

1 Surface deformation detected by the space-observed small baseline SAR
2 interferometry over permafrost environment of Beiluhe section, Hoh Xil
3 natural reserve in Tibet Plateau, China

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15 **Abstract:** The evolution of permafrost and the active layer is highly related to biological diversity
16 and climate change because of its feedback effects involving water and carbon storage. In this
17 study, we firstly examined the relationship of active layer variation, geomorphological process
18 and anthropogenic activities by means of small baseline synthetic aperture radar interferometry
19 in Beiluhe, Hoh Xil natural reserve in Tibet Plateau (TP), China, using 3.5-yr observation span of
20 L-band ALOS PALSAR data (June, 2007 to December, 2010). The estimated surface
21 displacements (primarily in the range of -30 mm yr^{-1} to 30 mm yr^{-1}) and time-series implied

22 evolutions of the active layer and permafrost beneath. The motion trend along slopes was
23 complicated due to the geomorphological process, and thus interdisciplinary interpretations
24 were required. Anthropogenic influences on this frail permafrost environment were significant,
25 proved by the remarkable surface settlement along the embankment of Qinghai-Tibet Railway.
26 Consequently, it is crucial and necessary to monitor this permafrost plateau owing to the
27 combination of climate change, geo-hazards prediction, natural reserve conservation as well as
28 the regional sustainable development.

29

30 **Keywords:** Small BAseline Subsets; permafrost; Tibet Plateau; Qinghai-Tibet Railway; natural
31 reserve;

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34 1. Introduction

35 The Tibet Plateau (TP), recognized as the third pole of Earth, has the largest permafrost
36 extent outside the polar region (Chen et al., 2012b). Permafrost is sensitive to global
37 warming, resulting in significant influences on regional water balance, biological
38 diversity, carbon cycle and engineering constructions. TP, known as the Asia water
39 tower, is the source region of many major rivers in Asia (Immerzeel et al., 2008). The
40 perennial flow of those rivers largely relies on the constant flux from glaciers melting.
41 Approximately 23-48% of the total global soil carbon pool is stored in permafrost
42 regions in the world (Guo and Macdonald, 2006; Tarnocai et al., 2008). Alpine

43 permafrost in TP bears a greater organic carbon pool than do grassland soils in other
44 regions of China (Wang et al., 2008). Thus carbon emission from permafrost has been
45 highly concerned when it thaws under global warming (Monastersky, 2011; Schuur et al.,
46 2008). The active layer overlaid on permafrost tends to be instable determined by the
47 dynamics of frost heave and thaw settlement. As the plateau is being developed, the
48 anthropogenic activities, such as expansive natural resource exploitation and tourism,
49 have introduced external pressures to the local environment and biological diversity. All
50 issues described above are closely correlated with the dynamics of the active layer as
51 well as beneath permafrost, e.g. growth or degradation.

52 As the highest terrestrial unit, abundance of studies has been conducted in TP
53 because of its significance for global and regional sustainable development, including
54 climate change and carbon emission (Liu et al., 2009; Wu et al., 2010), tectonics and
55 earthquake (Ismail-Zadeh et al., 2007; Loveless and Meade, 2011; Qiao et al., 2011),
56 water balance (Niu et al., 2011; Wang et al., 2009a,b) and permafrost environment (Jin
57 et al., 2008; Wu and Zhang, 2008, 2010; Yang et al., 2004). However, the estimation of
58 surface movements over permafrost environment, particularly in TP, using spaceborne
59 Synthetic Aperture Radar Interferometry (InSAR) (Chen et al., 2012b) is still inadequate.
60 Differential InSAR (DInSAR) (Massonnet et al., 1993), one of the quantitative remote
61 sensing technologies, has proved to be effective for ground motion detection by
62 measuring the phase difference of two or multi-temporal SAR acquisitions (Chen and Lin,
63 2011; Hooper et al., 2012). The development of Multi-Temporal SAR Interferometry
64 (MT-InSAR), which mitigates the intrinsic limitations of the traditional DInSAR (spatial-

65 temporal decorrelation as well as atmospheric disturbance); and thus is capable of
66 deriving surface motion rates with millimetric accuracy using large datasets over the
67 same area. In general, MT-InSAR can be divided into two main categories, including
68 Persistent Scatterer (PS) (Ferretti et al., 2000; Hooper et al., 2004) and Small Baseline
69 Subsets (SBAS) (Berardino et al., 2002; Chen et al., 2010, 2012a; Jiang et al., 2011; Lanari
70 et al., 2004; Lin et al., 2011). The former concentrates on the phase analysis of PS points
71 using single reference interferogram formation; in contrast, the alternative prefers to
72 extract information from distributed scatterer (DS) points with the aid of multi-
73 references interferogram formation based on the small baseline constraint.

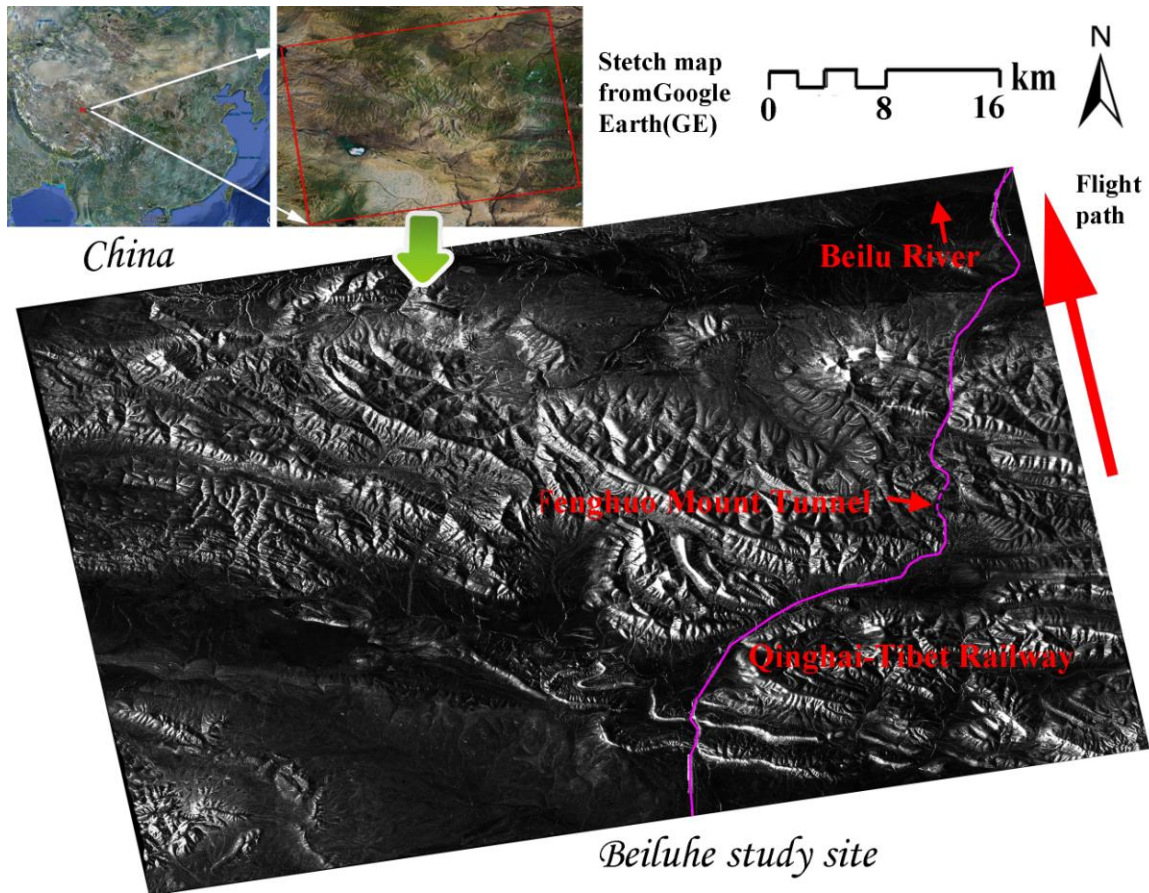
74 The past investigation demonstrated that, in the permafrost environment of TP, the
75 DS points are prevalent except for the artificial structures, e.g. the embankment of
76 Qinghai-Tibet Railway (QTR) (Chen et al., 2012b). Consequently, in order to extract
77 information as much as possible, the SBAS is introduced for the evolution analysis of the
78 active layer and permafrost. In total, 19 L-band ALOS PALSAR SLC images (acquired from
79 June 2007 to December 2010) are employed to cover the Beiluhe experimental site, Hoh
80 Xil natural reserve in TP of China. The subsequent sections will be organized as follows:
81 In Sect. 2, the study site and data are firstly described. Then, a re-call SBAS methodology
82 and its corresponding procedures are shown in Sect. 3 for easily understanding. After
83 that, the SBAS derived results are shown and then interpreted in Sect. 4. Taking natural
84 slopes and the QTR as instances, Sect. 5 shows the discussion of surface displacements
85 with respect to permafrost environments, geomorphological processes as well as
86 anthropogenic activities. Finally, some conclusions are drawn.

87 2. Study area and datasets

88 For the easy accessibility and available ground-based measurements, the Beiluhe, Hoh
89 Xil natural reserve, TP of China is selected as the experimental site (see Fig.1),
90 approximately extending from $92^{\circ} 16'$ to $93^{\circ} 1'$ E and from $34^{\circ} 5'$ to $34^{\circ} 55'$ N.
91 The temporal averaged amplitude SAR image in Fig. 1 shows the study site coverage
92 with a spatial extent of $63 \times 45 \text{ km}^2$ approximately. The QTR can be easily indentified
93 due to its strong backscattering characteristic, as marked by the pink line (the dotted
94 section indicates the Fenghuo Mount Tunnel). The topography of this site is composed
95 by upland in the middle section in NW-SE direction represented by Fenghuo Mount,
96 Ri'achi Mount; and mild terrain in the northeast and southwest represented by Beiluhe
97 valley and Erdaogou valley. The arid continental climate is prevailing due to the high
98 elevation (from 4500 to 5200 m). Compared with the scarce precipitation
99 (approximately $300\text{-}400 \text{ mm yr}^{-1}$), the evaporation is about 2-3 times higher, resulting in
100 arid condition owing to the negative water budget. However, warm and ice-rich
101 permafrost (WIRP) is well developed in several sub-regions, particularly surrounding the
102 above two valleys with mild terrain, high soil moisture as well as relatively warm ground
103 temperature (-1.8 to -0.5°C). Generally, compared with mountainous regions, the sub-
104 surface temperature and ice-content of the active layer and permafrost beneath are
105 both higher in mild-terrain valley terraces; thawing islands could even exist through
106 WIRP regions in case of the occurrence of rivers and geothermal heat flows.

107 19 ALOS PALSAR images acquired with ascending orbit and 34.3-degree nominal
108 radar look angle, from June 2007 to December 2010, were used in this study, including 9
109 scenes of Fine Beam Single polarization (FBS, 28 MHz) and 10 scenes of Fine Beam Dual
110 polarization (FBD, 14 MHz). Interested readers can refer to Chen et al., (2012b) for more
111 details. The ALOS PALSAR data were obtained from the Japan Aerospace Exploration
112 Agency (JAXA). In general, ALOS PALSAR has two advantages for the TP region
113 monitoring: firstly, PALSAR works with a longer wavelength (L-band, 23.6 cm), enabling
114 to better penetrate vegetation and resulting in high quality interferograms; secondly,
115 the ground resolution of PALSAR (8 m of FBS and 16 m of FBD) is higher than other
116 median resolution data (e.g. 25-30 m of ERS-1/2 and Envisat ASAR), and thus preserves
117 more detailed information. For the topographical data, 3-arcsecond Shuttle Radar
118 Topography Mission (SRTM) DEM data from the United States Geological Survey (USGS)
119 were applied, firstly for the topographic phase removal in DInSAR procedures, and then
120 for InSAR products geocoding.

121



122

123 Fig. 1. Location of Beiluhe, Hoh Xil natural reserve in TP of China. The Qinghai-Tiber Railway is marked by
 124 the pink line (dotted section indicates the Fenghuo Mount Tunnel). ALOS PALSAR flight path is marked by
 125 the red arrow, and the optical inset images are from the Google Earth (GE).

126

127 3. SBAS procedures

128 Inspired by previous investigations (Rykhus and Lu, 2008; Short et al., 2011), InSAR
 129 measurements were introduced for surface deformation monitoring over permafrost
 130 environment in Beiluhe section, Hoh Xil natural reserve of TP. We found that the
 131 seasonal effect and non-linear surface motions in this arid region are evident (Chen et

132 al., 2012b). Except for geomorphological process in slope regions, we hypothesized that
133 the surface deformation driving-force of TP is analogous to Alaska, USA as described by
134 Liu et al. (2010, 2012), that is, the surface movements are caused by two primary
135 components: seasonal displacement by thaw settlement or frost heave of the active
136 layer, and the secular subsidence due to thawing of ice-rich permafrost near the
137 permafrost table. Our past field investigations indicated that apart from artificial
138 structures, e.g. QTR, DS features are dominant over Hoh Xil natural reserve in TP.
139 Consequently, in order to extract surface motions (geomorphological process, the
140 combination dynamics of permafrost and the overlaid active layer), in this study, the
141 SBAS method (Berardino et al., 2002) is introduced taking advantages of its capability of
142 dense DS extraction.

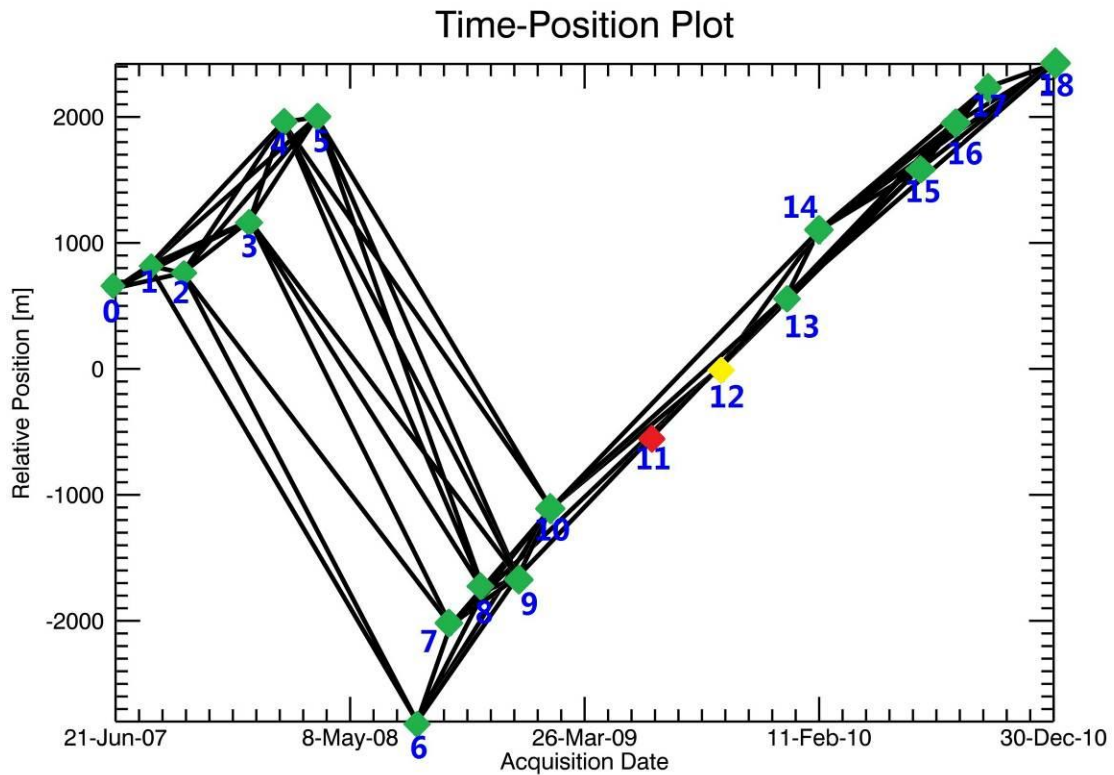
143 In the SBAS approach, interferogram formation is controlled by thresholds of spatial-
144 temporal baselines as well as the Doppler centroid difference. In this study, only the
145 spatial-temporal baselines are applied (small than 3800 m spatially and 368 days
146 temporally), because of the negligible difference of Doppler centroids. The common HH
147 polarization data from two fine modes are used for interferometric processing after the
148 FBS data are doubly down-sampled in range direction. The multi-looking with 1 by 5 in
149 range and azimuth direction are used to derive InSAR products with approximately 16 m
150 ground resolution. Then initial 67 differential interferograms are generated (note that
151 the topographic and flat earth phase components have been removed). The Minimum
152 Cost Flow (MCF) (Colesanti, 1998) is used for phase unwrapping. After careful checking
153 by manual, another 7 low-quality interferograms (including phase unwrapping errors or

154 significant ionospheric component of atmosphere) are discarded to derive 60 final
155 interferograms for further motion estimation and time series analysis, as illustrated in
156 Fig. 2. It is clear that the PALSAR perpendicular baseline is correlated with the time of
157 acquisition (Samsonov, 2010), implying the suitability of SBAS method for the TP
158 investigation in the case of isolated interferogram subsets in time. In addition, taking
159 advantages of SBAS, the small baseline formation further mitigates topographic errors
160 before parameters (e.g. residual height, displacements and atmospheric disturbance)
161 inversion.

162 In general, the implementation of SBAS is comprised of two main steps. The first step
163 is the estimation of low-pass displacement and residual height using a preferred cubic
164 displacement model. The Coherent Point (CP) candidates are characterized by a high
165 average spatial coherence. The CP with the maximum value is selected as the reference
166 point. In this step, the Least Squares (LS) algorithm is applied for parameters inversion.
167 The second step is concentrated on the displacement time series retrieval and
168 atmospheric artifacts isolation. That is, a) we derive residual phases by subtracting the
169 low-pass component signatures (derived in the first step) from the original differential
170 interferograms; b) we unwarp residual phases, and then calculate the improved
171 interferogram phases by adding back the previous low-pass components; c) we estimate
172 motion time series using the Singular Value Decomposition (SVD) algorithm; d) we
173 derive refined residual phases by subtracting the low-pass deformation component
174 from the motion time series; e) we estimate atmospheric artifact phases using
175 temporal-spatial filters (firstly a temporal high-pass and then a spatial low-pass); f) we

176 derive the final deformation components after the atmospheric artifacts isolation. Note
177 that final CPs are further identified by the temporal coherence with respect to a defined
178 displacement model.

179



180

181 Fig. 2. Spatial-temporal distribution of interferogram formation based on the small baseline constraint. It
182 is clear that the perpendicular baselines are correlated with the acquisition time. The number 12 image
183 marked in yellow is the reference image acquired on 26 September 2009 for the dataset co-registration.
184 The image marked in red is discarded because of its evident atmospheric disturbance.

185

186 4. Results, validation and monitoring interpretation

187 Using the two thresholds (0.4 average spatial coherence and 0.6 temporal coherence),
188 the SBAS derived surface displacement rates in line-of-sight (LOS) over Beiluhe section,
189 Hoh Xil natural reserve of TP are illustrated in Fig. 3. The negative motion rates' sign is
190 indicative of an increasing distance with time away from the satellite (subsidence); and
191 positive sign represents an uplift motion. The result indicates that the surface motion in
192 permafrost environment is evident, primarily in the range of -30 mm yr^{-1} to 30 mm yr^{-1}
193 in the 3.5-yr observation span (from June, 2007 to December, 2010). The InSAR-derived
194 results have been validated by leveling data located nearby the Fenghuo Mount Tunnel
195 frontier (marked by the cross in Fig. 3). The two different types of data demonstrate
196 consistent motion trends with absolute discrepancies varying from 0.5 to 4 mm yr^{-1}
197 (please refer to Chen et al., 2012b for more details). There are totally 8025313 CPs over
198 the study site with approximately $63 \times 45 \text{ km}^2$, that is, 2800 CPs km^{-2} . The high spatial
199 density of CPs is determined by following two aspects. Firstly, PALSAR has a long
200 wavelength, resulting in high penetration and coherence preservation, particularly in
201 non-urban areas, e.g. TP. Second, the small baseline strategy is introduced in
202 interferometric formation, and thus high-quality interferograms can be guaranteed,
203 resulting in high spatial density of CP candidates and then the final CPs.

204 In order to interpret the motion trends (magnitude and direction), particularly for
205 slope regions, the relationship between SAR imaging geometry (ascending with 34.3
206 degree looking angle in this case) and the surface displacement is analyzed (see Fig. 4).
207 With a few exceptions, the direction of surface movements holds the following
208 assumption: the parallel movement, caused by the shallow-seated landslides, is

209 dominant in the middle section of slopes; vice versa, for other portions, landslides are
210 rare and the rotational motion is prevalent caused by the alluvial accumulation or the
211 combination dynamics of permafrost and the overlaid active layer, such as the secular
212 subsidence due to ice-rich permafrost thawing near the permafrost table. That is, in the
213 upper section of slopes, the rotational motions from both sides demonstrate consistent
214 movements: frost heave as uplifts in LOS and thaw settlement as subsidence in LOS, as
215 marked by 'a' , 'aa' and 'b', 'bb'. For the slope facing the satellite, the downslope
216 movement of material on the steep section (angle of gradient > 34.3 degree)
217 demonstrates as mild subsidence (marked by 'c₁') and on the moderate section (angle of
218 gradient < 34.3 degree) demonstrates as mild uplift (marked by 'c₂'); in contrast, those
219 two motion components both demonstrate as obvious subsidence on the backslope (see
220 'cc'). Regarding to valley regions with flat terrain (the foot of slopes), the actual motion
221 trend from both sides can be measured as uplift (marked by 'd' and 'dd', respectively)
222 when the deposition or heave is dominant, and vice versa, measured as subsidence
223 (marked by 'e' and 'ee', respectively) when the thaw settlement is prevalent.

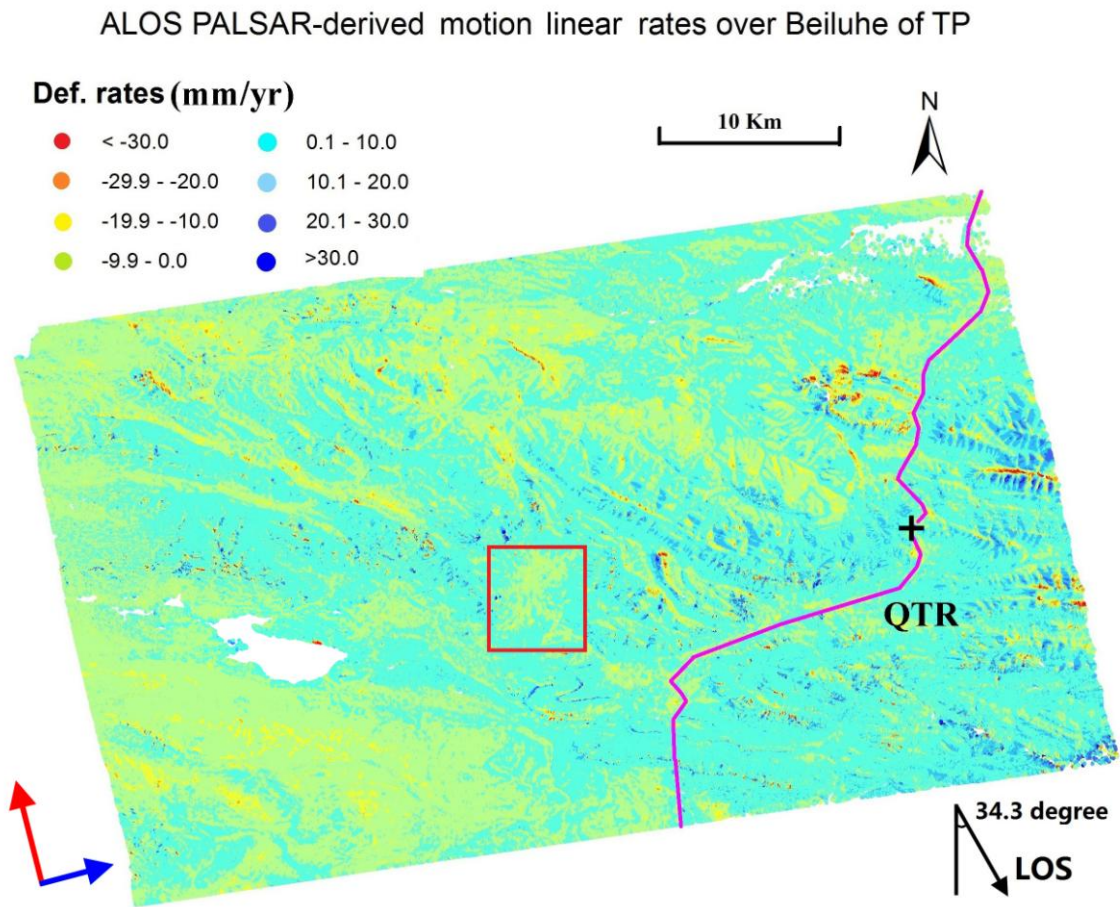
224 From Fig. 3, it is clear that the majority of active layers (60% to 65%) are relatively
225 stable in a relatively short 3.5-yr observation period, in the range of -10 mm yr⁻¹ to 10
226 mm yr⁻¹. Significant movements occur in two cases, including mountainous slopes and
227 flat WIRP areas. The correlation between InSAR results with topography is a convincing
228 clue for geomorphological processes, because errors from topography and atmospheric
229 disturbances have been isolated by the SBAS algorithm. In addition, CPs cannot be
230 existent in radar shadow or layover areas according to the extraction rule of target

231 points. Therefore, the detected distinct uplifts in Fig. 3 are highly related to slopes
232 processes (interpreted by the downward movements of 'c₂' in Fig. 4) rather than
233 permafrost or the active layer growth under climate warming trends. Furthermore, a
234 few isolated uplifts (with values larger than 20 mm yr⁻¹) located in high altitudinal
235 mountains are highly related to the frost heave because of the low temperature
236 throughout of years (interpreted by 'a' and 'aa' in Fig. 4). The remaining moderate-
237 severe subsidence surface, with values in the range of -35 mm yr⁻¹ to -10 mm yr⁻¹,
238 corresponds to mountainous slopes or mild-terrain WRIP regions. The subsidence on
239 backslopes is caused by the transitional movement of unconsolidated material, again
240 due to geomorphological processes (interpreted by 'cc' in Fig. 4). Then, the district
241 settlement on WRIP areas is caused by the combination of thaw settlement of the active
242 layer as well as permafrost thawing near the permafrost table (interpreted by 'e' and
243 'ee' in Fig. 4).

244 Note that the tectonic motion in TP is not negligible, confirmed by the investigation
245 of Cavalie et al. (2008) and Loveless & Meade (2011). The nominally interseismic GPS
246 velocities (calibrated by a stable Eurasian reference frame) in recent years demonstrate
247 that the tectonic movement over Beiluhe section, Hoh Xil natural reserve of TP is around
248 15 mm yr⁻¹ in SW-NE direction (approximately perpendicular to the flight path of ALOS
249 PALSAR). This will introduce a global subsidence contribution in LOS direction with
250 values in the range of -7 mm yr⁻¹ to -8 mm yr⁻¹ in the Beiluhe site. However, as we
251 known, the SBAS results only measure the relative displacements compared with a local

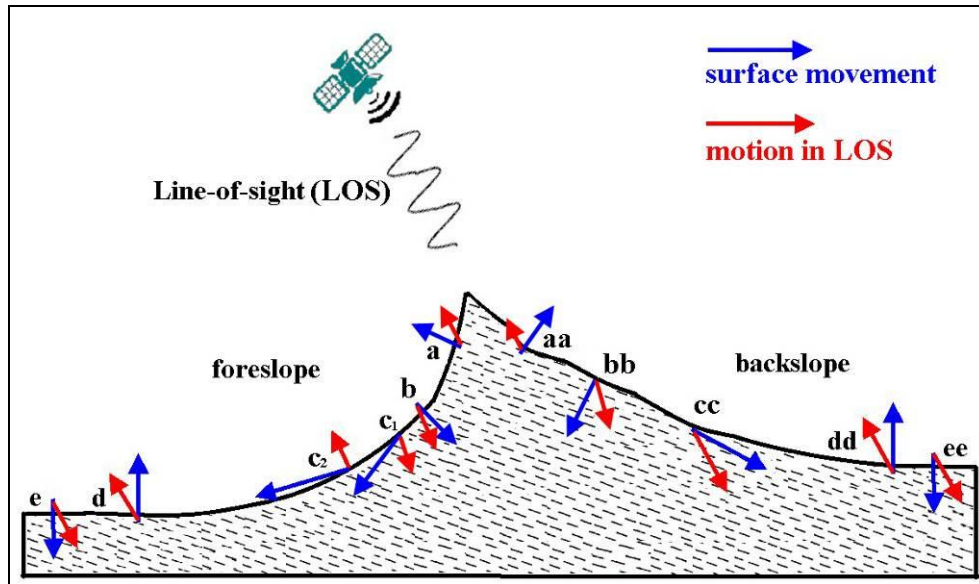
252 reference point, and thus this homogeneous bias is not taken into consideration when
253 the study site coverage is much smaller than $500 \times 500 \text{ km}^2$.

254



256 Fig. 3. SBAS-derived surface displacement linear rates over Beiluhe, Hoh Xil natural reserve of TP in LOS
257 direction. The red rectangle represents a slope in Fenghuo Mount. The Qinghai-Tibet Railway is marked
258 by the pink line. The cross marks the location of leveling data (nearby the Fenghuo Mount Tunnel frontier)
259 for SBAS results validation. The satellite was travelling in the direction of the red arrow and looking in the
260 direction of the blue arrow.

261



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263 Fig. 4. The relationship between surface movements and InSAR detected displacements in LOS direction,
 264 particularly for mountainous regions.

265

266 5. Discussions

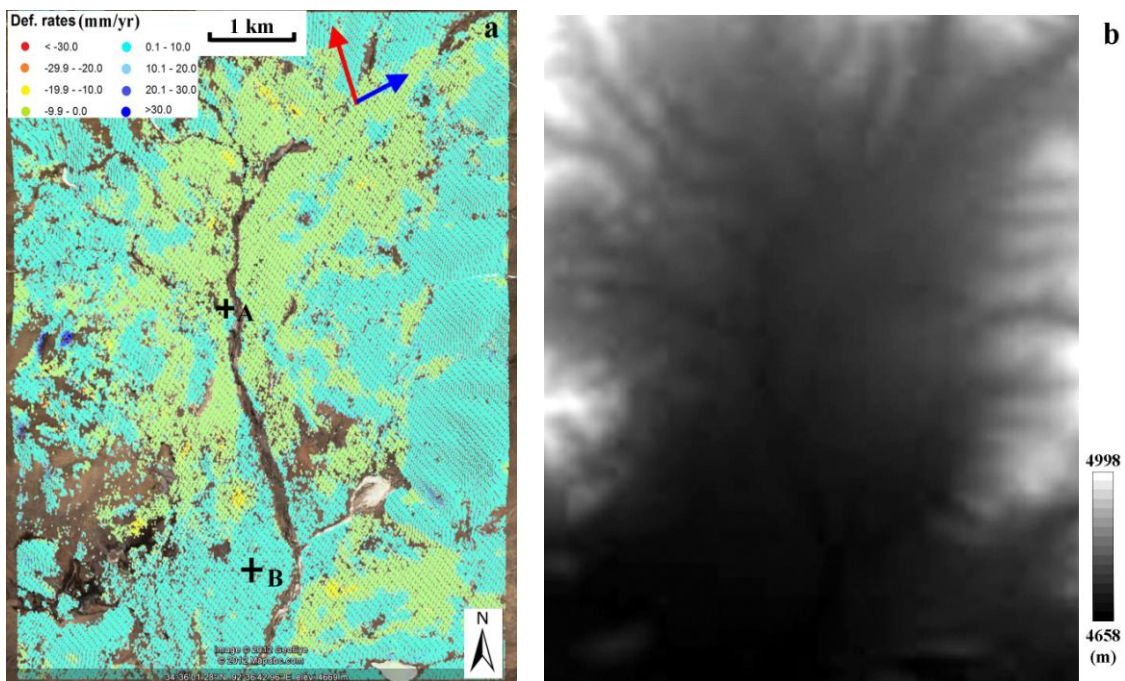
267 Liu et al. (2010) found that the causes of surface deformation over permafrost regions
 268 are complicated. At local scales, the deformation could be controlled by local surface
 269 vegetation, soil deposits, water/ice content, active layer thickness, hydrological settings
 270 and etc. On the other hand, the secular surface subsidence could be another driving-
 271 force due to thawing of ice-rich permafrost beneath the active layer under global
 272 warming. In this paper, the quantitative deformation discrimination of permafrost and
 273 overlaid active layer is out of scope (interested readers please refer to Liu et al., 2010,
 274 2012); instead, from another aspect, the contributions to InSAR signals from
 275 geomorphological process and anthropogenic activities will be further discussed.

276

277 **5.1 Mountainous slopes**

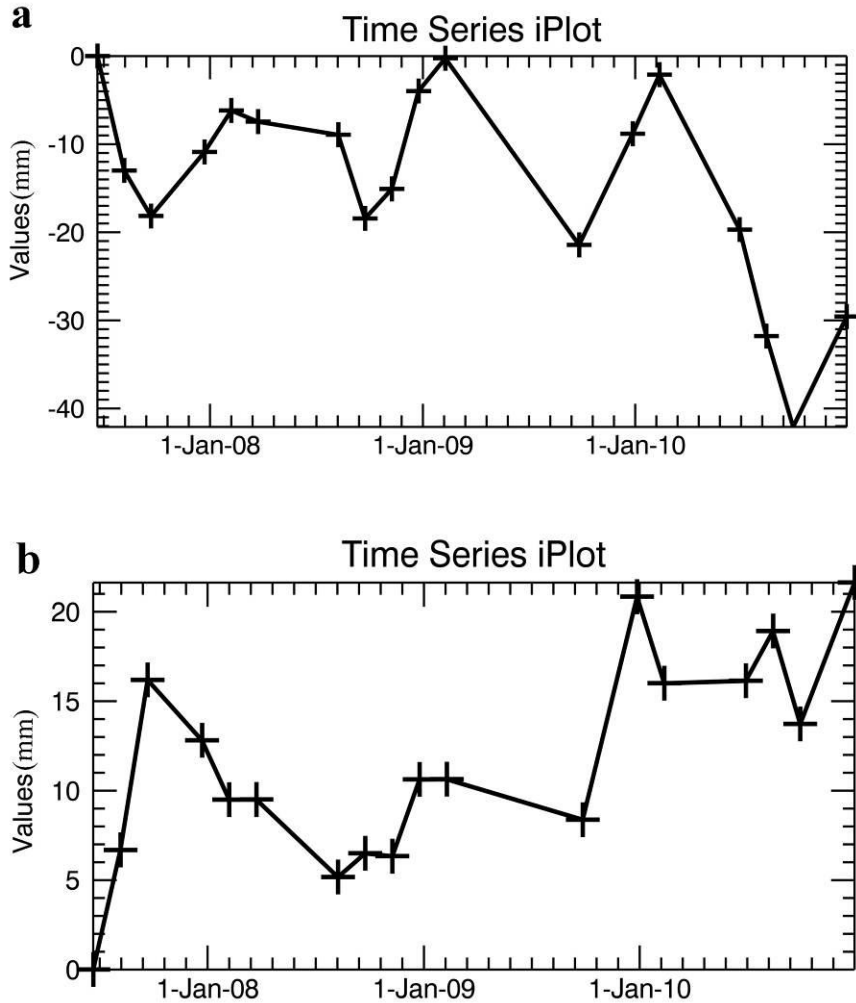
278 Past field investigations indicated that the land cover in the Beiluhe site is relatively
279 sparse, scattered by alpine meadows in flat valleys owing to the relatively higher soil
280 moisture. In the TP arid and cold environment, the mountains are exposed by rocks or
281 weathered deposits. Those covers are unconsolidated because of heavily decomposed
282 rocks as well as sparse vegetation. Consequently, when the shearing force (triggered by
283 the surface overflow and shallow groundwater flow) exceeds a threshold, a shallow-
284 seated landslide occurs. Fig. 5 (a) shows the surface deformation field over a mountain
285 region nearby Fenghuo Mount. Referring to relief-shaded DEM in Fig. 5(b), it is clear that
286 uplifts in LOS direction are dominated in the upper-middle section (slope gradient > 34.3
287 degree) of slopes primarily owing to slope processes (can be interpreted by 'c₂' in Fig. 4).
288 The frost heave (interpreted by 'a' and 'aa' in Fig. 4) can be another cause although its
289 contribution is low due to the global warming trend. In the middle-lower section (slope
290 gradient < 34.3 degree), the downward transitional movement (parallels to the bedrock
291 beneath) is prevailing, indicating moderate-evident subsidence (interpreted by 'c₁'-'cc'
292 in Fig. 4). The deposit accumulation is dominated at the foot of slopes, and thus derived
293 InSAR measurements demonstrate as mild uplifts again (interpreted by 'd' and 'dd' in Fig.
294 4). In addition, the time series of two typical CPs (marked by 'A' and 'B' in Fig.5) is
295 further analyzed, as illustrated in Fig. 6. The seasonal variation is remarkable, revealing
296 the influence of southeastern Asian monsoons as well as the seasonal displacement of
297 the active layer due to the frost heave and thaw settlement. It implies that the

298 geomorphological process in the study site is dominant instead of permafrost evolution
299 in a relatively short 3.5-yr observation span, particularly in mountainous areas. To make
300 a summary, we found that physical movements along slopes are sophisticated in Hoh Xil
301 natural reserve of TP; more information with respect to topography (slope gradients and
302 facing direction), geology (mantle composition and surface cover), hydrology (surface-
303 subsurface runoff and permafrost ice-content melting) are required for the active layer
304 evolution monitoring (e.g. motion rates, directions and trends) as well as the causality
305 interpretation (rainfall, the active layer and permafrost dynamics).



306

307 Fig.5 Different motion mechanisms can be discriminated by InSAR measurements along a mountainous
308 area with slopes. (a) Surface deformation linear rates overlapped on the optical image from Google Earth
309 (GE); crosses 'A' and 'B' indicate two CP targets for the time series analysis, the red and blue arrows mark
310 the satellite travelling and looking directions. (b) Relief shaded DEM.



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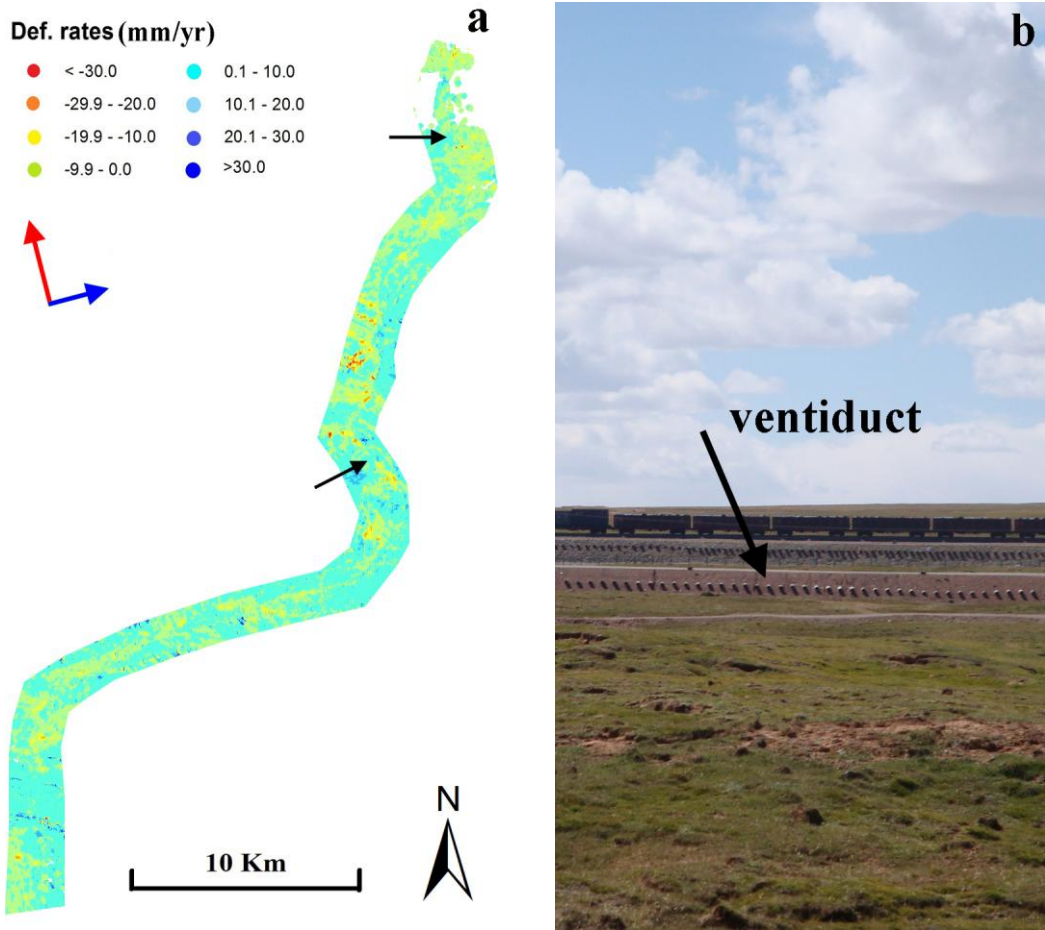
313 Fig. 6. Displacement time series of two typical CP targets (marked by crosses in Fig.5); (a) the subsidence,
 314 (b) the uplift. The seasonal variation is obvious.

315

316 **5.2 Qinghai-Tibet Railway**

317 The QTR project is a 100-yr grand plan; therefore its embankment instability needs to be
 318 well monitored to avoid potential geo-hazards. The embankment deformation
 319 surveillance has been covered by recent preliminary studies (Li et al., 2009; Zhang et al.,
 320 2010). In this investigation, the classical SBAS is applied considering the correlation

321 between perpendicular baselines and acquisition times of ALOS PALSAR (Samsonov
322 2010); in such a way, the velocity rates noise can be further reduced primarily owing to
323 the enhanced quality of inteferograms using the small baseline strategy, as illustrated in
324 Fig. 7(a). Except for few uplifts due to proactive “cooling down” measures (Yu et al.,
325 2008), see Fig. 7(b), the surface subsidence along the embankment of QTR is dominant,
326 primarily in the range of -25 mm yr^{-1} to -10 mm yr^{-1} . This is probably caused by the
327 combination of the increased compression settlement, destroyed active layer as well as
328 depressed soil heat release. In general, the QTR tends to run through mild-terrain
329 valleys considering the construction feasibility of the embankment, bringing challenges
330 of the surface vulnerability caused by the co-occurrence of WIRP regions. Human
331 activities, e.g. the embankment construction, easily break the original balance of the
332 active layer, resulting in remarkable settlements from following aspects: firstly, the
333 train-induce compression and the temperature increment of sub-surface jointly speed
334 up the thawing settlement of the active layer in a short period (approximately 5-10
335 years); second, in a long-term, the secular subsidence due to thawing of ice-rich
336 permafrost triggered by anthropogenic activities is another contribution, particularly
337 under global warming. The motion trend of the embankment along the QTR has been
338 exploited by the improved Interferometric Point Target Analysis (IPTA) approach in our
339 previous study (Chen et al., 2012b). Compared with the previous one, the signal-to-noise
340 ratio of deformation rates in this investigation have been significantly improved,
341 resulting in easy identification for potentially risky sections, which is indeed significant
342 for the management of the QTR embankment.



343

344 Fig.7 (a) Surface deformations along the embankment of QTR in Beiluhe section, Hoh Xil natural reserve of
 345 TP, China. The red and blue arrows mark the satellite travelling and looking directions. The uplift patches
 346 due to cooling measures are marked by black arrows. (b) Cooling-down measure of ventiduct along the
 347 embankment of QTR.

348

349 6. Conclusions

350 In this study, taking the Beiluhe section, Hoh Xil natural reserve of TP, China as the
 351 experimental site, we examine the relationship of the active layer evolution,
 352 geomorphological process and anthropogenic activities through InSAR approaches.

353 Apart from the probable tectonic activity, the SBAS derived results indicate that the
354 movement of most active layers overlaid on the permafrost is relatively stable in a 3.5-yr
355 short observation period, primarily in the range of -10 mm yr^{-1} to -10 mm yr^{-1} in LOS
356 direction. In contrast, significant displacements occur in mountainous and WRIP regions.
357 The former is highly related to the geomorphological process on those unconsolidated,
358 weathered slope mantles triggered by seasonal monsoon heavy rainfalls. The latter is
359 caused by the dynamics of the active layer and the permafrost beneath; under global
360 warming, the surface over WIRP regions would be instable caused by the thawing
361 settlement of the active layer as well as the ice-rich permafrost beneath. The main
362 conclusions of this work can be summarized as follows.

363 1. Instead of PS points, the CP targets in the permafrost environment are prevalent;
364 this phenomenon determines the feasibility of SBAS. Totally 8025313 CPs over
365 the study site ($63 \times 45 \text{ km}^2$) are extracted with the temporal coherence
366 threshold of 0.6, that is, 2800 CPs km^{-2} . Referring to the Figs. 1 and 3, it is clear
367 that the majority of land covers are identified as DS targets, except for the water
368 body (e.g. Beilu River) and sandy-bare ground around the Beiluhe valley because
369 of the mirror scattering. The high density of DSs is jointly determined by the
370 small baseline interferometric formation and L-band long wavelength of ALOS
371 PALSAR data. In addition, the isolated interferogram clusters in the time domain
372 (due to the corrective satellite orbit manoeuvre of PALSAR) further implies the
373 suitability of the applied SBAS for this case study.

374 2. The movement of the overlaying active layer in slopes is more remarkable
375 compared with other natural scenarios. This phenomenon is primarily
376 determined by the erosion on unconsolidated surface triggered by heavy
377 rainfalls in summer due to the southeastern Asian monsoons. Furthermore, the
378 motion trend indicates a notable seasonal variation, implying seasonal thaw
379 settlements and frost heaves of the active layer. The slopes in this arid and cold
380 region are covered by heavily weathered mantles with bare or sparse vegetation.
381 The stability is vulnerable under the external driving-force from intense rainfalls,
382 resulting in landslide occurrences. The hypothesis model of surface movements
383 in slopes has been interpreted and validated; that is, the transitional motion is
384 dominant in the middle section, and then gradually transformed into rotational
385 displacement due to deposits accumulation at the foot of slopes.

386 3. The QTR and its neighborhood are suffering from much obvious surface
387 subsidence than surrounding features (in the range of -25 mm yr^{-1} to -10 mm yr^{-1}).
388 This phenomenon reveals the human activity response to the evolution of the
389 active layer as well as beneath permafrost, particularly in WIRP regions: firstly,
390 geological conditions as well as the land cover along the embankment have
391 been changed during the construction, resulting in aggravated active layer
392 erosion and then remarkable surface settlements due to the thawing impact
393 from the active layer and beneath permafrost. Second, the compression of the
394 underlying permafrost soil has been accelerated from the downward pressure
395 induced by the rocky foundation as well as running trains, particularly in a short-

396 term (within 5 years) after the QTR's operation. Last but not least, the moisture-
397 heat balance between the active layer and air has been destroyed; resulting in
398 thaw subsidence due to the raised sub-surface temperature, particularly under
399 global warming.

400 As described in Sect. 3, in order to derive interferograms with high quality, multi-
401 looking is applied at sacrifice of spatial resolution loss. More recently, several innovative
402 methods (Ferretti et al., 2011; Hooper, 2008) have been proposed by synergistically
403 using PS and DS to increase the spatial density of detected targets in natural scenarios
404 and meanwhile preserve the original spatial resolution. Thereby, in future, those novel
405 methods will be further investigated in the Hoh Xil natural reserve of TP, plus using high-
406 resolution, newly-launched spaceborne SAR systems (e.g. TerraSAR-X, Cosmo-SkyMed
407 and Radarsat-2) to extract more accurate seasonal motions and corresponding time
408 series, taking advantages of shorter revisit cycles (Bovenga et al., 2012).

409

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