Sturm et al. (2010) model nor the Jonas et al. (2009) model were designed for modeling snow density at the daily timestep. Jonas et al. (2009) noted "that the model may not be suitable to convert time series of [depth] into SWE at daily resolution or higher. Transient phenomena such as the settling of recently-fallen, fresh snow





cannot be comprehended by the model. Converted time series may therefore feature an incorrect fine structure in the temporal course of SWE". Here, we develop a model explicitly designed to provide estimates of density on the daily timescale. As illustrated above, this will require accounting separately for the relationships between depth and density on short and long timescales.

In general, modeled density errors tend to be small. For example, both Jonas et al. (2009) and Sturm et al. (2010) highlight that modeled density errors yield SWE errors which approximate those observed for repeat measurements due to local variability. The conservative nature of density means that, even when applied at the daily time step, the models provide reasonable estimates. Though only small statistical improvements are possible, we feel a model developed explicitly to represent daily variations in density is justified. To help inform model selection by individual practitioners, we include discussion and evaluation of when the additional complexity of our new model is warranted.

15 3 Methodology

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We evaluate the Jonas et al. (2009) and Sturm et al. (2010) density models (hereafter, the Jonas and Sturm models) with daily data to provide baselines for the development of an alternative model explicitly intended for use at a daily time step. Given the focus on daily density estimates, our data sets and approach to training and evaluating the models differ from what was used in Jonas et al. (2009) and Sturm et al. (2010).

3.1 Data

The data set used to train and evaluate the models comes from SNOTEL stations (Serreze et al., 1999). Simultaneous observations of snow depth and SWE from selected SNOTEL sites were manually quality controlled and (bulk) density calculated as the ratio of SWE to depth. Only water years with at least 100 resulting density observations





(between days of year –92 and 181 with no day zero, or October–June, as in the Sturm model) were retained for model fitting. Gaps in daily timeseries were permitted.

Not all SNOTEL stations were used in our analysis. Instead, we used only SNOTEL stations within 70 km of Plate Boundary Observatory GPS stations (Fig. 3) currently ⁵ being used to estimate snow depth (Larson and Nievinski, 2013). The final data set contained 657 380 total observations of both depth and density at 345 SNOTEL sites

in 19 different water years spanning 1994–2012. A total of 3370 water years were included.

Although it is beyond the scope of this paper, our final goal is to apply the daily density model to convert GPS-based snow depth measurements to estimates of SWE. This prompted our selection of SNOTEL sites. While no GPS snow depth observations are used in this study, we do treat each SNOTEL station as if it were a GPS station: snow depth is observed and used as a predictor in the density model with parameters fit to the other SNOTEL observations within 70 km. As described below, our experiment

¹⁵ investigates model parameter transfer over scales of tens of kilometers and can be applied to other snow depth measurements with approximately daily temporal frequency (for example ground-based LIDAR surveys or ultrasonic depth measurements). A future study will report on applying the model to GPS sites and validation against in situ density observations.

Several authors have noted systematic errors with SWE pressure measurements used for SNOTEL observations (Johnson and Marks, 2004; Meyer et al., 2012). We do not attempt to identify or correct any such errors beyond the manual quality control mentioned above. The accuracy of SNOTEL SWE measurements is 0.1 inches (0.254 cm) and that of snow depth is 1 inch (2.54 cm). We performed an error analysis

of our full data set using half these accuracies as the error in each variable. We found 80% of the errors to be less than 1% and 99.5% of errors to be less than 20%.





3.2 Experimental design

For each SNOTEL site in the data set, we (1) retain only its location, snow depth, and date information, (2) identify the other SNOTEL sites in the data set which fall within 70 km of the current site, (3) train all three models (Sturm, Jonas, and ours)

- to all available depth and density observations at these other sites, (4) transfer the trained model parameters to the site of interest, (5) apply the model to estimate daily density from observed depths at the point of interest, (6) generate (cross-validated) skill measures for both density and SWE estimation, bringing in the corresponding observations at the point of interest.
- ¹⁰ Our methodology evaluates the models under parameter transfer over scales of tens of kilometers. It is reasonable to believe that local training data (from within 70 km) are most appropriate for deriving and transferring model parameters to a point of interest (e.g. Mizukami and Perica, 2008; or Sturm et al., 2010). However, a drawback of our general methodology is that it can only be used within 70 km of available training data.
- Our model is not for more general, geographical application, as in Sturm et al. (2010). Although it is possible with our model formulation, we did not stratify training data by elevation, as in Jonas et al. (2009) who found this step provided only modest improvements in model skill. When fitting models, we combine all local data (i.e., within 70 km) equally, regardless of elevation.

20 3.3 Jonas and Sturm models

Jonas et al. (2009) fit a simple linear regression model (Eq. 1), where density (ρ) is a linear function of depth (*h*) and the parameters (*a*, *b*) are solved separately both monthly and over three elevation bands.

 $\rho(h, \text{month}, \text{altitude}) = a \cdot h + b$

²⁵ They also employed a further bias correction term in individual regions. This step is not used in our study as we train the Jonas model for application to individual points instead





(1)

of regions. As we also do not train on elevation bands, parameters are only a function of month (not altitude or region). For each SNOTEL station location, we calibrate these 2 parameters for the 9 months (October–June).

Sturm et al. (2010) expressed density (ρ) as a function of depth (h) and day of year (DOY), and determined 4 general-purpose parameters (ρ_{max} , ρ_0 , k_1 , k_2) once and for all, for each of 5 snow classes (Sturm et al., 1995):

 $\rho(h, \text{DOY}, \text{class}) = (\rho_{\text{max}} - \rho_0) \cdot [1 - \exp(-k_1 \cdot h - k_2 \cdot \text{DOY})] + \rho_0.$ (2)

In our study, we calibrate the Sturm model (Eq. 2) once for each SNOTEL location using data from SNOTEL stations within 70 km, yielding 4 parameters for each point.
 Where Sturm et al. (2010) used a nonlinear, Bayesian analysis of covariance to fit their model, we employed constrained optimization. Our objective function was RMSE of fit. Optimization was considered not to converge if the difference between any parameter solutions at 1 × 10⁻⁷ precision and 1 × 10⁻⁹ precision was greater than 1 % of the range over all climate classes reported by Sturm et al. (2010).

3.4 A new model for daily applications

In both the Jonas and Sturm model equations, density is related to snow depth via a single coefficient, implying a relationship of a certain sign (or zero) on all timescales. For Jonas, this is parameter *a* in Eq. (1). For Sturm, this is parameter k_1 in Eq. (2). In the original applications of the Jonas and Sturm models, this approach was reasonable because training data were observed less frequently than biweekly and emphasized the positive correlation of depth and density. Accordingly, both studies only reported positive coefficients. Based on the correlation of snow depth and density over different timescales and snow phases (Figs. 1 and 2), we expect these models to encounter difficulty when fitting their snow depth coefficients to daily data. During the ablation

²⁵ phase, a positive correlation between depth and density is problematic in the Sturm model. Because the Jonas model is tuned monthly, it should perform better during the





ablation phase. The depth coefficient in the Jonas model could reasonably be negative during the ablation period when tuned to daily data over an interval of a month.

In developing a snow density model for daily applications, we choose to start from the Jonas model. Our first step is to derive a new set of predictor variables for the

- ⁵ model. To address the problem of depth and density correlation on different timescales, we separate observed snow depth timeseries, *h*, into two components or two new predictors of density. To model time scales of months, we use a moving window, of odd length *W* days, to average snow depths centered about the day to which the value is assigned. Days when depths are unavailable are omitted from the average, allowing for gaps in the timeseries. Because the first and last (W-1)/2 days of the timeseries have
- data only to one side and will often be positively biased, we do not average at these points but retain the observed depths. We call this new predictor h_{Avg} . It is illustrated along with the observed timeseries, *h*, in the top panel of Fig. 4.

The next two predictors come from subtracting h_{Avg} from the original snow depth timeseries (*h*) to get the differences, or anomalies, from the running average. Different physical processes (accumulation versus compaction or melt) are dominant during periods with positive and negative anomalies. Therefore, we split these into two separate predictors, h_{Above} and h_{Below} (Fig. 4). On days when the anomalies are negative, h_{Above} is set to zero. Likewise, when anomalies are positive h_{Below} is set equal to zero. These three new predictors transform the original snow depth timeseries, *h*, into long-range variability (h_{Avg}) and into positive (h_{Above}) and negative (h_{Below}) short-range anomalies. Their sum gives the original timeseries, *h*.

A second, more subtle problem with the Jonas and Sturm models used on a daily time step is a general deficiency in their overall shapes or structures. These will be dis-

²⁵ cussed in the results section. While some of the issues stem from negative correlation between depth and density in the ablation period, the Jonas model also exhibits discontinuities or jumps in density estimates between months when the model is trained. To address structural problems, we investigate the incorporation of a daily density climatology of fit, ρ_{clim} , into the model. The average density on each day over all data used





to fit the model is applied as a predictor to both fit the model and in the subsequent prediction; this predictor comes strictly from the fitting data set. If any days are missing from the training set these are linearly interpolated using their nearest neighbors. Figure 4 shows an example of ρ_{clim} for the Lake Irene SNOTEL site, based on the average from the 17 stations within 70 km. Early and late access fluctuations are due to greater

⁵ from the 17 stations within 70 km. Early and late season fluctuations are due to greater natural variability during these periods or lack of data in some years. The general trend in ρ_{clim} is to increase until mid May and then decline after that, after the snow is fully ripened. See Appendix A for more detailed discussion of density timeseries.

Having derived four new predictors of bulk density, our full daily model is expressed 10 in Eq. (3):

$$p(h, \text{month,neighbors}) = a \cdot h_{Avg} + b \cdot h_{Above} + c \cdot h_{Below} + d \cdot \rho_{clim} + e$$

The model can be trained over arbitrary periods of time or on various subsets of the training data. The choice of training periods is independent from the number of days, W, used to derive three of the first three predictor variables in our model. The predictors are derived before the model is fit to any temporal subset of them. However, the primary consideration for choosing both W and the length of the training periods is the same, the availability of training data in each. If only one observation is available in W days to derive the h_{Avg} predictor, then the anomaly terms (h_{Above} and h_{Below}) are zero. If there are no training observations in a training period, then parameters cannot be fit. For each training interval and choice of W days for smoothing snow depth, five parameters are determined when fitting our regression model.

In this study, we choose to tune the model monthly to allow for direct comparison with the standard usage of the Jonas model. We also choose not to separate the model training into accumulation and ablation periods of the snow pack. This would likely only improve simulations after April, though it adds an extra layer of complexity. At the monthly timescale, most months are accumulation, the last is usually just ablation, and there may be a transitional month in some years. Finally, we limit the range of the



(3)



regression models to the range of observed values. Estimates above or below these observed limits are set to the corresponding limit.

We investigated eight models of intermediate complexity between the Jonas model and our full model. Our proposed model is more complex than the Jonas model, having five peremeters instead of two. There is petertial to incrementally simplify our model

- ⁵ five parameters instead of two. There is potential to incrementally simplify our model towards the Jonas model by removing one or more predictors and their associated parameters. For example, one could simply use the ρ_{clim} predictor as an addition to the Jonas model or exclude it from our full model. These intermediate models would have 3 and 4 parameters, respectively. Our evaluation of the intermediate models (Ap-
- ¹⁰ pendix B) found the lowest RMSE under parameter transfer for our full model. Our full model was also particularly insensitive to choice of W and was minimized for W greater than 17 days. Details are discussed in Appendix B. For the remainder of the paper we present results from our full model with h_{Avg} computed on a 21 day window.

3.5 Model evaluation and comparison

estimated by all 3 models.

Skill measures are calculated on the set of observations for which all models provide valid estimates. Our calibration of the Sturm model failed to converge at some stations and approximately 24 500 points were not estimated, or 3.7% of the full data set. The Jonas model and our model failed at roughly 150 and 300 points, respectively. This occurs particularly at the beginning and end of water years when there is not enough data to train the model for a given month or to calculate the running average or anomalies. Only 0.023% and 0.046% of the full data set were not estimated by these models. In the results below, statistics are computed on approximately 632 000 observations

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4 Results

4.1 Illustrative example

 We begin with an illustrative example of important improvements offered by our model. These will be quantified in the following subsection. Figure 5 presents observed and
 modeled density and SWE timeseries at the Kilfoil Creek, UT, SNOTEL site for the 2011 and 2012 water years. This example demonstrates a range model of performance under different snow conditions. Snow accumulation in 2011 was roughly double that of 2012. In 2011, accumulation was on-going with large snowfall events in November and December. In 2012, accumulation events were rare, with a single large event in January yielding a third of the maximum observed SWE. Both peak SWE and melt-out occurred a month earlier in 2012.

Viewed at the seasonal scale, all three models perform reasonably well in 2011. In 2012, the functional shape of the Sturm model is grossly inappropriate compared with the other two models. The Sturm model's dependence on day of year results in overestimation of density by as much as 50% at the beginning of March. The Jonas model and our model provide much better estimates for the entire 2012 season.

At the monthly scale, the Jonas model fit to daily data results in inappropriate timeseries during the last several months of both seasons. For example, in March and April 2011, and in March 2012, modeled densities decrease with time over each month,

²⁰ contrary to the increases in density which are observed. This incorrect relationship combined with model fitting on a monthly basis results in unrealistic jumps in modeled density between months and systematic errors in both density SWE.

Viewing the model estimates over timescales of days, density variations associated with individual storms and melt events are missing from both the Sturm and Jonas models. In contrast, our model contures much of the chort range variability in den

²⁵ models. In contrast, our model captures much of the short-range variability in density. This results from covariances between snow depth and density at two separate timescales in our model. Not only is short-range covariance largely missing from the Sturm and Jonas models but it is of the wrong sign (Fig. 5). This is most easily seen





for the large accumulation event in the middle of January 2012 when SWE increased from approximately 10 to 17 cm. Both the Jonas and Sturm models have an associated increase in density with this accumulation, whereas our modeled density decreases in agreement with the observations. For the Jonas and Sturm models, large SWE errors

- are associated with this event because the density error is correlated with the change in snow depth. Though the modeled increases in density are small at short timescales in the example, the errors are large because observed density actually decreases. These errors are then magnified by the observed depth in the calculation of SWE. The same problems can be seen near peak SWE at the beginning of May 2012. Here the
 structural errors of the Jonas model further exacerbate its density errors. Modeled peak
- SWE in 2012 is over 50 % greater than observed for the Sturm and Jonas models while the estimate from our model is only 20 % too high.

The example in Fig. 5 also highlights the Sturm model's systematic underestimation of density during the ablation phase. From mid April to mid May in 2011, the positive correlation of depth and density combined with the calibrated functional shape of the model result in density estimates that are too low. The extent of this problem will be revealed in the following subsection.

While our new model is by no means perfect, separation of the long and short-range relationships between depth and density produces modeled variability which closely

²⁰ tracks the observed densities and yields smaller density and SWE errors. The inclusion of the fitting climatology results in greater continuity of modeled density.

4.2 Model diagnostics

Table 1 presents three skill measures of modeled density and SWE. Model density biases, important to verify because models are applied under parameter transfer, are
 very small, less than a percent compared to observed densities. SWE biases are also effectively zero. RMSEs of both density and SWE improve moving from the Sturm model, to the Jonas model, to our model. Relative to the Sturm model, the Jonas model and our model improve density RMSE by 4.0 % and 9.6 %, respectively. Relative to the



Jonas model, our model yields a 4.2 % improvement in RMSE of density. The coefficient of determination (R^2) for both density and SWE shows similar improvement across models. The difference in the R^2 values for density and SWE indicates that snow depth dominates estimation of the observed SWE. Though 54–44 % of the density variability remains unexplained by the models, only 6–4 % of the SWE variability is unaccounted for.

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Scatter plots of observed vs. modeled densities and SWE are presented in Fig. 6. The range of modeled density is less for the Sturm Model than the other two models. One can also see the two regression models (Jonas and ours) are sometimes constrained to the limits of the observed densities. The correspondence between the observed and modeled densities is not great for any model, as described above by their coefficients of determination. In comparison, the scatterplots show the strong correspondence between modeled and observed SWE.

The statistics in Table 1 consider all modeled stations and water years simultaneously. Figure 7 shows the distribution of RMSE over the individual SNOTEL stations. For both density and SWE, the RMSE distributions consistently shift to lower values going from Sturm, to Jonas, to our model. The figure describes the probability of RMSE under parameter transfer as a function of model used. The tails of the distributions in Fig. 7 indicate that our model is sometimes worse than the other models. Though
worse than Sturm and Jonas in about 10% and 12% of cases, our model's relative RMSE (compared to these models' RMSEs) only exceeds 10% in approximately 3% and 1% of cases, respectively. Notably, the Sturm model performed much better in the few cases when less than 3 SNOTEL stations, or less than approximately 3500 observations, were available to train the model.

Density and SWE residuals (modeled-observed) are plotted as a function of day of year in Fig. 8. The figure reveals heteroskedasticity of the errors with day of year. The range of density errors is greatest at the beginning and end of year, when snow depths are small and large fluctuations in density can occur in very short time periods. These are also times when observed density errors are the largest. The range of density



errors is smallest in the month of February. The range of SWE errors increases with depth over the water year, however it does not decrease with snow depth during snow melt.

- Figure 8 reveals that the structural problems of the Sturm and Jonas models illustrated in Fig. 5 are common, rather than limited to that example. The Sturm model residuals become negatively biased at the beginning of April. By May the upper range of the 50th quantile nears zero, indicating a severe and systematic underestimation of density at the end of the year. This systematic underestimation during the melt period partially results from the positive correlation of depth and density in the Sturm model.
- The sawtooth shape of the Jonas residuals indicates a systematic progression from overestimation to underestimation across each month starting in March and continuing through June. This results from tuning the models to daily data over each month. Subsequent, large jumps in the value of the residuals between months are also apparent. In contrast, our mean density residual deviates little from zero through the year.
- ¹⁵ Deviations in the bias are typically found at the end of the months, similar to those of the Jonas model but of smaller magnitude. These persist because parameters in adjacent months are tuned independently. Though our model is not perfectly continuous in time, it is certainly an improvement over the continuity of the Jonas model. These discontinuities are clearer in our SWE residuals beginning in May.
- Because peak snow accumulation is of primary concern for estimating water yields, we examine the models' percent error during a three-week window centered on peak SWE in each year. Both Jonas et al. (2009) and Sturm et al. (2010) evaluated their models in terms of percent error. They compared these errors to errors associated with repeated observations at a single location. Table 2 shows the likelihood of modeled
- ²⁵ absolute percent error not exceeding thresholds between 5–30 %. (Note that, because depth is observed, percent error is the same for density and SWE.) At the time of peak SWE, we again see consistently better performance moving from the Sturm model to the Jonas model, and to our model. Our model resulted in no more than 15 % error 75 % of the time whereas the Jonas and Sturm models did not exceed this threshold





68 % and 66 % of the time, respectively. Both the Sturm and Jonas models keep 80 % of estimates to less than 20 % error while our model manages to do this 86 % of the time. That is, for dates near peak SWE, our model has almost one-third (14 %/20 %) less errors beyond the typically observed range of 20 % error.

5 4.3 Model parameters

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Separating the relationship between density and depth over long and short timescales was a main objective in developing a new model for daily applications. We proposed new predictors and parameters to achieve this goal. Figure 9 shows the distribution of model parameters for each model. Parameter distributions for January and April are shown for the Jonas model and our model. Our model coefficients for the short-timescale predictor, h_{Above} , are almost entirely negative. A very large majority of h_{Below} coefficients are also negative. These distributions indicate negative correlation between depth and density for timescales shorter than W (= 21) days. The coefficients of long-timescale snow depth variability, h_{Avg} , are almost entirely positive, indicating positive correlation of the variables at timescales longer than W days. Some negative coefficients of h_{Avg} are expected in April for sites where historical training data reflect ablation phase conditions and long-timescale negative correlation between depth and density.

The coefficients of depth (*h*) in the Jonas and Sturm models are distributed similarly as the coefficients of h_{Avg} in our model. In contrast, the Sturm coefficients cover a slightly wider range of values. In the Jonas model, the values of the intercept term

increase with month and govern minimum modeled densities. In our model, the intercepts interact with the coefficient of ρ_{clim} . In April, coefficients of ρ_{clim} are distributed around 1 and the intercepts around zero. In January, intercepts are positive and distributed about 0.1 while the coefficients of ρ_{clim} are distributed about 0.5.





5 Discussion

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The skill measures presented above indicate that our model yields improvements over the existing models when evaluated at the daily timestep. The Jonas and Sturm models were not intended for daily applications. The example shown in Fig. 4 highlights their shortcomings at this timescale, which are largely resolved using our formulation.

5.1 Contextualizing skill improvements

Even though our model offers more realistic density estimation at the daily timestep, the skill improvements are not large (e.g., in terms of RMSE). There are two reasons why it is difficult to significantly improve the model skill. First, density is a conservative
variable – it is naturally constrained between fairly narrow limits and only approaches these limits in certain circumstances. This is the premise of such a simple approach to modeling density from depth. Because model errors are rarely large, there is little room for improvement. Second, we have evaluated the models under parameter transfer: data from the station where density is estimated and evaluated are not used to fit the model. This provides an added challenge to estimation but provides a more realistic

evaluation in terms of applications, for example converting GPS-derived snow depth into SWE at locations where density observations are not available. Parameter transfer is a challenge of the application rather than a shortcoming of the models.

For additional insight on the models' ability to accurately represent the relationship ²⁰ between depth and density, we evaluate each without parameter transfer. Instead of fitting the model at nearby sites and transferring the parameters to the site of interest, we now fit the models to density observations at the site of interest and evaluate modeled density and SWE over all years at this location. Because density climatology (ρ_{clim}) is a predictor in our model, we separately train each year while dropping the year to be estimated from the training data set. This procedure is more stringent for our model, but only slightly penalizes years with extreme densities. Unlike for parameter transfer,





model assessment at the location of fit is not confounded by differences between sites (up to 70 km apart) due to elevation, slope/aspect, vegetation, etc.

Table 3 shows skill statistics of *fit* for the 3 models and skill improvements compared to skill statistics under parameter transfer. As expected, fitting the regression (Jonas

- ⁵ and our) models completely eliminates bias (not shown). The Sturm model retains a very small bias because optimization did not minimize bias, only RMSE. The model statistics in Table 3 improve moving from the Sturm model to the Jonas model to our model, but these improvements are considerably greater than under parameter transfer. Now our model explains 19% more variance in density, for a total of ($R^2 =$)75%
- ¹⁰ variance explained. The Jonas and Sturm models have R^2 increases of 14 and 12%, respectively, yielding 66% and 58% variance explained. The strong improvement for our model indicates that it more appropriately captures the relationship between density and depth when applied at the daily timescale.

5.2 Why not use our model?

- In this study, we have only investigated parameter transfer over scales of tens of kilometers. We do not consider modeling density at distances further than 70 km from the nearest SNOTEL site (or site with similar data). Therefore, our results do not apply to locations on the scale of hundreds of kilometers from locations with density data required for model training. In the results section we also noted better average performance of
- ²⁰ the Sturm models *as used in this study* when less than 3 nearby (within 70 km) stations were available to train the model. This result suggests that using the Sturm model is preferred when training data are either limited locally or when only available far from the site at which density will be estimated. At the same time, we illustrated a general problem with the relationship between density and depth in the Sturm model during the malt phase. Improvements to the general energy of Sturm et al. (2010) might be
- the melt phase. Improvements to the general approach of Sturm et al. (2010) might be gained if model were tuned separately for accumulation and ablation phases.

Daily depth data were used to evaluate the three models in this study. However, If snow depth observations are less frequent than daily, at what point does the perfor-





mance of the Jonas model exceed the performance of our model? We evaluate this question by systematically degrading the input timeseries of snow depth, retaining at most one observation every 2, 3, 5, 7, 9 days. We could not degrade the input timeseries to one observation more than every 10 days without losing the anomaly pre-

- ⁵ dictors because smoothed snow depth and the anomaly terms are calculated using 21 day moving windows. Relative to the Jonas model evaluated on the same degraded data sets, our model has consistently 4 % lower RMSE under parameter transfer when data are available every 2 or 3 days. The two models perform similarly when data are available every 5 days. For weekly data, our model had 8 % worse RMSE compared to
- the Jonas model. The snow depth timeseries used in this study contained gaps, so the data frequency was not always daily and the degraded timeseries potentially less frequent than intended. These gaps in the snow depth inputs also imply that the statistics reported for our model might improve if data were available every day.

The number of parameters in our model is higher than in the Jonas and Sturm models. If the Jonas model is fit on the same time periods, our model requires 2.5 times the number of parameters. If the Jonas model is applied to observations available every 5th day and our model to daily data, our model is handling 5 times the data and the extra parameters appear reasonable. Results in Appendix B indicate that perhaps one of our model parameter could be dropped. However the difficulty of applying our model compared to the Jonas model is not prohibitive. At the other end of the spectrum, the Sturm model as applied here, could be criticized as too simple, though sufficient when

training data are lacking in space or time.

5.3 Further improvements and research problems

Several potential improvements to our model were not explored here. We tune the ²⁵ model monthly and do not explicitly separate coefficients of h_{Avg} for snow ablation and accumulation phases, though they are likely different. Alternatively, we could tune the model more frequently in time. Further, because the magnitude of change in bulk density depends on the relative amounts of existing snow pack and accumulation or



ablation, a more detailed model might consider ratios of anomalies to smoothed depth, though it will require managing division by zero. A more inventive, different approach to smoothing could also help distinguish true positive and negative anomalies.

We have not considered real-time applications. We presented results using a 21-day

- ⁵ moving window to calculate smoothed snow depth and anomalies centered on a given date. In real-time, this window reaches in to the future. We do not know how well a retrospective window for a given date would work. It is something worth investigating, though most applications of SWE (or density) can likely wait ten days for an improved estimate.
- ¹⁰ Measurements of the spatial variability of snow depth have improved drastically with the advent of LiDAR measurement techniques (McCreight et al., 2012). However, the spatial variability of bulk density remains poorly understood (Moreno-Lopez et al., 2012). In this study, we consider the spatial variability of density over scales of tens of kilometers. However, the density observations in this study come from SNOTEL which
- ¹⁵ have their own kind of homogeneity when compared to the larger environment. They are most commonly located in forested, sub-alpine locations. Our findings are likely to be more representative of these locations as opposed to unforested, or wind-exposed locations (e.g. Clow et al., 2012). Transferring parameters derived at SNOTEL sites to snow depth measurement locations such as for GPS, which are necessarily unforested
- ²⁰ and tend to be at lower-elevations, may introduce model bias. New and spatially diverse timeseries observations of density will be needed to understand the importance of these issues.

6 Conclusions

We developed a model for converting snow depth observations to bulk density estimates at the daily timescale. Our model improves the estimation of bulk density and SWE from daily snow depth, compared to existing approaches which were not intended for daily application. Our main innovation was separating the short and long timescale





covariance between snow depth and density which are negatively correlated at short (10 days) timescales while positively correlated at longer (90 days) timescales. Snow depth variability at long timescales was modeled using a running mean and variability at short timescales by observed anomalies from the mean. A climatology of fit was also included as a predictor variable. The model exhibited improved statistics and gual-

- itatively more-realistic behavior under parameter transfer when fitting models to data within a 70 km radius of the modeled location. The model showed even greater improvements when fit at the modeled location (parameters not transferred), explaining 75 % of the observed density variability in 632 000 observations. We recommend using
- ¹⁰ the model under parameter transfer whenever it can be trained to more than 2 sites and applied to observations more frequent than 1 in 5 days.

Appendix A

More detailed empirical relationships between depth and density

In Fig. 1, we simplified our discussion of depth and density by focusing on the period
from February–May. To more completely characterize the relationship between density and depth, we reveal the full water years from Fig. 1 in Fig. A1 and divide the snow season into four phases. These are distinguished by three vertical, dashed lines in each water year. In chronological order, we call these phases the early accumulation phase, the main accumulation phase, the main accumulation phase, the main ablation phase, and the late ablation phase. Figure 1 concentrated on the main accumulation and ablation phases, which are distinguished by peak SWE or snow depth. The early accumulation phase is characterized by markedly higher variability in density because the total SWE or depth is still low. Though it can vary between sites and years, snow depth accumulations over 50 cm typically mark the end of the early accumulation phase. The main ablation phase

is distinguished from the late ablation phase by a switch from increasing to decreasing density. This switch occurs with the ripening of the snow pack, when it holds a maxi-





mum amount of liquid water. Subsequently, the snow becomes "rotten" and discharges water which reduces its density. In the example timeseries (Fig. A1), the early accumulation phase constitutes roughly 20% of the 9 month water year while the late ablation phase is roughly 5% of the water year. The main accumulation and ablation phases represent approximately 60% and 15% of the water year, respectively.

Short timescale correlations between depth and density are negative in all phases, as discussed in Sect. 2.1. Wild density variability in the early accumulation phase makes it hard to characterize its long timescale correlation with depth. However it is often negative because there are larger observed densities (after melt) for lower observed densities so the time-averaged average density decreases with increasing depth

- ¹⁰ served depths, so the time-averaged average density decreases with increasing depth during this period. Depth and density are positively and negatively correlated during the main accumulation and ablation phases, respectively, as described in Sect. 2.1. In the late ablation phase, depth and density are positively correlated, they decrease simultaneously over long timescales.
- 15 Appendix B

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Model selection

In the methodology section, we developed new predictors for use in regression modeling and we justified our choice of the full model as presented in Eq. (3). However, between the Jonas et al. (2009) and our full model, a range of intermediate models exist using various combinations of the available predictors from the two models. In this appendix we examine the performance of these intermediate models.

The full set of predictors we consider are: intercept, snow depth (h), smoothed snow depth (h_{Avg}), observed anomalies from smoothed snow depth ($h_{AvgDiff}$), positive and negative anomalies from smoothed snow depth (h_{Above} and h_{Below}), and density climatology of fit (ρ_{clim}). The time series of anomalies, $h_{AvgDiff}$, is calculated as $h - h_{Avg}$. It is not split into positive and negative anomalies, as is the case for h_{Above} and h_{Below} .

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The h_{AvgDiff} term was not included in Eq. (3), nor in the analyses in the main part of the paper. Figure B1 compares the Jonas and Sturm models with models of intermediate complexity. Models are evaluated using RMSE under parameter transfer over the entire data set. The figure shows RMSE as a function of *W*, the number of days used

- ⁵ to compute average snow depth and its associated anomalies. The Sturm and Jonas models are independent of *W* as is the Jonas model with the addition of the density climatology of fit, ρ_{clim} . The Jonas model has a smaller set of residuals (RMSE) than the Sturm model and the addition of the climatology of fit predictor improves the Jonas model.
- ¹⁰ The remaining models (grey and red) involve windowed average snow depth (h_{Avg}) and depend on the window size. The figure reveals that the full model, shown in red, improves upon all intermediate models (shown in grey and differentiated with symbols) for window sizes greater than 17 days. The full model dropping the h_{Below} term is competitive for shorter averaging windows, but the RMSE of the full model is lower for every sizes greater than 17 days. The full model shows improve
- averaging windows greater than 17 days. The full model shows improvement over the models which do not involve averaging snow depth: Sturm, Jonas, and Jonas: ρ_{clim} . As stated in the results, the RMSE improvements over Sturm and Jonas are 9.6% and 4.2%, respectively.

Figure B1 also reveals that the full model (red) is not sensitive to choice of averaging period when more than 17 days are used. A slight increase in RMSE at 31 days suggests that 19–29 days is the proper time scale separating the short and long term dependencies of bulk density on snow depth.

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2011), along with the reshape2, ggplot2, plyr, and scales packages (Wickham, 2007, 2009, 2011), were used for analysis and presentation.





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Table 1. Model skill stati	stics under p	barameter transfer.
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Statistic	Variable	Sturm et al.	Jonas et al.	This paper
Bias	Density($g cm^{-3}$)	-0.0014	-0.00023	0.00017
	SWE (cm)	-0.21	-0.049	0.069
RMSE	Density(g cm ⁻³)	0.071	0.067	0.064
_	SWE (cm)	7.09	6.70	6.29
R^2	Density	0.46	0.52	0.56
	SWE	0.94	0.95	0.96

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Table 2. Non-exceedance probabilities for a range (of absolute value) of percent error calculated for three week windows centered on peak SWE. Numbers apply to both density and SWE as depth is observed and assumed to be correct. Jonas et al. (2009) cite typical values of density measurement error as 15–20 %.

	Empirical probability of non-exceedance during 3 weeks centered on peak SWE		
Absolute Value of Percent Error	Sturm et al.	Jonas et al.	This paper
5	0.25	0.27	0.30
10	0.48	0.50	0.56
15	0.66	0.68	0.75
20	0.80	0.80	0.86
25	0.88	0.88	0.93
30	0.93	0.92	0.96





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Table 3. Model statistics of fit (not under parameter transfer). Improvements compared to parameter transfer statistics are indicated by Δ .

Statistic	Variable	Sturm et al.	Jonas et al.	This paper
RMSE	Density (g cm ⁻³) SWE (cm)	$0.062 (\Delta =009)$ 5 57 ($\Delta = -1.50$)	$0.055 (\Delta = -0.012)$ 4 66 ($\Delta = -2.05$)	$0.048 (\Delta = -0.016)$ 3 79 ($\Delta = -2.56$)
R^2	Density SWE	$\begin{array}{c} 0.58 \ (\Delta = 0.12) \\ 0.96 \ (\Delta = 0.02) \end{array}$	$\begin{array}{c} 0.66 \ (\Delta = 0.14) \\ 0.97 \ (\Delta = 0.02) \end{array}$	$\begin{array}{c} 0.75 \ (\Delta = 0.19) \\ 0.98 \ (\Delta = 0.02) \end{array}$



Fig. 1. Data from the Lake Irene SNOTEL site in Northern Colorado. Depth and density are negatively correlated at short timescales (10 days). The accumulation and ablation phases are distinguished by the vertical, dashed line. During the accumulation phase, depth and density are positively correlated at longer timescales (months). During ablation, the variables are negatively correlated at long time scales.







Fig. 2. Correlation between snow depth and bulk density prior to peak SWE as a function of window size (number of days used to compute the correlation). Ten thousand points were randomly selected from our full data set (described below) and odd-length windows around each expanded from 5 to 135 days for calculating the correlation whenever no more than 1 % of days were missing. Boxes represent the inner 50th quantile and whiskers the inner 90th quantile of correlations for each window. Because larger windows with less that 1 % missing points become more difficult to find prior to peak SWE for randomly selected points, the number of correlations over which boxplots are computed ranges from roughly 7000 at the 5 day window to 1500 at the 135 day window.







Fig. 3. Data used in this study come from the SNOTEL sites shown in this figure. SNOTEL were selected if they were within 70 km of GPS snow depth stations. The number of SNOTEL within this radius of each GPS station is shown. Three GPS stations in Alaska, each with 1-2 associated SNOTEL, are not shown.



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Fig. 5. Examples of observed and modeled density and SWE timeseries in two water years at SNOTEL site 1145 Kilfoil Creek, UT. Depth and density values for depths less than 1.27 cm (0.5 in) are suppressed from the plot.



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Fig. 6. Scatter plots of observed and modeled bulk density (top) and SWE (bottom) for all three models.





Fig. 7. Distribution of RMSE in density and SWE at individual SNOTEL stations.





Fig. 8. All model residuals (modeled-observed) in density (upper panel) and SWE (lower panel) as a function of day of water year for each model. Green line shows mean error, blue lines indicate the inner 50th quantile of error, and the red lines the inner 90th quantile of error.

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Fig. 9. The distribution of model parameters over all SNOTEL sites. If the parameter is the coefficient of a predictor, the predictor name is shown in parentheses. Otherwise the parameter name is given. Top panel is the Sturm model, middle panel is the Jonas model, and the bottom panel is the model developed in this paper.







Fig. A1. Data from the Lake Irene SNOTEL site in Northern Colorado. Both water years are separated into four phases by vertical dashed lines: early accumulation, main accumulation, main ablation, and late ablation.





Fig. B1. Comparison of model RMSE in density (over all observations) under parameter transfer as a function of window size for computing the running mean of snow depth predictor h_{Avg} and the associated predictors h_{AvgDiff} (all anomalies), h_{Above} (positive anomalies), and h_{Below} (negative anomalies). ρ_{clim} is the daily density climatology of fitting data predictor.



