

Note:

This is a revised manuscript according to Prof. M. S. Pelto and referee's comments and suggestions. Based on Prof. M. S. Pelto "Short Comments", we also add some texts and rewrite some sentences on this manuscript at the corresponding page and line.

1 **Glacier changes from 1966-2009 in the Gongga Mountains, on the south-eastern**
2 **margin of the Qinghai-Tibetan Plateau and their climatic forcing**

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29 Abstract

30 In order to monitor the changes of the glaciers in the Gongga Mountain region on the south-eastern
31 margin of the Qinghai-Tibetan Plateau, 74 monsoonal temperate glaciers were investigated by comparing
32 the Chinese Glacier Inventory (CGI), recorded in the 1960s, with Landsat MSS in 1974, Landsat TM in
33 1989, 1994, 2005, and ASTER data in 2009. The remote sensing data have been applied to map the glacier
34 outline by threshold ratio images (TM4/TM5). Moreover, the glacier outlines were verified by GPS survey
35 on four large glaciers (Hailuogou, Mozigou, Yanzigou, and Dagongba) in 2009. The results show that the
36 area dominated by the 74 glaciers has shrunk by 11.3% (29.2 km²) from 1966 to 2009. Glacier area on the
37 eastern and western slopes of the Gongga Mountains decreased by 14.1 km² (9.8% since 1966) and 15.1
38 km² (14.6 % since 1966), respectively. The loss in glacier area and length is respectively 0.8 km² and
39 1146.4 m (26.7 m/yr) for the Hailuogou glacier, 2.1km² and 501.8 m (11.7m/yr) for the Mozigou Glacier,
40 0.8 km² and 724.8 m (16.9m/yr) for the Yanzigou Glacier, and 2.4 km² and 1002.3 m (23.3 m/yr) for the
41 Dagongba Glacier. Decades of climate records obtained from three meteorological stations in the Gongga
42 Mountains were analyzed to evaluate the impact of the temperature and precipitation on glacier retreat. The
43 mean annual temperature over the eastern and western slope of the Gongga Mountains has been increasing
44 by 0.34 K/decade and 0.24 K/decade (1988-2009), respectively. Moreover, mean annual precipitation was
45 only increasing 1% in past 50 years. This evidence indicates that the warming of the climate is probably
46 responsible for the glacier retreat in the study region.

47 Key words: Glacier change; GPS; RS; Climate change; Gongga Mountains

48 1. Introduction

49 Glaciers are a critical component of the earth system and the present accelerated melting and retreat of
50 glaciers has severe impacts on the environment and human well-being, including vegetation patterns,
51 economic livelihood, natural disasters, and water-energy supplies (UNEP, 2007). Changes of glaciers in
52 mountainous regions are widely recognized as one of the best natural indicators of global climate change
53 (Oerlemans, 1994, 2005), and the decline in glacier extent in mountains and other regions contributes to sea
54 level rise (Arendt et al., 2002; Larsen et al., 2007; Schiefer et al., 2007). The response of a glacier to
55 climate change depends on its geometry and on its climatic setting (Oerlemans, 2005). Extensive
56 meteorological experiments on glaciers have shown that the primary source for melt energy is solar
57 radiation but that fluctuations in the mass balance through the years are mainly due to temperature and
58 precipitation (Oerlemans, 2005; Greuell and Smeets, 2001). Recently, many records of glacier changes in
59 the global have been obtained through fieldwork investigation, ground and aerial photographic
60 measurements, and high-resolution remote sensing monitoring (Barry, 2006; DeBeer and Sharp, 2007;
61 Racoviteanu et al., 2008; Paul and Andreassen, 2009; Shangguan et al., 2007). All the results indicate the
62 general trend of glacier recession with only a few glaciers advancing. The monsoonal temperate glaciers,
63 with high rate of accumulation and ablation and a high mass-balance fluctuation (Braithwaite and Zhang,
64 2000; Kaser et al., 2006), are more active than cold and continental glacier, and thus more sensitive to the
65 changing climate (Su and Shi, 2000).

66 In China, numerous glaciers exist within and around the Qinghai-Tibetan Plateau. Established in the
67 1960s, the first Chinese Glacier Inventory (CGI) was compiled using aerial photography data, and the
68 results formed a significant step in integrating knowledge of glaciers in China (Shangguan et al., 2006).
69 The data were subsequently abridged into a Concise CGI, published in Chinese (2005) and in English
70 (2008) (Shi et al., 2009), in order to make the glacier inventory more accessible and better adapted for
71 assessing glacier response to climate change (Shi et al., 2009). To the accurate information of glacier status
72 after 30-40 years of pronounced glaciers changes in and response to the USGS-led project GLIMS (Global
73 Land Ice Measurements from Space) (Haeberli et al., 2000; Paul et al., 2004), the new Chinese Glacier
74 Inventory was started in 2006 using the new multi-spectral satellite data with a high spatial resolution.
75 Glacier changes in the Gongga Mountains were widely recorded since the 1930s (e.g. Heim, 1936;
76 Anderson, 1939). Cui (1958) reported comprehensive information relating to the glaciers investigations in
77 the Gongga Mountains. In recent decades, more investigations have been conducted. For instance, Su et al.
78 (1992) presented new data about glaciers changes which was mainly based on the field investigations
79 including repeated surveys expeditions to the Qinghai-Tibetan Plateau by the Chinese Academy of
80 Sciences (1981-83) and by Sino-Soviet joint glaciological expedition to the Gongga Mountains in 1990.
81 Four years later, more glacier parameters in the Gongga Mountains were measured by Pu in 1994 (Pu,
82 1994), based on the topographic map derived from aerial photographs acquired in the 1960s. Using the
83 steady-state equilibrium line altitude (ELA) method and the observed melting data, Xie et al. (2001)
84 discovered that the mass-balance in Hailuoguo (HLG) Glacier (one of the large glaciers in the Gongga

85 Mountain) was about -488 mm/yr from 1990 to 1998, and concluded that the negative mass balance of the
86 HLG Glacier was caused by an increase in ablation. The elevation change of ablation area of HLG Glacier
87 was measured as -1.1 ± 0.4 m/yr from 1966 to 2009 from GPS surveys (Zhang et al., 2009). The study on the
88 relation between HLG Glacier shrinkage and hydrological response showed increasing storage loss during
89 the last 20 years (Liu et al., 2010). Li et al. (2010a) summarized the fluctuations of HLG Glacier during the
90 Holocene and concluded that the changes of HLG Glacier were mainly influenced by climatic fluctuation.
91 However, most of these researches focused on a single glacier in the Gongga Mountains, and there was
92 little systematic and comprehensive study on the changes of length and area of glaciers, especially using the
93 remote sensing images. Using multi-temporal remote sensing data in different periods, including Landsat
94 MSS (Multispectral Scanner), TM, ETM+ (Thematic Mapper Plus), ASTER (Advanced Spaceborne
95 Thermal Emission and Reflection) and CGI data based on topographic maps derived from aerial
96 photographs, this study is an attempt to accurately investigate changes of all of glaciers in the Gongga
97 Mountains since the 1960s, and to investigate the reason for these changes, especially their relation to
98 global climate change.

99 2. Study area

100 The Gongga Mountains ($29^{\circ} 20' - 30^{\circ} 10' N$, $101^{\circ} 30' - 102^{\circ} 10' E$) are situated on the south-eastern margin
101 of the Qinghai-Tibet Plateau (Fig. 1), the highest peak (Mount Gongga) has an elevation of 7556 m a.s.l.
102 Geomorphologically, the Gongga Mountains are located at the transition zone between the Sichuan Basin
103 and the Qinghai-Tibet Plateau and climatically between the warm-wet monsoon climatic region of the
104 eastern subtropics and cold-dry region of the Qinghai-Tibet Plateau. The climate of the Gongga Mountains
105 is not only controlled by the monsoon of Southern Asia and the monsoon of Eastern Asia, but also
106 influenced by the Qinghai-Tibet plateau monsoon and the westerly circulation (Li et al., 2010b). The
107 annual precipitation is ~ 1871 mm at 3000 m a.s.l. on the eastern slope of the Gongga Mountains and ~ 1173
108 mm at 3700 m a.s.l. on the western (Su et al., 1992). The mean annual air temperature is $3.7^{\circ} C$ on the
109 eastern slope (3000 m a.s.l.) and only $1.9^{\circ} C$ on the western (3700 m a.s.l.) (Su et al., 1992). The altitudinal
110 gradient of temperature described by Su et al (1993) by field observation was $-0.23^{\circ} C$, $-0.9^{\circ} C$ and $-0.2^{\circ} C$
111 on the altitudinal belt 2880-3010m, 3010-3210m and 3210-3510m, respectively. Su et al (1993) and Gao
112 and Peng (1994) indicated that the first maximum rainfall zone exists between 2900m and 3400m a.s.l. on
113 the eastern slope and in the 3700 a.s.l. on the western slope; and the second maximum rainfall zone lies
114 between 4900m and 6000m a.s.l., which is equal to the height of the present snow line with annual
115 precipitation about 3000 mm.

116 According to the CGI (Pu, 1994), 74 glaciers with a total area of 257.7 km^2 are distributed in this region,
117 containing five valley glaciers with lengths of more than 10 km, including the Hailuogou (HLG), Mozigou
118 (MZG), Yanzigou (YZG), Nanmenguangou (NMGG) Glaciers on the eastern slope and the DaGongba
119 (DGB) Glacier on the western slope. The glaciers in this region are classified as summer-accumulation type
120 (Su et al., 1996; Xie et al., 2001), which has more accumulation in summer than winter (Ageta and Higuchi,
121 1984). They are characterized by a high flow velocity, rich accumulation and heavy melting. Many

122 moraines are distributed around the glacier snouts, and both terminal and lateral moraines along the western
123 slope are more developed than those along the eastern slope.

124 3. Data Sources and Methods

125 3.1 Data Sources

126 The changes in the glaciers were determined by comparing glacier area and length for multi-temporal
127 spaceborne images, including Landsat MSS, TM, ETM+, ASTER and CGI (Table 1). The glacier outlines
128 from the CGI (Pu, 1994) were interpreted and measured by stereophotogrammetry from aerial photographs
129 at a scale of 1:60 000 taken during 1966. The glacier outlines were corrected by aerial photographs and
130 field investigation. As the first CGI is the oldest archive from which to analyze changes of glaciers in the
131 west of China (e.g. Shangguan et al., 2006, 2007 and 2009; Liu et al., 2010), we digitized the glacier
132 outlines of the first CGI as vector files and took them as the basic reference data to analyze later changes in
133 the Gongga Mountains glaciers.

134 The Landsat MSS/TM/ETM+ scenes used were downloaded from USGS (United States Geological
135 Survey) webserver. Their quality is good, details can be found in Table 1. These data include one Landsat
136 MSS image (1974), three Landsat TM images (1989, 1994 and 2005) and one Landsat ETM+ image (2002).
137 Two ASTER images with no clouds and minimal seasonal snowcover were provided by the NASA
138 (National Aeronautics and Space Administration) /METI (Ministry of Economy, Trade and Industry).
139 Some historical data (e.g. mass balance data, meteorological data) were also summarized to analyze
140 fluctuations of glaciers in the Gongga Mountains.

141 The DEM (Digital Elevation Model) at 20-m resolution was constructed from digitized contour lines of
142 a 1989 topographic map with a scale of 1:50000 and was used to analyze the topographic features of the
143 glaciers (e.g. slope, aspect, elevation). All datasets (DEM, remote sensing images, results of CGI) were
144 spatially referenced to the Universal Transverse Mercator coordinate system (UTM zone 47N, WGS84),
145 and was resampled to 15 m resolution, to ease the calculation of changes in the glaciers. The residual Root
146 Mean Square error (RMSe) of verification points, when compared with Landsat ETM+, was usually less
147 than 1.2 pixels.

148 3.2 Methods

149 In this study, automated glacier mapping from multi-spectral satellite data was applied to track the
150 glacier change. This technique was developed Hall et al. (1987), who suggested that the ratio of TM band
151 4 to TM band 5 could provide improved contrast (relative to using a single TM band) between glaciers
152 which are surrounded by ablation areas of debris, or till-laden glaciers. At present, the method of band ratio
153 is widely used in the glacier inventories of the whole world (Khromova et al., 2003; Paul and Kääb, 2005;
154 Aizen et al., 2006; Raup et al., 2007; Paul and Andreassen, 2009; Svoboda and Paul, 2009).

155 For the Landsat TM, the band ratio of TM3/TM5 or TM4/TM5 was selected for glacier mapping. A
156 threshold (Table 2) was set by $TM4/TM5 > 2.4$, and was more accurate than TM3/TM5 in this region, and
157 an additional threshold in TM1 (DNs > 59) was set to improve glacier mapping in cast shadow (Paul and
158 Kääb, 2005). This method is simple to apply, and the result is accurate for debris-free glaciers (Albert, 2002;

159 Andreassen et al., 2008). Glacier mapping by spectral band combinations image (TM3/TM5 or TM4/TM5)
160 is accepted to be the most efficient method for debris-free glaciers (Paul, 2002), but it is not suitable for
161 debris-covered glaciers, which are generally mapped manually. Glacier mapping with ASTER was done
162 using threshold band ratio (Table 2) of the third band (red band) and the fourth band (SWIR band), a
163 method which was already successful in other regions (e.g. Paul, 2002; Paul and Kääb, 2005; Raup et al.,
164 2007; Svoboda and Paul, 2009). Svoboda and Paul (2009) have discussed glacier mapping with Landsat
165 MSS, and obtained satisfying results on southern Baffin Island, Canada. We chose their method to extract
166 glacier extent from Landsat MSS. The specific method is as follows: a decision-tree classifier that utilizes
167 multiple thresholds (Table 2) was used because MSS has no SWIR band; instead of a SWIR band, an NIR
168 (near-Infrared) band was used for the band ratio (MSS3/MSS4); and an additional threshold in the first NIR
169 band (MSS3) was applied to remove wrongly classified rocks in shadow (Svoboda and Paul, 2009).
170 However, many glaciers in the Gongga Mountains are debris-covered, and all methods mentioned above
171 make it difficult to detect glacier outlines. Consequently, manual editing was implemented to correct the
172 mapping results. Terminal moraine, the head of glacier melt water, a glacial lake and lateral moraine are
173 visual characteristics utilized in manual identification of the glacier perimeter. Finally, all the digital glacier
174 outlines were placed into a Geographic Information System (GIS) to calculate the areal changes during the
175 years 1966-2009.

176 3.3 Accuracy analysis

177 Analysis of the corresponding change in glacier area consistently indicates about 11.3% (29.2km²) area
178 loss over the last 43 years. However, some uncertainties and limitations of the glacier mapping could be
179 derived. Generally, debris cover, snowfields and refreezing of water bodies are the unavoidable factors
180 affecting the accuracy of the mapping of glacier outlines and also hardly to evaluate. In Gongga Mountains,
181 the refreezing of water bodies could not occur in the ablation periods and we do not discuss this
182 unavoidable factor. In order to verify and improve the accuracy of glacier outlines, fieldwork was
183 conducted (Fig. 1b & c, Fig. 2). Five glaciers (HLG, MZG, YZG, DGB and XGB Glaciers) were surveyed
184 in April 2009 using dual differential GPS (SF-2040G, single-level positioning accuracy $\leq 10\text{cm}$), and, the
185 results showed that there is about ± 30 m difference in the length and 0.5% in the area, when comparing our
186 100 surveyed points with glacier mapping generated from ASTER data in 2009.

187 Another important uncertainty in the area change assessment is derived from the comparison of different
188 data sources. Errors in glacier mapping can be caused by low image resolution and by co-registration errors
189 (Ye et al., 2006; Hall et al., 2003; Shangguan et al., 2009). Glacier area mapping from the comparatively
190 low resolution (80m) of the Landsat MSS image are not as accurate as from TM and ASTER images,
191 especially for the smaller (area $< 0.1 \text{ km}^2$) and debris-covered glaciers. Similar problems were also reported
192 by Hall et al. (2003) in Austria and Svoboda and Paul (2009) in Canada, but they considered that Landsat
193 MSS images are available for most parts of the world with an archive making up for the deficiency of data
194 in the 1970s.

195 We calculated the errors of measure glacier outlines and co-registration as follows. The error of glacier area
196 mapping, E, was estimated as the root sum squares (RSS) of uncertainty error

$$197 \quad E = \sqrt{\lambda^2 + \varepsilon^2} \quad (1)$$

198 Where λ is uncertainty for field survey, ε is the registration error of each image to the Landsat ETM+. In
199 our case, according to the above equation, glacier terminus measurement uncertainty is 85.4 m, 42.4 m and
200 34.9 m for the periods 1966-1974, 1974-2005 and 2005-2009, respectively. Based on Hall et al. (2003) and
201 Ye et al. (2006), the uncertainty in our estimation of glacier area is about 0.012 km².

202 4. Results

203 4.1. New glacier inventory data in 2009

204 The 76 glaciers in the Gongga Mountains (Fig. 3a), 51.3% of all glaciers are smaller than 1 km² and
205 contribute to 7.1% to the total area, while 6.5% glaciers are larger than 10 km² and contribute 45.7% of the
206 total area. The distribution of the number and area of glaciers by the median altitude is depicted in figure.
207 There are 25 glaciers with approximately 50% of the total area distributed between 5200 m and 5400 m
208 (Fig.3b). There is only one glacier reaching higher than 6000 m and one glacier reaching lower than 4000
209 m. On the eastern slope (Fig. 1b), there are 36 glaciers covering an area of 139.9 km², with a mean area of
210 3.9 km² and a climatic equilibrium line altitude (ELA) (climatic snowline: a multi-year average of ELA in a
211 plane and bare surface (Shi, Eds., 1988)) of ~4900 m. There are 40 glaciers with a total area of 87.6km²
212 distributed on the western slope (Fig. 1b), with a mean area of only 2.1 km² and a mean climatic ELA of
213 ~5100 m. The mean aspect of each glacier is calculated following Paul (2007). The aspect of glaciers by
214 number and area is shown in Figure 3c; south-western and south-eastern sectors make up half the number
215 of glaciers, dominating 78% of the area (Fig. 3c), and there are no glaciers whose mean aspect is the
216 northern or north-eastern sectors. Furthermore, the area of glaciers covered on the south-eastern sector
217 obviously exceeded half of the total area (Fig. 3c), and the number of glaciers in the southern sector
218 account for about 20% of all glaciers, while their area contributes to 7.5% (16.9 km²) of total. The aspect
219 distribution shows that the locations of glaciers dependent on local topographical constraints (Andreassen
220 et al., 2008). A dependence of glacier area and number on mean slope is observed as depicted in Figure 3d.
221 The figure suggests the slope of glaciers in Gongga Mountains range from 15 to 45°.

222 4.2. Glacier changes

223 The analysis of glacier area from 1966 (CGI) to 2009 (ASTER) reveals some interesting changes, as
224 shown in Table 3&4, Fig. 2. The sample of 74 glacier units from the 1966 CGI covers a total area of 257.7
225 km² (mean glacier area: 3.5 km²). The area of the largest glacier (YZG Glacier) is 30.1 km², and the
226 minimum area of glacier is only 0.11 km². Whereas, the area of 76 glacier units from 2009 ASTER
227 inventory is 228.5 km² (mean glacier area: 3.0 km²), in which the maximum glacier is 25.5 km² and the
228 smallest glacier is only 0.05 km². The total area loss of the glaciers is about 29.2km² (11.3% of total area in
229 1966) in a decreasing of 0.7 km²/yr from 1966 to 2009. The rate of area change (-1.3 km²/yr) from 2005 to
230 2009 is the fastest in the whole period, while the rate during 1994 to 2005 is the slowest, at -0.5 km²/yr.
231 Due to glacier retreat, one glacier on the western slope was separated into two smaller glaciers in 1974-

232 1989 and two large glaciers (YZG Glacier) on the eastern slope were respectively separated into two and
233 three from 1989-2009. Two small glaciers on the western slope with northern aspects disappeared between
234 1994 and 2005. Therefore, the number of glaciers has increased by two during the period 1966-2009. The
235 trend that most of the glaciers covering the Gongga Mountains have decreased in size is remarkable (Table
236 3 and 4).

237 On the eastern slope of the Gongga Mountains, the sample of 33 glaciers with an area of 155.1 km² in
238 1966 has increased to 36 glaciers but with an area of 139.9 km² in 2009, and the total area loss is 15.2 km²
239 (accounting for 9.8% of the area in 1966). On the western slope, the sample of 41 glaciers with a total area
240 of 102.6 km² in 1966 has decreased to 40 glaciers with an area of 87.6 km² in 2009, and the area loss
241 contributes to 14.6 % of total in 1966. Glacier size strongly affects the percentage loss in glacier area. From
242 1966 to 2009, the area loss in the size classes <0.5 km², 0.5-1 km², 1-5 km², 5-10 km², and >10 km², equals
243 6.3%, 10.8%, 34.8%, 21.3% and 26.8%, respectively. The shrinkage of the glaciers in the size class of 1-5
244 km² contributes to about 1/3 of the total area loss (Fig. 4d and Table 4). Most of glaciers in northwestern
245 and east aspect are small glaciers (<5 km²). The shrinkage of these glaciers in these aspects is stronger than
246 for other glaciers with aspects in this region (Fig. 4a). Compared with glaciers changes in different median
247 altitude, the glaciers area loss in the altitude 5100-5300 m and >5700 m is larger than the glaciers in others
248 altitude (Fig. 4b). The mean slope of all glaciers in this region ranges between 15° and 45°, and glaciers
249 with the mean slope of 15-20° and 35-40° (covering an area of 37.9% in 1966) exhibit the largest shrinkage
250 (Fig. 4c).

251 4.3. Exemplary glacier change

252 Four glaciers with length of 10 km (the HLG, MZG, YZG and DGB Glaciers) are located in the
253 investigation area and account for 39.4% (89.6 km²) of the total glacier area in 2009. We studied their area
254 change and front variations in detail.

255 4.3.1. HLG Glacier

256 In 1966, HLG glacier was 12 km long and 250–1200m wide, with an area of 26.1 km². The glacier flows
257 eastwards as it descends from 7556 m to 3000 m. Four distinct zones can be recognized: the accumulation
258 zone (from 7556 m to 4980 m), a large icefall zone (from 4980 m to 3850 m), a zone of glacier arches
259 (from 3850 m to 3480 m) and a debris covered zone (from 3480 m to 3980 m). According to Li and Su
260 (1996), the ELA of HLG is about 4900m a.s.l., and about 0.28 km² of glacial area is covered by thick
261 debris. The HLG Glacier has described by many glaciologists (Heim, 1936; Cui, 1958; Su et al., 1992; Liu
262 et al., 2010) in different ways. For example, the length of the HLG Glacier was about 13 km in 1936 (Heim,
263 1936), decreased about 1 km from the 1930s to 1960s (Su et al., 1992), and extended only 11 km in 2009.
264 Our investigation indicates that, from 1966 to 2009, the total retreat of the HLG Glacier was about 1146.4
265 m (about 26.7 m/yr), which can be separated into five periods (Fig. 2a and e; Table 5). The highest retreat
266 rate occurred during the period 1989-1994. Our results are in agreement with previous studies (Su et al.,
267 1992; He et al., 2008; Liu et al., 2010; and Li et al., 2010a). Moreover, its area has shrunk by 3.1 % (from
268 26.1 km² in 1966 to 25.3 km² in 2009) since 1966 (Table 6).

269 4.3.2. MZG Glacier

270 The area of MZG glacier was 27.6km², with a length of 11.6 km in 1996. The terminus elevation of
271 MZG glacier is 3600 m a.s.l., about 600 m higher than that of HLG glacier. The ELA is about 5240m a.s.l.
272 and no debris covered on the glacier. The terminus of the MZG glacier retreated about 501.8 m in length
273 from 1966 to 2009 (Fig. 2b and f; Table 5). This relatively slow retraction may be attributed to its higher
274 mean elevation and larger accumulation area ratio (0.75). The terminus of glacier is quite steep and narrow
275 (Fig. 6b and c), and was no debris covered on the ablation zone of glacier. The glacier change in MZG is
276 different from other glaciers. This is an important question and we will explore it in the future works. From
277 1966 to 2009, the shrinkage area of MZG glacier is 7.7 % (Table 6) which is larger than that of the HLG
278 and YZG Glaciers. By comparing remote sensing images from 1974, 1989, 1994, 2005 to 2009 with CGI,
279 we found that some parts of the MZG glacier lay beneath a blanket of snow in the images except 2009;
280 hence the snow fields should be included in the determination of the glacier outline. When the snowfields
281 melted away in 2009 (Fig. 5a), the glacier outline exhibited a sudden shrinkage. In Figure 5a (Uncertain
282 area), although some glacier change was found, we could not identify whether the MZG glacier has been
283 already separated into two parts by a steep cliff (Fig. 5b and c).

284 4.3.3. YZG Glacier

285 The YZG glacier was 30.1 km² in area and 10.5km in length, with the terminus elevation of 3680m a.s.l.
286 The ELA is about 4840 m a.s.l. for YZG glacier and about 0.81km² in the ablation area was covered by
287 debris (Pu, 1996). The terminus of the YZG Glacier retreated 724.8 m (about 16.9 m/yr) during the period
288 1966-2009(Fig. 2d and h; Table 5). The terminus retreat rate (25 m/yr) was at its maximum between 1966
289 and 1974. The area of the glacier decreased from 30.1 km² in 1966 to 20.2 km² in 2009. Furthermore, the
290 YZG Glacier separated into three parts between 1966 and 2009, in which two glaciers were separated from
291 the YZG Glacier during the period 1989-1994 and 1994-2009 (Table 3). The fieldwork in 2009 also
292 illustrates this evidence. These three glaciers cover areas of 20.2 km², 2.9 km² and 6.0 km², respectively.

293 4.3.4. DGB and XGB Glaciers

294 The DGB and XGB Glaciers formed a single glacier before the 17th century. However, they separated
295 into two independent glaciers during the early 17th to middle 19th century (Li, 1996). According to the
296 description of Heim (1936), the DGB Glacier was about 10 km long, and the terminus ended at a height of
297 3800 m a.s.l.. Su et al. (1992) have also described the situation of the glacier. They stated that the overlap
298 of the recent and older moraines formed a great cone, which was about 240 m above the valley floor, and
299 there was no distinct boundary between the present terminus and the fresh moraines around the DGB
300 Glacier. According to our results, the terminus of the DGB Glacier has retreated about 1002.3 m (Fig. 2d
301 and h; Table 5) from 1966 to 2009, and in 2009 was located at an elevation of about 4000 m a.s.l., which is
302 approximately 200 m higher than that in 1936. The length of the glacier was reduced by about 685.7 m in
303 the period 1966-1989 and 316.5 m in the period 1989-2009. The total area of DGB Glacier has reduced by
304 2.4 km² (11.2%), from 21.5 km² in 1966 to 19.1 km² in 2009 (Table 6), the area shrinkage during the period
305 1966-1989 accounts for 78% of the total area loss. Although the shrinkage rate on the western slope was

306 generally higher than that on the eastern side, the terminus of DGB Glacier remained relatively stable
307 during last decade, because the ablation zone was covered by a thick debris layer. The field investigation in
308 2009 showed that the surface elevation of DGB Glacier is about forty meters lower than its fresh lateral
309 moraines. The XGB Glacier is smaller than the DGB Glacier, and is also debris-covered glacier. The
310 terminus of the XGB Glacier retreated about 378 m in the last 43 years, and total area had diminished by -
311 14.6% (from 6.7 km² in 1966 to 5.7 km² in 2009). In summary, the terminus of four glaciers has similar
312 retreating (Table 5). We also found that the retreating of terminus in periods 1966-1974 is faster than that in
313 others periods.

314 4.4 Comparison of glacier changes in Gongga Mountains with other regions

315 In this study, we make a portion statistics about glacier changes in China (Table 7). In the Gangrigabu
316 Mountains, Liu et al. (2006) conclude that the glaciers, which are also monsoonal temperate glaciers, have
317 retreated 13.8% (about 2.1 % per decade) in area and 9.8% (about 1.5 % pre decade) in volume,
318 respectively, from 1915 to 1980. The glaciers in the west Kunlun Shan (WKS), which are extreme
319 continental type glaciers, have decreased by about 0.4 % in area during the period 1970-2001 (Shangguan
320 et al., 2007). According to Shangguan et al. (2006), the glacier (sub-continental type glacier) area has
321 decreased by 4.1 % (about 1.4 km² per decade) in the Karakoram Mountains between 1969 and 1999. Li et
322 al. (2008) summarized the current status of the cryosphere in China and its changes based on the latest
323 available data. The investigation indicated that glacier areas in China have shrunk about 2-10% over the
324 past 45 yr and total area has receded by about 5.5% (Li et al., 2008). Moreover, Kang et al. (2004)
325 suggested that the area change of monsoonal temperate, sub-continental and extreme continental type
326 glacier is -8.9%, -6.0% and -2.4% from the 1960s to 2000, respectively. Those results indicate that the
327 change of monsoonal temperate type glacier is remarkable. Comparing with above researches, the glacier
328 retreat in the Gongga Mountains (11.3% reduction in glacier area from 1966 to 2009, and about 2.6 % per
329 decade) is similar to the same glacier type but faster than continental glaciers type in the west of China.

330 5. Discussion

331 In this study, temperature and precipitation data are from three meteorological stations (Fig. 1a and b),
332 which are located close to the glaciers in the Gongga Mountains. They are Hailuoguo meteorological
333 station (3000 m a.s.l.) on the eastern slope (Fig. 1b) and Jiulong meteorological station (2993 m a.s.l.) and
334 Xinduqiao meteorological station (3640 m a.s.l.) on the western slope (Fig. 1a). Climate records of these
335 stations (Fig. 6) were analyzed to evaluate the impact of the temperature and precipitation on glacier retreat.
336 The meteorological data was processed by Microsoft excel 2003, and the trend line was calculated by one-
337 dimensional liner regression equation. The mean annual temperature of all three stations has increased over
338 the last decades, and the warming rate of the HLG meteorological station (0.34 K/decade, 1988-2009) is
339 faster than those of the Jiulong meteorological station (0.24 K/decade, 1988-2009) (Fig. 6). During 1966-
340 2009, the warming rate of Jiulong and Xinduqiao meteorological data is about 0.14K/decade (Fig. 6). In the
341 south-eastern margin of the Qinghai-Tibetan Plateau, evidence of long-term climate change, derived from
342 tree-rings (He et al., 2003) and an ice core (Thompson et al., 2000) also indicates a rapid warming trend in

343 past millennium. The mean annual precipitation has only increased by 1% (Fig. 6) in the last 50 years.
344 Mass-balance modeling (Oerlemans, 2001; Braithwaite and Zhang, 2000), indicates that a 25% increase in
345 annual precipitation is typically needed to compensate for the mass loss due to a uniform 1 K warming. In
346 the Gongga Mountains, the mean annual temperature has increased by 0.5 K since the 1960s, while the
347 mean annual precipitation has increased by 1%. As a consequence, the increasing amount of precipitation
348 could not compensate for the mass loss due to the temperature increase in the Gongga Mountains.
349 Therefore, we propose that the glacier area shrinkage of 11.3% in the Gongga Mountains is attributed to the
350 increase of mean air temperature (Fig. 7).

351 Taking the topographical features of this region into account, the Gongga Mountains run approximately
352 north-south, and the number of glaciers is respectively 36 and 40 on the eastern and western slope in 2009.
353 The rate of area loss on the western slope (14.6%) is a little bit faster than that on eastern slope (9.8%) of
354 the Gongga Mountains. According to meteorological data, the mean annual temperature rise is faster on the
355 eastern slope than on the western slope. However, the difference of glacier area loss on both slopes could
356 not be well interpreted by meteorological data as the length and operational period of the three weather
357 stations differed significantly. The fact is that the mean glacier size on the western slope (2.2 km²) is
358 smaller than that on the eastern slope (3.9 km²). The different retreat rates on both slopes can be interpreted
359 by the difference of glacier size. The smaller glaciers on the western slope may be more sensitive to the
360 changes of climate than the larger glaciers on the eastern slope. Considering the largest glaciers changes,
361 climate warming has resulted in sustained glacier retreat through 43 years, but the topographic factor is also
362 not neglected. For example, the HLG, and YZG glacier are located on the eastern slope, but the terminus of
363 HLG glacier (3015 m a.s.l.) is lower than YZG glacier (3726 m a.s.l.). Additionally, the aspect of HLG and
364 YZG glaciers are southeast and northeast. Those responding can explain that the shrinkage of HLG glacier
365 is quicker than the YZG glacier. Furthermore, the ablation of HLG glacier by Zhang et al (2011) note that
366 about 67% of the ablation area on HLG glacier has undergone accelerated melting, whereas about 19% of
367 the ablation area has experienced inhibited melting, and the sub-debris melt rate equals the bare-ice melt
368 rate in only 14% of the ablation area, because of the inhomogeneous distribution of debris thickness.
369 Although, the thick debris may give a crucial role in suppressing ablation in the terminus Zhang et al
370 (2011), the ice crevasse and subglacial river also may cause the glacier accelerating ablation. The change of
371 HLG glacier is a quite complex process.

372 The rate of glacier retreat in the Gongga Mountains (Table 3 and Fig. 7) was 0.6 km²/yr from 1966 to 1974,
373 slightly slowed down during the period 1974-1989, and then became intensive in the period between 1989
374 and 1994. It was at its slowest (0.5 km²/yr) from 1994 to 2005, and after 2005, became its most intensive, at
375 1.3 km²/yr. In order to explore causes of glacier reduction in different time intervals, the meteorological
376 data of Jiulong station, which is the longest and most reliable series data from 1953 to 2009, were averaged
377 with the same time interval as glacier reduction (Fig. 7). In comparison with glacier reduction, the annual
378 temperature exhibits similar trends as the glacier reduction (Fig. 7), and annual precipitation has a
379 significant negative correlation with the retreat rate of glacier area (Fig. 8). The increase of precipitation

380 probably weakens the rate of glacier reduction; in contrast, the decrease of precipitation aggravates the rate
381 of glacier reduction. Therefore, the decrease in precipitation and increase of temperature caused the largest
382 rate of glacier reduction (1.3 km²/yr) during the period 2005 -2009 (Fig. 7). This result coincided with the
383 research of Yao et al. (2004), who divided the glacier retreat into several stages when studying glaciers in
384 the southeast Tibetan Plateau and Karakorum Mountains.

385 In general, the quantitative relationship between the glacier termini fluctuations and climate change is
386 complicated by a time lag between climate change and glacier response (Jóhannesson, 1989). According to
387 Porter (1986), the small temperate glaciers in low-latitude and middle-latitude are especially sensitive to
388 climate change; therefore the dynamic response of the terminus is generally rapid with a lag time of a
389 decade or less. Wang and Zhang (1992) considered that there was a phase lag of 12-13 years for glacier
390 advance to climatic change in the Northern Hemisphere by analyzing numerous glacier advance and
391 positive mass-balance. The time lag is affected by several conditions, such as glacier size, glacier bed slope,
392 and glacier type. Based on the study of Pelto and Hedlund (2001), HLG, YZG, MZG and DGB glaciers are
393 all type 1 glacier, which is distinguished by steeper slope, extensive crevassing and higher terminus region
394 velocities. The lag time of this type glacier should be 4 to 16 yr.

395 When the Gongga Mountains glaciers are grouped, according to size classes (according to their CGI area)
396 (Table 4), it shows that glaciers with small sizes had a more notable reduction in area than large glaciers.
397 For instance, the shrinkage of the small glacier (area < 1 km²) was the quickest, and two smallest glaciers
398 have vanished, which did not have consistent accumulation zones. According to Pelto (2010) study, the
399 characteristics of substantial accumulation zone thinning, marginal recession or emergent bedrock areas in
400 the accumulation zone are also found in less glaciers on Gongga Mountains. Although the area of the large
401 glaciers (area > 10 km²) dominated the total area, the glaciers of 1-5 km² contributed about 35% to the total
402 area recession. This evidence suggests that smaller glaciers are responding more quickly, especially to
403 short-period and small-amplitude climate change.

404 Monsoonal temperate glaciers in China mainly covered in the southeastern part of Qinghai (Tibetan)
405 Plateau. The areas of monsoonal temperate glaciers is about 13203km², accounting for 22.2% of total
406 glacier areas in China (Su and Shi, 2002). According to Thompson et al. (2000) study, Dasuopu cores
407 suggested a large-scale, plateau-wide 20th-century warming trend that appears to be amplified at higher
408 elevations. Two records in southeastern Tibetan Plateau of temperate glaciers indicate a 0.8 K colder in the
409 17th century than the present (Shi and Liu, 2000). However, the estimate of Jones et al. (1999) shows a
410 worldwide temperature decrease in the same period is 0.5-0.8 K, which is just half of that occurring in
411 western China. In this region, air temperature has risen to 0.8 K on average since the Maxima of the Little
412 Ice Age and the glacier area has decreased by 3700 km², which corresponds to 29% of the area of existing
413 glaciers (Shi and Liu, 2000). Glaciers in the Gongga Mountains are important monsoonal temperate
414 glaciers. The glacier areas losses (11.3%) of Gongga Mountains are well response to the Shi and Liu (2000)
415 results, and also prove the climate warming in this region.

416 6. Conclusion

417 In this study, we present the results of the new glacier inventory of the Gongga Mountains, with area
418 228.5km² of 76 glaciers in 2009, and serial glacier mapping results from different data sources since the
419 1960s, including a statistical analysis of the inventory data and a calculation of area and length changes
420 from 1966 to 2009. The glacier area of 74 glaciers in the Gongga Mountains shrank by -11.3 % (about 29.2
421 km²) or about -2.6 % per decade since 1966. The number of glaciers has shrunk from 76 to 74 in 1966, as
422 two small glaciers (< 1 km²) have vanished and four new glaciers were separated from large glaciers during
423 the period 1966-2009. The retreat rate of glacier area during 1966-2009 is higher than most other regions in
424 China. Moreover, the area loss is more notable on the western slope (-5.9 % in 1966) than on the eastern
425 slope (-5.5% in 1966). The rate of glacier reduction is notable between 1966 and 1994, became slower
426 during the period 1994-2005, and reached its fastest during the years 2005-2009. This trend of glacier
427 reduction is similar to other glaciers on the southeast of the Qinghai-Tibetan Plateau, and the reduction is
428 mainly caused by the increase of temperature. Moreover, the glacier reduction on the western slope is faster
429 than that on the eastern slope which can be explained by the difference of topography and glacier size.
430 Although, the terminus and area of the largest glacier is a visible retraction, the smaller glaciers also make
431 important contributions to area changes, especially to response to climate changes, because the smaller
432 glaciers are more sensitive to climate change than larger glaciers in local region range and short timescale.
433 The glacier retreat in the Gongga Mountains is similar to the same glacier type but faster than continental
434 glaciers type in the west of China. However, we have procured many significative and interesting results.
435 Many open questions still need to be solved (e.g. spatial resolution of remote sensing images; the different
436 of fieldwork; accuracies of glacier mapping). In the future, the monitoring of the glacier changes will be a
437 long-time and hard work, especially for alpine glaciers.

438

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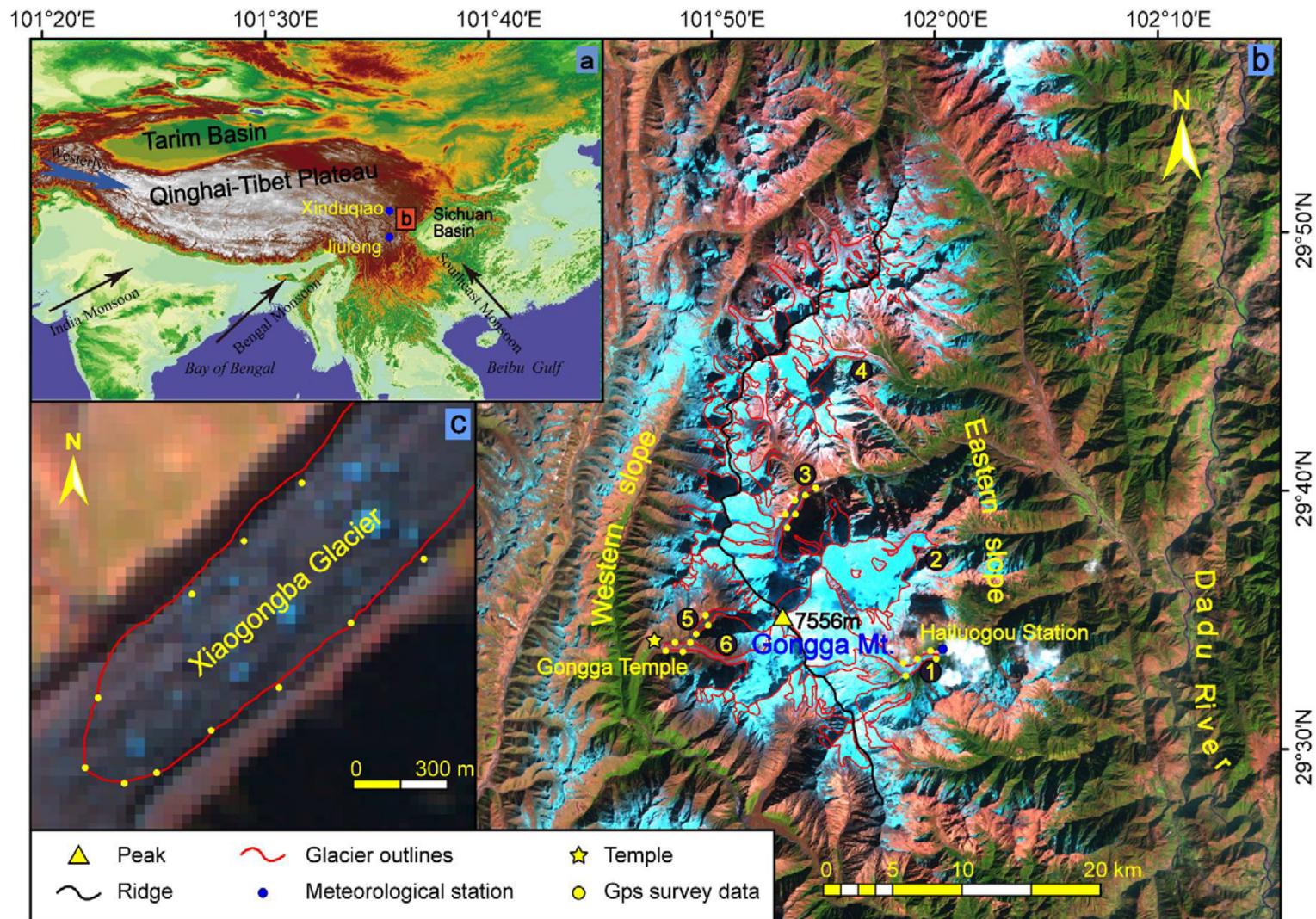
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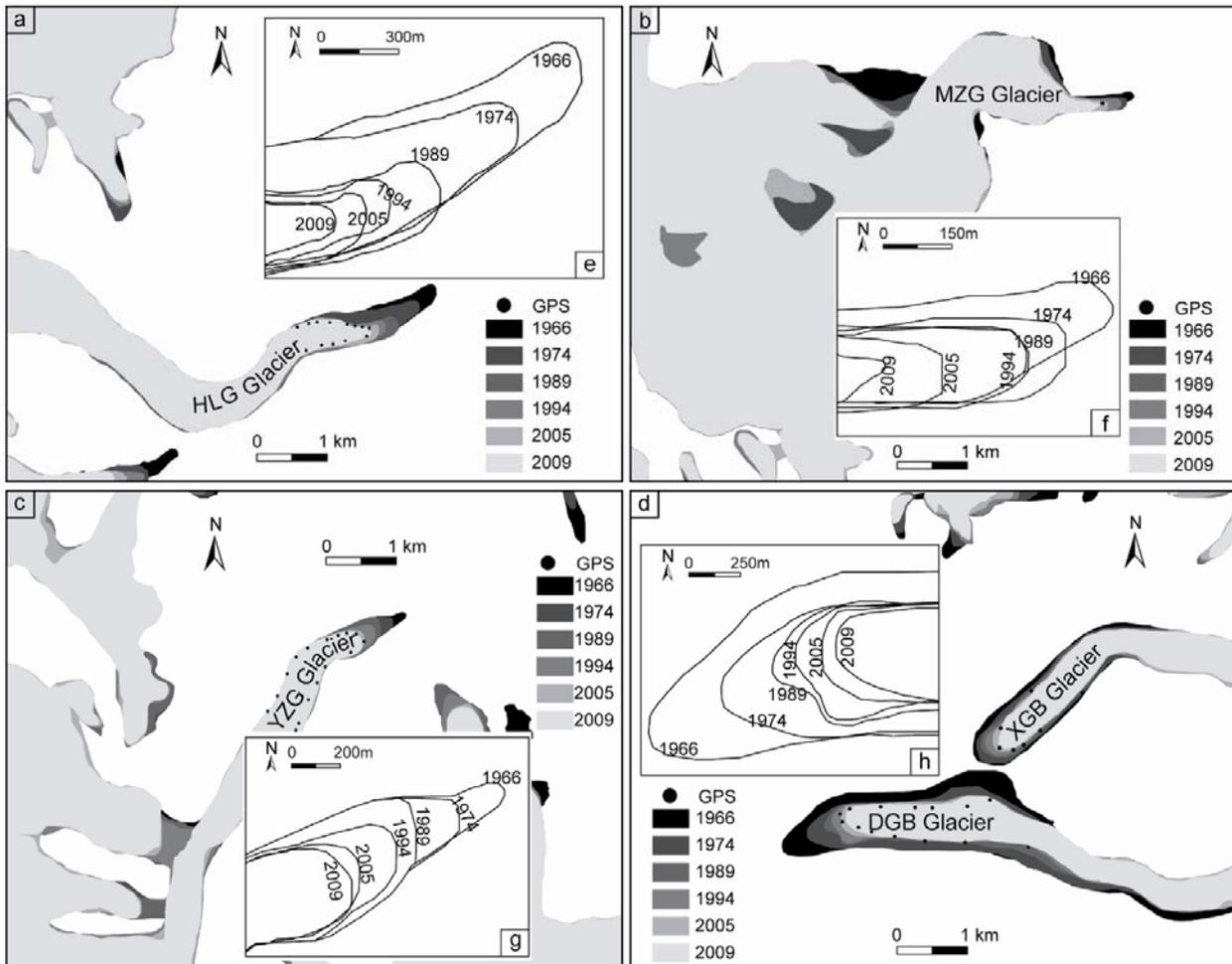
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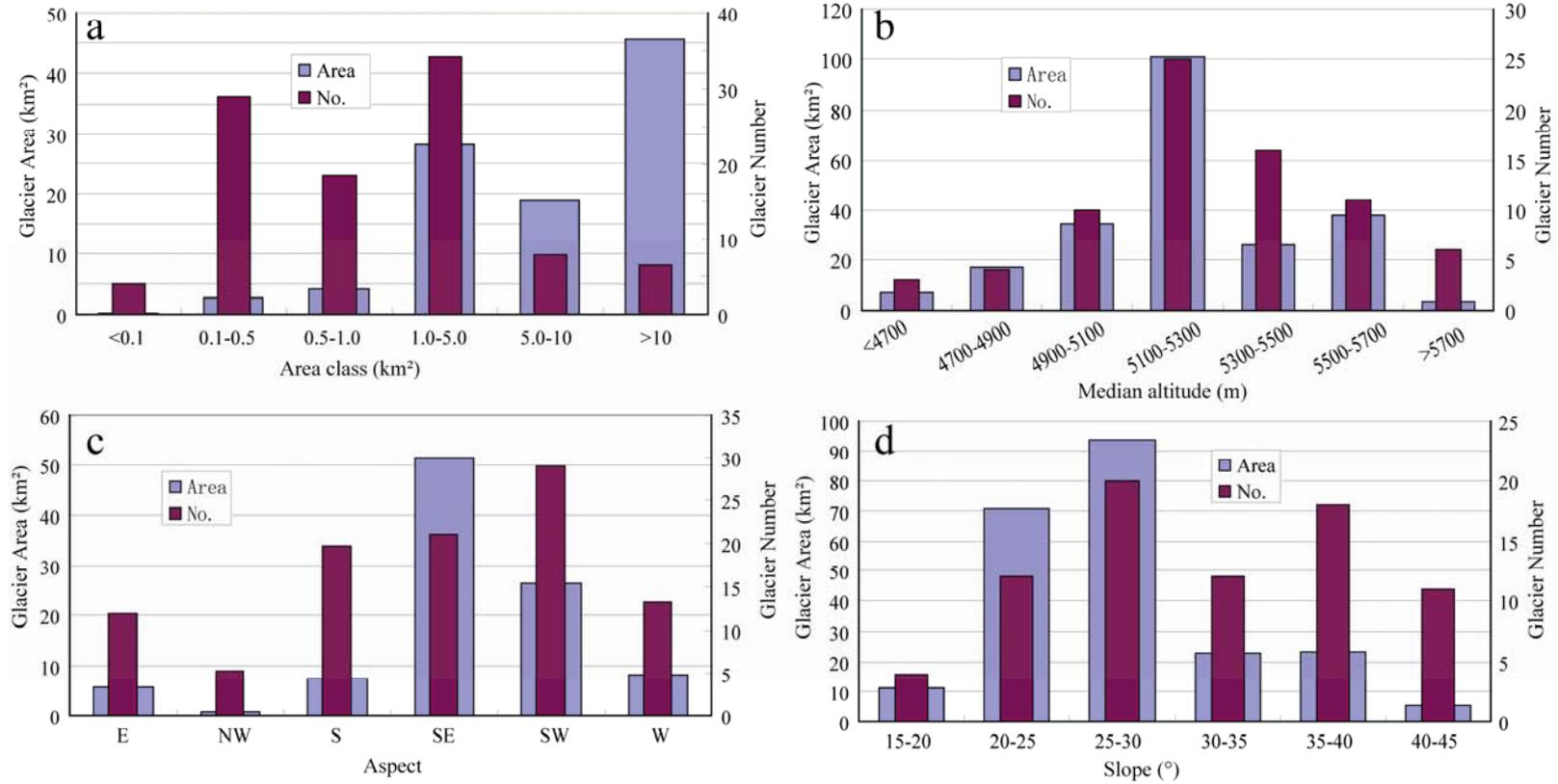
Fig.1. (a) Showing the location of the Study region and two meteorological stations; (b) Glacier extent in Study region with Landsat TM band 543 (as RGB): NO.1 Hailuogou Glacier, NO.2Mozigou Glacier, NO.3Yanzigou Glacier, NO.4Nanmenguangou Glacier, NO.5Xiaogongba Glacier and NO.6Dagongba Glacier; (c) Glacier outlines and field GPS survey data in 2009.



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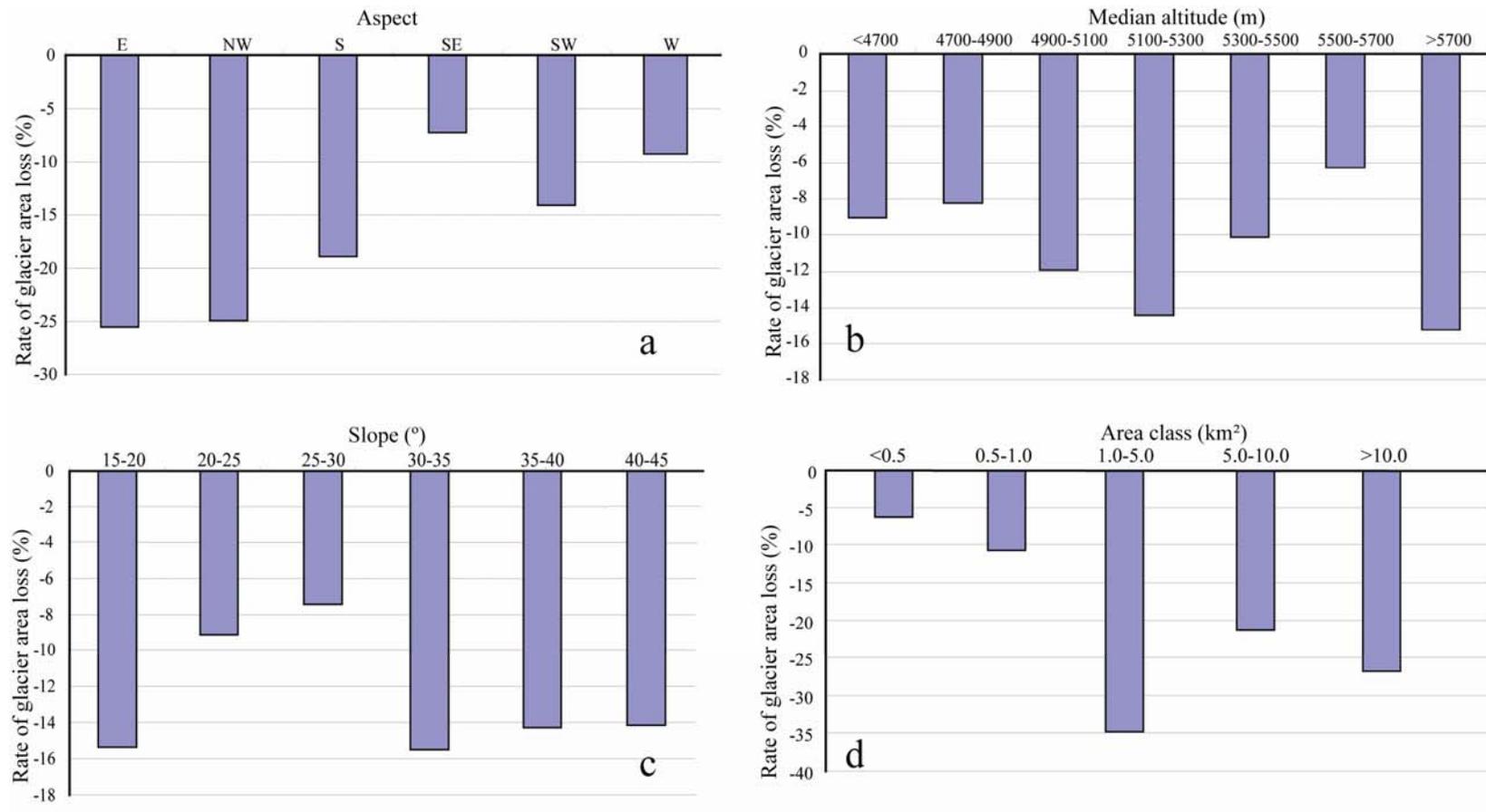
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Fig. 2. Area changes and terminal retreat of the HLG (a and e), MZG (b and f), YZG (c and g) and DGB (d and h) Glaciers since 1966.



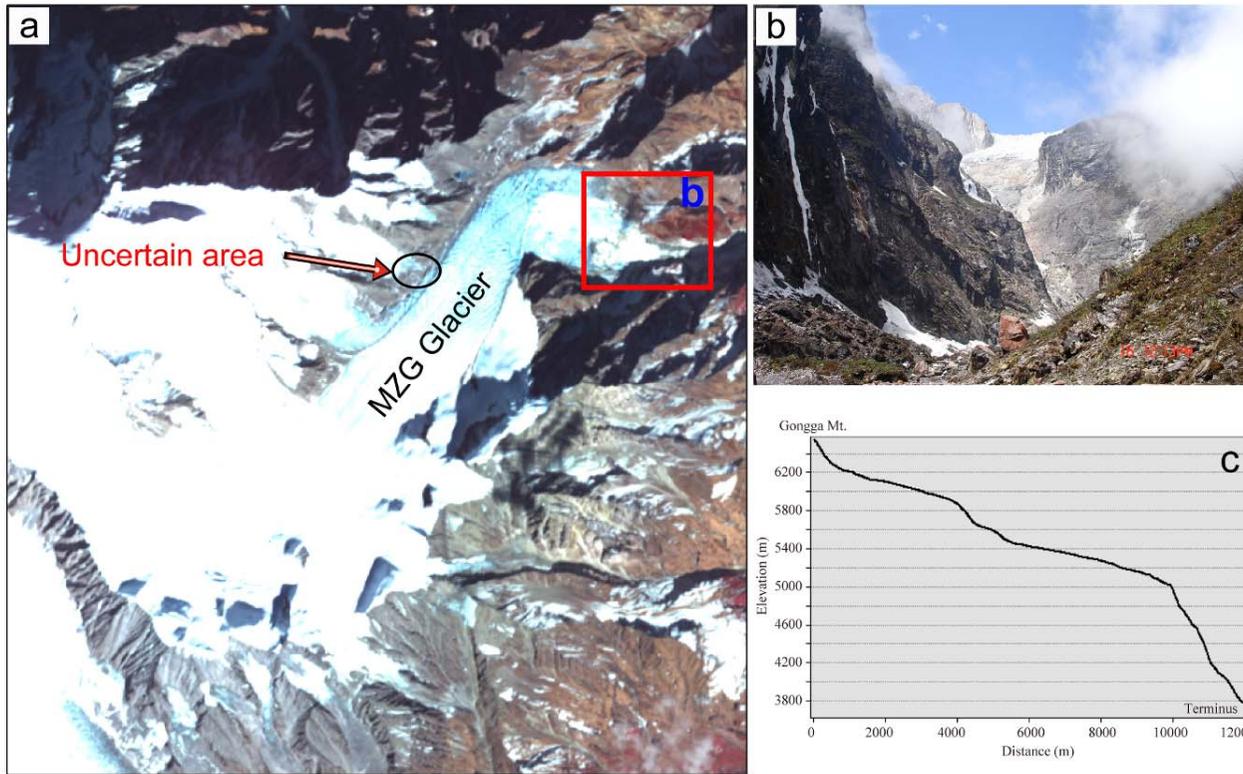
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Fig. 3. Distribution of glaciers in 2009. (a) Distribution of glacier in different area class. (b) Distribution of glacier in different median altitude. (c) Distribution of glacier in different aspect. (d) Distribution of glacier in different slope.



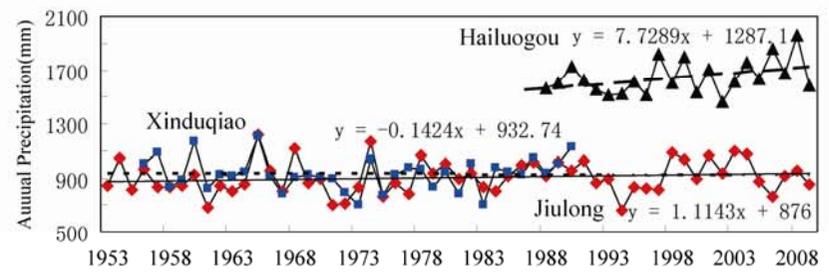
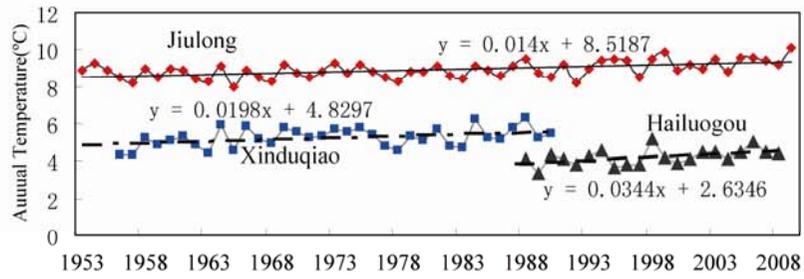
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Fig. 4. The glacier changes between 1966 and 2009. (a) The relationship between area changes and aspect. (b) The relationship between area changes and median altitude. (c) The relationship between area changes and slope. (d) The relationship between area changes and area class.



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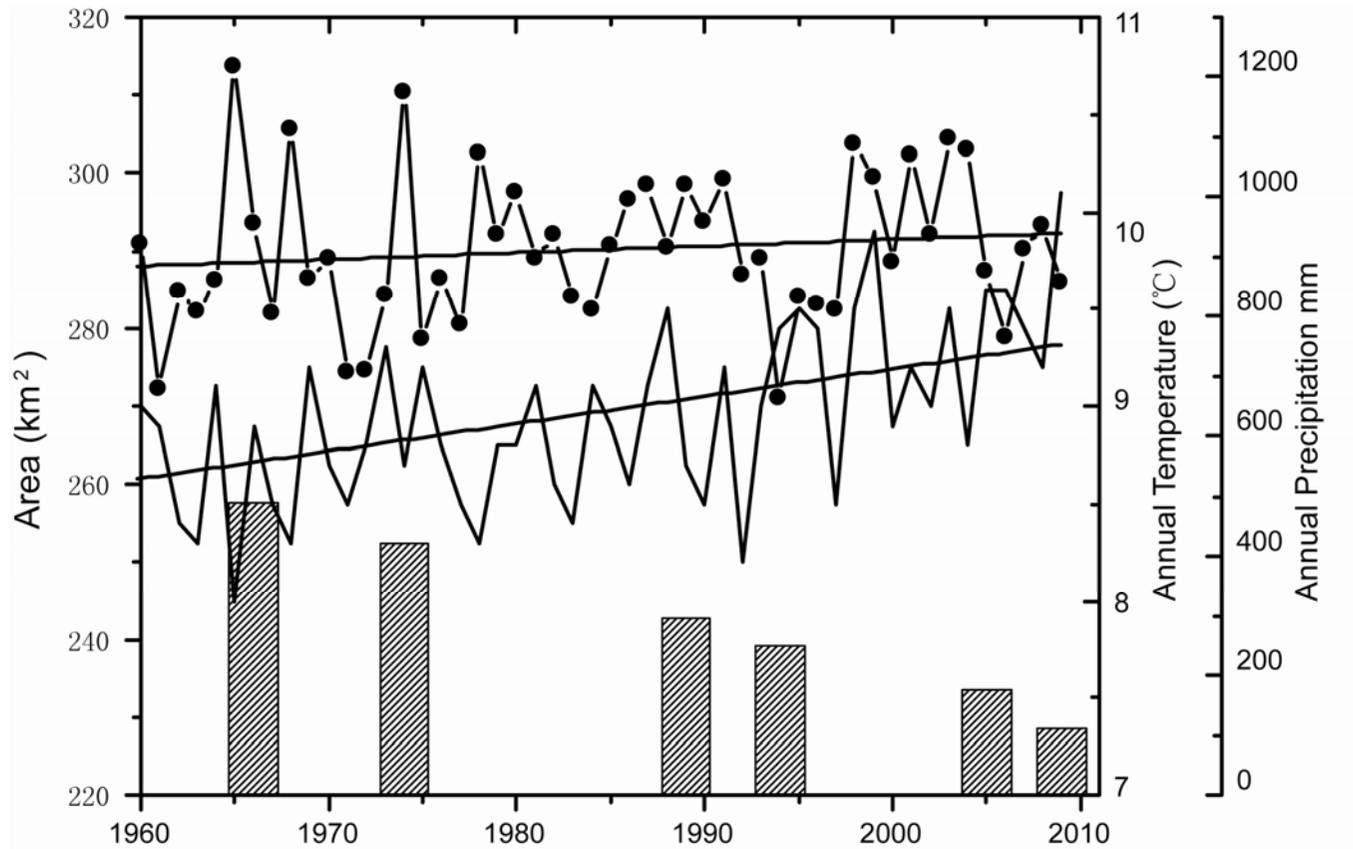
Fig. 5. (a) ASTER image showing the MZG Glacier in 2009. (b) Field photo shows the terminus of the MZG Glacier in 2009. (c) The longitudinal profile of MZG Glacier from DEM in 1989.



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648 Fig.6. The meteorological data of Gongga Mountain during 1960-2009.

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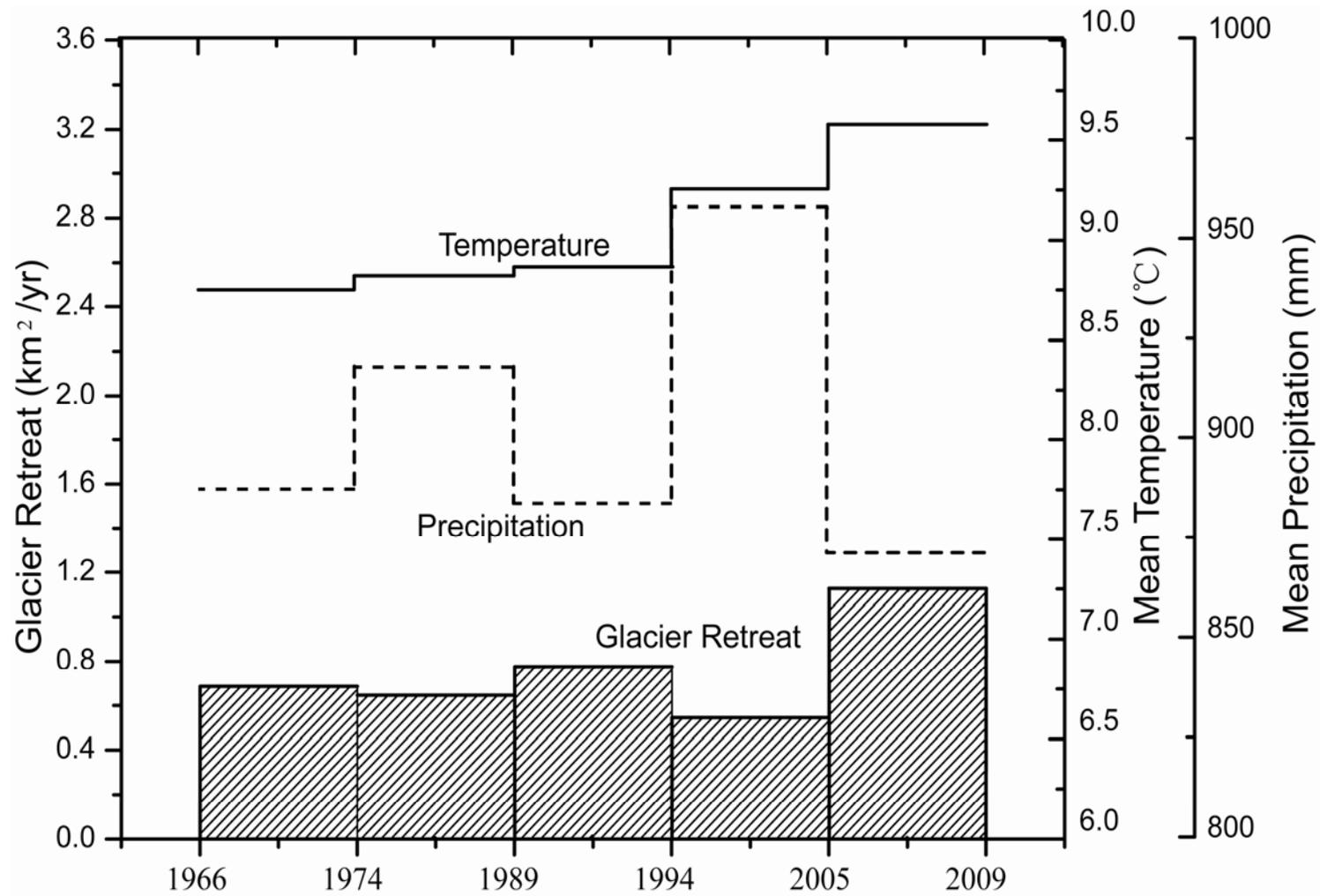
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Fig. 7. The trend of glacier changes and meteorological data of Jiulong Station. The diagonal line stands for the total area in 1966, 1974, 1989, 2005 and 2009.

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The dot is precipitation. The polyline is temperature.

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Fig. 8. The relation between glacier retreat and climate change. Bar is glacier retreat; Black dash line is mean precipitation; Black Solid line is mean temperature

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659 **Table 1** Data sources used in this study

Image	Path/row	Date	Resolution or scale	Cloud cover	Source
Topographic map	-	1971	1:100000	-	Chinese military geodetic service
DEM	-	1989	20m or 1:50000	-	Topographic map
CGI	-	1966	1:100000	-	Aerial photographs
Landsat 2 MSS	140/39	1974/01/21	80m	0%	USGS/NASA
Landsat 5 TM	131/39	1989/01/02	30m	0%	USGS/NASA
Landsat 5 TM	131/39	1994/09/05	30m	0%	USGS/NASA
Landsat 5 TM	131/39	2005/02/07	30m	11%	USGS/NASA
Landsat 7 ETM+	131/39	2002/01/06	30m	0%	USGS/NASA
Terra ASTER	-	2009/05/23	15m	3%	NASA /METI

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678 **Table 2** Thresholds used for glacier mapping for all investigated Sensors

Sensor	Snow and ice*	Snow and ice in shadow
ASTER	AST3/AST4 \geq 1.8	AST1 > 47
TM	TM3/TM5 \geq 2.4	TM1 > 59
MSS	MSS3/MSS4 \geq 2.0	MSS3 > 22

679 *Partly includes rocks in shadow.

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705 **Table 3** Results of glacier mapping in 1966-2009

Time	Glacier count	Total area (km ²)	Mean glacier area (km ²)	Area change* (km ²)	Rate of area change (km ² /yr)
1966	74	257.7	3.5	-	-
1974	74	252.4	3.4	-5.2	-0.7
1989	75	242.8	3.2	-9.6	-0.6
1994	76	239.1	3.1	-3.8	-0.8
2005	74	233.6	3.1	-5.5	-0.5
2009	76	228.5	3.0	-5.1	-1.3
total				-29.2	-0.7

706 *Area change is obtained by subtracting total area from two neighboring periods.

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727 **Table 4** Comparison of glacier area for 74 glacier units from three different inventories: CGI (1966), Landsat MSS (1974),

728 Landsat TM (1989,1994 and 2005) and ASTER 2009. The area in 1966 is used as reference for area comparisons.

Interval area (km ²)	Number in 1966		Mean Terminus(m)	Mean Elevation(m)	Area (km ²)							Area change (km ²)					Total (km ²)	Area change (%)
	(n)	(%)			1966	1974	1989	1994	2005	2009	09-05	05-94	94-89	89-74	74-66			
<0.5	22	29.7	5090.4	5416.4	6.9	6.6	6.5	5.9	5.5	5.1	-0.4	-0.4	-0.6	-0.1	-0.3	-1.8	-6.3	
0.5-1.0	16	21.7	4956.9	5455.9	11.5	11.1	9.8	9.5	8.8	8.4	-0.4	-0.7	-0.3	-1.3	-0.4	-3.3	-10.8	
1.0-5.0	24	32.4	4388.6	5332.9	63.5	61.9	58.3	56.9	55.1	53.4	-1.7	-1.8	-1.4	-3.6	-1.6	-10.1	-34.8	
5.0-10.0	6	8.1	4320.7	5116.7	43.6	42.5	40.8	39.8	39.0	37.4	-1.6	-0.8	-1.0	-1.7	-1.1	-6.2	-21.3	
>10.0	6	8.1	3616.6	5120.9	132.2	130.3	127.4	127.0	125.1	124.3	-0.8	-1.9	-0.4	-2.9	-1.9	-7.8	-26.8	
Total	74	100.00			257.7	252.4	242.8	239.1	233.5	228.6	-4.9	-5.6	-3.7	-9.6	-5.3	-29.1	-100	
Area change (%)											-2.0	-2.1	-1.5	-3.7	-2.0	-11.3	-	

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747 **Table 5** Terminal retreat of four typical glaciers

Glacier name	Terminal retreat (m)					Total of terminal retreat (m)	Terminal retreat (m/yr)
	1966-74	1974-89	1989-94	1994-2005	2005-09		
HLG	-336.5	-393.4	-188.3	-103.8	-124.6	-1146.4	26.7
MZG	-120.6	-87.9	-109.9	-61.5	-121.8	-501.8	11.7
YZG	-204.9	-181.7	-97.8	-172.8	-67.6	-724.8	16.9
DGB	-408.7	-277.0	-117.8	-131.0	-67.8	-1002.3	23.3

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Table 6 Area changes of four typical glaciers

Glacier name	Area of glacier (km ²)						Area change of Glacier (km ²)					Total of area changes (km ²)	Area change (%)
	1966	1974	1989	1994	2005	2009	1966-74	1974-89	1989-94	1994-2005	2005-2009		
HLG	26.1	26.0	25.8	25.6	25.4	25.3	-0.1	-0.2	-0.2	-0.2	-0.1	-0.8	-3.1
MZG	27.6	27.3	26.2	26.1	25.9	25.5	-0.3	-1.1	-0.1	-0.2	-0.4	-2.1	-7.7
YZG*	30.1	29.7	29.6	26.6	20.3	20.2	-0.4	-0.1	-0.2	-0.3	-0.1	-1.1	-3.7
DGB	21.5	20.5	19.6	19.5	19.4	19.1	-1.0	-0.9	-0.1	-0.1	-0.3	-2.4	-11.2

* Including two small glaciers

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Table 7 The glacier changes of three types in China

Study Area	Glacier type	Periods	Glacier Changes (%)	Rate of glacier changes (%/a)	Document Source
The Western Kunlun Shan	Extreme continental	1970-2001	-0.4	0.01	Shangguan et al, 2007
The Western Nyainqêntanglha Range	Extreme continental	1970-2000	-5.7	0.19	Shangguan et al, 2008
Geladandong Mountain	Sub-continental	1969-2002	-4.7	0.16	Ye et al, 2006
Karakoram Mountains	Sub-continental	1969-1999	-4.1	0.14	Shangguan et al, 2006
Gangrigabu Range	Monsoonal temperate	1915-1980	-13.8	0.18	Liu et al, 2005
Gongga Mountain	Monsoonal temperate	1966-2009	-11.3	0.26	This study

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