

The accuracy of
volume-area scaling

D. Farinotti and M. Huss

An upper-bound estimate for the accuracy of volume-area scaling

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Received: 26 April 2013 – Accepted: 16 May 2013 – Published: 5 June 2013

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

Volume-area scaling is the most popular method for estimating the ice volume of large glacier samples. Here, a series of resampling experiments based on different sets of synthetic data are presented in order to derive an upper-bound estimate (i.e. a level achieved only with ideal conditions) for the accuracy of its application. We also quantify the maximum accuracy expected when scaling is used for determining the glacier volume change, and area change of a given glacier population. A comprehensive set of measured glacier areas, volumes, area and volume changes is evaluated to investigate the impact of real-world data quality on the so assessed accuracies. For populations larger than a few thousand glaciers, the total ice volume can be recovered within 30 % if all measurements available worldwide are used for estimating the scaling coefficients. Assuming no systematic biases in ice volume measurements, their uncertainty is of secondary importance. Knowing the individual areas of a glacier sample for two points in time allows recovering the corresponding ice volume change within 40 % for populations larger than a few hundred glaciers, both for steady-state and transient geometries. If ice volume changes can be estimated without bias, glacier area changes derived from volume-area scaling show similar uncertainties as for the volume changes. This paper does not aim at making a final judgement about the suitability of volume-area scaling, but provides the means for assessing the accuracy expected from its application.

1 Introduction

Directly measuring the total ice volume of a glacier is virtually impossible. Even with very detailed surveys of the ice thickness, which have recently been carried out for individual glaciers (e.g. King et al., 2009; Gabbi et al., 2012), the total ice volume needs to be recovered through interpolation of locally confined measurements. Alternatively, total volume can be inferred by using inversion techniques and information deriving from the glacier surface, such as the surface topography, flow speed, mass balance, thinning

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7, 2293–2331, 2013

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rates, or combinations of these. Recently, a number of contributions have addressed the topic, presenting a wide range of approaches with differing complexity levels: Methods that include direct ice thickness measurements have been presented by Fischer (2009); Morlighem et al. (2011); McNabb et al. (2012) or Farinotti et al. (2013), the approach by Clarke et al. (2009) is based on artificial neural networks, whilst several methods rely on principles of the ice dynamics (e.g. Raymond and Gudmundsson, 2009; Farinotti et al., 2009; Linsbauer et al., 2012), with implementations ranging from the shallow-ice approximation (Li et al., 2011) to the Stokes formulation (Michel et al., 2013). Despite this wealth of approaches, many studies, especially focusing on sea-level change, mountain hydrology, and other climate change impacts, have been using and still use simpler approaches, mostly based on empirical relations between glacier volume and area (e.g. Van de Wal and Wild, 2001; Comeau et al., 2009; Radić and Hock, 2010; Marshall et al., 2011; Hagg et al., 2013; Grinsted, 2013). This is either due to the lack in the necessary datasets, the large spatial scale considered, or the convenience of simpler methods. Although Huss and Farinotti (2012) recently presented the first physically based estimate for the glacier ice thickness distribution of all glaciers around the globe (besides the two ice sheets), thus providing in principle a ready-to-go estimate of the total volume of every individual glacier on Earth, volume-area scaling will certainly remain widely used in the near future, and, therefore, deserve attention.

Amongst these simpler methods, the by far most popular is the so called “volume-area scaling”, in which glacier volume V (measured in km^3) is directly related to the glacier area A (km^2) by means of the power law

$$V = c \cdot A^\gamma, \quad (1)$$

where c (units $\text{km}^{(3-2\gamma)}$) and γ are two coefficients to be estimated. Although Bahr et al. (1997) provided the physical basis for this relation, and its performance has already been addressed in the context of glacier volume projections (e.g. Radić et al., 2007, 2008; Bahr et al., 2009; Slangen and van de Wal, 2011), the appropriateness of volume-area scaling is currently highly debated. Recently, Adhikari and Marshall

(2012) used higher-order mechanics for showing how estimated scaling coefficients evolve over time when considering transient glacier states, confirming the results by Radić et al. (2007), whereas Huss and Farinotti (2012) pointed out that coefficients can also vary spatially on a continental scale. On the other hand, Bahr et al. (2012) emphasized the power of scaling relations in overcoming the intrinsically ill-posed problem of glacier volume estimations, whilst Grinsted (2013) showed how including additional parameters in the regression between area and volume is of benefit for increasing the predicting skills of scaling relations, as shown earlier by Lüthi (2009).

In this contribution we do not enter the debate about whether a volume-area scaling relation that appropriately describes a given glacier population may exist or not, but perform a series of synthetic experiments providing an upper-bound estimate (i.e. an estimate that is only reached in an ideal case, in which all assumptions are fulfilled) for the accuracy which can be expected when volume-area scaling is used for estimating (1) the total volume, (2) the total volume change, or (3) the total area change of a given glacier population. Insights are won through a series of resampling experiments performed on different sets of synthetic data for which the assumptions being the base of volume-area scaling are enforced a priori. The role of the accuracy and number of measurements available for estimating the scaling coefficients is investigated separately. Furthermore, a comprehensive set of measured ice volumes and measured ice volume changes is used for assessing to which degree the confidence intervals derived from the synthetic experiments have to be amplified in applications with real-world data. The individual experiments are presented hereafter in different stand-alone sections in which the used data, the methods, and results are presented in succession with the aim of facilitating the reading.

2 Using scaling for estimating total volumes

The goal of the first experiment is to investigate the accuracy with which the total volume of a glacier population can be recovered by using volume-area scaling when a

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limited subset of measured values of a given size and accuracy is available for estimating the coefficients of the scaling relation (Eq. 1). The upper-bound estimate for the accuracy is derived by considering a synthetic set of data for which the assumptions necessary for volume-area scaling are imposed a priori. In an application with “real”, non-synthetic data, these assumptions will not be fulfilled to the same ideal degree, and a lower accuracy has, thus, to be expected.

2.1 Generation of a synthetic data sample

A sample T of $n_{\text{true}} = 171\,000$ synthetic volume and area pairs (“ (V, A) -pairs”), intended to represent the global population of glaciers, is created by assuming

$$V_{\text{true}} = c \cdot A_{\text{true}}^{\gamma} \cdot \exp(\varepsilon_{V,\text{true}}), \quad (2)$$

where $\varepsilon_{V,\text{true}}$ is a random noise term originating from a set of independent, identically distributed (i.i.d.) values that follow a normal distribution with zero mean and standard deviation $\sigma_{V,\text{true}}$ (i.e. $\varepsilon_{V,\text{true}} \sim \mathcal{N}(0, \sigma_{V,\text{true}})$ i.i.d.). The area A (km²) is taken from the Randolph Glacier Inventory version 2.0 as released in June 2012 (Arendt et al., 2012). The subscript “true” is used since the data pairs are pretended to represent the set of *true* (i.e. exact but unknown) glacier volumes and areas. For the experiment, $\sigma_{V,\text{true}} = 0.3$ is chosen, based on the analysis of the results by Huss and Farinotti (2012).

A corresponding set M of synthetic values, pretended to represent *measured* values, is then generated by adding white Gaussian noise to the “true” values according to

$$\begin{cases} V_{\text{meas}} = V_{\text{true}} \cdot \exp(\varepsilon_{V,\text{meas}}) \\ A_{\text{meas}} = A_{\text{true}} \cdot \exp(\varepsilon_{A,\text{meas}}) \end{cases} \quad (3)$$

Similarly as above, $\varepsilon_{V,\text{meas}} \sim \mathcal{N}(0, \sigma_{V,\text{meas}})$, and $\varepsilon_{A,\text{meas}} \sim \mathcal{N}(0, \sigma_{A,\text{meas}})$, both i.i.d. In the following, $\sigma_{A,\text{meas}}$ is fixed to 0.025, compliant with the fact that glacier area is generally known within 5% at the 95% level of confidence (e.g. Paul et al., 2013), whereas $\sigma_{V,\text{meas}}$ will be varied in order to mimic scenarios for which the individual glacier volumes are known with different levels of accuracy.

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estimated, namely through the sum of the measured volumes, which all differ by a certain amount from the true (unknown) ones.

(1.3) Estimate the coefficients c and γ of the scaling relation by using the subsample M' selected in (1.2). The estimate is performed by a least-square fit of the logarithmically transformed (V, A) -data.

(1.4) Estimate the volume \hat{V}_R of the “remaining” subsample $R = M'^C \cap P$ of $n_R = n_P - n_{M'}$ glaciers (i.e. that fraction of the glacier population, for which no measured volumes are available) by using the scaling relation (Eq. 1) and the coefficients estimated in (1.3).

(1.5) Compute the difference between the estimated volume $\hat{V}_P = \hat{V}_{M'} + \hat{V}_R$ and the true volume V_P , which can be calculated from the true values.

(1.6) Repeat steps (1.1) to (1.5) 1000 times, in order to obtain an empirical confidence interval for the result in (1.5).

(1.7) Repeat the steps (1.1) to (1.6) for (a) different sizes of sample P and subsamples M' and R (i.e. different n_P , $n_{M'}$ and n_R), and (b) different “measurement accuracies” (i.e. different $\sigma_{V,\text{meas}}$).

The results of this experiment are shown in Fig. 1a for an example in which the glacier population consists of $n_P = 10\,000$ individual glaciers. According to this result, the total volume can be recovered within $\approx 30\%$ at the 95 %-level of confidence, if a subsample of at least 200 glaciers is available for estimating the coefficients of the scaling relation. Note that, in first approximation, this statement holds true independently from the uncertainty of the measured values (different lines in Fig. 1a). This can be understood as follows: As long as the scatter introduced by the uncertainty in the measurements remains below the variability imposed by $\varepsilon_{V,\text{true}}$ in Eq. (2) (this can be considered as the “intrinsic variability” of the true sample), the parameter estimation from the “measured” values leads, on average, to the same result as estimation from a

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“different sample of true values”, i.e. a subsample of true values which does not correspond to the true values of the subsample for which the measurements are available. This statement holds true because the measured values are assumed to deviate from the true ones by following a normal distribution with zero mean (Eq. 3), but would not apply in case of systematic deviations of the measurements.

In the example, the effect of the uncertainty in the known glacier volumes becomes visible only if the subsample used for the estimation of the coefficients is very small (< 100 glaciers) or very large ($\gtrsim 4000$ glaciers). Whilst the first observation is not surprising, since the standard errors of the estimated coefficients increase steadily with decreasing subsample size, the second observation may be unexpected at first. The explanation is given by the fact that in that case the estimated total volume is dominated by the values known from measurements, i.e. those values that are not estimated through scaling. As the size of the subsample used for the estimation of the coefficients approaches the total sample size, the accuracy with which the total volume is recovered converges to the accuracy given by the principle of Gaussian error propagation for the sum of measured values.

The deterioration in accuracy with which the total volume is recovered when the size of the subsample used for estimating the coefficients drops below ≈ 50 glaciers is very important. For a subsample of a dozen of glaciers for example, the total volume can only be recovered within a factor of 2 (100 % deviation), even if the measurements of the subsample would be known exactly. Considering the scarcity of measured glacier volumes in real applications (according to Cogley, 2012, only about 280 worldwide) this clearly highlights the low level of accuracy that can be expected if scaling is applied with coefficients estimated from a small set of local values. Such applications are, however, sometimes found in the literature (e.g. Liu and Sharma, 1988; Hagg et al., 2013).

On the other hand, the accuracy with which the true total volume can be recovered improves with increasing size of the considered glacier population. For example, using 280 (V , A)-pairs for estimating the scaling coefficients, and assuming an uncertainty in measured volumes of 20 % (two plausible values for the ice volume data available

worldwide), allows recovering the total volume of a population of 1000, 10 000 and 100 000 glaciers within $\approx 32\%$, $\approx 23\%$, and $\approx 20\%$ respectively (not shown).

With the same assumptions for the data set used for estimating the coefficients, increasing the number of glaciers to 170 000, i.e. the number of glaciers contained in the Randolph Glacier Inventory, leads to a maximal expected accuracy of $\approx 19\%$. This indicates that the confidence interval given by Grinsted (2013) for the worldwide glacier ice volume (0.35 ± 0.07 m sea level equivalent, corresponding to an accuracy of 20%) is conceivable to first-order. In fact, this assessment is based on a modified scaling relation that includes additional regression parameters besides glacier area, thus reducing the variance of the estimate.

2.3 Requirements for achieving a given accuracy

The results of the above experiment can also be used for investigating how many (V , A)-pairs are required for estimating the coefficients of a scaling relation such that the total ice volume of a particular glacier population is recovered within a given accuracy. Similarly as before, the answer is a function of the uncertainty associated with the measured data, and of the size of both the subsample used for calibrating the coefficients and the sample for which the total volume is estimated.

Figure 1b gives the results for a target accuracy of 40%, i.e. the case in which the total volume of the glacier population shall be recovered within a deviation being 40% the magnitude of the true value at the 95% level of confidence. Again, the two most prominent features are (1) the relatively weak influence of the uncertainty in the known (“measured”) volumes for large sample sizes, and (2) the poor performance of the scaling approach for small sample sizes. For a volume measurement uncertainty of 30%, and total sample sizes of 1000 and 10 000 glaciers, the required subsample size for parameter estimation is ≈ 102 and ≈ 80 glaciers, respectively. For a total sample size of 100 000 glaciers, this numbers drops to ≈ 60 glaciers. This can be explained by the fact that by increasing the sample size for which the total volume is estimated,

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random deviations cancel each other out even if the estimated scaling coefficients are relatively poorly constrained.

The results highlight the fact that if a sufficient number of measured ice volumes are available, and scaling is applied to a sufficient large sample of glaciers, the accuracy of the measurements itself is only of secondary importance. For example, the total volume of a glacier population of 10 000 glaciers, recovered through scaling with coefficients estimated from a subsample of 50 glaciers for which the volume is known exactly (ideal case), can be expected to have the same accuracy as if the coefficients would have been estimated with a subsample of 200 glacier for which the individual volumes are known with an uncertainty as large as 75 %. Remember, however, that the assumption that leads to this result is that the deviations in the measurements are random and centered around the true values.

3 Using scaling for estimating changes in volume and area

The second set of experiments addresses the accuracy that can be expected when volume area scaling is used for estimating changes in glacier area and volume. In this context, two applications are found in the literature: (1) Either scaling is applied separately to two different data sets of glacier area (usually two glacier inventories, compiled for two different points in time), and the difference of the result interpreted as the actual volume change (e.g. Granshaw and Fountain, 2006; Moore et al., 2009; Hagg et al., 2013), or (2) a volume change between two points in time is calculated by using a mass balance model, and the scaling relation is inverted in order to update glacier area (e.g. Raper et al., 2000; Van de Wal and Wild, 2001; Radić et al., 2007, 2008; Möller and Schneider, 2010; Marshall et al., 2011; Cogley, 2011). Analysis of the second application is of particular interest since the vast majority of the projections concerning the contribution of mountain glaciers and ice caps to future sea-level rise in the fifth assessment report of the Intergovernmental Panel on Climate Change will be based thereupon (e.g. Bahr et al., 2009; Slangen and van de Wal, 2011; Marzeion et al.,

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2012; Radić et al., 2013; Giesen and Oerlemans, 2013). In the following, the accuracy that can be expected from both applications is analyzed separately. The analyses are performed in synthetic experiments again, in order to provide idealized conditions and an upper-bound estimate for the accuracy. The case that the two points in time both refer to a steady state is addressed, as well as the transient case, referring to non-steady geometries.

3.1 Generation of a synthetic data sample

For the following analyses, the time evolution of both area and volume needs to be known for a given set of glaciers. In order to perform the analyses based on realistic glacier geometries, a subsample of 1800 glaciers is randomly extracted from the results of Huss and Farinotti (2012). Random extraction guarantees that the distribution of glacier areas in the subsample remains unaltered with respect to the original population. Extracted glaciers have an initial area between 0.8 and 510 km². From an ice-dynamic point of view, the selected pairs composed of a bedrock and a surface geometry are mutually consistent only within the simplified model that was used in Huss and Farinotti (2012). The individual glaciers are therefore first grown to a steady state by using the 3D full Stokes ice-dynamics model by Jouvet et al. (2008). This is done by initializing the model with a given glacier geometry, and imposing a constant climate until a steady state is reached (see below). Climate forcing is prescribed by an altitude-dependent, annual surface mass balance b (m yr⁻¹), computed according to:

$$b(z) = \min[(z - \text{ELA}) \cdot db/dz, b_{\max}] \quad (4)$$

where z is the considered altitude (m a.s.l.), ELA the equilibrium line altitude (m a.s.l.), db/dz the mass balance gradient (yr⁻¹), and b_{\max} a prescribed maximal mass balance (m yr⁻¹) that discards unrealistically high accumulation rates. For each glacier, a mass balance gradient is randomly assigned sampling uniformly from the interval $[3,12] 10^{-3} \text{ yr}^{-1}$, which is the range of values determined from field observation

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(e.g. Hoelzle et al., 2003). The ELA is chosen such that the given surface geometry yields an Accumulation Area Ratio (AAR) of 0.57, as observed on the worldwide average (e.g. Bahr, 2011). Maximal mass balance b_{\max} is set to $b(z_{0.95})$, where $z_{0.95}$ is the 0.95 quantile of glacier elevation. Further parameters in the ice-dynamics model include the flow rate factor A and the exponent n for Glen's flow law (Glen, 1955), as well as a unit-less sliding coefficient C controlling the implemented Weertman-type sliding (Weertman, 1964). For simplicity, all three parameters are set to constant values, chosen as $A = 0.06 \text{ bar}^{-3} \text{ a}^{-1}$ (corresponding to ice at a temperature of -1°C , Cuffey and Paterson, 2010), $n = 3$, and $C = 0.3$ (following Jouvét et al., 2009). Glacier sliding is assumed to occur below the ELA only, whilst above that altitude, all glaciers are frozen to the bedrock. The model by Jouvét et al. (2008) has been described, validated, and successfully applied in a number of studies (e.g. Jouvét et al., 2009, 2011a,b; Farinotti, 2013), and is not described further here. For additional details refer to the mentioned publications.

Glaciers are declared to have reached steady state if, over a 50 yr period and within the first 300 yr of simulation, (1) fluctuations in mass balance are within $\pm 0.1 \text{ m yr}^{-1}$, (2) fluctuations in ice thickness are $< 2.5\%$ of the average thickness, and fluctuations in (3) glacier area and (4) glacier volume are both $< 2.5\%$. The application of this criteria leads to the selection of 1174 glaciers (65% of the initial sample size, area ranging between 0.4 and 340 km^2). Estimating scaling coefficients for this sample yields $c = 0.030 \pm 0.001$ and $\gamma = 1.34 \pm 0.02$, which is lower than the value of $\gamma = 1.375$ expected from theory (Bahr et al., 1997), but in agreement with observational data (e.g. Macheret et al., 1988; Chen and Ohmura, 1990; Meier and Bahr, 1996; Bahr et al., 1997). Inspection of the scale-location and normal quantile-to-quantile plots (e.g. Chambers et al., 1983) for the residuals of this scaling relation reveal that the assumptions required for applying scaling are fulfilled well (not shown).

The next step consists in prescribing an altered climate, and computing a new steady state by using the same ice-dynamics model. This provides a glacier evolution from which simulated ice volume changes can be derived. Perturbation in climate

is prescribed as an uniform rise in ELA by 100 m, roughly corresponding to an increase in temperature by 0.8 °C (Oerlemans and Fortuin, 1992). The ice-dynamics model is then re-run for another 300 yr. Out of the 1174 glaciers, 743 (63 %) reach a new steady state with the same conditions as above. Steady state is reached after between 22 and 273 yr (median 105 yr). The so obtained sample will form the new initial population T for all further analyses, with the advantage that area and volume are known at any point in time for the period between the two simulated steady states. Figure 2 visualizes the described modeling steps for two randomly selected glaciers. Scaling coefficients estimated for the new sample are $c = 0.040 \pm 0.001$ and $\gamma = 1.33 \pm 0.02$. This is in line with the previously estimated values since the value for γ is unaltered, and a change in c is expected because of the distribution of glacier areas consistently shifting towards lower values. Again, analysis of the residuals of the fitted relation reveal the suitability of the sample for the application of scaling.

3.2 Accuracy of volume changes estimated from changes in area

The accuracy with which the total volume change of a glacier population P can be recovered through scaling if the area of every glacier is known for two points in time, is addressed first. Similarly as before, scaling coefficients are determined by assuming that a subsample M' of n_M , measured (V, A) -pairs is available for calibration. Since the analyses presented so far showed that the accuracy of the measured glacier volumes only plays a minor role (Fig. 1b), 20 % uncertainty in measured volumes is assumed from here on. Uncertainty in measured area is kept at the level of 5 % (Paul et al., 2013).

By using the subscripts t_1 and t_2 for indicating two points in time, the experiment can be described as follows:

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Experiment 2

- (2.1) From the total population T , randomly select a sample P of n_P glaciers, for which the total volume change between t_1 and t_2 shall be estimated. The sample has two different states, P_{t_1} and P_{t_2} , corresponding to the two points in time.
- (2.2) Out of the combined population $P_{t_1} \cup P_{t_2}$, randomly select a subsample of $n_{M'}$ glaciers, and consider the corresponding measured values M' for area and volume. Subsample M' will be composed of n_{M',t_1} (V, A)-pairs referring to time t_1 (subsample M'_{t_1}), and n_{M',t_2} (V, A)-pairs referring to time t_2 (subsample M'_{t_2}), with the condition $n_{M'} = n_{M',t_1} + n_{M',t_2}$.
- (2.3) Estimate the coefficients c and γ of the scaling relation by using the subsample M' selected in (2.2). The estimate is performed by least-square fit of the logarithmically transformed (V, A)-data. Two cases are distinguished: In the first, one individual set of coefficients is estimated for both points in time, thus assuming constant values for c and γ , whereas in the second, two different sets of coefficients are estimated for t_1 and t_2 separately.
- (2.4) Estimate the volumes \hat{V}_{R,t_1} and \hat{V}_{R,t_2} of the “remaining” subsamples $R_{t_1} = M'_{t_1} \cap P_{t_1}$ and $R_{t_2} = M'_{t_2} \cap P_{t_2}$ of $n_{R,t_1} = n_{P,t_1} - n_{M',t_1}$ and $n_{R,t_2} = n_{P,t_2} - n_{M',t_2}$ glaciers respectively, by using the scaling relation (Eq. 1) and the coefficients estimated in (2.3).
- (2.5) Estimate the total volume for the glacier population P for the two points in time with $\hat{V}_{P,t_1} = \hat{V}_{M',t_1} + \hat{V}_{R,t_1}$ and $\hat{V}_{P,t_2} = \hat{V}_{M',t_2} + \hat{V}_{R,t_2}$, where the estimates \hat{V}_{M',t_1} and \hat{V}_{M',t_2} derive from the measured volumes, and \hat{V}_{R,t_1} and \hat{V}_{R,t_2} from the scaling relation.
- (2.6) Estimate the total volume change between t_1 and t_2 with $\widehat{\Delta V} = \hat{V}_{P,t_2} - \hat{V}_{P,t_1}$ and compare it to the true total volume change ΔV_P which can be calculated from the true values.

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- (2.7) Repeat steps (2.2) to (2.6) 1000 times, in order to obtain an empirical confidence interval for the result in (2.6).
- (2.8) Repeat steps (2.1) to (2.7) for different sizes of samples P and subsamples M' and R (i.e. for different n_P , $n_{M'}$ and n_R).
- 5 (2.9) Perform steps (2.1) to (2.8) two times: First for the case in which t_1 and t_2 both refer to a steady state (“steady-state case”), and second for the case in which neither t_1 nor t_2 refer to steady state (“transient case”).

The results of this experiment are shown in Fig. 3a for the steady-state case, and in Fig. 3b for the transient case. Three features are worth special notice:

10 (1) Estimates that assume a constant set of coefficients recover the true total volume change with a higher accuracy than the estimates assuming time varying coefficients. This is true for both the steady-state and the transient case (Fig. 3a and b), although it is more prominent in the second case and when a small subset of glaciers is used for parameter estimation in particular (Fig. 3b). This observation seems to contradict earlier findings that indicate time varying coefficients (e.g. Adhikari and Marshall, 2012). It can, however, be explained by (a) the standard errors associated with the estimated coefficients, which are mainly a function of the absolute number of (V, A) -pairs available for the estimate itself, and (b) the consistency of the estimated coefficients for the two points in time, which is given when assuming constant coefficients, but not when these are time-varying. For a population of 100 glaciers for instance, using 50 % of the sample for estimating the coefficients would lead to (i) two subsamples of 50 (V, A) -pairs (one for t_1 and one for t_2), in the case that two different sets of coefficients are estimated, or (ii) a subsample of 100 glaciers, if constant coefficients are assumed. Besides the fact that a decrease in the standard errors of the estimated coefficients reduces the variance in the estimated total volume as well, there is no guarantee that in the case of time varying coefficients, the two sets of 50 (V, A) -pairs will refer to the same subset of glaciers (which seems realistic for practical applications). This means that biases in the computed volume change are likely to be introduced through the

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variations in the estimated coefficients. As an example, consider a glacier that does not change its geometry between two points in time: Computing the glacier volume through scaling and calculating the volume change ΔV therefrom will lead to $\Delta V \neq 0$ in the case that two different sets of coefficients are used, and $\Delta V = 0$ otherwise. Similar effects play a role especially when the volume changes are computed over short periods. As expected, the difference between the two assumptions (i.e. constant vs. time variable coefficients) decreases with both, increasing total sample size and increasing size of the subsample used for parameter estimation. However, it remains clearly noticeable even for total sample sizes of up to 500 glaciers.

(2) In the steady-state case, increasing the set of measurements used for estimating the scaling coefficients beyond a few dozen of (V, A) -pairs results in a relatively weak improvement of the accuracy for the recovered total volume change (Fig. 3a). This can be explained by the fact that for transitions between steady states, the distributions of area and volume for both considered points in time fulfill the assumptions necessary for using scaling very well. As a consequence, the correct set of scaling coefficients can be recovered accurately even if the subsample available for the estimate is comparatively small. Moreover, the effect of increasing standard errors with decreasing subsample size is mitigated when considering volume changes, since in this case differences (and not absolute values) in estimated volumes are considered. Note however that for the case of transient glacier states, the accuracy with which the true total volume change can be recovered, steadily improves also if the subsample size used for the estimation of the coefficients is as large as a few hundred of glaciers (Fig. 3a).

(3) In general, the true total volume change can be recovered with a higher accuracy in the case of transitions between two steady states. This is not surprising since the assumption for using scaling are better satisfied in this case. As an example, assuming constant scaling coefficients and using 30 % of a population of 500 glaciers for calibrating a scaling relation, would allow recovering the true total volume change of that population within $\sim 30\%$ in the steady-state case, and only within $\sim 50\%$ in the transient case. These numbers are in line with the results of Experiment 1, in which it was

shown that using 150 glaciers for calibrating a scaling relation allows to recover the true total volume of a population of 500 glaciers within $\sim 40\%$ (Fig. 1).

Summarizing the results of this experiment one can say that, as a rule of thumb, (1) the true total volume change of large glacier populations (more than a few hundred glaciers) can be recovered through scaling with a similar accuracy as the true total volume, if a sufficient number of glaciers (more than a few dozens) are available for estimating the coefficients of the relation, and (2) for practical applications, assuming constant scaling coefficients has a positive effect on the accuracy with which the true volume change can be recovered.

3.3 Accuracy of updated area estimated from volume changes

The second analysis focuses on the accuracy with which the area of a glacier population P can be updated by inverting the scaling relation. This requires that the volume change between the two points in time is known from some mass balance model within a given uncertainty. Similarly as before, it is assumed that a subsample M' of $n_{M'}$ (V, A) -pairs is available for estimating the coefficients of the scaling relation. As for Experiment 2, the uncertainty for measured area and volume is set to the level of 5% and 20%, respectively.

Following Radić and Hock (2011) or Marzeion et al. (2012) for example, updating of glacier area is performed for each glacier individually according to:

$$A_{t2} = \left[A_{t1}^{\gamma} + \frac{\Delta V}{c} \right]^{\frac{1}{\gamma}}, \quad (5)$$

where $\Delta V = V_{t2} - V_{t1}$ is the volume change between the two times $t1$ and $t2$, and A_{t1} and A_{t2} the corresponding glacier areas. Note that the necessary assumption for writing Eq. (5) is that the coefficients of the scaling relation are constant in time. In the following, ΔV is assumed to be known from some modeling only, and the estimated

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value $\widehat{\Delta V}$ is constructed according to:

$$\widehat{\Delta V} = \Delta V_{\text{true}} + \varepsilon_{\Delta V, \text{modeling}}, \quad (6)$$

where $\varepsilon_{\Delta V, \text{modeling}} \sim \mathcal{N}(0, \sigma_{\Delta V, \text{true}})$ i.i.d. mimics the deviation of the modeled value from the true one ΔV_{true} . Similarly as before (cf. $\varepsilon_{V, \text{meas}}$ in Eq. 3) the assumption is that the deviations from the true, unknown values are centered around zero, which is a substantially stronger assumption than for measured volumes. Note, however, that the deviations are implemented differently than in the case of measured (V, A) -pairs (Eq. 3), reflecting the fact that the modeled volume changes may well differ from the true ones even by sign.

With the above definitions, the experiment is implemented as follows:

Experiment 3

- (3.1) From the total population T , randomly select a sample P of n_P glaciers, for which the area shall be updated between the time points t_1 and t_2 .
- (3.2) Out of P , randomly select a subsample of $n_{M'}$ (V, A) -pairs, and consider the corresponding measured values M' . The values refer to time t_1 .
- (3.3) Estimate the coefficients c and γ of the scaling relation by using the subsample M' selected in (3.2). The estimate is performed by least-square fit of the logarithmically transformed (V, A) -data.
- (3.4) Randomly assign an uncertainty to the volume changes known from modeling, i.e. randomly choose a realization of $\varepsilon_{\Delta V, \text{modeling}}$ (Eq. 6), for a given $\sigma_{\Delta V, \text{true}}$.
- (3.5) Calculate the updated glacier area \widehat{A}_{t_2} for the entire population P according to Eq. (5), and compare the estimated total area change $\widehat{\Delta A} = \widehat{A}_{t_2} - \widehat{A}_{t_1}$ to the true area change that can be computed from the known, true values.

- (3.6) Repeat steps (3.2) to (3.4) 1000 times, in order to obtain an empirical confidence interval for the result in (3.5).
- (3.7) Repeat steps (3.1) to (3.6) for different (a) sizes of samples P and subsamples M' (i.e. for different n_P and $n_{M'}$), and (b) values of $\sigma_{\Delta V, \text{true}}$, which steers the variance in $\varepsilon_{\Delta V, \text{modeling}}$.
- (3.8) Perform steps (3.1) to (3.7) for both the steady-state and the transient case.

The results of this experiment are shown in Fig. 4a for the steady-state case, and in Fig. 4b for the transient case.

The accuracy with which the true total area change can be recovered is almost a linear function of the uncertainty with which the corresponding volume changes are known. The size of the subsample available for estimating the scaling coefficients plays a marginal role and is noticeable only when the uncertainty in the volume changes is small (below $\sim 30\%$). Similarly as before, the true total area change can be recovered more precisely in the case of transitions between steady-state geometries as between transient states. Considering a population of 500 glaciers for example, if 100% (10%) of the sample are used for estimating the scaling coefficients, and if the volume changes are known exactly, the true total area change can be recovered within 10% (25%) between two steady states, but only within 20% (35%) between two transient states. It is interesting to note that when the accuracy of individual volume changes is very low (uncertainty $\gtrsim 70\%$), the accuracy with which the area change can be recovered is slightly better than the uncertainty with which the individual volume changes are known. If, for example, the individual volume changes are known within a factor of two (100% uncertainty), the total area change can be recovered within 90% and 95% in the steady-state and transient case, respectively. This is, however, not the case when the individual volume changes are known relatively well. For example, if the individual volume changes are known exactly (with an uncertainty of 20%) in transient case, the true total area change can be recovered within 25–35% (35–40%), depending on the size of the subsample used for estimating the coefficients. Thus, as a rule of thumb,

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one can say that by inverting the scaling relation for updating the area of a glacier population, the true total area change can be recovered with an accuracy that is comparable to the uncertainty with which the corresponding volume changes are known if this uncertainty is high, and with a significantly lower accuracy if the uncertainty in the known volume changes is low. Bear in mind, however, that the known volume changes are assumed to scatter symmetrically around the true, unknown values.

3.4 Estimating scaling coefficients from measured volume changes

In all three experiments presented so far, the coefficients of the scaling relation were derived from a given set of measured (V, A) -pairs. However, if measured volume changes are available and constant coefficients are postulated (Experiment 2 showed that for practical application this assumption may even be advantageous), there is another potential way of estimation. Consider the equation:

$$V_{t1} = c \cdot A_{t1}^\gamma = V_{t2} - \Delta V = c \cdot A_{t2}^\gamma - \Delta V, \quad (7)$$

with the same notation as used so far. Estimation of the coefficients c and γ can be written as an optimization problem:

$$\operatorname{argmin}_{c, \gamma} \left(\sum (c \cdot (A_{t2}^\gamma - A_{t1}^\gamma) + \Delta V)^2 \right), \quad (8)$$

where a solution can be found by using any optimization algorithm. The performance of this alternative estimation method is assessed by repeating Experiment 2 for the case in which one single set of scaling coefficients is estimated for both points in time. The optimization problem (Eq. 8) is solved by using the algorithm by Nelder and Mead (1965) as implemented in the software package *R*, and since a series of tests (not shown) revealed that the results are not significantly influenced by the uncertainty in the available measured volume changes, simulations presented here are performed assuming an uncertainty in the known volume changes of 10 %.

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The performance of the two methods for parameter estimation (i.e. least-squares fit of (V, A) -data vs. optimization of $(A_{t_1}, A_{t_2}, \Delta V)$ -data) can be assessed in two different ways: (1) by computing the difference between the true and the estimated total volume and volume change for the sample P , and (2) by comparing the confidence intervals obtained for the two scaling coefficients. Again, the confidence intervals are obtained empirically by repeating the experiment 1000 times, and the experiment is repeated for different sizes of subsample M' .

The results of this experiment are shown for the case in which the alternative method is used for estimating the total glacier volume (Fig. 5a) and the total volume change (Fig. 5b) of a population of 500 glaciers. Confidence intervals for the estimated coefficients are shown in Fig. 5c and d.

When comparing the estimated total volume to the true one (Fig. 5a), the following must be noted: When the scaling coefficients are estimated through least-squares fit, the volumes of the individual glaciers of subsample M' (the subsample used for the estimation) are assumed to be known from measurements, and thus, only the volumes of the remaining subsample R have to be estimated through scaling. In contrast, no measured volumes are assumed to be available when estimating the scaling coefficients from measured volume changes, and the volume of each glacier of the entire sample P has to be computed from scaling. This causes the difference between estimated and true total volume to converge to zero with growing size of subsample M' in the first case, whilst this is not necessarily true in the second.

The situation is reversed in the case that total volume changes are considered (Fig. 5b): The difference between estimated and true total volume change converges to zero with growing size of subsample M' for the estimate using scaling coefficients derived from measured volume changes (since the values for ΔV of subsample M' are now assumed to be known), whilst this is not necessarily the case when scaling coefficients are derived through regression (since no measured volumes changes are assumed to be available).

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Besides these two observations which are intrinsic to the chosen methodology, one has to note that the results of the alternative method generally yields poorer results. This is true in particular when a small subsample ($\lesssim 40$ glaciers) is used for estimating the coefficients, which is the situation most common to practical applications. This can be explained by considering the coefficients and the corresponding confidence intervals (Fig. 5c and d): The estimates are not suited for the presented application since they are neither robust (i.e. they do not cluster around the best estimate for small subsamples), nor efficient (i.e. the confidence intervals of the estimated values decrease only slowly with respect to the subsample size used for parameter estimation). The use of this alternative approach is, thus, discouraged in practical applications.

4 Applications with real data

All experiments presented so far have been performed with synthetic data, for which the preconditions for applying volume-area scaling are either imposed by definition (Experiment 1) or checked a priori (Experiments 2 and 3). For applications with real-world data, the previously estimated confidence intervals are thus expected to be systematically too narrow. For assessing by how much these confidence intervals need widening, the three experiments are repeated using measurements taken from two different data sets. The first data set was compiled by Cogley (2012), and includes measured glacier area and volume for 271 glaciers around the globe. The second data set is based on data provided by the World Glacier Monitoring Service (WGMS) that include a total of ~ 4000 changes in ice thickness or ice volume reported for 214 different glaciers worldwide (WGMS, 2012). Prior to utilization, the WGMS-data set was filtered in order to exclude entries that (1) have no time reference, (2) do not have information about glacier area, (3) show inconsistent information (e.g. different areas for the same point in time), (4) refer to periods shorter than 2 yr, (5) refer only to a part of a glacier (e.g. a given elevation band), and (6) refer to glaciers with an area $< 0.1 \text{ km}^2$. Moreover, additional data for the Swiss Alps were retrieved from Bauder et al. (2007), Huss et al.

(2008, 2010), Farinotti et al. (2012) and Gabbi et al. (2012). This resulted in a final set of 557 measurements of volume and area changes.

Experiment 1 is repeated by setting the initial population T to the set of 271 measured (V, A) -pairs, where the “true” values are now given by the measurements. Uncertainty in the data is assumed to be 5% for area and 20% for volume, and is accounted for by introducing noise according to Eq. (3). In each of the 1000 repetitions that are used for empirically determining the confidence intervals, a synthetic set of additional 271 values is generated according to Eq. (2). This is done by setting A to the measured values, and using the coefficients c and γ that can be estimated from the total sample of real, measured (V, A) -pairs, i.e. $c = 0.040 \pm 0.002$ and $\gamma = 1.25 \pm 0.02$. Note that the estimated value for γ is significantly lower than the value given by both the synthetic data and theory. This can be explained by the fact that the real data refer to transient geometries (e.g. Bahr et al., 1997; Adhikari and Marshall, 2012).

The two confidence intervals (one derived from the real data, one from the synthetic ones) obtained in this way for the accuracy with which the true total volume can be recovered, are compared in Fig. 6a. As expected, the difference between the two decrease with increasing subsample size that is used for estimating the scaling coefficients (since more and more volume data are assumed to be known). However, differences larger than 10% (20%) are assessed for subsample sizes smaller than 80 (40) glaciers. When the coefficients are estimated from 20 glaciers or less, the accuracy with which the total true volume can be recovered for the real data is 45% lower than for the synthetic ones (deviations of 120% and 75%, respectively). This emphasizes the importance of a sufficiently large sample for estimating the necessary coefficients on the one side, and, more importantly, the magnitude with which the accuracy in applications with real data can deviate from the ideal assumptions on the other.

For the application with real data, Experiments 2 and 3 are slightly modified. The total glacier population T , out of which the sample P will be drawn (steps 2.1 and 3.1), is represented by the set of 557 measured volume and area changes. The coefficients of the scaling relation, however, will be determined from a subsample of given size

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randomly extracted from the data set of 271 real-world (V , A)-pairs (steps 2.2, 2.3, and 3.2, 3.3). Again, the assumption of constant scaling coefficients is necessary. In Experiment 2, steps 2.4, 2.5 and 2.6 are then performed analogously, with the difference that the volume for the entire population P will be estimated from scaling (this is true for both points in time, t_1 and t_2). In Experiment 3, the known volume changes (step 3.4) and true area changes (step 3.5) are now represented by the measurements. Similarly as before, in each of the 1000 repetitions used for estimating the confidence intervals, a corresponding set of synthetic values is drawn from the population of synthetic glaciers. This is true for both the sample used for determining the scaling coefficients and the sample for which changes in area or volume are estimated. For consistency with the real data, both samples are taken from geometries that refer to transient states. The experiments are repeated for different subsample sizes used for estimating the scaling coefficients, whilst the size of the population P is kept constant to the number of available real-world measurements (i.e. 557). Measurement uncertainty for area and volume is again assumed to be 5% and 20% respectively, whilst uncertainty in measured volume changes is assumed to be 10%.

The confidence intervals derived for the real and the synthetic data are shown in Fig. 6b for the case in which the volume change is estimated from the changes in area (Experiment 2), and in Fig. 6c for the case in which the area is updated from known volume change (Experiment 3).

In the case of real data, the accuracy with which the true total volume change can be recovered is significantly lower compared to the synthetic data (Fig. 6b). Even if the entire set of available (V , A)-pairs is used for estimating the scaling coefficients, the difference in accuracy is in the order of 30%: The true total volume can be recovered within $\sim 20\%$ in the synthetic data case, but only within $\sim 50\%$ in the case with real data. This figure is remarkably amplified for situations in which the scaling coefficients are estimated from smaller subsamples: If 50 (20) (V , A)-pairs are used for parameter estimation, the difference in accuracy corresponds to $\sim 40\%$ ($\sim 65\%$). The application of scaling for estimating volume changes in real applications is thus

suitable only for samples with several hundreds of glaciers. Moreover, the data base of measured (V , A)-pairs that is required for achieving a reasonable level of accuracy in this case needs to be extended from the “few dozens” necessary in the synthetic case with ideal conditions to “about a hundred”. This may lead to questioning the suitability of this approach for applications outside the global context, such as applications at the mountain range scale, in which measurements are rarely available from more than a dozen of glaciers.

Given that a sufficiently large sample of (V , A)-pairs is used for estimating the scaling coefficients, the accuracy with which the true area change can be recovered for real data is decreased only marginally when compared to the synthetic case (Fig. 6c). When the entire sample of (V , A)-pairs is used for parameter estimation, the true total area change can be recovered within $\sim 25\%$ and $\sim 35\%$ in the synthetic and the real data case, respectively. The difference increases when a smaller subsample of values is used for estimating the coefficients, but the changes are less pronounced than in the case where scaling is used for estimating the volume change: When 30% (10%) of the available (V , A)-pairs are used for parameter estimation, the difference between the application to real and synthetic data is 10% (15%). The use of scaling for updating the glacier area in real application, thus, seems to be reasonable when (a) the application is performed for a sufficiently large set of glaciers, (b) sufficient (V , A)-pairs are available for estimating the scaling coefficients, and (c) the according volume changes are known with a sufficient level of accuracy. In applications that aim at modeling future glacier evolution, condition (c) can be considered as the limiting factor. In fact, recall that the above mentioned numbers refer to the case in which the individual volume changes are known within 10% uncertainty, and, more importantly, that the individual values are assumed to scatter around the unknown, true values.

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5 Conclusions

The accuracy that can be expected when using volume-area scaling for estimating the total volume, the total volume change, or the total area change of a glacier population was investigated using a series of resampling experiments. By considering different sets of synthetic data explicitly constructed in order to fulfill the assumptions that underlie volume-area scaling, the derived confidence intervals for the stated accuracies represent an upper-bound, i.e. a level of accuracy that will not be reached in applications with real data.

The amount with which these accuracies need to be adjusted in applications with real-world data was assessed by considering a comprehensive compilation of measured glacier volumes, areas, and changes in area and volume. Based on the presented analyses, the following statements can be formulated:

1. The accuracy with which the total volume of a glacier population can be recovered is a function of both the size of the population itself, and the size of the sample used for estimating the scaling coefficients. Given that “a few dozens” of (V, A) -pairs are available for estimating the scaling coefficients, applications of scaling for glacier populations of several hundred glaciers and more can be considered appropriate if the recovery of the true total volume within 40 % (at the 95 % of confidence) is considered to be a sufficient degree of accuracy. For samples larger than “a couple of thousand” glaciers, accuracies better than about 30 % can be achieved if a set of (V, A) -pairs having the size of all measurements available worldwide (about 280) are available for estimating the scaling coefficients. This shows the limitations of using scaling relations calibrated with local data. Pre-supposing that no systematic deviations occur, the uncertainty associated with the individual (V, A) -pairs only plays a marginal role. It is not possible to give one number for the decrease in accuracy that has to be expected in applications with real data. However, considering the limited amount of available measured

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(V , A)-pairs, a loss in accuracy by 20 % and more may occur in applications at the mountain range scale.

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2. Applying volume-area scaling for estimating the volume change of a glacier population for which the area is known for two points in time is suitable for glacier populations larger than a few hundred glaciers. The condition is that a sufficient number of glaciers (more than a few dozen) are available for estimating the scaling coefficients. Assuming scaling coefficients that do not vary over time is not only necessary from a practical point of view, but has also a positive effect on the accuracy of the estimate. This is especially true if relatively small glacier populations and relative small volume changes are considered. The difference between the upper-bound accuracy derived from synthetic experiments and the accuracy that can be expected in applications with real data is more pronounced than in the case of estimated total volumes. However, if all measurements available worldwide are used for estimating the scaling coefficients, total volume changes derived from scaling can be expected to be recovered within 50 % and less for populations of 500 glaciers and more.
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3. The accuracy with which the area of a glacier population can be updated by inverting volume-area scaling when the volume change is known, is nearly a linear function of the uncertainty in the volume change itself. If the uncertainty in the known volume changes is above 30 %, the total area change can be recovered only within an accuracy that is comparable to the known volume changes. For uncertainties lower than that, the accuracy of the inferred area change improves less fast than the reduction in uncertainty in the known values. Assuming that volume changes are known with an uncertainty of 10 %, and that sufficient (V , A)-pairs are available for estimating the scaling coefficients, the total area change of a glacier population of some hundred glaciers ($\gtrsim 500$) can be recovered within 30 %. This number increases only slightly in applications with real data. The necessary prerequisite, however, is that the volume changes are known without a systematic bias.
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The presented analysis does not aim at making a final judgement about the suitability of volume-area scaling, but provides the means to assess the accuracy that can be expected from a particular application.

Acknowledgements. We are indebted to Guillaume Jouvét, Gilles Steiner, and the companies Ycoor Systems SA and ALPIQ SA which made possible the use of the ice-dynamics model. We acknowledge Graham Cogley and the World Glacier Monitoring Service for providing measured ice volumes and volume changes, respectively.

The service charges for this open access publication have been covered by a Research Centre of the Helmholtz Association.

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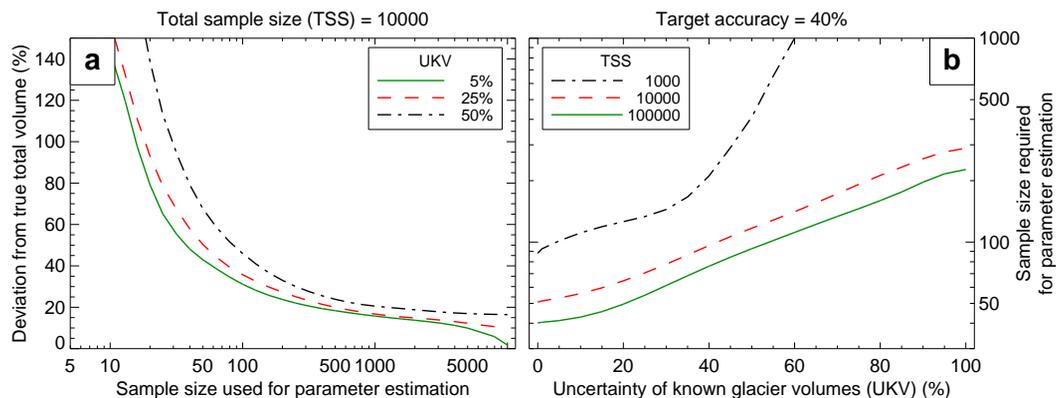


Fig. 1. (a) Accuracy (at the 95% confidence level) with which the true total volume of a population of 10 000 glaciers is recovered through volume-area scaling (ordinate) when the coefficients of the scaling relation are estimated by using a given number of measured (V , A)-pairs (abscissa). The different lines depict scenarios for different measurement uncertainties in glacier volume. (b) Sample size required for estimating the coefficients of the scaling relation in order to recover the true total volume of a glacier population within 40% accuracy (95% confidence level). The result is given as a function of the uncertainty in the measured volumes. The different lines depict the result for different sizes of the glacier population for which the total volume is estimated.

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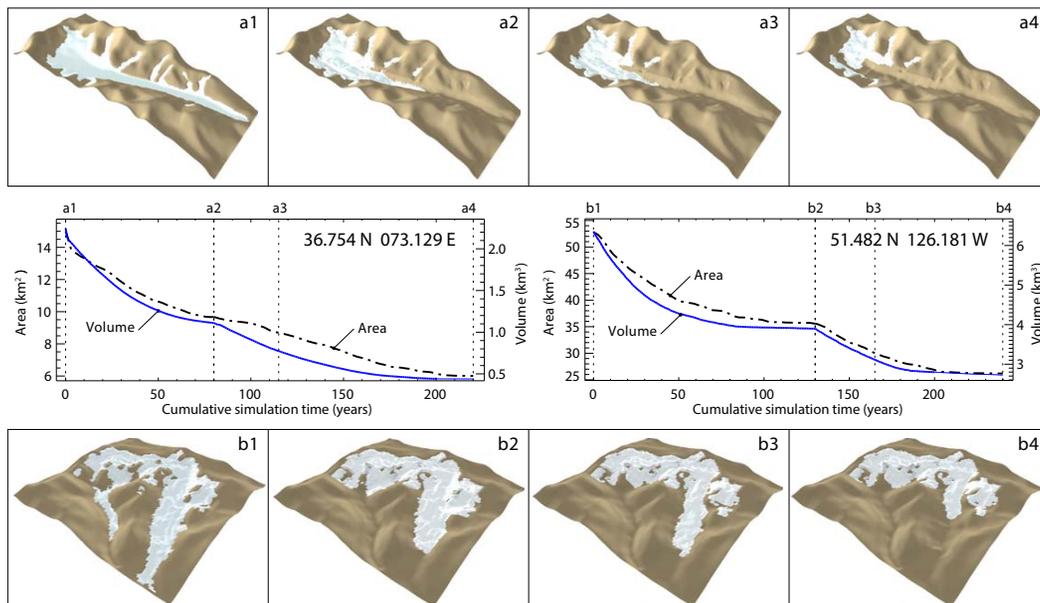


Fig. 2. Evolution of area (dash-dotted) and volume (blue) as calculated with the ice-dynamics model for two selected glaciers (coordinates give the location). “a1” and “b1” correspond to the initial glacier geometries as given by Huss and Farinotti (2012). “a2” and “b2” are the steady states reached after imposing an ELA yielding $AAR=0.57$ for the initial geometry. “a4” and “b4” are the steady state configurations after the ELA has been increased by 100 m, and “a3” and “b3” are a transient configuration about mid-way between the two steady states.

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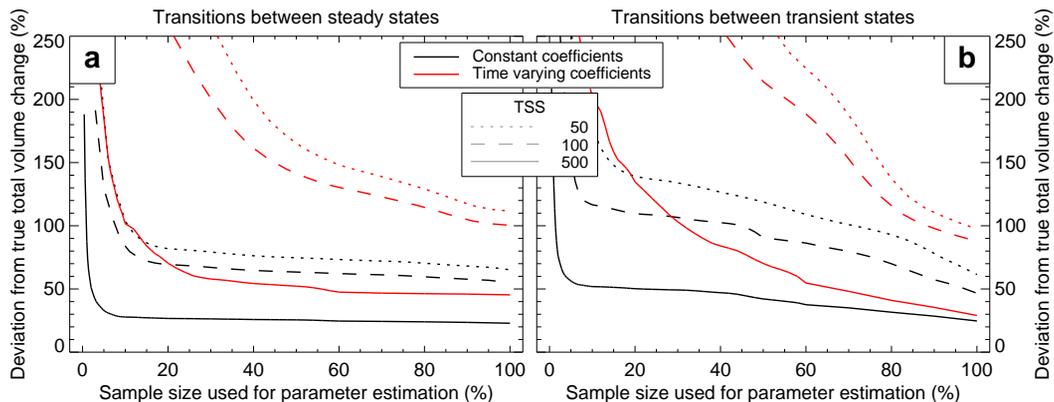


Fig. 3. Accuracy (95 % of confidence) with which the true total volume change between two points in time t_1 and t_2 can be recovered by using volume-area scaling if the area of each individual glacier is known for both t_1 and t_2 . In **(a)** both t_1 and t_2 refer to steady state geometries, whilst in **(b)** the geometries are transient. Scaling coefficients are assumed to be constant (black lines) or estimated separately for t_1 and t_2 (red lines). The different line styles depict different total sample sizes (TSS) for the glacier population.

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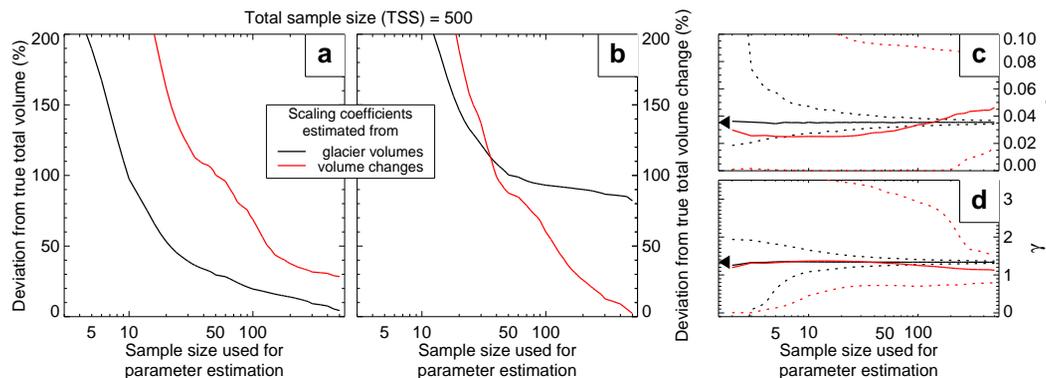


Fig. 5. Accuracy (95% of confidence) with which the true total (a) volume and (b) volume change of a population of 500 glaciers can be recovered by using volume-area scaling when the coefficients of the scaling relation are estimated from measured glacier volumes (black) or measured volume changes (red). Measured area and volume data are assumed to be known with 5% and 20% uncertainty, respectively. (c) and (d) Median (solid) and 95% confidence interval (dotted) for the coefficients c and γ estimated by using measured glacier volumes (black) or measured volume changes (red). Measured volume and volume change data are assumed to be known with 20% and 10% uncertainty, respectively. The triangle points at the best estimate of the coefficients (i.e. the estimate derived from least-squares fit of all data forming the initial population T).

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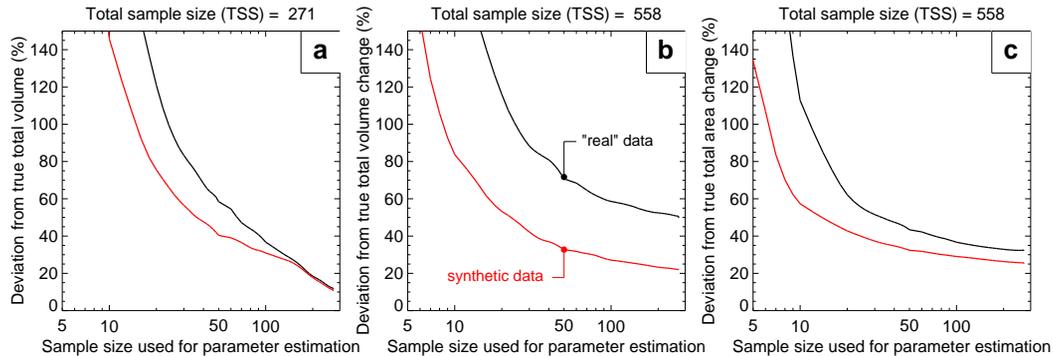


Fig. 6. Accuracy (95 % of confidence) with which the true total (a) volume, (b) volume change, and (c) area change can be recovered for a given glacier population by using volume-area scaling when the coefficients of the scaling relation are estimated from a subsample of (AV)-data of given size. The two lines depict the cases in which the accuracy is estimated from synthetic (black) and real (red) data.

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