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# The multiphase physics of sea ice: a review

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# Abstract

Rather than being solid throughout, sea ice contains liquid brine inclusions, solid salts, microalgae, trace elements, gases, and other impurities which all exist in the interstices of a porous, solid ice matrix. This multiphase structure of sea ice arises from

- <sup>5</sup> the fact that the salt that exists in seawater cannot be embedded into the water-ice crystal lattice upon formation of sea ice, but remains in liquid solution. Depending on the ice porosity (determined by temperature and salinity), this brine can drain from the ice, taking other sea ice constituents with it. Thus, sea ice salinity and microstructure are tightly interconnected and play a significant role in polar ecosystems and climate.
- As large-scale climate modeling efforts move toward "earth system" simulations that include biological and chemical cycles, renewed interest in the multiphase physics of sea ice has strengthened research initiatives to observe, understand and model this complex system. This review article provides an overview of these efforts, highlighting known difficulties and requisite observations for further progress in the field. We focus
- on mushy-layer theory, which describes general multiphase materials, and on numerical approaches now being explored to model the multiphase evolution of sea ice and its interaction with chemical, biological and climate systems.

# 1 Introduction

Biologists in the polar regions face an apparent contradiction: the bulk salinity of sea ice (around 5 psu) is considerably smaller than that in the underlying polar oceans (around 32 psu) – salty brine drains from sea ice – and yet chlorophyll concentrations in sea ice (a measure of microalgal biomass) can be two orders of magnitude larger than in the surrounding ocean (Arrigo et al., 1997). How do these organisms and the nutrients they need to survive reach such high concentrations, while the brine drains?

<sup>25</sup> This question, and its potential impact on polar ecosystems and climate, motivates a relatively new thrust in global-scale sea ice research that aims to understand and model



the multiphase physics of sea ice. Indeed, the desalination mechanisms themselves are not well understood.

Sea ice salinity has long fascinated sea ice researchers for the complexity that it produces through depression of freezing- and melting-temperature. Malmgren (1927)
discussed the evolution of the vertical salinity profile, illustrated in Fig. 1, and recognized its effect on the thermal properties of sea ice. As sea water freezes, salt becomes concentrated in interstitial liquid brine inclusions. Because phase equilibrium must be maintained between these inclusions and the surrounding ice, the salt becomes more and more concentrated as temperatures decrease. While some solid salts start to precipitate at temperatures as high as -2.2°C, other salts remain in liquid solution even at temperatures below -50°C (Assur, 1958; Marion, 2001). During periods of warming,

- temperatures below -50 °C (Assur, 1958; Marion, 2001). During periods of warming, some fresh ice dissolves in the liquid brine, expanding the brine inclusions, as shown in Fig. 2a. As sea ice ages, much of the liquid brine is lost from the ice, primarily through downward convection in vertical brine channels that form naturally during sea-ice for-
- <sup>15</sup> mation (Fig. 2b, c). Other constituents in the sea water, such as algae and bacteria, can exist in the interstices of the solid matrix, too. Communities of these organisms form within the ice, their life cycles largely determined by penetrating sunlight and by fluxes of nutrients between the ocean and ice. The nutrient fluxes are, in turn, controlled by the porous ice structure that depends critically on the temperature and salinity of the
- ice. For example, cold or warm fronts passing vertically through the ice after the onset of storms cause the brine inclusions to contract or expand, thus altering the permeability. When the ice becomes impermeable, nutrient fluxes cease and primary productivity within the ice declines (Fritsen et al., 1994).

Evidence is mounting that sea ice is also important for regulating, and possibly driving, chemical exchanges between the ocean and atmosphere in polar regions. For instance, biological agents within sea ice are responsible for the emissions of trace gases including dimethylsulfide (DMS, Kirst et al., 1991; Levasseur et al., 1994), which is thought to play a significant role in planetary albedo feedback effects when it oxidizes to form sulfate aerosol, a type of cloud condensation nucleus (Charlson et al.,



1987). While oceanic DMS fluxes to the atmosphere have been measured and studied for some time (e.g., Andreae and Raemdronck, 1983), data are too sparse to evaluate even the sign of the DMS-albedo feedback over sea ice. Recent measurements of DMS fluxes over Antarctic sea ice suggest large DMS emissions by sea ice in spring.

- <sup>5</sup> In the carbon cycle, precipitation and dissolution of CaCO<sub>3</sub> within sea ice can provide an efficient pathway for exchange of atmospheric CO<sub>2</sub> (Rysgaard et al., 2007; Delille et al., 2007). In addition, for reasons that are not yet fully understood, dissolved iron accumulates in sea ice (e.g., Lannuzel et al., 2010). The storage and transport of iron associated with sea ice dynamics stimulates summer phytoplankton blooms in the
- Southern Ocean, as shown by a preliminary model investigation of the Southern Ocean (Lancelot et al., 2009). Overall, the sea-ice habitat appears to be highly productive and is thought to make a significant contribution to the carbon, sulfur and iron cycles, which needs to be carefully assessed. Previous assessments of the impact of sea ice on the large-scale carbon cycle suffer from uncertainties in observed and simulated pri-
- mary production in sea ice (Legendre et al., 1992; Arrigo et al., 1997; Zemmelink et al., 2008). Indeed, fundamental chemical equilibria at subzero temperatures are not well understood for aqueous geochemical processes as in sea ice (Marion, 2001).

Generally speaking, large-scale models, such as those used for regional or global climate simulations, can not simulate the detailed physical structures of brine inclusions,

- whose scales range from the sub-millimeter to around 1 cm in diameter. (Channels may stretch the full depth of the ice, however.) Ice models traditionally treat thermodynamic processes, including those dependent on salinity such as thermal conductivity, in a one dimensional, horizontally averaged sense. The thermodynamic model of Maykut and Untersteiner (1971) and its variants (Semtner, 1976; Bitz and Lipscomb, 1999; Win-
- ton, 2000) have generally sufficed for global climate modeling purposes, but GCMs are now beginning to include complex ecosystem models in order to more fully capture the carbon cycle, for instance. While sea ice was considered primarily a barrier between atmosphere and ocean for biogeochemically relevant fluxes, its influence on the ecosystems and chemistry in the polar regions is now becoming recognized and sea



ice researchers are striving to improve representations of sea ice multiphase physics in models.

This paper reviews the current state of the available data, theory and models, and discusses new approaches for modeling sea ice salinity evolution. We begin with a theoretical overview of the physical processes, then discuss numerical approaches for understanding the problem in Sect. 3. In Sect. 4 we outline pertinent observational studies, highlighting particular needs for sea ice multiphase modeling.

# 2 Basic physics

In order to characterize the properties of a certain sea-ice sample, a variety of paramters can be used. For an idealized gas-free sample of sea ice, the most fundamental among these parameters are arguably temperature, bulk salinity, and the fraction of solid ice (or the fraction of liquid brine, respectively). These parameters are closely related, and it is usually sufficient to know any two of them in order to calculate the third. The relationship between these parameters can easily be seen by considering, for example, the bulk salinity  $S_{bu}$  that describes the salinity of a melted sea ice sample. Denoting the fraction of solid ice as  $\phi$ , the bulk salinity can be written as

$$S_{\text{bu}} = \phi S_{\text{ice}} + (1 - \phi) S_{\text{br}}(T).$$

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Here,  $S_{br}$  denotes the salinity of the interstitial liquid brine, while  $S_{ice}$  is the salinity of the solid ice lattice. Since salt ions are not incorporated into the ice crystal lattice other than as trace amounts,  $S_{ice} = 0$ , and Eq. (1) can be rewritten as

$$1 - \phi) = \frac{S_{\text{bu}}}{S_{\text{br}}(T)}.$$
(2)

This equation shows that the brine mass fraction of sea ice  $(1 - \phi)$ , which is key to many derived physical and biological quantities, is given as the ratio of bulk salinity and



(1)

brine salinity if one for simplicity neglects gas inclusions (see Cox and Weeks (1983) for a discussion of sea ice with gas inclusions).

Because the interstitial brine is surrounded by solid freshwater ice, phase equilibrium must always be maintained between these two phases. Hence, volume and salinity of

- the brine inclusions adjust in order to maintain the temperature of the brine at the freezing temperature (or more accurately, the liquidus temperature). Therefore, if a certain sample of sea ice is cooled, some of the liquid water of the brine freezes, which increases the salinity of the brine and maintains phase equilibrium. If a sample of sea ice is warmed, some of the freshwater ice dissolves in the liquid brine, which lowers
   brine salinity and again maintains phase equilibrium. Brine salinity S<sub>br</sub> is therefore only
- a function of temperature T (see Cox and Weeks (1983), for example, for empirical functions of  $S_{br}(T)$ ).

Because of this dependence of  $S_{br}$  on T, the brine fraction of a certain sea-ice sample is determined uniquely by its bulk salinity and its temperature according to Eq. (2).

- <sup>15</sup> Understanding the temporal evolution of bulk salinity and temperature is therefore key for any attempt to model the temporal evolution of sea-ice properties. While temperature evolution is primarily governed by the diffusion of heat through the solid ice and the liquid brine, the evolution of the bulk salinity is far more complicated. Despite many decades of systematic study ranging back to early works by Walker (1857) and
- Malmgren (1927), for example, it is still not possible to accurately predict the salinity evolution of sea ice. Recently, progress has been made in analyzing the underlying physical processes by application of so-called mushy-layer theory. This set of equations, which describes the general behavior of multi-component, multiphase porous media, will be introduced next. Then this theory is used to examine the importance of various processes that might contribute to the salt loss from sea ice.

## 2.1 A brief overview of mushy-layer theory

Multi-component, multiphase materials are traditionally referred to as "mushy" by metallurgists (e.g. Smith, 1868). The study of such materials in which an interstitial melt



can flow through a surrounding porous medium has a long tradition, but it was only toward the end of the twentieth century that scientists started to reference the behaviour of sea ice in light of mushy-layer theory (e.g. Worster and Kerr, 1994; Emms and Fowler, 1994; Worster, 1997; Wettlaufer et al., 1997b).

- One major advantage in applying the mushy-layer theory to sea ice is the fact that in mushy-layer terminology, all sea ice is usually "mushy" since it almost always consists of a mixture of solid and liquid. Hence, in mushy-layer terminology there is no need to distinguish, for example, between a skeletal layer of ice crystals at the bottom of the ice cover and the interior of sea ice: both regions are described by the same set of equations, despite the significant difference in their relative fraction of liquid brine.
- <sup>10</sup> of equations, despite the significant difference in their relative fraction of liquid brine. Applying mushy-layer theory to sea ice therefore provides a closed framework to study, for example, the formation of brine-channels (which are called chimneys in mushy-layer terminology), the release of salt from sea ice, and the conduction of heat through the mixture of solid ice and liquid brine (see Feltham et al. (2006) for a derivation of "classical" parameterizations of sea-ice properties from mushy-layer theory).
- In its most widely used form, mushy-layer theory is based on three equations that describe the conservation of heat, mass and solute and an additional equation to describe the phase equilibrium between salty brine and surrounding freshwater ice. These equations are often formulated such that they apply to an "infinitesmial region of the mushy layer that nevertheless contains representative distributions of liquid and
- solid phases" (Worster, 1997). In the case of sea ice, with a horizontal crystal spacing of order O (mm), the averaging would in principle be applied over a region spanning a few millimeters.

Since detailed derivations of the mushy-layer equations are readily available else-<sup>25</sup> where (e.g. Worster, 1992), here we only give a brief overview of the fundamental equations that are relevant for the study of sea ice. For simplicity, we assume that sea ice is horizontally homogenous such that transport of heat and brine only occurs in the vertical direction *z*. Generalization to three dimensions is straightforward (Notz, 2005; Feltham et al., 2006).



We start with an expression that describes energy conservation within a mushy layer. Denoting the total energy (or enthalpy) per unit mass as H, local energy conservation can be written as

$$\frac{\partial}{\partial t}\overline{\rho}\overline{H} = -\frac{d}{dz}(\rho_{\rm br}H_{\rm br}w) + \frac{d}{dz}\left(\overline{k}\frac{dT}{dz}\right) + Q.$$

<sup>5</sup> Here, subscript br refers to brine, while overlines denote the average of a certain quantity over the control volume of liquid brine and solid ice, i.e.

$$\overline{X} = \phi X_{\rm ice} + (1 - \phi) X_{\rm br}.$$

Eq. (3) describes the change of the heat content of a certain control volume of sea ice by advection of heat with brine that moves with velocity w, conduction of heat given a heat conductivity  $\overline{k}$ , and external heat sources Q, i.e. solar radiation that penetrates into the ice.

By expanding  $\overline{\rho}$  and  $\overline{H}$  according to Eq. (4), by making use of the fact that the latent heat *L* is simply given as the difference in enthalpy between brine and ice,  $L = H_{\text{br}} - H_{\text{ice}}$ , and by introducing the heat capacity c = dH/dT, Eq. (3) can be reformulated as

<sup>15</sup> 
$$\overline{C}\frac{\partial T}{\partial t} = -\rho_{\rm br}c_{\rm br}w\frac{dT}{dz} + \frac{d}{dz}\left(\overline{k}\frac{dT}{dz}\right) + \rho_{\rm ice}L\frac{\partial\phi}{\partial t} + Q$$
 (5)

This equation shows that the temperature of the control volume of sea ice is governed by the advection of heat with moving brine, the diffusion of heat, the release or storage of latent heat caused by internal phase changes, and internal heating caused by radiation.

<sup>20</sup> In addition to Eq. (5) that describes conservation of heat, an expression for the conservation of salt is needed to fully describe the evolution of sea ice. Such expression can be formulated similar to Eq. (3), namely

$$\frac{\partial}{\partial t}\overline{\rho}S_{\rm bu} = -\frac{\rm d}{dz}(\rho_{\rm br}S_{\rm br}w) + \frac{\rm d}{dz}\left(\overline{D}\frac{\rm d}S_{\rm br}}{dz}\right).$$
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(3)

(4)

(6)

Hence, the total salt content of the control volume as described by the left-hand side of the equation can change by advection of salt with moving brine and by diffusion of salt with diffusivity  $\overline{D}$ . In the expansion of  $\overline{D}$ ,  $D_{\rm br}$  is the diffusivity of salt in water, while  $D_{\rm ice}$  is zero.

Expanding  $\overline{
ho}$  according to Eq. (4), Eq. (6) can be reformulated as

$$(1-\phi)\frac{\partial S_{\rm br}}{\partial t} = -w\frac{\mathrm{d}S_{\rm br}}{\mathrm{d}z} + \frac{\mathrm{d}}{\mathrm{d}z}\left(\overline{D}\frac{\mathrm{d}S_{\rm br}}{\mathrm{d}z}\right) + \frac{\rho_{\rm ice}}{\rho_{\rm br}}S_{\rm br}\frac{\partial\phi}{\partial t}.$$

$$(7)$$

This equation can be interpreted as an evolution equation for the bulk salinity. It shows that the local bulk salinity (left-hand side) can change by advection of brine, by diffusion of salt, and by the "expulsion" of salt whenever the local solid fraction changes (see Sect. 2.2.3).

Because brine salinity  $S_{\rm br}$  is uniquely determined by temperature, it can be replaced in Eq. (7) by an expression involving only temperature. We are then left with two Equations (5 and 7) and three unknowns (T,  $\phi$  and w). To close the system, an additional equation for the velocity of the brine is required.

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In practice, usually two approaches are taken to obtain such equation. First, for some applications one can neglect the impact of gravity. A flow of brine is then only driven by the pressure field that goes along with internal phase changes. Such flow can be expressed by a mass-conservation equation

$$\frac{\partial \overline{\rho}}{\partial t} = -\frac{\mathrm{d}}{\mathrm{d}z}(\rho_{\mathrm{br}}w)$$

which for expansion of  $\overline{
ho}$  can be re-written as

$$\frac{\mathrm{d}w}{\mathrm{d}z} = (1 - \frac{\rho_{\rm ice}}{\rho_{\rm br}} S_{\rm br}) \frac{\partial \phi}{\partial t}.$$
(9)

The system of three equations (Eqs. 5, 7 and 9) and three unknowns (T,  $\phi$  and w) is now closed and an analytical similarity solution can be obtained (e.g. Chiareli and



(8)

Worster, 1992; Notz, 2005). Such solution allows one to examine processes for salt release from sea ice that are not governed by gravity.

The second approach for determining w involves gravity, in which case the flow of brine in sea ice can be approximated by Darcy's law

5 
$$W = \frac{\Pi}{\mu} \left( -\frac{\mathrm{d}\rho}{\mathrm{d}z} + \rho_{\rm br}g \right). \tag{10}$$

This law describes the fluid flow through an ideal porous medium under the impact of gravity (cf. Worster, 1997; Eicken et al., 2002). In Eq. (10),  $\rho$  is the dynamic pressure,  $\mu$  is the dynamic viscosity of the brine and  $\Pi$  is the permeability, which is a function of solid fraction and local geometry. The local brine density  $\rho_{\rm br}$  is usually approximated as a linear function of brine salinity,  $\rho_{\rm br} = \rho_{\rm sw}[1 + \beta(S_{\rm br} - S_{\rm sw})]$ , where  $\beta$  is the expansion coefficient for salt and subscript *sw* refers to the sea water underlying the sea ice. Using this expression, a non-dimensional Rayleigh number

$$Ra = \frac{g\beta(S_{br} - S_{sw})h\Pi}{\kappa\mu}$$

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can be derived for brine movement according to Darcy's law (cf. Phillips, 1991; Worster, 1992; Wettlaufer et al., 1997b; Notz and Worster, 2009). In this expression, *h* is total ice thickness and  $\kappa$  is thermal diffusivity. The Rayleigh number describes the ratio of the available potential energy  $g\beta(S_{br} - S_{sw})h$  versus the energy that is dissipated during convection. The latter is made up of dissipated energy caused by two processes. First, energy is needed to overcome the viscosity  $\mu$  of the brine during convection;

<sup>20</sup> the magnitude of this energy is accounted for by  $\mu/\Pi$ . Second, additional energy is required for the convection because some heat is exchanged between the brine and the surrounding solid ice matrix during convection. The magnitude of this heat exchange, which indirectly changes brine salinity during convection, is given by  $\kappa$ .

The mushy-layer equations described in this section can be solved analytically <sup>25</sup> (e.g. Worster, 1992; Chiareli and Worster, 1992; Notz, 2005) or numerically (e.g. Le Bars and Worster, 2006; Petrich et al., 2006). One choice faced by modelers of mushy



(11)

layers is which combination of state variables to use. Temperature or enthalpy can be chosen for the heat conservation equations, and local solute concentration or volume averaged solute concentration (brine salinity and bulk salinity respectively in the context sea ice) for the solute conservation equation. In its simplest formulation the choice

of temperature necessitates an iterative cycle on the heat and solute equations to determine a liquid fraction (e.g. Petrich, 2005), while the choice of enthalpy necessitates a root finding method to convert between enthalpy and temperature, required for the conduction term in the heat equation (e.g. Oldenburg and Spera, 1991).

The mushy-layer equations allow one to analyze, for example, the formation of brine channels (Chung and Worster, 2002), the structure of the ice-ocean interface (Chiareli and Worster, 1992) or the importance of various desalination mechanisms for sea ice (Notz and Worster, 2009). These desalination processes will be the subject of the next section.

## 2.2 Desalination processes

<sup>15</sup> Historically, five processes have been suggested to possibly contribute to salt release from sea ice: the initial rejection of salt directly at the ice-ocean interface during ice growth, the diffusion of salt, brine expulsion, gravity drainage and flushing. Since a more extensive discussion is given by Notz (2005) and Notz and Worster (2009), these are all only briefly described here.

# 20 2.2.1 Initial rejection of salt

If a multi-component melt (for example a mixture of two metals) is solidified, the mixing ratio of the two components in the solid is often different from that in the initial liquid phase. To describe this fractionation, an effective distribution coefficient  $k_{eff}$  was suggested by Burton et al. (1953) for the analysis of single-crystal alloys. This coefficient is given by the ratio of solute concentration in the solid phase to solute concentration

is given by the ratio of solute concentration in the solid phase to solute concentration in the initial liquid.



A similar effective distribution coefficient that describes the rejection of salt by the advancing ice-ocean interface has also been used for the analysis of salt release from sea ice (e.g. Weeks and Lofgren, 1966; Cox and Weeks, 1975, 1988; Eicken, 1992; Vancoppenolle et al., 2007). However, solving the mushy layer equations (Eqs. 5, 7 and

- <sup>5</sup> 9) shows that in the absence of gravity the bulk salinity directly above the ice-ocean interface is equal to the salinity directly below the ice-ocean interface, and hence no salt is rejected by the advancing front (Worster, 1992; Chiareli and Worster, 1992). Such application of the mushy-layer equations without gravity gives a valid (albeit extreme) counterexample to the applicability of the Burton et al. (1953) model to the growth of sea ice because  $k_{\text{eff}}$  as derived by Burton et al. (1953) does not depend on gravity (see
  - Notz and Worster (2009) for a more detailed discussion of these issues).

The analytical result of the mushy-layer equations that no salt can be rejected at the advancing front can be qualitatively understood as follows: Salt diffusivity in sea water is extremely small, which is why any salt that might in principle be rejected at

the front would, in the absence of gravity, remain directly underneath the ice at the ice-ocean interface. This released salt lowers the temperature there below the local freezing temperature, and the accompanying supercooling fosters further ice growth into this region. Hence, the salt is immediately captured again by the advancing front, and the salinity of the underlying water remains unchanged.

#### 20 2.2.2 Brine diffusion

The temperature gradient usually present in sea ice gives rise to a gradient of brine salinity. In winter, when the ice is typically coldest near its upper surface, high brine salinities are found toward the top of the ice with lower salinities toward the ice-ocean interface. This brine salinity gradient gives rise to some vertical diffusion of salt within <sup>25</sup> sea ice, which was suggested by Whitman (1926) to be responsible for the loss of salt from sea ice. However, various experimental and theoretical studies have shown that this process is too slow to be responsible for significant salt loss from sea ice (Kingery and Goodnow, 1963; Hoekstra et al., 1965; Shreve, 1967). For example,



Untersteiner (1968) and Notz and Worster (2009) estimated that brine diffusion can lead to an apparent salt advection velocity of only a few centimeters per year. Hence, this process is not relevant for the large-scale loss of salt from sea ice.

# 2.2.3 Brine expulsion

Brine expulsion drives the movement of salt by density gradients whenever internal phase changes occur. For example, if a certain volume of ice is cooled, some of the water of the brine must freeze to increase brine salinity and hence maintain phase equilibrium. Because of the density change of the solidifying water, a pressure gradient is established that can drive brine upward or downward, as was described by Bennington (1963).

However, it can be shown analytically that brine expulsion cannot lead to any net loss of salt from sea ice (Notz and Worster, 2009). This result is obtained by integrating the velocity field that is caused by brine expulsion (Eq. 9) over the entire ice thickness. Such integration shows that the downward velocity that can be established by brine expulsion is always smaller than the growth rate of sea ice and therefore no salt can move beyond the ice-ocean interface by this process. Hence, this process is also not

relevant for salt loss from sea ice.

## 2.2.4 Gravity drainage

15

As described above, during winter brine salinity usually decreases from the top of the <sup>20</sup> ice toward its bottom. This salinity gradient gives rises to an unstable density gradient of the interstitial brine that is prone to convection. Such convection of salty, heavy brine and its replacement with less dense underlying sea water is usually referred to as gravity drainage. This process is the dominant mechanism that leads to the observed salt loss from sea ice during growth. Any parameterization that aims to realistically <sup>25</sup> describe the loss of salt from sea ice must be able to capture the underlying physics of this process (see Notz and Worster, 2009).



Qualitatively, the onset and the strength of gravity drainage is governed by a Rayleigh number according to Eq. (11). Once this Rayleigh number exceeds a certain threshold of order O(10), convection sets in and brine is efficiently lost into the underlying seawater (e.g., Wettlaufer et al., 1997b; Notz and Worster, 2009). Such convection will be more efficient at larger Rayleigh number (e.g. Worster, 1997). Thus, parameterizations of gravity drainage that are primarily based on the magnitude of the Rayleigh number have recently shown some promise in the modeling of salt release from sea ice (see Sect. 3).

# 2.2.5 Flushing

- <sup>10</sup> While gravity drainage is most active in winter when the ice is coldest at its surface, flushing is the dominant desalination process during summer. This process refers to the "washing out" of salty brine by relatively fresh surface meltwater that percolates into the pore space during summer. There is no doubt that this process leads to significant loss of salt from sea ice, but quantifying its impact remains difficult. While flushing is
- <sup>15</sup> fully described by Darcy's law (Eq. 10) in principle, Eicken et al. (2002) and Eicken et al. (2004) have shown that its modeling is complicated by the fact that flushing is essentially a three-dimensional process. Hence, for a proper simulation of flushing both the horizontal and the vertical permeability of sea ice must be known, which are difficult to estimate theoretically. In addition, the interplay of radiative fluxes, temperature and salinity distribution within the ice as well as the hydraulic head of the surface melt water remain pearly understand (a.g., Taylor and Faltham, 2004).
- water remain poorly understood (e.g. Taylor and Feltham, 2004; Lüthje et al., 2006; Vancoppenolle et al., 2007).

# 2.3 Permeability

The mushy layer theory presented in Sect. 2.1 performs a volume averaging over the <sup>25</sup> microstructure, and (with gravity) the flow through the mush is determined from the Darcy equation for porous medium flow. The details of the ice microstructure (i.e.,



porosity,  $\chi = 1 - \phi$ ) are only needed to determine the permeability, which appears in the Darcy equation; the functional form of this permeability is crucial for calculating the correct brine flow through sea ice. Golden et al. (1998) noted a visual, microstructural similarity between sea ice and compressed powders and used it to explain the so-called

- <sup>5</sup> "rule of fives", where sea ice becomes largely impermeable at porosities less than 5% in sea ice at approximately at -5°C and 5 psu (Weeks and Ackley, 1986). An upper bound on the permeability was determined by Golden et al. (2006), who assumed the observed distribution of brine inclusions was arranged in parallel pipes in such a way as to maximize the permeability. Golden et al. (2007) estimated the permeability of sea
- <sup>10</sup> ice using percolation and hierarchical models. The hierarchal model consists of a selfsimilar distribution of spheres, representing ice crystals, around which the fluid flows. This model predicts a permeability of  $3 \times 10^{-8} \chi^3 m^2$  and does not exhibit critical porosity for impermeability. The percolation model consists of a lattice network of bonds, with open and closed bonds placed at random in the lattice. The permeability of sea ice <sup>15</sup> was calculated as  $3 \times 10^{-8} (\chi - \chi_c)^2 m^2$ , where  $\chi_c$  is a critical porosity beneath which the ice is impermeable. Golden et al. (2007) found a critical porosity of 5%. Zhu et al. (2006) improved on the percolation model by allowing the bonds between nodes in the lattice to have fluid conductivities drawn from a representative distribution. They found

no critical behavior, however, in numerical calculations.

## 20 3 Numerical modeling

Sound climate projections – even without interactive biogeochemical cycles – require a realistic representation of mass and energy exchanges between the different components of the climate system. For sea ice, this involves the sea ice volume (i.e., thickness and concentration) and exchanges of heat, water and salt with the ocean. Sea ice multiple is the sea ice with the ocean.

tiphase processes affect both ice volume and ice-ocean fluxes at large scales. Climate projections that include the contribution of sea ice in global biogeochemical cycles also require a representation of the storage and exchange of chemical elements between the sea ice, the atmosphere, and the ocean. Experiments with simple one-dimensional



sea ice models suggest a fundamental role for multiphase physics on sea ice biogeochemistry.

In this section, we first explore the hierarchy of approaches to simulate multiphase physics. Then we review efforts toward a realistic representation of multiphase sea <sup>5</sup> ice physics in large-scale sea ice models used for climate simulation. Finally, we discuss preliminary steps that have been undertaken to assess the potential impacts of multiphase sea ice physics on sea ice biogeochemistry.

# 3.1 Approaches

Because the multiphase physics of sea ice is very similar to any binary alloy, research
 and modeling in the fields of metallurgy and magma solidification are of direct relevance
 to the study of sea ice. Simulations have been performed on a variety of scales and
 with varying fidelity.

#### 3.1.1 Direct numerical simulations of individual crystals

The highest fidelity simulations of solidification aim to model individual crystals growing from the melt phase. Such simulations are computationally intensive and must track the interface between all growing crystals and the surrounding melt. Various techniques have been developed to accomplish this task, which can be classified into two broad categories: those that treat the interface as sharp and those that treat it as diffuse. The phase-field method treats the interface as an extended diffuse region and defines an

<sup>20</sup> order parameter,  $\phi$ , whose value indicates the phase at a particular point, e.g.  $\phi = 1$ for all solid and  $\phi = 0$  for all liquid. The solid-melt interface is taken as the  $\phi = 0.5$ surface (e.g. Anderson et al., 2000). Level-set methods define a function whose value is positive in one phase and negative in the other; the interface is then given by the zero level-set of that function (e.g. Chen et al., 1997). A sharp interface position can also <sup>25</sup> be explicitly tracked while using finite elements (e.g. Jacot and Rappaz, 2002), finite



differences (e.g. Udaykumar et al., 1999), or cellular automata methods (e.g. Rappaz

et al., 2000). The heavy computational burden of explicitly tracking the microstructure makes these techniques unsuitable for modeling a complete sea-ice layer, although they may prove useful in determining appropriate sub-grid scale parameterizations, such as the permeability, for averaged models.

#### **5 3.1.2 Volume averaged simulations**

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Most numerical simulations of sea ice perform an averaging of the two phases over some representative volume, forming equations similar to those defined for the mushy layer in Sect. 2.1. Since individual ice crystals and brine inclusions no longer need to be explicitly modeled, the problem becomes much more computationally tractable.

- <sup>10</sup> To further simplify the problem, steady state solutions of growing mush can be sought. This is achieved in a laboratory setting by forcing the liquid at a constant speed through a temperature gradient fixed in the laboratory frame of reference. Such a setup has been used to numerically investigate chimney formation and the shape of the chimney and mush surface interface (Schulze and Worster, 1998, 1999; Chung
- <sup>15</sup> and Worster, 2002). While these studies could adequately simulate the convecting mush and chimney system, they must assume a spacing between the chimneys and so could not predict a mush drainage rate. Wells et al. (2011) hypothesize that the chimney spacing maximizes the brine drainage from the mush. They derived a simple formula for the brine drainage rate which compares well to observations in their <sup>20</sup> simulations of mush-chimney convection.

Steady state solutions to the mushy layer equations can be found only for limited configurations. In order to simulate situations such as a constant-temperature cooling surface, time varying solutions are required. Much work has been performed in this area in the context of the solidification of binary metal alloys (e.g. Bennon and Incropera, 1987; Beckermann and Viskanta, 1988; Voller et al., 1989; Oldenburg and Spera, 1991; Felicelli et al., 1998; Jain et al., 2007; Katz and Worster, 2008). All as-

sume an incompressible flow and use the Boussinesq approximation. Also, all the models assume local thermodynamic equilibrium such that the brine in the inclusions



lies on the liquidus temperature curve. The majority of models use a finite volume formulation (Felicelli et al. (1998) used a finite element formulation). The finite volume formulations all use the SIMPLE method or its variations to calculate the fluid flow (Patankar, 1980). For momentum, Katz and Worster (2008) solve the simpler Darcy 5 equation and thus avoid calculating the fluid pressure. Other modelers solve a more complicated Navier-Stokes-like equation with Darcy terms. The majority of the simulations are performed in two dimensions instead of three to reduce computational burden. two notable exceptions being Neilson and Incropera (1993) and Felicelli et al. (1998).

Both Oertling and Watts (2004) and Petrich et al. (2007) performed simulations specifically for forming sea-ice. Oertling and Watts (2004) simulated a layer of newly 10 growing ice and compared the results to laboratory observations of Cox and Weeks (1975) and Wettlaufer et al. (1997a). The ice growth rate and brine drainage rate were comparable to the experimental results, but the simulations lacked the observed delayed onset of drainage. Petrich et al. (2007) simulated the refreezing of cracks in the ice cover of Antarctica. 15

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#### 3.1.3 One dimensional simulations

For computational efficiency, the thermohaline description in the sea ice component of global climate models is one-dimensional. First, a series of models representing the effect of brine inclusions on heat transfer and storage, but not sea ice desalination, was introduced. These models either assume no ice salinity at all, a vertically constant salinity, or a constant-in-time, self-similar vertical salinity profile.

Maykut and Untersteiner (1971) (hereafter MU71) developed the first detailed thermodynamic model of sea ice, successfully representing the seasonal growth and decay of sea ice. MU71 solves a vertical heat conduction equation within the interior of the

ice, while growth and melt rates are derived from the heat budgets at the upper and 25 lower interfaces. MU71 includes a representation of the thermal changes associated with the changing size of brine pockets: the heat capacity and thermal conductivity of the ice are given as functions of ice temperature and salinity. In particular, the specific



heat of sea ice increases by more than one order of magnitude near the melting point, which accounts for the latent heat used in internal melting within brine inclusions. MU71 used a steady-state salinity profile computed from a series of Arctic multi-year ice cores (Schwarzacher, 1959). In addition, the latent heat of fusion was reduced at the lower boundary on the grounds that newly formed ice retains a liquid brine volume of about 10%. Because of different values for the latent heat of fusion at the ice surface and at the ice base, the MU71 is not energy-conserving and is not usable as it is in climate simulations.

The MU71 model was the basis of the first sea ice component developed for largescale climate models by Semtner (1976). Semtner did not keep MU71's representation of the vertical salinity profile because the difference in latent heat of fusion between the upper and lower boundaries implies a violation of energy conservation. Instead, he proposed a simple formulation for penetrating radiation and brine volume that conserves energy. During snow-free periods, the fraction of surface-penetrating radiation 15 is stored in a heat reservoir, which represents internal meltwater. Energy from this reservoir is used to keep the temperature near the top of the ice from dropping below the freezing point, thereby simulating release of heat through refreezing of the internal

The next generation of thermodynamic sea ice models was introduced by Bitz and <sup>20</sup> Lipscomb (1999) (hereafter BL99). The BL99 model is based on MU71 but solves the problem of energy conservation when thermal properties depend on salinity and temperature by using the energy of melting (Untersteiner, 1961), i.e., the energy required to melt a volume of sea ice. The energy of melting is smaller than the latent heat because it accounts for the presence of liquid brine, which reduces the energy

brine pockets.

that is required for full melting. In the original BL99 model, the vertical salinity profile is also Schwarzacher's, variable in space but constant in time. The BL99 model and its derivatives (Winton, 2000; Vancoppenolle et al., 2007) constitute the reference thermodynamic component of several large-scale sea ice models (Vancoppenolle et al., 2009b; Hunke, 2010).



In modeling the evolution of melt ponds, Taylor and Feltham (2004) explicitly used the mushy layer equations for heat transfer with the appropriate mixing equations for the heat capacity and the thermal conductivity (Eq. 4). They also kept a steady-state, vertically constant, 3.2 psu salinity for the ice, since they were only interested in summer conditions.

Parameterizations of the detailed temporal evolution of the sea ice salinity profile in one dimension have also been developed. A first attempt was made by Cox and Weeks (1988), who numerically investigated the effect of initial salt entrapment, brine expulsion and gravity drainage on the sea-ice salinity profile. However, their thermodynamic component was a simple linear temperature profile within the ice and Stefan condition for ice growth at the base. Therefore, their model has no representation of

condition for ice growth at the base. Therefore, their model has no representation of brine thermal effects. Their model allowed some fraction of the salt in sea water to be excluded during ice formation based on the observations of Cox and Weeks (1975). Brine expulsion was modeled, but excluded brine was moved directly to the ocean

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- rather than redistributed within the ice. They also modeled gravity drainage empirically, again based on data from Cox and Weeks (1975): drainage occurs when the brine volume exceeds 5 %, and once this threshold is exceeded, an increase in either the brine volume or temperature gradient within the ice leads to an increase in the drainage rate. No parameterization of flushing was included.
- A first attempt to couple the thermal effects of brine with ice desalination was made by Ebert and Curry (1993), who used the heat capacity and thermal conductivity parameterizations of MU71 but with a time dependence for ice salinity. For ice thinner than 60 cm they used the approximation of Cox and Weeks (1974), while a constant salinity of 3.2 psu was used for thicker ice.
- Notz and Worster (2006) introduced a fixed-grid enthalpy method which includes both mush and fully liquid regions within its domain, rather than the more traditional front tracking methods used in sea ice models. The model correctly accounts for the redistribution of brine within the ice caused by brine expulsion and includes a model of flushing, where melt water at the surface flows through the ice according to Darcy's



law for flow in a porous medium. Unlike Cox and Weeks (1988) all the salt in the sea water was incorporated into the growing ice as found observationally in Notz (2005). Since this model is directly based on mushy-layer theory, it realistically represents the impact of brine on sea-ice thermodynamics. However, before the model can be used for climate studies, it needs to be extended to also represent gravity drainage, which is

5 for climate studies, it needs to be extended to also represent gravity drainage, which i subject to ongoing work (Griewank and Notz, personal communication).

Vancoppenolle et al. (2007) implemented the gravity drainage parameterization of Cox and Weeks (1988) into the BL99 model and also added a formulation for flushing, based on the following. Once the brine network is permeable over the entire sea ice layer, a fraction (30%) of the melt water volume percolates through the brine network

<sup>10</sup> layer, a fraction (30%) of the melt water volume percolates through the brine network and replaces salty brine. The value of 30% reflects the unresolved three-dimensional features of the flow. Their model compared relatively well to observations of summer landfast sea ice desalination at Point Barrow. The simulated summer desalination is sensitive to parameters associated with radiative transfer and fluid transport in the model.

Vancoppenolle et al. also identified deficiencies in the formulation of Cox and Weeks (1988) for gravity drainage: for example, the latter overestimates winter desalination in the lower half of the ice. Moreover, the empirical Cox and Weeks (1988) parameterization cannot be generalized to the computation of biogeochemical tracers. In

- <sup>20</sup> Vancoppenolle et al. (2010) and Jeffery et al. (2011), more realistic, diffusive formulations for gravity drainage are proposed. Vancoppenolle et al. (2010) represent gravity drainage using a turbulent diffusivity that is empirically enhanced when the depthvarying Rayleigh number (Notz and Worster, 2009) is super-critical. The results show substantial improvement compared to Cox and Weeks (1988). In contrast, Jeffery et al.
- (2011) propose a novel approach to modeling gravity drainage in one dimension by treating it as a diffusive process, using a diffusivity derived from mixing length theory. Their model successfully reproduces the laboratory desalination sequence of Cottier et al. (1999) and the salt fluxes of Wakatsuchi and Ono (1983).



A key question is how multiphase physics affects the sea ice mass balance. Vancoppenolle et al. (2005) have shown that the equilibrium thickness and ice-ocean salt fluxes in the BL99 model are quite sensitive to the representation of the ice salinity profile. The equilibrium thickness of about 3 m increases by up to one meter with salinity

- <sup>5</sup> changes from 0 to 6 psu for a vertically constant profile; higher ice salinity increases brine volume and specific heat capacity by up to two orders of magnitude. The increase in thermal inertia for more saline ice dominates the reduction in the energy of melting. Hence more saline ice resists warming more efficiently than fresh ice during the melt period, which in turn delays surface melt onset and reduces summer melt in the model used by Veneeneenelle et al. (2005). The share of the profile uses also found to be
- used by Vancoppenolle et al. (2005). The shape of the profile was also found to be important, since low surface salinity is necessary to produce enough surface melt.
   In a later study, Vancoppenolle et al. (2006) explored how temporal changes in the salinity profile affect the sea ice mass balance using the Vancoppenolle et al. (2007) model. The importance of salinity variations on ice thickness are concentrated during
- two key periods. First, during the sea ice growth period, high salinities found near the ice-ocean interface facilitate sea ice formation and reduce salt rejection. During the melt period, the decrease in ice salinity near the surface reduces thermal inertia and facilitates surface melt in the model.

In summary, one dimensional sea-ice models are moving toward a more realistic representation of multiphase physics, both in terms of the interaction of brine with the thermal field and in terms of a physically based description of desalination processes. Models employing such a representation of sea-ice multiphase physics produce results that are qualitatively and quantitatively different to those obtained from simpler models, highlighting the importance of a more realistic representation of sea ice in coupled

<sup>25</sup> climate models. However, as outlined in Sect. 4, we currently lack sufficient observational data to narrow the range of model parameters, a potential hindrance for further development.

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# 3.2 Numerical applications of multiphase physics

# 3.2.1 Climate modeling

Studies using large-scale sea ice models with various representations of multiphase physics point to the importance of (I) storage of latent heat within brine inclusions associated with the penetration of solar radiation into sea ice, (II) the reduction of the energy required to form or melt ice associated with significant liquid fractions, and (III) the role of salinity on ice-ocean salt and freshwater exchanges.

In particular, MU71 found that brine inclusions retard the heating or cooling of the ice, and they argued that uncertainties in the salinity profile contribute to uncertainties in ice thickness. Likewise, using the zero-layer model of Semtner (1976) in a large-scale

- 10 Ice thickness. Likewise, using the zero-layer model of Semtner (1976) in a large-scale framework, Semtner (1984) concluded that significant errors in phase and amplitude occur if one neglects heat storage in thermodynamic sea-ice models. As a result, climate simulations using such extremely simplified models have substantial biases that skew the seasonal disappearance of sea ice due to premature onset of melt and in-
- <sup>15</sup> creased melt rates. Similarly, Fichefet and Morales Maqueda (1997) showed that brine inclusions are the main contributor to the total heat content of Arctic sea ice. Nevertheless, Semtner's zero-layer model and its derivatives are still the thermodynamic component of numerous large-scale sea ice models (e.g. Washington et al., 2000; Marsland et al., 2003; Timmermann et al., 2009; Hewitt et al., 2011).
- The multilayer parameterization of sea ice desalination by Vancoppenolle et al. (2007) has been simplified further for inclusion in a large-scale, global, dynamic-thermodynamic sea ice model (Vancoppenolle et al., 2009b). Compared to a compilation of ice core salinity data, the simulated sea ice salinity shows spatial and temporal variations that are consistent with observations (Vancoppenolle et al., 2009a). First,
- the model agrees with observations in that the younger the ice, the more saline it is. In addition, Antarctic sea ice has a higher salinity than Arctic ice, following the higher prevalence of young ice and infiltration of snow by seawater. Furthermore, the sea ice salinity shows a seasonal cycle in both hemispheres, with higher values in winter and smaller values in summer.



Through a series of sensitivity simulations, Vancoppenolle et al. (2009a) studied the impact of ice salinity variations on sea ice. The order of magnitude of changes induced by salinity variations was found to be similar to a 10 % change in summer ice albedo, often presented as a key parameter. In the Arctic, salinity variations induce an increase

- in total ice volume by about 10% via changes in the sea ice thermal properties, in particular the specific heat capacity and the energy of melting. In the Southern Hemisphere, the ice volume also increases. Ocean feedbacks amplify the differences; with varying salinity, sea ice forms more efficiently while rejecting less salt into the ocean, which decreases vertical mixing and heat supply from the ocean to the ice.
- The conclusions of all these studies underline the potential importance of sea ice salinity, but also stress the associated uncertainties and the need for more realistic representations of multiphase sea ice physics. In addition, our understanding of the impact of multiphase physics at large scales depends on the model used, at this stage the BL99 model, which neglects several aspects of sea ice multiphase physics. How-15 ever, it is interesting to note that more complex models have rarely contradicted the
- findings of earlier, simpler models.

# 3.2.2 Sea ice biogeochemistry

Development of sea ice biogeochemical models is less advanced than that of sea ice physical models. In particular, multiphase physics and its coupling with nutrient,
organic matter, trace metals and gases have been neglected or represented in very simple terms. However, field data and mushy-layer theory suggest that fluid transport through sea ice is the main conduit for impurities in the sea ice, if not the only one, for two reasons. First, only brine convection in the lowermost, porous sea ice (Reeburgh, 1984) and the flooding of the surface by seawater (Fritsen et al., 1994) seem intense
enough to provide the required nutrients to sustain biological growth in the ice. Second, the vertical profiles of dissolved macronutrient concentrations and salinity within the ice have a similar shape, as indicated by field and laboratory experiments (Clarke and Ackley, 1984; Cota et al., 1987; Giannelli et al., 2001; Tison et al., 2008).



In principle, a model of sea ice biogeochemistry with a strong physical basis must represent how ice growth, melt and internal fluid transport affect the distribution of passive tracers (e.g., algae, nutrients, trace metals, gases). Early sea ice biogeochemistry models handled the bio-physical coupling in various ways. Arrigo et al. (1993) represent the ocean-to-ice nutrient flux as the product of the nutrient concentration in seawater and a brine volume flux. This brine volume flux is formulated empirically, as a function of the sea ice growth rate, based on the laboratory data of Wakatsuchi and Ono (1983). This approach was used later by Jin et al. (2006). Fritsen et al. (1998) included the contribution of flooding by seawater near the surface. In other studies, the nutrient fluxes were prescribed diffusivity values (e.g. Lavoie et al., 2005; Nishi and Tabeta, 2008). More recently, Tedesco et al. (2010) computed the fluxes of algae and nutrients from the ocean to the ice as directly proportional to the sea ice growth and melt rates. In all these studies, the fluxes of dissolved material missed some physical

<sup>15</sup> Recently, the transport of solutes (e.g., nitrate, silicate, ammonium) has been included in one-dimensional sea ice models, based on transport equations containing some of the mushy-layer physics (Vancoppenolle et al., 2010; Jeffery et al., 2011). In both studies, ocean and sea ice exchange dissolved material in several ways. First, as for salt, dissolved tracers are trapped in the ice due to congelation at the ice base and

aspects of fluid transport.

- <sup>20</sup> due to flooding of snow by seawater at the ice surface. Second, dissolved tracers are redistributed within the ice due to gravity drainage and flushing. Finally, dissolved tracers are released in the ocean due to brine drainage and sea ice melt. Vancoppenolle et al. (2010) combined their passive tracer module with a prescribed uptake due to primary production in sea ice, in order to simulate the vertical profile of dissolved silicates
- <sup>25</sup> in Antarctic sea ice. The results give qualitative agreement of the silicate profiles with observations. Jeffery et al. (2011) validated their formulation using brine salinity as a tracer, and conclude that theirs is a viable choice for simulating passive tracers in sea ice.



There appear to be potential nonlinear interactions between brine dynamics and biogeochemical sources and sinks (Vancoppenolle et al., 2010) such that biogeochemical impurities may behave differently from salt. For instance, fluid advection may fail to dislodge algae and some of their exudates, and thus nutrients incorporated into the algal biomass may accumulate in sea ice (Krembs et al., 2002; Raymond et al., 2009; Becquevort et al., 2009). In contrast, convection leads to salt rejection near the ice base.

Sea ice modelers have not begun to incorporate the vapor phase, although it may play a significant role in gas transfers between ocean and atmosphere with potentially significant consequences for atmospheric chemistry and climate. For instance, methane venting has been observed under sea ice at high latitudes (e.g., Shakhova et al., 2010), and sea ice halogen chemistry is critical for ozone depletion in the polar atmospheric boundary layer (Simpson et al., 2009).

There are many open questions regarding brine-biogeochemistry coupling in sea
ice. What is the impact of the representation of multiphase physics on sea ice biogeochemistry? Are there several modes of transport of sea ice impurities (active, passive transport) that should be taken into account? Moreover, bio-physical feedbacks may impact larger scale, physical sea ice and climate processes (e.g., Lengaigne et al., 2009). The importance of biogeochemical processes for climate has yet to be ascertained. All these questions need to be addressed both in modeling and experimental studies.

# 4 Observations

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Any progress in our theoretical understanding or numerical simulation of the multiphase physics of sea ice must be evaluated against reality. However, detailed measurements of the temporal and spatial evolution of sea-ice microstructure are still largely lacking, despite much progress in recent years. In this section we briefly summarize the most relevant measurement techniques and point out particular data needs that will hopefully be addressed by sea-ice researchers in the near future.



Traditionally, ice-core measurements have been the standard approach to gain insight into the internal structure of sea ice. For such measurements, an ice core is extracted from the an ice floe and is then usually cut into thin slices. These are then often first examined visually to obtain their crystal structure and brine-channel distribu-

- tion, for example. More objective analysis of the microstructure of such samples has recently become possible by direct three-dimensional imaging using conventional Xray tomography (Golden et al., 2007; Pringle et al., 2009b) or the more highly resolved synchrotron-based X-ray microtomography (Maus et al., 2010a,b). Melting the ice-core samples allows one to measure bulk salinity profiles, to analyze the isotopic compo-
- sition and hence the amount of meteoric water content (e.g. Eicken et al., 2002), or to obtain the algal biomass, nutrients and trace metals (Arrigo et al., 2010, and references therein). Additional information on the gas content of the ice can be gained by measuring the density of the samples (Cox and Weeks, 1983) or by direct gas extraction (Nakawo, 1983; Tison et al., 2002; Rysgaard and Glud, 2004), which has recently
   received much attention with respect to the role of sea ice for the Arctic CO<sub>2</sub> budget.
- Despite the fact that a great number of cores has been taken since the beginning of scientific exploration in the Arctic (e.g., Weyprecht (1879) and Malmgren (1927)), the overall data set remains too sparse to reliably test numerical models that describe the microstructure of sea ice with high spatial resolution. For example, we still have only very few data sets that allow for the analysis of the temporal evolution of sea ice salinity, crucial to reliably test numerical predictions. Among the most notable ice core studies in this respect are probably the data set by Nakawo and Sinha (1981) who took bi-weekly sea-ice samples throughout a whole winter season; the data set provided by the University of Alaska, Fairbanks of cores taken North of Alaska (Eicken,
- 25 2011); and a data set of Antarctic ice cores (Australian Antarctic Data Center, 2011). The apparent lack of temporally and spatially resolved data from ice core studies is caused by two main difficulties. First, ice-core extraction and analysis cannot easily be automated, which is why both spatial and temporal resolution of most ice-core studies are too low to reliably relate their results to numerical simulations. Second, ice-core



studies are necessarily destructive and it is impossible to track temporal evolution of sea-ice microstructure within the same sample.

In addition to these difficulties, all such studies suffer from the fact that usually some brine is lost from the core during its extraction. This leads to particularly large sampling errors within the biologically important, porous bottom layer of the ice sample 5 (e.g., Notz et al., 2005). While methods have been developed to minimize the loss of brine during sampling of sea ice (Cottier et al., 1999), these are usually difficult to apply in the field. Therefore, focus has recently turned to nondestructive methods that allow for in-situ sampling of sea-ice properties. Regarding the multiphase structure of sea ice, these methods include measurements of complex permittivity with 10 capacitance probes (Morey et al., 1984; Backstrom and Eicken, 2006; Pringle et al., 2009a), cross-borehole resistivity tomography (Ingham et al., 2008) and parallel-wire impedance measurements (Notz et al., 2005), which have all been summarized in more detail by Pringle and Ingham (2009). These methods pose some potential to overcome the limitations of classical ice-core studies, most notably because they allow for the 15

automated measurement of the temporal evolution of the solid and the brine fractions of sea ice.

Further development of these methods and their wider application in both field and laboratory studies will allow for an increased understanding of desalination processes as well as the small-scale horizontal variability of sea-ice microstructure. Measurements are required that cover the full cycle of sea-ice formation in open water, its growth during winter, the impact of flushing with surface melting during summer and the eventual decay of the ice cover. For the modeling of Antarctic sea ice, data sets are also needed regarding sea ice flooding caused by a heavy snow pack, in particular

<sup>25</sup> regarding the incorporation of this water into the ice pack and its impact on the internal structure of the ice.

In addition to such automated measurements of sea-ice microstructure, we also require far more data regarding the temporal evolution of the biologically and climatically important gas content of sea ice. So far, there exist very few data sets of its temporal



evolution, many of which focus on the CO<sub>2</sub> exchange at the ice-atmosphere interface using either eddy correlation techniques (Baldocchi et al., 1988; Oechel et al., 1998) or chamber techniques (Livingston and Hutchinson (1995) and references therein; see also Schrier-Uijl et al. (2010)). This lack of data is due, to some degree, to the fact that
<sup>5</sup> sea ice has for a long time been regarded as an impermeable lid on the ocean (Tison et al., 2002; Bates and Mathis, 2009), despite early works that clearly demonstrated significant gas exchange through sea ice (Gosink et al., 1976). Therefore the contribution of sea ice to gas exchange has only relatively recently become a major focus of polar research (Semiletov et al., 2004), leading to a significant increase in mea<sup>10</sup> surement campaigns (e.g. Delille et al., 2007; Rysgaard et al., 2009; Papakyriakou and Miller, 2011). However, more measurements clearly are needed to support development of realistic biogeochemistry components in large-scale, coupled numerical models.

The same holds for data regarding the interaction of sea ice with its snow pack (Sturm and Massom (2010) and references therein). Even a thin layer of snow significantly alters the heat budget of the ice because the thermal conductivity of snow is much lower than that of sea ice, and because snow often has a much larger albedo than bare ice. Melting snow that percolates into the ice leads to flushing and hence lowers sea-ice salinity, but it can also create impermeable layers within the ice that foster

- the formation of surface melt ponds (Eicken et al., 2002; Vancoppenolle et al., 2007). Despite the importance of snow on sea ice, there are very few detailed measurements of its characteristics and fewer measurements of their temporal evolution. Here, again, more data are needed to allow for a better representation of the interaction of snow with sea-ice microstructure in numerical models.
- While the clear data requirements described in this section are mostly motivated by the evaluation of numerical models, the same data is also essential for evaluating satellite products. For example, reliable salinity measurements are needed to evaluate measurements by ESA's "Soil Moisture and Ocean Salinity (SMOS)" satellite, whereas improved data sets of sea-ice microstructure and of sea-ice density are crucial to better



estimate sea-ice thickness estimates that are based on freeboard measurements from space (ICESAT, CRYOSAT). The success of these satellite missions crucially depends on the existence of a large data pool of reliable in-situ measurements.

While in the past, lack of funding has often been the main reason for the sparsity of 5 in-situ measurements, in the Arctic possibly a new, major obstacle is emerging from territorial claims of the five states bordering the Arctic Ocean (e.g., Berkman and Young, 2009; Witschel et al., 2010). Such claims, when fully granted, will virtually cover the entire Arctic Ocean and significantly reduce the area that today is regarded as "High Sea". Hence, in the future the coastal states' influence on research might grow substantially. It is highly desirable that the freedom of research in the Arctic Ocean not be 10 significantly degraded by such geo-political developments, particularly since the states

bordering the Arctic Ocean will profit most from improved climate predictions based on the fundamental research discussed here.

#### 5 Conclusions

- Research into the multiphase physics of sea ice has been an active field for decades. 15 The earliest sea ice observational studies were interested in the thermohaline evolution of the ice, but by the mid-twentieth century, modelers had settled on the basic premise that a salinity profile representative of multi-year ice was sufficient for modeling sea ice. While physical processes have garnered most of the attention paid to the role of sea ice in the polar climate system, it has become increasingly obvious that chemical 20
- and biological changes are important to fully understand that role. This is the more the case with the ongoing transition of the Arctic from a multi-year sea-ice pack to one with a high percentage of first-year ice. Thus, changes in the physical system along with the progression of modeling capabilities into the biogeochemical domain have renewed scientific interest in sea ice halodynamics and multiphase physics.
- Discussion Paper 5, 1949-1993, 2011 Sea ice multiphase physics **Discussion** Paper E. C. Hunke et al. **Title Page** Abstract Introduction Conclusions References **Figures** Discussion Paper Back Full Screen / Esc **Discussion** Paper Printer-friendly Version Interactive Discussion

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Observations, both in the field and in the laboratory setting, have revealed the complex structure of sea ice and the dynamic processes that result from and cause that structure. Field research has further uncovered the subtle and often surprising role of sea ice multiphase physics in polar chemical- and ecosystems, as a concentrator of nutrients, incubator of algal life, and pathway for gas exchange between ocean and

of nutrients, incubator of algal life, and pathway for gas exchange between ocean and atmosphere. Experimental campaigns are beginning to fill the large gaps in data describing sea ice multiphase physics, but much more data is needed to fully understand the mechanisms and their dependencies, time evolution and variability.

While climate modelers forge ahead with the modeling frameworks at hand, new theoretical and numerical approaches are being developed based on the extensive body of knowledge already compiled in metallurgical multiphase applications. For example, mushy layer theory (borrowed from solidification physics) provides a useful description of the general properties of sea ice by averaging over the microstructure. The role of the

- five basic desalination processes (initial salt rejection, brine diffusion, brine expulsion,
  gravity drainage and flushing) of sea ice has been explored and the importance of each process determined. As discussed in Sect. 3, models are being developed and implemented numerically that address the sea ice salinity problem at various scales ranging from the microstructure to global, in one, two and (perhaps) three dimensions. A standardized model intercomparison of a small set of common problems could be useful
  for translating the highly resolved physical processes into parameterizations feasible
- for use in GCMs.

Recent modeling studies that include large-scale representations of complex sea ice multiphase physics highlight the importance of such parameterizations for accurate simulations of polar climate. Furthermore, modelers are now beginning to examine the

effect of sea ice's microstructure and salinity evolution on organisms living in the ice, a subject long debated only in observational circles. Sea ice multiphase physics is a very vibrant field of research that brings together observationalists, theorists and numerical modelers, all with the goal of improving our understanding of earth system changes, both polar and global, and the role of sea ice in these changes.



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**Fig. 1.** Malmgren's (1927) diagram of sea ice salinity, as a function of depth for 5 different months, also illustrates the evolution of sea ice thickness during the annual cycle. As Malmgren notes, this figure "is intended only to give a qualitative picture of the course of development and the salinities must not be interpreted as representing the mean values of the salinity during the different months". Reprinted from Malmgren (1927).





**Fig. 2. (a)** Warming sequence showing changes in ice structure at -13 °C, -8 °C, and -4 °C. The arrow in the center panel indicates merged brine inclusions, and the three large inclusions have merged in the third panel. The vapor bubble at the center of the image also expands in size as the sample warms (Light et al., 2003, used with permission, J. Geophys. Res.). (b) Laboratory-grown sodium-chloride ice showing horizontal crystalline structure and 2 brine channel openings at the bottom of the ice (compare Wettlaufer et al., 1997b). (c) Brine channel in a vertical slab of Arctic sea ice (pictured height approximately 60 cm; photo: H. Eicken).

